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We declare that this assignment is solely our own work, and is in accordance with the University of Toronto Code of Behaviour on Academic Matters.

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This submission has been prepared using L<sup>A</sup>T<sub>E</sub>X.

1. Proof of Correctness for iterative algorithms.

- (a) Design an iterative closest pair algorithm for finding the closest pair of points in 2D.

*Precondition:* Input is a list of  $n$  points in the form  $(x_i, y_i)$ , where  $x_i, y_i \in \mathbb{R}$

*Postcondition:* Return a closest pair of points

```
def closestPair(points):
1.  n = len(points)
2.  distance = pow(points[0][0] - points[1][0], 2) + /
           pow(points[0][1] - points[1][1], 2)
3.  closest_pair = (points[0], points[1])
4.  i = 0
5.  while i < n:
6.      closest_pair = helper(points, i, distance, closest_pair)
7.      i += 1
8.  return closest_pair
def helper(points, i, distance, closest_pair):
1.  j = i + 1
2.  n = len(points)
3.  while i < j < n:
4.      temp = pow(points[i][0] - points[j][0], 2) + /
           pow(points[i][1] - points[j][1], 2)
5.      if temp < distance and i != j:
6.          distance = temp
7.          closest_pair = (points[i], points[j])
8.      j += 1
9.  return closest_pair
```

- (b) Find complexity class

- (c) Prove correctness:

- i. Define Loop Invariant
  - ii. Prove Partial Correctness
  - iii. Termination (use either theorem 2.5 in the notes or POW)
- Before Formal Proof:

Lemma: helper is correct

Prove Lemma:

1. Define Loop Invariant

$LI(k)$  : If this loop excuted at least k times,

then (1).  $j_k = i + 1 + k$

(2).  $distance_k = \min \bigcup_{j=i+1}^{k-1} \{dis(points[i], points[j])\}$

(3).  $closestpair_k = \text{the pair}(a,b) \text{ in } \bigcup_{j=i+1}^{k-1} \{(points[i], points[j])\}$

which makes the distance minimum  
(4).  $0 \leq j_k \leq n$

2. Prove Partial Correctness ( $pre$  and  $term \rightarrow post$ )

Assume Precondition and Termination

Assume this loop terminates after  $t$  times

By  $LI(t), j_t = i + 1 + t, 0 \leq j_t \leq n$

then it returns

then Postcondition

3. Prove Termination ( $pre \rightarrow term$ )

Assume Precondition

Let  $k \in \mathbb{N}$  be the times of loops

while  $j < n, j+ = 1$

Let  $m_k = n - j_k$

then  $m_{k+1} = n - j_{k+1}$   
 $= n - j_k - 1$   
 $= m_k - 1$   
 $< m_k$

then  $m_k$  is decreasing

then Termination

Formal Proof:

1. Define Loop Invariant

$LI(k)$  : If this loop excuted at least k times,

then (1).  $i = k$

(2).  $distance_k = \min \bigcup_{i=0}^{k-1} \bigcup_{j=i+1}^{n-1} \{dis(points[i], points[j])\}$

(3).  $closestpair_k =$  the pair(a,b) in  $\bigcup_{i=0}^{k-1} \bigcup_{j=i+1}^{n-1} \{(points[i], points[j])\}$   
which makes the distance minimum

(4).  $0 \leq i_k \leq n$

2. Prove Partial Correctness ( $pre$  and  $term \rightarrow post$ )

Assume Precondition and Termination

Assume this loop terminates after  $t$  times

By  $LI(t), i_t = t, 0 \leq i_t \leq n$

then it returns

then Postcondition

3. Prove Termination ( $pre \rightarrow term$ )

Assume Precondition

Let  $k \in \mathbb{N}$  be the times of loops

while  $i < n, i+ = 1$

Let  $m_k = n - i_k$

then  $m_{k+1} = n - i_{k+1}$

$$= n - i_k - 1$$

$$= m_k - 1$$

$$< m_k$$

then  $m_k$  is decreasing

then Termination

## 2. DFSAs and their operations

- (a) Define and draw DFSAs on binary alphabet  $\Sigma = \{0, 1\}$  for 2 languages:  $L_1(M_1) = \{\text{all strings with even number of characters in a string}\}$ ,  $L_2(M_2) = \{\text{all strings that have even number of 1s}\}$

