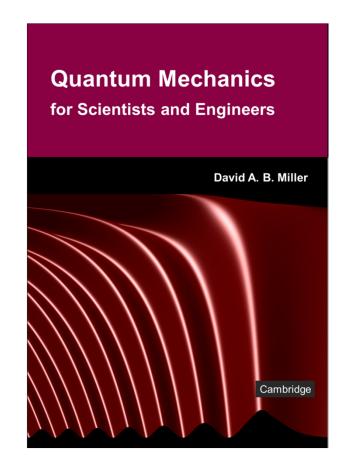
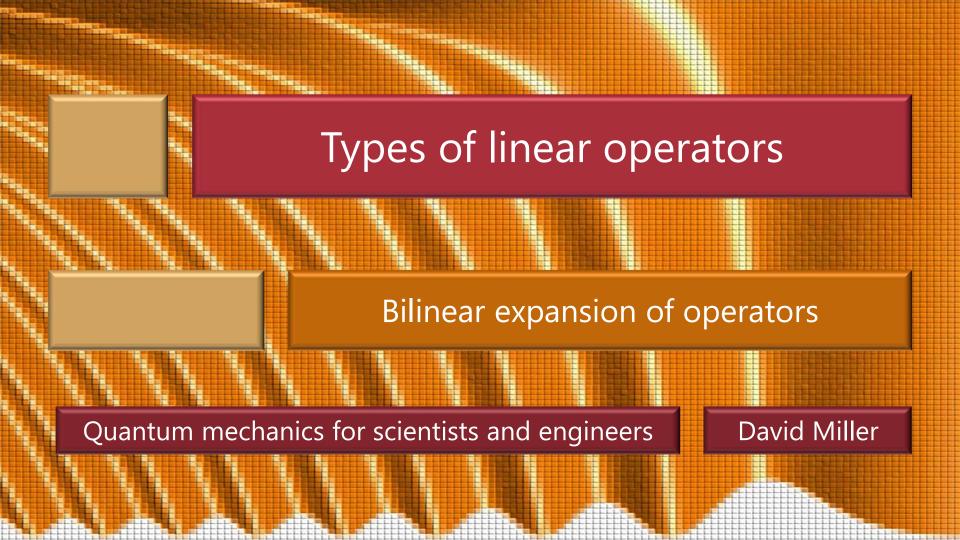
#### 6.1 Types of linear operators

Slides: Video 6.1.1 Bilinear expansion of operators

Text reference: Quantum Mechanics for Scientists and Engineers

Section 4.6





We know that we can expand functions in a basis set

as in 
$$f(x) = \sum_{n} c_n \psi_n(x)$$
 or  $|f(x)\rangle = \sum_{n} c_n |\psi_n(x)\rangle$ 

What is the equivalent expansion for an operator?

We can deduce this from our matrix representation

Consider an arbitrary function f, written as the ket  $|f\rangle$ 

from which we can calculate a function g written as the ket  $\left|g\right>$ 

by acting with a specific operator  $\hat{A}$ 

$$|g\rangle = \hat{A}|f\rangle$$

We expand g and f on the basis set  $\psi_i$ 

$$|g\rangle = \sum_{i} d_{i} |\psi_{i}\rangle \qquad |f\rangle = \sum_{i} c_{j} |\psi_{j}\rangle$$

 $\left|g\right> = \sum_{i} d_{i} \left|\psi_{i}\right> \qquad \left|f\right> = \sum_{j} c_{j} \left|\psi_{j}\right>$  From our matrix representation of  $\left|g\right> = \hat{A} \left|f\right>$ 

we know that 
$$d_i = \sum_i A_{ij} c_j$$

and, by definition of the expansion coefficient we know that  $c_i = \langle \psi_i | f \rangle$ 

so 
$$d_i = \sum_j A_{ij} \langle \psi_j | f \rangle$$

Substituting 
$$d_i = \sum_j A_{ij} \langle \psi_j | f \rangle$$
 back into  $|g\rangle = \sum_i d_i |\psi_i\rangle$  gives  $|g\rangle = \sum_{i,j} A_{ij} \langle \psi_j | f \rangle |\psi_i\rangle$ 

