## **Communications Systems Engineering**

### Moritz Hüllmann

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### 1 Network Programming

There are five socket types, but only three are relevant.

- SOCK\_RAW
- SOCK\_STREAM
- SOCK\_DGRAM
- SOCK\_RDM
- SOCK\_SEQPACKET

Combined with **Protocol Family**, communication is defined completely. Focus is on **IPv4/v6**. Relevant protocol families

- $PF_INET IPv4$
- $PF_INET6 IPv6$

Sockets give access to transport layer. One may also use AF instead of PF prefixes.

### 1.1 C-Implemenation of Sockets

## Definition: socket(·) This function creates a new reference to a socket in the OS. int socket(int socket\_family, int socket\_type, int protocol); protocol - optional, if there is only one possibility. Set to 0 if not wanted Returns: 0 on success, -1 on error

```
Definition: bind(·)

This socket binds a socket to a specific address and port.

int bind(int sock, const struct sockaddr* addr, socklen_t addrlen);

sock - a file descriptor, i.e. the return value of socket(·)

Returns: 0 on success, -1 on error
```

 $\mathsf{bind}(\cdot)$  - commonly used by servers.  $\mathsf{strcut}$  sockaddr\* addr has all information regarding  $\mathsf{IPv4}/\mathsf{v6}$ .

One has to be careful regarding byte order. Sockets usually require **network byte order**.

**htonl(\cdot)** Host to network long

**ntohl(⋅)** Network to host long

... and many more

### Definition: $getaddrinfo(\cdot)$

This function gets all possible based on the information passed to it. Can be used to determine IP addresses, ports and so on.

int getaddrinfo(const char \*node, const char \*service, const struct addrinfo \*hints,
 struct addrinfo \*\*res);

hints – used to tell the function what is should do specifically.

**Returns:** 0 on success, -1 on error. On success, res contains a pointer to a linked list of whatever was requested

This function should be used everytime, one implements server/client applications, as it can handle DNS resolution, handle both IPv4 and v6 and so on.

Suggested call order:

- 1.  $getaddrinfo(\cdot)$
- 2.  $socket(\cdot)$
- 3.  $bind(\cdot)$

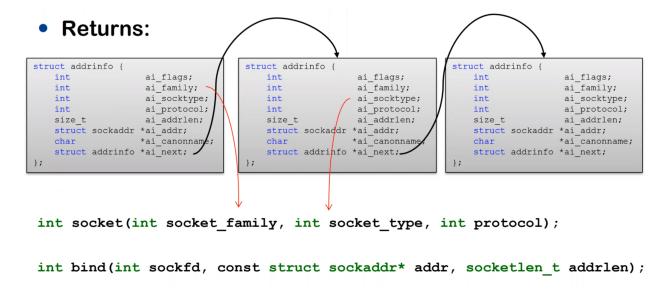


Figure 1: How to use  $getaddrinfo(\cdot)$ . Note: All information used should originate in one block, not two as shown above.

### 1.1.1 Server-side programming

Up until now, only communication setup was discussed, we need to communicate now.

### Definition: listen $(\cdot)$

This functions listens for incoming connection requests.

int listen(int sockfd, int backlog);

sockfd - Socket to listen on.

backlog - Queue length for pending requests.

Note: This is only valid with SOCK\_STREAM and SOCK\_SEQPACKET.

If the queue is full, the server will not answer.  $listen(\cdot)$  is a blocking function.

### Definition: $accept(\cdot)$

This function is used to accept requests received by  $listen(\cdot)$ .

int accept(int sockfd, struct sockaddr \*addr, socklen\_t \*addrlen);

addr - holds the address that should be accepted

addrlen - length of addr. One can pass a structure that is big enough for IPv4 and IPv6.

Returns: File descriptor for new socket, that handles the accepted connection from now on.

