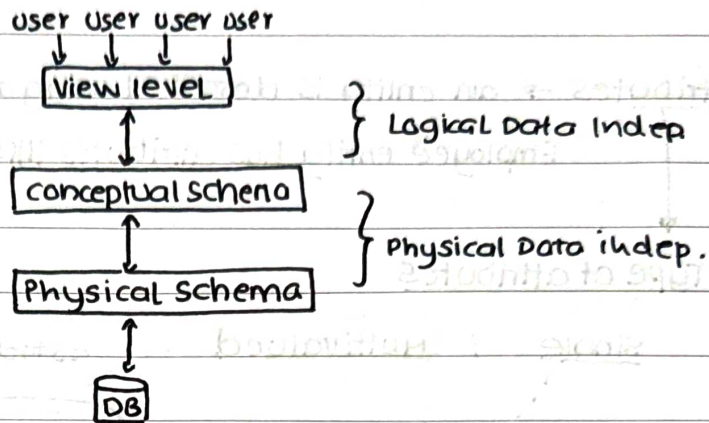


## Database Management System

- Data Independence



- Keys (Primary, Candidate, Foreign)

Primary Key: unique, non-null attribute which uniquely identifies a row.

candidate Key: set of unique (not necessarily non-null) attributes which can become primary key.

Foreign Key: attribute/set of attributes that refers to the p.k. of same or some other table. (establishing a relation b/w 2 tables).

(maintains referential integrity → meaning data insertion, del., upd. operations in the referenced and referencing tables must be done such that data is consistent everywhere)

super key: superset of any candidate key.

- Steps Involved in DB Design

Requirement Analysis (user's needs)

↓  
conceptual design (High Level ER diagram)

↓  
logical design (Tables)

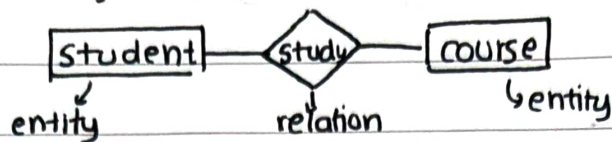
↓  
Schema Refinement (Normalization)

↓  
Physical Design (Indices)

↓  
security Design (Access control).

- Entity-Relationship Model (ER)

# entity → an object in real world (a noun)

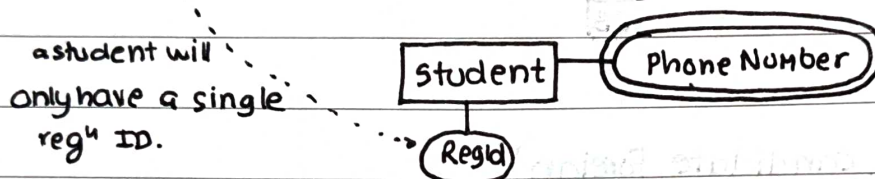


# attributes → an entity is described using a set of attributes.

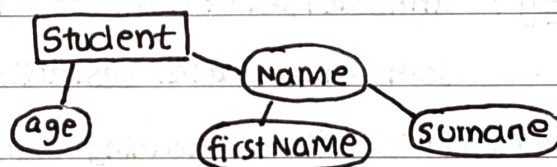
Employee entity has attributes like name, salary, social security number.

### Type of attributes

(i) Single / Multivalued → a student may have multiple numbers



(ii) Simple / Composite  
cannot be further divided (age)      can be further divided (Name = firstName + surname)

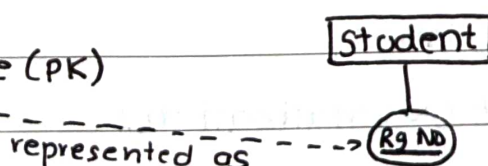


(iii) Stored / Derived → represented by age

stored in DB as asked from user (DOB)      calculated from stored attributes (age) → (Present Date - DOB)

(iv) Key / Not Key

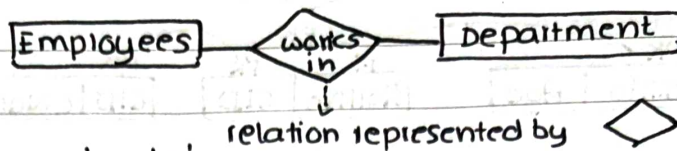
unique attribute (PK)



(a key is a minimal set of attributes whose value uniquely identify an entity in the set.)