$$L_1(M_1) = \{\text{all strings with even number of characters in a string}\}$$

$$W = (Q, \Sigma, \delta, q_0, F)$$

$$Q = \{q_0, q_1\}$$

$$\Sigma = \{0, 1\}$$

$$\delta(q_0, 0) = q_1, \delta(q_0, 1) = q_1$$

$$\delta(q_1, 0) = q_0, \delta(q_1, 1) = q_0$$

$$F = \{q_0\}$$

$$L_2(M_2) = \{\text{all strings that have even number of 1s}\}$$

$$W = (Q, \Sigma, \delta, q_0, F)$$

$$Q = \{q_0, q_1\}$$

$$\Sigma = \{0, 1\}$$

$$\delta(q_0, 0) = q_0, \delta(q_0, 1) = q_1$$

$$\delta(q_1, 0) = q_1, \delta(q_1, 1) = q_0$$

$$F = \{q_0\}$$

- (b) Identify DFSA  $M_3$  for the union of languages  $L_1 \cup L_2$  - you can define it formally (don't need to draw).

$$L_3(M_3) = L_1 \cup L_2 = \{\text{all strings with even number of characters or even number of 1s}\}$$

$$W = (Q, \Sigma, \delta, q_0, F)$$

$$Q = \{q_0, q_1, q_2, q_3\}$$

$$\Sigma = \{0, 1\}$$

$$\delta(q_0, 0) = q_3, \delta(q_0, 1) = q_1$$

$$\delta(q_1, 0) = q_2, \delta(q_1, 1) = q_0$$

$$\delta(q_2, 0) = q_1, \delta(q_2, 1) = q_3$$

$$\delta(q_3, 0) = q_0, \delta(q_3, 1) = q_2$$

$$F = \{q_0, q_2, q_3\}$$

- (c) Identify DFSA  $M_4$  for the intersection of languages  $L_1 \cap L_2$  - you can define it formally (don't need to draw).

$$L_4(M_4) = L_1 \cap L_2 = \{\text{all strings with even number of characters and even number of 1s}\}$$

$$W = (Q, \Sigma, \delta, q_0, F)$$

$$Q = \{q_0, q_1, q_2, q_3\}$$

$$\Sigma = \{0, 1\}$$

$$\delta(q_0, 0) = q_3, \delta(q_0, 1) = q_1$$

$$\delta(q_1, 0) = q_2, \delta(q_1, 1) = q_0$$

$$\delta(q_2, 0) = q_1, \delta(q_2, 1) = q_3$$

$$\delta(q_3, 0) = q_0, \delta(q_3, 1) = q_2$$

$$F = \{q_0\}$$

- (d) Find and prove a state invariant for  $M_3$ .  
 State Invariant:  $\forall w \in \Sigma^*$

$$\delta^*(q_0, w) = \begin{cases} q_0 & \text{iff } |w| \text{ is even and } w \text{ has even number of 1s} \\ q_1 & \text{iff } |w| \text{ is odd and } w \text{ has odd number of 1s} \\ q_2 & \text{iff } |w| \text{ is even and } w \text{ has odd number of 1s} \\ q_3 & \text{iff } |w| \text{ is odd and } w \text{ has even number of 1s} \end{cases}$$

Let us first prove the forward direction of the statement (i.e., the "if" statements). After that, we will show that the backward direction (i.e., the "only if" statements) can easily be derived based on the fact that the state invariants are exhaustive.

