Compilers Context Free Grammars

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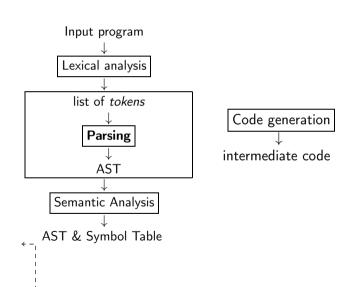
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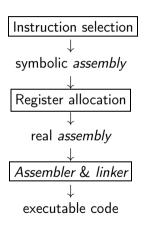
2022

Compilers - Important dates

- Check Point: April 5 and April 6
- ▶ Written Test 1 (25%): April 20
- ▶ Deadline for Project 1st part (12,5%): May 8
- Project presentations (1st part): May 10 and May 11
- ► Check Point: May 31 and June 1
- ► Project Deadline (30%): June 12
- ▶ Project presentations (7,5%): June 14 and June 15
- Written Test 2 (25%): June 21

Compiler steps





This lecture

Syntactic Analysis

Context free grammars

Examples

Parsing

Recursive descent parsing

LL Parsing

Syntactic analysis

- ▶ Check that a program syntax is correct with respect to a given grammar e.g.:
 - open and closed brackets { }, () match
 - operators +, *, etc. respect their arity;
 - instructions end correctly;)
- Note that a sentence may have a correct syntax and still does not make sense Example (Chomsky, 1957): "Colorless green ideas sleep furiosly"
- ► The (parser) builds an abstract syntax tree (AST) from a list of tokens (or outputs a syntax error)
- Main framewok: context free grammars

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Context free grammars

A context free grammar $G = (\Sigma, N, S, P)$ is defined by:

- ∑ set of *terminal* symbols;
- N set of non-terminal symbols;
- $S \in N$ initial symbol;
 - *P* set of de *production rules* $X \to \alpha$ where:
 - X is non-terminal;
 - lacktriangledown as a sequence (maybe empty) of terminal or non-terminal symbols

Example

Terminal symbols:

$$\Sigma = \{a, b\}$$

Non-terminal symbols:

$$N = \{S, B\}$$

Initial symbol:

S

Production rules:

$$S \to aSB$$

$${\it S} \rightarrow \varepsilon$$

$$S \rightarrow B$$

$$B \rightarrow Bb$$

$$B \rightarrow b$$

Derivations

A derivation relation \Rightarrow replaces a non-terminal symbol by the right-hand side of its corresponding rule.

Example:

$$S \rightarrow aSB$$
 (1)

$$S \to \varepsilon$$
 (2)

$$S \to B$$
 (3)

$$B \to Bb$$
 (4)

$$B \to b$$
 (5)

 $S \stackrel{1}{\Rightarrow} aSB \stackrel{1}{\Rightarrow} aaSBB \stackrel{2}{\Rightarrow} aaBB \stackrel{4}{\Rightarrow} aaBbB \stackrel{5}{\Rightarrow} aabbB \stackrel{5}{\Rightarrow} aabbb$

Language defined by a grammar

- ▶ Beginning with the initial symbol. . .
- expand the non-terminals using the corresponding production rules. . .
- when there only terminal symbols: we reach a word described by the grammar.

For the previous grammar *G*:

$$S \Rightarrow aSB \Rightarrow aaSBB \Rightarrow aaBbB \Rightarrow aabbB \Rightarrow aabbb$$

Thus: $aabb \in L(G)$.

Language defined by a grammar (cont.)

Thus, if
$$G = (\Sigma, N, S, P)$$
 then

$$L(G) = \{ w \in \Sigma^* : S \Rightarrow^* w \}$$

 $(\Rightarrow^*$ is the *transitive closure* of the derivation.)

Exercise

$$G: S \rightarrow aSB$$

$$S \rightarrow \varepsilon$$

$$S \rightarrow B$$

$$B \rightarrow Bb$$

$$B \rightarrow b$$

Describe the language produced by G.

- ▶ Where may we have occurrences of *a* and *b*?
- ▶ What is the relation between the *number* of *a*s and *b*s?

Syntax trees

Each production rule

$$X \to \alpha_1 \dots \alpha_n$$

corresponds to a node with n sub-trees:

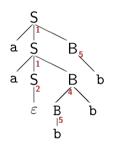


Syntax trees (cont.)

Example:

$$S \stackrel{1}{\Rightarrow} aSB \stackrel{1}{\Rightarrow} aaSBB \stackrel{2}{\Rightarrow} aaBB \stackrel{4}{\Rightarrow} aaBbB \stackrel{5}{\Rightarrow} aabbB \stackrel{5}{\Rightarrow} aabbb$$

corresponds to the tree:



$$G: S \rightarrow aSB \quad (1)$$

$$S \rightarrow \varepsilon \quad (2)$$

$$S \rightarrow B \quad (3)$$

$$B \rightarrow Bb \quad (4)$$

$$B \rightarrow b \quad (5)$$

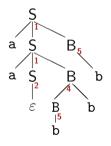
Syntax trees (cont.)

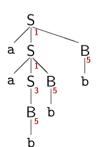
 $S \Rightarrow^* aabbb$ may have two different derivations:

$$S \stackrel{1}{\Rightarrow} aSB \stackrel{1}{\Rightarrow} aaSBB \stackrel{2}{\Rightarrow} aaBB \stackrel{4}{\Rightarrow} aaBbB \stackrel{5}{\Rightarrow} aabbB \stackrel{5}{\Rightarrow} aabbb$$
 (6)

$$S \stackrel{1}{\Rightarrow} aSB \stackrel{1}{\Rightarrow} aaSBB \stackrel{3}{\Rightarrow} aaBBB \stackrel{5}{\Rightarrow} aabBB \stackrel{5}{\Rightarrow} aabbB \stackrel{5}{\Rightarrow} aabbb$$
 (7)

(6) corresponds to the tree on the left-hand side; (7) corresponds to the tree on the right-hand side.





$$G: S \rightarrow aSB$$
 (1)
 $S \rightarrow \varepsilon$ (2)
 $S \rightarrow B$ (3)
 $B \rightarrow Bb$ (4)
 $B \rightarrow b$ (5)

Ambiguous grammars

A grammar is ambiguous if it produces words with different syntax trees.

