

# Communications Systems Engineering

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# 1 Network Programming

There are five socket types, but only three are relevant.

- SOCK\_RAW
- SOCK\_STREAM
- SOCK\_DGRAM
- SOCK\_RDM
- SOCK\_SEQPACKET

Combined with **Protocol Family**, communication is defined completely. Focus is on **IPv4/v6**. Relevant protocol families

- PF\_INET – IPv4
- PF\_INET6 – IPv6

Sockets give access to **transport layer**. One may also use AF instead of PF prefixes.

## 1.1 C-Implementation of Sockets

### Definition: `socket(·)`

This function creates a new reference to a socket in the OS.

```
int socket(int socket_family, int socket_type, int protocol);
```

`protocol` – optional, if there is only one possibility. Set to `0` if not wanted

**Returns:** 0 on success, -1 on error

### Definition: `bind(·)`

This socket binds a socket to a specific address and port.

```
int bind(int sock, const struct sockaddr* addr, socklen_t addrlen);
```

`sock` – a file descriptor, i.e. the return value of `socket(·)`

**Returns:** 0 on success, -1 on error

`bind(·)` – commonly used by servers. `struct sockaddr*` `addr` has all information regarding IPv4/v6.

One has to be careful regarding byte order. Sockets usually require **network byte order**.

`htonl(·)` Host to network long

`ntohl(·)` Network to host long

... and many more

## Definition: getaddrinfo(·)

This function gets all possible based on the information passed to it. Can be used to determine IP addresses, ports and so on.

```
int getaddrinfo(const char *node, const char *service, const struct addrinfo *hints,
    struct addrinfo **res);
```

**hints** – used to tell the function what is should do specifically.

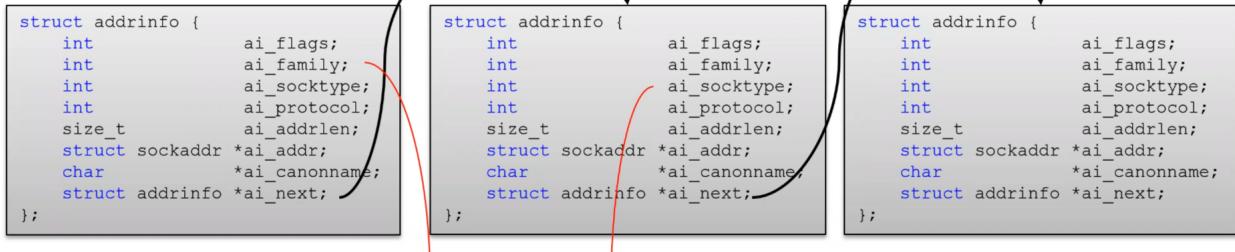
**Returns:** 0 on success, -1 on error. On success, **res** contains a pointer to a linked list of whatever was requested

This function should be used everytime, one implements server/client applications, as it can handle DNS resolution, handle both IPv4 and v6 and so on.

Suggested call order:

1. `getaddrinfo(·)`
2. `socket(·)`
3. `bind(·)`

### • Returns:



```
int socket(int socket_family, int socket_type, int protocol);
```

```
int bind(int sockfd, const struct sockaddr* addr, socketlen_t addrlen);
```

Figure 1: How to use `getaddrinfo(·)`. Note: All information used should originate in one block, not two as shown above.

### 1.1.1 Server-side programming

Up until now, only communication setup was discussed, we need to communicate now.

#### Definition: `listen(·)`

This function listens for incoming connection requests.

```
int listen(int sockfd, int backlog);
```

`sockfd` – Socket to listen on.

`backlog` – Queue length for pending requests.

**Note:** This is only valid with `SOCK_STREAM` and `SOCK_SEQPACKET`.

If the queue is full, the server will not answer. `listen(·)` is a blocking function.

#### Definition: `accept(·)`

This function is used to accept requests received by `listen(·)`.

```
int accept(int sockfd, struct sockaddr *addr, socklen_t *addrlen);
```

`addr` – holds the address that should be accepted

`addrlen` – length of `addr`. One can pass a structure that is big enough for IPv4 and IPv6.

**Returns:** File descriptor for new socket, that handles the accepted connection from now on.

### 1.1.2 Client-side programming

#### Definition: `connect(·)`

This function connects the socket to a server. It may perform a TCP handshake and similar things in the process.

```
int connect(int sockfd, struct sockaddr *addr, socklen_t addrlen);
```

`addr` – address to connect to

`addrlen` – length of the address

**Returns:** 0 on success, -1 on failure

### 1.1.3 Sending and receiving

One can *write* to and *read* from sockets, with the following functions:

- `write(·)` and `read(·)`
- `send(·)` and `recv(·)` – TCP
- `sendto(·)` and `recvfrom(·)` – UDP

#### Definition: Stream

A stream is like reading from a file. TCP just decides to cut the stream at certain points and transmits each fragments as packets. We do not know the context of a single packet.

## Definition: Datagram

Messages transmitted via datagrams are not cut up by the kernel, they are send as is. If the message is too large, there either is an error, or the package gets fragmented. Each datagram is self-contained, so every received packet can be seen as a unit.

## Definition: send(·)/write(·)

These functions can be used to write to a socket. As in Linux everything is a file, one can use `write(·)` instead of `send(·)`.

```
ssize_t send(int sockfd, const void* buf, size_t len, int flags);
ssize_t write(int fd, const void* buf, size_t count);
```

`flags` – instruct kernel to handle send request in a certain way

`buf` – Buffer with data to send

**Returns:** Bytes actually written. -1 on error, non-negative otherwise **Note:** `write(·)` is equals to `send(·)` with `flags = 0`

## Definition: recv(·)/read(·)

These functions are designed to read a certain amount of bytes from a socket (or file).

```
ssize_t recv(int sockfd, void* buf, size_t len, int flags);
ssize_t read(int fd, void* buf, size_t count);
```

Parameters are analogous to `send(·)`.

Actually using the return value of the reading functions is really important. It may be unknown, how many bytes the applications is going to receive in a single read operation. If `retval ≠ lenght`, then not enough was read.

## Definition: sendto(·) for connection-less sockets

This function sends a certain amount of bytes from a buffer to the specified address and port.

```
ssize_t sendto(int sockfd, const void* buf, size_t len, int flags, const struct
               sockaddr *dest_addr, socklen_t addrlen);
```

`dest_addr` – Address and port to send to

**Note:** There is no equivalent `write` function.

**Note:** Parameters up to `flags` same as for `send(·)`. Exclusively for sending datagrams.

#### Definition: `recvfrom(·)` for connection-less sockets

This functions receives a certain amount of bytes from a given address.

```
ssize_t recvfrom(int sockfd, void* buf, size_t len, int flags, const struct sockaddr *src_addr, socklen_t *addr_len);
```

**Note:** `src_addr` will be replaced with the address, that really was received from. `addr_len` will then hold the correct length of the structure.

**Note:** Parameters up to `flags` same as `recv(·)`.

Again, if it is not known which version of IP is going to be used, use `struct sockaddr_storage`, to allow for both versions.

#### 1.1.4 Closing connections

There are several methods for closing a connection, each to be used in a different scenario.

##### Definition: `close(·)`

Drops all packets queued for receiving and sending, and shuts down everything else related to the socket. Also frees all previously allocated space.

```
int close(int sockfd);
```

**Returns:** 0 on success, -1 on error

For more conservative shutdown operations, the following function can be used.

