

Ch. 8 Algorithm Efficiency & Sorting

- $O()$: Big-Oh
 - An algorithm is said to take $O(f(n))$ if its running time is **upper-bounded by $cf(n)$**
 - e.g., $O(n)$, $O(n \log n)$, $O(n^2)$, $O(2^n)$, ...
- Formal definition
 - $O(f(n)) = \{ g(n) \mid \exists c > 0, n_0 \geq 0 \text{ s.t. } \forall n \geq n_0, cf(n) \geq g(n) \}$
 - $g(n) \in O(f(n))$ 이 맞지만 관행적으로 $g(n) = O(f(n))$ 이라고 쓴다.
- 직관적 의미
 - $g(n) = O(f(n)) \Rightarrow g$ grows no faster than f

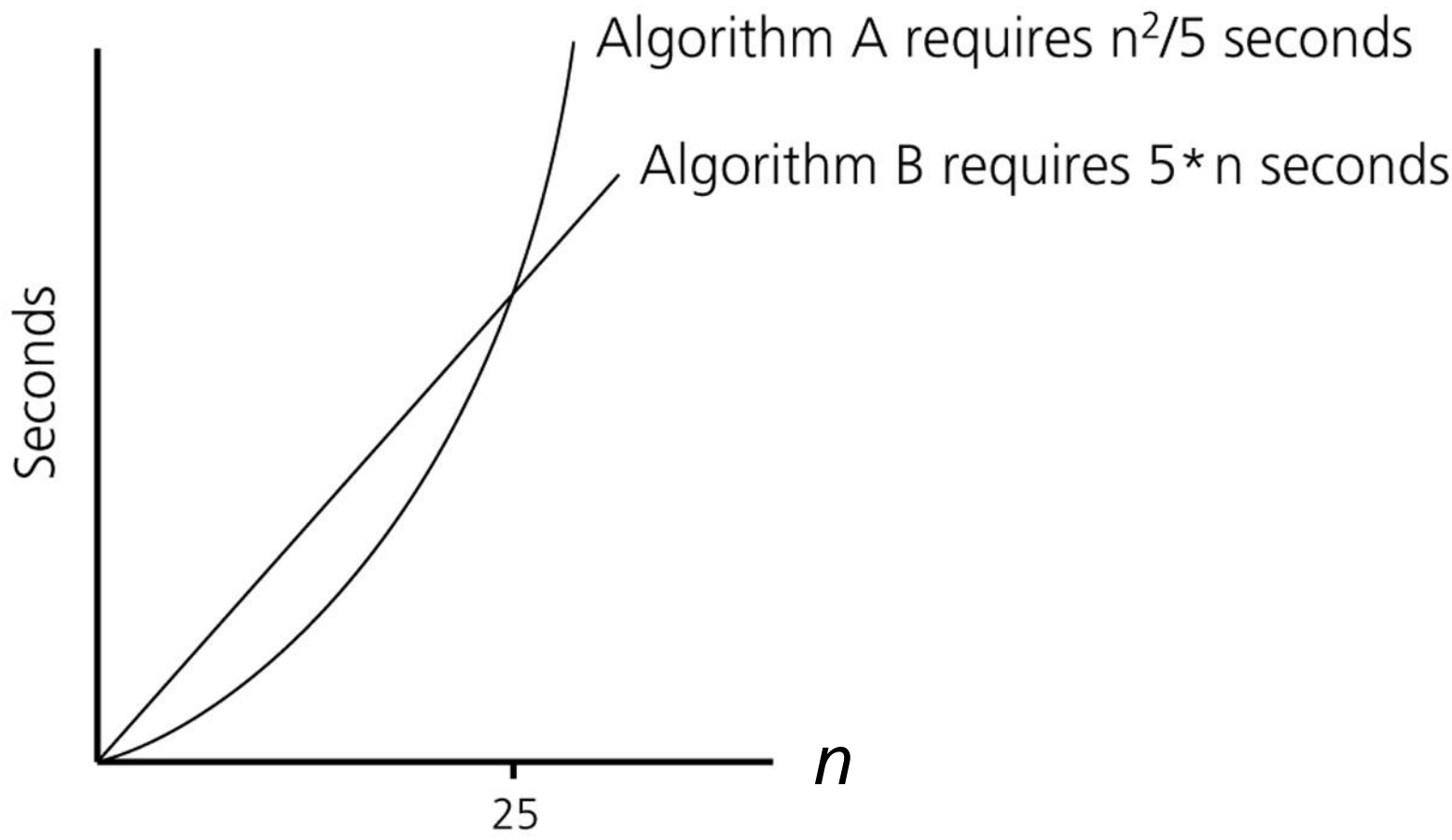
$\Omega(f(n))$

- 적어도 $f(n)$ 의 비율로 증가하는 함수
- $O(f(n))$ 과 대칭적

 $\Theta(f(n))$

- $f(n)$ 의 비율로 증가하는 함수
- $\Theta(f(n)) = O(f(n)) \cap \Omega(f(n))$

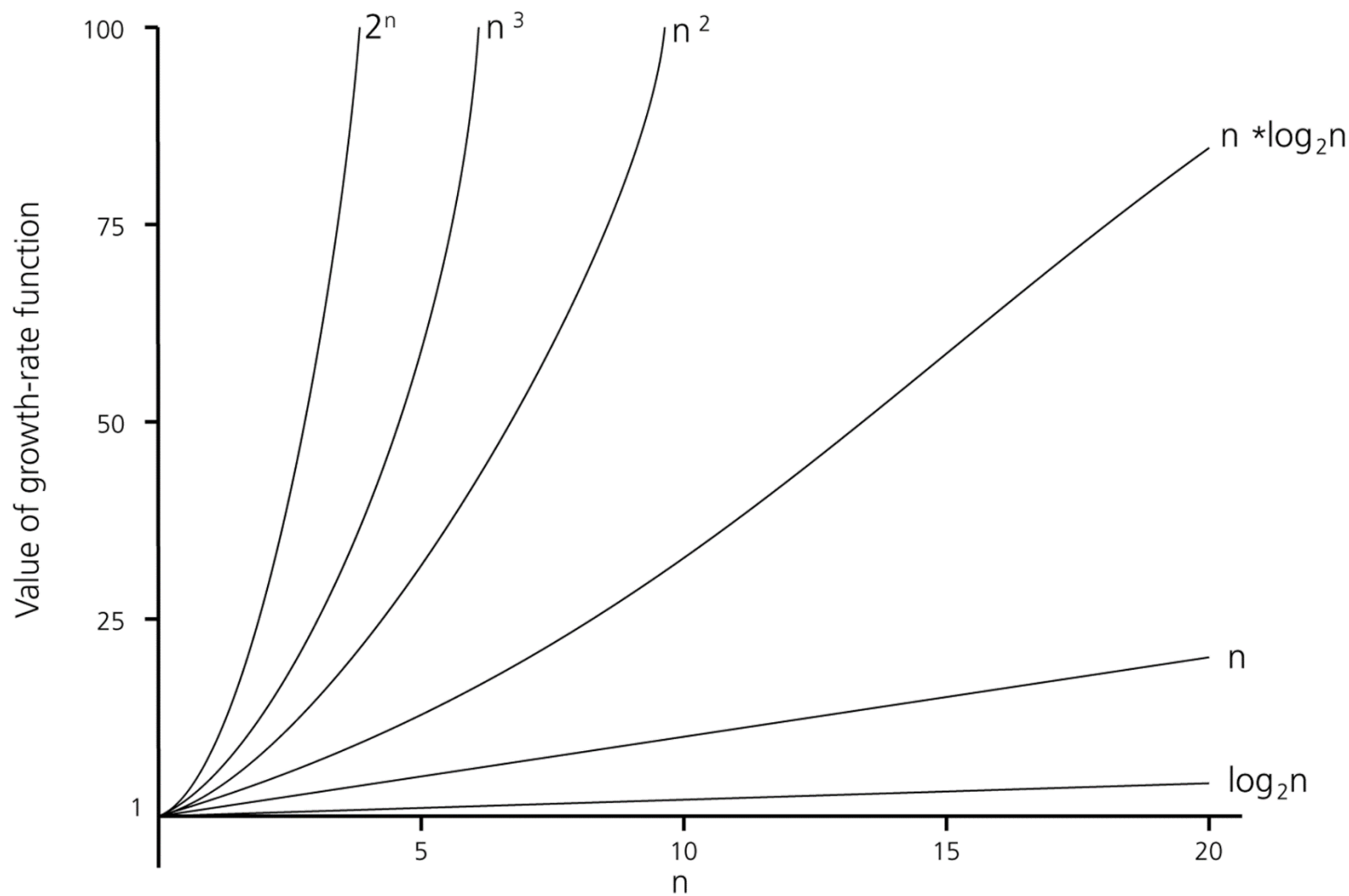
Time requirements as a function of the problem size n



A comparison of growth-rate functions

Function	n					
	10	100	1,000	10,000	100,000	1,000,000
1	1	1	1	1	1	1
$\log_2 n$	3	6	9	13	16	19
n	10	10^2	10^3	10^4	10^5	10^6
$n * \log_2 n$	30	664	9,965	10^5	10^6	10^7
n^2	10^2	10^4	10^6	10^8	10^{10}	10^{12}
