1 2 2	Viscosity and phase state of wildfire smoke particles in the stratosphere from pyrocumulonimbus events: an initial assessment Authors: Mei Fei Zeng,¹ Andreas Zuend,² Nealan G.A. Gerrebos,¹ Pengfei Yu,³ Gregory P. Schill,⁴ Daniel M. Murphy,⁴ Allan K. Bertram¹*				
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Abstract.

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Understanding the viscosity and phase state of biomass-burning organic aerosol (BBOA) from wildfires and pyrocumulonimbus (pyroCb) events in the stratosphere is critical for predicting their role in stratospheric multiphase chemistry and ozone depletion. However, the viscosity and phase state of BBOA under stratospheric conditions, including interactions with sulfuric acid (H₂SO₄), remain largely unquantified. In this study, we combine laboratory data with a thermodynamic model to predict the viscosity and phase state of BBOA under stratospheric conditions. Our results suggest that BBOA with a H₂SO₄-to-BBOA mass ratio of 0.37—an estimated upper limit for pyroCb smoke in the lower stratosphere after two months of aging— is highly viscous and frequently exists in a glassy state. Even at a higher H₂SO₄-to-BBOA mass ratio of 0.79 – an estimated upper limit after nine months of aging – BBOA can still transition to a glassy state under certain stratospheric conditions. In the glassy state, bulk reactions are suppressed, and multiphase chemistry may be limited to the particle surfaces. We also highlight key areas for future research needed to better constrain the viscosity and phase state of BBOA in the stratosphere and its subsequent impact on ozone.

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Keywords: Aerosols, biomass burning, viscosity, phase state, glass state, polar stratospheric clouds, ozone layer, oxidation, atmospheric aging

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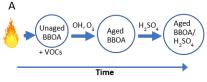
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Synopsis statement: Biomass-burning organic aerosol from wildfires can exist in a glassy state in the stratosphere, which can affect multiphase chemistry, polar stratospheric cloud formation, and ozone depletion predictions.

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1. Introduction:





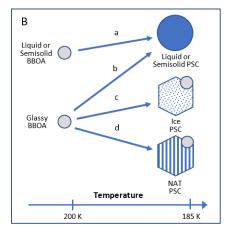


Figure 1. A) Aging of biomass burning organic aerosol (BBOA) in the stratosphere. VOCs represent volatile organic compounds. B) Possible formation pathways of polar stratospheric clouds (PSCs). NAT represents nitric acid trihydrate. PSCs form at temperatures less than $\cong 200$ K.

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Large wildfires periodically inject massive amounts of smoke into the stratosphere (\sim 15 km \leq altitude \leq 50 km) via pyrocumulonimbus (pyroCb) events and associated deep convection. Examples include the large-scale wildfires in the Pacific Northwest of North America in 2017 and the Australian "black summer" fires in 2019 — 2020.^{1,2} Smoke is also ubiquitous in the upper troposphere and is mixed into the lowermost stratosphere.^{3,4}

 Smoke from wildfires consists of mostly organic aerosol, called biomass-burning organic aerosol (BBOA).⁵ For example, smoke sampled from wildfires in the western U.S.A. comprised of > 90% BBOA by mass.^{6,7} Wildfires also emit large amounts of volatile organic compounds (VOCs). In the atmosphere, hydroxyl radicals (OH) and ozone (O₃) oxidize VOCs, and some of the products of these reactions condense onto existing particles, adding to the BBOA mass.⁸ OH and O₃ can also react directly with BBOA, forming a more oxidized aerosol. These combined processes result in aged BBOA (Fig. 1A).

In the stratosphere, sulfuric acid (H₂SO₄) can also condense on to the aged BBOA to form aged BBOA-H₂SO₄ particles (Fig. 1A). The sources of H₂SO₄ in the stratosphere includes the oxidation of sulfur dioxide (SO₂) from pyroCb events and volcanic eruptions and the oxidation of carbonyl sulfide (COS) from natural and industrial sources.^{3,9-11} The conversion of SO₂ to H₂SO₄ occurs on the order of several weeks in the stratosphere,¹² while the conversion of COS to H₂SO₄ occurs on the order of tens of years.¹¹

 BBOA can linger for many months in the stratosphere and contribute to the depletion of the UV-blocking stratospheric ozone layer. ^{13–15} Key reactions that may occur on BBOA in the stratosphere and contribute to stratospheric ozone depletion include ClONO₂+HCl, ClONO₂+H₂O, and HOCl+HCl. ¹⁶ These reactions on BBOA could delay ozone layer recovery for years to come, increasing ultraviolet radiation exposure at the Earth's surface and raising the risk of skin cancer. ^{14,15} Additionally, BBOA introduced into the stratosphere by pyroCb events may influence the formation of polar stratospheric clouds (PSCs), potentially causing further depletion of the stratospheric ozone layer. ¹⁷

Two Important properties of BBOA are the viscosity and phase state (i.e., liquid, semisolid, or amorphous solid). These properties are closely related: liquids have a viscosity $< 10^2$ Pa s, semisolids range from 10^2 — 10^{12} Pa s, and amorphous ("glassy") solids exhibit a viscosity $> 10^{12}$ Pa s, ¹⁸

Knowledge of the viscosity and phase state of BBOA particles is needed to predict the rates of multiphase reactions, including the multiphase reactions mentioned above that can contribute to ozone depletion. For example, the rate for a multiphase reaction often depends on the square root of the diffusion coefficient within the aerosol particle. Since diffusion is related to 1/viscosity, this implies that the rate often depends on the square root of 1/viscosity.

