

## Common Application Form - Lumpsum Cum SIP Application Form (Form 1) Application No.

Distributor Code	ARN- <b>102683</b>	Sub-Distributor Code	ARN-	Internal Code for Sub-broker/ Employee		EUIN No.	<b>E-024075</b>
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I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

First Holder

Second Holder

Third Holder

## TRANSACTION CHARGES (Please ✓ any one of the below) (Refer Instruction No. T)

☐ I am a first time investor in mutual funds (₹ 150 will be deducted)

OR

☐ I am an existing investor in mutual funds (₹ 100 will be deducted)

Applicable for transactions routed through a distributor who has 'opted in' for transaction charges.

Upfront commission shall be paid directly by the investor to the AMFI registered distributor based on the investors' assessment of various factors including service rendered by the distributor.

## 1. INVESTOR DETAILS (Please refer to the Instruction No. A, C, D, S)

Existing Folio Number           /  \*Date of Birth          

Existing Investor may not fill in Section 4, 5 &amp; 6.

\*Mandatory for Minor

FIRST HOLDER DETAILS (please ✓) <input type="checkbox"/> Individual <input type="checkbox"/> Non Individual (please refer instruction D for UBO)		PAN/PERN (mandatory)	PAN/PERN Proof enclosed	KYC Compliance
Name			<input type="text"/>	<input type="text"/>
You must fill in	Mobile No.	Email ID		
Status	<input type="checkbox"/> Individual (Indian National) <input type="checkbox"/> PIO <input type="checkbox"/> Minor (through Guardian) <input type="checkbox"/> HUF <input type="checkbox"/> FII / Sub-account <input type="checkbox"/> Sole-proprietor <input type="checkbox"/> Partnership Firm <input type="checkbox"/> LLP <input type="checkbox"/> Company (other than Bank/FI) <input type="checkbox"/> Bank <input type="checkbox"/> Financial Institution <input type="checkbox"/> Other Body Corporate <input type="checkbox"/> Government Body <input type="checkbox"/> Charitable / Religious / Non-profit organisation <input type="checkbox"/> Educational Institution <input type="checkbox"/> Mutual Fund <input type="checkbox"/> PF Trust <input type="checkbox"/> Gratuity Fund <input type="checkbox"/> NPS Trust <input type="checkbox"/> Pension / Retirement / Superannuation Fund <input type="checkbox"/> Private Trust <input type="checkbox"/> Co-op. Society <input type="checkbox"/> Society / AOP/ BOI <input type="checkbox"/> Other [Please specify]			

**Note for non-individual investor:** Please attach the mandatory Ultimate Beneficial Ownership (UBO) Declaration Form available on our website, along with the application form.

Residential / Tax Status	<input type="checkbox"/> Resident <input type="checkbox"/> Non-resident Repatriable (NRE) <input type="checkbox"/> Non-resident Non-repatriable (NRO)
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## DETAILS UNDER FATCA / FOREIGN TAX LAWS

Citizenship/ Nationality \_\_\_\_\_ Country of birth/ Incorporation/ Formation \_\_\_\_\_

Country of residence \_\_\_\_\_ Are you a resident in any country other than India for tax purposes. ☐ Yes ☐ No

If yes, please indicate all countries in which you are resident for tax purposes and the associated Foreign Tax Identification Number below.

Country of Tax Residency*	Tax Identification Number

\*To include USA where the investor is a US Citizen or Greencard holder. Please provide Social Securities Number if Tax ID number is not issued.

## For Non Individual Investor, Please tick the relevant box below, even if Country of Tax Residency is India #

- ☐ Form W8 BEN-E / Specified declaration (Form available on our website)  
☐ Unable to Provide [IDFC Mutual Fund will contact you in due course to confirm your FATCA Status]

\*Where no box is ticked, the second statement will be taken as the default implying that the applicant/investor currently is unable to confirm FATCA status and will confirm the same in future.

## ADDITIONAL KYC INFORMATION

Gross Annual Income (Rs.) [Please tick(✓)] ☐ Below 1 Lacs ☐ 1 Lacs - 5 Lacs ☐ 5 Lacs - 10 Lacs ☐ 10 Lacs - 25 Lacs ☐ 25 Lacs - 1 Crore  
OR ☐ 1 Crore - 5 Crore ☐ 5 Crore - 10 Crore ☐ above 10 Crore

Net-worth (Mandatory for Non-Individuals) Rs. \_\_\_\_\_ as on           (Not older than 1 year)

Occupation (please tick any one and give brief details): ☐ Private Sector Service ☐ Public Sector Service ☐ Government Service ☐ Business ☐ Professional  
☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Others \_\_\_\_\_ Please specify

In case of business / profession, indicate the details (including nature of goods/ services dealt in) \_\_\_\_\_

**Politically Exposed Person (PEP) Status** (Also applicable for authorised signatories/Promoters/Karta/Trustee /Whole time Directors)

☐ I am PEP ☐ I am a relative / associate of PEP ☐ None of these (for definition of PEP refer instruction X)

## Non-Individual Investors involved/ providing any of the mentioned services

☐ Foreign Exchange / Money Changer Services ☐ Gaming/Gambling/Lottery/Casino Services ☐ Money Lending / Pawning ☐ None of these

## IDFC MUTUAL FUND - ACKNOWLEDGMENT SLIP (To be filled in by the investor.)

Application No.