G is ambiguous

$$S \stackrel{1}{\Rightarrow} aSB \stackrel{1}{\Rightarrow} aaSBB \stackrel{2}{\Rightarrow} aaBB \stackrel{4}{\Rightarrow} aaBbB \stackrel{5}{\Rightarrow} aabbB \stackrel{5}{\Rightarrow} aabbb$$

 $S \stackrel{1}{\Rightarrow} aSB \stackrel{1}{\Rightarrow} aaSBB \stackrel{3}{\Rightarrow} aaBBB \stackrel{5}{\Rightarrow} aabbB \stackrel{5}{\Rightarrow} aabbB \stackrel{5}{\Rightarrow} aabbb$

because the two previous derivations correspond to two different syntax trees.



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A grammar is ambiguous if it produces words with different syntax trees.

G is ambiguous

$$S \stackrel{1}{\Rightarrow} aSB \stackrel{1}{\Rightarrow} aaSBB \stackrel{2}{\Rightarrow} aaBB \stackrel{4}{\Rightarrow} aaBbB \stackrel{5}{\Rightarrow} aabbB \stackrel{5}{\Rightarrow} aabbb$$
 $S \stackrel{1}{\Rightarrow} aSB \stackrel{1}{\Rightarrow} aaSBB \stackrel{3}{\Rightarrow} aaBBB \stackrel{5}{\Rightarrow} aabbB \stackrel{5}{\Rightarrow} aabbB \stackrel{5}{\Rightarrow} aabbb$

because the two previous derivations correspond to two different syntax trees.

Note:

- different derivations may correspond to the same syntax tree
- ▶ an ambiguous grammar must produce different syntax tree and not only different derivations



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Arithmetic expressions

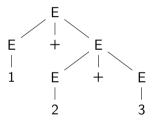
```
Non-terminals: E
Terminals (tokens): num + * ( )
Production rules:
                                                           E \rightarrow E + E
                                                           F \rightarrow F*F
                                                           E \rightarrow \text{num}
                                                           E \rightarrow (E)
Or...:
                                  E \rightarrow E + E \mid E * E \mid \text{num} \mid (E)
```

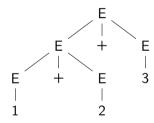
Arithmetic expressions (cont.)

► This grammar is *ambiguous*

Arithmetic expressions (cont.)

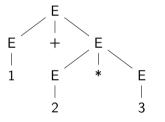
1+2+3:

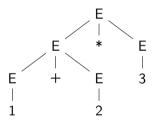




Arithmetic expressions (cont.)

1+2*3:





How to eliminate ambiguity

For the previous example we must define:

associativity properties

left: 1+2+3 means
$$(1+2)+3$$
 right: 1+2+3 means $1+(2+3)$

▶ a priority between + and * e.g. 1+2*3 means $1 + (2 \times 3)$ or $(1 + 2) \times 3$

How to eliminate ambiguity (cont.)

$$E \rightarrow E + T$$
 $T \rightarrow T * F$ $F \rightarrow \text{num}$ $E \rightarrow T$ $T \rightarrow F$ $F \rightarrow (E)$

► In this grammar:

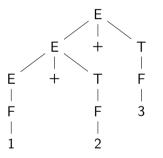
```
expressions E are sums of terms;
terms T are products of factors;
factors F are constants or expressions between brackets.
```

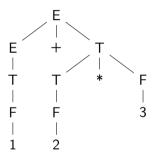
▶ Productions of E and T with left recursion mean left associativity of + and *



How to eliminate ambiguity (cont.)

Now 1+2+3 and 1+2*3 have unique syntaxt trees:





Example: sequences of statements

```
Non-terminals: S (statements) E (expressions)

Terminals (tokens): ident num = ( ) + , ; ++

Production rules: S \rightarrow S ; S \qquad E \rightarrow \text{ident}
S \rightarrow \text{ident} = E \qquad E \rightarrow \text{num}
S \rightarrow \text{ident} ++ \qquad E \rightarrow E + E
```

Example:

```
a = 17; b = 2

a = 0; (a++; b=a+5)
```



Example: sequences of statements (cont.)

Exercises:

- 1. Show that the previous grammar is ambiguous.
- 2. Rewrite the grammar to eliminate ambiguity. (Note: the problem is not only with expressions!)

"Dangling else"

if/then with optional *else*:

$$S \rightarrow \text{if } E \text{ then } S \text{ else } S$$

 $S \rightarrow \text{if } E \text{ then } S$
 $S \rightarrow etc.$

Then

if e_1 then if e_2 then s_1 else s_2

may have the two meanings:

if
$$e_1$$
 then {if e_2 then s_1 else s_2 } (8)

if
$$e_1$$
 then {if e_2 then s_1 } else s_2 (9)

Usually programming languages use (8): associate the else to the nearest if.

"Dangling else" (cont.)

Two new non-terminals: *M* (*matched statements*) and *U* (*unmatched statements*).

$$S o M$$

 $S o U$
 $M o$ if E then M else M
 $M o$ etc.
 $U o$ if E then S
 $U o$ if E then M else U

In practice: it may be better to solve these ambiguities in the parser implementation...

Left Factoring

$$A \to \alpha\beta \mid \alpha\gamma$$

Example: dangling else

An LL(1) parser cannot distinguish between the production choices.

Solution: rewrite the rule as:

$$A \rightarrow \alpha A'$$

$$A' \rightarrow \beta \mid \gamma$$

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Parsing

- Build an AST from a list of tokens (or reject the program with a syntax error output)
- Parsing:

top-down begin by the root (non-terminal initial symbol S) and find the leftmost derivation.

bottom-up begin by the tokens and find the reversed rightmost derivation.

