

Binary Search Tree



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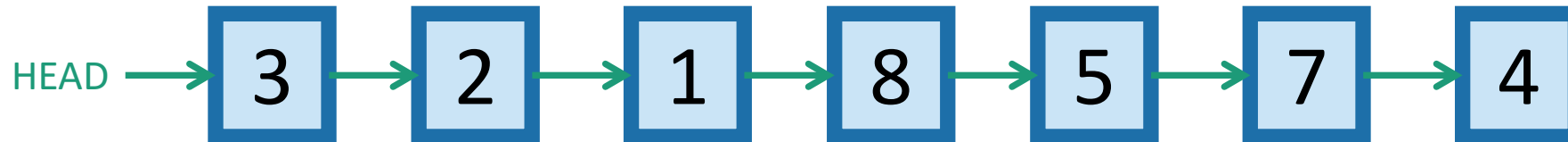
Course Reference: CS161 by Stanford

Some data structures
for storing objects like **5** (aka, **nodes** with **keys**)

- (Sorted) arrays:



- Linked lists:



- Some basic operations:

- **INSERT, DELETE, SEARCH**

Sorted Arrays



- $O(n)$ INSERT/DELETE:
 - First, find the relevant element (we'll see how below), and then move a bunch of elements in the array:



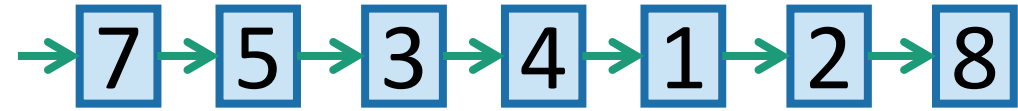
- $O(\log(n))$ SEARCH: eg, insert 4.5



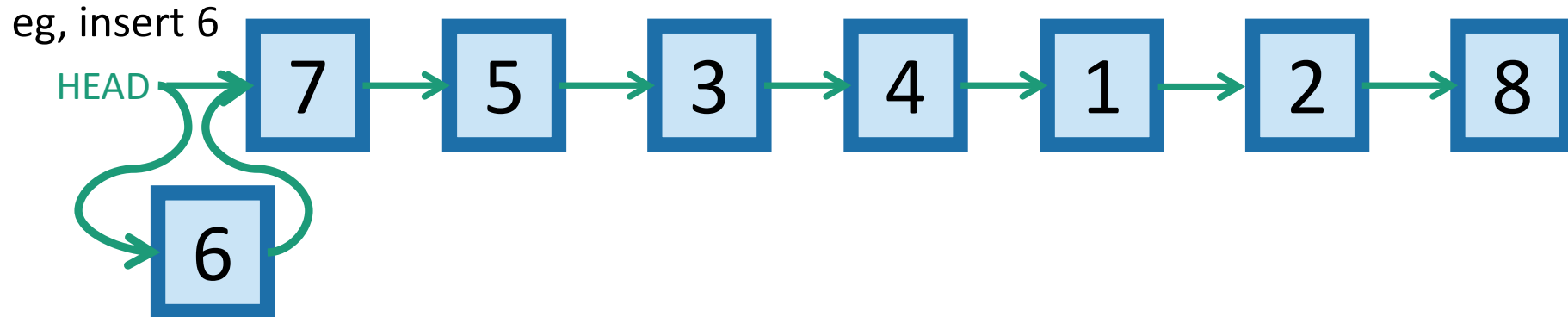
eg, Binary search to see if 3 is in A.

(Not necessarily sorted)

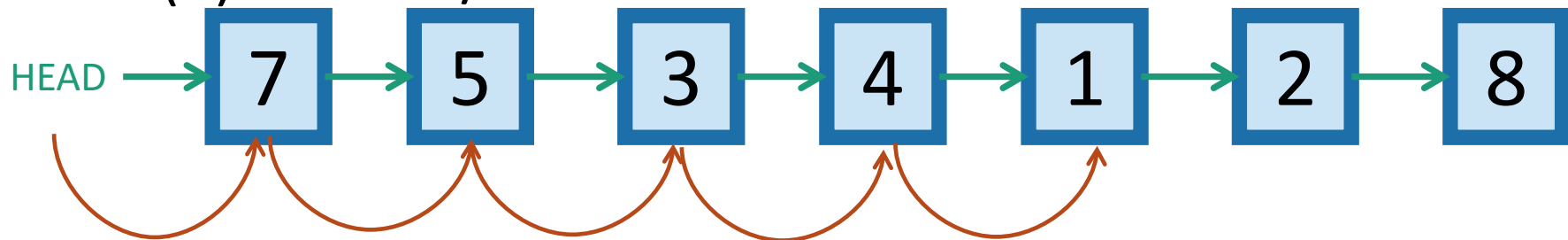
Linked lists



- $O(1)$ INSERT:



- $O(n)$ SEARCH/DELETE:



eg, search for 1 (and then you could delete it by manipulating pointers).

Motivation for Binary Search Trees

TODAY!

	Sorted Arrays	Linked Lists	(Balanced) Binary Search Trees
Search	$O(\log(n))$ 😊	$O(n)$ 😞	$O(\log(n))$ 😊
Delete	$O(n)$ 😞	$O(n)$ 😞	$O(\log(n))$ 😊
Insert	$O(n)$ 😞	$O(1)$ 😊	$O(\log(n))$ 😊

For today all keys are distinct.

Binary tree terminology

Each node has two **children**.

The **left child** of **3** is **2**

The **right child** of **3** is **4**

The **parent** of **3** is **5**

2 is a **descendant** of **5**

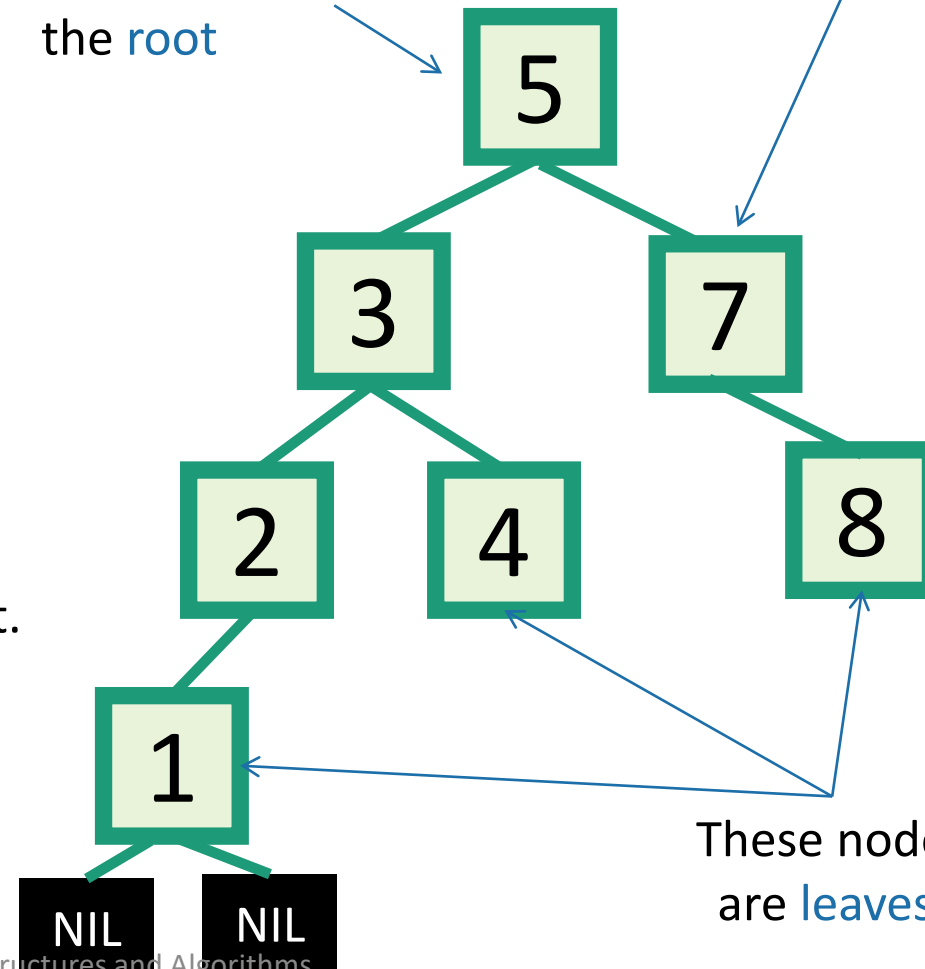
Each node has a pointer to its left child, right child, and parent.

Both **children** of **1** are NIL.
(I won't usually draw them).

The **height** of this tree is 3.
(Max number of edges from the root to a leaf).

This node is the **root**

This is a **node**.
It has a **key** (7).