Remember that  $\langle \psi_j | f \rangle \equiv c_j$  is simply a number so we can move it within the multiplicative expression

Hence we have 
$$|g\rangle = \sum_{i,j} A_{ij} |\psi_i\rangle \langle \psi_j| f \rangle = \left[\sum_{i,j} A_{ij} |\psi_i\rangle \langle \psi_j|\right] |f\rangle$$
  
But  $|g\rangle = \hat{A}|f\rangle$  and  $|g\rangle$  and  $|f\rangle$  are arbitrary, so  $\hat{A} \equiv \sum_{i,j} A_{ij} |\psi_i\rangle \langle \psi_j|$ 

This form

$$\hat{A} \equiv \sum_{i,j} A_{ij} \left| \psi_i \right\rangle \left\langle \psi_j \right|$$

is referred to as

a "bilinear expansion" of the operator  $\hat{A}$  on the basis  $\left|\psi_{i}\right>$ 

and is analogous to the linear expansion of a vector on a basis

Any linear operator that operates within the space can be written this way

Though the Dirac notation is more general and elegant

for functions of a simple variable where

$$g(x) = \int \hat{A}f(x_1) dx_1$$

we can analogously write the bilinear expansion in the form

$$\hat{A} \equiv \sum_{i,j} A_{ij} \psi_i(x) \psi_j^*(x_1)$$

#### Outer product

An expression of the form

$$\hat{A} \equiv \sum_{i,j} A_{ij} \left| \psi_i \right\rangle \left\langle \psi_j \right|$$

contains an *outer* product of two vectors

An inner product expression of the form  $\langle g|f\rangle$  results in a single, complex number

An outer product expression of the form  $|g\rangle\langle f|$  generates a matrix

#### Outer product

$$|g\rangle\langle f| = \begin{bmatrix} d_1 \\ d_2 \\ d_3 \\ \vdots \end{bmatrix} \begin{bmatrix} c_1^* & c_2^* & c_3^* & \cdots \end{bmatrix} = \begin{bmatrix} d_1c_1^* & d_1c_2^* & d_1c_3^* & \cdots \\ d_2c_1^* & d_2c_2^* & d_2c_3^* & \cdots \\ d_3c_1^* & d_3c_2^* & d_3c_3^* & \cdots \\ \vdots & \vdots & \vdots & \ddots \end{bmatrix}$$

The specific summation 
$$\hat{A} \equiv \sum_{i,j} A_{ij} |\psi_i\rangle \langle \psi_j|$$

is actually, then, a sum of matrices

In the matrix  $|\psi_i\rangle\langle\psi_j|$ 

the element in the *i*th row and the *j*th column is 1 All other elements are zero

