# Contents

1	Bac	ckground
	1	Modular Arithmetic
		1.1 Modular Exponentiation
<b>2</b>	Dis	screte Logarithms
	2	Baby-step giant-step
	3	Pohlig-Hellman Algorithm
	4	Index Calculus Algorithm
3	RS.	$\mathbf{A}$
	5	Key Generation
		5.1 Euler's Totient Function vs Carmichael Function
	6	Encryption/Decryption
	7	Attacks/Pitfalls
		7.1 Factoring N from $\lambda(N)$ or $\phi(N)$
		7.2 Factoring N from d
		7.3 Broken RSA
		7.4 Small Private Exponent

## Chapter 1 Background

## § 1 Modular Arithmetic

### § 1.1 MODULAR EXPONENTIATION

**Theorem 1.1** (Fermat's Little Theorem). Let p be a prime number, then

$$a^p \equiv a \pmod{p}$$
.

Furthermore, if a is coprime to p, an equivalent statement is that

$$a^{p-1} \equiv 1 \pmod{p}$$
.

**Theorem 1.2** (Euler's Theorem). A generalization of fermat's little theorem to arbitrary moduli. It states that for all a coprime to n the following holds

$$a^{\phi(n)} \equiv 1 \pmod{n}$$

where  $\phi$  is euler's totient function, defined as the amount of integers less than n that are coprime to n. Equivalently  $\phi(n)$  is the order of the unit group of  $\mathbb{Z}/n\mathbb{Z}$ .

*Proof.* The residue classes of the integers coprime to n form a group under multiplication. The order of that group is  $\phi(n)$ . Let a be an element of this group and k be it's order. Lagrange's theorem implies that  $k|\phi(n)$ , so  $\phi(n)$  is a multiple of k. We also know that  $a^k \equiv 1 \pmod{n}$  per definition of the order. It follows that  $a^{\phi(n)} \equiv a^{kM} \equiv (a^k)^M \equiv 1^M \equiv 1 \pmod{n}$ .  $m^{\lambda N} \equiv 1$ 

**Definition 1.1** (Euler's Totient Function). Euler's totient function, often also called euler's phifunction, or just  $\phi$  of a positive natural number n is the amount of positive integers coprime to n less than n, or alternatively the order of the group of units of  $\mathbb{Z}/n\mathbb{Z}$ .

**Definition 1.2** (Carmichael Function). The Carmichael function  $\lambda(n)$  is defined as the smallest positive integer m so that

$$a^m \equiv 1 \pmod{n}$$

for every a coprime to n. The value of the carmichael function is also called the exponent of the multiplicative group of integers modulo n.

**Definition 1.3** (Quadratic Residue). A number q is called a **quadratic residue** modulo n if it is congruent to a perfect square modulo n, meaning there exists x so that:

$$x^2 \equiv q \pmod{n}$$
.

Otherwise, q is called a quadratic nonresidue modulo n.

# Chapter 2 Discrete Logarithms

## § 2 Baby-step giant-step

#### Algorithm 1 Shanks's Babystep-Giantstep Algorithm

```
Require: g^x = b
n \leftarrow 1 + \lfloor \sqrt{N} \rfloor
b \leftarrow \{e, g, g^2, \dots, g^n\}
for \mathbf{do}j \in \{0, 1, \dots, n\}
\mathbf{table}[g^j] = j
end for
\gamma \leftarrow b
for all i \in \{0, 1, \dots, n\} do
if \gamma \in \mathbf{table} then
\mathbf{return} \ in + \mathbf{table}[\gamma]
else
\gamma \leftarrow \gamma \cdot g^{-n}
end if
end for
```

#### Correctness

To prove that we always find a solution we need to show that there is always a match.

