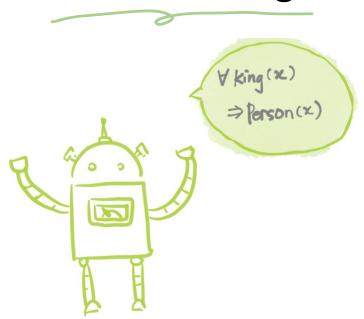
#### CS5491: Artificial Intelligence

#### First-order Logic



Instructor: Kai Wang

#### Recap: Propositional Logic: Syntax

- $\diamondsuit$  The proposition symbols  $P_1$ ,  $P_2$  etc are sentences.
- $\diamondsuit$  Negation: If P is a sentence,  $\neg P$  is a sentence.
- $\diamondsuit$  Conjunction: If  $P_1$  and  $P_2$  are sentences,  $P_1 \land P_2$  is a sentence.
- $\diamondsuit$  Disjunction: If  $P_1$  and  $P_2$  are sentences,  $P_1 \lor P_2$  is a sentence.
- $\diamondsuit$  Implication: If  $P_1$  and  $P_2$  are sentences,  $P_1 \Rightarrow P_2$  is a sentence.
- $\diamondsuit$  Biconditional: If  $P_1$  and  $P_2$  are sentences,  $P_1 \Leftrightarrow P_2$  is a sentence.

## Recap: Inference

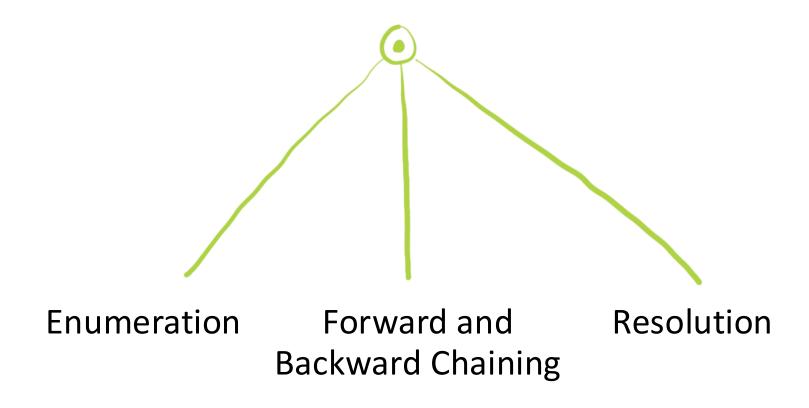


The goal of inference is to decide whether  $KB \models \alpha$ .  $KB \models_i \alpha$  specifically says  $\alpha$  can be derived from KB by procedure i.

Soundness: i is sound if whenever  $KB \models_i \alpha$ , it is also true that  $KB \models \alpha$ .

Completeness: i is complete if whenever  $KB \models \alpha$ , it is also true that  $KB \models_i \alpha$ 

#### Recap: Inference Methods



# Resolution

- $\diamondsuit$  To show  $KB \models \alpha$ , we show that  $KB \land \neg \alpha$  is not satisfiable.
- $\diamondsuit$  Conjunctive Normal Form: conjunction of disjunctions of literals  $\to$  E.g.,  $(A \lor \neg B) \land (B \lor \neg C \lor \neg D)$
- $\diamondsuit$  Apply resolution to  $KB \land \neg \alpha$  in CNF

$$\frac{\ell_1 \vee \dots \vee \ell_k, \quad m_1 \vee \dots \vee m_n}{\ell_1 \vee \dots \vee \ell_{i-1} \vee \ell_{i+1} \vee \dots \vee \ell_k \vee m_1 \vee \dots \vee m_{j-1} \vee m_{j+1} \vee \dots \vee m_n}$$

where  $l_i$  and  $m_i$  are complementary literals.

#### until

- → there are no new clauses to be added.
- $\rightarrow$  two clauses resolve to the empty class, which means  $KB = \alpha$ .