- ► *Top-down* parsing:
 - recursive descent parsing
 - predictive parsing (LL(1))

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Implemented directly in a programming language:

- ► Each non-terminal symbol corresponds to a function (or method)
- ► Each production correspond to a different case (if the production is recursive, so it is the function)
- ► Consume tokens from left to right
- Decide which production to use using the next token

Example

```
Programming Language:
begin
if 1=1 then
    begin
    print 0=11 ; print 123=4
    end
 else
    print 11=42
end
```

Example (cont.)

Grammar:

$$S o ext{if } E ext{ then } S ext{ else } S$$
 $L o ext{end}$ $S o ext{begin } S ext{ } L o ext{; } S ext{ } L ext{ } E o ext{num} = ext{num}$

Implementation in C/Java

parsing consumes tokens from the standard input

```
Token getToken(void); // read next token from the standard input
```

► Keep *look-ahead* token in a global variable:

- parsing algorithm decides what to do using the token and the look-ahead
- consume(...) consumes a specific token

Implementation in C/Java (cont.)

```
void parse_S(void) {
  switch(next) {
  case IF:
    advance(); parse_E(); consume(THEN); parse_S();
    consume(ELSE); parse_S();
    break:
  case BEGIN:
    advance(); parse_S(); parse_L();
    break;
  case PRINT:
    advance(); parse_E();
    break:
 default:
   error("syntax error");
```

Implementation in C/Java (cont.)

```
void parse_E(void) {
  consume(NUM); consume(EQUAL); consume(NUM);
void parse_L(void) {
  switch(next) {
  case END:
    advance();
    break;
  case SEMI:
    advance(); parse_S(); parse_L();
    break:
  default:
    error("syntax error");
```

Stop

- ► The program terminates without redundant *tokens*
- ► The parser must know when to finish
- ► Add a special *token* \$ meaning the end of file
- ▶ Add a new production rule $S' \rightarrow S$ \$
- \triangleright S' is now the new initial symbol

```
void accepted(void) {
   parse_S();
   consume(EOF);
}
```

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LL Parsing

- Parsing by recursive descent chooses the production rule based on the next terminal symbol
- ▶ We may need to rewrite the grammar to know what is the next terminal symbol

Example

Problems:

- ► How do we choose which rule to use from *E* and *T*?
- ▶ How to avoid a cycle caused by left recursion in *E* and *T* ?

Left Recursion Removal

Consider the following grammar:

$$E \rightarrow E + T$$

 $E \rightarrow T$

E produces sums of terms, i.e. $E \Rightarrow^* T + T + \cdots + T$.

Let us define an equivalent grammar adding a new non-terminal symbol E':

$$E \rightarrow T E'$$

 $E' \rightarrow + T E'$
 $E' \rightarrow \varepsilon$

This grammar is right recursive.

Left Recursion Removal (cont.)

$$E \rightarrow T E'$$

 $E' \rightarrow + T E'$
 $E' \rightarrow \varepsilon$

- ▶ Rules in E' have recursion on the right and not on the left
- ▶ We now may decide which rule to use based on the next symbol:
- + use $E' \rightarrow + T E'$ otherwise use $E' \rightarrow \varepsilon$

Left Recursion Removal (cont.)

Applying the same transformation to the initial grammar we get:

$$\begin{array}{lll} E \rightarrow T \ E' & T \rightarrow F \ T' \\ E' \rightarrow + \ T \ E' & T' \rightarrow * \ F \ T' \\ E' \rightarrow - \ T \ E' & T' \rightarrow / \ F \ T' \\ E' \rightarrow \varepsilon & T' \rightarrow \varepsilon \end{array} \qquad \begin{array}{ll} F \rightarrow \text{num} \\ F \rightarrow (E) \end{array}$$

We now may define a recursive descent parser.

Exercise: implement a recursive descent *parser* for this grammar.

Grammars LL(1)

- ► These grammars belong to a class called *LL*(1): *Left-to-right parse*, *Leftmost derivation*, *1-symbol look-ahead*
- LL(1) contains all the grammars that may be implemented using recursive descent
- LL(k) means: Left-to-right parse, Leftmost derivation, k-symbols look-ahead
- ▶ Let us implement a parser for LL(1) grammars without recursion but using an auxiliary explicit stack.

FIRST

Let $G = (\Sigma, N, S, P)$ be a grammar and X a non-terminal symbol.

When the next token is x, we may use rule $X \to \gamma$ if

$$x \in \mathsf{FIRST}(\gamma)$$

meaning that x is an *initial symbol* in derivations starting at γ .

To choose between two rule $X \to \gamma$ and $X \to \gamma'$ using only the next symbol we must guarantee that they do not share initial symbols:

$$\mathsf{FIRST}(\gamma) \cap \mathsf{FIRST}(\gamma') = \emptyset$$

FIRST (cont.)

Definition

$$\mathsf{FIRST}(\gamma) = \{ x \in \Sigma : \gamma \Rightarrow^* x\beta, \text{ for some } \beta \}$$

This means that $FIRST(\gamma)$ is the set of tokens at the beginning of words derived by γ .