Consequently, high viscosities and the glassy state could inhibit multiphase reactions within the particle bulk, restricting multiphase chemistry to the particle surface.

Knowledge of the viscosity and phase state of BBOA is also needed to predict the formation pathways of PSCs (Fig. 1B). If BBOA particles are in a non-glassy state, they can take up H₂O and HNO₃ at low temperatures to form non-glassy PSCs (pathway a). In addition, Ansmann et al. speculated that BBOA particles in a glassy state could heterogeneously nucleate crystalline ice or crystalline nitric acid hydrate (NAT) (pathways c and d).¹⁷ We also speculate that glassy BBOA may take up H₂O and HNO₃ to form non-glassy PSCs due to the plasticizing effect of small molecules like H₂O and HNO₃ (pathway b).

Researchers previously measured or estimated the viscosity and phase state of BBOA as a function of temperature and relative humidity (RH). 19,20,23,24 They used these results to predict the behavior of BBOA in the troposphere (altitude $\lesssim 15$ km). However, the temperature and RH in the stratosphere differ substantially from those in the troposphere, and these differences can strongly impact viscosity and phase state (as discussed below). Additionally, no studies have quantified the effect of H_2SO_4 uptake, or the uptake of any inorganic species, on BBOA viscosity and phase state. In a recent modelling study of stratospheric chemistry, researchers assumed that BBOA- H_2SO_4 particles in the stratosphere exist in a non-glassy state, allowing reactions to occur in the bulk of the particles. 14,15 However, this assumption has not been verified with experiments or calculations. As a result, the viscosity and phase state of BBOA under stratospheric conditions remain highly uncertain.

Several previous studies have quantified the viscosity and phase state of secondary organic aerosol (SOA) in the troposphere. These studies show that SOA, when free of inorganic species, can exist in a glassy state in the upper troposphere. However, these studies did not consider the temperatures and RH in the stratosphere, and most did not consider the uptake of inorganic species, such as H₂SO₄. ²⁸

In the following, we use laboratory data and a thermodynamic model to predict the viscosity and phase state of BBOA in the stratosphere from pyroCb events. We focus on altitudes from 15 — 32 km in the stratosphere, since wildfire plumes from pyroCb events have been observed at these altitudes. ^{29–31} We first estimate the viscosity and phase state for unaged BBOA at these heights. Next, we estimate the viscosity and phase state of aged BBOA particles mixed with H₂SO₄ (aged BBOA-H₂SO₄ particles) at these heights. The implications of the results for stratospheric chemistry and PSCs are discussed, as well as observational constraints of the viscosity and phase state of BBOA in the stratosphere. We also provide an outlook for future research on the viscosity and phase state of BBOA in the stratosphere.

2. Methods.

2.1 Temperature in the stratosphere relevant for BBOA from pyroCb events.

The viscosity of organic aerosols depends strongly on temperature. Simulated zonal mean monthly temperatures for January and July were obtained from the Whole Atmosphere Community Climate Model (WACCM) for the year 2000 (see Fig. S1).^{32,33} WACCM has

72 vertical levels from surface to about 140 km above surface level and a horizontal resolution of 1.9° latitude by 2.5° longitude.

 $^{29-31}$ For the altitude range of 15 — 32 km, the stratospheric temperatures vary from 177 — 250 K (see Fig. S1). As a result, we will focus on this temperature range throughout the remainder of this article.

2.2 RH in the stratosphere relevant for BBOA from pyroCb events.

The viscosity of organic aerosols depends strongly on the RH. The RH in the stratosphere was calculated using the following equation:

$$RH = \frac{p_w}{p_w^*} \times 100\%, \tag{1}$$

where P_w is the atmospheric water vapour pressure and P^*_w is the equilibrium (saturation) water vapour pressure over liquid water. P_w was calculated using the following equation:

$$P_w(z) = C_{H20} \times P_{total}(z), \tag{2}$$

where z represents altitude and C_{H20} represents the mixing ratio of water vapor in air. $P_{total}(z)$ represents the total atmospheric pressure at z and was calculated using a scale height (H) of 7.4 km and the barometric law:

$$P_{total}(z) = 101325 \, Pa \times \exp\left(-\frac{z}{H}\right), \tag{3}$$

 P^*_{w} was calculated using the following equation from Murphy and Koop:³⁴

$$P_{H_2O}^*(T) = \exp\left(54.84276 - \frac{6763.22}{T} + \tanh\{0.01415(T - 218.8)\} \left[53.878 - \frac{1331.22}{T} - 9.4452\ln(T) \times \exp(-4.210\ln(T) + 0.014392T)\right]\right),\tag{4}$$

where T represents temperature.