##### Definition: `shutdown(·)`

More conservative `close(·)` variant.

```
int shutdown(int sockfd, int flags);
```

`flags` – 0: no further receives, 1: no further sends, 2: both

**Note:** Return values same as for `close(·)`

**Note:** Sends FIN and/or FIN\_ACK, other party might get RST (reset) when trying to read from the shut down socket

**Note:** This allows all queued data to be sent.

`shutdown(·)` cannot stand alone. `close(·)` must be called after a call to `shutdown(·)`, as it releases all hopped structures.

### 1.1.5 Connection overview

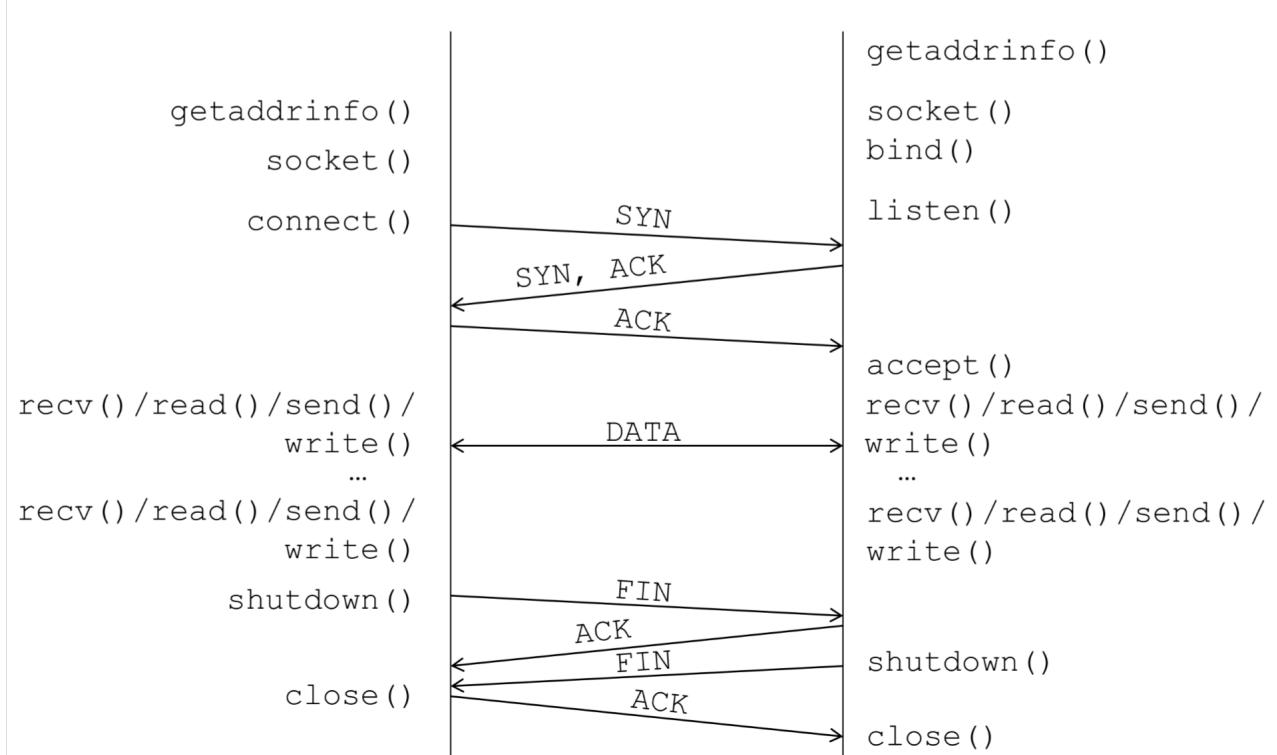


Figure 2: Overview over the course of a connection-aware socket

### 1.1.6 Configuring a socket

Definition: `setsockopt(·)`

Apply options to sockets.

```
int setsockopt(int sockfd, int level, int optname, const void* optval, socklen_t optlen);
```

**level** – basically ISO/OSI level the option should be applied to

**Returns:** 0 on success, -1 on error

Getting options set for a sockets uses a functions with more or less the same signature as `setsockopt(·)`, but is called `getsockopt`.

I/O-controls can also be used to set and read properties of a socket. Commonly:

- Timestamp of last received packet
- How many bytes are unsend (TCP)
- How many bytes are unread (TCP)
- ...

### 1.1.7 Non-blocking sockets

There are two methods that one could use: `select()` and `epoll`.

**Non-blocking sockets using `select()`** All network calls are blocking by default. This is not optimal in a server setting, as this introduces great overhead and occupies many resources of the server, that could be used elsewhere. **Threads** are not an option for highly scalable systems, as they introduce great computation overhead. `fcntl()` can be used to set flags to sockets, specifically `O_NONBLOCK`. Callbacks are called, to notify a program, if a socket is write-/readable. Polling is not an option, this is busy waiting.

Definition: `select()`

```
int select(int nfds, fd_set *readfds, fd_set *writefds, fd_set *exceptfds, struct timeval *timeout);
```

`nfds` – highest file descriptor number in all sets + 1

`readfds` – list of sockets to monitor readability for

`writefds` – list of sockets to monitor writability for

`exceptfds` – list of sockets to monitor exceptions for

`timeout` – maximum wait time

**Returns:** 0 on timeout, -1 on error, else number of fd's with event `read/write/excetpfds` is then set to the sockets with events. Manual checking which sockets have events is required.

**Non-blocking sockets using `epoll`** It knows two modes:

1. level triggered, which is just as `select()`
2. and edge triggered.

Edge triggered `epoll` informs on every *change* of states for read and write queues, as well as excepts.

If there are 5 new bytes received, calling `epoll` returns that new data is readable. However, if just 1 byte is read and `epoll` called again, it will block, as there is no **new** data available.

An `epoll` file descriptor is attached to the sockets queue, directly in the kernel. Each `epoll fd` has a queue of its own, and notifies for every socket listed there.

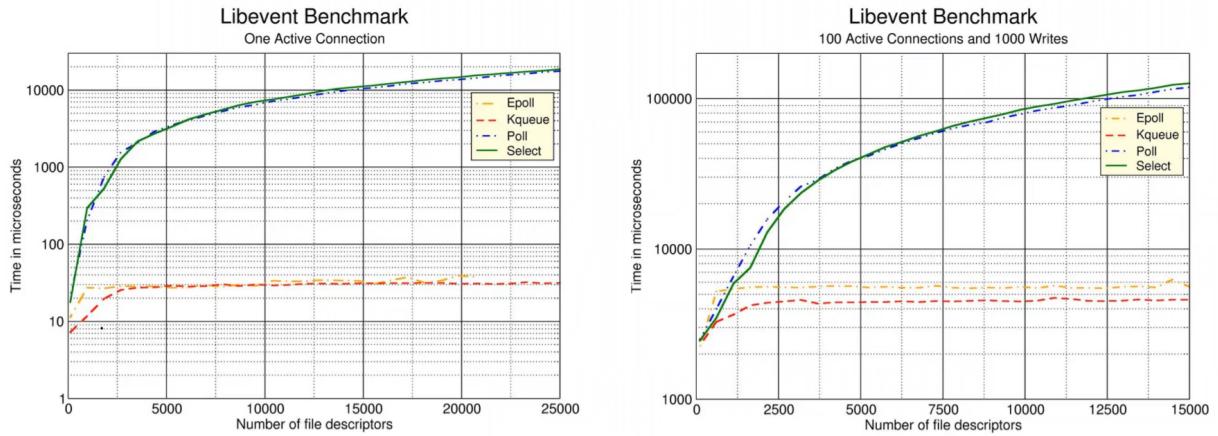


Figure 3: Performance of `epoll` vs. `select(·)`

## 1.2 TCP options and packetization

TCP needs to decide important questions:

1. When to wait for more data from user space
2. When to send queued data

If not done so, every `send(·)` results in a packet. If only one byte is send, that would result in packages with 40 bytes of overhead, but only one byte of payload.