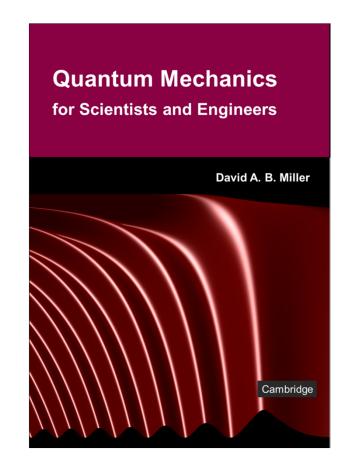


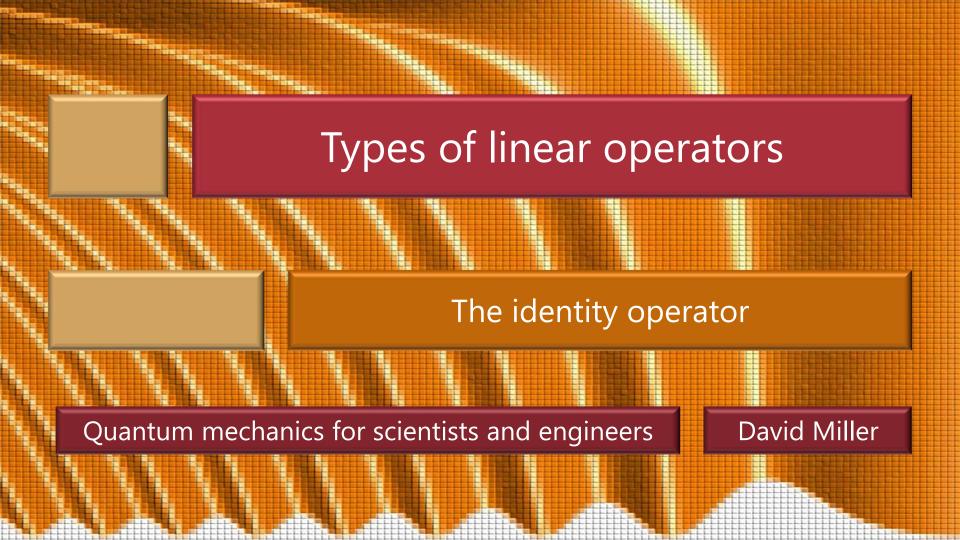
#### 6.1 Types of linear operators

Slides: Video 6.1.3 The identity operator

Text reference: Quantum Mechanics for Scientists and Engineers

Section 4.8





The identity operator  $\hat{I}$  is the operator that when it operates on a vector (function) leaves it unchanged

In matrix form, the identity operator is

In bra-ket form the identity operator can be written where the  $|\psi_i\rangle$  form a complete basis for the space

$$\hat{I} = \begin{bmatrix} 1 & 0 & 0 & \cdots \\ 0 & 1 & 0 & \cdots \\ 0 & 0 & 1 & \cdots \\ \vdots & \vdots & \vdots & \ddots \end{bmatrix}$$

$$\hat{I} = \sum_{i} |\psi_{i}\rangle\langle\psi_{i}|$$

#### Identity operator - proof

For an arbitrary function 
$$|f\rangle = \sum_{i} c_{i} |\psi_{i}\rangle$$
 we know  $c_{m} = \langle \psi_{m} | f \rangle$  so  $|f\rangle = \sum_{i} \langle \psi_{i} | f \rangle |\psi_{i}\rangle$ 

Now, with our proposed form 
$$\hat{I} = \sum_{i} |\psi_{i}\rangle\langle\psi_{i}|$$
 then  $\hat{I}|f\rangle = \sum_{i} |\psi_{i}\rangle\langle\psi_{i}|f\rangle$ 

But  $\langle \psi_i | f \rangle$  is just a number and so it can be moved in the product

Hence 
$$\hat{I}|f\rangle = \sum_{i} \langle \psi_{i}|f\rangle |\psi_{i}\rangle$$
 and hence, using  $|f\rangle = \sum_{i} \langle \psi_{i}|f\rangle |\psi_{i}\rangle$ ,  $\hat{I}|f\rangle = |f\rangle$ 

The statement  $\hat{I}=\sum_i |\psi_i\rangle\langle\psi_i|$  is trivial if  $|\psi_i\rangle$  is the basis used to represent the space

Then 
$$|\psi_1\rangle = \begin{bmatrix} 1\\0\\0\\\vdots \end{bmatrix}$$
 so that  $|\psi_1\rangle\langle\psi_1| = \begin{bmatrix} 1\\0\\0\\0 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & 0 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & \cdots\\0 & 0 & 0 & \cdots\\0 & 0 & 0 & \cdots\\\vdots & \vdots & \vdots & \ddots \end{bmatrix}$ 

$$|\psi_{2}\rangle\langle\psi_{2}| = \begin{vmatrix} 0 & 0 & 0 & \cdots \\ 0 & 1 & 0 & \cdots \\ 0 & 0 & 0 & \cdots \\ \vdots & \vdots & \vdots & \ddots \end{vmatrix}$$