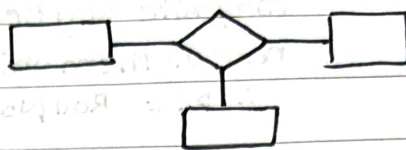


# Relations → association among entities.

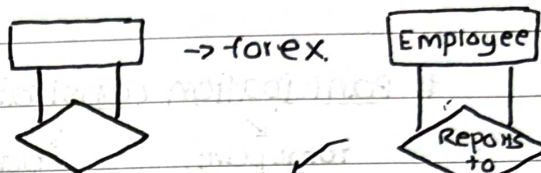


relations are usually verbs.

ternary relationships →

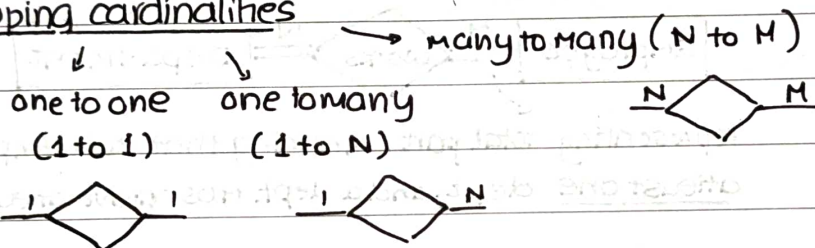


recursive relation<sup>n</sup> →

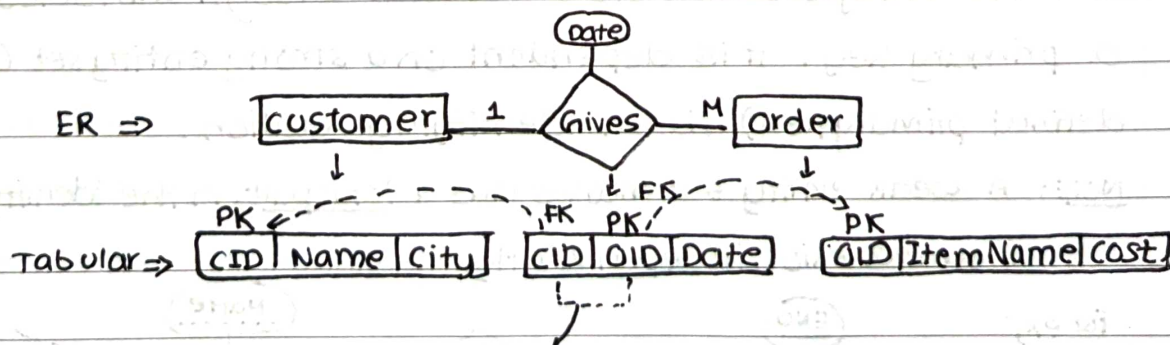
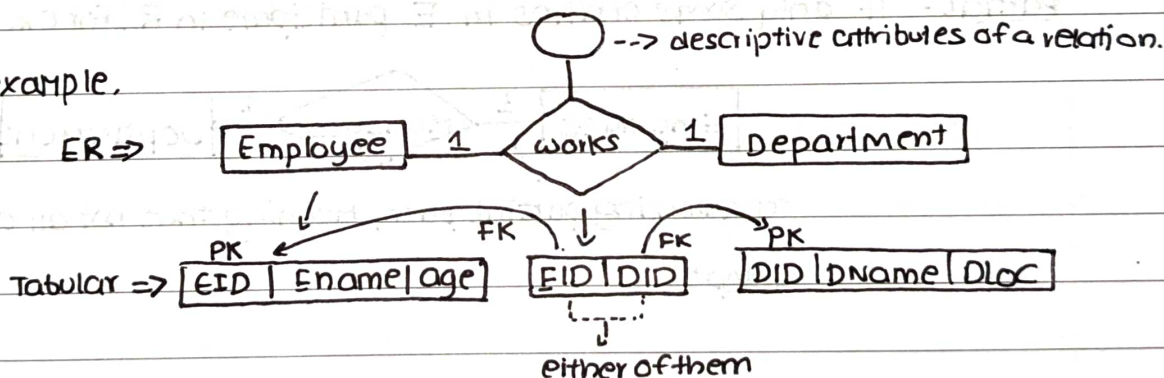


Here an employee will report to another employee only.

