



American University  
of Central Asia

Applied Mathematics and Informatics Program

# Multivariate Symbolic Aggregate Approximation for ECG Analysis

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**Bachelor of Arts**

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**TODO** Don't forget to put simply May, 2021 or something Bishkek, Kyrgyz Republic

## ABSTRACT

Electrocardiograms are the most common tool used to diagnose heart diseases, which claim more lives each year than any other disease. Since their invention, **CITE** cite when, and who electrocardiograms needed to be analyzed by a trained professional like a cardiologist. Since **CITE** cite when it became a thing, they can be analyzed using computers and computer-assisted methods. These methods can be more accurate, faster, and more versatile than humans. One discord discovery method is the Symbolic Aggregate Approximation, which transforms an electrocardiogram into a shorter, symbolic form. This form is faster and simpler to analyze.

Multivariate Symbolic Aggregate Approximation takes more than one electrocardiogram lead into account and should thus be more accurate when it comes to discord discovery using Heuristically Ordered Time series using Symbolic Aggregate Approximation.

This paper shows, with **TODO** insert significance level, that Multivariate Symbolic Aggregate Approximation increases the sensitivity of HOT SAX compared to Symbolic Aggregate Approximation.

**Keywords:** acute cardiac ischemia, ECG, mathematical modeling

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**TODO** Sections (not explicit):

- motivation
- objectives and contribution
- falsifiable hypothesis
- introduce relevance of sensitivity here

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# 1 INTRODUCTION

**TODO** UPDATE: In the year 2016, over 9.4 million people worldwide died of ischemic heart disease (IHD). IHD is responsible for 16.6% of all deaths, making it the most common cause of death globally. All forms of cardiovascular disease make up 31.4% of all deaths (17.9 million). Death caused by IHD disproportionately affects people over 50 years of age, with 91% of deaths for men and 95% of deaths for women occurring in that age range. In Kyrgyzstan, 13% of all deaths in 2016 were caused by IHD [1].

**TODO** FOCUS MORE ON MY ACTUAL TOPIC:

Ischemic heart disease is characterized by restricted blood flow to an area of the heart, causing it to not receive enough blood and oxygen. Blood flow restriction is caused by a blockage (or narrowing) in a blood vessel supplying the heart muscle. An artery can be blocked by a blood clot, but the most common cause is plaque buildup, which is called atherosclerosis. If the circulation to the heart is completely blocked, the cells in the heart muscle begin to die. This is called myocardial infarction, more commonly known as a heart attack. The deprivation of oxygen the heart experiences leads to the characteristic chest pain commonly associated with heart attacks [2].

**TODO** mention arrhythmia too, it is what the mit database looks at

IHD can be diagnosed before it leads to a heart attack. The diagnosis can be performed based on a patient's medical history, pharmacologically induced stress, or stress induced by physical exercise. During an exercise stress test, an electrocardiograph (sometimes combined with other methods) records the patient's heart activity, resulting in an electrocardiogram (ECG) [2].

**TODO** make this its own paragraph section

**TODO**

- heart's electrical activity
- up to 12 leads
- common medical diagnostic tool
- electricity is what causes the contraction
- this can be measured on the skin
- a bit on ECG theory
- specific electrodes and positions
- mention ion flow

The ECG is a diagnostic tool used to evaluate patients with (suspected) heart problems. It is a non-invasive, real-time, and cost-effective method that may be used to diagnose IHD. It is the most common tool used for cardiac analysis and diagnosis [3, 4, 5]. The most common form of the ECG is the 12-lead variant. The 12-lead ECG consists of 6 leads connected to the limbs and 6 leads connected to the torso of the patient. The leads record the differences in electrical potential between the places on the body that they are attached to. This reflects the differences in voltage that the heart experiences with each

heart beat because those voltage differences are conducted by the body. The measurements are taken in millivolts (mV). The ECG represents the state of the heart; a recorded ECG has the shape of a wave (the ECG wave) [4, 5].

**TODO** datasets are available online, the most significant leads tend to be included

If the state of the heart beat changes as the result of a disease like IHD (changing the measurable potentials or their occurrence over time), the ECG is able to record these changes.

The characteristic shape of an ECG for two heart beats is shown in Figure 1.1; the figure is taken from [6]. The figure has been annotated to show the significant features of an ECG. The peaks (or waves) P, Q, R, S, T, and U, as well as the segments between them, are the focus of ECG analysis. Multiple points together form what is called a complex;

**TODO** FIX: the QRS complex is a good example of this. Using these waves, the heart activity can be described and analyzed. In an ECG, the P-wave is the result of the atria depolarizing, which is the process of blood entering the heart as the first step in a heart beat. The QRS complex represents ventricular depolarization, the contraction of the heart causing it to pump blood. The T-wave is the return of the ventricle to its polarized state. The U-wave is only present in roughly 25% of the population and may be caused by mechanical-electric feedback. The RR interval can be used to calculate the heart rate because it represents one complete heart beat [6]. The shape of the P, Q, R, S, T, and U waves as well as the duration of various intervals between them are used as indicators of cardiac diseases.