Proof:  $\forall w \in \Sigma^*$

$$P(w) : \delta^*(q_0, w) = \begin{cases} q_0 & \text{if } |w| \text{ is even and } w \text{ has even number of 1s} \\ q_1 & \text{if } |w| \text{ is odd and } w \text{ has odd number of 1s} \\ q_2 & \text{if } |w| \text{ is even and } w \text{ has odd number of 1s} \\ q_3 & \text{if } |w| \text{ is odd and } w \text{ has even number of 1s} \end{cases}$$

prove by structural induction:

Base Case:

when  $w = \varepsilon$ ,  $|w|$  is even and  $w$  has even number of 1s  
 then  $\delta^*(q_0, w) = q_0$   
 then  $P(w)$

I.S: Let  $w = xa$ , for  $x \in \Sigma^*$ ,  $a \in \Sigma$

I.H: Assume  $P(x)$

WTP:  $P(xa)$  holds  $\#$   $P(w)$  holds

Case 1:  $a = 0$

1.1:  $|x|$  is even and  $x$  has even number of 1s

$\delta^*(q_0, x) = q_0$   $\#$  By I.H.

$\delta^*(q_0, xa) = \delta(\delta^*(q_0, x), a)$   $\#$  By Extended Transition Function  
 $= \delta(q_0, 0)$   
 $= q_3$

then  $P(x0)$

1.2:  $|x|$  is odd and  $x$  has odd number of 1s

$\delta^*(q_0, x) = q_1$   $\#$  By I.H.

$$\begin{aligned}\delta^*(q_0, xa) &= \delta(\delta^*(q_0, x), a) \# \text{ By Extended Transition Function} \\ &= \delta(q_1, 0) \\ &= q_2\end{aligned}$$

then  $P(x0)$

1.3:  $|x|$  is even and  $x$  has odd number of 1s

$$\begin{aligned}\delta^*(q_0, x) &= q_2 \# \text{ By I.H.} \\ \delta^*(q_0, xa) &= \delta(\delta^*(q_0, x), a) \# \text{ By Extended Transition Function} \\ &= \delta(q_2, 0) \\ &= q_1\end{aligned}$$

then  $P(x0)$

1.4:  $|x|$  is odd and  $x$  has even number of 1s

$$\begin{aligned}\delta^*(q_0, x) &= q_3 \# \text{ By I.H.} \\ \delta^*(q_0, xa) &= \delta(\delta^*(q_0, x), a) \# \text{ By Extended Transition Function} \\ &= \delta(q_3, 0) \\ &= q_0\end{aligned}$$

then  $P(x0)$

Therefore  $P(x0)$

Case 2:  $a = 1$

2.1:  $|x|$  is even and  $x$  has even number of 1s

$$\begin{aligned}\delta^*(q_0, x) &= q_0 \# \text{ By I.H.} \\ \delta^*(q_0, xa) &= \delta(\delta^*(q_0, x), a) \# \text{ By Extended Transition Function} \\ &= \delta(q_0, 1) \\ &= q_1\end{aligned}$$

then  $P(x1)$

2.2:  $|x|$  is odd and  $x$  has odd number of 1s

$$\begin{aligned}\delta^*(q_0, x) &= q_1 \# \text{ By I.H.} \\ \delta^*(q_0, xa) &= \delta(\delta^*(q_0, x), a) \# \text{ By Extended Transition Function} \\ &= \delta(q_1, 1) \\ &= q_0\end{aligned}$$

then  $P(x1)$

2.3:  $|x|$  is even and  $x$  has odd number of 1s

$$\begin{aligned}\delta^*(q_0, x) &= q_2 \# \text{ By I.H.} \\ \delta^*(q_0, xa) &= \delta(\delta^*(q_0, x), a) \# \text{ By Extended Transition Function} \\ &= \delta(q_2, 1) \\ &= q_3\end{aligned}$$

then  $P(x1)$

2.4:  $|x|$  is odd and  $x$  has even number of 1s

$\delta^*(q_0, x) = q_3$  # By I.H.  
 $\delta^*(q_0, xa) = \delta(\delta^*(q_0, x), a)$  # By Extended Transition Function  
 $= \delta(q_3, 1)$   
 $= q_2$   
 then  $P(x1)$