n^3	10^3	10^6	10^9	10^{12}	10^{15}	10^{18}
2^n	10^3	10^{30}	10^{301}	$10^{3,010}$	$10^{30,103}$	$10^{301,030}$

A comparison of growth-rate functions



Types of Running-Time Analysis

- **Worst-case** analysis
 - Analysis for the worst-case input(s)
- **Average-case** analysis
 - Analysis for all inputs
 - More difficult to analyze
- **Best-case** analysis
 - Analysis for the best-case input(s)
 - Mostly not meaningful

Running Time for Search in an Array

- Sequential search
 - Worst case: $\Theta(n)$
 - Average case: $\Theta(n)$
- Binary search
 - Worst case: $\Theta(\log n)$
 - Average case: $\Theta(\log n)$ ← 각자 확인 요망!

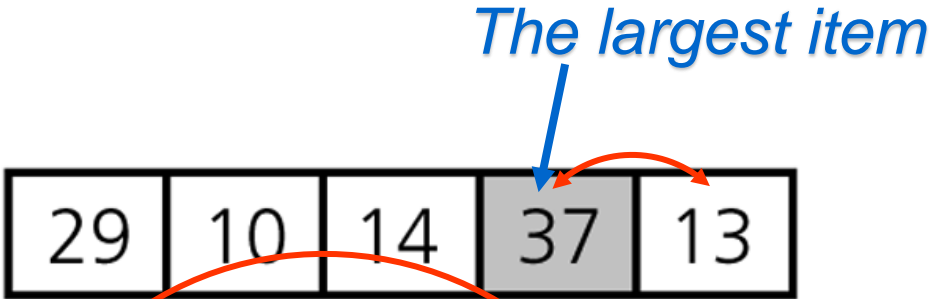
Sorting Algorithms

- 대부분 $\Theta(n^2)$ 과 $\Theta(n \log n)$ 사이
- Input이 특수한 성질을 만족하는 경우에는 $\Theta(n)$ sorting도 가능
 - E.g., input이 $-O(n)$ 과 $O(n)$ 사이의 정수

Selection Sort

- An iteration
 - Find the largest item
 - Swap it to the rightmost place
 - Exclude the rightmost item
- Repeat the above iteration until only one item remains

