PLANNING AND SCHEDULING: PROPOSITIONAL SATISFIABILITY TECHNIQUES

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Acknowledgements

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Motivation

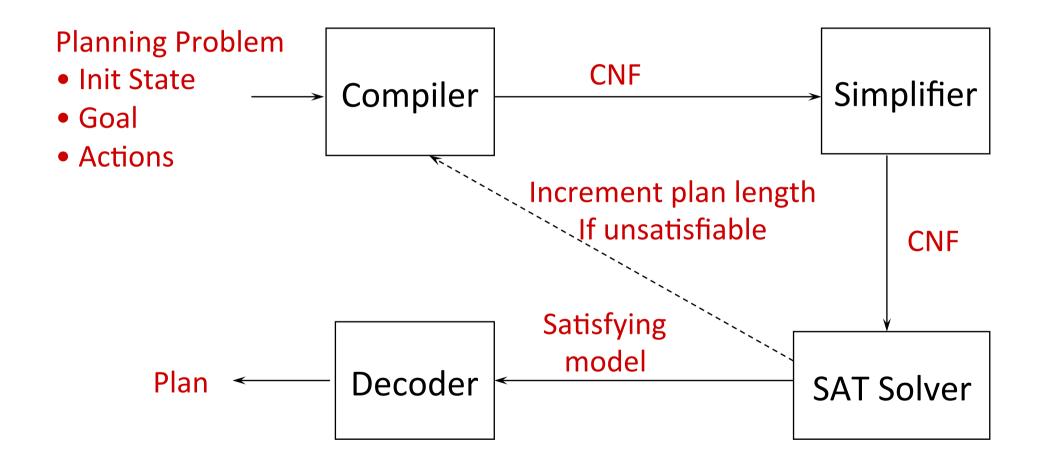
- Propositional satisfiability:
 - Given a Boolean formula, e.g. $(P \lor Q) \land (\neg Q \lor R \lor S) \land (\neg R \lor \neg P)$ does there exist a model, i.e. an assignment of truth values to the propositional variables that makes the formula true?
- This was the very first problem shown to be NP-complete
- Lots of research on algorithms for solving it
 - Algorithms are known for solving all but a small subset in average-case polynomial time
- Therefore:
 - Try translating classical planning problems into satisfiability problems, and solving them that way

Outline

- Brief review of propositional logic & satisfiability
- Planning as propositional satisfiability:
 - Encoding planning problems as satisfiability problems
 - Checking for satisfiability with a SAT solver
 - Extracting plans from truth values
- Satisfiability techniques
 - Davis-Putnam (actually DPLL, sometimes referred to as DP)
 - Local search
 - GSAT and WalkSAT
- Combining satisfiability techniques with planning graphs
 - BlackBox & SATPlan

^{*} Terminology: "SATPLAN approach" (circa 1992) vs. the SATPLAN planner of 2004, 2006 etc., the successor of Blackbox.

Architecture of a SAT-based Planner



History of SAT-based approach

- 1969 Plan synthesis as theorem proving (Green IJCAI-69)
- 1971 STRIPS (Fikes & Nilsson AIJ-71)
- •
- 1992 Satplan Approach (Kautz & Selman ECAI-92)
- 1996 (Kautz & Selman AAAI-96) (Kautz, McAllester & Selman KR-96)
- 1997 MEDIC (Ernst et al. IJCAI-97)
- 1998 Blackbox (Kautz & Selman AIPS98 workshop)
- 1998 IPC-1 Blackbox performance comparable to the best
- 2000 IPC-2 Blackbox performs terribly (Graphplan-style planners dominated)
- 2002 IPC-3 No SAT-based planners entered
- 2004 IPC-4 Satplan04 was clear winner of Optimal propositional planners
- 2006 IPC-5 Satplan06 & Maxplan* (Chen Xing & Zhang IJCAI-07) dominated