Similarly 
$$|\psi_{2}\rangle\langle\psi_{2}| = \begin{bmatrix} 0 & 0 & 0 & \cdots \\ 0 & 1 & 0 & \cdots \\ 0 & 0 & 0 & \cdots \\ \vdots & \vdots & \vdots & \ddots \end{bmatrix} \qquad |\psi_{3}\rangle\langle\psi_{3}| = \begin{bmatrix} 0 & 0 & 0 & \cdots \\ 0 & 0 & 0 & \cdots \\ 0 & 0 & 1 & \cdots \\ \vdots & \vdots & \vdots & \ddots \end{bmatrix}$$

$$\hat{I} = \sum_{i} |\psi_{i}\rangle\langle\psi_{i}| = \begin{bmatrix} 1 & 0 & 0 & \cdots \\ 0 & 1 & 0 & \cdots \\ 0 & 0 & 1 & \cdots \\ \vdots & \vdots & \vdots & \ddots \end{bmatrix}$$

```
Note, however, that \hat{I} = \sum |\psi_i\rangle\langle\psi_i|
   even if the basis being used is not the set |\psi_i\rangle
        Then some specific |\psi_i\rangle
          is not a vector with an ith element of 1 and all
           other elements 0
             and the matrix |\psi_i\rangle\langle\psi_i| in general has possibly
               all of its elements non-zero
Nonetheless, the sum of all matrices |\psi_i\rangle\langle\psi_i|
   still gives the identity matrix \hat{I}
We can use any convenient complete basis to write \hat{I}
```

The expression  $\hat{I} = \sum |\psi_i\rangle\langle\psi_i|$  has a simple vector meaning In the expression  $|f\rangle = \sum |\psi_i\rangle \langle \psi_i|f\rangle$  $\langle \psi_i | f \rangle$  is just the projection of  $| f \rangle$  onto the  $| \psi_i \rangle$  axis so multiplying  $|\psi_i\rangle$  by  $\langle\psi_i|f\rangle$ that is,  $\langle \psi_i | f \rangle | \psi_i \rangle = | \psi_i \rangle \langle \psi_i | f \rangle$ gives the vector component of  $|f\rangle$  on the  $|\psi_i\rangle$  axis Provided the  $|\psi_i\rangle$  form a complete set adding these components up just reconstructs  $|f\rangle$ 

# Identity matrix in formal proofs

```
Since the identity matrix is the identity matrix
  no matter what complete orthonormal
   basis we use to represent it
     we can use the following tricks
First, we "insert" the identity matrix
  in some basis
     into an expression
       Then, we rearrange the expression
          Then, we find an identity matrix we
           can take out of the result
```

Consider the sum, S

of the diagonal elements of an or

of the diagonal elements of an operator  $\hat{A}$  on some complete orthonormal basis  $\left|\psi_{i}\right>$ 

$$S = \sum_{i} \left\langle \psi_{i} \left| \hat{A} \right| \psi_{i} \right\rangle$$

Now suppose we have some other complete orthonormal basis  $|\phi_{\scriptscriptstyle m}\rangle$ 

We can therefore also write the identity operator as

$$\hat{I} = \sum ig|\phi_{\!\scriptscriptstyle m}ig
angle ig\langle \phi_{\!\scriptscriptstyle m}ig|$$

In 
$$S = \sum_{i} \langle \psi_i | \hat{A} | \psi_i \rangle$$

we can insert an identity operator just before  $\hat{A}$  which makes no difference to the result since  $\hat{I}\hat{A} = \hat{A}$ 

so we have

$$S = \sum_{i} \langle \psi_{i} | \hat{I}\hat{A} | \psi_{i} \rangle = \sum_{i} \langle \psi_{i} | \left( \sum_{m} | \phi_{m} \rangle \langle \phi_{m} | \right) \hat{A} | \psi_{i} \rangle$$