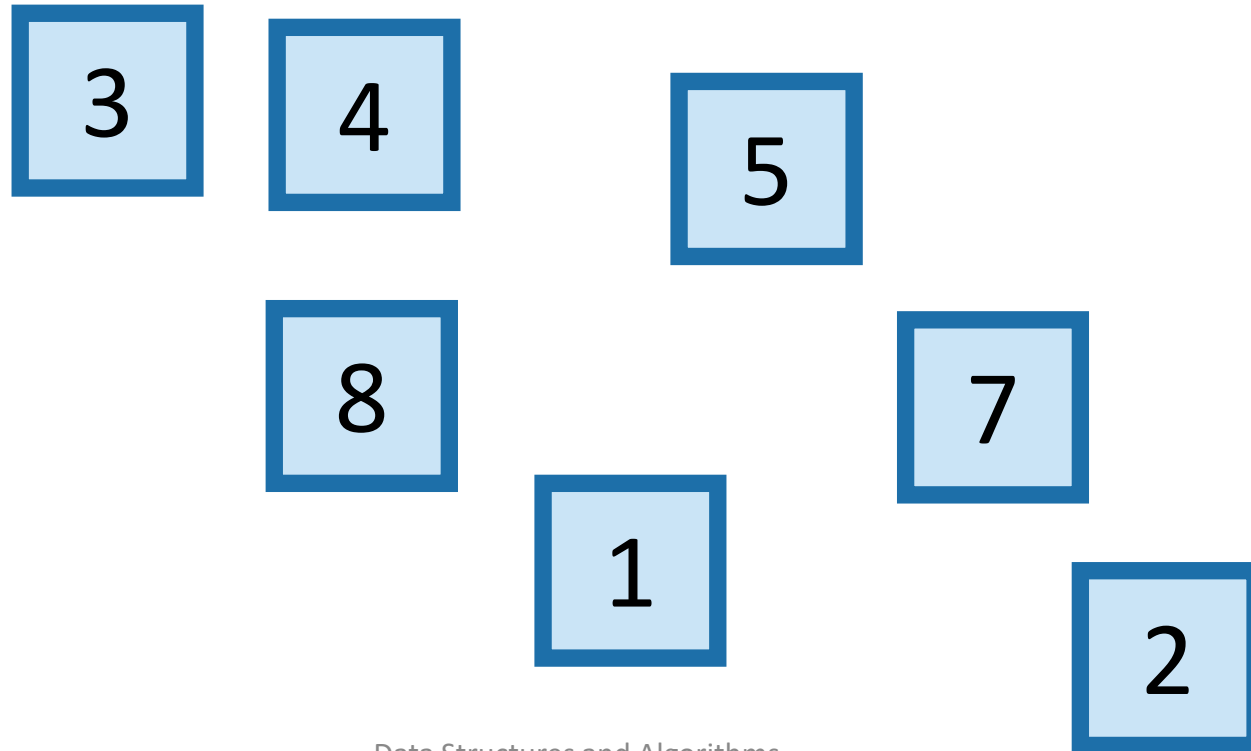


These nodes are **leaves**.

From your pre-lecture exercise...

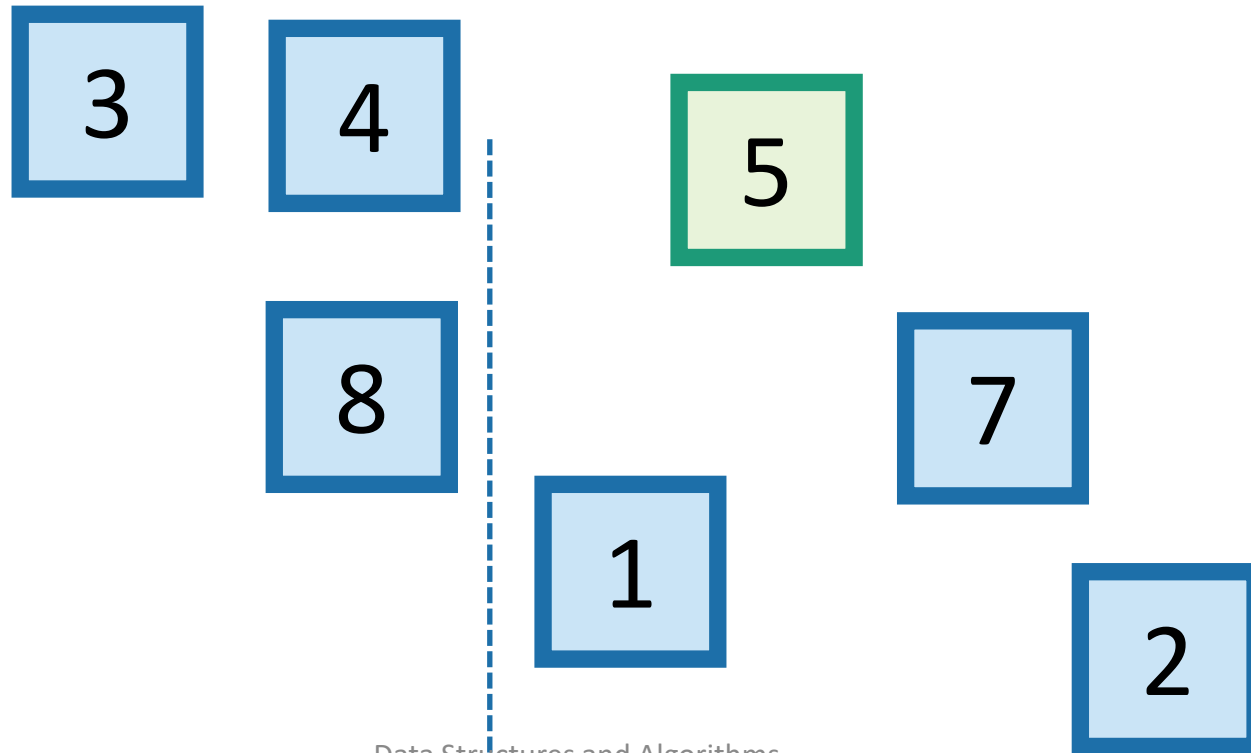
Binary Search Trees

- A BST is a binary tree so that:
 - Every LEFT descendant of a node has key less than that node.
 - Every RIGHT descendant of a node has key larger than that node.
- Example of building a binary search tree:



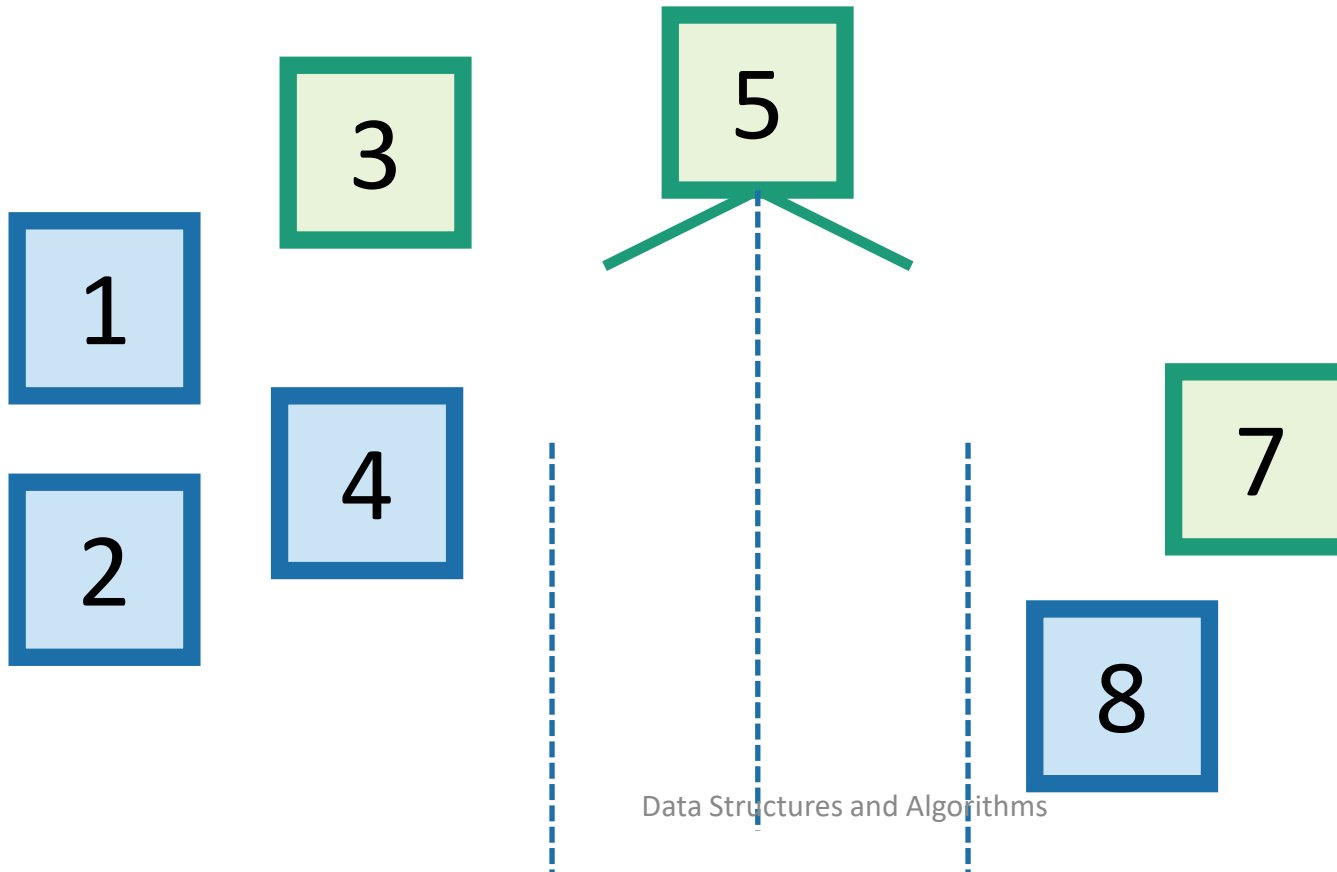
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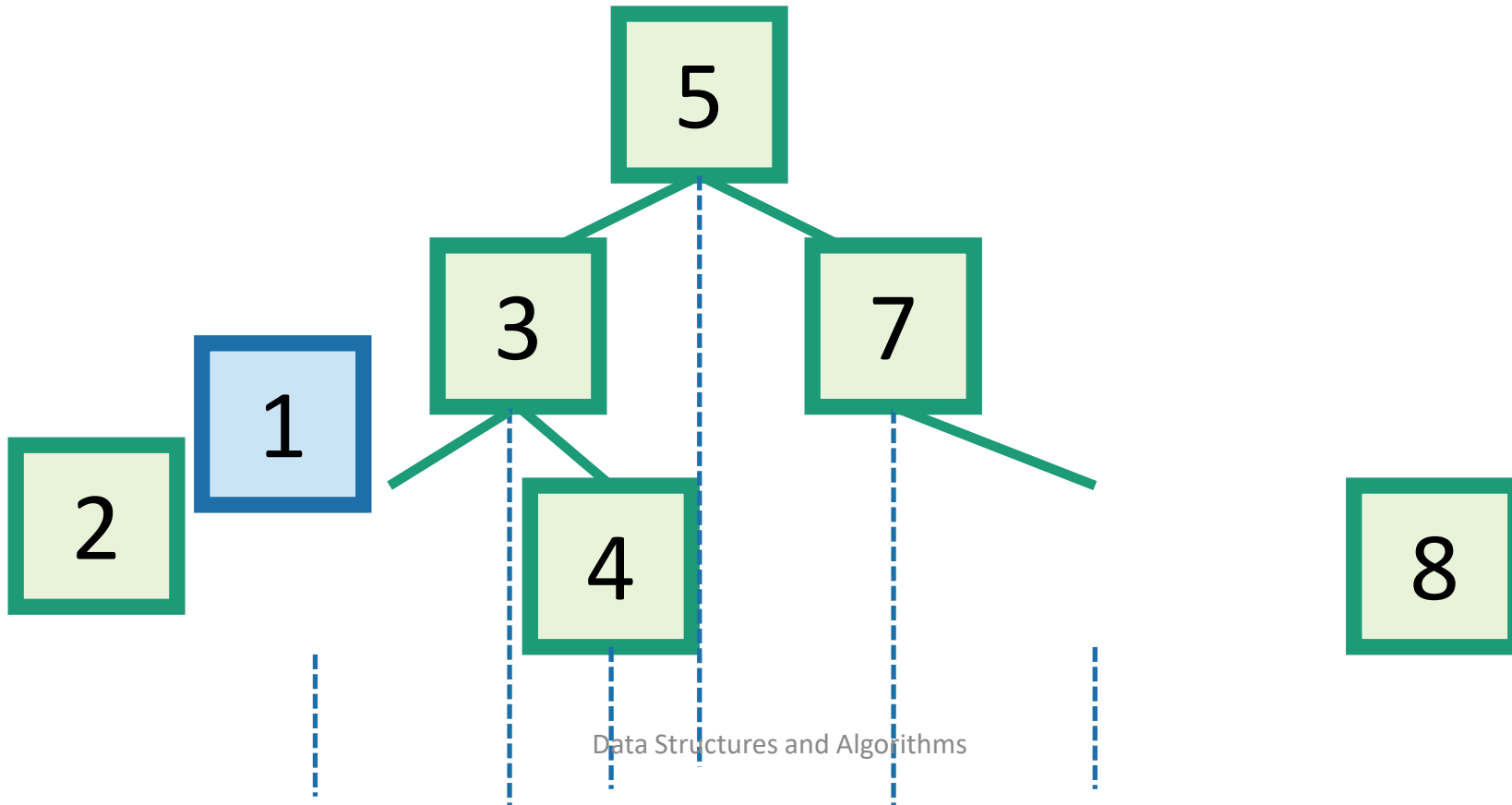
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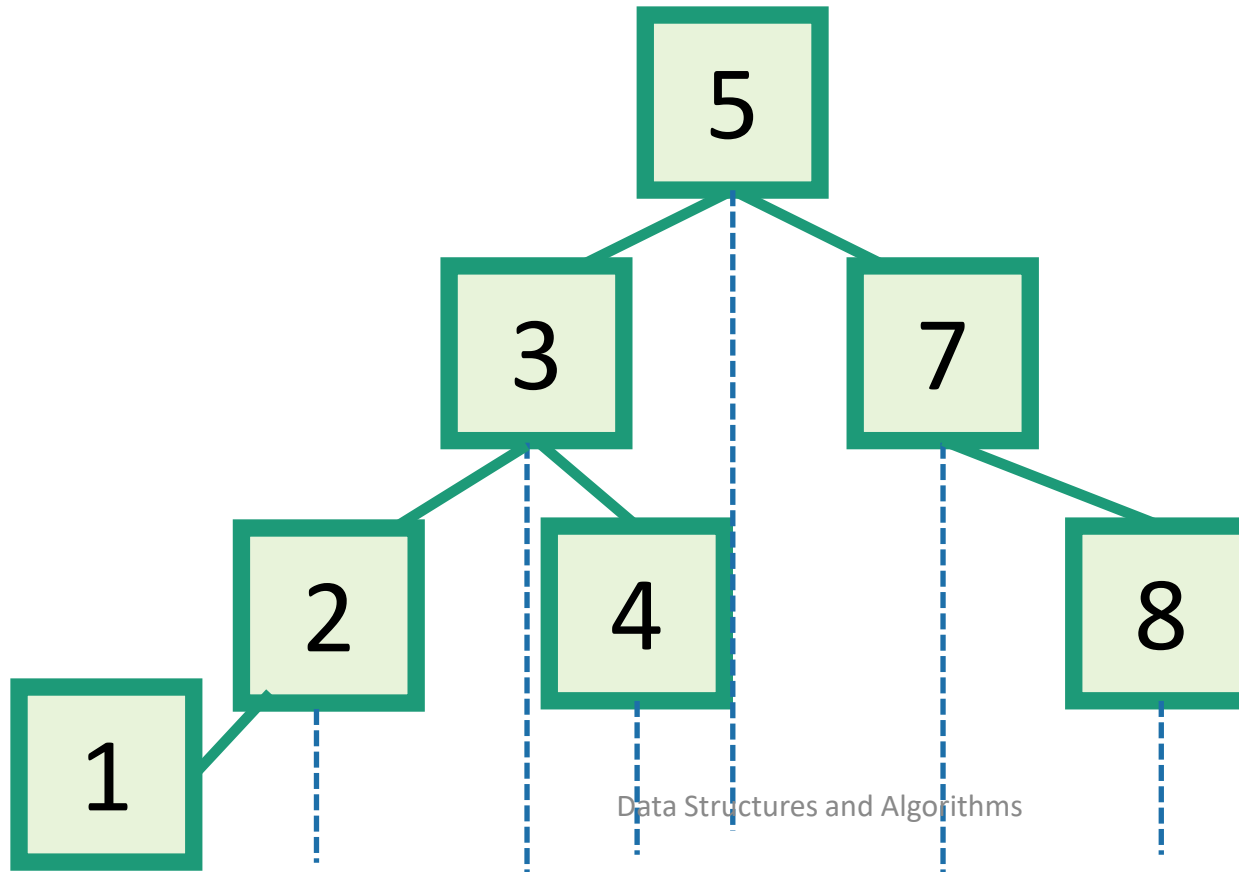
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Binary Search Trees

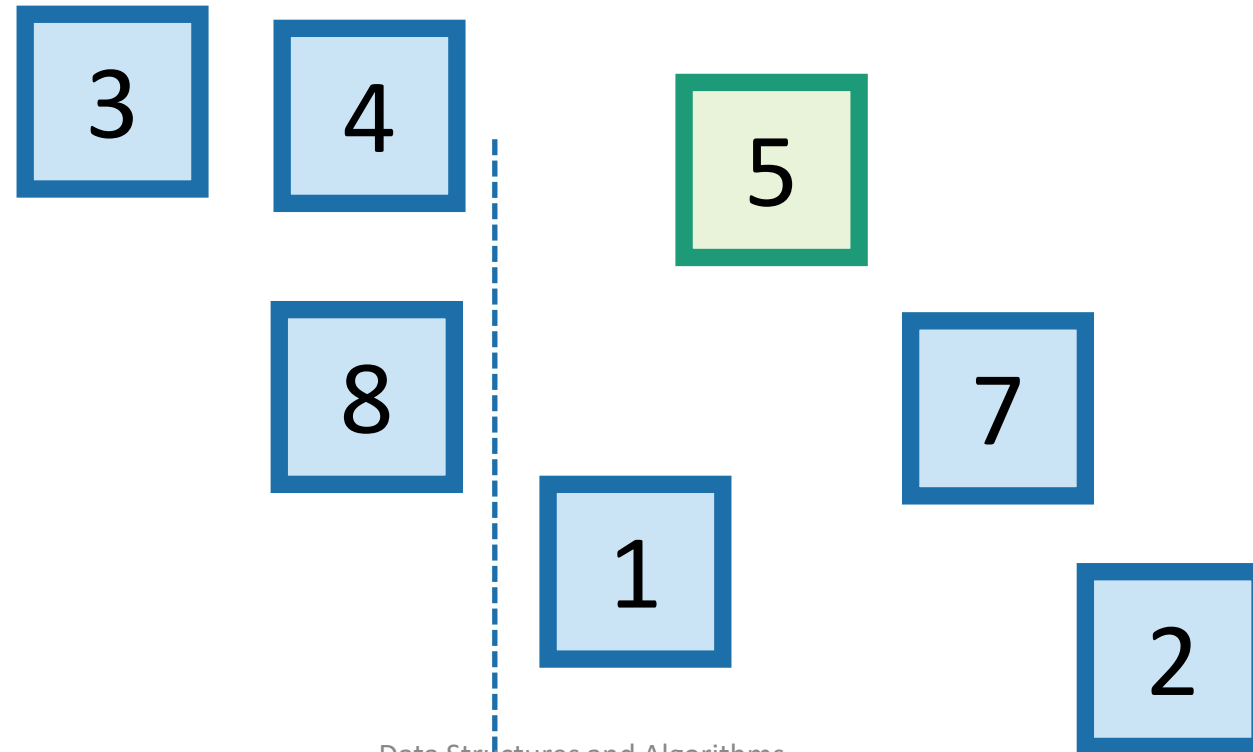
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Q: Is this the only binary search tree I could possibly build with these values?