BG - Propositional Logic: Syntax

We are given a set of primitive propositions $\{P_1, ..., P_n\}$

- These are the basic statements we can make about the "world"
- From basic propositions we can construct compound sentences (also called *formulas*)
 - If S is a sentence, ¬S is a sentence (negation)
 - If S_1 and S_2 are sentences, $S_1 \wedge S_2$ is a sentence (conjunction)
 - If S₁ and S₂ are sentences, S₁ v S₂ is a sentence (disjunction)
 - If S_1 and S_2 are sentences, $S_1 \Rightarrow S_2$ is a sentence (implication)
 - If S_1 and S_2 are stences, $S_1 \Leftrightarrow S_2$ is a sentence (biconditional)



BG - Propositional Logic: CNF

- A *literal* is either a proposition or the negation of a proposition
- A clause is a disjunction of literals
- A formula is in *conjunctive normal form (CNF)* if it is the conjunction of clauses:

E.g.:
$$(\neg R \lor P \lor Q) \land (\neg P \lor Q) \land (\neg P \lor R)$$

 Any formula can be represented in conjunctive normal form (CNF)

BG - Propositional Logic: Semantics

- A model is a truth assignment to the propositions:
 e.g. p1 = false, p2 = true, p3 = false
 that makes the formula true!
- A formula is either true or false with respect to a model
- The truth of a formula is evaluated recursively as given below: (P and Q are formulas)

P	Q	$\neg P$	$P \wedge Q$	$P \lor Q$	$P \Rightarrow Q$	$P \Leftrightarrow Q$
false	false	true	false	false	true	true
false	true	true	false	true	true	false
true	false	false	false	true	false	false
true	true	false	true	true	true	true

BG - Propositional Satisfiability

- A formula is satisfiable iff there exists some model
 - e.g. Av B, C
- A formula is unsatisfiable iff there does not exist any model
 - e.g. A∧¬A

Encoding Planning as Satisfiability: Basic Idea

- A bounded planning problem is a pair (P,n):
 - P is a planning problem; n is a positive integer
 - Find a solution for P of length n
- Create a propositional formula that represents:
 - Initial state
 - Goal
 - Action dynamics

for *n* time steps

- We will define the formula for (P,n) such that:
 - 1) **any** model (i.e. satisfying truth assignment) of the formula represents a solution to (P,n)
 - 2) if (P,n) has a solution then the formula is satisfiable



Example of Complete Formula for (P,1)

```
at(r1,11,0) \land \neg at(r1,12,0) \land
at(r1,l2,1) ^
move(r1, I1, I2, 0) \Rightarrow at(r1, I1, 0) \land at(r1, I2, 1) \land \neg at(r1, I1, 1) \land
move(r1,l2,l1,0) \Rightarrow at(r1,l2,0) ] \land at(r1,l1,1) \land \neg at(r1,l2,1) \land
\neg move(r1,l1,l2,0) \lor \neg move(r1,l2,l1,0) \land
\neg at(r1,l1,0) \land at(r1,l1,1) \Rightarrow move(r1,l2,l1,0) \land
\neg at(r1,l2,0) \land at(r1,l2,1) \Rightarrow move(r1,l1,l2,0) \land
at(r1,l1,0) \land \neg at(r1,l1,1) \Rightarrow move(r1,l1,l2,0) \land
at(r1,l2,0) \land \neg at(r1,l2,1) \Rightarrow move(r1,l2,l1,0)
```

Overall Approach

- Do iterative deepening (like we did with Graphplan):
 - for n = 0, 1, 2, ...,
 - encode (P,n) as a satisfiability problem Φ
 - if Φ is satisfiable, then
 - From the set of truth values that satisfies Φ , a solution plan can be constructed, so return it and exit
- With a complete satisfiability tester, this approach will produce optimal layered plans for solvable problems
- We can use a GraphPlan analysis to determine an upper bound on n, providing us with a way to detect unsolvability