### 1.2.1 Nagle's Algorithm

#### Algorithm: Nagle's Algorithm

This algorithm is default TCP socket behaviour.

Small chunks are accumulated and send, when previous data was ACKed.

```
if (there is new data to send) {
    if (window size >= max(segment size) && available data >= max(segment size)) {
        complete max(segment size) and send now;
        queue remaining data;
    }
    else if (exists(data in flight waiting to be ACKed)) {
        queue data and send when ACK is received;
    }
    else {
        send data immediately;
    }
}
```

**Note:** This algorithm is not suited for every application, as it may wait, when an immediate send might be necessary.

Disable with `TCP_NODELAY` with `setsockopt(·)`.

### 1.2.2 Delayed ACKs

Basic idea: when data is received, we will want to send data as a response. Piggy back ACKs for previous data on data you want to send. The delay is  $\leq 0.5s$ . At least every second packet with MSS is ACKed immediately.

This also is TCP default behaviour. However, don't combine with section 1.2.1, this may lead to unnecessary waiting times of up to 0.5 s.

Disable with `TCP_QUICKACK` with `setsockopt(·)`.

Also possible: packetize TCP yourself, using `TCP_CORK`, which works best with `TCP_NODELAY`. It will still send out MSS packets immediately. Allows for application level flushing.

Can also be achieved with `send(·)` and its `flags`, by adding `MSG_MORE` to it.

### 1.2.3 TCP fast open

Normal TCP has 1 RTT delay due to 3-way handshake.

This option adds the TCP request to TCP's `SYN` message. Leads to 5-7% speed-up.

#### Problems:

Don't process request before handshake is complete: risk to security, if handshake would not be completed. Possible DoS scenarios:

- Resource exhaustion attack – Leave connection half open with SYN-flood
- Reflection attack – Spoof live IP addresses, so those get spammed

Also problem with duplicated data. This also makes the above attacks easier.

### Avoiding those problems:

What to do to tackle the problems

- Keep verified hosts on a *secure* whitelist
- Only trust peers that completed a handshake – this needs a proof
- Application must tolerate duplicated SYN data

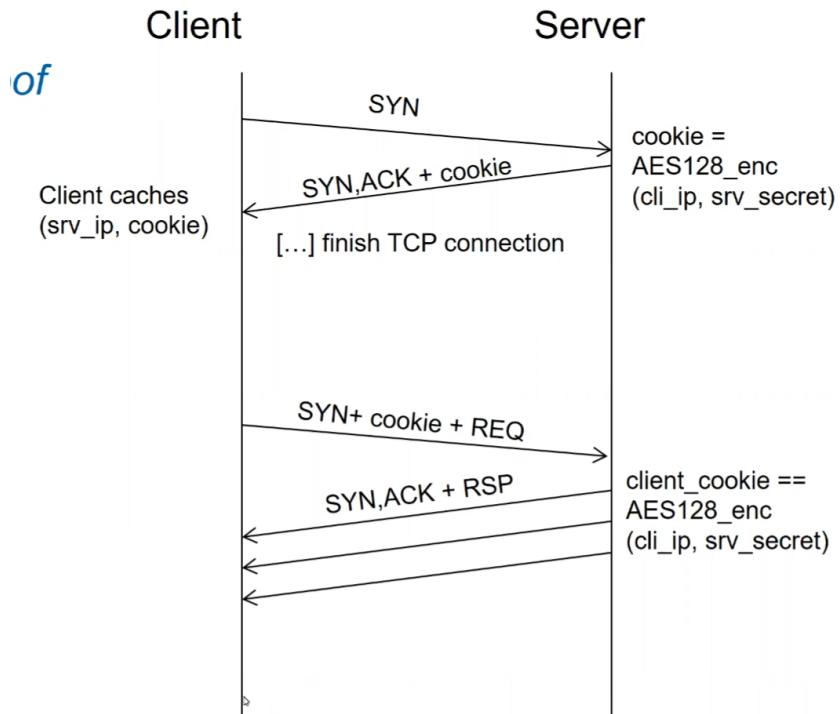


Figure 4: Using cookie as proof of validity of connection

Cookies are not valid forever. If cookie is invalid continue with normal TCP handshake.

Cookies may not reach destination. Can be dropped by middleboxes, also timeouts can occur. Cookies can be stolen → Redirection attacks. Cookies are dependent on the IP address of the client → change in networks invalidates the cookie, so mobile usage is not optimal.

TCP fast open is not used today, as it leads to more problems than it solves. It also has significant problems with middleboxes.

Activating TCP fast open on servers using `setsockopt(·)` with `TCP_FASTOPEN` together with a maximum count of connections.

Activating TCP fast open for clients by piggybacking data to the connect call. Also need to use `MSG_FASTOPEN`.

#### 1.2.4 Multipass TCP

Enable multiple connections between clients and servers. This enables for some backup paths, if one connection suddenly fails. However, TCP is *single-path* only, meaning there can only be one connection per socket. This leads to poor performance for mobile users, if, for example, if you change from WiFi to 4G networks.

How to use multi-path TCP:

1. Send options `MP_CAPABLE` with `SYN` message to signal multi-path capability.
2. If servers sends the same option back, both parties know, that the other party supports
3. Send specific `JOIN` with another `SYN` message to server, signalling to which connection to join the incoming one to.

Again, there are problems with middle boxes. Middleboxes (esp. NAT) may **change** the following field in the IP header.

- IP source address
- IP destination address
- Source port
- Destination port
- Sequence number
- ACK number

They especially can remove the `MP_CAPABLE` flag, which leads to unsuccessful connection establishment → normal TCP connection established.

Also, non-sequential ACKs (when only looking at one path) may be dropped.

Middleboxes may also change all other possible fields, but those changes are not common.

## 2 Design Patterns

This chapter describes, how to design networking protocols properly, such that they can be extended and worked with nicely.

General design principles are

**Simplicity** – Modular structure, with each module implementing a specific task

**Modularity** – Tool for the above

**Well-formedness** – Respecting system boundaries as memory capacity, defined state after errors, adapt to changes within limits

**Robustness** – Protocol can always be executed

**Consistency** – avoiding deadlocks, endless loops without progress

These lead to the 10 rules of design.

### Definition: 10 rules of design

There are 10 rules, that every desing process regarding protocols must follow.

1. Define the problem well
2. Define the service first
3. Design external functionality first, then internal
4. Keep it simple
5. Do not connect what's independent
6. Don't impose irrelevant restrictions
7. Build high level prototyp and validate first
8. Implement, evaluate and **optimize** the design
9. Check equivalence of prototyp and implementation
10. Don't skip 1-7

### 2.1 Layering

Layering describes a modular structure of a protocol, each layer being responsible for a distinct task.

#### Advantages

- Smaller subproblems to handle on each layer
- Implementation as modules
- Exchangeable modules
- Reusable modules

#### Disadvantages

- Information is hidden → performance loss
- Redundantly implemented functionality on different layers

*Cross-layer communication* can help with redundancy, but is not common, as it is hard to change.