### 1.1.2 Client-side programming

### Definition: $connect(\cdot)$

This function connects the socket to a server. It may perform a TCP handshake and similar things in the process.

int connect(int sockfd, struct sockaddr \*addr, socklen\_t addrlen);

addr - address to connect to

 ${\tt addrlen}-{\tt length}\ of\ the\ address$ 

Returns: 0 on success, -1 on failure

### 1.1.3 Sending and receiving

One can write to and read from sockets, with the following functions:

- write( $\cdot$ ) and read( $\cdot$ )
- $send(\cdot)$  and  $recv(\cdot) TCP$
- sendto(·) and recvfrom(·) UDP

### Definition: Stream

A stream is like reading from a file. TCP just decides to cut the stream at certain points and transmits each fragments as packets. We do not know the context of a single packet.

### Definition: Datagram

Messages transmitted via datagrams are not cut up by the kernel, they are send as is. If the message is too large, there either is an error, or the package gets fragmented. Each datagram is self-contained, so every received packet can be seen as a unit.

### Definition: $send(\cdot)/write(\cdot)$

These functions can be used to write to a socket. As in Linux everything is a file, one can use  $write(\cdot)$  instead of  $send(\cdot)$ .

```
ssize_t send(int sockfd, const void* buf, size_t len, int flags);
ssize_t write(int fd, const void* buf, size_t count);
```

flags – instruct kernel to handle send request in a certain way

buf - Buffer with data to send

**Returns:** Bytes actually written. -1 on error, non-negative otherwise **Note:** write(·) is equals to  $send(\cdot)$  with flags = 0

### Definition: $recv(\cdot)/read(\cdot)$

These functions are designed to read a certain amount of bytes from a socket (or file).

```
ssize_t recv(int sockfd, void* buf, size_t len, int flags);
ssize_t read(int fd, void* buf, size_t count);
```

Parameters are analogous to  $send(\cdot)$ .

Actually using the return value of the reading functions is really important. It may be unknown, how many bytes the applications is going to receive in a single read operation. If  $retval \neq lenght$ , then not enough was read.

### Definition: $sendto(\cdot)$ for connection-less sockets

This function sends a certain amount of bytes from a buffer to the specified address and port.

```
ssize_t sendto(int sockfd, const void* buf, size_t len, int flags, const struct
sockaddr *dest_addr, socklen_t addrlen);
```

dest\_addr - Address and port to send to

**Note:** There is no equivalent write function.

**Note:** Parameters up to flags same as for  $send(\cdot)$ . Exclusively for sending datagrams.

### Definition: $recvfrom(\cdot)$ for connection-less sockets

This functions receives a certain amount of bytes from a given address.

ssize\_t recvfrom(int sockfd, void\* buf, size\_t len, int flags, const struct sockaddr
 \*src\_addr, socklen\_t \*addrlen);

Note: src\_addr will be replaced with the address, that really was received from. addrlen will then hold the correct length of the structure.

**Note:** Parameters up to flags same as  $recv(\cdot)$ .

Again, if it is not known which version of IP is going to be used, use struct sockaddr\_storage, to allow for both versions.

### 1.1.4 Closing connections

There are several methods for closing a connection, each to be used in a different scenario.

### Definition: $close(\cdot)$

Drops all pakets queued for receiving and sending, and shuts down everything else related to the socket. Also frees all previously allocated space.

int close(int sockfd);

**Returns:** 0 on success, -1 on error

For more conservative shutdown operations, the following function can be used.

### Definition: $shutdown(\cdot)$

More conservative  $close(\cdot)$  variant.

int shutdown(int sockfd, int flags);

flags – 0: no further receives, 1: no further sends, 2: both

**Note:** Return values same as for  $close(\cdot)$ 

Note: Sends FIN and/or FIN\_ACK, other party might get RST (reset) when trying to read from

the shut down socket

**Note:** This allows all queued data to be send.

 $\mathsf{shutdown}(\cdot)$  cannot stand alone.  $\mathsf{close}(\cdot)$  must be called after a call to  $\mathsf{shutdown}(\cdot)$ , as it releases all hugged  $\mathsf{structs}$ .