Notation

- For satisfiability problems we need to use propositional logic
- Need to encode ground atoms into propositions
 - For set-theoretic planning we encoded atoms into propositions by rewriting them as shown here:
 - Atom: at(r1,loc1)
 - Proposition: at-r1-loc1
- For planning as satisfiability we'll do the same thing
 - But we won't bother to do a syntactic rewrite
 - Just use at(r1,loc1) itself as the proposition
- Also, we'll write plans starting at a_0 rather than a_1

•
$$\pi = \langle a_0, a_1, ..., a_{n-1} \rangle$$

Fluents

• If $\pi = \langle a_0, a_1, ..., a_{n-1} \rangle$ is a solution for (P, n), it generates these states:

$$s_0$$
, $s_1 = \gamma(s_0, a_0)$, $s_2 = \gamma(s_1, a_1)$, ..., $s_n = \gamma(s_{n-1}, a_{n-1})$

- A fluent is a proposition used to describe what's true in each s_i
 - at(r1,loc1,i) is a fluent that's true iff at(r1,loc1) is in s_i
 - We'll use l_i to denote the fluent for a literal l in state s_i
 - e.g., if I = at(r1,loc1)then $I_i = at(r1,loc1,i)$
 - a_i is a fluent saying that a is the i'th step of π
 - e.g., if a = move(r1,loc2,loc1)then $a_i = move(r1,loc2,loc1,i)$

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Encoding Planning Problems

• Encode (P,n) as a formula Φ such that

```
\pi = \langle a_0, a_1, ..., a_{n-1} \rangle is a solution for (P, n) if and only if
```

 Φ can be satisfied in a way that makes the fluents $a_0, ..., a_{n-1}$ true

- Let
 - A = {all actions in the planning domain}
 - *S* = {all states in the planning domain}
 - L = {all literals in the language}
- ullet Φ is the conjunction of many other formulas ...

Formulas in Φ

Formula describing the *initial state*:

$$\{I_0 \mid I \in S_0\} \land \{\neg I_0 \mid I \in L - S_0\}$$

Describes the complete initial state (both positive and negative facts) E.g. $on(A,B,0) \land \neg on(B,A,0)$

Formula describing the goal:

$$\bigwedge\{I_n \mid I \in g^+\} \land \bigwedge\{\neg I_n \mid I \in g^-\}$$

Says that goal facts must be true in the final state (i.e. at time-step n) E.g. on(B,A,n)

Is this enough?

Formulas in Φ

- For every action a and timestep i, formula describing what fluents must be true if a were in the i'th step of the plan:
 - $a_i \Rightarrow \bigwedge \{p_i \mid p \in \text{Precond}(a)\} \land \bigwedge \{e_{i+1} \mid e \in \text{Effects}(a)\}$ or
 - $a_i \Rightarrow \bigwedge \{l_i \mid l \in \text{Precond}(a)\}$, a's preconditions must be true
 - $a_i \Rightarrow \bigwedge \{l_{i+1} \mid l \in ADD(a)\}, a's ADD effects must be true in i+1$
 - $a_i \Rightarrow \bigwedge \{ \neg I_{i+1} \mid I \in DEL(a) \}$, a's DEL effects must be false in i+1
- Complete exclusion axiom:
 - For all actions a and b and time-steps i, formulas saying a and b can't occur at the same time (e.g. $\neg a_i \lor \neg b_i$)
- Is this enough?
 - The formulas say nothing about what happens to facts if they are **not** effected by an action.

Frame Axioms

- Frame axioms:
 - Formulas describing what doesn't change between steps i and i+1

Several ways to write these...

- One way: explanatory frame axioms
 - One axiom for every possible literal / at every timestep i
 - Says that if l changes between s_i and s_{i+1} , then the action at step i must be responsible:

$$(\neg I_i \land I_{i+1} \Rightarrow \bigvee_{a \text{ in } A} \{a_i \mid I \in \text{effects}^+(a)\})$$

 $\land (I_i \land \neg I_{i+1} \Rightarrow \bigvee_{a \text{ in } A} \{a_i \mid I \in \text{effects}^-(a)\})$

Example

- Planning domain:
 - one robot r1
 - two adjacent locations I1, I2
 - one operator (move the robot)
- Encode (P,n) where n=1

Initial state: {at(r1,I1)}

Encoding: $at(r1,l1,0) \land \neg at(r1,l2,0)$

• Goal: {at(r1,l2)}

Encoding: $at(r1,l2,1) \wedge \neg at(r1,l1,1)$

• Operator: ...

