

TRANSACTION SLIP (Please fill in BLOCK Letters)

ARN & Name of Distributor	Branch Code (only for SBG)	Sub-Broker ARN Code	Sub-Broker Code	EUIN* (Employee Unique Identification Number)	Reference No.
ARN 102683				E 024075	

Declaration for "execution-only" transaction (only where EUIN box is left blank) (Refer Instruction 1 (p))
* I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

SIGNATURE(S)			
	1st Applicant / Guardian / Authorised Signatory	2nd Applicant / Authorised Signatory	3rd Applicant / Authorised Signatory

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor

TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS/AGENTS ONLY (SEE NOTE 16)
In case the subscription amount is Rs. 10,000/- or more and if your Distributor has opted to receive Transaction Charges, Rs. 150 (for first time mutual fund investor) or Rs. 100/- (for investor other than first time mutual fund investor) will be deducted from the subscription amount and paid to the distributor. Units will be issued against the balance amount invested.

INVESTOR DETAILS (MANDATORY)	
EXISTING FOLIO NO. <div></div>	
Name (Mr/Ms/M/s) <div></div>	
Email ID <div></div>	
Mobile No. <div></div>	
Telephone No. <div></div>	

PAN DETAILS		
First Applicant / Guardian	Second Applicant	Third Applicant
<div></div>	<div></div>	<div></div>
Mandatory Enclosures	Mandatory Enclosures	Mandatory Enclosures
<input type="checkbox"/> PAN Proof <input type="checkbox"/> KYC Acknowledgement	<input type="checkbox"/> PAN Proof <input type="checkbox"/> KYC Acknowledgement	<input type="checkbox"/> PAN Proof <input type="checkbox"/> KYC Acknowledgement
PAN Exempt KYC Ref no (PEKRN for Micro investments) -	PAN Exempt KYC Ref no (PEKRN for Micro investments) -	PAN Exempt KYC Ref no (PEKRN for Micro investments) -

ADDITIONAL PURCHASE REQUEST		
Scheme Name		
Plan (Please ✓)	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	In case of Dividend Transfer facility, please mention target scheme along with plan/option. Scheme / Plan / Option
Option (Please ✓)	<input type="checkbox"/> Growth <input type="checkbox"/> Dividend	
Dividend Facility (Please ✓)	<input type="checkbox"/> Reinvestment <input type="checkbox"/> Payout <input type="checkbox"/> Transfer	
Cheque / DD Amount (Rs.)	Drawn on Bank and Branch	Cheque / D.D. No. & Date
<div></div>	<div></div>	<div></div>
Investment Amount (Rs. in Figures)	Investment Amount (Rs. in Words)	
<div></div>	<div></div>	

DEMAT ACCOUNT DETAILS	
If you want units in Demat mode, please provide the below details and enclose the latest Client Investor Master / Demat Account Statement (Mandatory). Please ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant.	
National Securities Depository Limited (NSDL)	Central Depository Services (India) Limited (CDSL)
Depository Participant Name	Depository Participant Name
DP ID No. <div></div>	Target ID No. <div></div>
Beneficiary Account No. <div></div>	<div></div>

SWITCH REQUEST												
Amount <div></div>	OR Number of Units <div></div>	OR <input type="checkbox"/> All units (Please ✓)										
From Scheme	To Scheme											
<table><tr><td>Plan (✓)</td><td>Option (✓)</td></tr><tr><td><input type="checkbox"/> Regular <input type="checkbox"/> Direct</td><td><input type="checkbox"/> Growth <input type="checkbox"/> Dividend</td></tr></table>	Plan (✓)	Option (✓)	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	<input type="checkbox"/> Growth <input type="checkbox"/> Dividend	<table><tr><td>Plan (✓)</td><td>Option (✓)</td><td>Dividend Facility (✓)</td></tr><tr><td><input type="checkbox"/> Regular <input type="checkbox"/> Direct</td><td><input type="checkbox"/> Growth <input type="checkbox"/> Dividend</td><td><input type="checkbox"/> Reinvestment <input type="checkbox"/> Payout <input type="checkbox"/> Transfer</td></tr></table>	Plan (✓)	Option (✓)	Dividend Facility (✓)	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	<input type="checkbox"/> Growth <input type="checkbox"/> Dividend	<input type="checkbox"/> Reinvestment <input type="checkbox"/> Payout <input type="checkbox"/> Transfer	
Plan (✓)	Option (✓)											
<input type="checkbox"/> Regular <input type="checkbox"/> Direct	<input type="checkbox"/> Growth <input type="checkbox"/> Dividend											
Plan (✓)	Option (✓)	Dividend Facility (✓)										
<input type="checkbox"/> Regular <input type="checkbox"/> Direct	<input type="checkbox"/> Growth <input type="checkbox"/> Dividend	<input type="checkbox"/> Reinvestment <input type="checkbox"/> Payout <input type="checkbox"/> Transfer										
In case of Dividend Transfer facility, please mention target scheme along with plan/option. Scheme / Plan / Option												