Typical C_{H2O} values in the stratosphere are approximately 5 ppmv. However, elevated levels have been observed in wildfire plumes transported to the stratosphere through pryoCb events.

Kablick et al. reported C_{H2O} values exceeding 15 ppmv in plumes from the Australian wildfires

during 2019 —2020,²⁹ and Yu et al. observed C_{H2O} values exceeding 5 ppmv in plumes from

Canadian wildfires in 2017.³⁵ To align with these observations, we focus on C_{H2O} values of 15 ppmv in our analysis.

2.3 Viscosity of unaged BBOA

Table 1. BBOA types and their properties used to determine the viscosity of unaged BBOA in the stratosphere. Relevant properties are the glass transition temperatures of dry BBOA $(T_{g,BBOA})$ and the volume-based hygroscopicity factor (κ) .

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BBOA type	Reference	$T_{g,BBOA}$	κ			
Pine wood BBOA generated from flaming	Xu et al. ²³	275.3 K ^a	0.129 ^e			
Poplar wood BBOA generated from flaming	Xu et al. ²³	269.1 K ^a	0.079 ^e			
Cedar wood BBOA generated from flaming	Xu et al. ²³	273.3 K ^a	0.116 ^e			
Water soluble component of pine wood BBOA generated from smoldering	Schnitzler et al. 19	258.8 K ^b	0.071 ^f			
Hydrophobic phase of pine wood BBOA generated from smoldering	Gregson et al. ²⁰	230.0 K ^b	0.0003 ^f			
Hydrophilic phase of pine wood BBOA generated from smoldering	Gregson et al. ²⁰	240.0 K ^b	0.031 ^f			
Subalpine fir BBOA generated from flaming	DeRieux et al. ²⁴	286.0 K ^c	0.1 ^g			
Subalpine fir BBOA generated from flaming	DeRieux et al. ²⁴	254.0 K ^d	0.1 ^g			
Lodgepole pine BBOA generated from flaming	DeRieux et al. ²⁴	277.0 K ^c	0.1 ^g			
Lodgepole pine BBOA generated from flaming	DeRieux et al. ²⁴	266.0 K ^d	0.1 ^g			

- ^aGlass transition temperature determined from volatility measurements.
- 5 bGlass transition temperature determined from viscosity measurements.
- 6 °Glass transition temperature determined from mass spectra recorded with electrospray ionization mass spectrometry.
- 8 dGlass transition temperature was determined from mass spectra recorded with atmospheric pressure photoionization mass spectrometry.
- 10 °Value calculated from oxygen-to-carbon (O/C) atomic ratios of the BBOA and following the parameterization provided by Lambe et al.³⁶
- 12 fValue determined from RH-dependent viscosity data of the BBOA.
- 13 gValue used previously for this BBOA.

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The glass transition temperature of dry BBOA ($T_{g,BBOA}$) and the volume-based hygroscopicity parameter of the BBOA (κ) have been reported for several types of unaged BBOA (Table 1). The variability in $T_{g,BBOA}$ and κ is likely due to differences in experimental conditions used to generate and sample the BBOA, such as the fuel type, combustion conditions, and dilution. Here we calculated the viscosity of unaged BBOA using each of the $T_{g,BBOA}$ and κ pairs shown in Table 1 to determine the possible range of viscosities and phase states of unaged BBOA in the stratosphere.

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The viscosity was calculated from $T_{g,BBOA}$ and κ using the same approach used by Li et al. to calculate viscosity of SOA in the troposphere.³⁷ First, we calculated the water content of the BBOA at a given RH using κ and the following equations:²⁶

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$$w_{BBOA} = \frac{\rho_{BBOA} \left(1 - \frac{RH}{100}\right)}{\frac{RH}{100} \left(\frac{\rho_{BBOA}}{RH} - \rho_{BBOA} + \kappa \rho_{w}\right)},\tag{5}$$

2 and

$$w_{H2O} = 1 - w_{BBOA}, \tag{6}$$

 where w_{BBOA} and w_{H2O} represent the mass fraction of BBOA and H₂O in a BBOA-water mixture, respectively. In Equation 5, ρ_w represents the density of water, and ρ_{BBOA} represents the density of BBOA. For ρ_w , we used 1 g/cm³, and for ρ_{BBOA} , we used 1.3 g/cm³, consistent with previous assumptions and measurements.^{38,39}

Subsequently, the glass transition temperature of the BBOA and water mixture ($T_{g,mix}$) was calculated using the Gordon-Taylor equation and the glass transition temperature of dry BBOA ($T_{g,BBOA}$):¹⁸

$$T_{g,mix}(w_{BBOA}) = \frac{(1 - w_{BBOA})T_{g,w} + \frac{w_{BBOA}T_{g,BBOA}}{k_{GT}}}{(1 - w_{BBOA}) + \frac{w_{BBOA}}{k_{GT}}},$$
(7)

where k_{GT} represents the Gordon-Taylor constant, suggested to be 2.5, 18,40,41 and $T_{g,w}$ is the glass transition temperature of water, set to 136 K. 42

