

many plaintext digits. An example of diffusion is to encrypt a message  $M = m_1, m_2, m_3, \dots$  of characters with an averaging operation:

$$y_n = \left( \sum_{i=1}^k m_{n+i} \right) \bmod 26$$

adding  $k$  successive letters to get a ciphertext letter  $y_n$ . One can show that the statistical structure of the plaintext has been dissipated. Thus, the letter frequencies in the ciphertext will be more nearly equal than in the plaintext; the digram frequencies will also be more nearly equal, and so on. In a binary block cipher, diffusion can be achieved by repeatedly performing some permutation on the data followed by applying a function to that permutation; the effect is that bits from different positions in the original plaintext contribute to a single bit of ciphertext.<sup>5</sup>

Every block cipher involves a transformation of a block of plaintext into a block of ciphertext, where the transformation depends on the key. The mechanism of diffusion seeks to make the statistical relationship between the plaintext and ciphertext as complex as possible in order to thwart attempts to deduce the key. On the other hand, **confusion** seeks to make the relationship between the statistics of the ciphertext and the value of the encryption key as complex as possible, again to thwart attempts to discover the key. Thus, even if the attacker can get some handle on the statistics of the ciphertext, the way in which the key was used to produce that ciphertext is so complex as to make it difficult to deduce the key. This is achieved by the use of a complex substitution algorithm. In contrast, a simple linear substitution function would add little confusion.

As [ROBS95b] points out, so successful are diffusion and confusion in capturing the essence of the desired attributes of a block cipher that they have become the cornerstone of modern block cipher design.

**FEISTEL CIPHER STRUCTURE** The left-hand side of Figure 3.3 depicts the structure proposed by Feistel. The inputs to the encryption algorithm are a plaintext block of length  $2w$  bits and a key  $K$ . The plaintext block is divided into two halves,  $L_0$  and  $R_0$ . The two halves of the data pass through  $n$  rounds of processing and then combine to produce the ciphertext block. Each round  $i$  has as inputs  $L_{i-1}$  and  $R_{i-1}$  derived from the previous round, as well as a subkey  $K_i$  derived from the overall  $K$ . In general, the subkeys  $K_i$  are different from  $K$  and from each other. In Figure 3.3, 16 rounds are used, although any number of rounds could be implemented.

All rounds have the same structure. A **substitution** is performed on the left half of the data. This is done by applying a *round function*  $F$  to the right half of the data and then taking the exclusive-OR of the output of that function and the left half of the data. The round function has the same general structure for each round but is parameterized by the round subkey  $K_i$ . Another way to express this is to say that  $F$  is a function of right-half block of  $w$  bits and a subkey of  $y$  bits, which produces an output value of length  $w$  bits:  $F(RE_i, K_{i+1})$ . Following this substitution, a

<sup>5</sup>Some books on cryptography equate permutation with diffusion. This is incorrect. Permutation, *by itself*, does not change the statistics of the plaintext at the level of individual letters or permuted blocks. For example, in DES, the permutation swaps two 32-bit blocks, so statistics of strings of 32 bits or less are preserved.