# Resolution

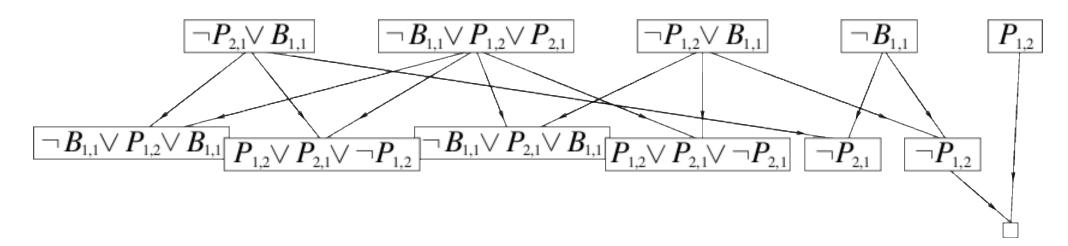
```
function PL-RESOLUTION(KB, \alpha) returns true or false
  inputs: KB, the knowledge base, a sentence in propositional logic
          \alpha, the query, a sentence in propositional logic
  clauses ← the set of clauses in the CNF representation of KB \land \neg \alpha
  new ← { }
  loop do
     for each C_i, C_i in clauses do
         resolvents ← PL-RESOLVE(C_i, C_j)
         if resolvents contains the empty clause then return true
         new ← new ∪ resolvents
     if new ⊆ clauses then return false
      clauses ← clauses ∪ new
```

#### Resolution in Wumpus

♦ We take a subset of the knowledge base, say

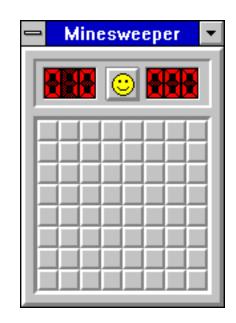
$$KB = R_2 \wedge R_4 = \left(B_{1,1} \Leftrightarrow \left(P_{1,2} \vee P_{2,1}\right)\right) \wedge \neg B_{1,1}$$

$$KB \wedge \neg \alpha = (\neg P_{2,1} \vee B_{1,1}) \wedge (\neg B_{1,1} \vee P_{1,2} \vee P_{2,1}) \wedge (\neg P_{1,2} \vee B_{1,1}) \wedge (\neg B_{1,1}) \wedge (P_{1,2})$$



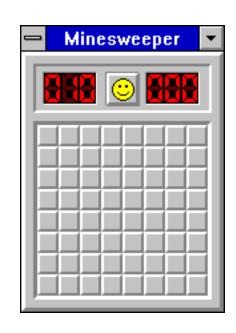
#### Problems with Propositional Logic

- Consider the game "minesweeper" on a 10x10 field with only one landmine.
- How do you express the knowledge, with propositional logic, that the squares adjacent to the landmine will display the number 1?
- ♦ Intuitively with a rule like landmine(x,y)⇒ number 1((neighbors(x,y))) but propositional logic cannot do this...



#### Problems with Propositional Logic

- ♦ Propositional logic has to say, e.g., for grid (3,4):
  - $\rightarrow$  Landmine(3,4)  $\Rightarrow$  number 1(2,3)
  - $\rightarrow$  Landmine(3,4)  $\Rightarrow$  number 1(2,4)
  - $\rightarrow$  Landmine(3,4)  $\Rightarrow$  number 1(2,5)
  - $\rightarrow$  Landmine(3,4)  $\Rightarrow$  number 1(3,3)
  - $\rightarrow$  Landmine(3,4)  $\Rightarrow$  number 1(3,5)
  - $\rightarrow$  Landmine(3,4)  $\Rightarrow$  number 1(4,3)
  - $\rightarrow$  Landmine(3,4)  $\Rightarrow$  number 1(4,4)
  - $\rightarrow$  Landmine(3,4)  $\Rightarrow$  number 1(4,5)
- ♦ Difficult to express large domains concisely.
- $\diamondsuit$  Do not have objects and relations.