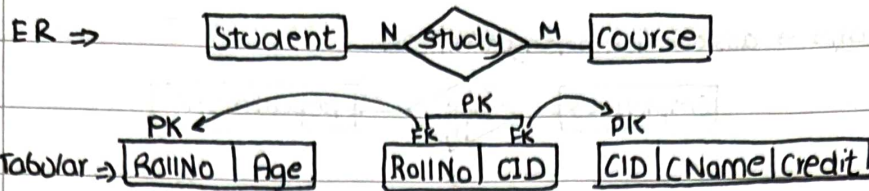
# mapping cardinalities



Forexample,



here OID will be the primary key because related to one CID there can be M orders, but related to one OID, there can only be one CID, i.e. one customer.



Here both RollNo and CID will form a composite key because on their own, none of them uniquely identifies a row.  
 $\therefore$  PK = RollNo, CID

## # Participation constraints

total part.      partial part.

**Total:** the part of an entity set E in a relationship R is total if every entity in E participates in atleast one relation in R. For ex.:



representing total part. meaning that each employee should work in atleast one dept, and a dept. must have atleast one working employee.

**Partial:** If only some entities in E participate in R. For ex.:

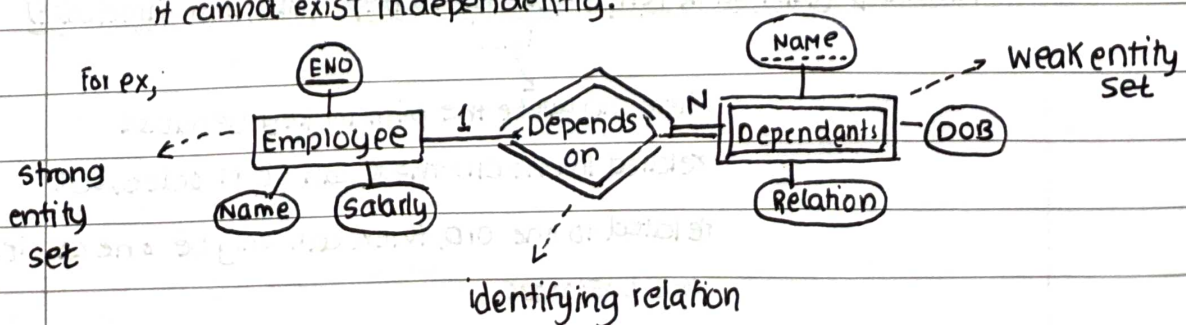


representing partial part, meaning that not all employees manage a dept.

## # Weak Entity sets

It is an entity set that does not have enough attributes to form a primary key. It is dependent on a strong entity set (with a defined primary key) via an identifying relation.

**NOTE:** A weak entity set always has a total part in the identifying relation as it cannot exist independently.





both the weak entity and the identifying relation are represented by double lines and the partial key (identifies weak entities related to some owner) is denoted by underlining with dotted line.

There can be an employee without a dependent, but there will be no record of a dependent in the system unless the dependent is related to some employee.

## • Normalization

It is a technique to remove/reduce redundancy from a table. It removes insertion, deletion and updation anomalies from the table.

insertion anomaly: when certain attributes cannot be inserted individually into the table, w/o the presence of other attributes.

deletion anomaly: when we delete a record that may contain attributes that shouldn't be deleted.

updation anomaly: results in data inconsistency from redundancy and partial update.

## # 1<sup>st</sup> Normal Form (1NF)

A table is in 1NF if

(I) domain of each attribute consists of only atomic values.

(II) cells of table does not contain multivalued or composite entries.

For example,

ENO. NAME Dependents → NOT IN 1NF

103 RAHUL Raghav/Seema

→ option 3 (1NF)

104 Amit Anil/Manoj/Greeta

ENO. Name

103 Rahul

↙ option 1 (1NF)

↘ option 2 (1NF)

104 Amit

ENO. NAME Dep. Em. NAME Dep1 Dep2 Dep3 ENO. Dependent

103 Rahul Raghav 103 Rahul Raghav - - 103 Raghav

103 Rahul Seema 104 Amit Anil Manoj Greeta 103 Seema

104 Amit Anil 104 Anil

104 Amit Manoj 104 Manoj

104 Amit Greeta 104 Greeta

## 1 # Functional Dependency

$X \rightarrow Y$  means  $X$  determines (uniquely)  $Y$ , or  $Y$  is uniquely determined by  $X$ . If you know ' $X$ ', then there is only one ' $Y$ ' to match.

Trivial f.d.  $\Rightarrow$  if  $X \rightarrow Y$ , then  $X \cap Y \neq \Phi$ .