Example (continued)

```
Operator:
                 move(r,l,l')
                     precond: at(r,l)
                     effects: at(r,l'), \neg at(r,l)
Encoding:
     move(r1, I1, I2, 0) \Rightarrow at(r1, I1, 0) \land at(r1, I2, 1) \land \neg at(r1, I1, 1)
     move(r1,l2,l1,0) \Rightarrow at(r1,l2,0) \land at(r1,l1,1) \land \neg at(r1,l2,1)
     move(r1,I1,I1,0) \Rightarrow at(r1,I1,0) \land at(r1,I1,1) \land \neg at(r1,I1,1)
                                                                                               contradictions
     move(r1,l2,l2,0) \Rightarrow at(r1,l2,0) \land at(r1,l2,1) \land \neg at(r1,l2,1)
     move(11,r1,l2,0) \Rightarrow \dots
     move(12,11,r1,0) \Rightarrow \dots
     move(I1,I2,r1,0) \Rightarrow \dots
                                                nonsensical
     move(I2,I1,r1,0) \Rightarrow ...
```

- How to avoid generating the last four actions?
 - Assign data types to the constant symbols like we did for state-variable representation

Example (continued)

- Locations: l1, l2
- Robots: r1
- Operator: move(r : robot, I : location, I' : location)
 precond: at(r,I)
 effects: at(r,I'), ¬at(r,I)

Encoding:

```
move(r1,l1,l2,0) \Rightarrow at(r1,l1,0) \land at(r1,l2,1) \land ¬at(r1,l1,1) move(r1,l2,l1,0) \Rightarrow at(r1,l2,0) \land at(r1,l1,1) \land ¬at(r1,l2,1)
```

Example (continued)

Complete-exclusion axiom:

```
\neg move(r1,l1,l2,0) \lor \neg move(r1,l2,l1,0)
```

Explanatory frame axioms:

```
\neg at(r1,l1,0) \land at(r1,l1,1) \Rightarrow move(r1,l2,l1,0)

\neg at(r1,l2,0) \land at(r1,l2,1) \Rightarrow move(r1,l1,l2,0)

at(r1,l1,0) \land \neg at(r1,l1,1) \Rightarrow move(r1,l1,l2,0)

at(r1,l2,0) \land \neg at(r1,l2,1) \Rightarrow move(r1,l2,l1,0)
```

Example of Complete Formula for (P,1)

```
 \begin{array}{l} at(r1,l1,0) \wedge \neg at(r1,l2,0) \wedge \\ at(r1,l2,1) \wedge \\ move(r1,l1,l2,0) \Rightarrow at(r1,l1,0) \wedge at(r1,l2,1) \wedge \neg at(r1,l1,1) \wedge \\ move(r1,l2,l1,0) \Rightarrow at(r1,l2,0) \big] \wedge at(r1,l1,1) \wedge \neg at(r1,l2,1) \wedge \\ \neg move(r1,l1,l2,0) \vee \neg move(r1,l2,l1,0) \wedge \\ \neg at(r1,l1,0) \wedge at(r1,l1,1) \Rightarrow move(r1,l2,l1,0) \wedge \\ \neg at(r1,l2,0) \wedge at(r1,l2,1) \Rightarrow move(r1,l1,l2,0) \wedge \\ at(r1,l1,0) \wedge \neg at(r1,l1,1) \Rightarrow move(r1,l1,l2,0) \wedge \\ at(r1,l2,0) \wedge \neg at(r1,l2,1) \Rightarrow move(r1,l2,l1,0) \end{array}
```

