



Rodrigo Alberto Monsalve Rubí

Banking & Finance Lawyer | Compliance & Regulatory Specialist

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Banking and securities lawyer with over 10 years of experience in financial regulation and regulatory compliance. My career combines both the public and private sectors, where I have advised on complex transactions for financial institutions, fintechs, and investment funds. I excel at my analytical ability to translate regulatory requirements into strategic business solutions, leading everything from cross-border mergers to the implementation of anti-money laundering systems.

Certified by the CNBV as a Compliance Officer in AML and CFT (2023).

Work Experience

Yaydoo SAPI / Yaydoo Inc

January 2022 - October 2025

General Lawyer

Led legal aspects of a cross-border merger with a U.S. fintech while managing compliance, legal strategy, product development, contracts, corporate governance, data protection, tax, labor, and financial structuring matters. Coordinated external audits and counsel, provided strategic legal guidance to internal stakeholders, and optimized legal and operational expenses.

Sura México

September 2017- September 2021

Afore Sura -Legal Specialist

Managed diverse financial instruments and contracts while developing strategic frameworks for private equity transactions. Negotiated and maintained commercial agreements (including NDAs) and ensured full regulatory compliance with financial authorities for all operations.

Compliance Specialist

Supervised financial transactions and client profiling while addressing regulatory and governmental requirements. Developed and implemented compliance systems and processes, conducted qualitative research on investment prospects, led fraud prevention initiatives, and reviewed and updated corporate policies.

Comisión Nacional Bancaria y de Valores (CNBV)

June 2016 - May 2017

Auditor

Conducted on-site audits of financial institutions on anti-money laundering compliance on behalf of Ferráez, Bonet, Segovia & Igartúa law firm. Compiled comprehensive reports, policies, and guidelines; developed risk matrices and models; performed regulatory reviews; and collaborated on risk management software implementation.

Te Creemos Sociedad Financiera Popular

August 2014 – February 2016

Jr. Lawyer

Provided legal research and advisory services on collateral structures, regulatory compliance, anti-money laundering, corporate structuring and registration, and board governance. Handled administrative, immigration, and tax litigation, drafted contracts, conducted due diligence, managed intellectual property portfolios, and performed risk analysis.

ASD Law Firm

November 2013 – August 2014

Law Clerck

Conducted legal and business research, drafted contracts, and supported administrative litigation proceedings.

Banco de México (Bank of Mexico)

September 2011- March 2012

Intern

Supported the contentious affairs office with documentation management, record-keeping, case profiling, and civil and criminal litigation matters.

Education

Universidad Nacional Autónoma de México
Bachelor in Law.

2008 - 2013

Skills

Languages: Spanish (Native) | English (Advanced Professional)

Microsoft Office (Intermediate Excel), Project, Visio, Photoshop, BCP/DRP.

Leveraging AI and prompt engineering to streamline legal workflows and enhance operational efficiency.