# More Logics

Logic	Primitives	Available knowledge
propositional	facts	true/false/unknown
first-order	facts, objects, relations	true/false/unknown
temporal	facts, objects, relations, times	true/false/unknown
probabilistic theory	facts	degree of belief 0,,1
fuzzy logic	facts + degree of truth	known internal value









First-order logic syntax

Inference in firstorder logic









First-order logic syntax

Inference in firstorder logic

#### First-Order Logic: Syntax

- Constant symbols (i.e., the individuals in the world): Jerry, 2, Green
- Function symbols (mapping individuals to individuals): Sqrt(9),
  Distance(Madison, Chicago)
- Predicate symbols (mapping from individuals to truth values):
  Teacher(Jerry, you), Bigger(sqrt(2), x)

- ♦ Variable symbols: x, y
- $\diamondsuit$  Connectives:  $\land, \lor, \neg, \Rightarrow, \Leftrightarrow$

♦ Quantifiers: ∀,∃

#### First-Order Logic: Term



A term is an object in the world.

- ♦ Constant: Jerry, 2, Green
- ♦ Variables: x, y, a, b, c
- $\diamondsuit$  Function(term<sub>1</sub>, ..., term<sub>n</sub>)
  - → Sqrt(9), Distance(Madison, Chicago)
  - → Maps one or more objects to another object
  - Can refer to an unnamed object: LeftLeg(John)
  - → Represents a user defined functional relation

#### First-Order Logic: Atom



An atom is the smallest true/false expression

- Predicate(term<sub>1</sub>, ..., term<sub>n</sub>)
  - → Teacher(Jerry, you), Bigger(sqrt(2), x)
  - Convention: read "Jerry (is) Teacher (of) you"
  - Maps one or more objects to a truth value
  - Represents a user defined relation
- $\diamondsuit$  Term<sub>1</sub> = term<sub>n</sub>
  - → Radius(Earth)=6400km, 1=2
  - Represents the equality relation when two terms refer to the same

#### First-Order Logic: Sentence



A sentence is a true/false expression

- ♦ Atom
- $\diamondsuit$  Complex sentence using connectives:  $\land$ ,  $\lor$ ,  $\neg$ ,  $\Rightarrow$ ,  $\Leftrightarrow$ 
  - → Spouse(Jerry, Jing) ⇒ Spouse(Jing, Jerry)
  - $\rightarrow$  Less(11,22)  $\land$  Less(22,33)
- ♦ Complex sentence using quantifiers ∀,∃

#### First-Order Logic: Universal Quantifier

- $\diamondsuit$  A sentence is true for all values of x in the domain of variable x.
- $\diamondsuit$  Main connective typically is  $\Rightarrow$ 
  - → Forms "if-then" rules
  - "all humans are mammals"
    - $\forall$  x human(x)  $\Rightarrow$  mammal(x)
  - $\rightarrow$  Means if x is a human, then x is a mammal.

#### First-Order Logic: Universal Quantifier

```
\forall x human(x) \Rightarrow mammal(x)
```

- ♦ It is a big AND: equivalent to the conjunction of all the instantiations of variable x:
  - $(human(Jerry) \Rightarrow mammal(Jerry)) \land (human(Jing) \Rightarrow mammal(Jing)) \land ...$
- ♦ Common mistake is to use  $\land$  as the main connective  $\forall$  x human(x)  $\land$  mammal(x)
- ♦ This means that everything is human and a mammal! (human(Jerry) ∧ mammal(Jerry)) ∧ (human(Jing) ∧ mammal(Jing)) ∧ ...

#### First-Order Logic: Existential Quantifier

- $\diamondsuit$  A sentence is true for some value of x in the domain of variable x.
- ♦ Main connective typically is ∧
  - "some humans are male"
    - $\exists$  x human(x)  $\land$  male(x)
  - → Means there is an x who is a human and is a male

#### First-Order Logic: Existential Quantifier

```
\exists x human(x) \land male(x)
```

♦ It is a big OR: equivalent to the disjunction of all the instantiations of variable x:

```
(human(Jerry) ∧ male(Jerry)) ∨ (human(Jing) ∧ male(Jing)) ∨ ...
```

- $\diamondsuit$  Common mistake is to use  $\Rightarrow$  as the main connective
  - "some pig can fly"

$$\exists x \text{ pig}(x) \Rightarrow \text{fly}(x)$$

♦ This means that there is something not a pig!
(pig(Jerry) ⇒ fly(Jerry)) ∨ (pig(Jing) ⇒ fly(Jing)) ∨ ...