### 2.2 Protocol elements

#### 2.2.1 Addressing communication parties

All communicating parties need to be identifiable within the network. Therefore, a unique identifier is needed for every party. You have to keep in mind address size, to be safe in the future. It may be

possible to introduce an option to extend the address space.

Typically, single parties are identified, but it may also be useful to group multiple data flows into one connection.

**RTP flow IDs** The RTP (*Real-time Transport Protocol*) is capable of grouping flows.

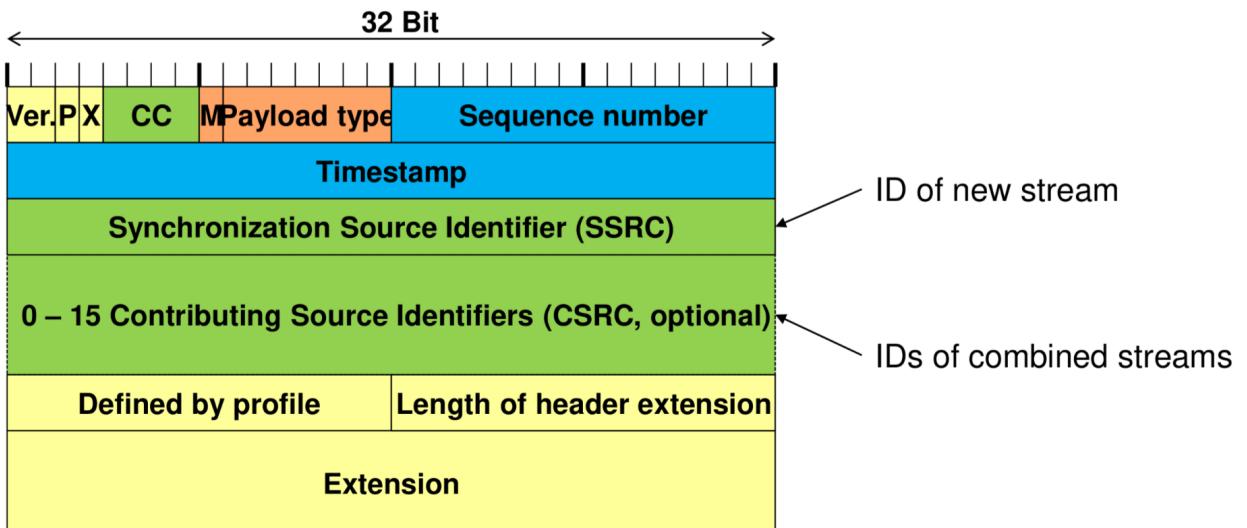


Figure 5: RTP header for grouping flows

### 2.2.2 Sequence Control

This is necessary in order to be able to assure, that packets are received in the correct order or in which order to process incoming packets. This commonly uses *sequence number*. Again, consider the maximum length of sequence number fields in your protocol header. What to do when you run out of sequence numbers to use?

### 2.2.3 Flow control

Adapt transmit speed to clients abilities. Popular approaches are

- Stop-and-wait – end2end
- Sliding window – hop2hop

General advice: Orient around RTT and only consider bandwidth reservation if resources are scalable w.r.t. number of communication parties.

Example: Transmission Rate Control (*TRC*). It uses the idea of applying flow control on multiple protocol layers simultaneously.

### 2.2.4 Access and congestion control

One needs to consider how to avoid network overload, and what to do, if overloading is not avoidable.

In local networks, *medium access control* is used, in global networks *congestion control*.

Might be necessary to violate layering approach, as is done for TCPs *Explicit Congestion Control*.

Congestion control can be done on application layer by scaling down resolution of content (bitrate, lower resolution of video, etc.). May change based on messages from the client. Alternatively use *interarrival time* between packets and packet loss ratio (UDP only).

### 2.2.5 Error control

There often is the need to detect and correct corrupted packets in order to minimize packet loss.

General types of error correction methods are:

**Automatic Repeat Request ARQ** On error, rerequest the packet. ACK or ACK/NACK approaches

**Forward Error Correction FEC** Add redundancy to packets, use sophisticated methods such as CRC to correct them

TCP uses ARQ, but emulates a NACK with two consecutive ACKs for the same packet.

*Selective ACKs* can be used to acknowledge ranges of sequence numbers → more efficient acknowledging

**Retransmission schemas for ARQ** There are three main methods used for retransmission.

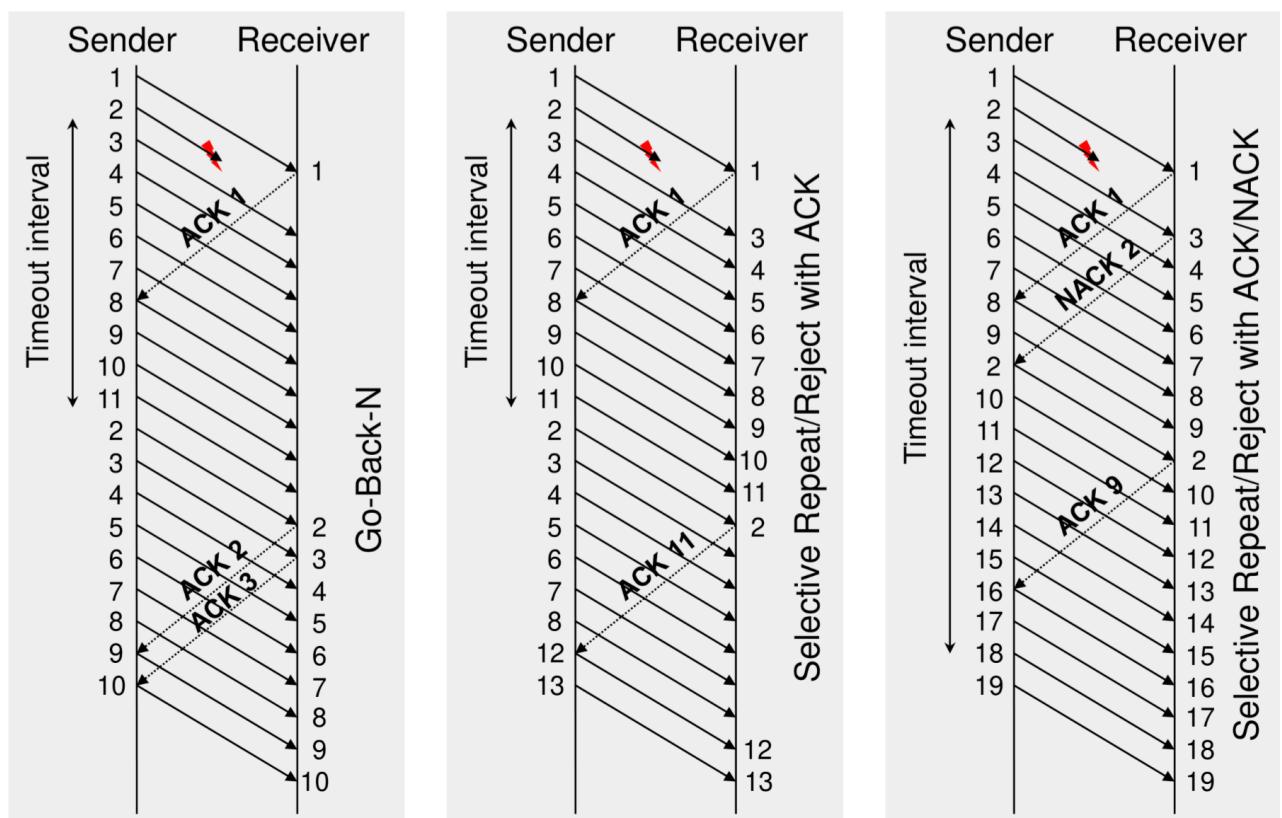


Figure 6: All introduced retransmission schemas

### Definition: Go-back-N

Retransmit all non-ACKed packages after a timeout occurs.