A: **No.** I made choices about which nodes to choose when. Any choices would have been fine.

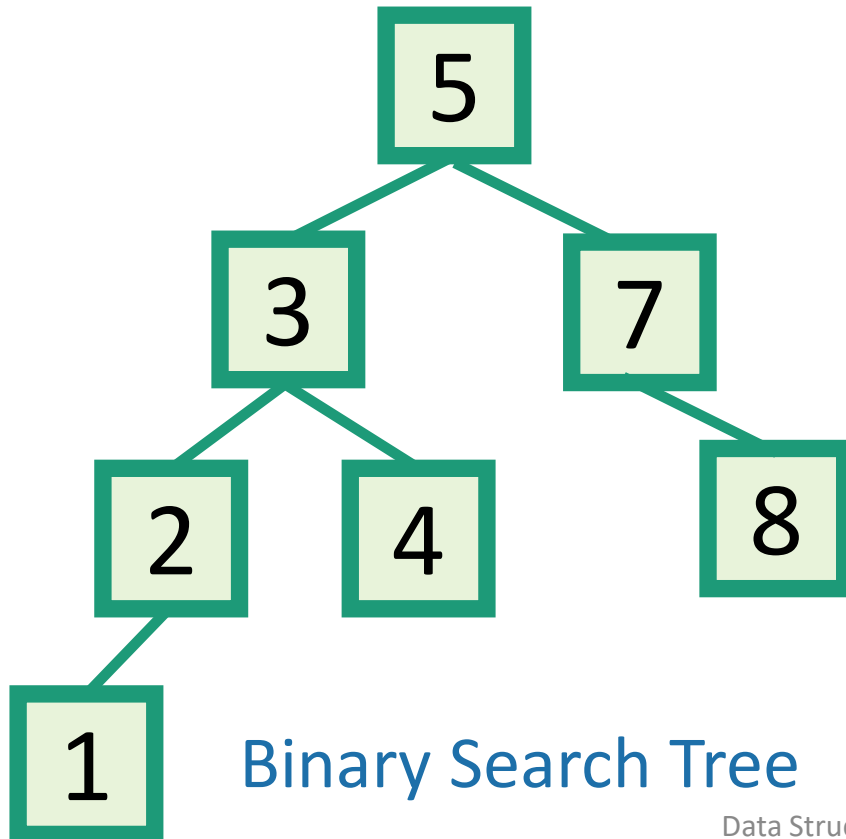
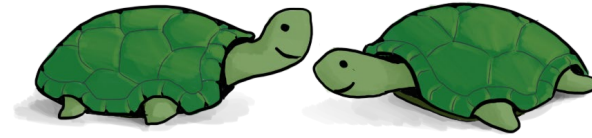
Aside: this should look familiar
kinda like QuickSort



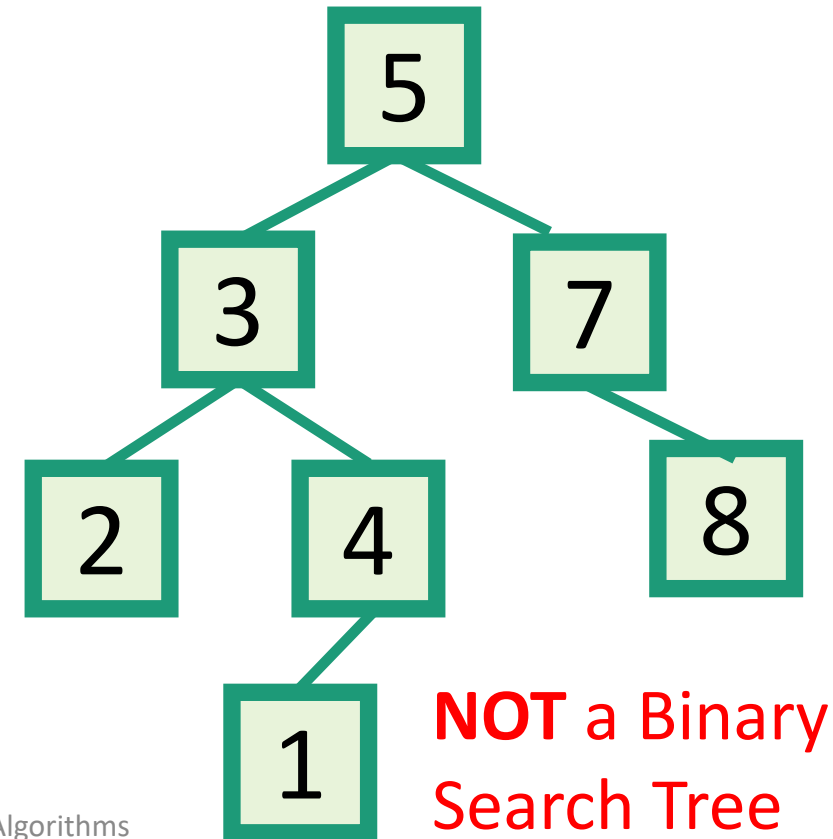
Binary Search Trees

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Which of these is a BST?
1 minute Think-Pair-Share



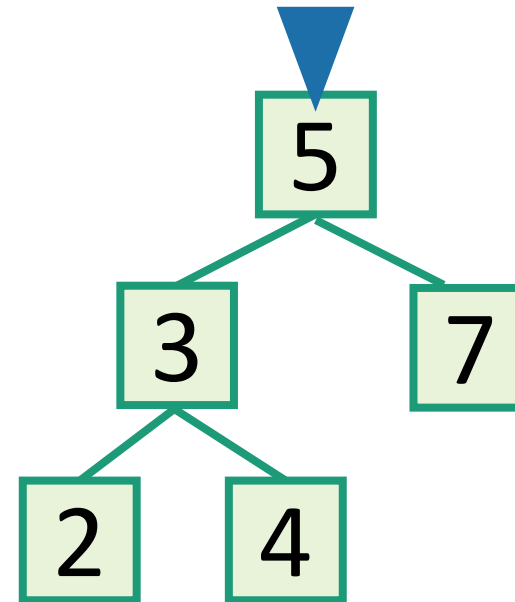
Data Structures and Algorithms



Aside: In-Order Traversal of BSTs

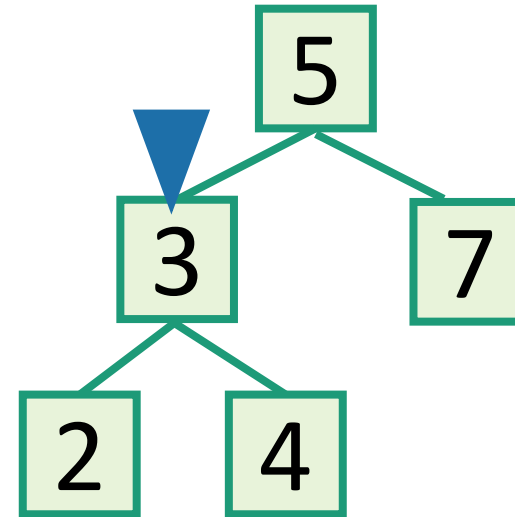
- Output all the elements in sorted order!

- `inOrderTraversal(x)`:
 - if `x != NIL`:
 - `inOrderTraversal(x.left)`
 - `print(x.key)`
 - `inOrderTraversal(x.right)`