Viscosity at a given temperature (T) was then calculated using the Vogel–Fulcher–Tammann (VFT) equation:⁴³

$$23 \qquad \eta = \eta_{\infty} \exp\left(\frac{T_0 D}{T - T_0}\right) \,, \tag{8}$$

where η_{∞} is the viscosity at infinite temperature (set to 10^{-5} Pa s), ^{43}D is the fragility parameter, assumed to be 10 as done previously, 24 and T_{θ} is the Vogel temperature calculated using the following equation:

$$T_0 = \frac{39.17 \cdot T_{g,mix}(w_{BBOA})}{D + 39.17} \,, \tag{9}$$

2.4 Viscosity of aged BBOA-H₂SO₄ particles

To calculate the viscosity of aged BBOA-H₂SO₄ particles at a given RH and temperature, we first determined the viscosity of aged BBOA particles and H₂SO₄ particles separately at the given RH and temperature, as outlined below.

- 38 To determine the viscosity of aged BBOA particles at a given RH and temperature, we followed
- 39 the same method described in Section 2.3. The viscosities determined in Section 2.3 correspond
- 40 to unaged BBOA. We assume that these viscosities represent lower limits for aged BBOA due to
- 41 the following factors: a) aging through OH and O₃ reactions has been shown to increase BBOA

viscosity at room temperature and on the timescale of days,^{23,44} b) UV exposure at room temperature also increases BBOA viscosity on the timescale of days,⁴⁵ and c) dilution also increases BBOA viscosity.⁴⁶ Nevertheless, additional measurements are needed to confirm this assumption for stratospheric temperatures, relative humidities, and residence times. We hope our work will motivate further studies on this topic. In addition, it is important to keep in mind that unaged BBOA in the stratosphere will often be in a glassy state (see below), and hence aging reactions with OH and O₃ should be limited for initial conditions in the stratosphere.

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To determine the viscosity of H₂SO₄ particles at a given RH and temperature, we used the AIOMFAC-VISC thermodynamic model.^{47,48} This model applies a semi-empirical approach based on the Eyring's absolute rate theory to describe the viscosity of aqueous electrolyte solutions. The model reproduces viscosity data often within 10% for a wide range of aqueous electrolyte systems.⁴⁸

After determining the viscosity of the aged BBOA particles and H₂SO₄ particles separately at a given RH and temperature, we used the Zdanovskii-Stokes-Robinson (ZSR) approach to calculate the viscosity of aged BBOA-H₂SO₄ particles at the given RH and temperature.⁴⁸ The ZSR approach is expressed mathematically as the following:

$$\ln(\eta/\eta^0) = f_1 \ln(\eta_1/\eta^0) + f_2 \ln(\eta_2/\eta^0), \tag{10}$$

Where η , η_1 , and η_2 represents the viscosity of the BBOA-H₂SO₄ particles, BBOA particles, and H₂SO₄ particles, respectively, all at the same RH and temperature. η^0 is the unit viscosity (1 Pa s); and f_1 and f_2 are the mass fractions of the two subsystems at the given RH and temperature. Studies by Song et al.⁴⁹ and Klein et al.²⁸ show that the ZSR approach predicts viscosities within 1 — 2 orders of magnitude for mixtures of organic and inorganic material.

To predict the viscosity of the aged BBOA-H₂SO₄ particles using Equation 10, the H₂SO₄-to-BBOA (H₂SO₄:BBOA) mass ratio is needed. An upper limit to the H₂SO₄:BBOA mass ratio for pyroCb smoke in the stratosphere can be estimated based on limited measurements. In 2017, the NASA Atmospheric Tomography (ATom) mission sampled pryoCb smoke in the northern hemisphere and lowest part of the stratosphere from the large-scale wildfires in the Pacific Northwest of North America. ^{50,51} Single particle measurements from this mission indicate that the average H₂SO₄:BBOA mass ratio was ~0.37 and ~0.79 for 2 months and 9 months, respectively, after the initial pryoCb injection into the stratosphere (Section S1 and Fig. S2).^{50–52} The H₂SO₄:BBOA mass ratio was even smaller for the largest particles ($\gtrsim 0.5 \mu m$) (Fig. S2). Based on the size distributions of the typical smoke in the stratosphere and smoke from pryoCb events, the largest particles were likely mostly from pyroCb events, whereas the smaller particles were likely aged smoke that is widespread in the upper troposphere and lower stratosphere.^{4,51} Based on these limited measurements, we suggest that H₂SO₄:BBOA mass ratios of 0.37 and 0.79 are likely an upper limit for pyroCb smoke in the lower stratosphere with an aging time of 2 months and 9 months, respectively. To be consistent with the ATom measurements, in the following, we consider H₂SO₄:BBOA mass ratios of 0.37, 0.5, and 0.79.