REDEMPTION REQUEST			
Scheme			
Plan (✓)	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	Option (✓)	<input type="checkbox"/> Growth <input type="checkbox"/> Dividend
Amount <div></div>	OR Number of Units <div></div>	OR <input type="checkbox"/> All units (Please ✓)	

TRANSACTION SLIP - ACKNOWLEDGEMENT

Sponsor : State Bank of India,
Investment Manager : SBI Funds Management Pvt. Ltd.
(A Joint Venture between SBI & AMUNDI)

Folio No. <div></div>				To be filled in by the Investor	
(To be filled in by the First applicant/Authorized Signatory) :					
Received from					Stamp Signature & Date
Additional Purchase / Redemption	Scheme Name /Plan/Option/Dividend Facility		Amount	Units	
Systematic Investment Plan / Withdrawal Plan	Scheme Name /Plan/Option/Dividend Facility		Amount (Rs.)	Frequency	SIP/SWP Date
					<input type="checkbox"/> 1 st <input type="checkbox"/> 5 th <input type="checkbox"/> 10 th <input type="checkbox"/> 15 th <input type="checkbox"/> 20 th <input type="checkbox"/> 25 th <input type="checkbox"/> 30 th (For February, last business day)
Systematic Transfer Plan / Switch Over	Scheme Name /Plan/Option/Dividend Facility		Amount	Units	STP Commencement Date
	From	To			
<input type="checkbox"/> Change of Address (Please ✓)					

SYSTEMATIC INVESTMENT PLAN (SIP) REQUEST (Investors subscribing to SIP through ECS/Direct Debit/ NACH must fill up the Registration cum Mandate Form)

☐ SIP with Cheque

☐ SIP without Cheque

In case this application is for Micro SIP (Please tick (✓)) ☐ MICRO SIP

Scheme Name/Plan/Option/
Dividend Frequency

Payment Mechanism
(Please ✓ any one)

☐ Post Dated Cheques
(Please provide the details below)

☐ SIP ECS/ Direct Debit/ NACH
(Please complete SIP ECS/Direct Debit/NACH Registration cum Mandate Form)

Frequency (Please ✓ any one)

☐ Weekly SIP (1st, 8th, 15th and 22nd)☐ Monthly SIP (Default)☐ Quarterly SIP

SIP Date (for Monthly & Quarterly)
(Please ✓)

☐ 1st☐ 5th☐ 10th☐ 15th☐ 20th☐ 25th☐ 30th (For February, last business day)

SIP Tenure

From

D

D

M

M

Y

Y

Y

Y

☐ 3 years☐ 5 years☐ 10 years

OR

No of SIP Installments

To

D

D

M

M

Y

Y

Y

Y

☐ 15 years☐ Perpetual (Select any one)

Cheque(s) Details

No. of Cheques

SIP Installment Amount (in figures)

Cheque Nos

Cheques drawn on

Name of Bank & Branch

SWP / STP FACILITY REQUEST

Systematic Withdrawal Plan (SWP)

Scheme / Plan

SWP installment amount (Rs.)

Amount (in words)

Frequency
(Please ✓ any one)

☐ Monthly☐ Quarterly

SWP From

M

M

Y

Y

Y

Y

SWP To

M

M

Y

Y

Y

Y

SWP Date ☐ 1st☐ 5th☐ 10th☐ 15th☐ 20th☐ 25th☐ 30th (For February, last business day)

Systematic Transfer Plan (STP)

STP Facility Request (Please ✓ any one)

☐ Regular STP☐ CASTP☐ Flex STP

From (Scheme)

To (Scheme)

Scheme

Plan (✓)

☐ Regular☐ Direct

Option (✓)

☐ Growth☐ Dividend

Plan (✓)

☐ Regular☐ Direct

Option (✓)

☐ Growth☐ Dividend

Dividend Facility(✓)

☐ Reinvestment☐ Payout☐ Transfer

In case of Dividend Transfer facility, please mention target scheme along with plan/option.
Scheme / Plan / Option

STP Frequency & Enrolment
Period
(Please ✓ any one)

☐ Daily☐ Monthly☐ Weekly☐ Quarterly

STP Installment Amount (Rs.)