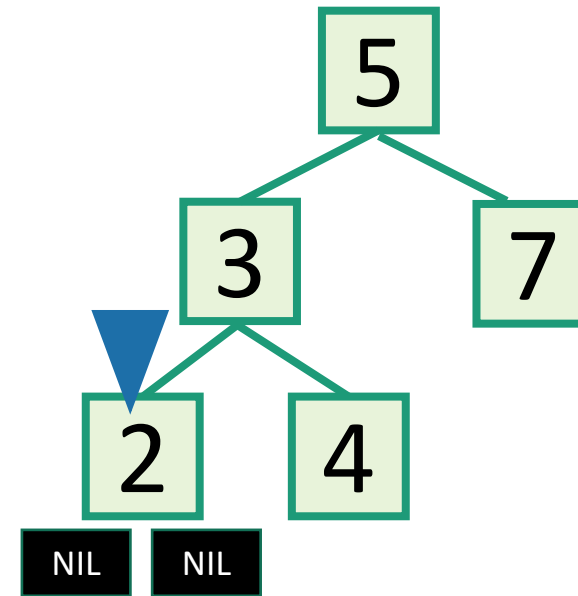
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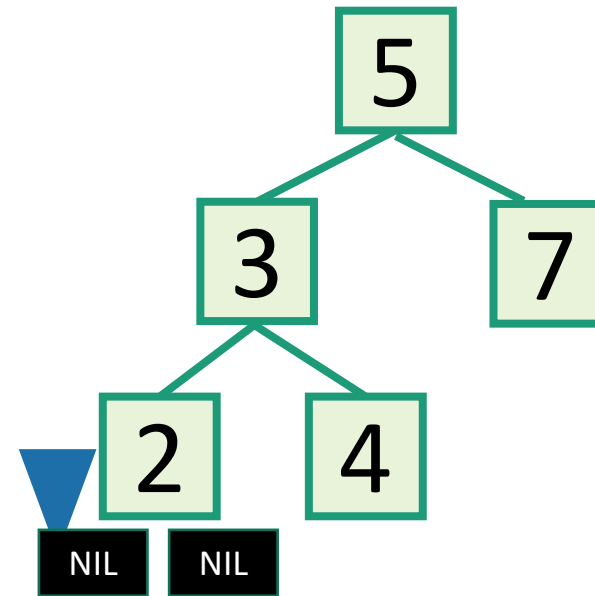
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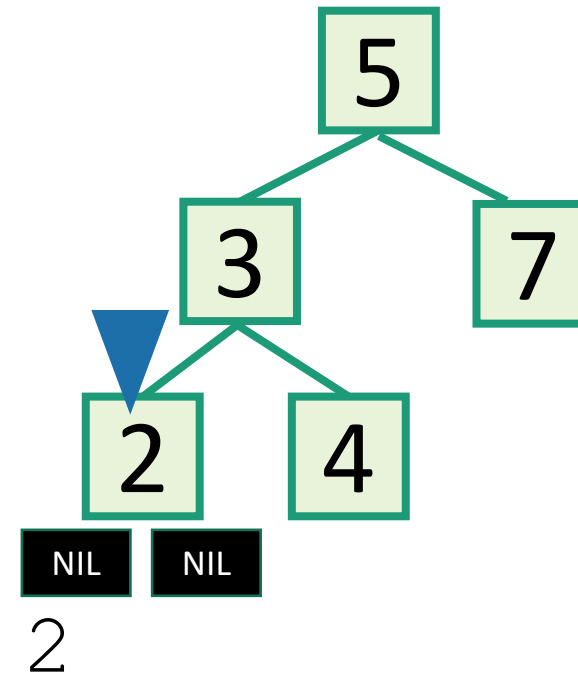
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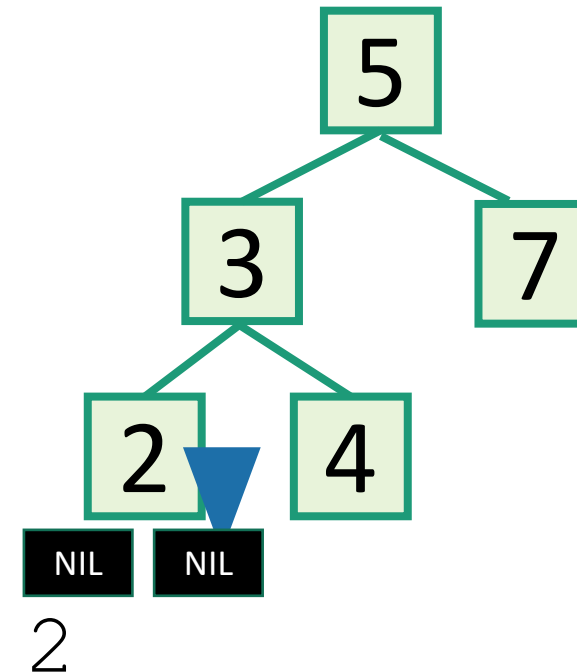
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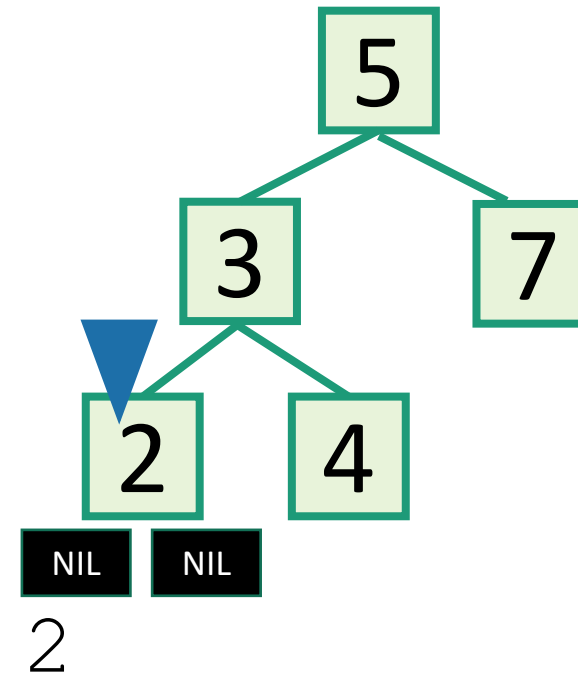
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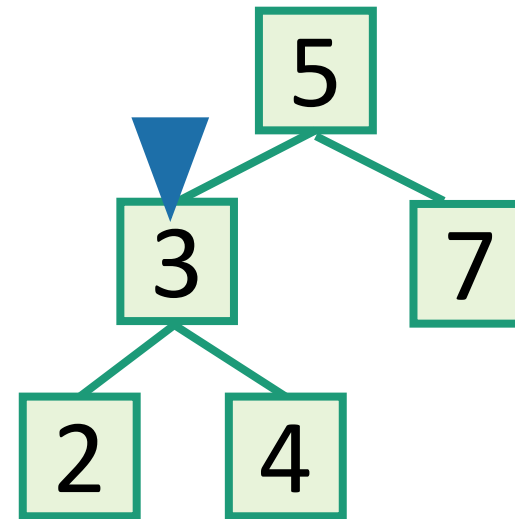
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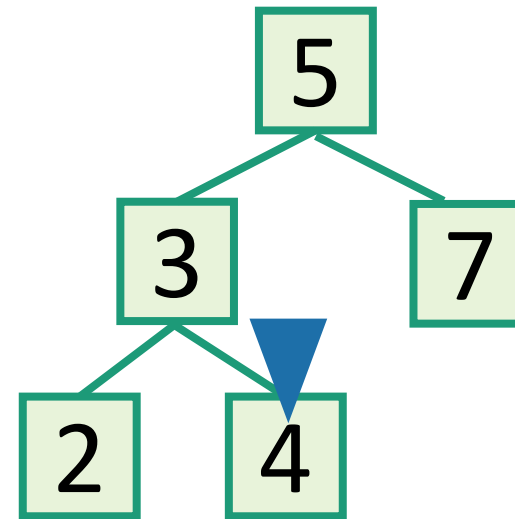
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2 3

Aside: In-Order Traversal of BSTs

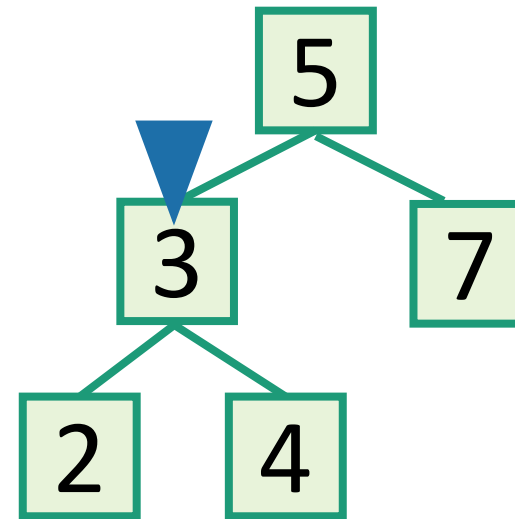
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2 3 4

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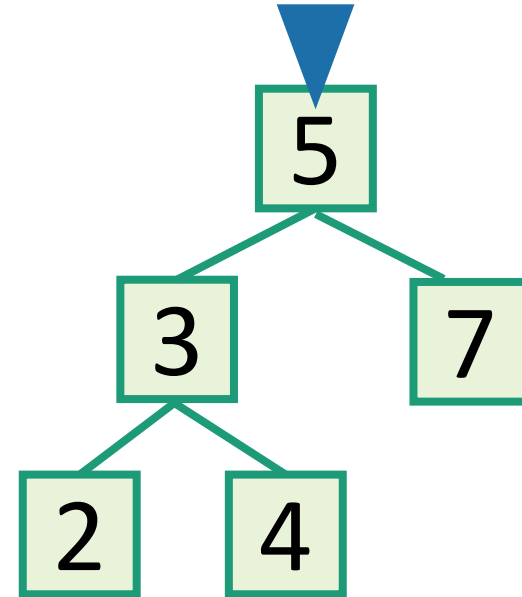


2 3 4

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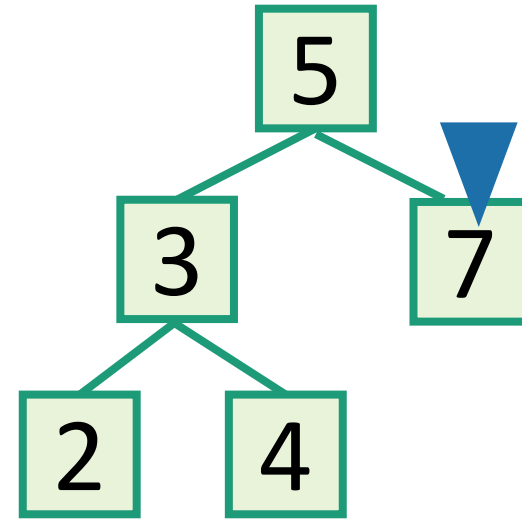
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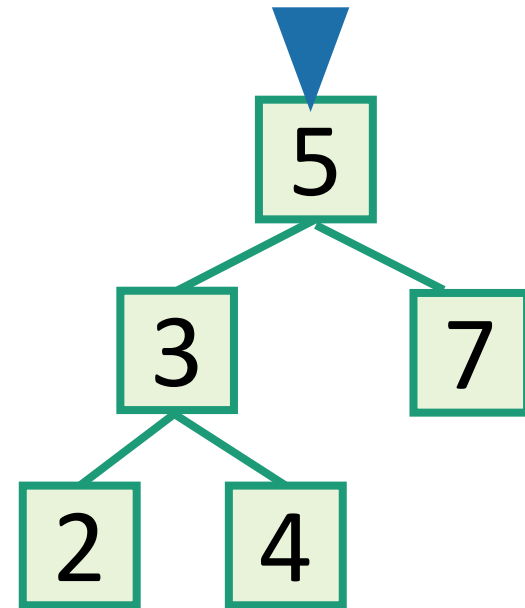
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- Runs in time $O(n)$.