Initial State

Goal

Operator

Complete exclusion axiom

Explanatory frame axioms

Convert to CNF and give it to a SAT solver

Extracting a Plan

- Suppose we find an assignment of truth values that satisfies Φ .
 - This means P has a solution of length n
- For i=1,...,n, there will be exactly one action a such that $a_i = true$
 - This is the i'th action of the plan.
- Example (from the previous slides):
 - Φ can be satisfied with move(r1,l1,l2,0) = true
 - Thus, $\langle move(r1, 11, 12, 0) \rangle$ is a solution for (P, 1)
 - It's the only solution no other way to satisfy Φ



Supporting Layered Plans

- Complete exclusion axiom:
 - For all actions a and b and time steps i include the formula
 ai v ¬ bi
 - This guaranteed that there could be only one action at a time
- Partial exclusion axiom:
 - For any pair of incompatible actions a and b and each time step i include the formula ¬ ai ∨ ¬ bi
 - This encoding allows more than one action to be taken at a time step
 resulting in layered plans
 - resulting in layered plans

SAT Algorithms

Systematic Search

- DPLL (Davis Putnam Logemann Loveland)
 - backtrack search and unit propagation
 - Extend partial assignment into complete assignment
 - Sound and complete

Local Search

- GSAT
- Walksat
 - Greedy local search and noise to escape minima
 - Modify randomly chosen total assignment
 - Sound but not complete (but very fast!)

Planning

- How to find an assignment of truth values that satisfies Φ ?
 - Use a satisfiability algorithm
- DPLL is a complete SAT-solver:
 - First need to put Φ into conjunctive normal form e.g., $\Phi = D \wedge (\neg D \vee A \vee \neg B) \wedge (\neg D \vee \neg A \vee \neg B) \wedge (\neg D \vee \neg A \vee B) \wedge A$
 - Write Φ as a set of *clauses* (disjunctions of literals) $\Phi = \{\{D\}, \{\neg D, A, \neg B\}, \{\neg D, \neg A, \neg B\}, \{\neg D, \neg A, B\}, \{A\}\}$
 - Two special cases:
 - If $\Phi = \emptyset$ (I.e. no clauses) is a formula that is always *true*
 - If $\Phi = \{..., \emptyset, ...\}$ (i.e. an empty clause) is a formula that's always *false* and hence unsatisfiable
 - DPLL simply searches the space of truth assignments, assigning one proposition a value at each step of the search tree

The DPLL Algorithm

1. Early termination

A clause is true if any literal is true.

A sentence is false if any clause is false. E.g. $(\neg A \lor \neg B) \land (A \lor C)$

2. Pure symbol heuristic

Pure symbol: always appears with the same "sign" in all clauses.

e.g., In the three clauses (A $\vee \neg$ B), (\neg B $\vee \neg$ C), (C \vee A), A and B are pure, C is impure.

Make a pure symbol literal true.

3. Unit clause heuristic

Unit clause: only one literal in the clause

The only literal in a unit clause must be true.

Basic Observations

- If literal L_1 is true, then clause $(L_1 \vee L_2 \vee ...)$ is true
- If clause C_1 is true, then $C_1 \wedge C_2 \wedge C_3$... has the same value as $C_2 \wedge C_3$
 - Therefore: Its ok to delete clauses containing true literals!
- If literal L_1 is false, then clause $(L_1 \vee L_2 \vee L_3 \vee ...)$ has the same value as $(L_2 \vee L_3 \vee ...)$
 - Therefore: Its ok to shorten clauses containing false literals!
- If literal L_1 is false, then clause (L_1) is false
 - Therefore: the empty clause means false!