- lacktriangle This definition is not useful to compute FIRST(γ) for each rule $X o \gamma$
- Let us see how to compute the set FIRST

FIRST (cont.)

$$E \rightarrow E + T$$
 $T \rightarrow T * F$ $F \rightarrow \text{num}$ $E \rightarrow T$ $T \rightarrow F$ $F \rightarrow (E)$

Start computing FIRST directly for non-recursive rules e.g.

$$FIRST(F) = \{num, (\}$$

▶ Recursive rules must respect some equations; e.g. for *T*:

$$\mathsf{FIRST}(T) = \mathsf{FIRST}(T * F) \cup \mathsf{FIRST}(F)$$
$$\iff \mathsf{FIRST}(T) = \mathsf{FIRST}(T) \cup \mathsf{FIRST}(F)$$

► The least solution for the previous equation is:

$$\mathsf{FIRST}(T) = \mathsf{FIRST}(F) = \{\mathsf{num}, (\}$$

Let us see how to get the solution using an iterative method

FIRST (cont.)

lacktriangle We will also need a predicate NULLABLE(γ) to decide if a sequence may generate the empty word

Equations for FIRST and NULLABLE

▶ In the previous example we may define the simplification

$$FIRST(T * F) = FIRST(T)$$

because it is not possible to derive the empty word ε from T

lacktriangle In general: to compute FIRST we need to know which non-terminals may derive arepsilon

$$\mathsf{NULLABLE}(X) = \left\{ \begin{array}{ll} \mathsf{True} & \text{, if } X \Rightarrow^* \varepsilon \\ \mathsf{False} & \text{, otherwise} \end{array} \right.$$

Let us define this predicate by a set of equations

Equations for FIRST and NULLABLE (cont.)

```
FIRST(\varepsilon) = \{\}
          FIRST(a) = \{a\} \quad (a \in \Sigma)
       \mathsf{FIRST}(\alpha\beta) = \begin{cases} \mathsf{FIRST}(\alpha) \cup \mathsf{FIRST}(\beta), & \mathsf{if NULLABLE}(\alpha) \\ \mathsf{FIRST}(\alpha), & \mathsf{otherwise} \end{cases}
         FIRST(X) = FIRST(\gamma_1) \cup ... \cup FIRST(\gamma_n).
                        where X \to \gamma_i are all the rules for X
  NULLABLE(\varepsilon) = True
  NULLABLE(a) = False \quad (a \in \Sigma)
NULLABLE(\alpha\beta) = NULLABLE(\alpha) \land NULLABLE(\beta)
 NULLABLE(X) = NULLABLE(\gamma_1) \lor ... \lor NULLABLE(\gamma_n)
                        where X \to \gamma_i are all the rules for X
```

Algorithm for computing FIRST and NULLABLE

Iterative algorithm:

- 1. Inicially NULLABLE(X) := False and FIRST(X) := \emptyset for every non-terminal symbol
- 2. Compute new elements for the right-hand side of productions using the previous equations
- 3. Repeat this util the sets do not change (reach a fixpoint)

Algorithm for computing FIRST and NULLABLE

Iterative algorithm:

- 1. Inicially NULLABLE(X) := False and FIRST(X) := \emptyset for every non-terminal symbol
- 2. Compute new elements for the right-hand side of productions using the previous equations
- 3. Repeat this util the sets do not change (reach a fixpoint)
- We may compute NULLABLE and then FIRST
- ► The algorithm terminates because the previous equations define a *monotonic* function in a finite complete partial order (remember partial orders from Discrete Mathematics...)

Example: arithmetic expressions

$$E \rightarrow E + T$$
 $T \rightarrow T * F$ $F \rightarrow \text{num}$ $E \rightarrow T$ $T \rightarrow F$ $F \rightarrow (E)$

$$\begin{aligned} & \mathsf{NULLABLE}(E) = (\mathsf{NULLABLE}(E) \land \mathsf{NULLABLE}(+) \land \mathsf{NULLABLE}(T)) \lor \mathsf{NULLABLE}(T) \\ & \mathsf{NULLABLE}(T) = (\mathsf{NULLABLE}(T) \land \mathsf{NULLABLE}(*) \land \mathsf{NULLABLE}(F)) \lor \mathsf{NULLABLE}(F) \\ & \mathsf{NULLABLE}(F) = \mathsf{NULLABLE}(\mathsf{num}) \lor \mathsf{NULLABLE}((E)) = \mathsf{False} \end{aligned}$$

	iterations		
non-terminals	0	1	
NULLABLE(E)	False	False	
NULLABLE(T)	False	False	
NULLABLE(F)	False	False	

Reaches a fixpoint in iteration 1.



Example: arithmetic expressions (cont.)

$$E \rightarrow E + T \qquad T \rightarrow T * F \qquad F \rightarrow \text{num}$$

$$E \rightarrow T \qquad T \rightarrow F \qquad F \rightarrow (E)$$

$$\mathsf{FIRST}(E) = \mathsf{FIRST}(E+T) \cup \mathsf{FIRST}(T) = \mathsf{FIRST}(E) \cup \mathsf{FIRST}(T)$$

$$\mathsf{FIRST}(T) = \mathsf{FIRST}(T * F) \cup \mathsf{FIRST}(F) = \mathsf{FIRST}(T) \cup \mathsf{FIRST}(F)$$

$$\mathsf{FIRST}(F) = \mathsf{FIRST}(\text{num}) \cup \mathsf{FIRST}((E)) = \{\text{num}, (\}\}$$

	ite	rations			
non-terminals	0	1	2	3	4
FIRST(E)	Ø	Ø	Ø	$\{num,(\}$	{num, (}
FIRST(T)	\emptyset	Ø	$\{num, (\}$	$\{num, (\}$	$\{num, (\}$
FIRST(F)	Ø	$\{num, (\}$	$\{num, (\}$	$\{num, (\}$	$\{num, (\}$

Reaches a fixpoint in iteration 4.

Example: arithmetic expressions (cont.)

Solutions:

$$\begin{aligned} &\mathsf{FIRST}(E) = \{\mathsf{num}, (\}\\ &\mathsf{FIRST}(T) = \{\mathsf{num}, (\}\\ &\mathsf{FIRST}(F) = \{\mathsf{num}, (\}\\ \end{aligned}$$

Thus this grammar is not LL(1) because the FIRST sets of the right-hand side of rules

$$E \rightarrow E + T$$

 $E \rightarrow T$

are not disjoint — in fact they are both equal to $\{num, (\}$.