### Definition: Selective Repeat/Reject with ACK

Cumulative acknowledgement for all packages up to, in this case 11. 2 gets resend, as a timeout for the ACK for 2 occurs on the server side. All packets 3 through 11 are buffered, but partially retransmitted by the server nonetheless.

### Definition: Selective Repeat/Reject with ACK and NACK

Basically the same as selective repeat/reject with ACK, but NACK all missing packets upon receiving the next packet.

Use stop-and-wait only in cases with low RTT or where error rates are really low.

Use go-back-n if the receiver is very limited.

Use any selective method in any other case.

**Forward error correction schemas** Send all packets  $n$  times, to be able to correct corrupted packets.

Redundancy per packet, i.e. with Hamming-Codes can also be used.

Also guessing what the lost packet contents were can be done, it smaller errors in some packets is not too bad. May be done by interpolating past packets.

### Definition: XOR-redundancy

Combine  $n$  packets into one XORed packet and send it as well. XOR-redundancy is capable of restoring one packet. However the clients ability to process packets in a fast manner must be considered, as only **exactly** one packet can be reconstructed.

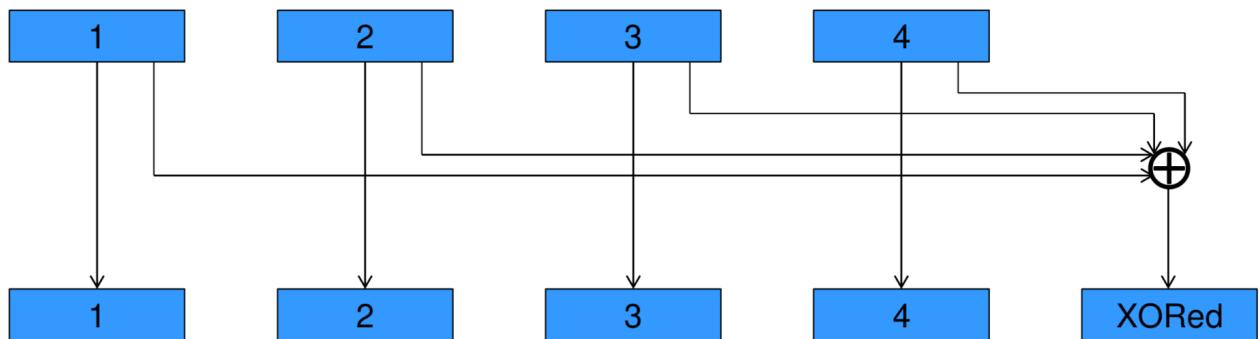


Figure 7: XOR-redundancy

Interleaving redundancy packets and original packets can lead to the ability of restoring  $n$  sequenced packets.

### Definition: Hamming correction

Insert parity bits at positions that are labeled with a power of 2. Start counting with 1. This blows up the original message by  $\log_2(|\text{message}|)$ . A parity bit  $n$  is calculated by adding all positions, that in their binary representation, have a 1 in position  $\log_2(n)$ .

Let  $D$  be the hamming distance of two strings. Then  $t$  errors can be corrected, if  $D \geq 2t + 1$ , and  $t$  errors can be detected, if  $D \geq t + 1$ .

Limitation: Hamming distance of 3 required, to be able to correct one error.

### Important

Be aware, that **BCH** codes do not seem to be relevant for the exam and are therefore not included in detail here.

You don't have to be able to calculate BCH codes!

### Definition: Bose, Chaudhuri, Hocquenghem Code (**BCH**)

Let

- *block length* be  $n = 2^m - 1$
- *number of parity bits* be  $p = n - k \leq m \cdot t$
- *minimum distance* be  $d_{\min} \geq 2t + 1$ ,

with  $t < 2^m - 1$  and  $m \geq 3$ . Then  $t$  is the number of errors that can be corrected.

This is not a complete definition. BCH codes are a family of codes, where each instance can be specified by giving a tuple.

BCH functions more or less like CRC.

### Definition: Reed-Solomon Code

Able to deal with burst errors, basically a non-binary BCH code. It generates less overhead than BCH codes. Let

- *block length* be  $n = q - 1$
- *number of parity bits* be  $n - k = 2 \cdot t$
- *minimum distance* be  $d_{\min} = 2t + 1$ ,

where  $q$  is any power of any prime  $p$ , then  $t$  errors can be corrected.

Ignoring checksums might be a valid way to minimize packet loss, if application can tolerate errors.

*Refector* makes use of this approach, with an opt-in mechanism. It is used on the end hosts. Analogy: Postmen can handle wrongfully addressed letters to a certain degree. Refector does the same, and can therefore handle minimally corrupted headers. The “correct” application is found by choosing the one with the minimal hamming distance to the received header. There are some fields in the IP header, that can be ignored in the process, such as *Version*. The protocol build on UDP. It reduces packet loss up to 25%.

## 2.2.6 Encodings

The focus here lies mainly on compression.

There are two variants: Lossy and loss-less compression.

Lossy compression is highly recommended for video streams, as small pixel errors are not recognizable by the human eye.

**Lossless compression** Again, two main methods:

1. remove redundancy: compress sequences of the same symbol
2. statistical analysis: statistically construct the optimal compression scheme

**Definition: Run-length encoding RLE**

Basically compress all sequences AAAAAAAA → A!9 and so on.

**Definition: Differential Encoding**

Instead of storing the values themselves, encode the distance to the nearest other datapoints.

**Algorithm: Lempel-Ziv-Welch Encoding**

Building a dictionary for phrases during encoding of the data.

$$D = \{ \text{All unique symbols in the data stream} \}$$

$$E = \{ d_i \rightarrow e_i \mid d_i \in D, e_i \in \mathbb{N} \text{ unique} \}$$

Start at the 1<sup>st</sup> position ( $i = 1$ ) of the plain text  $w = w_1 w_2 \cdots w_n$ .

1. Encode  $w_i, \dots, w_{i+k-1}$  with longest match in  $E$  as  $e_j$  with  $|e_j| = k$ .
2. Add  $w_i e_j$  to  $D$  and a corresponding encoding to  $E$ .
3. Move to position  $i + k$ .
4. Repeat until done.

We now will continue with **statistical analysis**.

**Definition: Entropy**

$$H = -\sum_{i=1}^n p_i \cdot \log_2(p_i), \text{ where } p_i \text{ is the probability of } i \text{ appearing.}$$

“How much information is carried by each symbol?”

This is used for *Huffman Code*.

### Algorithm: Huffman Code

Encode a data stream in a binary tree, starting with the leafes.

**Input:** Data stream.

**Returns:** Optimal encoding w.r.t. entropy.

1. Assign each symbol of your alphabet the probability, with which it occurs in the data stream.  
Add mapping to a set.
2. Add two mappings  $a \rightarrow p(a)$  and  $b \rightarrow p(b)$  with lowest probability from your set to the tree. Remove them from the set. Left: smaller prob.
3. Add a parent node  $ab \rightarrow p(a) + p(b)$ .
4. Add generated mapping to set.
5. Repeat until set is empty.

**Always choose prefix free code words.**

However, Huffman code cannot guarantee the smallest code size.