3. Results and discussion:

3.1 RH in the stratosphere

Before discussing the viscosity of BBOA in the stratosphere, we first considered the RH in the stratosphere since the RH strongly impacts particle viscosity. As the RH decreases, the water activity (and hence water content) of BBOA particles decreases to maintain equilibrium with the gas phase and the viscosity increases due to the plasticizing effect of water. ^{18,53}

We calculated the RH as a function of temperature in the stratosphere at altitudes of 15 and 32 km and a water vapor mixing ratio of 15 ppmv. An altitude range of 15 — 32 km corresponds to the range of altitudes where wildfire plumes have been observed in the stratosphere. A water vapor mixing ratio of 15 ppmv is consistent with the water vapour mixing ratio expected in wildfire plumes that have reached the stratosphere via pryoCb events. Page 35

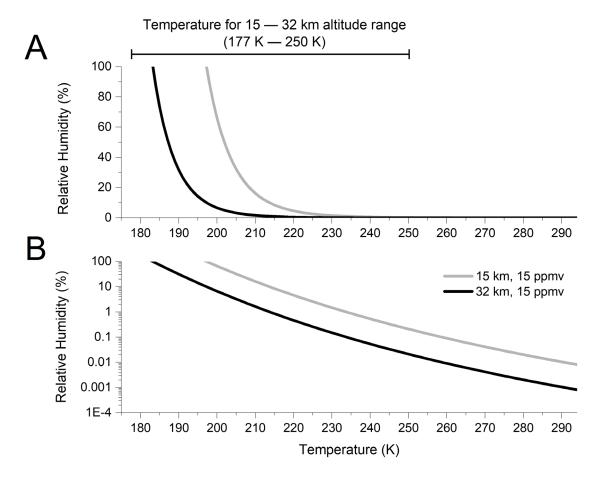


Figure 2. RH as a function of temperature in the stratosphere for altitudes of 15 and 32 km and a water vapor mixing ratio of 15 ppmv. (A) Data with the RH on a linear scale, and (B) data with the RH on a logarithmic scale.

The results of the calculations are shown in Figure 2. The horizontal bar illustrates the temperature range of 177 — 250 K, which is most relevant for the BBOA in the stratosphere from pyroCb events at altitudes of 15 — 32 km (see Methods). Our calculations indicate that the

RH reaches extremely low levels ($\lesssim 1\%$ RH) under a wide range of conditions relevant to BBOA in the stratosphere. In contrast, in the troposphere, the RH is almost always greater than 10%.⁵⁴ The low RH levels relevant for BBOA in the stratosphere, combined with the cold stratospheric temperatures, have significant implications for the viscosity of BBOA in this region as discussed below.

3.2 The viscosity of unaged BBOA in the stratosphere

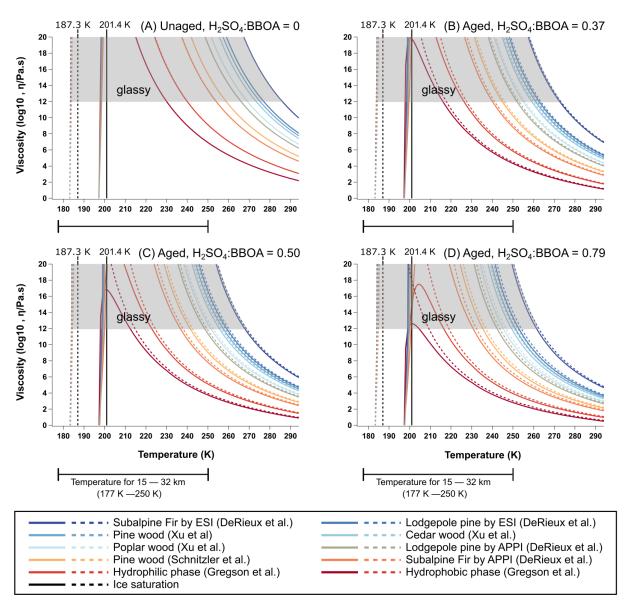


Figure 3. The viscosity of different BBOA types mixed with H_2SO_4 as a function of the temperature in the stratosphere (coloured lines). Also superimposed are the ice saturation conditions (black lines). Grey regions represent conditions where BBOA- H_2SO_4 mixtures are glassy (viscosity $\geq 10^{12}$ Pa s). Calculations were done using a fragility parameter of D=10, at a water pressure of 15 ppmv, and at altitudes of 15 km (solid lines) and 32 km (dashed lines). Viscosities extended beyond 10^{20} Pa s, however the y-axis was capped at this value.

Shown in Figure 3A are the calculated viscosities for the 10 unaged BBOA types listed in Table 1. Similar to Figure 2, we carried out calculations for the stratosphere at altitudes of 15 and 32 km and a water vapour mixing ratio of 15 ppmv. For the temperature range of 200 — 250 K, all the BBOA types have viscosities of approximately 10⁷ Pa s or greater (Fig. 3A). These extremely high viscosities are due to a combination of low RH values and low temperatures (Fig 2). Furthermore, 8 out of the 10 BBOA types exhibit viscosities exceeding 10¹² Pa s for the entire temperature range of 200 —250 K, indicating that they are predicted to remain in a glassy solid state throughout this range. For the 2 proxies studied by Gregson et al. a glassy solid state was observed over a narrower range of temperatures (200 — 230 K for the hydrophobic phase and 200 — 240 K for hydrophilic phase), but the glassy state still dominated between 200 — 250 K. Overall, these results suggest that the glass state dominates for unaged BBOA in the stratosphere for the 200 — 250 K temperature range. At temperatures ≤ 200 K all of the BBOA types switch from a glassy solid state to a semisolid or liquid state (Fig. 3A) due to the heightened RH in this low-temperature regime (Figure 2). At an altitude of 15 km, the transition from a glassy state to a semisolid/liquid state occurs at about 195 — 200 K. At an altitude of 32 km, the transition occurs at about 180 — 185 K.