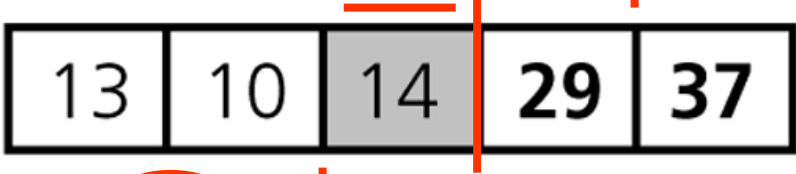
Initial array:



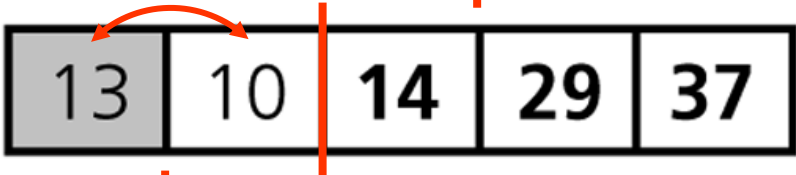
After 1st swap:



After 2nd swap:



After 3rd swap:



After 4th swap:



✓ Running time: $(n-1)+(n-2)+\cdots+2+1 = \theta(n^2)$
 ↙ Worst case
 ↘ Average case

```

selectionSort(theArray[ ], n)
{
    for (last =  $n-1$ ; last  $\geq 1$ ; last--) {
        largest = indexOfLargest(theArray, last+1);
        Swap theArray[largest] & theArray[last];
    }
}
indexOfLargest(theArray, size)
{
    largest = 0;
    for ( $i = 1$ ;  $i < \text{size}$ ; ++ $i$ ) {
        if (theArray[ $i$ ] > theArray[largest]) largest =  $i$ ;
    }
}

```

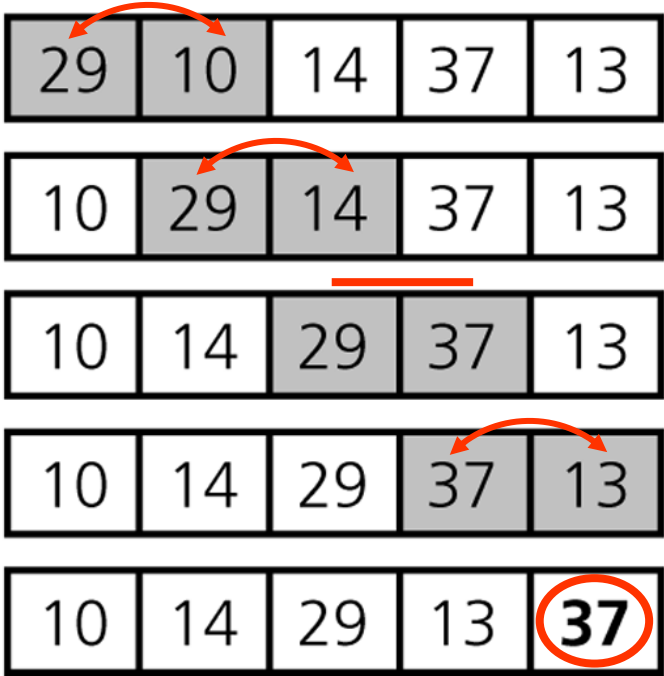
- ✓ Running time: 두 함수의 **for** loop의 iteration 수의 합이 좌우
 - indexOfLargest가 $n-1$ 번 call 되고,
 - call 될 때마다 indexOfLargest의 수행시간은 한 단계씩 가벼워진다.

✓ $(n-1)+(n-2)+\cdots+2+1 = \Theta(n^2)$

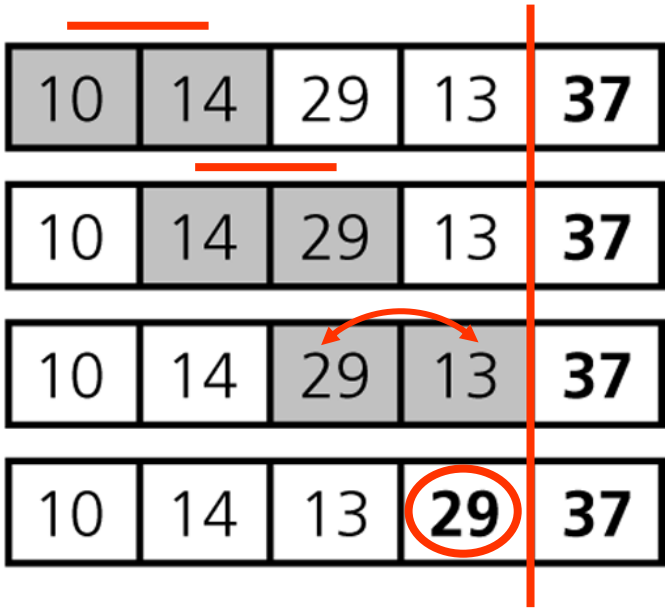
Bubble Sort

(a) Pass 1

Initial array:



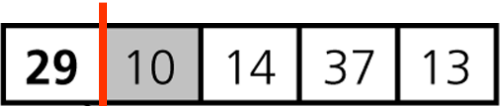
(b) Pass 2



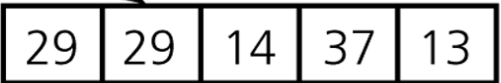
✓ Running time: $(n-1)+(n-2)+\cdots+2+1 = \theta(n^2)$ Worst case
Average case

Insertion Sort

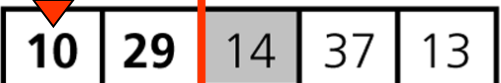
Initial array:



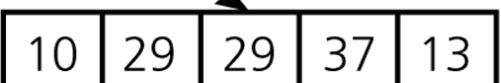
Copy 10



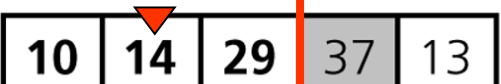
Shift 29



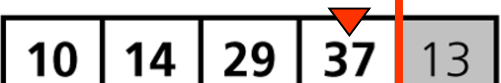
Insert 10; copy 14



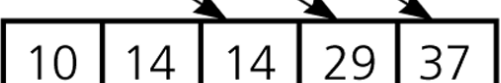
Shift 29



Insert 14; copy 37, insert 37 on top of itself

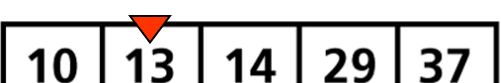


Copy 13



Shift 37, 29, 14

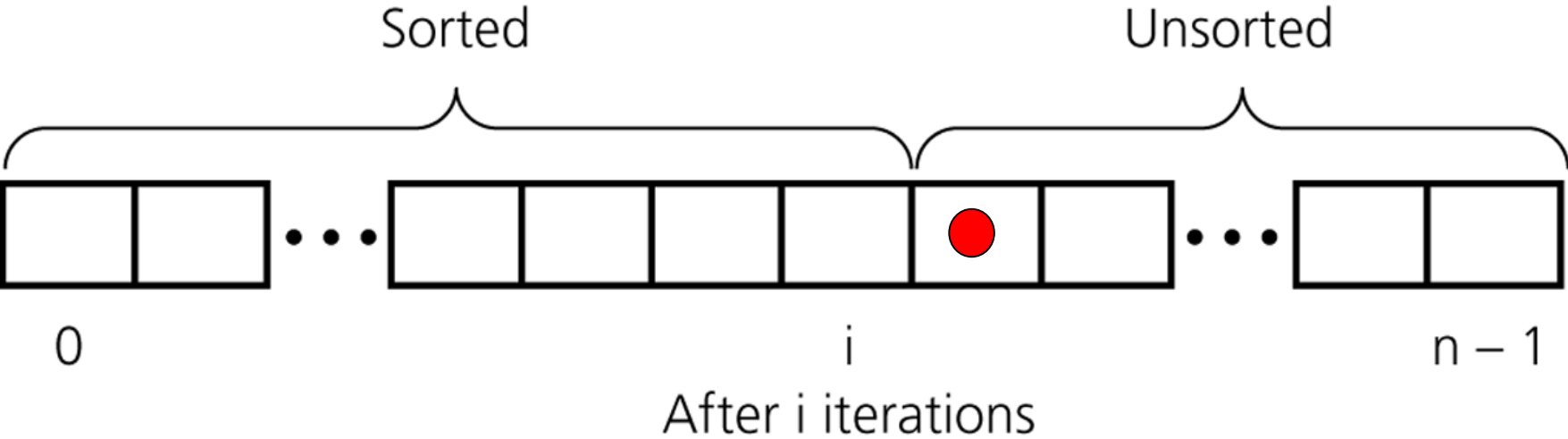
Sorted array:



Insert 13

✓ Running time: $\Theta(n^2)$
 ← Worst case: $1+2+\dots+(n-2)+(n-1)$
 ← Average case: $\frac{1}{2} (1+2+\dots+(n-2)+(n-1))$

Insertion sort에서 중간의 한 시점



Mergesort

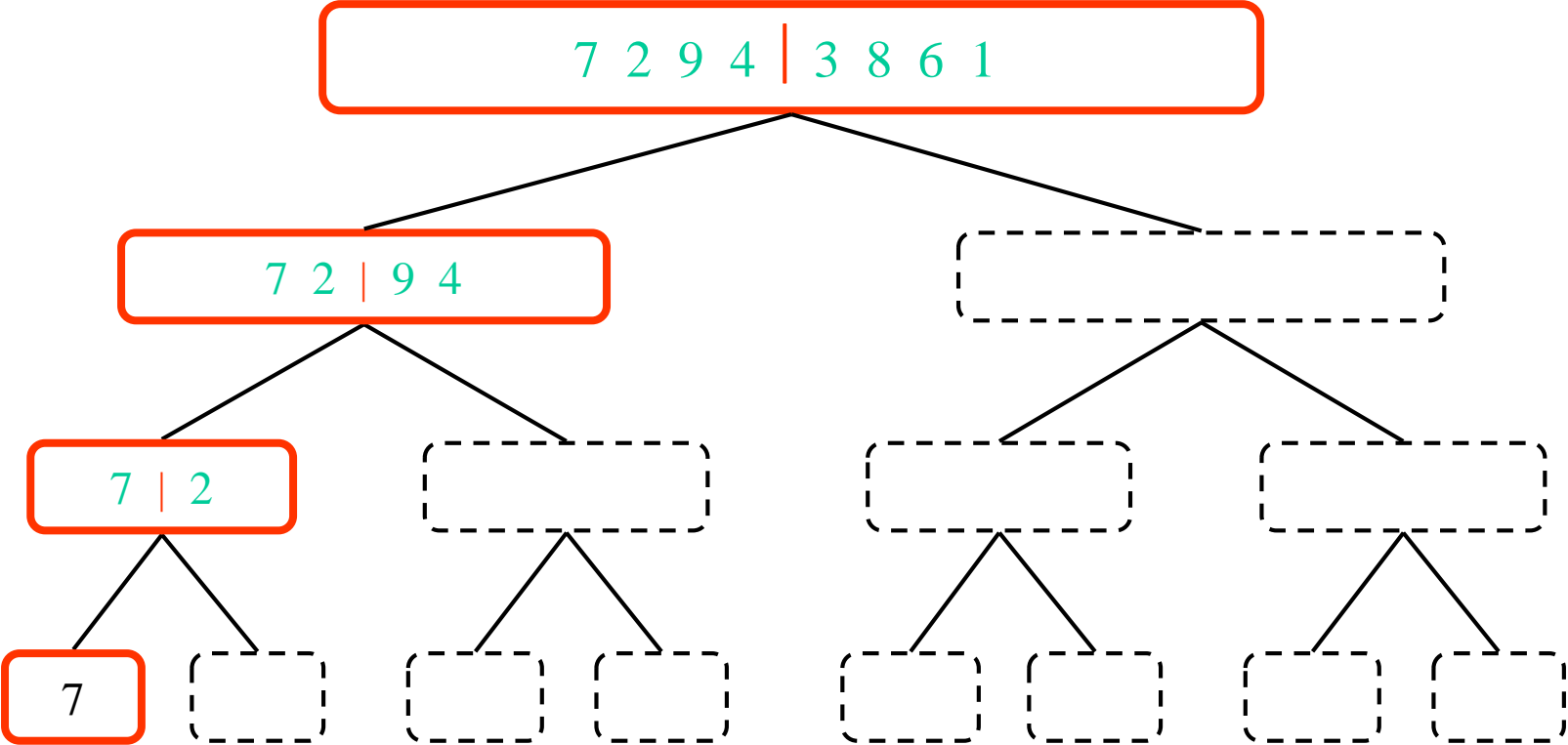
mergeSort(S)

```
{
    // Input: sequence  $S$  with  $n$  elements
    // Output: sorted sequence  $S$ 
    if ( $S.size() > 1$ ) {
        Let  $S_1, S_2$  be the 1st half and 2nd half of  $S$ , respectively;
        mergeSort( $S_1$ );
        mergeSort( $S_2$ );
         $S \leftarrow \text{merge}(S_1, S_2)$ ;
    }
}
```