The Davis-Putnam Procedure

μ = {literals to which we have assigned the value true}; initially empty

DPLL:

```
if Φ contains an empty clause
  then return false; // backtrack
if \Phi is a consistent set of literals
  then return true: // solution
Unit-Propagate
                   // see below
Choose a literal P from Φ:
DPLL(\Phi Λ P,\mu);
DPLL(Φ \Lambda \neg P, \mu);
```

Unit-propagate: // simplify - B.C. P. For every unit clause I in Φ Add I to the set of true literals Delete all clauses containing I Delete all occurrences of ¬I

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```
Davis-Putnam(\Phi,\mu)
    if \emptyset \in \Phi then return
    if \Phi = \emptyset then exit with \mu
    Unit-Propagate(\Phi,\mu)
    select a variable P such that P or \neg P occurs in \phi
    Davis-Putnam(\Phi \cup \{P\}, \mu)
    Davis-Putnam(\Phi \cup \{\neg P\}, \mu)
end
Unit-Propagate (\Phi, \mu)
    while there is a unit clause \{l\} in \Phi do
         \mu \leftarrow \mu \cup \{l\}
         for every clause C \in \Phi
              if l \in C then \Phi \leftarrow \Phi - \{C\}
              else if \neg l \in C then \Phi \leftarrow \Phi - \{C\} \cup \{C - \{\neg l\}\}\
end
```

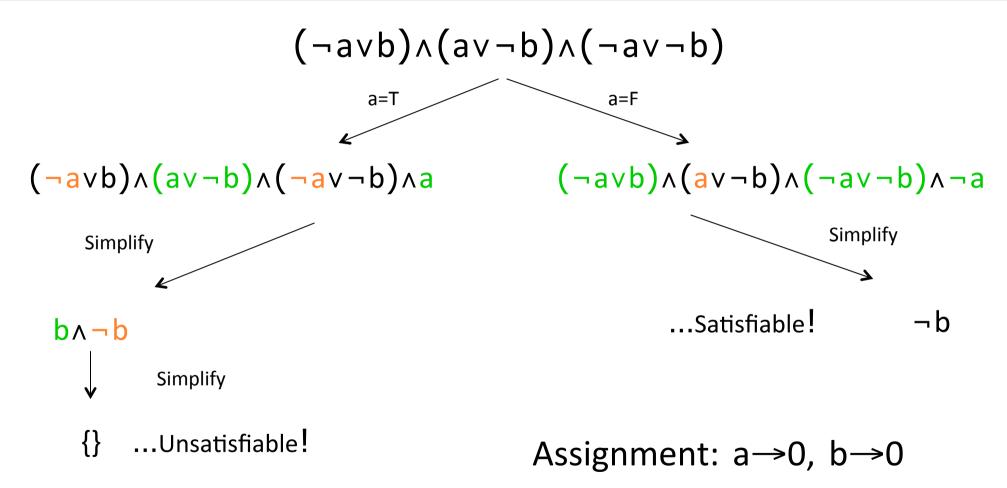
Backtracking search through alternative assignments of truth values to literals



DPLL Example

- Given: formula Φ, with list of symbols {A,B,C,D,E}
 - DPLL({ (¬D ∨ ¬B ∨ C), (B ∨ ¬A ∨ ¬C), (¬C ∨ ¬B ∨ E), (¬E ∨ D ∨ B), (B ∨ E ∨ ¬C) }, {A, B, C, D, E}, [])
- Step 1: not every clause true, none false, pure symbol ¬A
 - DPLL({ (¬D ∨ ¬B ∨ C), (¬C ∨ ¬B ∨ E), (¬E ∨ D ∨ B), (B ∨ E ∨ ¬C) }, {B,C,D,E}, [A=false])
- Step 2: not every clause true, none false, no pure symbols, no unit clause
 - DPLL({ (¬E ∨ D), (E ∨ ¬C) }, {C,D,E}, [A=false, B=false])
 - DPLL({ (¬D ∨ C), (¬C ∨ E) }, {C,D,E}, [A=false, B=true])
- Step 3b: not every clause true, none false, pure D, ¬C, no unit clause
 - DPLL({ (}, {E}, [A=false, B=false, C=false, D=true])DONE!
- Step 3a: not every clause true, none false, pure ¬D, E, no unit clause
 - DPLL({}, {C}, [A=false, B=true, D=false, E=true])DONE!
- Done! (We found even two models.)

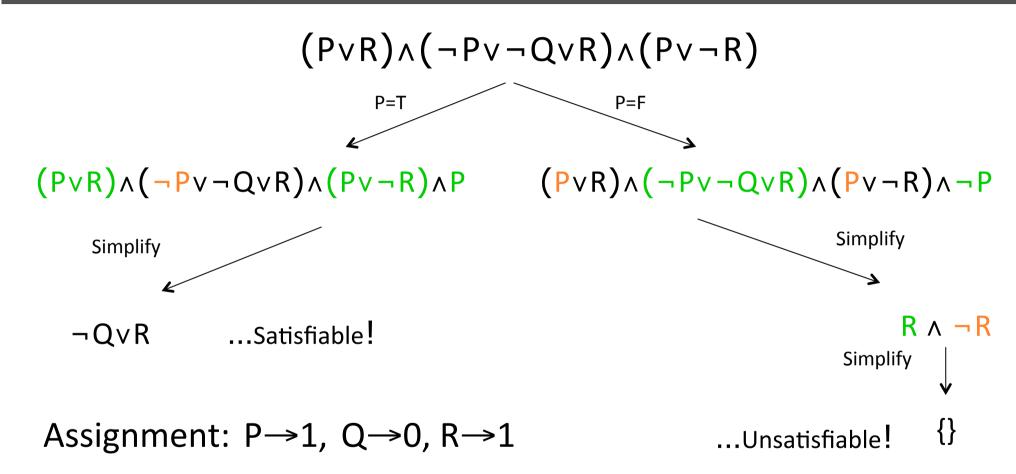
DPLL: Example 1



Remove: all clauses which become true

all literals that become false from the remaining clauses

DPLL: Example 2



Remove: all clauses which become true

all literals that become false from the remaining clauses

Local Search - Basic Idea