3.3 Viscosity of aged BBOA-H₂SO₄ particles

Figure 3 also includes calculated viscosities for aged BBOA-H₂SO₄ particles with with H₂SO₄:BBOA mass ratios of 0.37, 0.5, and 0.79. Measurements from the ATom mission suggest that H₂SO₄:BBOA mass ratios of 0.37 and 0.79 are likely upper limit for pyroCb smoke in the lower stratosphere with aging times of 2 months and 9 months, respectively (see Methods). The H₂SO₄:BBOA mass ratio of 0.5 is provided as an intermediate value between the ratios of 0.37 and 0.79.

To calculate the viscosities of aged BBOA-H₂SO₄ particles, we used the viscosities of unaged BBOA as proxies for aged BBOA. Consequently, the calculated viscosities should be considered as lower limits to the viscosities of aged BBOA-H₂SO₄ particles for several reasons that are outlined in the Methods section.

For H₂SO₄:BBOA = 0.37 (Fig. 3B) at temperatures between 200 — 250 K, the viscosity of the aged BBOA- H₂SO₄ particles is approximately 3 orders of magnitude lower, or more, compared to the unaged case (compare Fig. 3A and 3B). This decrease is attributed to the low viscosity of the H₂SO₄ and its associated water compared to BBOA at the same RH and temperature. Despite the reduction in viscosity, for the temperature range of 200 — 250 K, all the BBOA types have viscosities of approximately 10⁴ Pa s or greater. In addition, 6 out of the 10 systems still exhibited viscosities greater than 10¹² Pa s for the full temperature range of 200 — 250 K. For the remaining 4 systems, the particles still reached 10¹² Pa s, although over a narrower temperature range. Overall, these results suggest that aged BBOA with a H₂SO₄:BBOA mass ratio of 0.37 will have a viscosity of approximately 10⁴ Pa or greater and will often be in a glassy state for the temperature range of 200 — 250 K.

For H_2SO_4 :BBOA = 0.37 (Fig. 3B) at temperatures $\lesssim 200$ K, all of the aged BBOA- H_2SO_4 types transition from a glassy solid state to a semisolid or liquid state due to the increased RH in this

low-temperature regime. At an altitude of 15 km, the transition from a glassy state to a semisolid/liquid state occurs at about 195 - 200 K, while at an altitude of 32 km, the transition occurs at about 180 - 185 K.

For H₂SO₄:BBOA = 0.79 (Fig.3D) at temperatures between 200 and 250 K, the viscosity decreases by 1 order of magnitude, or more, compared to H₂SO₄:BBOA = 0.37. Again, this reduction is attributed to the low viscosity of the H₂SO₄ and its associated RH compared to BBOA at the same RH and temperature. For the temperature range of 200 — 250 K, all the BBOA types have viscosities of approximately 10³ Pa s or greater. In addition, 3 out of the 10 systems exhibited viscosities greater than 10¹² Pa s for the full temperature range of 200 — 250 K. For the remaining 7 systems, the particles still reach 10¹² Pa s, although over a more narrow temperature range. Overall, these results suggest that aged BBOA with a H₂SO₄:BBOA mass ratio 0.79 will have viscosities of approximately 10³ Pa s or greater for the temperature range of 200 to 250 K. In addition, the glass state will form in these aged BBOA for some stratospheric conditions.

3.4 Sensitivity analysis

In the analysis above, we used a C_{H2O} value of 15 ppmv, consistent with measurements in plumes that recently entered the stratosphere via pyroCb events. Over time, C_{H2O} levels decrease due to dilution, eventually reaching background values of ~5 ppmv. To evaluate how a reduction in C_{H2O} affects viscosity and phase state, we recalculated viscosities using a C_{H2O} value of 5 ppmv (Figure S4). The lower C_{H2O} level increases viscosity. For example, for the hydrophobic phase studied by Gregson et al., H_2SO_4 :BBOA =0.79, C_{H2O} = 15 ppmv, and an altitude of 15 km, the highest observed viscosity was ~10¹² Pa s. In contrast, under the same conditions but with C_{H2O} = 5 ppmv, the highest observed viscosity was ~10²² Pa s.

To calculate the viscosities of aged BBOA-H₂SO₄ particles, we used the ZSR method. Previous studies have demonstrated that the ZSR method can estimate the viscosity of mixed organic and inorganic particles within 1 - 2 orders of magnitude. Figure S5 presents the predicted viscosities of aged BBOA-H₂SO₄ particles ($C_{H2O} = 15$ ppmv and H₂SO₄:BBOA ratio = 0.37, 0.5, and 0.79) with all of the predicted viscosities reduced by two orders of magnitude. Even after this reduction, the aged BBOA with a H₂SO₄:BBOA mass ratio of 0.37 are still predicted to be in a glassy state often for the 200 — 250 K temperature range. In addition, the aged BBOA with a H₂SO₄:BBOA mass ratio of 0.79 will still form the glass state for some stratospheric conditions and BBOA types.