STP From

D

D

M

M

Y

Y

Y

Y

STP To

D

D

M

M

Y

Y

Y

Y

CHANGE OF ADDRESS FOR NON-KYC FOLIOS (Identity and Address proof mandatory)

Local Address of 1st Applicant

Landmark

City

State

Address for Correspondence for NRI Applicants only (Please (✓)) Indian by Default ☐ Foreign ☐

Foreign Address
(Mandatory for NRI / FII)

City

Country

DECLARATION I/We have read and understood the contents of all the scheme related documents and I/We hereby confirm and declare that (i) I/We have not received or been induced by any rebate or gifts, directly or indirectly, in making this investment; (ii) the amount invested/to be invested by me/us in the scheme(s) of SBI Mutual Fund ("the Fund") is derived through legitimate sources and is not held or designed for the purpose of contravention of any act, rules, regulations or any statute or legislation or any other applicable laws or any notifications, directions issued by any governmental or statutory authority from time to time; (iii) the monies invested by me in the schemes of the Fund do not attract the provisions of Foreign Contribution Regulations Act ("FCRA"); (iv) I/We am/are aware that a U.S. person (within the definition of the term 'US Person' under the US Securities laws) / resident of Canada are not eligible for investments with the Fund and I/We am/are not a U.S. person/resident of Canada; (v) the ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/her for the different competing schemes of various mutual funds from amongst which a scheme of the Fund is being recommended to me/us; (vi) * as per the Memorandum and Articles of Association of the Company, Bye laws, Trust Deed or Partnership Deed and resolutions passed by the Company / Firm / Trust, I/We am/are authorised to enter into the transactions for and on behalf of the Company/Firm/Trust; (vii) ** I/We am/are Non Resident of Indian Nationality/Origin and that funds for the subscriptions have been remitted from abroad through approved banking channels or from my/our Non Resident External/Ordinary account/FCNR Account; (viii) *** I/We do not hold a Permanent Account Number and hold only a single PAN Exempt KYC Reference No. (PEKRN) issued by KYC Registration Agency and also confirm that the aggregate of lump sum and SIP installments in a rolling 12 months period or financial year does not exceed Rs. 50,000/- (Rupees Fifty Thousand); (ix) all information provided in this application form together with its annexures is/are true and correct to the best of my/our knowledge and belief and I/We shall be liable in case any of the specified information is found to be false or untrue or misleading or misrepresenting; (x) that we authorize you to disclose, share, remit in any form, mode or manner, all / any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/ us to the Fund, its Sponsor, AMC, trustees, their employees/ RTAs or any Indian or foreign governmental or statutory or judicial authorities/agencies including but not limited to SEBI, the Financial Intelligence Unit-India, the tax/revenue authorities in India or outside India wherever it is legally required and other such regulatory/investigation agencies or such other third party, on a need to know basis, without any obligation of advising me/us of the same; (xi) I/We shall keep you forthwith informed in writing about any changes/modification to the information provided or any other additional information as may be required by you from time to time; (xii) Towards compliance with tax information sharing laws, such as FATCA: (a) the Fund may be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from investors. I/We ensure to advise you promptly should there be any change in any information provided; (b) In certain circumstances (including if the Fund does not receive a valid self-certification from me) the Fund may be obliged to share information on my account with relevant tax authorities; (c) I/We am aware that the Fund may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto; (d) as may be required by domestic or overseas regulators/ tax authorities, the Fund may also be constrained to withhold and pay out any sums from my/our account or close or suspend my account(s) and (e) I/We understand that I am / we are required to contact my tax advisor for any questions about my/our tax residency;

* Applicable to other than Individuals / HUF; ** Applicable to NRIs; *** Applicable to "Micro investments"

SIGNATURE(S)
Applicants must sign as per mode of holding

☒

1st Applicant/Guardian// Authorised Signatory

☒

2nd Applicant/Authorised Signatory

☒

3rd Applicant/ Authorised Signatory

Date

Place

-----TEAR HERE-----

All future communication in connection with this application should be addressed to the Registrars to the scheme or SBIMF Corporate Office.

Investment Manager :
SBI Funds Management Pvt. Ltd.
(A Joint Venture between SBI & AMUNDI)
9th Floor, Crescenzo, C-38 & 39, G Block,
Bandra Kurla Complex, Bandra (East), Mumbai – 400 051
Tel: 022- 61793537
Email: customer.delight@sbimf.com
Website : www.sbimf.com

Registrar:
Computer Age Management Services Pvt. Ltd.,
SEBI Registration No. : INR000002813
Rayala Towers, 158, Anna Salai,Chennai – 600 002
Tel: 044 – 30407236, Fax: 044 – 30407101
Email: enq_L@camsonline.com
Website: www.camsonline.com