**TODO** UPDATE TO TIKZ FIGURE:

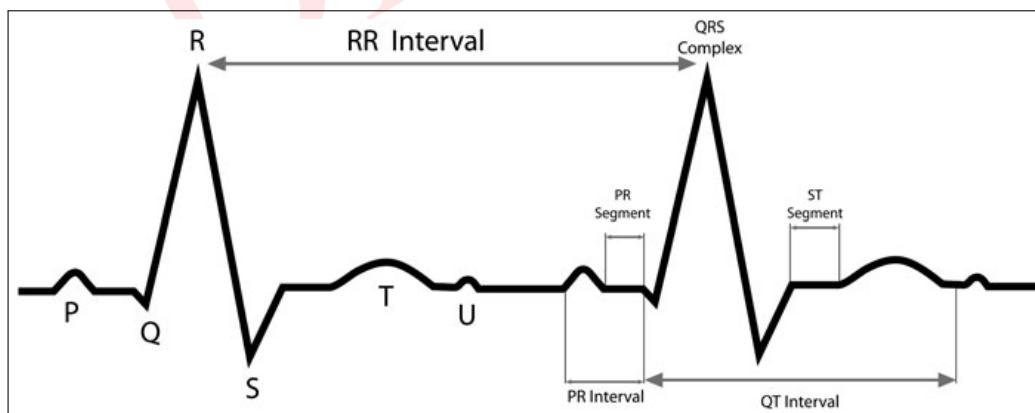


Figure 1.1: A schematic of an ECG waveform, annotated; from [6]

**TODO** also: lots of data, cannot be analyzed quickly

Using an ECG to diagnose a cardiac condition is difficult in practice. Small changes in the components of the ECG can be indicators of diseases and those changes can be overlooked, even by trained and specialized physicians. The chance to make a mistake is even higher for non-specialized physicians and trainees [3, 5].

**TODO** make it about assisting in their diagnosis by pointing out important segments  
-> discords

For the diagnosis of IHD, changes in the ST-segment and T-wave are of particular

interest. An elevation of the ST-segment compared to a normal heart beat is one of the main indications of IHD and myocardial infarction. A downward depression of the ST-segment, especially in combination with chest pain, is another indication of IHD. The changes in the ST-segment are thought to be caused by current flow between healthy heart muscle and ischemic heart muscle [6, 7].

**TODO** after introducing idea of discord discovery, introduce ECGs as time series:

- ECGs are multivariate: 2-12 leads
- discrete: measured at discrete points
- ordered sequences: come one after another after equal time segments
- 

**TODO** then, time series analysis methods are ready to be applied to ECGs

**TODO** what is required of the method:

- fast
- accurate
- adaptable
- versatile

The diagnosis of IHD on the basis of an ECG is time sensitive. If a patient has IHD or suffers from a heart attack, treatment has to be started as soon as possible. Some forms of treatment are most effective in the first 3 hours after symptom onset and lose most of their effectiveness after 9 to 12 hours. The diagnosis required for treatment to begin should thus be as quick as possible. The ECG delivering information in real-time is an advantage here, even though there are more time consuming methods that can deliver more accurate results than an ECG [8].

**TODO** WHY automated ECG analysis:

The widespread use of ECGs and the time-sensitive nature of their application as diagnostic tools makes errors, delays, or inconsistencies in their interpretation unacceptable. A recent approach to minimizing this problem is the application of computer technology in ECG recording, storage, and analysis. The main steps of computerized ECG analysis are [4]

**TODO** fix this one, adapt to my writing (1) signal acquisition and filtering, (2) data transformation or preparation for processing, (3) ~~waveform~~ recognition, (4) ~~feature~~ extraction, and (5) classification or diagnosis.

This research will investigate steps (3) and (4) through the use of different feature extraction algorithms. The ECG data will be retrieved from the European ST-T Database. This database provides ECG recordings that can be used as trial data to test feature extraction algorithms. The European ST-T Database contains annotations made by cardiologists indicating the ST-segment, T-wave, and their changes. They also include information about the suspected disease [9, 10]. This information can be used to determine the effectiveness of the feature extraction algorithms.

**TODO** Sections:

- overview of methods for ECG analysis
- main elements
- current foci
- use the confusion matrix at all?
- support assertions made in introduction
- arrive at natural conclusion that SAX/MSAX/HOTSAX should be investigated
- describe all 4 statistical measures in some detail

## 2 STATE OF COMPUTERIZED ECG ANALYSIS

Recent advances in computer technology have enabled the use of computers in every aspect of ECG acquisition, processing, analysis, and storage. In light of these developments, the American Heart Association published recommendations for the interpretation and standardization of the ECG. They recommend that the low-frequency cutoff for low-frequency filtering of an ECG should be 0.05 Hz or 0.67 Hz for filters that do not exhibit phase distortion. For high-frequency filtering they recommend a cutoff of at least 150 Hz. For the storage of digital ECG samples (at 500 samples per second), it is recommended use use compression with an error of less than 10 microvolt [4].