### Algorithm: Arithmetic Encoding

Encode data as a value between  $[0, 1]_{\mathbb{R}}$

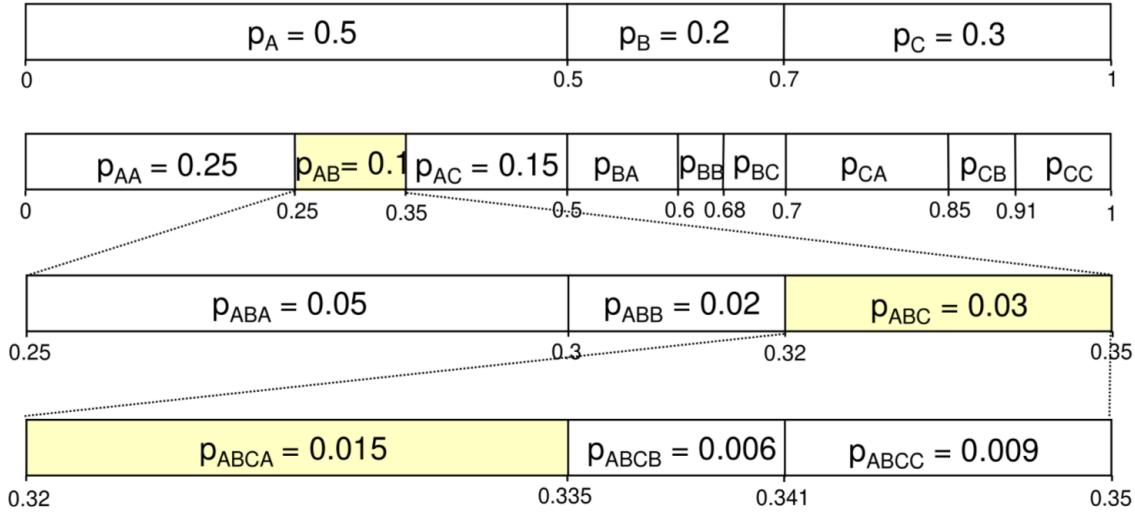
Assign each symbol a corresponding probability again

1. Start at position 1 of the data stream  $w = w_1 w_2 \dots w_n$ .
2. Divide the assigned interval (see figure below), that matches  $p_{w_1 \dots w_i}$  into smaller segments, corresponding to  $p_{w_1 \dots w_i} \cdot p_s$ , where  $p_s$  is the probability of symbol  $s$  occurring.
3. Choose the subsegment, that matches a prefix of your data stream
4. Do so until all is done.

Then, with  $p_{\text{data}} = p$ , the data can be encoded using only  $\lceil -\log_2(p_{\text{data}}) \rceil$  bits.

Given: data **ABC**A with occurrence probability

$$p_A = 0.5, p_B = 0.2, p_C = 0.3$$



ACAB can be coded by any binary number of the interval [0.32, 0.335), rounded to  $-\log_2(p_{ABCA}) = 6.06$  i.e. 7 bit: **0.0101010**

Figure 8: Example of arithmetic encoding

## 2.3 Protocol design aspects

### 2.3.1 Connection type

You have to decide, if you want to design a *connectionless* or *connection-oriented* protocol. Rule of thumb: The more controll parameters are needed, the higher the chance you need connection oriented protocols.

If single commands will all fit in one UDP packet, would be alright as well.

UDP is also better suited for real-time and/or interactive applications, as it is much faster.

### 2.3.2 Signaling

Before, during and after connections, signalling must be done. This includes *status information*, *parameters of the connection*, specific to this connection and so on.

#### Definition: Out-of-band signalling

There are separate control and data streams. They may be implemented in two separate protocols “phases”, or on different ports. One could even use different protocols for control and data streams.

Is able to provide QoS.

For example *SIP*.

#### Definition: In-band signalling

There is **no** separate control stream, but a combined data and signal stream.

For example *TCP*.

### 2.3.3 Maintaining network state

Protocols may store states in the network on nodes in the path between parties.

**Hard state maintaining** State changes only with dedicated control messages.

Requires really reliable signalling. Checks, if a node is still alive has to be performed from time to time.

Example: TCP → FIN messages needed to terminate connections.

**Soft state maintaining** Timeouts may delete a state. Must be refreshed to be kept alive.

**This is almost always the appropriate maintaining method!**

Both state maintaining methods may implement the other one for certain things, s.t. some protocols use a mixture.

### 2.3.4 Control of communication

#### Centralized Control

- Easy to program and debug
- Ex.: Client/Server

#### Decentralized Control

- More robust, no single point of failure
- Harder to implement
- Ex.: P2P

A mixed approach is *Software Defined Networking (SDN)*.

- ▶ Separate data forwarding from control
- ▶ Control plane determines processing policies for all routers
  - But: control center becomes bottleneck
  - Might be implemented as distributed control center

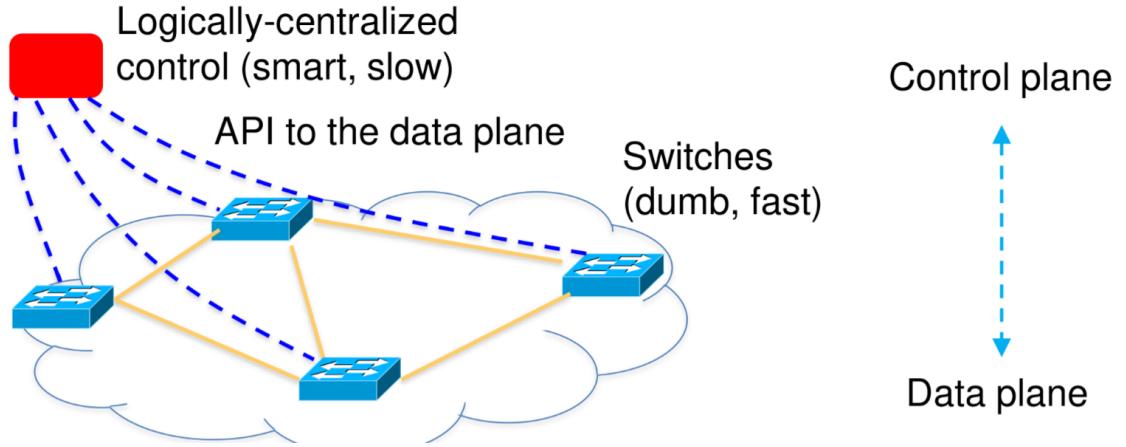


Figure 9: Software defined networking

### 2.3.5 Randomization of protocols

Useful to make sequence numbers non-predictable, making it more secure.

CSMA/CD uses random waiting times on errors, to avoid consecutive collisions.

**Definition: Random Early Discard**

Throw away packets, **before** buffer capacity is reached.

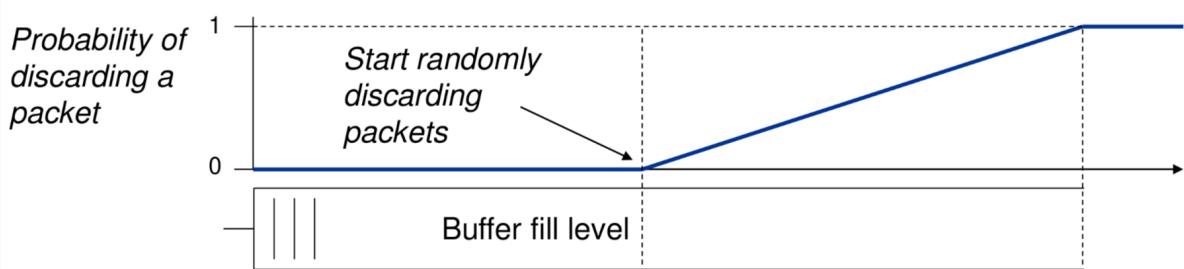


Figure 10: Random Early Discard

Zmap also makes use of this, in order to scan a complete network without being blocked by service providers, as scanning the address space linearly would result in a block.