To calculate the viscosity of BBOA particles from their glass transition temperatures, we assumed a fragility parameter (D) of 10, consistent with values used in previous studies. For individual organic molecules, D values typically range from 5 to 20. To assess the impact of this range, we recalculated viscosities using D values of 5 and 20, with a water vapour mixing ratios of 15 ppmv and H₂SO₄:BBOA mass ratios of 0, 0.37, 0.5, and 0.79 (Figure S6 and S7). The largest reductions in viscosity were observed for a D value of 20. Nevertheless, even for D = 20, the aged BBOA with a H₂SO₄:BBOA mass ratio of 0.37 are still predicted to be in a glassy state often for the 200 — 250 K temperature range. In addition, the aged BBOA with a H₂SO₄:BBOA

mass ratio of 0.79 are still predicted to form the glass state for some stratospheric conditions and BBOA types.

4. Atmospheric Implications

Recent studies have suggested that reactions occur on or in BBOA in the stratosphere, leading to unexpected depletion of the UV-blocking stratospheric ozone layer. ^13-15 In modelling studies, researchers have assumed that the BBOA-H2SO4 particles in the stratosphere are in a non-glassy state and that reactions occur in the bulk of the BBOA-H2SO4 particles. ^14,15 These assumptions have generally aligned with model-measurement comparisons. Our results suggest that wildfire smoke particles will have viscosities $\gtrsim 10^3$ Pa s, when the H2SO4:BBOA mass ratio is $\lesssim 0.79$ and the temperature is 200 — 250 K. These high viscosities can influence the characteristic reaction diffusive length scale and reactive uptake rates. For instance, reactive uptake rates often scale with the square root of 1/viscosity, meaning a two-order-of-magnitude increase in viscosity can reduce the reactive uptake rate by one order of magnitude. ^16 Consequently, these elevated viscosities may need to be considered to accurately describe the stratospheric chemistry of wildfire smoke particles.

Our results also suggest that wildfire smoke particles can be in a glassy state (viscosity $\geq 10^{12}$ Pa s) under certain temperatures and RHs in the stratosphere when the H₂SO₄:BBOA mass ratio is $\lesssim 0.79$. In such a state, bulk reactions will be inhibited, and multiphase chemistry may be restricted to the surface of the particles. Therefore, surface reactions may need to be considered for some conditions in the stratosphere.

As mentioned above, BBOA may influence the formation mechanisms of PSCs via several pathways (Fig. 1B). In the current study, we address the possibility of pathway c in Figure 1B. In Figure 3, we show the RH and temperature conditions where vapor becomes supersaturated with respect to ice, indicated by vertical black lines. The solid black line corresponds to conditions at an altitude of 15 km, while the dashed black line corresponds to an altitude of 32 km. If viscosity is $\geq 10^{12}$ Pa s to the left of the vertical black lines (i.e., colder temperatures) at the respective altitudes, BBOA can exist in a glassy state while the vapor is supersaturated with respect to ice. This glassy state may provide a surface for heterogeneous ice nucleation, leading to the formation of ice-containing PSCs (pathway c, Fig. 1B).

- For H₂SO₄:BBOA ratios of 0, 0.37, 0.5, and 0.79 at altitudes of 15 km and 32 km (Fig. 3), the viscosity is $\geq 10^{12}$ Pa s to the left of the corresponding vertical black lines. This indicates that under certain stratospheric conditions, the particles are in a glassy state, the vapor is supersaturated with respect to ice, and the particles can act as potential surfaces for heterogeneous ice nucleation, possibly leading to the formation of ice-containing PSCs (pathway c, Fig. 1B).
- , ,
- Lidar observations indicate that fresh smoke particles in the stratosphere exhibit a high
- depolarization ratio, suggesting the presence of non-spherical particles. 1,55–57 This elevated
- depolarization could signal that BBOA is in a glassy or highly viscous state in the stratosphere.
- In newly emitted smoke plumes, BBOA particles can coagulate into fractal aggregates.⁵⁸ If