Exercise

Write the equations and compute NULLABLE and FIRST for the following grammar:

$$\begin{array}{ccc} S & \rightarrow AB \\ A & \rightarrow aAb & \mid \varepsilon \\ B & \rightarrow bB & \mid \varepsilon \end{array}$$

Exercise

Write the equations and compute NULLABLE and FIRST for the following grammar:

$$\begin{array}{ccc} S & \rightarrow AB \\ A & \rightarrow aAb \mid \varepsilon \\ B & \rightarrow bB \mid \varepsilon \end{array}$$

Solutions:

$$\mathsf{NULLABLE}(S) = \mathsf{NULLABLE}(A) = \mathsf{NULLABLE}(B) = \mathit{True}$$

$$\mathsf{FIRST}(S) = \{a, b\}$$

$$\mathsf{FIRST}(A) = \{a\}$$

$$\mathsf{FIRST}(B) = \{b\}$$

FOLLOW

- ▶ The set FIRST is not enough to characterize LL(1) grammars
- For rules $X \to \gamma$ where NULLABLE(γ) we need to know the tokes which may occur after X (FIRST(γ) is not enough to know this)
- \triangleright Set FOLLOW(X): tokens that occur after X in a derivation beginning in S

$$\mathsf{FOLLOW}(X) = \{c \in \Sigma \ : \ \mathsf{there} \ \mathsf{are} \ \alpha, \beta \ \mathsf{such \ that} \ S \Rightarrow^* \alpha X c \beta \}$$

(FOLLOW) Equations:

Add a new non-terminal symbol \$ and a new rule to capture the end of the input list of token:

$$S' \rightarrow S$$
\$

For each non terminal symbol X, for each rule: $Y \to \alpha X \beta$:

- ▶ $FOLLOW(X) \supseteq FIRST(\beta)$
- ▶ Se NULLABLE(β) then FOLLOW(X) \supseteq FOLLOW(Y)

How to compute the set FOLLOW

$$\begin{array}{ll} S' & \rightarrow S\$ \\ S & \rightarrow AB \\ A & \rightarrow aAb \mid \varepsilon \\ B & \rightarrow bB \mid \varepsilon \end{array}$$

$$S' \rightarrow S\$$$
 FOLLOW(S) $\supseteq \{\$\}$ FIRST(\$) = {\$\$}
S \rightarrow AB FOLLOW(A) $\supseteq \{b\}$ FIRST(B) = {b}
FOLLOW(A) \supseteq FOLLOW(S) because NULLABLE(B)
FOLLOW(B) \supseteq FOLLOW(S)
FOLLOW(A) $\supseteq \{b\}$ FIRST(b) = {b}
B $\rightarrow bB$ FOLLOW(B) \supseteq FOLLOW(B)
(A $\rightarrow \varepsilon$ e B $\rightarrow \varepsilon$ are useless)

How to compute the set FOLLOW (cont.)

We get the following equations:

```
FOLLOW(S) \supseteq {$}
FOLLOW(A) \supseteq {b}
FOLLOW(A) \supseteq FOLLOW(S)
FOLLOW(B) \supseteq FOLLOW(B)
```

Solve the equations iteratively, beginning with \emptyset for every token.

iterations				
non-terminals	0	1	2	3
FOLLOW(S)	Ø	{\$}	{\$ }	{\$ }
FOLLOW(A)	Ø	{ <i>b</i> }	$\{b,\$\}$	$\{b,\$\}$
FOLLOW(B)	Ø	Ø	{\$ }	{\$ }

LL(1) Parsing

The rule to choose productions is:

We choose a production rule $N \to \alpha$ on input symbol c if:

- 1. $c \in FIRST(\alpha)$, or
- 2. $Nullable(\alpha)$ and $c \in FOLLOW(N)$.

If we can always choose a production uniquely by using these rules, this is called LL(1) parsing. A grammar that can be parsed using LL(1) parsing is called an LL(1) grammar.

Theorem: for every LL(1) grammar the FIRST sets corresponding to different rules defining the same non-terminal symbol have an empty intersection. (this guarantees that we can choose which rule to use during parsing looking only to the next token).

Parsing table

Use NULLABLE, FIRST and FOLLOW to build the parsing table:

- columns: tokens
- ► lines: non-terminal symbols
- write $X \to \gamma$:
 - ▶ on line X column t for each $t \in FIRST(\gamma)$;
 - ▶ if NULLABLE(γ), on line X column t for each $t \in FOLLOW(X)$.

A grammar is LL(1) if and only if each entry in the table has at most one rule.

Parsing table (cont.)

Example:

$$S' \rightarrow S\$$$

$$S \rightarrow AB$$

$$A \rightarrow aAb \mid \varepsilon$$

$$B \rightarrow bB \mid \varepsilon$$

Parsing table (check NULLABLE, FIRST, FOLLOW in the previous slides):

Each table entry haz zero or one rules \implies the grammar is LL(1).

Table for Predictive Parsing

- ▶ Recursive descent Parsing uses the programming language exectution stack (e.g. Java or C)
- ▶ We may implement predictive *parsing* without recursion using the parsing table and an explicit stack.

