

## 8.3 Perturbation theory

Slides: Video 8.3.2 First and second order theories

Text reference: Quantum Mechanics for Scientists and Engineers

Section 6.3 (starting at “First order perturbation theory” up to “Example of well with field”)





# Perturbation theory



First and second order theories

Quantum mechanics for scientists and engineers

David Miller

# First order perturbation theory

Now we can calculate the various perturbation terms

Starting with  $(\hat{H}_o - E_m) |\phi^{(1)}\rangle = (E^{(1)} - \hat{H}_p) |\psi_m\rangle$

and premultiplying by  $\langle\psi_m|$  gives

$$\begin{aligned}\langle\psi_m|\hat{H}_o - E_m|\phi^{(1)}\rangle &= \left(\langle\psi_m|\hat{H}_o - E_m\right)|\phi^{(1)}\rangle = \langle\psi_m|(E_m - E_m)|\phi^{(1)}\rangle = 0 \\ &= \langle\psi_m|E^{(1)} - \hat{H}_p|\psi_m\rangle = E^{(1)} - \langle\psi_m|\hat{H}_p|\psi_m\rangle\end{aligned}$$

i.e.,

$$E^{(1)} = \langle\psi_m|\hat{H}_p|\psi_m\rangle$$

a formula for the first-order energy correction  $E^{(1)}$   
in the presence of our perturbation  $\hat{H}_p$

# First order perturbation theory

For the first order correction to the wavefunction  $|\phi^{(1)}\rangle$   
we expand that correction in the basis set  $|\psi_n\rangle$

$$|\phi^{(1)}\rangle = \sum_n a_n^{(1)} |\psi_n\rangle$$

Substituting this is in

$$(\hat{H}_o - E_m) |\phi^{(1)}\rangle = (E^{(1)} - \hat{H}_p) |\psi_m\rangle$$

and premultiplying by  $\langle\psi_i|$  gives

$$\begin{aligned} \langle\psi_i| \hat{H}_o - E_m |\phi^{(1)}\rangle &= (E_i - E_m) \langle\psi_i| \phi^{(1)}\rangle = (E_i - E_m) a_i^{(1)} \\ &= \langle\psi_i| E^{(1)} - \hat{H}_p |\psi_m\rangle = E^{(1)} \langle\psi_i| \psi_m\rangle - \langle\psi_i| \hat{H}_p |\psi_m\rangle \end{aligned}$$

# First order perturbation theory

So we have  $(E_i - E_m)a_i^{(1)} = E^{(1)} \langle \psi_i | \psi_m \rangle - \langle \psi_i | \hat{H}_p | \psi_m \rangle$

We presume the energy eigenvalue  $E_m$  is not degenerate

i.e., only one eigenfunction for this eigenvalue

With no degeneracy, we still need to distinguish two cases

First, for  $i \neq m$ , from above  $(E_i - E_m)a_i^{(1)} = -\langle \psi_i | \hat{H}_p | \psi_m \rangle$

$$\text{So } a_i^{(1)} = \frac{\langle \psi_i | \hat{H}_p | \psi_m \rangle}{E_m - E_i}$$

Second, for  $i = m$

$$(E_m - E_m)a_m^{(1)} = 0a_m^{(1)} = E^{(1)} - \langle \psi_m | \hat{H}_p | \psi_m \rangle = E^{(1)} - E^{(1)} = 0$$

which gives no constraints on  $a_m^{(1)}$

# First order perturbation theory

We are therefore free to choose  $a_m^{(1)}$

The choice that makes the algebra simplest

is to set  $a_m^{(1)} = 0$

which is the same as saying

we choose to make  $|\phi^{(1)}\rangle$  orthogonal to  $|\psi_m\rangle$

The same happens for the higher order equations

Hence, quite generally

we make the convenient choice

$$\langle \psi_m | \phi^{(j)} \rangle = 0$$

# First order perturbation theory

Hence with  $a_i^{(1)} = \frac{\langle \psi_i | \hat{H}_p | \psi_m \rangle}{E_m - E_i}$  and  $a_m^{(1)} = 0$

the first order correction to the wavefunction is

$$|\phi^{(1)}\rangle = \sum_{n \neq m} \frac{\langle \psi_n | \hat{H}_p | \psi_m \rangle}{E_m - E_n} |\psi_n\rangle$$

and we have the first order correction to the energy

$$E^{(1)} = \langle \psi_m | \hat{H}_p | \psi_m \rangle$$

# Second order perturbation theory

We continue similarly to find the higher order terms

Premultiplying  $(\hat{H}_o - E_m)|\phi^{(2)}\rangle = (E^{(1)} - \hat{H}_p)|\phi^{(1)}\rangle + E^{(2)}|\psi_m\rangle$

on both sides by  $\langle\psi_m|$  gives

$$= 0$$

$$= \langle\psi_m| (E^{(1)} - \hat{H}_p) |\phi^{(1)}\rangle + \langle\psi_m| E^{(2)} |\psi_m\rangle = E^{(1)} \langle\psi_m| \phi^{(1)}\rangle - \langle\psi_m| \hat{H}_p |\phi^{(1)}\rangle + E^{(2)}$$

so  $E^{(2)} = \langle\psi_m| \hat{H}_p |\phi^{(1)}\rangle - E^{(1)} \langle\psi_m| \phi^{(1)}\rangle$