merge(S_1, S_2)

```
{
    sorting된 두 sequence  $S_1, S_2$  를 합쳐
    sorting 된 하나의 sequence를 만든다
}
```

Animation (Mergesort)

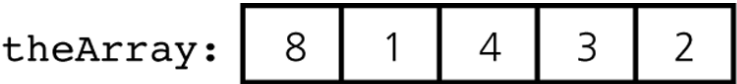


Animation (Mergesort)

1 2 3 4 6 7 8 9

✓ Running time: $\Theta(n \log n)$

Merge는 보조 array가 필요하다



Divide the array in half

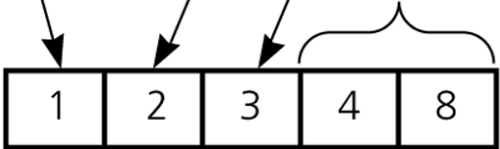


Sort the halves

Merge the halves:

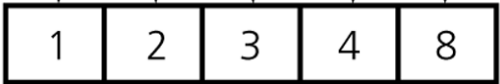
- a. $1 < 2$, so move 1 from left half to tempArray
- b. $4 > 2$, so move 2 from right half to tempArray
- c. $4 > 3$, so move 3 from right half to tempArray
- d. Right half is finished, so move rest of left half to tempArray

Temporary array
tempArray:

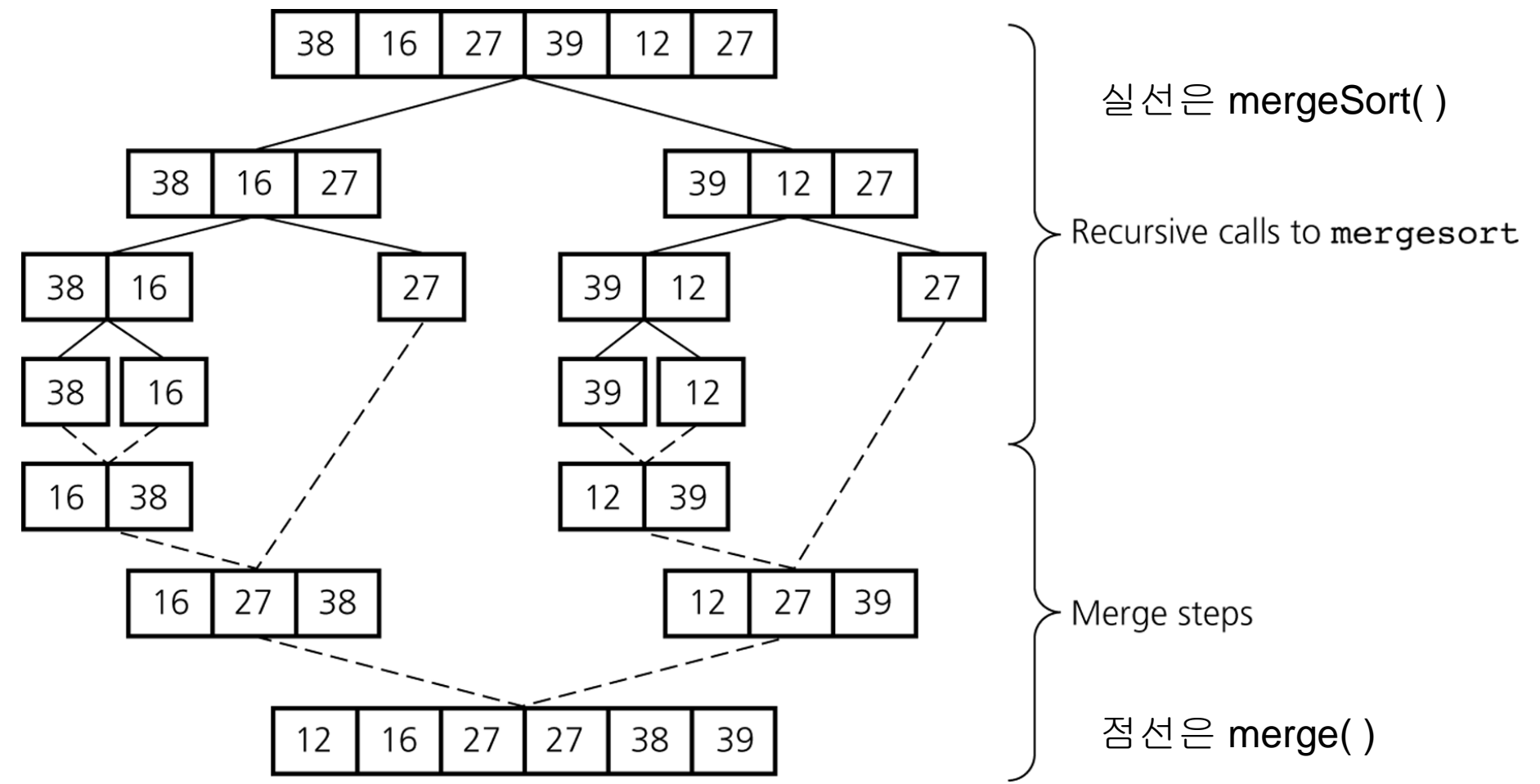


Copy temporary array back into
original array

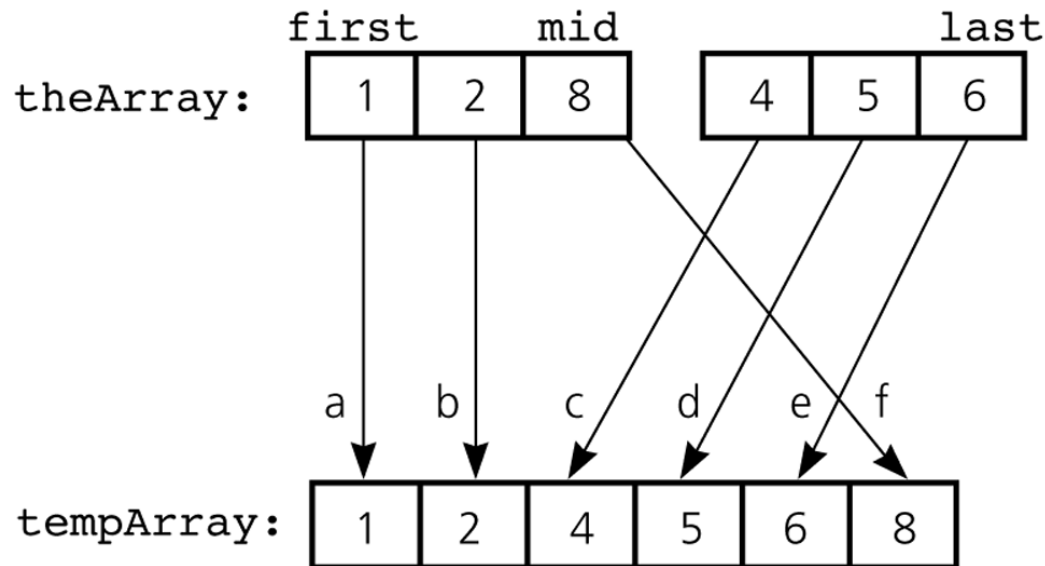
theArray:



A mergesort of an array of six integers



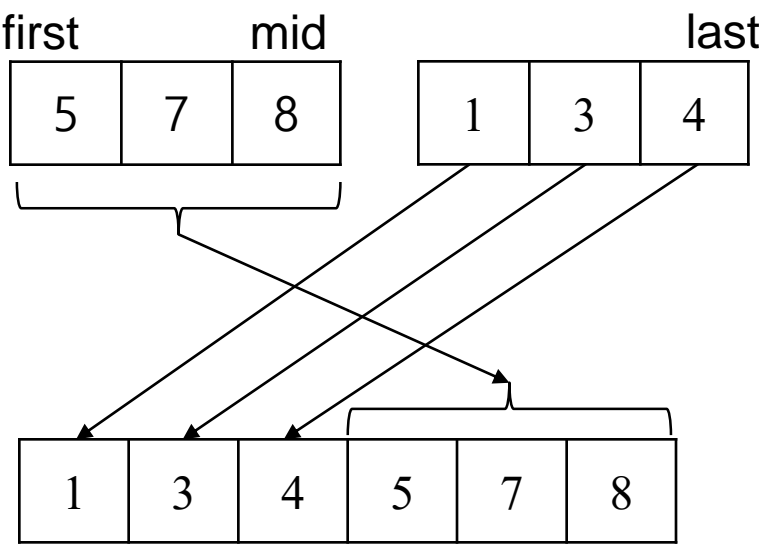
A worst-case instance of the merge step in *mergesort*



Merge the halves:

- `1 < 4`, so move `1` from `theArray[first..mid]` to `tempArray`
- `2 < 4`, so move `2` from `theArray[first..mid]` to `tempArray`
- `8 > 4`, so move `4` from `theArray[mid+1..last]` to `tempArray`
- `8 > 5`, so move `5` from `theArray[mid+1..last]` to `tempArray`
- `8 > 6`, so move `6` from `theArray[mid+1..last]` to `tempArray`
- `theArray[mid+1..last]` is finished, so move `8` to `tempArray`