Table for Predictive Parsing (cont.)

```
Parsing algorithm:
stack := empty; push (S', stack);
while (stack not empty) do
  if top(stack) is a terminal then
   /* consume input */
    consume(top(stack)); pop(stack);
 else if(table[top(stack),next] is empty) then
     report_error();
  else
    /* use a grammar rule */
    symbols := right_hand_side(table[top(stack),next]);
    pop(stack):
    pushList(symbols, stack);
```

Example: parsing of aabbb

Grammar:

$$\begin{array}{lll} S' & \rightarrow S\$ \\ S & \rightarrow AB \\ A & \rightarrow aAb \mid \varepsilon \\ B & \rightarrow bB \mid \varepsilon \end{array}$$

Table:

	а	Ь	\$
S'	S' o S\$	<i>S</i> ′ → <i>S</i> \$	<i>S</i> ′ → <i>S</i> \$
S	S o AB	S o AB	S o AB
Α	$S' \rightarrow S$ \$ $S \rightarrow AB$ $A \rightarrow aAb$	A o arepsilon	A o arepsilon
В		B o bB	B o arepsilon

stack	input	action
<u>S'</u>	<u>a</u> abbb\$	

Example: parsing of aabbb

Grammar:

$$\begin{array}{lll} S' & \rightarrow S\$ \\ S & \rightarrow AB \\ A & \rightarrow aAb \mid \varepsilon \\ B & \rightarrow bB \mid \varepsilon \end{array}$$

Table:

	а	Ь	\$
S'	S' o S\$	<i>S</i> ′ → <i>S</i> \$	<i>S</i> ′ → <i>S</i> \$
S	$S' \rightarrow S$ \$ $S \rightarrow AB$ $A \rightarrow aAb$	S o AB	S o AB
Α	A ightarrow aAb	A oarepsilon	A o arepsilon
В		B o bB	B o arepsilon

	input	
<u>S'</u>	<u>a</u> abbb\$	S' o S\$
<u>S</u> \$	<u>a</u> abbb\$	S' o S\$

Example: parsing of aabbb

Grammar:

$$\begin{array}{ll} S' & \rightarrow S\$ \\ S & \rightarrow AB \\ A & \rightarrow aAb \mid \varepsilon \\ B & \rightarrow bB \mid \varepsilon \end{array}$$

Table:

	a	Ь	\$
S'	$\mathcal{S}' o \mathcal{S}$ \$	$\mathcal{S}' o \mathcal{S}$ \$	S' o S\$
S	$S' \rightarrow S\$$ $S \rightarrow AB$ $A \rightarrow aAb$	S o AB	S o AB
Α	A o aAb	A oarepsilon	A ightarrow arepsilon
В		B o bB	B o arepsilon

stack	input	
<u>S'</u>	<u>a</u> abbb\$	$S' \to S$ \$ $S \to AB$
<u>S</u> \$	<u>a</u> abbb\$	S o AB
<u>A</u> B\$	<u>a</u> abbb\$	

Grammar:

$$\begin{array}{ll} S' & \rightarrow S\$ \\ S & \rightarrow AB \\ A & \rightarrow aAb \mid \varepsilon \\ B & \rightarrow bB \mid \varepsilon \end{array}$$

	a	Ь	\$
S'	S' o S\$	<i>S</i> ′ → <i>S</i> \$	<i>S</i> ′ → <i>S</i> \$
S	S o AB	S o AB	S o AB
Α	A ightarrow aAb	A oarepsilon	A o arepsilon
В	$S' \rightarrow S$ \$ $S \rightarrow AB$ $A \rightarrow aAb$	B o bB	B o arepsilon

stack	•	action
<u>S'</u>	<u>a</u> abbb\$	S' o S\$
<u>S</u> \$	<u>a</u> abbb\$	$S \rightarrow AB$
<u>A</u> B\$	<u>a</u> abbb\$	A o aAb
<u>a</u> AbB\$	<u>a</u> abbb\$	

Grammar:

$$\begin{array}{lll} S' & \rightarrow S\$ \\ S & \rightarrow AB \\ A & \rightarrow aAb \mid \varepsilon \\ B & \rightarrow bB \mid \varepsilon \end{array}$$

	а	Ь	\$
S'	S' o S\$	<i>S</i> ′ → <i>S</i> \$	<i>S</i> ′ → <i>S</i> \$
S	$S' \rightarrow S$ \$ $S \rightarrow AB$ $A \rightarrow aAb$	S o AB	S o AB
Α	A o aAb	A oarepsilon	A ightarrow arepsilon
В		B o bB	B o arepsilon

stack	input	action
<u>S'</u>	<u>a</u> abbb\$	$\mathcal{S}' o \mathcal{S}$ \$
<u>S</u> \$	<u>a</u> abbb\$	S o AB
<u>A</u> B\$	<u>a</u> abbb\$	$ extit{A} ightarrow extit{a} extit{A} extit{b}$
<u>a</u> AbB\$	<u>a</u> abbb\$	consume a
<u>A</u> bB\$	<u>a</u> bbb\$	

Grammar:

$$\begin{array}{lll} S' & \rightarrow S\$ \\ S & \rightarrow AB \\ A & \rightarrow aAb \mid \varepsilon \\ B & \rightarrow bB \mid \varepsilon \end{array}$$

	а	Ь	\$
S'	S' o S\$	<i>S</i> ′ → <i>S</i> \$	<i>S</i> ′ → <i>S</i> \$
S	$S' \rightarrow S$ \$ $S \rightarrow AB$ $A \rightarrow aAb$	S o AB	S o AB
Α	A o aAb	A oarepsilon	A ightarrow arepsilon
В		B o bB	B o arepsilon

stack	input	action
<u>S'</u>	<u>a</u> abbb\$	$\mathcal{S}' o \mathcal{S}$ \$
<u>S</u> \$	<u>a</u> abbb\$	S o AB
<u>A</u> B\$	<u>a</u> abbb\$	$ extit{A} ightarrow extit{a} extit{A} extit{b}$
<u>a</u> AbB\$	<u>a</u> abbb\$	consume a
<u>A</u> bB\$	<u>a</u> bbb\$	A o aAb
<u>a</u> AbbB\$	<u>a</u> bbb\$	

Grammar:

$$\begin{array}{lll} S' & \rightarrow S\$ \\ S & \rightarrow AB \\ A & \rightarrow aAb \mid \varepsilon \\ B & \rightarrow bB \mid \varepsilon \end{array}$$