2 3 4 5 7 Sorted!

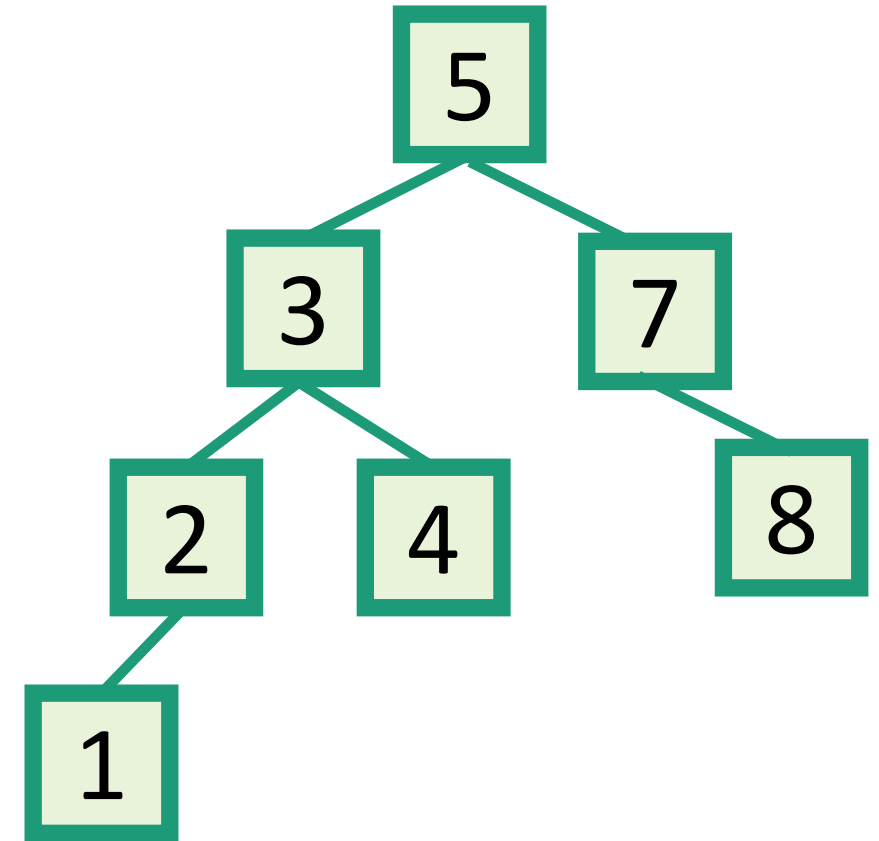
Tree Minimum and Tree Maximum

TREE-MINIMUM(x)

```
1  while  $x.left \neq \text{NIL}$   
2       $x = x.left$   
3  return  $x$ 
```

TREE-MAXIMUM(x)

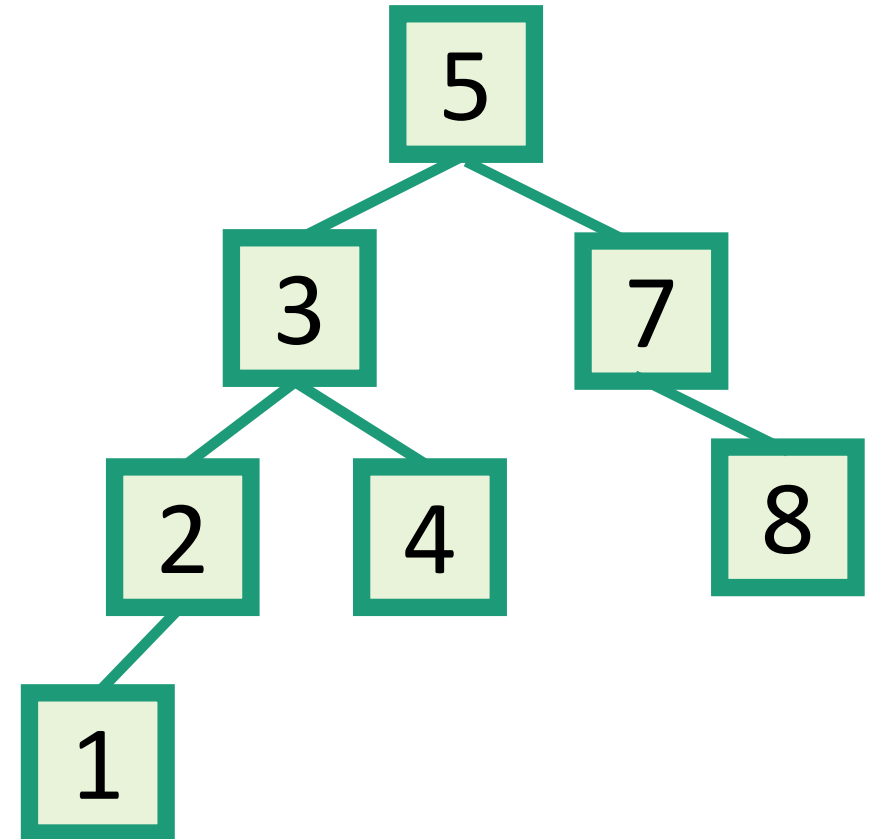
```
1  while  $x.right \neq \text{NIL}$   
2       $x = x.right$   
3  return  $x$ 
```



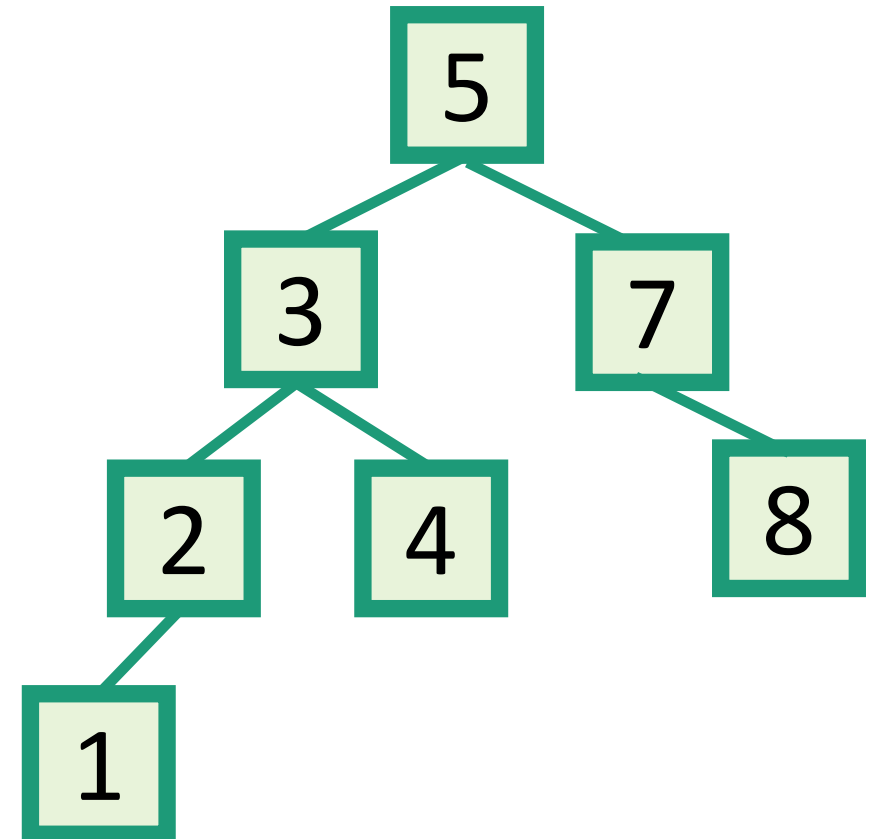
Tree Successor

TREE-SUCCESSOR(x)

```
1  if  $x.right \neq \text{NIL}$ 
2      return TREE-MINIMUM( $x.right$ )
3   $y = x.p$ 
4  while  $y \neq \text{NIL}$  and  $x == y.right$ 
5       $x = y$ 
6       $y = y.p$ 
7  return  $y$ 
```



Tree Predecessor



Back to the goal

Fast **SEARCH**/**INSERT**/**DELETE**

Can we do these?

SEARCH in a Binary Search Tree

definition by example

TREE-SEARCH(x, k)

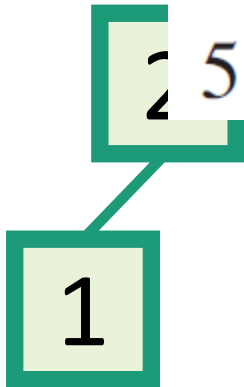
1 **if** $x == \text{NIL}$ or $k == x.\text{key}$

2 **return** x

3 **if** $k < x.\text{key}$

4 **return** TREE-SEARCH($x.\text{left}, k$)

5 **else return** TREE-SEARCH($x.\text{right}, k$)



How long does this take?