Therefore  $P(x1)$

Therefore  $P(xa)$

Therefore  $\forall w \in \Sigma^*, P(w)$  holds

$$P(w) : \delta^*(q_0, w) = \begin{cases} q_0 & \text{if } |w| \text{ is even and } w \text{ has even number of 1s} \\ q_1 & \text{if } |w| \text{ is odd and } w \text{ has odd number of 1s} \\ q_2 & \text{if } |w| \text{ is even and } w \text{ has odd number of 1s} \\ q_3 & \text{if } |w| \text{ is odd and } w \text{ has even number of 1s} \end{cases}$$

Because state invariants are exhaustive, all conditions after  $q_0, q_1, q_2, q_3$  covered all situations in this question,

1. Prove  $\delta^*(q_0, w) = q_0 \rightarrow |w| \text{ is even and } w \text{ has even number of 1s}$   
 Prove by contrapositive:

Prove  $\neg(|w| \text{ is even and } w \text{ has even number of 1s}) \rightarrow \delta^*(q_0, w) \neq q_0$

Assume  $\neg(|w| \text{ is even and } w \text{ has even number of 1s})$

then  $|w|$  is odd or  $w$  has odd number of 1s

then  $\delta^*(q_0, w) = q_1$  or  $q_2$  or  $q_3 \neq q_0$  # By definition of  $\delta^*(q_0, w)$

Therefore  $\neg(|w| \text{ is even and } w \text{ has even number of 1s}) \rightarrow \delta^*(q_0, w) \neq q_0$

Therefore  $\delta^*(q_0, w) = q_0 \rightarrow |w| \text{ is even and } w \text{ has even number of 1s}$

2. Prove  $\delta^*(q_0, w) = q_1 \rightarrow |w| \text{ is odd and } w \text{ has odd number of 1s}$

Prove by contrapositive:

Prove  $\neg(|w| \text{ is odd and } w \text{ has odd number of 1s}) \rightarrow \delta^*(q_0, w) \neq q_1$

Assume  $\neg(|w| \text{ is odd and } w \text{ has odd number of 1s})$

then  $|w|$  is even or  $w$  has even number of 1s

then  $\delta^*(q_0, w) = q_0$  or  $q_2$  or  $q_3 \neq q_1$  # By definition of  $\delta^*(q_0, w)$

Therefore  $\neg(|w| \text{ is odd and } w \text{ has odd number of 1s}) \rightarrow \delta^*(q_0, w) \neq q_1$

Therefore  $\delta^*(q_0, w) = q_1 \rightarrow |w| \text{ is odd and } w \text{ has odd number of 1s}$

3. Prove  $\delta^*(q_0, w) = q_2 \rightarrow |w| \text{ is even and } w \text{ has odd number of 1s}$

Prove by contrapositive:

Prove  $\neg(|w| \text{ is even and } w \text{ has odd number of 1s}) \rightarrow \delta^*(q_0, w) \neq q_2$

Assume  $\neg(|w| \text{ is even and } w \text{ has odd number of 1s})$



then  $|w|$  is odd or  $w$  has even number of 1s  
then  $\delta^*(q_0, w) = q_0$  or  $q_1$  or  $q_3 \neq q_2$  # By definition of  $\delta^*(q_0, w)$   
Therefore  $\neg(|w| \text{ is even and } w \text{ has odd number of 1s}) \rightarrow \delta^*(q_0, w) \neq q_2$   
Therefore  $\delta^*(q_0, w) = q_2 \rightarrow |w| \text{ is even and } w \text{ has odd number of 1s}$