Received, subject to realisation, verification and conditions, an application for purchase of Units as mentioned in the application form.

From 

Instrument No.	Dated	Amount (Rs.)	Scheme

Stamp &amp; Signature

SECOND HOLDER DETAILS		PAN/PERN		KYC									
		(mandatory)		Compliance									
Name													
<b>DETAILS UNDER FATCA / FOREIGN TAX LAWS</b> Citizenship/ Nationality _____ Country of birth/ Incorporation/ Formation _____ Country of residence _____ Are you a resident in any country other than India for tax purposes. <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, please indicate all countries in which you are resident for tax purposes and the associated Foreign Tax Identification Number below.													
<b>Country of Tax Residency*</b>		<b>Tax Identification Number</b>											
<small>*To include USA where the investor is a US Citizen or Greencard holder. Please provide Social Securities Number if Tax ID number is not issued.</small>													
<b>ADDITIONAL KYC INFORMATION</b>													
<b>Gross Annual Income (Rs.)</b> [Please tick(✓)] <input type="checkbox"/> Below 1 Lacs <input type="checkbox"/> 1 Lacs - 5 Lacs <input type="checkbox"/> 5 Lacs - 10 Lacs <input type="checkbox"/> 10 Lacs - 25 Lacs <input type="checkbox"/> 25 Lacs - 1 Crore <b>OR</b> <input type="checkbox"/> 1 Crore - 5 Crore <input type="checkbox"/> 5 Crore - 10 Crore <input type="checkbox"/> above 10 Crore													
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D	D	M	M	Y	Y	Y	Y						
<b>Occupation</b> (please tick any one and give brief details): <input type="checkbox"/> Private Sector Service <input type="checkbox"/> Public Sector Service <input type="checkbox"/> Government Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others _____ <small>Please specify</small>													
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THIRD HOLDER DETAILS		PAN/PERN		KYC									
		(mandatory)		Compliance									
Name													
<b>DETAILS UNDER FATCA / FOREIGN TAX LAWS</b> Citizenship/ Nationality _____ Country of birth/ Incorporation/ Formation _____ Country of residence _____ Are you a resident in any country other than India for tax purposes. <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, please indicate all countries in which you are resident for tax purposes and the associated Foreign Tax Identification Number below.													
<b>Country of Tax Residency*</b>		<b>Tax Identification Number</b>											
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Guardian/POA/Proprietor		PAN/PERN		KYC									
		(mandatory)		Compliance									
Name													
<b>DETAILS UNDER FATCA / FOREIGN TAX LAWS</b> Citizenship/ Nationality _____ Country of birth/ Incorporation/ Formation _____ Country of residence _____ Are you a resident in any country other than India for tax purposes. <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, please indicate all countries in which you are resident for tax purposes and the associated Foreign Tax Identification Number below.													
<b>Country of Tax Residency*</b>		<b>Tax Identification Number</b>											
<small>*To include USA where the investor is a US Citizen or Greencard holder. Please provide Social Securities Number if Tax ID number is not issued.</small>													



[illegible]

I / We understand that the instructions to the bank for Direct Credit / NEFT / ECS will be given by the Mutual Fund, and such instructions will be adequate discharge of the Mutual Fund towards redemption / dividend / refund proceeds. In case the bank does not credit my /our bank account with / without assigning any reason thereof, or if the transaction is delayed or not effected at all or credited into the wrong account for reasons of incomplete or incorrect information, I / We would not hold IDFC Mutual Fund responsible. Further the Mutual Fund reserves the right to issue a demand draft / payable at par cheque in case it is not possible to make payment by DC/NEFT/ECS.

**If however the unit holders wish to receive a cheque** (instead of a direct credit into their bank account) please tick the box alongside ☐



**Note :** In case of more than one nominee, please submit a separate form available with any of our ISCs or on our website.