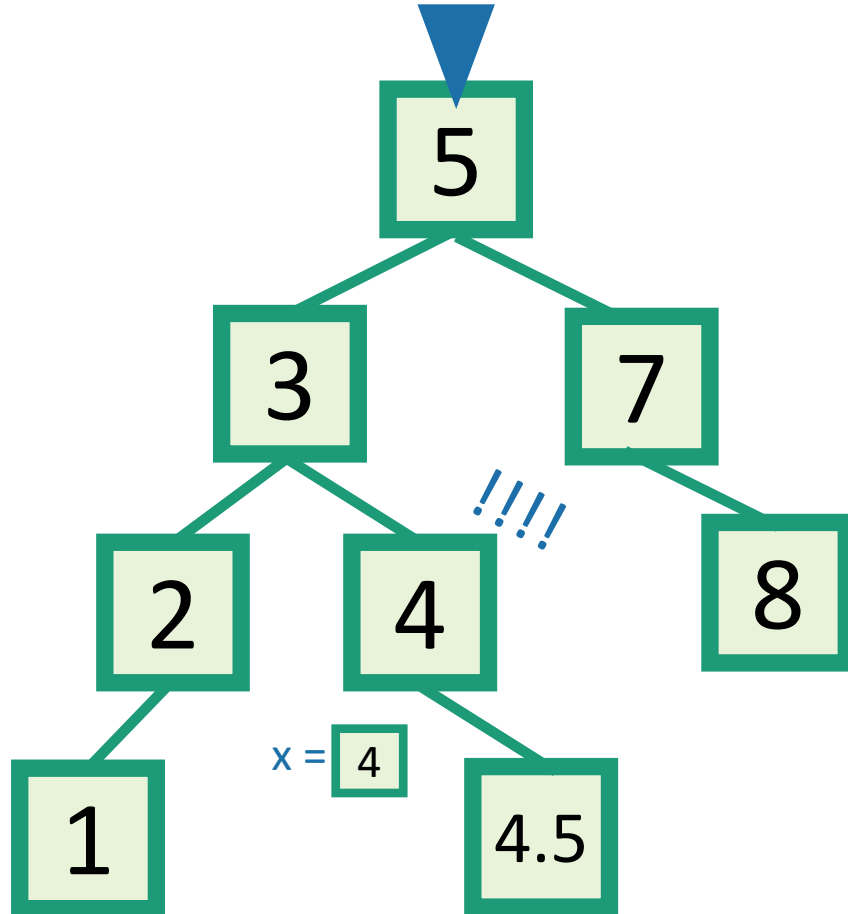
$O(\text{length of longest path}) = O(\text{height})$

Write pseudocode
(or actual code) to
implement this!



Ollie the over-achieving ostrich

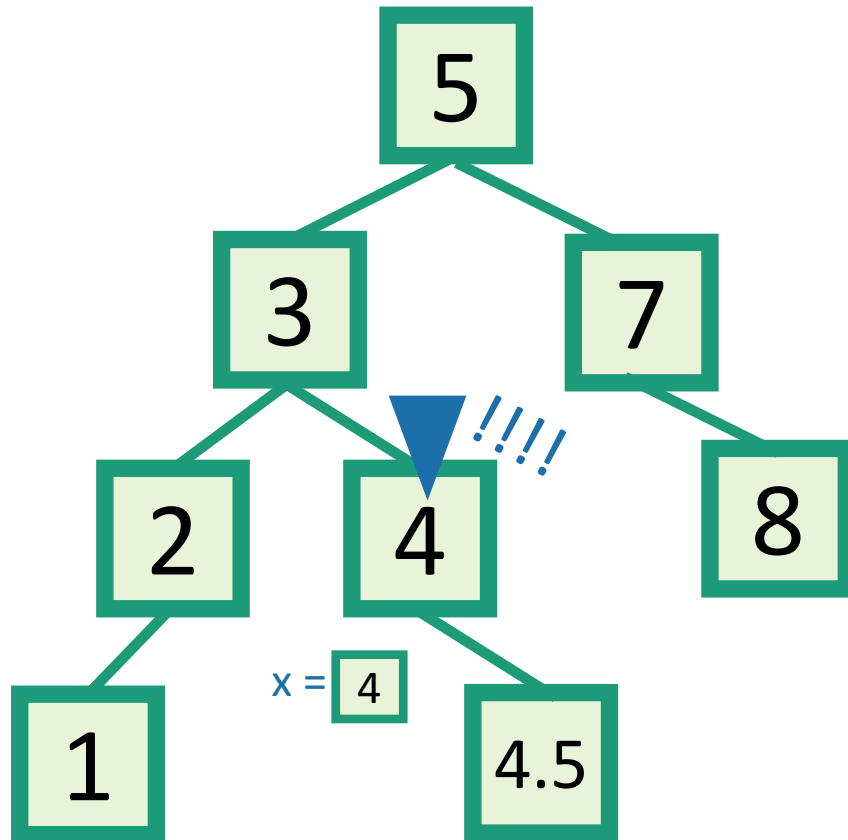
INSERT in a Binary Search Tree



EXAMPLE: Insert 4.5

- **INSERT**(key):
 - $x = \text{SEARCH}(\text{key})$
 - **Insert** a new node with desired key at x ...

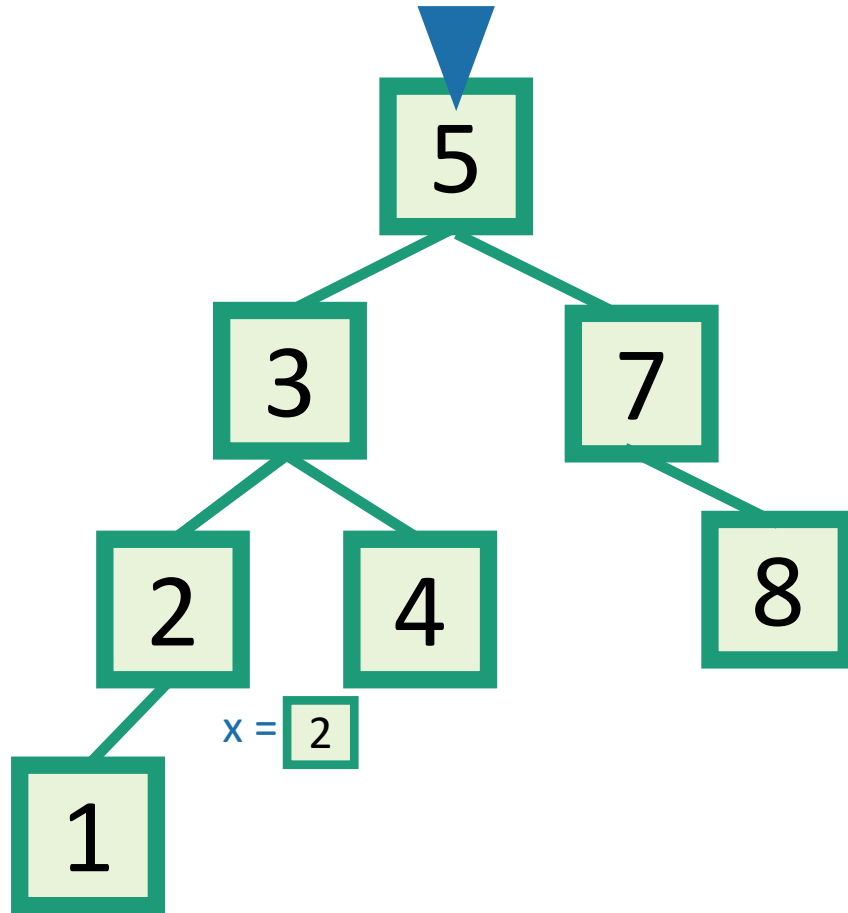
INSERT in a Binary Search Tree



EXAMPLE: Insert 4.5

- **INSERT**(key):
 - $x = \text{SEARCH}(\text{key})$
 - **if** $\text{key} > x.\text{key}$:
 - Make a new node with the correct key, and put it as the right child of x .
 - **if** $\text{key} < x.\text{key}$:
 - Make a new node with the correct key, and put it as the left child of x .
 - **if** $x.\text{key} == \text{key}$:
 - **return**

DELETE in a Binary Search Tree



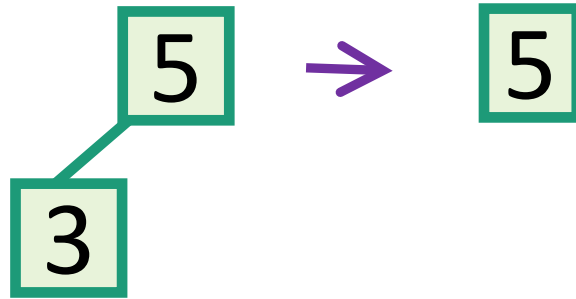
EXAMPLE: Delete 2

- **DELETE**(key):
 - $x = \text{SEARCH}(\text{key})$
 - **if** $x.\text{key} == \text{key}$:
 -delete x....