*Proof.* We rewrite x as follows:

$$x = nq + r, 0 \le r < n$$

We can solve for q and since  $n > \sqrt{N}$  we obtain:

$$q = \frac{x-r}{n} < \frac{N}{n} < \frac{N}{\sqrt{N}} = \sqrt{N} < n$$

Rewriting the discrete logarithm we get:

$$g^r = b \cdot g^{-nq}$$

And since  $0 \le r < n$ ,  $g^r$  is in the babysteps and because q < n,  $-nq < -n^2$ , so  $b \cdot g^{-nq}$  is in the giant steps, therefore we always find a solution.

## TIME/SPACE COMPLEXITY

Since we have  $\mathcal{O}(1)$  lookup into the hashtable we have time complexity  $\mathcal{O}(n) = \mathcal{O}(\sqrt{n})$ . This consists of 2n modular exponentiations and n hash table inserts and lookups respectively. Regarding space, we have a hash table storing n+1 elements, therefore we have  $\mathcal{O}(\sqrt{n})$  space complexity.

- § 3 Pohlig-Hellman Algorithm
- § 4 Index Calculus Algorithm

## Chapter 3 RSA

Public-Key Cryptosytem based on the infeasibility of the integer factorization problem. Encrypt messages via modular exponentiation to a public key (consisting of an exponent and a modulus) and decrypt via a private key derived from the public key and the primes used to generate it.

## § 5 KEY GENERATION

- 1. Choose (random) large primes p, q
- 2. Calculate N = pq and  $\lambda(N) = \operatorname{lcm}(\phi(p), \phi(q)) = \operatorname{lcm}(p-1, q-1)$
- 3. Choose a public exponent  $3 \le e < \lambda(N)$  with  $gcd(e, \lambda(N)) = 1$
- 4. Calculate the private exponent  $d \equiv e^{-1} \pmod{\lambda(N)}$

## § 5.1 EULER'S TOTIENT FUNCTION VS CARMICHAEL FUNCTION

Originally RSA was formulated by using  $\phi(N)=(p-1)(q-1)$  as the modulus for the inverse calculation, instead of  $\lambda(N)$ . Correctness for RSA is still guaranteed this way, but  $\gcd(e,\phi(N))=1$  is only a sufficient condition for a valid RSA public key, while  $\gcd(e,\lambda(N))=1$  is a necessary condition.

*Proof.* Clearly  $\lambda(N)|\phi(N)$ , as all orders in the multiplicative group divide  $\phi$  and therefore if  $\lambda(N)$  were greater than  $\phi(N)$  it would not be the least common multiple. It follows that any tuple (d, e, N) generated using  $\phi(N)$  is also valid for computations using the carmichael function, as

$$m^{ed} \equiv m^{1+k\phi(N)} \equiv m^{1+kM\lambda(N)} \equiv m^1 \pmod{N}.$$

On the other hand some tuple (d, e, N) generated using the carmichael function does not guarantee  $gcd(e, \phi(N)) = 1$ , as the following does not generally hold

$$ed \equiv 1 + k\lambda(N) \pmod{\phi N}$$

because  $\lambda(N) \leq \phi(N)$  implies that  $k\lambda(N)$  might not be a multiple of  $\phi(N)$ .

## § 6 ENCRYPTION/DECRYPTION

Alice wants to send m to Bob. Alice calculates

$$c \equiv m^e \pmod{N}$$

where (e, N) is Bob's public key and sends it to Bob. Bob receives c and decrypts it via:

$$c^d \equiv (m^e)^d \equiv m^{de} \equiv m \pmod{N}$$

This congruence relation works due to euler's theorem. Since we have  $de \equiv 1 \pmod{\lambda(N)}$  it follows that  $de = 1 + k\lambda(N)$ , so  $m^{de} \equiv m^{1+k\lambda(N)} \equiv m^1 m^{k\lambda(N)} \equiv m^1$ .

## § 7 ATTACKS/PITFALLS

Don't implement RSA by yourself. There are endless mistakes that immediately compromise the security of the implementation. The following will list a bunch of these and explain how to exploit them.