	а	Ь	\$
S'	S' o S\$	<i>S</i> ′ → <i>S</i> \$	<i>S</i> ′ → <i>S</i> \$
S	$S' \rightarrow S$ \$ $S \rightarrow AB$ $A \rightarrow aAb$	S o AB	S o AB
Α	A o aAb	A oarepsilon	A ightarrow arepsilon
В		B o bB	B o arepsilon

stack	input	action
<u>S'</u>	<u>a</u> abbb\$	S' o S\$
<u>S</u> \$	<u>a</u> abbb\$	S o AB
<u>A</u> B\$	<u>a</u> abbb\$	A o aAb
<u>a</u> AbB\$	<u>a</u> abbb\$	consume a
<u>A</u> bB\$	<u>a</u> bbb\$	A o aAb
<u>a</u> AbbB\$	<u>a</u> bbb\$	consume a
<u>A</u> bbB\$	<u>b</u> bb\$	

Grammar:

$$\begin{array}{lll} S' & \rightarrow S\$ \\ S & \rightarrow AB \\ A & \rightarrow aAb \mid \varepsilon \\ B & \rightarrow bB \mid \varepsilon \end{array}$$

	а	b	\$
S'	S' o S\$	<i>S</i> ′ → <i>S</i> \$	<i>S</i> ′ → <i>S</i> \$
S	S o AB	S o AB	S o AB
Α	A o aAb	A o arepsilon	A o arepsilon
В	$S' \rightarrow S$ \$ $S \rightarrow AB$ $A \rightarrow aAb$	B o bB	B o arepsilon

stack	input	action
<u>S'</u>	<u>a</u> abbb\$	$\mathcal{S}' o \mathcal{S}$ \$
<u>S</u> \$	<u>a</u> abbb\$	S o AB
<u>A</u> B\$	<u>a</u> abbb\$	$ extit{A} ightarrow extit{a} extit{A} extit{b}$
<u>a</u> AbB\$	<u>a</u> abbb\$	consume a
<u>A</u> bB\$	<u>a</u> bbb\$	A o aAb
<u>a</u> AbbB\$	<u>a</u> bbb\$	consume a
<u>A</u> bbB\$	<u>b</u> bb\$	A o arepsilon
<u>b</u> bB\$	<u>b</u> bb\$	

Grammar:

$$\begin{array}{lll} S' & \rightarrow S\$ \\ S & \rightarrow AB \\ A & \rightarrow aAb \mid \varepsilon \\ B & \rightarrow bB \mid \varepsilon \end{array}$$

	а	Ь	\$
S'	S' o S\$	<i>S</i> ′ → <i>S</i> \$	<i>S</i> ′ → <i>S</i> \$
S	S o AB	S o AB	S o AB
Α	$S' \rightarrow S$ \$ $S \rightarrow AB$ $A \rightarrow aAb$	A oarepsilon	A ightarrow arepsilon
В		B o bB	B o arepsilon

stack	input	action
<u>S'</u>	<u>a</u> abbb\$	S' o S\$
<u>S</u> \$	<u>a</u> abbb\$	S o AB
<u>A</u> B\$	<u>a</u> abbb\$	A o aAb
<u>a</u> AbB\$	<u>a</u> abbb\$	consume a
<u>A</u> bB\$	<u>a</u> bbb\$	A o aAb
<u>a</u> AbbB\$	<u>a</u> bbb\$	consume a
<u>A</u> bbB\$	<u>b</u> bb\$	A oarepsilon
<u>b</u> bB\$	<u>b</u> bb\$	consume b
<u>b</u> B\$	<u>b</u> b\$	

Grammar:

$$\begin{array}{lll} S' & \rightarrow S\$ \\ S & \rightarrow AB \\ A & \rightarrow aAb \mid \varepsilon \\ B & \rightarrow bB \mid \varepsilon \end{array}$$

	а	Ь	\$
S'	S' o S\$	<i>S</i> ′ → <i>S</i> \$	<i>S</i> ′ → <i>S</i> \$
S	S o AB	S o AB	S o AB
Α	A ightarrow aAb	A oarepsilon	A o arepsilon
В	$S' \rightarrow S$ \$ $S \rightarrow AB$ $A \rightarrow aAb$	B o bB	B o arepsilon

stack	input	action
<u>S'</u>	<u>a</u> abbb\$	$\mathcal{S}' o \mathcal{S}$ \$
<u>S</u> \$	<u>a</u> abbb\$	$\mathcal{S} o \mathcal{A}\mathcal{B}$
<u>A</u> B\$	<u>a</u> abbb\$	${ extstyle A} ightarrow a { extstyle A} b$
<u>a</u> AbB\$	<u>a</u> abbb\$	consume a
<u>A</u> bB\$	<u>a</u> bbb\$	${ extstyle A} ightarrow a{ extstyle A} b$
<u>a</u> AbbB\$	<u>a</u> bbb\$	consume a
<u>A</u> bbB\$	<u>b</u> bb\$	A oarepsilon
<u>b</u> bB\$	<u>b</u> bb\$	consume b
<u>b</u> B\$	<u>b</u> b\$	consume b
<u>B</u> \$	<u>b</u> \$	

Grammar:

$$\begin{array}{ll} S' & \rightarrow S\$ \\ S & \rightarrow AB \\ A & \rightarrow aAb \mid \varepsilon \\ B & \rightarrow bB \mid \varepsilon \end{array}$$

	а	Ь	\$
S'	S' o S\$	<i>S</i> ′ → <i>S</i> \$	S' o S\$
S	S o AB	S o AB	S o AB
Α	A o aAb	A oarepsilon	A ightarrow arepsilon
В	$S' \rightarrow S$ \$ $S \rightarrow AB$ $A \rightarrow aAb$	B o bB	B o arepsilon

stack	input	action
<u>S'</u>	<u>a</u> abbb\$	$\mathcal{S}' o \mathcal{S} \$$
<u>S</u> \$	<u>a</u> abbb\$	S o AB
<u>A</u> B\$	<u>a</u> abbb\$	${\sf A} o {\sf a}{\sf A}{\sf b}$
<u>a</u> AbB\$	<u>a</u> abbb\$	consume a
<u>A</u> bB\$	<u>a</u> bbb\$	${\sf A} o {\sf a}{\sf A}{\sf b}$
<u>a</u> AbbB\$	<u>a</u> bbb\$	consume <i>a</i>
<u>A</u> bbB\$	<u>b</u> bb\$	A oarepsilon
<u>b</u> bB\$	<u>b</u> bb\$	consume <i>b</i>
<u>b</u> B\$	<u>b</u> b\$	consume <i>b</i>
<u>B</u> \$	<u>b</u> \$	B o bB
<u>b</u> B\$	<u>b</u> \$	