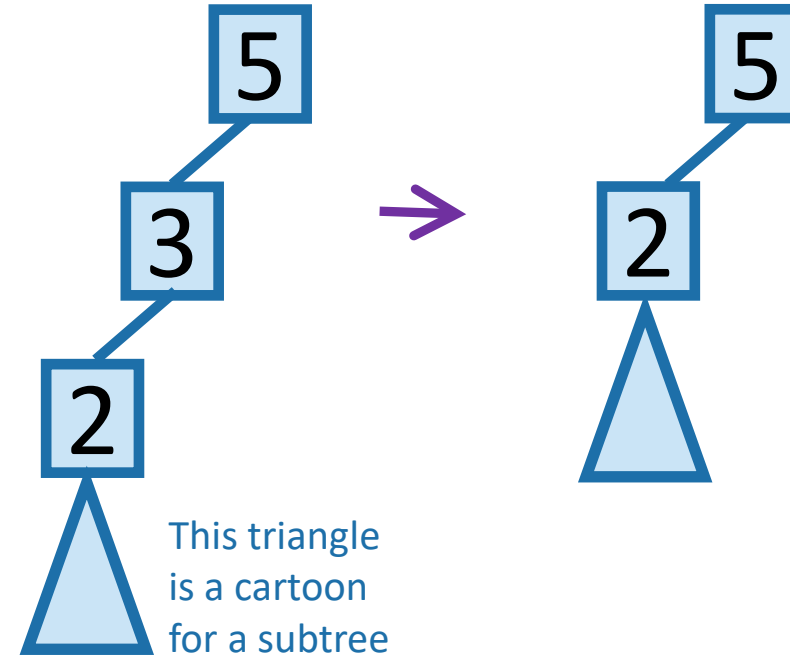
DELETE in a Binary Search Tree

several cases (by example)

say we want to delete 3



Case 1: if 3 is a leaf,
just delete it.



Case 2: if 3 has just one child,
move that up.

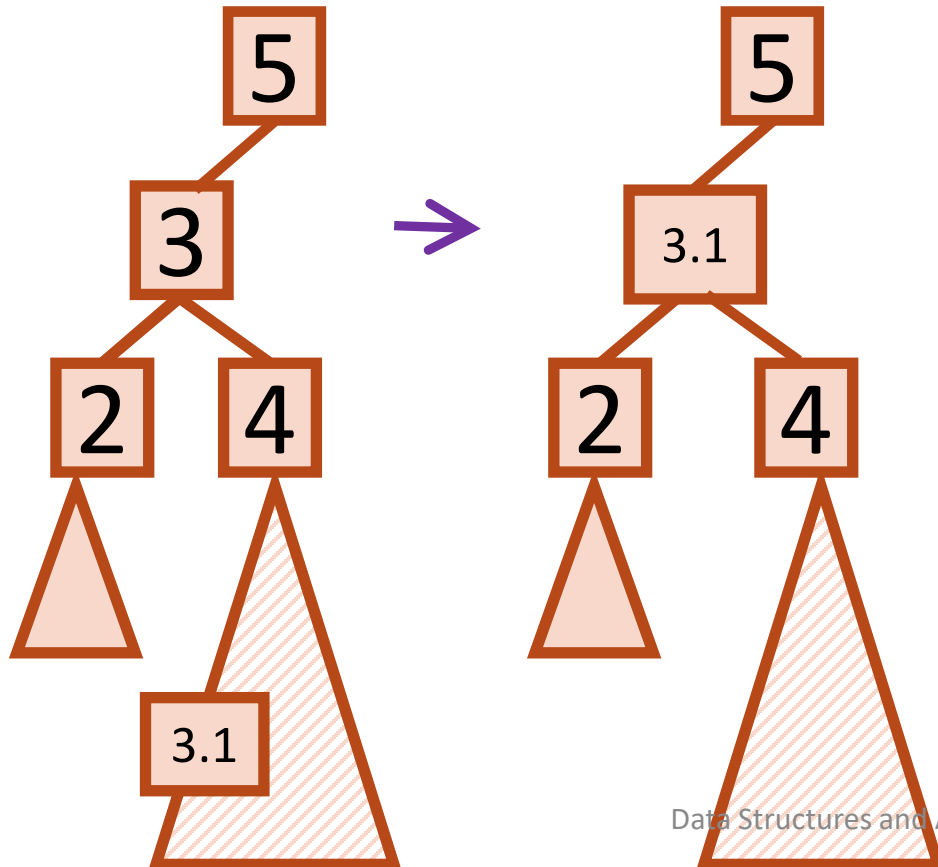
Write pseudocode for all of these!



DELETE in a Binary Search Tree

ctd.

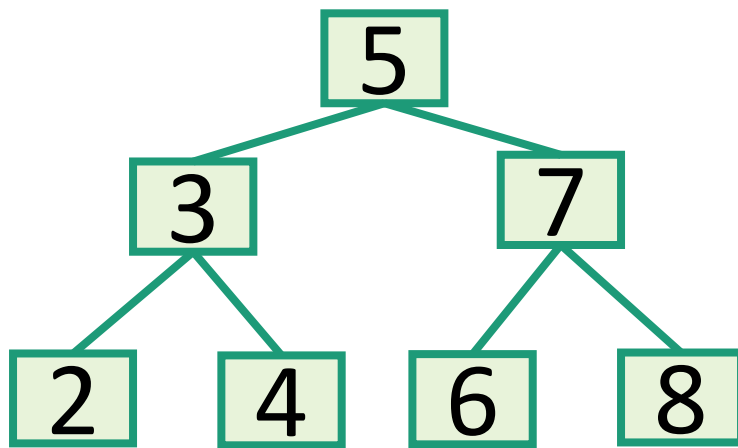
Case 3: if 3 has two children,
replace 3 with its **immediate successor**.
(aka, next biggest thing after 3)



- Does this maintain the BST property?
 - Yes.
- How do we find the immediate successor?
 - SEARCH for 3 in the subtree under 3.right
- How do we remove it when we find it?
 - If [3.1] has 0 or 1 children, do one of the previous cases.
- What if [3.1] has two children?
 - It doesn't.

How long do these operations take?

- **SEARCH** is the big one.
 - Everything else just calls **SEARCH** and then does some small $O(1)$ -time operation.



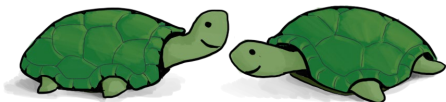
Time = $O(\text{height of tree})$

Trees have depth $O(\log(n))$. **Done!**

Wait a second...

How long does search take?

1 minute think; 1 minute pair+share

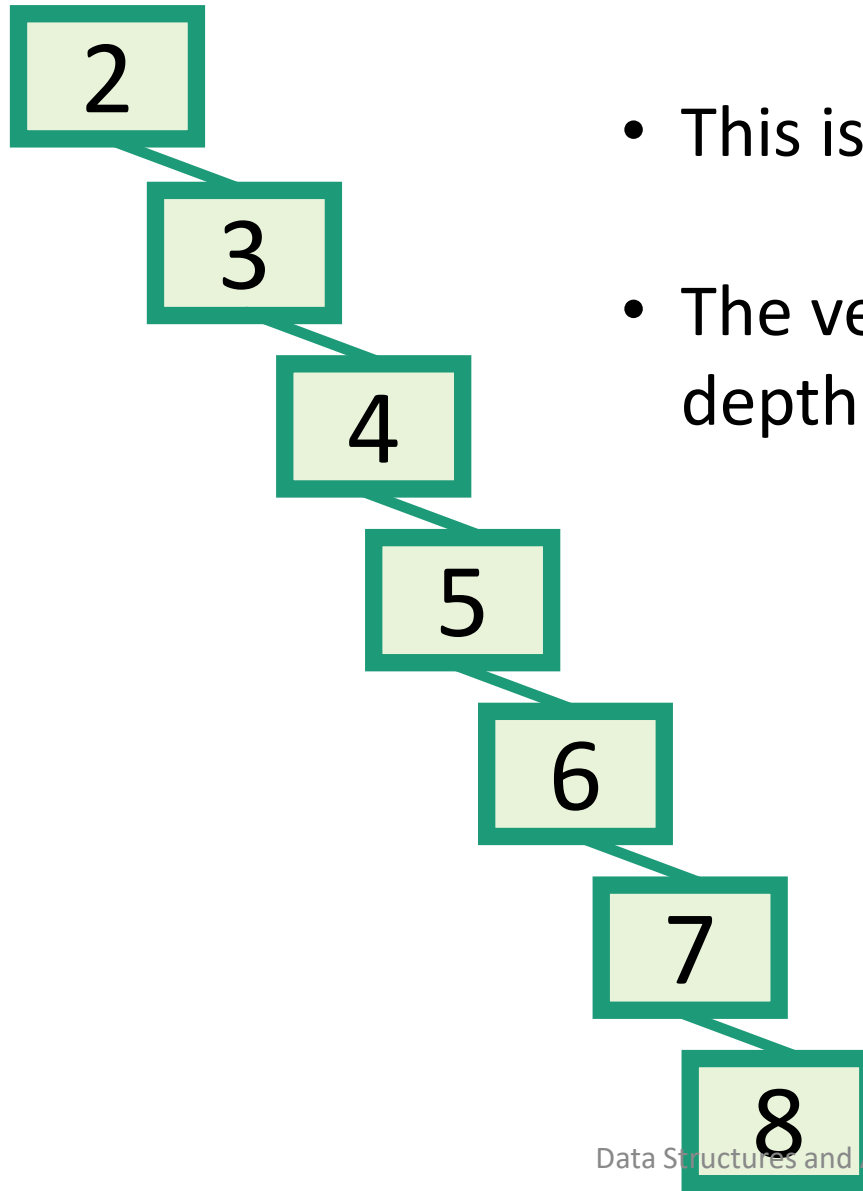


Lucky the
lackadaisical lemur.



Plucky the
Pedantic Penguin

Search might take time $O(n)$.



- This is a valid binary search tree.
- The version with n nodes has depth n , **not** $O(\log(n))$.

What to do?

How often is “every so often” in the worst case?
It’s actually pretty often!



Ollie the over-achieving ostrich

- Goal: Fast **SEARCH**/**INSERT**/**DELETE**
- All these things take time $O(\text{height})$
- And the height might be big!!! ☹️
- Idea 0:
 - Keep track of how deep the tree is getting.
 - If it gets too tall, re-do everything from scratch.
 - At least $\Omega(n)$ every so often....