Since we chose  $|\phi^{(j)}\rangle$  orthogonal to  $|\psi_m\rangle$

$$E^{(2)} = \langle\psi_m| \hat{H}_p |\phi^{(1)}\rangle$$



# Second order perturbation theory

Using our result for the first-order wavefunction correction

$$|\phi^{(1)}\rangle = \sum_{n \neq m} \frac{\langle \psi_n | \hat{H}_p | \psi_m \rangle}{E_m - E_n} |\psi_n\rangle$$

then from

$$E^{(2)} = \langle \psi_m | \hat{H}_p | \phi^{(1)} \rangle$$

we obtain

$$E^{(2)} = \langle \psi_m | \hat{H}_p \left( \sum_{n \neq m} \frac{\langle \psi_n | \hat{H}_p | \psi_m \rangle}{E_m - E_n} |\psi_n\rangle \right)$$

Equivalently

$$E^{(2)} = \sum_{n \neq m} \frac{|\langle \psi_n | \hat{H}_p | \psi_m \rangle|^2}{E_m - E_n}$$

# Second order perturbation theory

For the second order wavefunction correction

we expand  $|\phi^{(2)}\rangle$

noting now that  $|\phi^{(2)}\rangle$  is chosen orthogonal to  $|\psi_m\rangle$

$$|\phi^{(2)}\rangle = \sum_{n \neq m} a_n^{(2)} |\psi_n\rangle$$

We premultiply  $(\hat{H}_o - E_m)|\phi^{(2)}\rangle = (E^{(1)} - \hat{H}_p)|\phi^{(1)}\rangle + E^{(2)}|\psi_m\rangle$

by  $\langle\psi_i|$  to obtain

$$\langle\psi_i|(\hat{H}_o - E_m)|\phi^{(2)}\rangle = (E_i - E_m)a_i^{(2)}$$

$$= \langle\psi_i|(E^{(1)} - \hat{H}_p)|\phi^{(1)}\rangle + \langle\psi_i|E^{(2)}|\psi_m\rangle = E^{(1)}a_i^{(1)} - \sum_{n \neq m} a_n^{(1)} \langle\psi_i|\hat{H}_p|\psi_n\rangle$$

# Second order perturbation theory

So, we have  $(E_i - E_m) a_i^{(2)} = E^{(1)} a_i^{(1)} - \sum_{n \neq m} a_n^{(1)} \langle \psi_i | \hat{H}_p | \psi_n \rangle$

Note this summation excludes the term  $n = m$   
because we chose  $|\phi^{(1)}\rangle$  to be orthogonal to  $|\psi_m\rangle$   
i.e., we have chosen  $a_m^{(1)} = 0$

Hence, for  $i \neq m$  we have

$$a_i^{(2)} = \left( \sum_{n \neq m} \frac{a_n^{(1)} \langle \psi_i | \hat{H}_p | \psi_n \rangle}{E_m - E_i} \right) - \frac{E^{(1)} a_i^{(1)}}{E_m - E_i}$$

Note that the second order wavefunction depends only  
on the first order energy and wavefunction

# First and second order perturbation results

$$E^{(1)} = \langle \psi_m | \hat{H}_p | \psi_m \rangle$$

First order

$$|\phi^{(1)}\rangle = \sum_{n \neq m} a_n^{(1)} |\psi_n\rangle$$

$$a_i^{(1)} = \frac{\langle \psi_i | \hat{H}_p | \psi_m \rangle}{E_m - E_i}, \quad a_m^{(1)} = 0$$

$$E^{(2)} = \langle \psi_m | \hat{H}_p | \phi^{(1)} \rangle$$

Second order

$$|\phi^{(2)}\rangle = \sum_{n \neq m} a_n^{(2)} |\psi_n\rangle$$

$$a_i^{(2)} = \left( \sum_{n \neq m} \frac{a_n^{(1)} \langle \psi_i | \hat{H}_p | \psi_n \rangle}{E_m - E_i} \right) - \frac{E^{(1)} a_i^{(1)}}{E_m - E_i}, \quad a_m^{(2)} = 0$$