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G	ra	m	m	а	r

$$\begin{array}{ll} S' & \rightarrow S\$ \\ S & \rightarrow AB \\ A & \rightarrow aAb \mid \varepsilon \\ B & \rightarrow bB \mid \varepsilon \end{array}$$

	a	Ь	\$
S'	S' o S\$	<i>S</i> ′ → <i>S</i> \$	S' o S\$
S	$S' \rightarrow S$ \$ $S \rightarrow AB$ $A \rightarrow aAb$	S o AB	S o AB
Α	A ightarrow aAb	A oarepsilon	A oarepsilon
В		B o bB	B oarepsilon

stack	input	action
<u>S'</u>	<u>a</u> abbb\$	S' o S\$
<u>S</u> \$	<u>a</u> abbb\$	S o AB
<u>A</u> B\$	<u>a</u> abbb\$	A o aAb
<u>a</u> AbB\$	<u>a</u> abbb\$	consume <i>a</i>
<u>A</u> bB\$	<u>a</u> bbb\$	A o aAb
<u>a</u> AbbB\$	<u>a</u> bbb\$	consume a
<u>A</u> bbB\$	<u>b</u> bb\$	A o arepsilon
<u>b</u> bB\$	<u>b</u> bb\$	consume b
<u>b</u> B\$	<u>b</u> b\$	consume b
<u>B</u> \$	<u>b</u> \$	B o bB
<u>b</u> B\$	<u>b</u> \$	consume b
<u>B</u> \$	<u>\$</u>	

Grammar:

$$\begin{array}{ll} S' & \rightarrow S\$ \\ S & \rightarrow AB \\ A & \rightarrow aAb \mid \varepsilon \\ B & \rightarrow bB \mid \varepsilon \end{array}$$

	а	Ь	\$
S'	$\mathcal{S}' o \mathcal{S}$ \$	$\mathcal{S}' o \mathcal{S}$ \$	$\mathcal{S}' o \mathcal{S}$ \$
S	$S' \rightarrow S$ \$ $S \rightarrow AB$ $A \rightarrow aAb$	S o AB	S o AB
Α	A o aAb	A oarepsilon	A oarepsilon
В		B o bB	B o arepsilon

stack	input	action
<u>S'</u>	<u>a</u> abbb\$	$\mathcal{S}' o \mathcal{S} \$$
<u>S</u> \$	<u>a</u> abbb\$	S o AB
<u>A</u> B\$	<u>a</u> abbb\$	A o aAb
<u>a</u> AbB\$	<u>a</u> abbb\$	consume <i>a</i>
<u>A</u> bB\$	<u>a</u> bbb\$	${\sf A} o {\sf a}{\sf A}{\sf b}$
<u>a</u> AbbB\$	<u>a</u> bbb\$	consume <i>a</i>
<u>A</u> bbB\$	<u>b</u> bb\$	A o arepsilon
<u>b</u> bB\$	<u>b</u> bb\$	consume <i>b</i>
<u>b</u> B\$	<u>b</u> b\$	consume <i>b</i>
<u>B</u> \$	<u>b</u> \$	B o bB
<u>b</u> B\$	<u>b</u> \$	consume <i>b</i>
<u>B</u> \$	<u>\$</u> \$	B o arepsilon
\$	\$	

Grammar:

$$\begin{array}{ll} S' & \rightarrow S\$ \\ S & \rightarrow AB \\ A & \rightarrow aAb \mid \varepsilon \\ B & \rightarrow bB \mid \varepsilon \end{array}$$

		Ь	
S'	S' o S\$	<i>S</i> ′ → <i>S</i> \$	$\mathcal{S}' o \mathcal{S}$ \$
S	S o AB	S o AB	$\mathcal{S} o \mathcal{A}\mathcal{B}$
Α	A ightarrow aAb	A oarepsilon	A oarepsilon
В	$S' \rightarrow S$ \$ $S \rightarrow AB$ $A \rightarrow aAb$	B o bB	B o arepsilon

input	action
<u>a</u> abbb\$	S' o S\$
<u>a</u> abbb\$	$S \rightarrow AB$
<u>a</u> abbb\$	A o aAb
<u>a</u> abbb\$	consume a
<u>a</u> bbb\$	A o aAb
<u>a</u> bbb\$	consume a
<u>b</u> bb\$	A ightarrow arepsilon
<u>b</u> bb\$	consume b
<u>b</u> b\$	consume b
<u>b</u> \$	B o bB
<u>b</u> \$	consume b
<u>\$</u>	$B o \varepsilon$
\$	consume \$
ε	accept
	aabbb\$ aabbb\$ aabbb\$ aabbb\$ aabbb\$ abbb\$ abbb\$ bbb\$ bbb\$

Conclusions

Parsing *top-down*:

- ► Recursive descent *parsing*
- Recursive functions in Java or C
- LL(1) is a widely used class of grammars
- ► Define a parsing table
- ► Parsing using the parsing table and an auxiliary stack

Parser generators

- We have studied parsers which recognize a language (yes or no output)
- ► The next step is to use parsing to also build a syntactic tree
- ▶ It is possible to use tools which automatically build *top-down* parsers:

```
JavaCC for Java: https://javacc.github.io/javacc/
Parsec for Haskell: http://hackage.haskell.org/package/parsec
```