 $\diamondsuit$   $\forall x \forall y \text{ is the same as } \forall y \forall x$ 

 $\Rightarrow$   $\exists x \exists y \text{ is the same as } \exists y \exists x$ 

- **Example:** 
  - $\rightarrow$   $\forall$ x  $\forall$ y likes(x,y) meaning that "Everyone likes everyone".
  - $\rightarrow$   $\forall$ y  $\forall$ x likes(x,y) meaning that "Everyone is liked by everyone".

 $\diamondsuit$   $\forall x \exists y \text{ is the not the same as } \exists y \forall x$ 

 $\Rightarrow$   $\exists x \ \forall y \ is the same as <math>\ \forall y \ \exists x$ 

- **Example:** 
  - $\rightarrow$   $\forall$ x  $\exists$ y likes(x,y) meaning that "Everyone likes someone (can be different)".
  - $\rightarrow$   $\exists y \ \forall x \ likes(x,y) \ meaning that "There is someone who is liked by everyone".$

 $\diamondsuit$   $\forall x P(x)$  when negated becomes  $\exists x \neg P(x)$ 

 $\Rightarrow$   $\exists x P(x)$  when negated becomes  $\forall x \neg P(x)$ 

- **A** Example:
  - $\rightarrow$   $\forall$ x sleep(x) meaning that "Everyone sleeps".
  - $\rightarrow$   $\exists x \neg sleep(x)$  meaning that "There is someone who does not sleep".

 $\diamondsuit$   $\forall x P(x) is the same as <math>\neg \exists x \neg P(x)$ 

 $\Rightarrow$   $\exists x P(x) \text{ is the same as } \neg \forall x \neg P(x)$ 

- **A** Example:
  - $\rightarrow$   $\forall$ x sleep(x) meaning that "Everyone sleeps".
  - $\rightarrow$  ¬ $\exists$ x ¬sleep(x) meaning that "There does not exist someone being not asleep".

- King(Richard) V King(John)
- → Brother(LeftLeg(Richard), John)
- $\diamondsuit$   $\forall x \forall y Brother(x,y) \Rightarrow Sibling(x,y)$
- ♦ In(Paris, France) ∧ In(Marseilles, France)
- $\diamondsuit$   $\forall$ c Country(c)  $\land$  Border(c,Ecuador)  $\Rightarrow$  In(c, SouthAmerica)
- $\diamondsuit$   $\forall$ c Country(c)  $\land$  Border(c, Spain)  $\land$  Border(c, Italy)

Richard has only two brothers, John and Geoffrey.

Brother(John, Richard)  $\land$  Brother(Geoffrey, Richard)  $\land$  John  $\neq$  Geoffrey  $\land$   $\forall$ x Brother(x, Richard)  $\Rightarrow$  (x=John  $\lor$  x=Geoffrey)

No region in South America borders any region in Europe.

 $\forall c,d \ In(c, SouthAmerica) \land In(d, Europe) \Rightarrow \neg Border(c,d)$ 

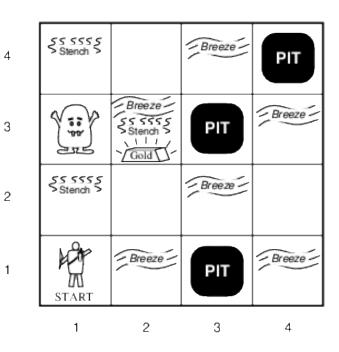


Clicker question: No two adjacent countries have the same map color.