<sup>xie2020</sup> *Xie et al.* [5] provide an overview of the current approaches to computerized ECG analysis. The standard approach to using computerized methods in ECG analysis is comprised of four steps (1) denoising of the raw ECG signal(s), (2) feature engineering, (3) dimensionality reduction, and (4) classification. To denoise an ECG, digital filters are often used. Their drawbacks are that they only filter out very specific frequencies. Because noisy ECGs contain different types of contaminations, digital filters can be inaccurate. Using wavelet transforms for denoising has the advantage that noise can be more precisely targeted and the clean signal reconstructed afterwards. Choosing appropriate wavelet parameters can be challenging and methods to optimize this process have been proposed. Empirical mode decomposition is the third option generally employed to denoise an ECG. It does not require the user to set parameters but it can lead to a mixing of oscillations of different time scales.

After the signal has been appropriately denoised, feature engineering is performed. Feature engineering is the process of extracting features that are relevant for diagnosis from the many points the ECG signal contains. The main features targeted for extraction are the PQRST features mentioned in the introduction. The fast Fourier Transform provides a way of analysing the frequency domain of the ECG signal, enabling the detection of the QRS complex and other features. The missing time information in the fast Fourier Transform can lead to difficulties in detecting time-dependent features. The short-time Fourier Transform adds time information to the fast Fourier Transforms data. This can increase the accuracy of the feature extraction. This transform has the drawback that there is a tradeoff between the time and frequency resolutions. Wavelet transforms can also be used for feature extraction. They have the advantage that they are suitable for all frequency ranges. Choosing the right wavelet base for the desired application can be a challenge. The discrete wavelet transform is the most widely used wavelet transform, thanks to its computational efficiency. Statistical methods are also used to extract features from ECGs; those methods are generally less affected by noise in the signal.

After the features of the ECG have been extracted, it is often necessary to reduce the number of features. The reason for this is that a large number of features, despite their high accuracy, require a high amount of computation to classify. This lengthy computa-

tion can negate the advantages gained by high accuracy. This process sacrifices a certain amount of information and sometimes precision, but significantly speeds up the classification. Feature selection is a process that attempts to select a subset of the original data that adequately describes the whole data. Feature selection can be performed by a filter that filters out unnecessary attributes based on some metric. This method is relatively simple, but the filtering process removes data and thus negatively impacts the precision of further steps. Feature extraction on the other hand uses dimensionality reduction methods to keep as much of the original information as possible. Principal component analysis preserves as much of the variance in the original data as it can. Other algorithms focus on separating classes of data, pattern recognition, or retaining the structure of the original data.

The final stage of the ECG processing is the classification stage. In this stage judgements are made based on the prepared input data and the result should be a disease diagnosis. In the early stages of computerized ECG analysis classification was performed by algorithms based on human actions when reading an ECG. Those algorithms were basic and not particularly accurate. Currently, the classification at the end of the preparation process is performed by a machine learning algorithm. Such models include the k-nearest-neighbors model which classifies points into groups but which is very expensive to calculate for high-dimensional data. Support vector machines are used for pattern recognition and are able to work with small samples. Artificial neural networks are robust and can work with complex problems, they are generally more accurate than support vector machines. The newest approach is to forego the stages discussed here and use a single neural network to perform all the required tasks "end-to-end". These networks are fed raw data and the denoising, feature extraction, selection, and classification is performed internally by the model [5].

The end-to-end approach to ECG analysis is a relatively new development and is being actively researched. The more traditional method using denoising, features engineering, and classification as separate steps is also still relevant. The combination of denoising and feature extraction with a machine learning classifier can lead to very good results. Prasad and Parthasarathy [11] use the fast Fourier Transform to extract features from an ECG and then employ a multi-objective genetic algorithm to detect abnormal ECG signals with high accuracy. Vaneghi *et al.* [12] compare 6 common feature extraction techniques with respect to their detection of ventricular late potentials. The compared methods are the autoregressive method, wavelet transform, eigenvector, fast Fourier Transform, linear prediction, and independent component analysis. Valupadasu and Chunduri [13] use the fast Fourier Transform to analyze the energy level in different frequencies in the ECG of patients with IHD. They find that the energy is distributed differently, allowing the distinction of ECGs with IHD from those without IHD. Kaur, Rajni, and Marwaha [14] analyzed ECG signals with both the wavelet transform and principal component analysis. They found that the wavelet transform outperformed principal component analysis for the detection of heart beats in an ECG. Their model achieved an error rate of 0.221%

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of incorrectly classified heart beats .

### 3 METHODS

**TODO** Sections:

- general overview -> flowchart
  - then explain each element of the flowchart one by one
  - use formulae etc
  - nice amount of tikz graphs
  - section on implementation with details and the more important elements
- use another flow chart?
- use graphs to illustrate all important elements
  - make a data description section that describes my process of data handling; which database
- 
- explain the parameters that the methods have and what they mean
  - describe how I got all the data

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## 4 RESULTS

### **TODO** Sections

- use confusion matrices for what is vs what was predicted [p. 44 anacleto2019]
- compare all the parameters and their influence
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