Rearranging 
$$S = \sum_{i} \langle \psi_{i} | \hat{I}\hat{A} | \psi_{i} \rangle = \sum_{i} \langle \psi_{i} | \left( \sum_{m} |\phi_{m}\rangle \langle \phi_{m}| \right) \hat{A} | \psi_{i} \rangle$$

moving the number 
$$\langle \psi_{\scriptscriptstyle i} | \phi_{\scriptscriptstyle m} 
angle$$

$$=\sum_{m}\left\langle \phi _{m}\left| \hat{A}\hat{I}\right| \phi \right|$$

moving a sum and associating 
$$= \sum_{m} \langle \phi_{m} | \hat{A} \left( \sum_{i} | \psi_{i} \rangle \langle \psi_{i} | \right) | \phi_{m} \rangle$$

$$= \sum_{m} \langle \phi_{m} | \hat{A} \hat{I} | \phi_{m} \rangle$$

$$= \sum_{m} \langle \phi_{m} | \hat{A} \hat{I} | \phi_{m} \rangle$$

$$S = \sum_{m} \sum_{i} \langle \psi_{i} | \phi_{m} \rangle \langle \phi_{m} | \hat{A} | \psi_{i} \rangle$$

$$= \sum_{m} \sum_{i} \langle \phi_{m} | \hat{A} | \psi_{i} \rangle \langle \psi_{i} | \phi_{m} \rangle$$

$$egin{aligned} oldsymbol{\phi}_{i} \left| oldsymbol{arphi}_{m} 
ight| oldsymbol{\psi}_{m} \left| \hat{A} \middle| oldsymbol{\psi}_{i} 
ight| oldsymbol{\psi}_{m} \left| oldsymbol{\phi}_{m} 
ight| \end{aligned}$$

So, with now 
$$S = \sum_{i} \langle \psi_{i} | \hat{A} | \psi_{i} \rangle = \sum_{m} \langle \phi_{m} | \hat{A} \hat{I} | \phi_{m} \rangle$$

the final step is to note that  $\hat{A}\hat{I} = \hat{A}$ 

SO 
$$S = \sum_{i} \langle \psi_{i} | \hat{A} | \psi_{i} \rangle = \sum_{m} \langle \phi_{m} | \hat{A} | \phi_{m} \rangle$$

Hence the trace of an operator

the sum of the diagonal elements

is independent of the basis used to represent the operator

which is why the trace is a useful operator property

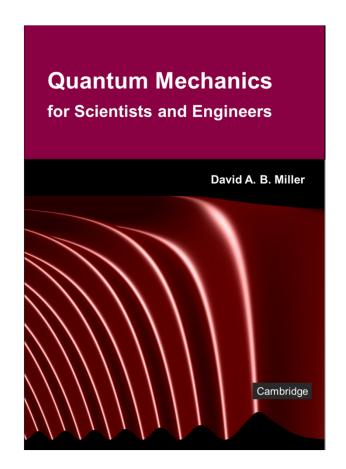


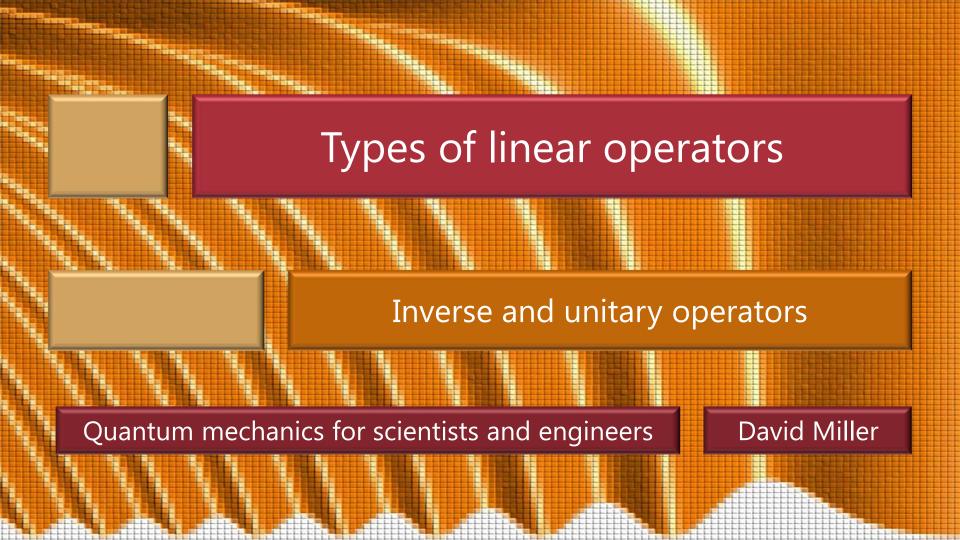
#### 6.1 Types of linear operators

Slides: Video 6.1.5 Inverse and unitary operators

Text reference: Quantum Mechanics for Scientists and Engineers

Sections 4.9 – 4.10 (up to "Changing the representation of vectors")