- A.  $\exists x \exists y \text{ Country } (x) \land \text{ Country } (y) \land \text{ Border} (x,y) \land \neg (\text{Color}(x)=\text{Color}(y)) \land \neg (x=y)$
- B.  $\forall x \ \forall y \ Country \ (x) \ \land \ Country \ (y) \ \land \ Border(x,y) \Rightarrow \neg(Color(x)=Color(y))$
- C.  $\forall x \forall y \text{ Country } (x) \land \text{ Country } (y) \land \text{ Border}(x,y) \Rightarrow \neg(\text{Color}(x)=\text{Color}(y)) \land \neg(x=y)$
- D.  $\forall x \ \forall y \ Country \ (x) \ \land \ Country \ (y) \ \land \ (x \neq y) \ \land \ Border(x,y) \Rightarrow \neg(Color(x)=Color(y))$

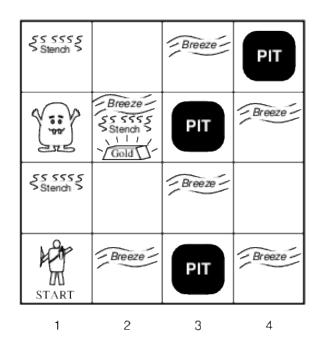
### Wumpus in First-Order Logic

- ♦ Can include the time domain
  - at time step 4: Percept([Stench, Breeze, Glitter], 4)
  - → at time step 6: Percept([None, Breeze, None], 6)
  - → Actions can be: Turn(Right), Turn(Left), Forward, Shoot



### Wumpus in First-Order Logic

- ♦ Can encode complex rules
  - $\rightarrow$   $\forall$ t, s, g, m, c Percept([s,b,Glitter,m,c],t)  $\Rightarrow$  Glitter(t)
  - $\rightarrow$   $\forall$ t Glitter(t)  $\Rightarrow$  BestAction(Grab, t)
  - $\rightarrow$   $\forall$ x, y, a, b Adjacent([x,y], [a,b])  $\Leftrightarrow$  (x=a  $\land$  (y=b-1  $\lor$  y=b+1))  $\lor$  (y=b  $\land$  (x=a-1  $\lor$  x=a+1))



3

2







First-order logic syntax

Inference in firstorder logic

#### Inference Rules

- ♦ Inference rules for the propositional logic:
  - → Modus ponens

$$\frac{A \Rightarrow B, A}{B}$$

→ Resolution

$$\frac{A \vee B, \neg B \vee C}{A \vee C}$$

Additional inference rules are needed for sentences with quantifiers and variables.

## Variable Substitutions

- ♦ Variable in sentences can be substituted with terms.
- ♦ Substitution is a mapping from variables to terms.
  - $\rightarrow \{x_1/t_1, x_2/t_2 \cdots\}$
- **Example:** 
  - $\rightarrow$  SUBST({x / Sam, y / Pam}, likes(x,y)) = like(Sam, Pam)
  - $\rightarrow$  SUBST({x / z, y / fatherof(John)}, likes(x,y)) = like(z, fatherof(John))

#### Inference Rules for Quantifiers

Universal elimination: substituting a variable with a constant

$$\frac{\forall x \; \phi(x)}{\phi(a)}$$

- $\diamondsuit$  Example:
  - → ∀x Likes(x, IceCream)

Likes(Ben, IceCream)

#### Inference Rules for Quantifiers

Existential elimination: substituting a variable with a constant that does not appear elsewhere in the KB, i.e., Skolem constant.

$$\frac{\exists x \; \phi(x)}{\phi(a)}$$

- **A** Example:
  - $\rightarrow$   $\exists x \text{ Kill}(x, \text{Victim})$

Kill(Murderer, Victim)

 $\rightarrow$   $\exists x \; Crown(x) \land OnHead(x, John)$ 

 $Crown(C_1) \wedge OnHead(C_1, John)$ 

# Propositionalization

- Suppose the KB contains just the following:
  - $\rightarrow$   $\forall x \, \text{King}(x) \land \text{Greedy}(x) \Rightarrow \text{Evil}(x)$
  - → King(John)
  - → Greedy(John)
  - → Brother(Richard, John)

- Instantiating the universal sentence in all possible ways:
  - $\rightarrow$  King(John)  $\land$  Greedy(John)  $\Rightarrow$  Evil(John)
  - → King(Richard) ∧ Greedy(Richard) ⇒ Evil(Richard)
  - → King(John)
  - → Greedy(John)
  - → Brother(Richard, John)