### 2.3.6 Indirection

“Every problem in Computer Science can be solved by another layer of indirection.”

**Multicast** Multicast makes use of *indirection*:

- Client sends packet to address of multicast group
- Routers and middleboxes in general forward the packet to all clients, that registered for this group

**Content Distribution Network** Replicate content on a tree-like structure of other servers. Media servers are mirrors of a main server.

Indirection comes from the fact, that all clients use the same address to connect, but are *redirected* to the same server.

See `google.com`, there is no single Google server, there are thousands, that distribute the load among themselves.

Tool to use to achieve this: DNS.

## 2.4 Design of HTTP

HTTP was designed for documentation exchange between spatially far apart machines. Originally designed to work on simple ASCII strings, terminated by a carriage return.

### 2.4.1 HTTP/0.9

Operates on TCP port 80, and only supports *HTML*. Content is send directly, after a correct request was received. The connection is immediately closed after servicing a request.

Some commonly used commands are

**GET** Request for content

**PUT** Store document on server

**HEAD** Only request header information

**POST** Append content to webpage

**DELETE** Remove contents from server

### 2.4.2 HTTP/1.0

Introduces *multi-line requests* and *responses*. Response objects are not limited to HTTP anymore.

Content is send after meta information about the contents of the webpage. Otherwise basically the same as section 2.4.1.

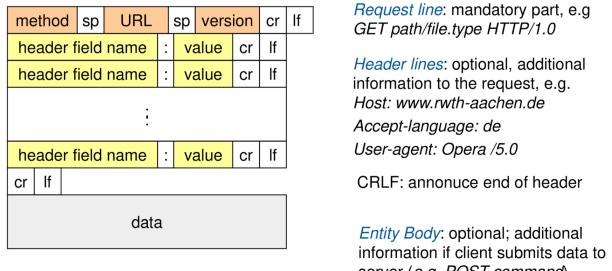


Figure 11: Request structure of HTTP 1.0

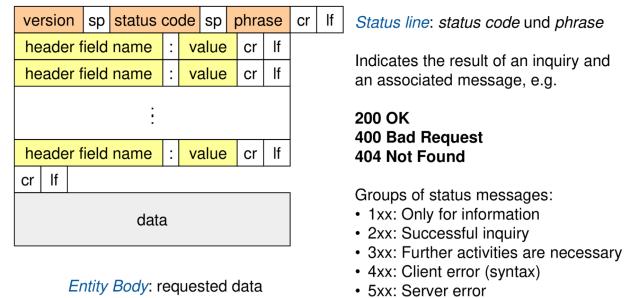


Figure 12: Response structure of HTTP 1.0

### 2.4.3 HTTP/1.1

In comparison to section 2.4.2, the following things are extended:

- Request method
- Error codes
- Headers
- List of accepted file types: All types are allowed

*Cookies* can be used in order to make HTTP stateful. Connections are not immediately closed upon sending out a response. Furthermore:

- Content encodings and character sets were introduced
- Languages can be negotiated
- Caching enabled
- Cookies introduced
- ...

**Dynamic Adaptive Streaming over HTTP** Method to make HTTP a “real” streaming protocol.

1. Cut down video file into several smaller chunks and store them in different bitrates
2. Client firstly loads file that contains names of all chunks that need to be downloaded over the course of streaming
3. Client requests chunks one-by-one, each with its own HTTP request. Bitrate can be adjusted with every request

This is **not** an option of HTTP, but really a use-case of it.

**Head of Line Blocking** Although HTTP/1.1 uses up to 6 different connections per connection to a webserver, websites still load pretty slowly. Solution: **Pipelining**.

This means that requests are sent out directly after each other, without waiting for responses.

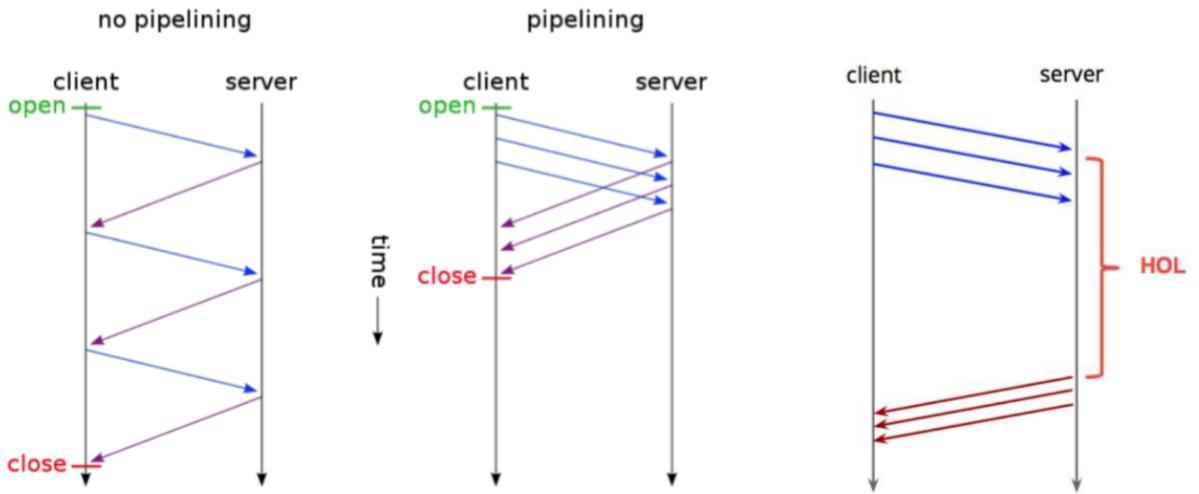


Figure 13: HoL Pipelining

However, large requests still slow down loading.

**Domain Sharding** Instead of keeping all resources on one webserver, distribute them accross multiple subdomains.

$$\text{www.example.com} \rightarrow \{ \text{sub}_1, \dots, \text{sub}_n \} . \text{example.com}$$

This leads to more DNS lookups, which can also slow down loading, also there are more connections to be kept alive.

If one knows what he is doing, domain sharding can increase throughput. However, most application overuse it, which leads to underused TCP connection and therefore more overhead, which in turn slows down communication again.

#### 2.4.4 Spriting Images and Concatenating Files

##### Advantages

- Reduces number of overall requests

##### Disadvantages

- Waste of bandwidth if not all resources are needed
- If one single element is updated, the whole bundle must be acquired again
- Sprites require slow parsing
- Sprited images need more memory

⇒ Enjoy with care, there is not much good to it.

#### 2.4.5 Resource Inlining

Idea: Embed resources in webpage itself.

Inline CSS, JS, even images (using Base<sub>64</sub>) and audio can be embedded.

## Advantages

- Reduces number of overall requests

## Disadvantages

- Waste of bandwidth if not all resources are needed
- If one single element is updated, the whole bundle must be acquired again
- No reusability of assets, need to be included into every source file that use those resources, which leads to a memory penalty

## 2.4.6 HTTP/2

Goals were not to use as many connections and lower the *perceived* time things take to load, while retaining the high-level semantics of HTTP/1.1. HTTP/2's smallest unit of communication now is a so called *frame*, which are send over *exactly* one connection. It also uses binary representation instead of ASCII.