#### Inverse operator

For an operator  $\hat{A}$  operating on an arbitrary function  $|f\rangle$ then the inverse operator, if it exists is that operator  $\hat{A}^{-1}$  such that  $\left|f\right> = \hat{A}^{-1}\hat{A}\left|f\right>$  Since the function  $\left|f\right>$  is arbitrary we can therefore identify  $\hat{A}^{-1}\hat{A}=\hat{I}$ 

Since the operator can be represented by a matrix finding the inverse of the operator reduces to finding the inverse of a matrix

#### Projection operator

For example, the projection operator

$$\hat{P} = |f\rangle\langle f|$$

in general has no inverse

because it projects all input vectors onto only one axis in the space the one corresponding to the specific vector  $|f\rangle$ 

#### Unitary operators

A unitary operator,  $\hat{U}$ , is one for which

$$\hat{U}^{-1} = \hat{U}^{\dagger}$$

that is, its inverse is its Hermitian adjoint

The Hermitian adjoint is formed by

reflecting on a -45° line and taking the complex conjugate

$$\begin{bmatrix} u_{11} & u_{12} & u_{13} & \cdots \\ u_{21} & u_{22} & u_{23} & \cdots \\ u_{31} & u_{32} & u_{33} & \cdots \\ \vdots & \vdots & \vdots & \ddots \end{bmatrix}^{\dagger} = \begin{bmatrix} u_{11}^* & u_{21}^* & u_{31}^* & \cdots \\ u_{12}^* & u_{22}^* & u_{32}^* & \cdots \\ u_{13}^* & u_{23}^* & u_{33}^* & \cdots \\ \vdots & \vdots & \vdots & \ddots \end{bmatrix}$$

## Conservation of length for unitary operators

Note first that it can be shown generally that for two matrices  $\hat{A}$  and  $\hat{B}$  that can be multiplied

$$\left(\hat{A}\hat{B}
ight)^{\dagger}=\hat{B}^{\dagger}\hat{A}^{\dagger}$$

(this is easy to prove using the summation notation for matrix or vector multiplication)

That is, the Hermitian adjoint of the product is the "flipped round" product of the Hermitian adjoints Explicitly, for matrix-vector multiplication

$$\left(\hat{A}\big|h
ight)^{\dagger} = \left\langle h \big|\hat{A}^{\dagger}
ight
angle$$

## Conservation of length for unitary operators

Consider the unitary operator  $\hat{U}$  and vectors  $|f_{old}
angle$  and  $|g_{old}
angle$ 

We form two new vectors by operating with  $\hat{U}$ 

$$\left|f_{new}\right\rangle = \hat{U}\left|f_{old}\right\rangle \text{ and }\left|g_{new}\right\rangle = \hat{U}\left|g_{old}\right\rangle$$
Then  $\left\langle g_{new}\right| = \left\langle g_{old}\right|\hat{U}^{\dagger}$ 

So 
$$\langle g_{new} | f_{new} \rangle = \langle g_{old} | \hat{U}^{\dagger} \hat{U} | f_{old} \rangle = \langle g_{old} | \hat{U}^{-1} \hat{U} | f_{old} \rangle = \langle g_{old} | \hat{I} | f_{old} \rangle$$

$$= \langle g_{old} | f_{old} \rangle$$

The unitary operation does not change the inner product

So, in particular 
$$\langle f_{new} | f_{new} \rangle = \langle f_{old} | f_{old} \rangle$$
 the length of a vector is not changed by a unitary operator