# Problems with Propositionalization

- Propositionalization generates lots of irrelevant sentences.
- $\diamondsuit$  With p k-ary predicates and n constants, there are  $pn^k$  instantiations.
- **Example:** 
  - $\rightarrow$   $\forall x \, \text{King}(x) \land \text{Greedy}(x) \Rightarrow \text{Evil}(x)$
  - King(John)
  - → ∀y Greedy(y)
  - → Brother(Richard, John)

# Unification



Unification takes two similar sentences and computes the substitution that makes them look the same, if it exists  $UNIFY(p,q) = \sigma \ s.t. \ SUBST(\sigma,p) = SUBST(\sigma,q)$ 

#### **Example:**

- → UNIFY(Knows(John, x), Knows(John, Jane)) = {x / Jane}
- UNIFY(Knows(John, x), Knows(y, Ann)) = {x / Ann, y / John}
- UNIFY(Knows(John, x), Knows(y, MotherOf(y))) = {x / MotherOf(John), y / John}
- UNIFY(Knows(John, x), Knows(x, Elizabeth)) = fail

### Generalized Modus Ponens

 $\diamondsuit$  If there exists a substitution  $\sigma$  such that  $SUBST(\sigma, A_i) = SUBST(\sigma, A_i')$  for all i=1,2,...,n, then

$$\frac{A_1 \wedge A_2 \wedge \cdots A_n \Rightarrow B, A'_1 \wedge A'_2 \wedge \cdots A'_n}{SUBST(\sigma, B)}$$

#### **Example:**

- $\rightarrow$   $\forall x \, \text{King}(x) \land \text{Greedy}(x) \Rightarrow \text{Evil}(x)$
- → King(John)
- → ∀y Greedy(y)
- → Brother(Richard, John)

$$A_1 = \text{King(x)}, A'_1 = \text{King(John)}$$
  
 $A_2 = \text{Greedy(x)}, A'_2 = \text{Greedy(y)}$   
 $\sigma = \{x \mid \text{John, y \mid John}\}, B = \text{Evil(x)}$   
 $SUBST(\sigma, B) = \text{Evil(John)}$ 

## Generalized Resolution Rule

 $\diamondsuit$  If the substitution is computed without failure, i.e.,  $\sigma = UNIFY(\phi_i, \neg \psi_i) \neq fail$ ,

$$\frac{\phi_1 \vee \phi_2 \vee \cdots \phi_k, \psi_1 \vee \psi_2 \vee \cdots \psi_n}{SUBST(\sigma, \phi_1 \vee \cdots \vee \phi_{i-1} \vee \phi_{i+1} \cdots \vee \phi_k, \vee \psi_1 \vee \cdots \vee \psi_{j-1} \vee \psi_{j+1} \cdots \psi_n)}$$

**Example:** 

$$\rightarrow \frac{P(x) \vee Q(x), \neg Q(John) \vee S(y)}{P(John) \vee S(y)}$$

## Inference with Resolution Rule

- Proof by contration
  - $\rightarrow$  Prove that KB,  $\neg \alpha$  is unsatisfiable.
- ♦ Main procedures:
  - $\rightarrow$  Convert KB,  $\neg \alpha$  to CNF with ground terms and universal variables only.
  - → Apply repeatedly the resolution rule while keeping track of the consistency of substitutions.
  - Stop when empty set (contradiction) is derived or no more new resolvents (conclusions) follow.

#### Conversion to CNF

Eliminate implications and logical equivalences

$$\rightarrow (p \Rightarrow q) \rightarrow (\neg p \lor q)$$

♦ Move negations inside

$$\rightarrow \neg (p \land q) \rightarrow (\neg p \lor \neg q) , \neg (p \lor q) \rightarrow (\neg p \land \neg q)$$

$$\rightarrow \neg \forall xp \rightarrow \exists x \neg p, \neg \exists xp \rightarrow \forall x \neg p$$

$$\rightarrow \neg \neg p \rightarrow p$$

♦ Standardize variables

### Conversion to CNF

- ♦ Move all quantifiers left
- Skolemization
  - $\rightarrow$   $\exists y \ P(A) \lor Q(y) \rightarrow P(A) \lor Q(B)$
  - $\rightarrow \forall x \exists y P(x) \land Q(y) \rightarrow \forall x P(x) \land Q(F(x))$
- Drop universal quantifiers
  - $\rightarrow \forall x P(x) \lor Q(F(x)) \rightarrow P(x) \lor Q(F(x))$
- Convert to CNF using the distribution laws.
  - $\rightarrow p \lor (q \land r) \rightarrow (p \lor q) \land (p \land r)$