4. Prove  $\delta^*(q_0, w) = q_3 \rightarrow |w| \text{ is odd and } w \text{ has even number of 1s}$   
Prove by contrapositive:  
Prove  $\neg(|w| \text{ is odd and } w \text{ has even number of 1s}) \rightarrow \delta^*(q_0, w) \neq q_3$   
Assume  $\neg(|w| \text{ is odd and } w \text{ has even number of 1s})$   
then  $|w|$  is even or  $w$  has odd number of 1s  
then  $\delta^*(q_0, w) = q_0$  or  $q_1$  or  $q_2 \neq q_3$  # By definition of  $\delta^*(q_0, w)$   
Therefore  $\neg(|w| \text{ is odd and } w \text{ has even number of 1s}) \rightarrow \delta^*(q_0, w) \neq q_3$   
Therefore  $\delta^*(q_0, w) = q_3 \rightarrow |w| \text{ is odd and } w \text{ has even number of 1s}$

Therefore:  $\forall w \in \Sigma^*$

$$\delta^*(q_0, w) = \begin{cases} q_0 & \text{iff } |w| \text{ is even and } w \text{ has even number of 1s} \\ q_1 & \text{iff } |w| \text{ is odd and } w \text{ has odd number of 1s} \\ q_2 & \text{iff } |w| \text{ is even and } w \text{ has odd number of 1s} \\ q_3 & \text{iff } |w| \text{ is odd and } w \text{ has even number of 1s} \end{cases}$$

3. Equivalence of languages and regular expressions

Language  $L$  over alphabet  $\Sigma = \{a, b\}$  consists of all strings that start with  $a$  and have odd lengths or start with  $b$  and have even lengths:  $\{s \mid s \text{ starts with } a \text{ and has odd length, or starts with } b \text{ and has even length}\}$ .

(a) What is a regular expression  $R$  corresponding to language  $L$ ?

$$R = a(aa + ab + ba + bb)^* + b(aa + ab + ba + bb)^*(a + b)$$

(b) Prove that your regular expression  $R$  is indeed equivalent to  $L$

Before Formal Prove:

Lemma:  $\forall x \in \Sigma^*, |x| \text{ is even} \rightarrow x \in L(aa + ab + ba + bb)^*$

Prove Lemma:

$P(n) = \forall x \in \Sigma^*, |x| = n \text{ is even} \rightarrow x \in L(aa + ab + ba + bb)^*$

prove by complete induction:

Base Case:

when  $n = 0 = |x|, x \in L(aa + ab + ba + bb)^*$

then  $P(0)$

I.S:

I.H:  $\forall 0 \leq i \leq n, P(i)$

Case 1: when  $n = 0, P(0)$   $\sharp$  By Base Case

Case 2: when  $n = 1, P(1)$   $\sharp$  Vacuously true

Case 3: when  $n \geq 2$ , Let  $\forall x \in \Sigma^*, |x| = n$  is even,  $n - 2 \in i$

Let  $x = yaa$  or  $yab$  or  $yba$  or  $ybb$

then  $|y| = n - 2$

then  $|y|$  is even

then  $y \in L(aa + ab + ba + bb)^*$   $\sharp$  By I.H.

then  $\exists k \in \mathbb{N}, y \in L(aa + ab + ba + bb)^k$

Because  $aa, ab, ba, bb \in L(aa + ab + ba + bb)$

$$\begin{aligned} \text{then } y(aa + ab + ba + bb) &\in L(aa + ab + ba + bb)^k L(aa + ab + ba + bb) \\ &= L(aa + ab + ba + bb)^{k+1} \\ &\subseteq L(aa + ab + ba + bb)^* \end{aligned}$$

then  $x \in L(aa + ab + ba + bb)^*$

Therefore  $\forall x \in \Sigma^*, |x| \text{ is even} \rightarrow x \in L(aa + ab + ba + bb)^*$

Formal Prove: prove that your regular expression  $R$  is indeed equivalent to  $L$