Signature of  
Nominee / Guardian (optional)

Signature of Witness

Signature of investor

All communications will be sent by default to the registered E-mail ID / Mobile No. In case you wish to receive physical communication please ☒ ☐

**I WISH TO APPLY FOR TRANSACT ONLINE** ☐ Yes ☐ No

**Note:** With this new way of transacting with us - without any requirement of a PIN, you can create your online username and password and can transact right-away by activating the link. Access your account 24x7 / purchase / redeem / switch / download account statements online at [www.idfcmf.com](http://www.idfcmf.com)

I/We have read and understood the terms and features of the scheme(s) and associated risk factors. Having read and understood the contents of the Statement of Additional Information (SAI) of IDFC Mutual Fund, Scheme Information Document (SID) and Key Information Memorandum (KIM) of the scheme(s) and the Addenda issued till date, I/we hereby apply for the units of the Scheme(s) and agree to abide by the terms, conditions, rules and regulations governing the Scheme(s). I/We hereby declare that the amount invested in the Scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the Taxation Laws, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws as applicable to me/us from time to time. I/We confirm that the funds invested in the Scheme(s), legally belong to me / us and I / we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/We am/are eligible investor(s) as per the scheme related documents and am/are authorised to make this investment as per the Constitutive documents/ authorisation(s). I/We further confirm that I am not / we are not prohibited from accessing capital markets under any order/ruling/judgment etc., of any judicial or regulatory authority.

In the event "Know Your Customer" process is not completed by me / us to the satisfaction of the Mutual Fund, I / we hereby authorise the Mutual Fund, to redeem the funds invested in the Scheme(s), in favour of the applicant, at the applicable NAV prevailing on the date of such redemption subject to applicable exit load and undertake such other action with such funds that may be required by the Law.

I/We hereby acknowledge and confirm that the information provided above is/are true, correct and complete to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/we shall be liable for it. I/We also undertake to keep you informed immediately in writing about any changes/modification to the above information in future and also undertake to provide any other additional information as may be required at your end. I/We hereby authorise you to disclose, share, remit in any form, mode or manner, all / any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/ us to the Mutual Fund, its Sponsor, Asset Management Company, Trustees, their employees, agents / service providers, other SEBI registered intermediaries or any Indian or foreign governmental or statutory or judicial authorities / agencies, the tax / revenue authorities and other investigation agencies without any obligation of advising me/us of the same.

The ARN holder has disclosed to me / us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us.

For micro-investments only : I/We confirm that I/we do not have any other existing investment in the schemes of IDFC Mutual Fund which together with this proposed investment will result in aggregate investments exceeding Rs.50,000/- in a year.

For NRIs / PIOs / FPIs only: I / We confirm that I am / we are Non Residents Indians / Person(s) of Indian Origin / Foreign Portfolio Investors but not United States persons within the meaning of Regulation (S) under the United States Securities Act of 1933, or as defined by the U.S. Commodity Futures Trading Commission, as amended from time to time or residents of Canada, and that I / we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident External / Non-Resident Ordinary / FCNR Account maintained in accordance with applicable RBI guidelines.

First / Sole Applicant / Guardian / Authorised Signatory	Second Applicant	Third Applicant	POA Holder

## ECS Autosave Form for Systematic Investment Plan (SIP) with TOP-UP (Form 2D)

Application No. \_\_\_\_\_

Distributor Code	ARN- <b>102683</b>	Sub-Distributor Code	ARN- _____	Internal Code for Sub-broker/ Employee	_____	EUIN No.	<b>E-024075</b>
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I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

First Holder

Second Holder

Third Holder

**A ECS Autosave Debit Mandate for SIP with TOP-UP****Authorization to pay SIP installments through Electronic Clearing Service (ECS) / Electronic Debit**

I/We hereby, authorise IDFC Mutual Fund or their authorised service provider for IDFC Asset Management Company Limited to debit my/our bank account by ECS (Debit Clearing) / Electronic Debit for the collection of SIP installments.

**UNIT HOLDER INFORMATION**

Existing Folio Number \_\_\_\_\_ / \_\_\_\_\_ Name of the First Holder \_\_\_\_\_

**SYSTEMATIC INVESTMENT PLAN DETAILS**

Name of the Scheme		Plan	Option
SIP	Monthly SIP Date (10, if no date is mentioned)	SIP Enrollment Period	
	<input type="checkbox"/> <b>Standard</b> (any date of the month) <input type="checkbox"/> <b>Default</b> (10th of every month)	<input type="checkbox"/> <b>Standard</b> From MMYYYY To MMYYYY <input type="checkbox"/> <b>Default</b> From MMYYYY To 122099	
SIP TOP-UP	SIP Installment Amount (Rs.)		
	<input type="checkbox"/> 5,000 <input type="checkbox"/> 10,000 <input type="checkbox"/> 25,000 <input type="checkbox"/> 50,000 <input type="checkbox"/> 1,00,000 <input type="checkbox"/> any other amount _____		
<input type="checkbox"/> <b>SIP Top-up (Optional)</b> (Refer J (vii)) (Please ✓ to avail this facility)		<b>Top-up Amount (Rs.)</b> _____ (The Top-up amount should be Rs. 500 and multiples of Rs. 500 thereafter) <b>SIP Top-up Frequency:</b> <input type="checkbox"/> Half-yearly <input type="checkbox"/> Yearly (Default Top-up option is Yearly)	
Registration for this facility is subject to the investor's bankers accepting the mandate for SIP Top-up registration.			