### 1.1.5 Connection overview

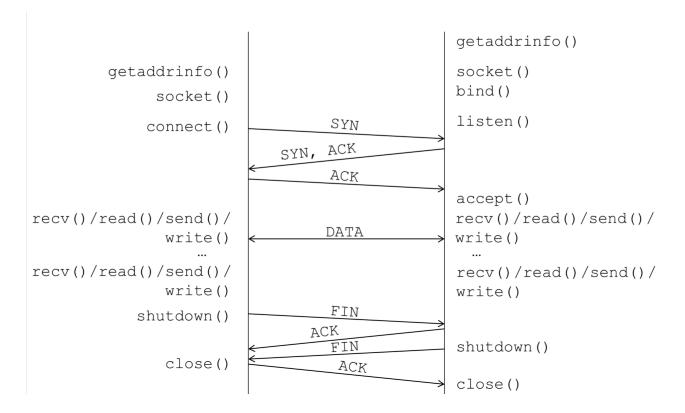


Figure 2: Overview over the course of a connection-aware socket

### 1.1.6 Configuring a socket

# Definition: setsockopt(·) Apply options to sockets. int setsockopt(int sockfd, int level, int optname, const void\* optval, socklen\_t optlen); level - basicalli ISO/OSI level the option should be applied to Returns: 0 on success, -1 on error

Getting options set for a sockets uses a functions with more or less the same signature as  $setsockopt(\cdot)$ , but is called getsockopt.

I/O-controls can also be used to set and read properties of a socket. Commonly:

- Timestamp of last received packet
- How many bytes are unsend (TCP)
- How many bytes are unread (TCP)
- ...

### 1.1.7 Non-blocking sockets

There are two methods that one could use:  $select(\cdot)$  and epoll.

Non-blocking sockets using  $select(\cdot)$  All network calls are blocking by default. This is not optimal in a server setting, as this introduces great overhead and occupies many ressources of the server, that could be used elsewhere. **Threads** are not an option for highly scalable systems, as they introduce great computation overhead.  $fcntl(\cdot)$  can be used to set flags to sockets, specically O\_NONBLOCK. Callbacks are called, to notify a program, if a socket is write-/readable. Polling is not an option, this is busy waiting.

### Definition: select(·)

```
int select(int nfds, fd_set *readfds, fd_set *writefds, fd_set *exceptfds, struct
    timeval *timeout);
```

```
nfds - highest file descriptor number in all sets + 1
```

readfds - list of sockets to monitor readability for

writefds - list of sockets to monitor writeability for

exceptfds – list of sockets to monitor exceptions for

timeout - maxium wait time

**Returns:** 0 on timeout, -1 on error, else number of fd's with event read/write/excetpfds is then set to the sockets with events. Manual checking which sockets have events is required.

### Non-blocking sockets using epoll $\,$ It knows two modes:

- 1. level triggered, which is just as  $select(\cdot)$
- 2. and edge triggered.

Edge triggered epoll informs on every *change* of states for read and write queues, as well as excepts.

If there are 5 new bytes received, calling epoll returns that new data is readable. However, if just 1 byte is read and epoll called again, it will block, as there is no **new** data available.

An epoll file descriptor is attached to the sockets queue, directly in the kernel. Each epoll fd has a queue of its own, and notifies for every socket listed there.

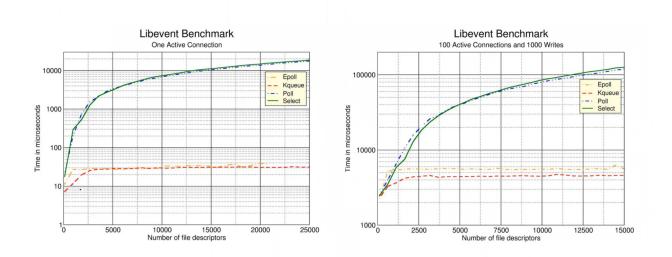


Figure 3: Performance of epoll vs.  $select(\cdot)$ 

### 1.2 TCP options and packetization

TCP needs to decide important questions:

- 1. When to wait for more data from user space
- 2. When to send queued data

If not done so, every  $send(\cdot)$  results in a packet. If only one byte is send, that would result in packages with 40 bytes of overhead, but only one byte of payload.

### 1.2.1 Nagle's Algorithm

### Algorithm: Nagle's Algorithm

This algorithm is default TCP socket behaviour.