## § 7.1 Factoring N from $\lambda(N)$ or $\phi(N)$

Assuming we somehow obtain the values for  $\lambda(N)$  or  $\phi(N)$  that were used when generating the RSA keys, we can obviously easily decrypt messages by calculating  $d = e^{-1} \pmod{\lambda(N)}$ , but can we also factor N directly? Yes! In the following we assume the standard practice of generating N as the product of two distinc primes p, q was used.

Knowing  $\phi(N)$ 

We have  $\phi(N) = (p-1)(q-1) = N - p - q + 1$ . So  $N - (p+q) + 1 = \phi(N)$  and  $p+q = N - \phi(N) + 1$ . Now look at  $f(x) = (x-p)(x-q) = x^2 - (p+q)x + pq$ , which has its roots at p and q. By substituting in (p+q) we get an equation that only depends on N and  $\phi(N)$ :

$$x^{2} - (N - \phi(N) + 1)x + pq$$

We obtain p and q by applying the quadratic formula:

$$p,q = \frac{N - \phi(N) + 1}{2} \pm \sqrt{\frac{(N - \phi(N) + 1)^2}{4} - 1}$$

Knowning  $\lambda(N)$ 

#### § 7.2 Factoring N from D

We can also factor N if we only have the private exponent d. The basis for the attack are square roots of 1 modulo N. If we have:

$$y^{2} \equiv 1 \pmod{N}$$

$$y^{2} - 1 \equiv 0 \pmod{N}$$

$$(y+1)(y-1) \equiv 0 \pmod{N}$$

because p|N and q|N and the zero product property holds, we know that:

$$y \equiv \pm 1 \pmod{p}$$
 and  $y \equiv \pm 1 \pmod{q}$ .

If we find a solution to one of the following non-trivial system of equations:

$$y \equiv 1 \pmod{p}$$

```
y \equiv -1 \pmod{q}
```

(resp. the other non-trivial one), then we have p|y-1 and p|N, so we can factor N via  $p=\gcd(y-1,N)$ .

To actually calculate the square roots we use the fact that if we have  $a^b = c$  we can calculate  $\sqrt{c}$  easily as  $a^{\frac{b}{2}}$  if 2|b. Note that the following therefore only works if 2|k. This is certainly true if  $\phi(N)$  was used during generation of the keys, because  $\phi(N) = (p-1)(q-1)$  is obviously even, but I'm not sure if this is the case for the carmichael function as well. This leads us to the following algorithm:

#### Algorithm 2 Factoring N given d

```
Ensure: k = 2^t r, r odd k \leftarrow ed - 1 loop t \leftarrow k x \leftarrow \operatorname{randrange}(2, N - 1) while t is even do t \leftarrow t/2 s \leftarrow \operatorname{pow}(x, t, N) if x > 1 \land \gcd(x - 1, N) > 1 then p \leftarrow \gcd(x - 1, N) return (p, N/p) end if end while end loop
```

There exist possible optimizations, e.g. using increasing primes instead of random choices for  $x^1$ .

#### § 7.3 Broken RSA

This is based on a challenge on Cryptohack. In it, a prime p was used as the modulus and e was not coprime to  $\lambda(p) = p - 1$ . If gcd(e, p - 1) = 1 it is extremely easy to decrypt the ciphertext, as  $d \equiv e^{-1} \pmod{p}$  is easy to calculate. But as this was not the case, e is not invertible in  $\mathbb{Z}/(p-1)\mathbb{Z}$ . The trick is to divide out the common divisors of e and  $\lambda(n)$  and try out all posible values for m, which are combinations of some arbitrary solution and e-th roots of unity.

