

Theory of Computation: Lecture 1

Morgan McCarty

06 July 2023

1. Theory of Computation

- What is computation?
 - Math-checking if no. prime
 - Verifying logic
 - Navigational routing
 - Comparing strings \rightarrow looking up, sorting, etc.
- All computation relative to computer? Can we do this w/o the computer?
- What is a computer? Modeling a computer
 - Supported operations
 - Deterministic (usually)
 - Output
 - Input
- Are there fundamental limits on what is computable?
Yes: Halting problem
 - Does a program actually halt?
- Areas of the course: (in order of decreasing complexity)
 - (a) Computability: what can be computed given enough time and space
 - (b) Complexity: how fast/efficiently can we solve a problem
 - (c) Automata: what problems can we solve given very limited space (constant)
- Why does this matter?
 - Checking correctness of a program
 - Knowing what functions can be computed quickly and which cannot (security)
- Goals of the course:
 - (a) Understand notions of computability
 - (b) Understand limitations of computability
 - (c) What can be done with weaker forms of computability
 - (d) Computational relation to formal languages

2. Mathematical Review

- Sets:
 - Unordered group of elements (finite or infinite)
 - E.g.

- * $\mathbb{N} = \{1, 2, \dots\}$
- * $\mathbb{N} \cup 0$
- * $\mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$
- * $\emptyset = \{\}$
- Set Operations:
 - * $X \cup Y = \{x \mid x \in X \vee x \in Y\}$
 - * $X \cap Y = \{x \mid x \in X \wedge x \in Y\}$
 - * \bar{X} : negaton of set relative to universe
 - * $X \setminus Y = X - Y = \{x \mid x \in X \wedge x \notin Y\} = X \cap \bar{Y}$
- Logic:
 - \wedge : and
 - \vee : or
 - \implies : implication
 - $\alpha \implies \beta$
 - “if α is truse, then β is true”
 - “if Corina gets fed, then Ariel sleeps in”
 - Negation of $\alpha \implies \beta$ is $\alpha \wedge \bar{\beta} \equiv \bar{\alpha} \vee \beta$
 - Satisfiable: the formula has a set of boolean assignments so that the entire thing evaluates to true
- Proof Techniques:
 - Proof by induction
 - * Induction Prove: $\forall n \in \mathbb{N}_0, P(n)$
 - * $P(n)$: predicate (boolean statement about n)
 - * Base Case: $P(0)$ (or $P(1)$)
 - * Inductive Step: Assume $P(n)$ is true, show $P(n+1)$ is true
 - * E.g.
 - Show $5 + 10 + 15 + \dots + 5n = \frac{5n(n+1)}{2} \forall n \in \mathbb{N}$
 - Base Case: $n = 1$
 $5 = \frac{5(1)(2)}{2} = 5$
 - Inductive Step: We know for $n = k$
 $5 + 10 + 15 + \dots + 5k = \frac{5k(k+1)}{2}$
 - Show for $n = k + 1$:
 $5 + 10 + 15 + \dots + 5k + 5(k+1) = \frac{5k(k+1)}{2} + 5(k+1)$
 $= \frac{5k(k+1) + 10(k+1)}{2} = \frac{5(k+1)(k+2)}{2}$
 - Proof by contradiction
 - * Assume the opposite of proof statement and show that it leads to a contradiction of a known fact
 - * E.g.
 - Show $\sqrt{2}$ is irrational (cannot be written as $\frac{p}{q}$ where $p, q \in \mathbb{Z}$ and $q \neq 0$)
 - Assume $\sqrt{2}$ is rational
 - $\sqrt{2} = \frac{p}{q}$ where $p, q \in \mathbb{Z}$ and $q \neq 0$
 - p and q are relatively prime (no common factors)
 - $q\sqrt{2} = p$

- $2q^2 = p^2$
 - p^2 is even $\implies p$ is even
 - $p = 2k$ for some $k \in \mathbb{Z}$
 - $2q^2 = (2k)^2 = 4k^2$
 - $q^2 = 2k^2$
 - q^2 is even $\implies q$ is even
 - p and q are both even, but this contradicts the fact that p and q are relatively prime
 - $\therefore \sqrt{2}$ is irrational
- Proof by construction
- Proof by contrapositive
- Proof by reduction
- Alphabet
 - Σ : finite set of symbols (“letters”, “elements”)
 - E.g.
 - * $\Sigma = \{0, 1\}$, $\Sigma = \{a, b, c\}$
 - A string over Σ ($w \in \Sigma$) is a finite sequence of symbols from Σ . Σ^* is the set of all strings over Σ .
 - E.g.
 - * $w = 010101$, $w = 101010$, or $w = 0000$
 - * $w = aabab$, $w = ababab$, or $w = aaa$
 - * ϵ is the empty string
- Language
 - A language over Σ is a set of strings over Σ
 - E.g.
 - * $L = \{a, ab, aa, bb, \dots\}$ is a language over $\Sigma = \{a, b\}$
 - Language is a subset from Σ^*
 - How to decide what’s in a language?
 - * Total list
 - * Can we do better?
 - Machine to decide the language
 - $x \in \Sigma^* \rightarrow \boxed{M} \rightarrow Y$ or N
 - Often define an L by the description of the M
 M accepts some strings and rejects others
 M defines a language $L(M) = \{x | M \text{ accepts } x\}$
- Strings
 - Concatenation: $x \cdot y$
 $abc \cdot aab = abcaab$
 - Empty string: $\epsilon \cdot a = a \cdot \epsilon = a$
 - Length: $|a|$ is the number of elements in a String a
 $|aab| = 3$, $|\epsilon| = 0$
 - Prefix: $x, y \in \Sigma^*$, x is a prefix of y if $\exists z \in \Sigma^*$ such that $x \cdot z = y$
 If $x = y$, then $z = \epsilon \iff x$ is a prefix of y and y is a prefix of x
 Something is always a prefix of itself

- Suffix: x is a suffix of y if $\exists z \in \Sigma^*$ such that $z \cdot x = y$
 If $x = y$, then $z = \epsilon \iff x$ is a suffix of y and y is a suffix of x
 Something is always a suffix of itself
- Substring: x is a substring of y if $\exists z_1, z_2 \in \Sigma^*$ such that $z_1 \cdot x \cdot z_2 = y$
 If $x = y$, then $z_1 = z_2 = \epsilon \iff x$ is a substring of y and y is a substring of x z_1 and z_2 can be ϵ
- Lexicographical Ordering over String:
 Requires order on Σ can be used to order strings
- Countable and Uncountable Sets
 - $A : \{1, 2, 3\}, B : x, y, z$
 - Function f that maps A to B (one-to-one)
 - $f(1) = x, f(2) = y, f(3) = z$
 - $|A| = |B| = 3$ then $\exists f$ that is one-to-one correspondence
 - Works for finite sets and countably infinite sets
 - $\mathbb{N}, 2\mathbb{N}, f : \mathbb{N} \rightarrow 2\mathbb{N}$
 - If infinite set can be mapped to \mathbb{N} , then it is countably infinite
 - If infinite set cannot be mapped to \mathbb{N} , then it is uncountably infinite