Proof: prove  $L = L(R) \leftrightarrow$  prove  $L \subseteq L(R)$  and  $L(R) \subseteq L$

1. Prove  $L \subseteq L(R) \leftrightarrow$  Prove  $\forall w \in L, w \in L(R)$

Let  $w \in L$

Let  $\forall x \in \Sigma^*, |x| = \text{even}, w = ax$  or  $bx(a + b)$

then  $x \in L(aa + ab + ba + bb)^*$   $\sharp$  By Lemma

Case 1:  $\forall x \in \Sigma^*, w = ax$

WTP:  $ax \in L(a(aa + ab + ba + bb)^*)$

Because  $a \in L(a), x \in L(x) = L(aa + ab + ba + bb)^*$   $\sharp$  By Lemma

then  $ax \in L(a) \circ L(aa + ab + ba + bb)^*$

then  $ax \in L(a(aa + ab + ba + bb)^*)$

then  $w \in L(a(aa + ab + ba + bb)^*)$

then  $w \in L(R)$

Case 2:  $\forall x \in \Sigma^*, w = bx(a + b)$

WTP:  $bx(a + b) \in L(b(aa + ab + ba + bb)^*(a + b))$

Because  $b \in L(b), (a + b) \in L(a + b), x \in L(x) = L(aa + ab + ba + bb)^*$   $\sharp$  By Lemma

then  $bx(a + b) \in L(b) \circ L(aa + ab + ba + bb)^* \circ L(a + b)$

then  $bx(a + b) \in L(b(aa + ab + ba + bb)^*(a + b))$

then  $w \in L(b(aa + ab + ba + bb)^*(a + b))$

then  $w \in L(R)$

Therefore  $L \subseteq L(R)$

2. Prove  $L(R) \subseteq L \leftrightarrow$  Prove  $\forall w \in L(R), w \in L$

Let  $w \in L(R) = L(a(aa + ab + ba + bb)^*) \cup L(b(aa + ab + ba + bb)^*(a + b))$

Case 1:  $w \in L(a(aa + ab + ba + bb)^*)$

$= L(a) \circ L(aa + ab + ba + bb)^*$

WTP:  $w \in L$

Let  $w = ax, a \in L(a), x \in L(aa + ab + ba + bb)^*$

then  $\exists k \in \mathbb{N}, x \in L(aa + ab + ba + bb)^k$

then  $x \in L(aa + ab + ba + bb)^k$

$= (L(aa) \cup L(ab) \cup L(ba) \cup L(bb))^k$

$= \{aa, ab, ba, bb\}^k$

then  $|x| = 2k$

then  $|w| = |ax| = 2k + 1, |w|$  is odd

then  $w$  starts with a and has odd length

then  $w \in L$

Case 2:  $w \in L(b(aa + ab + ba + bb)^*(a + b))$

$= L(a) \circ L(aa + ab + ba + bb)^* \circ L(a + b)$

$= L(a) \circ L(aa + ab + ba + bb)^* \circ (L(a) \cup L(b))$

WTP:  $w \in L$

Let  $w = bx(a + b), a \in L(a), x \in L(aa + ab + ba + bb)^*, (a + b) \in L(a + b)$

then  $\exists k \in \mathbb{N}, x \in L(aa + ab + ba + bb)^k$

then  $x \in L(aa + ab + ba + bb)^k$

$= (L(aa) \cup L(ab) \cup L(ba) \cup L(bb))^k$

$= \{aa, ab, ba, bb\}^k$   
 then  $|x| = 2k$   
 then  $|x| = |bx(a+b)| = 2k+2$ ,  $|w|$  is even  
 then  $w$  starts with  $b$  and has even length  
 then  $w \in L$

Therefore  $L(R) \subseteq L$

Therefore  $L \subseteq L(R)$  and  $L(R) \subseteq L$   
 Therefore  $L = L(R)$