- Keep only a single (complete) state in memory
- Generate only the neighbours of that state
- Keep one of the neighbours and discard others
- Key features:
 - no search paths
 - neither systematic nor incremental
- Key advantages:

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- use very little memory (constant amount)
- find solutions in search spaces too large for systematic algorithms

Local Search

- Local search over space of complete truth assignments
- Let u be an assignment of truth values to all of the variables
 - cost(u,Φ) = number of clauses in Φ that aren't satisfied by u
 - flip(P,u) = u except that P's truth value is reversed
- Local search:
 - Select a random assignment u
 - while $cost(u,\Phi) \neq 0$
 - if there is a P such that $cost(flip(P,u),\Phi) < cost(u,\Phi)$ then
 - randomly choose any such P
 - $u \leftarrow flip(P,u)$
 - else return failure
- Local search is sound
- If it finds a solution it will find it very quickly
- Local search is not complete: can get trapped in local minima



GSAT

- Basic-GSAT:
 - Select a random assignment u
 - while $cost(u, \Phi) \neq 0$
 - choose the P that **minimizes** cost(flip(P,u), Φ), and flip it
- Not guaranteed to terminate
- **GSAT:**
 - restart after a max number of flips
 - return failure after a max number of restarts
- WalkSAT is like GSAT but differs in the method used to pick which variable to flip
 - Both algorithms may restart with a new random assignment if trapped in local minima.
 - Many versions of GSAT/WalkSAT. WalkSAT superior for planning.

WalkSat

- With probability P:
 - flip **any** variable in any unsatisfied clause
- With probability (1-P):
 - flip <u>best</u> variable in any unsatisfied clause
 - The best variable is the one that when flipped causes the most clauses to be satisfied
- P controls the randomness of search
- Randomness can help avoid local minima
- Best DPLL-based solvers (e.g., Siege) are currently best!

What SAT-based planning shows

- General propositional reasoning can compete with state of the art specialized planning systems
 - Radically new stochastic approaches to SAT can provide very low exponential scaling
 - Best solvers for SAT-based planning are currently DPLL-based solvers such as Satzilla, PrecoSAT (and previously ReISAT and before that Siege and before that ZChaff) that have the option of using random restarts and some other local-search tricks.
- Why does it work?
 - More flexible than forward or backward chaining