**BANK DETAILS** (Centralised Bank Account (CBS) Number is mandatory for ECS and Direct Debit. Enclose a blank cancelled cheque or copy thereof)

**Name of the Account Holder** \_\_\_\_\_  
**Name of the Bank** \_\_\_\_\_ **Branch** \_\_\_\_\_  
**Account Number** \_\_\_\_\_ **City** \_\_\_\_\_  
**Account Type** ☐ Current ☐ Savings ☐ NRO ☐ NRE ☐ FCNR ☐ Others \_\_\_\_\_ (please specify)  
**MICR Code** \_\_\_\_\_ (Please enter the 9 digit number that appears after the cheque number)

Please specifically mention the MICR code of you bank branch in case you have a payable at par cheque book. In case of incorrect/ incomplete bank details it will be captured from attached cheque copy on a best effort basis.

Having read and understood the contents of the Statement of Additional Information (SAI) of IDFC Mutual Fund, Scheme Information Document (SID) and Key Information Memorandum (KIM) of the scheme(s) and the Addenda issued till date, I/we hereby apply for registration of Systematic Investment Plan (SIP) as indicated above and agree to abide by the terms, conditions, rules and regulations governing the Scheme(s) and the SIP. I/We hereby declare that the amount invested in the Scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the Taxation Laws, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws as applicable to me/us from time to time. I/We confirm that the funds invested in the Scheme(s), legally belong to me/ us and I/ we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/We am/are eligible Investor(s) as per the scheme related documents and am/are authorised to make this investment as per the Constitutive documents/ authorisation(s). I/We further confirm that I am not/we are not prohibited from accessing capital markets under any order/ruling/judgment etc., of any judicial or regulatory authority.

In the event "Know Your Customer" process is not completed by me/ us to the satisfaction of the Mutual Fund, I/ we hereby authorise the Mutual Fund, to redeem the funds invested in the Scheme(s), in favour of the applicant, at the applicable NAV prevailing on the date of such redemption subject to applicable exit load and undertake such other action with such funds that may be required by the Law.

I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. I/We hereby declare that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the Mutual Fund or the bank responsible. I/We further undertake that any changes in my/our Bank details will be informed to the Mutual Fund immediately.

I/We hereby acknowledge and confirm that the information provided above is/are true, correct and complete to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/we shall be liable for it. I/We also undertake to keep you informed immediately in writing about any changes/modification to the above information in future and also undertake to provide any other additional information as may be required at your end. I/We hereby authorise you to disclose, share, remit in any form, mode or manner, all / any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/ us to the Mutual Fund, its Sponsor, Asset Management Company, Trustees, their employees, agents / service providers, other SEBI registered intermediaries or any Indian or foreign governmental or statutory or judicial authorities / agencies, the tax / revenue authorities and other investigation agencies without any obligation of advising me/us of the same.

The ARN holder has disclosed to me / us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us.

For micro-investments only : I/We confirm that I/we do not have any other existing investment in the schemes of IDFC Mutual Fund which together with this proposed investment will result in aggregate investments exceeding Rs.50,000/- in a year.

For NRIs / PIOs / FPIs only : I/We confirm that I am / we are Non Residents Indians / Person(s) of Indian Origin / Foreign Portfolio Investors but not United States persons within the meaning of Regulation (S) under the United States Securities Act of 1933, or as defined by the U.S. Commodity Futures Trading Commission, as amended from time to time or residents of Canada, and that I / we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident External / Non-Resident Ordinary / FCNR Account maintained in accordance with applicable RBI guidelines.

**SIGNATURE/S AS PER IDFC MUTUAL FUND (MANDATORY)**

Sole / 1st applicant/  
Guardian Authorised Signatory \_\_\_\_\_

2nd applicant/  
Authorised Signatory \_\_\_\_\_

3rd applicant/  
Authorised Signatory \_\_\_\_\_

**SIGNATURE/S AS PER BANK RECORDS (MANDATORY)**

Sole / 1st applicant/  
Guardian Authorised Signatory \_\_\_\_\_

2nd applicant/  
Authorised Signatory \_\_\_\_\_

3rd applicant/  
Authorised Signatory \_\_\_\_\_

**FOR OFFICE USE ONLY (Not to be filled in by Investor)**

Recorded on \_\_\_\_\_ Scheme Code \_\_\_\_\_

Recorded by \_\_\_\_\_ Credit Account Number \_\_\_\_\_

Bank use Mandate Ref. No. \_\_\_\_\_ Customer Ref. No. \_\_\_\_\_