- 9-octet header followed by variable-length payload

Bit	+0..7	+8..15	+16..23	+24..31
0	Length			Type
32	Flags			Stream Identifier
40	R			Frame Payload
...				

- ▶ Length: 24 bit unsigned int (9 octets of frame header not included)
- ▶ Type: determines format and semantics
  - Data (body of req./resp.), Header (open a stream), Priority, Settings, ...
- ▶ Flags: depend on type
- ▶ R: reserved bit
- ▶ Stream Identifier: stream this frame belongs to

Figure 14: Frame of HTTP/2 communication

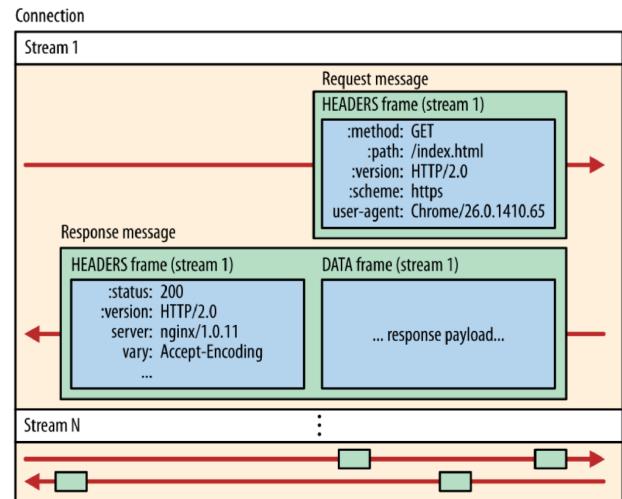


Figure 15: Layout of connection via HTTP/2

HTTP/2 also allows to specify, in which order to download content from the web server. The order is represented by a tree structure. This allows for signal prioritization.

**This is only a recommendation. The server is not required to follow the requested download order.**

Also, a server is allowed to PUSH data to the client, without the client requesting it. It can be used to pre-send CSS files and similar data. The server has to announce the push, the client can deny it. Pushed data *has* to come from the same domain, such that no external data can be pushed to the client.

Flow control is supported, but has to be implemented by the application. There is no implementation specified in the standard.

Is scheduled to be removed from Google services.

**Header compression with HPACK** Headers have up to 800 bytes of data, which is repetitive. They are now allowed to be compressed, using Huffman encoding and a combination of static and dynamic tables (see section 2.2.6). The static table contains codes for the 61 most common headers used in HTTP/2.

- **Indexed fields have to be addressed:**

0	7	
1	Index (7+)	Indexing static table
0	1	Index (6+)
H		Value Len (7+)
		Value String (octets)

- **First Request:**

```
:method: GET  
:scheme: http  
:path: /  
:authority: www.example.com
```

- **Encode (hex):**

Huffman  
len 0xc = 12  
1000 1100  
  
8286 8441 8cf1 e3c2 e5f2 3a6b a0ab 90f4 ff  
  
1000 0010 0100 0001 1111 0001 1110 0011 1100 0...  
Static idx 2 Literal idx 1 w w w  
:method GET :authority

Figure 16: Huffman encoding of HTTP/2 headers

Unknown information can be learned and stored in the *dynamic* part of the table. This way, the client can send shorter requests, saving bandwidth. Things to be learned start with `0b01XX`, as this signals, that the next bits are not Huffman encoded, but just plaintext.

**HTTP/2 and TLS** Both together build **HTTPS**, the secure version of HTTP.

### Important

HTTP/3 is the newest version available for HTTP communication.

## 2.5 Quick UDP Internet Connection (QUIC)

QUIC uses many already established ideas and combines them into one single protocol, whilst avoiding the huge overhead introduced by TCP.

Its main focus lies on better supporting HTTP, which it achieves by being able to map QUIC streams to HTTP/2 streams.

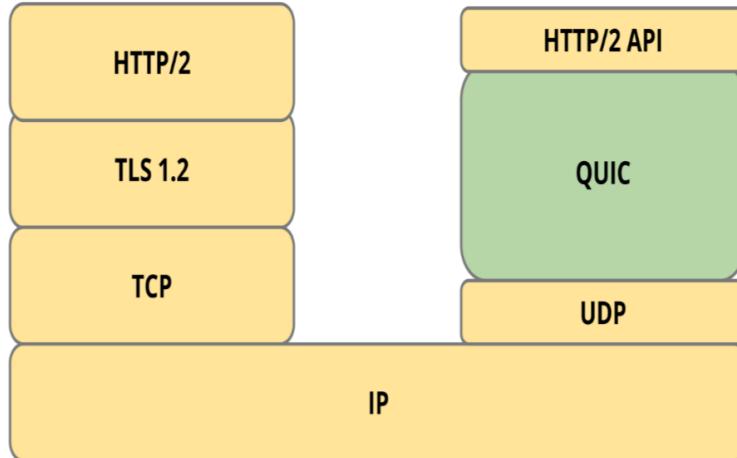


Figure 17: Comparision of structure of QUIC and HTTP

**Multiplexing in QUIC** Because UDP is used instead of TCP, multiplexing is smoother, as Head-of-Line blocking is not possible anymore, due to missing control mechanisms in UDP. Of course, lost packets effect the stream the packet belonged to, but not all streams anymore.

**Connection Esablishment** It uses the same idea as TCP fast open in section 1.2.3. Also TLS 1.3 is directly integrated in QUIC, which uses a 0-RTT design. This allows to connect to servers, that you connected to in the past, by sending an old token, which proofs your identity. Of course there is a timeout, after which a completely new connection has to be established.

Also, QUIC is not bound to the IP address of the parties, but to random 64-bit identifiers. This allows for easier mobile usage.

**CHLO** – Client Hello

**SNI** – Server Name Identification

**CERT** – Certificate of Servers identity

**REJ** – Reject

**SHLO** – Server Hello

**VER** - Verification

**SRCT** – ?

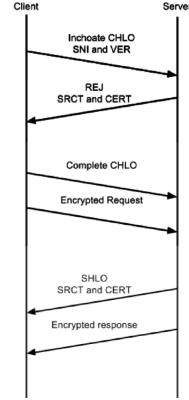


Figure 18: Connection to a server with QUIC

Subsequent connections send header information, including verification and client hello, together with encrypted request.

**Congestion Control and Reliability in QUIC** Is based on TCP NewReno and also implements TCP Pacing, which spreads send packages evenly across RTT. This avoids peaks, therefore lowering the risk of congesting the network. The reception of the first ACK (or NACK) is anticipated.

Selective Acknowledgements are used. Retransmissions have new sequence numbers, which increase monotonically.

**Connection Migration** Upon change of IP address of a client, TCP would drop the connection. QUIC is able to migrate the “new” connection into the old one, as connections are not identified by the clients ID, as explained in section 2.5.

### 3 Kernel Networking

A kernel is used, to abstract the complete interaction with the hardware, s.t. user space programs do not need to worry about any of that. Basic kernel tasks include, but are not limited to:

- I/O interface for user space programs
- Handle interrupts
- Handle hardware utilization
- Structuring hardware systems
- Virtualization ...

No kernel ever trusts a user space program.

#### Definition: Kernel mode

The mode, that the kernel operates in. In kernel mode, software has full access of the hardware, and has privileged rights.

#### Definition: User mode

The mode, which user applications are assigned to. No hardware access, operations are only accepted, if kernel API is used.

Kernel is not one single thread, instead utilizes threads for all common operations, which are spawned by a `init`-thread.

#### 3.1 Interrupts