- ♦ Suppose the KB contains just the following:
  - → John like all kinds of food.
  - → Apples are food.
  - Anything anyone eats and isn't killed by is food.
  - Bill eats peanuts and is still alive.
  - → Sue eats everything Bill eats.

Prove that "John likes peanuts".



Represent the KB with first-order logic sentences.

- John like all kinds of food.
- → Apples are food.
- Anything anyone eats and isn't killed by is food.
- Bill eats peanuts and is still alive.
- Sue eats everything Bill eats.

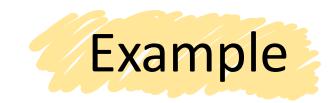
- $\rightarrow$   $\forall x (Food(x) \Rightarrow Like(John, x))$
- → Food(Apple)
  - $\forall x \forall y (Eat(x,y) \land \neg Killed by(x,y)) \Rightarrow Food(y)$
  - → Eat(Bill, penut) ∧ ¬Killed by(Bill,peanut)
  - $\forall x (Eat(Bill,x) \Rightarrow Eat(Sue,x))$



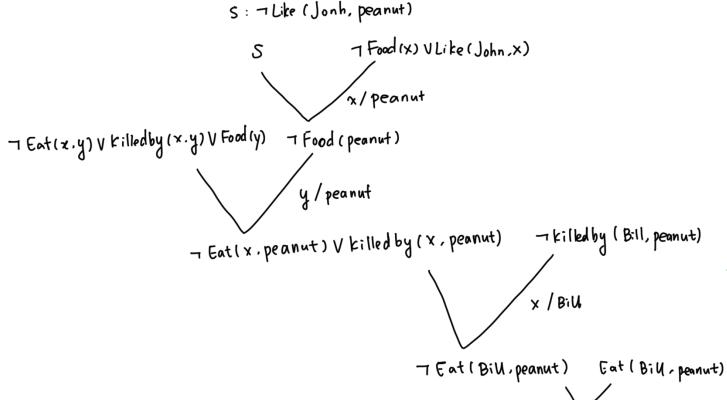
Convert the FOL sentences into the CNF form.

- $\forall x \text{ (Food(x)} \Rightarrow \text{Like(John, x))}$
- Food(Apple)
- $\forall x \ \forall y \ (\text{Eat}(x,y) \land \neg \text{Killed by}(x,y)) \Rightarrow \text{Food}(y)$
- Eat(Bill, penut)  $\land \neg$ Killed by(Bill,peanut)
- $\forall x (Eat(Bill,x) \Rightarrow Eat(Sue,x))$

- ¬Food(x) ∨ Like(John, x) Food(Apple)
- $\rightarrow$   $\neg$ Eat(x,y)  $\lor$  Killed by(x,y))  $\lor$  Food(y)
- → Eat(Bill, penut)
- ¬Killed by(Bill,peanut)
  - $\neg$ Eat(Bill,x)  $\lor$  Eat(Sue,x)



#### ♦ Resolution



- $\rightarrow$  ¬Food(x)  $\lor$  Like(John, x)
- → Food(Apple)
  - $\rightarrow$   $\neg$ Eat(x,y)  $\lor$  Killed by(x,y))  $\lor$  Food(y)
- Eat(Bill, penut)
  - ¬Killed by(Bill,peanut)
- → ¬Eat(Bill,x) ∨ Eat(Sue,x)





Understand the downsides of propositional logic.



Understand the syntax and semantic of first-order logic.



Learn to formulate the first-order logic for real-world problems.



Understand the generalized inference rules for first-order logic.



Know how to implement the forward / backward chaining and resolution.

# Important This Week



Do more exercises in Chapter 8 in the textbook.