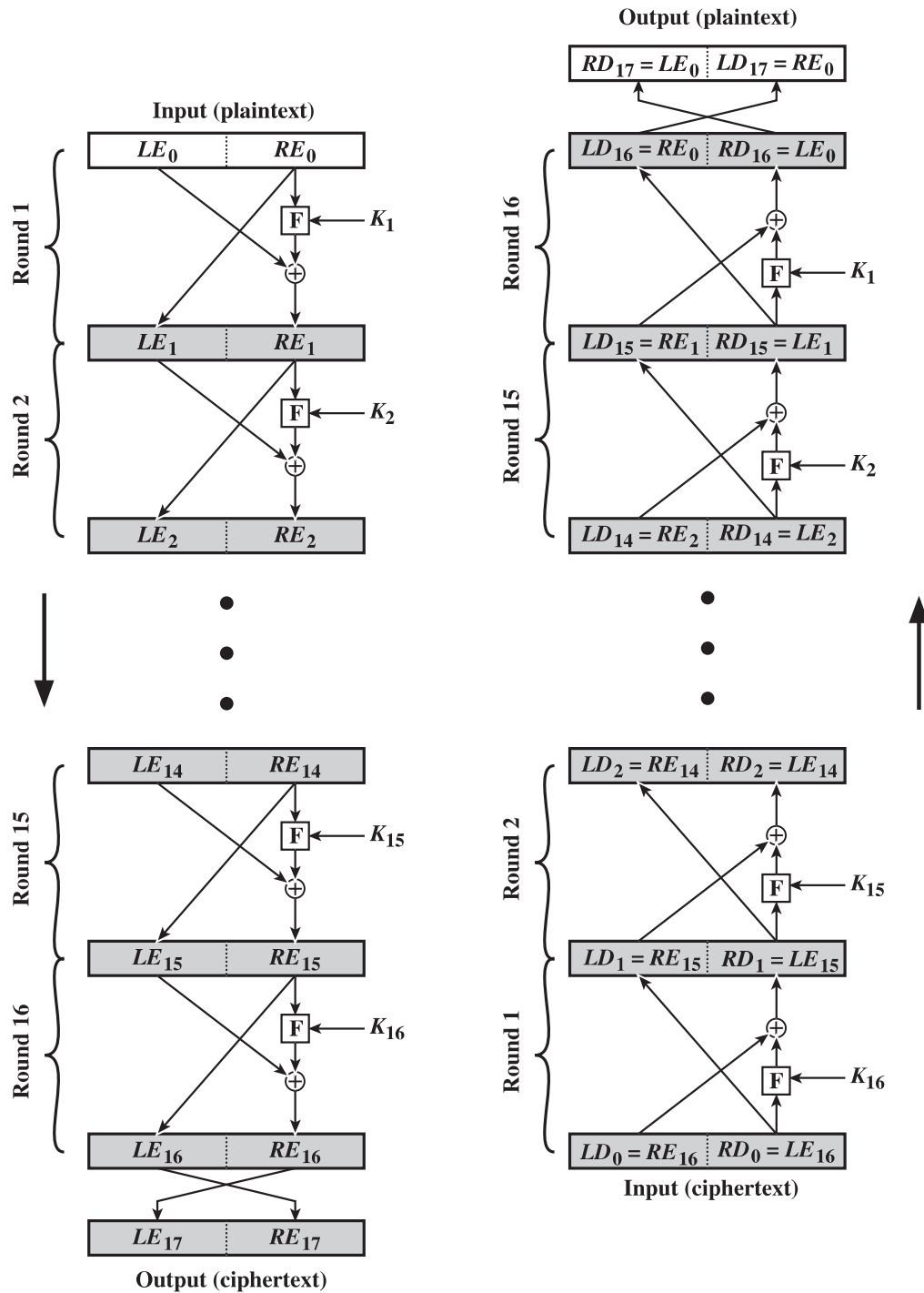


Figure 3.3 Feistel Encryption and Decryption (16 rounds)

**permutation** is performed that consists of the interchange of the two halves of the data.<sup>6</sup> This structure is a particular form of the substitution-permutation network (SPN) proposed by Shannon.

<sup>6</sup>The final round is followed by an interchange that undoes the interchange that is part of the final round. One could simply leave both interchanges out of the diagram, at the sacrifice of some consistency of presentation. In any case, the effective lack of a swap in the final round is done to simplify the implementation of the decryption process, as we shall see.

The exact realization of a Feistel network depends on the choice of the following parameters and design features:

- **Block size:** Larger block sizes mean greater security (all other things being equal) but reduced encryption/decryption speed for a given algorithm. The greater security is achieved by greater diffusion. Traditionally, a block size of 64 bits has been considered a reasonable tradeoff and was nearly universal in block cipher design. However, the new AES uses a 128-bit block size.
- **Key size:** Larger key size means greater security but may decrease encryption/decryption speed. The greater security is achieved by greater resistance to brute-force attacks and greater confusion. Key sizes of 64 bits or less are now widely considered to be inadequate, and 128 bits has become a common size.
- **Number of rounds:** The essence of the Feistel cipher is that a single round offers inadequate security but that multiple rounds offer increasing security. A typical size is 16 rounds.
- **Subkey generation algorithm:** Greater complexity in this algorithm should lead to greater difficulty of cryptanalysis.
- **Round function  $F$ :** Again, greater complexity generally means greater resistance to cryptanalysis.

There are two other considerations in the design of a Feistel cipher:

- **Fast software encryption/decryption:** In many cases, encryption is embedded in applications or utility functions in such a way as to preclude a hardware implementation. Accordingly, the speed of execution of the algorithm becomes a concern.
- **Ease of analysis:** Although we would like to make our algorithm as difficult as possible to cryptanalyze, there is great benefit in making the algorithm easy to analyze. That is, if the algorithm can be concisely and clearly explained, it is easier to analyze that algorithm for cryptanalytic vulnerabilities and therefore develop a higher level of assurance as to its strength. DES, for example, does not have an easily analyzed functionality.

**FEISTEL DECRYPTION ALGORITHM** The process of decryption with a Feistel cipher is essentially the same as the encryption process. The rule is as follows: Use the ciphertext as input to the algorithm, but use the subkeys  $K_i$  in reverse order. That is, use  $K_n$  in the first round,  $K_{n-1}$  in the second round, and so on, until  $K_1$  is used in the last round. This is a nice feature, because it means we need not implement two different algorithms; one for encryption and one for decryption.

To see that the same algorithm with a reversed key order produces the correct result, Figure 3.3 shows the encryption process going down the left-hand side and the decryption process going up the right-hand side for a 16-round algorithm. For clarity, we use the notation  $LE_i$  and  $RE_i$  for data traveling through the encryption algorithm and  $LD_i$  and  $RD_i$  for data traveling through the decryption algorithm. The diagram indicates that, at every round, the intermediate value of the decryption process is equal to the corresponding value of the encryption process with the two halves of the value swapped. To put this another way, let the output of the  $i$ th