Small chunks are accumulated and send, when previous data was ACKed.

```
if (there is new data to send) {
   if (window size >= max(segment size) && available data >= max(segment size)) {
     complete max(segment size) and send now;
     queue remaining data;
}
   else if (exists(data in flight waiting to be ACKed)) {
      queue data and send when ACK is received;
}
   else {
      send data immediately;
}
```

**Note:** This algorithm is not suited for every application, as it may wait, when an immediate send might be necessary.

Disable with TCP\_NODELAY with setsockopt( $\cdot$ ).

### 1.2.2 Delayed ACKs

Basic idea: when data is received, we will want to send data as a response. Piggy back ACKs for previous data on data you want to send. The delay is  $\leq 0.5s$ . At least every second packet with MSS is ACKed immediately.

This also is TCP default behaviour. However, don't combine with section 1.2.1, this may lead to unnecessary waiting times of up to 0.5 s.

Disable with TCP\_QUICKACK with setsockopt( $\cdot$ ).

Also possible: packetize TCP yourself, using TCP\_CORK, which works best with TCP\_NODELAY. It will still send out MSS packets immediately. Allows for application level flushing.

Can also be achieved with  $send(\cdot)$  and its flags, by adding MSG\_MORE to it.

### 1.2.3 TCP fast open

Normal TCP has 1 RTT delay due to 3-way handshake.

This option adds the TCP request to TCP's SYN message. Leads to 5-7% speed-up.

### **Problems:**

Don't process request before handshake is compelete: risk to security, if handshake would not be completed. Possible DoS scenarios:

- Resource exhaustion attack Leave connection half open with SYN-flood
- Reflection attack Spoof live IP addresses, so those get spamed

Also problem with duplicated data. This also makes the above attacks easier.

### Avoiding those problems:

What to do to tackle the problems

- Keep verified hosts on a secure whitelist
- Only trust peers that completed a handshake this needs a proof
- Application must tolerated duplicated SYN data

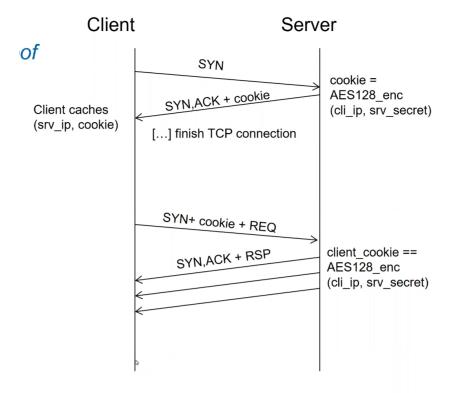


Figure 4: Using cookie as proof of validity of connection

Cookies are not valid forever. If cookie is invalid continue with normal TCP handshake.

Cookies may not reach destination. Can be dropped by middleboxes, also timeouts can occur. Cookies can be stolen  $\rightarrow$  Rediraction attacks

TCP fast open is not used today, as it leads to more problems than it solves. It also has significant problems with middleboxes.

Activating TCP fast open on servers using  $setsockopts(\cdot)$  with TCP\_FASTOPEN together with a maximum count of connections.

Activating TCP fast open for clients by piggybacking data to the connect call. Also need to use MSG FASTOPEN.

### 1.2.4 Multipass TCP

Enable multiple connections between clients and servers. This enables for some backup paths, if one connection suddenly fails. However, TCP is *single-path* only, meaning there can only be one connection per socket. This leads to poor performance for mobile users, if, for example, if you change from WiFi to 4G networks.

How to use multi-path TCP:

- 1. Send options MP\_CAPABLE with SYN message to signal multi-path capability.
- 2. If servers sends the same option back, both parties know, that the other party supports
- 3. Send specific JOIN with another SYN message to server, signalling to which connection to join the incoming one to.

Again, there are problems with middle boxes. Middleboxes (esp. NAT) may **change** the following field in the IP header.

- IP source address
- IP destination address
- Source port
- Destination port
- Sequence number
- ACK number

They especially can remove the MP\_CAPABLE-flag, which leads to unsuccessful connection establishment  $\rightarrow$  normal TCP connection established.

Also, non-sequential ACKs (when only looking at one path) may be dropped.

Middleboxes may also change all other possible fields, but those changes are not common.