A best-case instance of the merge step in *mergesort*



Quicksort

```

quickSort( $S$ )
{
    // Input: sequence  $S$  with  $n$  elements
    // Output: sorted sequence  $S$ 
    if ( $S.size() > 1$ ) {
         $p \leftarrow$  pivot of  $S$ ;
         $(L, R) \leftarrow$  partition( $S, p$ ); //  $L$ : left partition,  $R$ : right partition
        quickSort( $L$ );
        quickSort( $R$ );
        return  $L \cdot p \cdot R$ ; // concatenation
    }
}

partition( $S, p$ )
{
    sequence  $S$ 에서  $p$ 보다 작은 item은 partition  $L$ 로,
     $p$ 보다 크거나 같은 item은 partition  $R$ 로 분리.
}

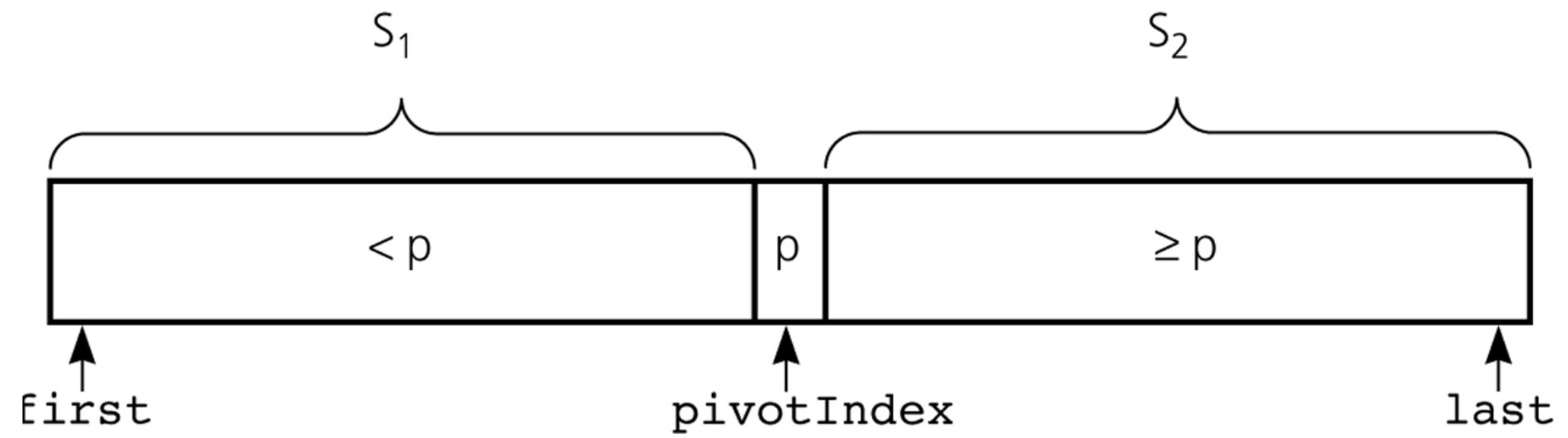
```

Animation (Quicksort)

1 2 3 4 5 6 8 9

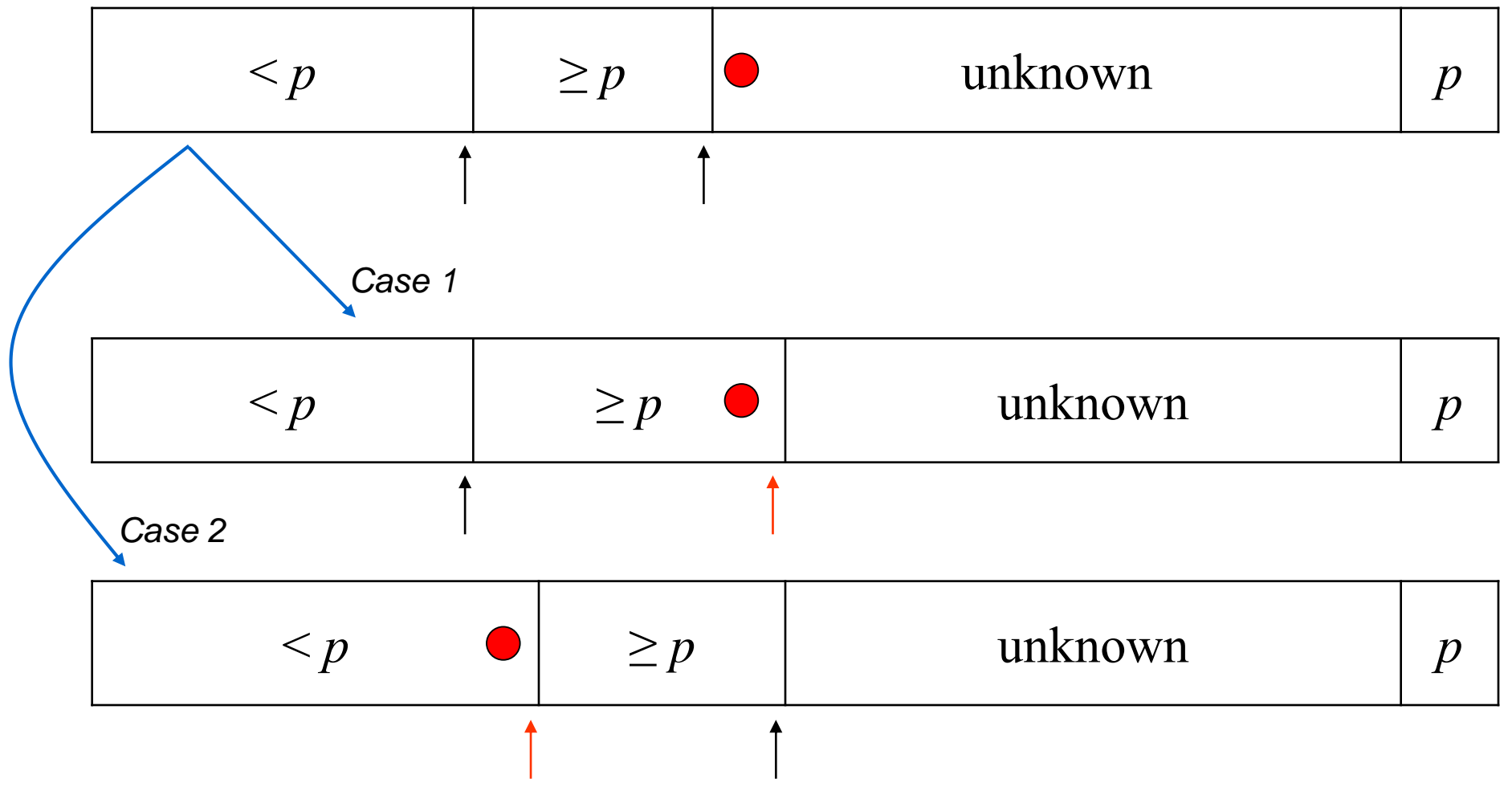
- ✓ Average-case running time: $\Theta(n \log n)$
- ✓ Worst-case running time: $\Theta(n^2)$