 - Randomized algorithms less likely to get trapped along bad paths

Discussion:

- Recall the overall approach:
 - for n = 0, 1, 2, ...,
 - encode (P,n) as a satisfiability problem Φ
 - ullet if Φ is satisfiable, then
 - From the set of truth values that satisfies Φ , extract a solution plan and return it
- How well does this work?

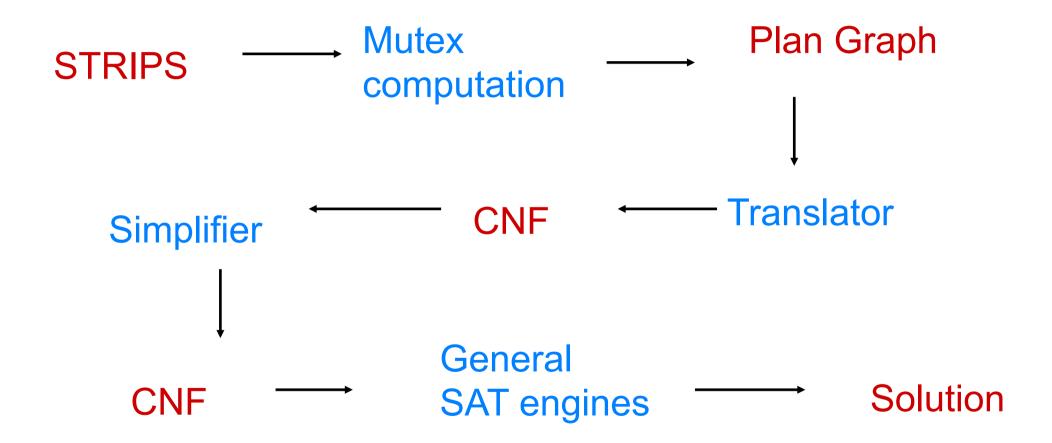
Discussion:

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- How well does this work?
 - By itself, not very practical (takes too much memory and time)
 - But it can be combined with other techniques
 - e.g., planning graphs

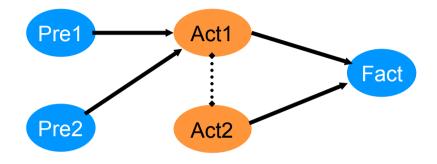


BlackBox





Translation of Plan Graph



- Fact ⇒ Act1 v Act2
- Act1 \Rightarrow Pre1 \land Pre2
- ¬Act1 v ¬Act2

Can create such constraints for every node in the planning graph

BlackBox

- The BlackBox procedure combines planning-graph expansion and satisfiability checking
- Roughly as follows:
 - for n = 0, 1, 2, ...
 - Graph expansion: create a "planning graph" that contains n "levels"
 - Check whether the planning graph satisfies a necessary (but insufficient) condition for plan existence
 - If it does, then
 - Encode (P,n) as a satisfiability problem Φ but include **only** the actions in the planning graph
 - If Φ is satisfiable then return the solution

BlackBox

- Memory requirement still is combinatorially large, but less than satisfiability alone
- It was one of the two fastest planners in the 1998 Planning Competition
- When is BlackBox not a good idea?
- When the domain is too large for a propositional planning approach
- 2. When long sequential plans are needed
- When the solution time is dominated by graph-expansion and not plan extraction.

SatPlan: BlackBox's successor

- SatPlan combines planning-graph expansion and satisfiability checking, roughly as follows:
 - for n = 0, 1, 2, ...
 - Create a planning graph that contains n levels
 - Encode the planning graph as a satisfiability problem
 - Try to solve it using a SAT solver
 - If the SAT solver finds a solution within some time limit,
 - Remove some unnecessary actions
 - Return the solution
- Memory requirement still is combinatorially large
 - but less than what's needed by a direct translation into satisfiability
- SatPlan was one of the best planners in the 2004 and 2006 planning competitions

^{*} Terminology: "SATPLAN approach" (circa 1992) vs. the SATPLAN planner of 2004, 2006 etc., the successor of Blackbox.