Basically if we define  $s = p_1 \dots p_k$  as the product of the shared prime factors of e and  $\phi(n)$ , the values  $a^{\frac{\phi(n)}{s}} \pmod{n}$  for arbitrary a are all e-th roots of unity, since

$$(a^{\frac{\phi(n)}{s}})^e \equiv a^{\frac{\phi(n)e}{s}} \equiv a^{\phi(n)\frac{e}{s}} \equiv 1 \pmod{n}$$

because  $\frac{e}{s}$  is an integer, as all factors of s are also factors of e by definition. It follows that all solutions of  $x^e \equiv c \pmod{n}$  are of the form  $x = x_0 * \zeta^i$  where  $\zeta$  is a primitive e-th root of unity and  $x_0$  is some initial solution. We obtain an initial solution easily via  $x_0 \equiv c^d \pmod{n}$  with  $d \equiv e^{-1} \pmod{\frac{\phi(n)}{s}}$ . Clearly there are e e-th roots of unity and the fundamental theorem of algebra tells us that  $x^e - c \equiv 0$  has (at most) e solutions, therefore all possible solutions are of this form.

 $<sup>^{1}\</sup>mathrm{See}$  this post for more info https://crypto.stackexchange.com/questions/62482/algorithm-to-factorize-n-given-n-e-d

FINDING THE ROOTS OF UNITY

### § 7.4 SMALL PRIVATE EXPONENT

There are two algorithms here, wiener's attack and boneh's attack.

#### WIENER'S ATTACK

(The following assumes the  $\phi$ -function was used when generating the keys)

If the private exponent is small enough, there is an efficient algorithm to recover d from the public key (e, N), called Wiener's attack. We know that  $ed = k\phi(n) + 1$  and since  $\phi(n) = pq - p - q + 1$  and  $pq \gg p, q$  we can approximate  $\phi(n) \approx n$ . Our goal is to get to an approximation of the private key based on public information. We can get such a result by dividing  $ed = k\phi(n) + 1$  by  $d\phi(n)$ :

$$\begin{split} \frac{e}{\phi(n)} &= \frac{k\phi(n)+1}{d\phi(n)} \\ &= \frac{k}{d} + \frac{1}{d\phi(n)} \\ &\approx \frac{k}{d}. \end{split}$$

**Theorem 7.1** (Wiener's Theorem). Let n = pq with  $q . Let <math>d < \frac{1}{3}n^{\frac{1}{4}}$ . Given (e, n) with  $ed = 1 \pmod{\phi(n)}$ , Mallory can efficiently recover d.

*Proof.* We first note that  $n - \phi(n) < 3\sqrt{n}$ , because  $n - \phi(n) = p + q - 1 . And by using our approximation <math>\phi(n) \approx n$ , we get  $\frac{e}{n} \approx \frac{k}{d}$ . We now calculate the error of this approximation:

$$\left| \frac{e}{n} - \frac{k}{d} \right| = \left| \frac{ed - k\phi(n) - kn + k\phi(n)}{nd} \right|$$
$$= \left| \frac{1 - k(n - \phi(n))}{nd} \right| \le \left| \frac{3k\sqrt{n}}{nd} \right| = \frac{3k}{d\sqrt{n}}$$

Now,  $k\phi(n) = ed - 1 < ed$ . From  $e < \phi(n)$  we can deduce:

$$k<\frac{k\phi(n)}{e}< d<\frac{1}{3}N^{\frac{1}{4}}$$

Plugging this in (using  $2d < 3d < n^{\frac{1}{4}} \implies \frac{1}{2d} > \frac{1}{n^{\frac{1}{4}}}$ ):

$$\left| \frac{e}{n} - \frac{k}{d} \right| \le \frac{n^{\frac{1}{4}}}{d\sqrt{n}} = \frac{1}{dn^{\frac{1}{4}}} < \frac{1}{2d^2}.$$

Now, apparently if  $|x - \frac{a}{b}| < \frac{1}{2b^2}$ , then  $\frac{a}{b}$  will be a convergent in the continued fraction expansion of x and the amount of convergents between x and  $\frac{a}{b}$  is closely bounded by  $log_2n$ . Therefore, we recover d in linear time (linear in the bitlength of n).

We obtain the same approximation if we use  $\lambda(n)$ , there's just an extra gcd(p-1, q-1) in the equations that eventually disappears.