- 1 BBOA is in a glassy or highly viscous state, the material will not flow, maintaining its fractal
- 2 structure after coagulation, which would contribute to a high depolarization ratio. ^{59–61}
- 3 Additionally, black carbon particles from combustion sources initially have a fractal geometry.
- 4 When coated with a low-viscosity material, the fractal geometry can become more compact and
- 5 spherical, with a low depolarization ratio.⁶² However, if black carbon is coated with BBOA in a
- 6 glassy or highly viscous state, black carbon can maintain its fractal geometry, and the
- depolarization ratio can stay high.⁶² Nevertheless, interpretation of lidar depolarization is
- 8 complicated because depolarization depends on particle size as well as the degree of non-
- 9 sphericity.⁵⁹
- 10 Lidar data also show that the depolarization ratio of smoke in the stratosphere decreases over a
- period of 3 4 months, eventually approaching near-zero values (< 0.05). This trend may
- 12 indicate that BBOA transition from a highly viscous state to a less viscous one. A decrease in
- viscosity would allow fractal aggregates of BBOA to transform into spherical particles, which
- 14 typically exhibit a near-zero depolarization ratio. Similarly, a reduction in BBOA viscosity
- would enable fractal black carbon aggregates coated with BBOA to compact into a core-shell
- structure, also associated with a low depolarization ratio. However, it is important to note that
- 17 glassy or highly viscous BBOA, such as tar balls, that have not coagulated into fractal aggregates
- in the atmosphere will possess a spherical geometry and exhibit a low depolarization ratio. ^{64,65}
- 19 Therefore, a low depolarization ratio does not definitively indicate that BBOA particles are in a
- 20 non-glassy and non-viscous state.
- Baars et al. pointed out that the decrease in depolarization ratio of smoke in the stratosphere with
- 22 time is consistent with the aging of smoke particles and the addition of a coating around the solid
- black carbon core aggregates, which would change the shape towards a spherical form. We add
- here that the coating would also need to be in a non-glassy and lower viscosity state, 62 which
- 25 may be accomplished by the uptake of H₂SO₄ present in the stratosphere, as shown above.

5. Outlook

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- 1) When calculating the viscosity of aged BBOA-H₂SO₄ particles, we assumed the viscosity of unaged BBOA is a lower limit to the viscosity of aged BBOA for several reasons (see Methods). Nevertheless, additional measurements are needed to confirm this assumption for stratospheric temperatures, relative humidities, and residence times.
- We assumed that BBOA forms a single phase when mixed with H₂SO₄. Previous studies have shown that when secondary organic aerosol with an average O/C ratio ≥ 0.8 is mixed with ammonium sulfate aerosols, the resulting particles form a single phase.^{66–68} Studies are needed to confirm if a similar threshold applies for BBOA mixed with H₂SO₄.
- 3) To calculate the viscosity of BBOA particles from their glass transition temperatures, we
 assumed a fragility parameter (D) of 10, consistent with values used in previous studies.
 Measurements are needed to confirm that a fragility of 10 is appropriate for BBOA.
- To calculate the viscosity of aged BBOA-H₂SO₄ particles, we used the ZSR method and the AIOMFAC-VISC thermodynamic model. Observations are needed to confirm the validity of this approach specifically for laboratory-generated and field-collected BBOA-H₂SO₄ particles.

- 5) More measurements of the H₂SO₄ content in wildfire aerosols as a function of age in the stratosphere are needed. These measurements could be carried out by high-altitude aircraft or by using infrared spectra of wildfire smoke in the stratosphere from satellite measurements.¹³
- 6) Additional observational constraints on the viscosity and phase state of BBOA in the stratosphere are needed. Lidar depolarization measurements of wildfire smoke in the stratosphere offer valuable insights into these properties, but additional measurements are needed. Lidar depolarization measurements indicate that wildfire smoke in the stratosphere is often non-spherical, 63 but depolarization is an indirect measurement that does not uniquely determine phase and viscosity.
- 7) Measurements should also differentiate between ordinary smoke particles slowly lofted into the stratosphere and smoke rapidly injected into the stratosphere through large pyroCb events. PyroCb smoke is processed through a cloud during its ascent. This could result in a different mix of organic molecules than smoke that is not cloud-processed.
- 8) Our results suggest that aged BBOA and aged BBOA mixed with H₂SO₄ will be in a glassy state for some conditions in the stratosphere. Previous studies have shown that certain types of organic aerosols in a glassy state can nucleate ice heterogeneously.^{69–72} However, the ice nucleation efficiency of glassy organic aerosols is still an area of active research.⁷³ Studies are needed to determine whether BBOA in a glassy state can nucleate crystalline PSCs.
- 9) We considered the uptake of water and H₂SO₄ by BBOA in the stratosphere. At the coldest temperatures, BBOA particles can also take up nitric acid (HNO₃), similar to background H₂SO₄ particles in the stratosphere, which could further change the composition, and hence, the viscosity of the wildfire smoke particles.⁷⁴ Smoke particles can also take up HCl and potentially other chlorine compounds.¹⁵ This uptake should be considered in future studies.

Author contributions:

 The writing of the manuscript was led by AKB with contributions from MFZ. MFZ calculated viscosities from glass transition temperatures of BBOA and produced the figures in the manuscript with contributions from NGG. AZ calculated the viscosities of sulfuric acid particles using the AIOMFAC-VISC thermodynamic model and provided direction and insight for viscosity predictions. DM and GS provided measurements of BBOA in the lower stratosphere. DM identified the observational constraints of viscosity and phase state in the stratosphere. All authors discussed and interpreted data and contributed to revising the original manuscript.

Supporting Information

Details of the ATom campaign, H₂SO₄:BBOA mass ratio figure, simulated monthly temperature figure, and BBOA viscosity figures for sensitivity analyses (DOC).

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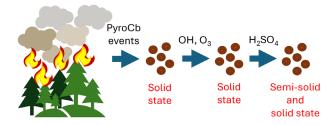
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Phase state of wildfire smoke in the stratosphere at 250-205 K and 15-32 km.