A partition with a pivot



✓ Partitioning 방법은 다양하다

Partition의 예. 중간의 한 시점.

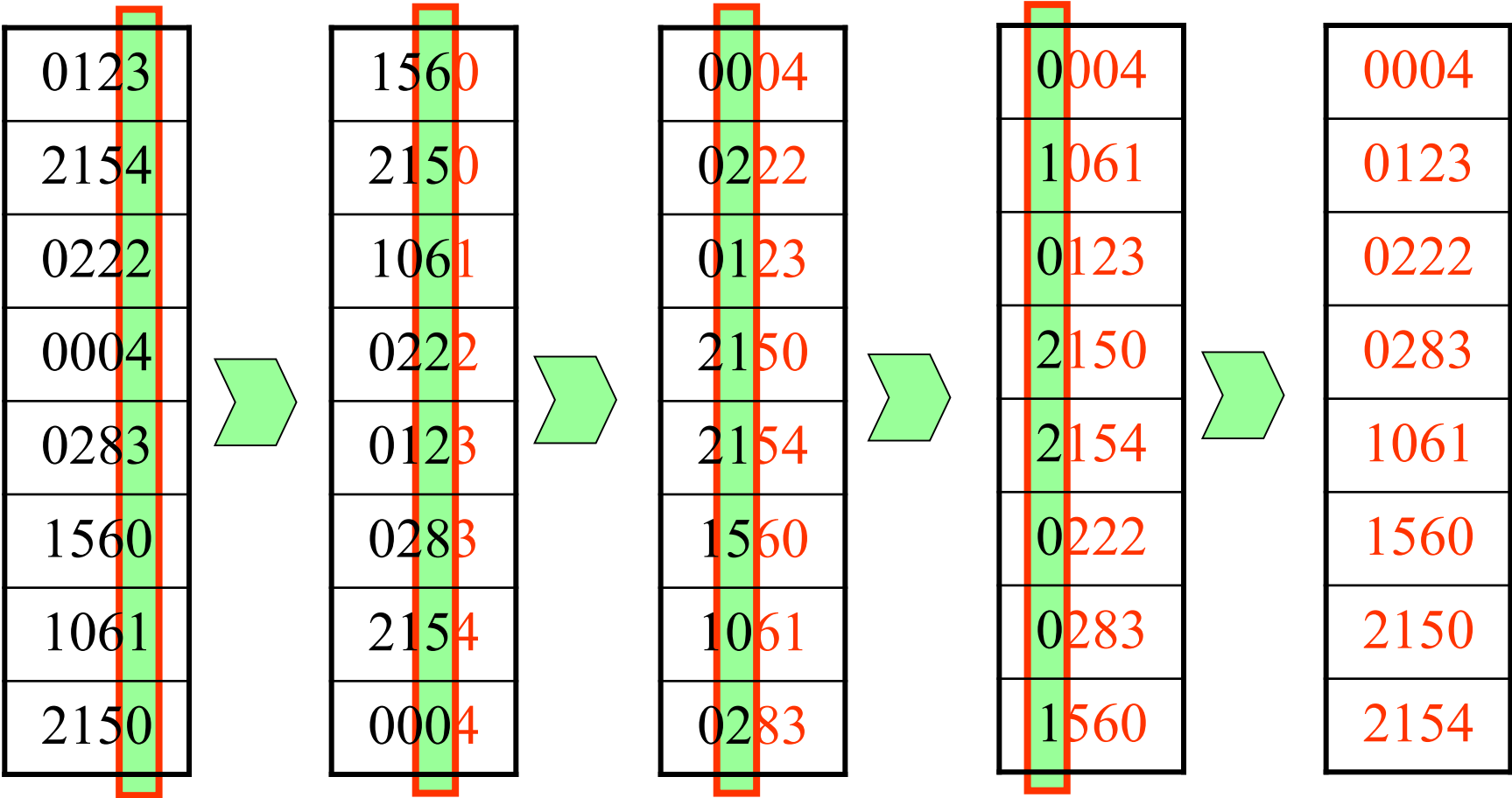


Radix Sort

```
radixSort(A[ ],  $d$ )  
{  
    // Sort  $n$   $d$ -digit integers in the array A[ ]  
    for ( $j = d$  downto 1) {  
        Do a stable sort on A[ ] by  $j^{\text{th}}$  digit;  
    }  
}
```

✓ Stable sort

— 같은 값을 가진 item들은 sorting 후에도 원래의 순서가 유지되는 성질을 가진 sorting



✓ Running time: $\Theta(n)$ $\leftarrow d$: a constant

Comparison of Sorting Efficiency in $\theta()$

	Worst Case	Average Case
Selection Sort	n^2	n^2
Bubble Sort	n^2	n^2
Insertion Sort	n^2	n^2
Mergesort	$n \log n$	$n \log n$
Quicksort	n^2	$n \log n$
Radix Sort	n	n
Heapsort	$n \log n$	$n \log n$