NonTrivial f.d.  $\Rightarrow$  if  $X \rightarrow Y$ , then  $X \cap Y = \Phi$ .

properties of functional dependencies:

- (i) Reflexivity : If  $Y \subseteq X$ , then  $X \rightarrow Y$
- (ii) Augmentation : if  $X \rightarrow Y$ , then  $XZ \rightarrow YZ$
- \* (iii) Transitivity : If  $X \rightarrow Y$  and  $Y \rightarrow Z$ , then  $X \rightarrow Z$
- \* (iv) Union : If  $X \rightarrow Y$  and  $X \rightarrow Z$ , then  $X \rightarrow YZ$
- \* (v) Decomposition : If  $X \rightarrow YZ$ , then  $X \rightarrow Y$  and  $X \rightarrow Z$
- (vi) Pseudo transitivity : If  $X \rightarrow Y$  and  $WX \rightarrow Z$ , then  $WX \rightarrow Z$
- (vii) composition : If  $X \rightarrow Y$  and  $Z \rightarrow W$ , then  $XZ \rightarrow YW$ .

## # Closure Method to find the set of candidate keys

$A^+$  (ie. A closure) is the set of all the attributes that are determined by  $A$ . If  $A$  can determine all the attributes in the set, then  $A$  is a candidate key.

NOTE: A candidate key is a set of minimal attribute(s), that can determine all other attributes. If  $A$  is a candidate key, then  $AX$  is a super key ( $X$  is another attribute of the set), not a candidate key as  $A$  was self-sufficient and candidate keys are minimal.

For example, given  $R(ABCDE)$  and  $FD = \{A \rightarrow B, BC \rightarrow D, E \rightarrow C, D \rightarrow A\}$ .

We have to find the set of candidate keys.

$A^+ = \{A, B\}$  \* (since  $E$  never comes on RHS, it will always be a part of every candidate key)

$$(AE)^+ = \{A, E, C, B, D\}$$

$$(DE)^+ = \{A, D, C, B, E\}$$

$$(BE)^+ = \{A, B, C, D, E\}$$

$$\therefore C = \{AE, DE, BE\}$$

set of candidate keys.



## # Canonical covers of FDs.

These are minimal/optimal representations of FDs by eliminating 'extraneous attributes.'

RULES :

- (i) RHS of every FD is a single attribute

- (ii) closure of  $F_c$  is equal to closure of  $F$

- (iii)  $F_c$  is minimal

for example, find  $F_c$  for  $F = \{ \overset{(1)}{AB \rightarrow C}, \overset{(2)}{A \rightarrow B}, \overset{(3)}{A \rightarrow C}, \overset{(4)}{B \rightarrow C}, \overset{(5)}{A \rightarrow B} \}$

Remove (2) as (2) & (4) are same.

Since A can determine C in (3), we don't need B. i.e. we can remove (1).

(3) is redundant due to transitivity b/w (5) and (4). So we remove (3).

$\therefore F_c = \{ A \rightarrow B, B \rightarrow C \}$ .

## # Equivalence of 2 sets of FDs, E & F.

Two sets of FDs are equal if E covers F i.e. every dependency of F can be inferred from E, and F covers E i.e. every dependency of E can be inferred from F.

For example,  $E = \{ A \rightarrow C, AC \rightarrow D, E \rightarrow AD, E \rightarrow H \}$

$F = \{ A \rightarrow CD, E \rightarrow AH \}$

(i) Check if E covers F :

Take all FDs of F, one at a time :

$A \rightarrow CD \Rightarrow$  take  $A^+$  using FDs in E.  $A^+ \text{ in } E = \{ A, C, D \}$  ✓

$E \rightarrow AH \Rightarrow$  take  $E^+$  using FDs in E.  $E^+ \text{ in } E = \{ E, A, D, H \}$  ✓

$\therefore E \text{ covers } F.$

(ii) Check if F covers E :

Take all FDs of E, one at a time :

$A \rightarrow C \Rightarrow$  Take  $A^+$  in F.  $A^+ \text{ in } F = \{ A, C, D \}$  ✓

$AC \rightarrow D \Rightarrow$  Take  $AC^+$  in F.  $AC^+ = \{ A, C, D \}$  ✓

$E \rightarrow DA \Rightarrow$  Take  $E^+$  in F.  $E^+ = \{ E, A, D, H \}$  ✓

$E \rightarrow H \Rightarrow$  Take  $E^+$  in F.  $E^+ = \{ E, A, D, H \}$  ✓

$\therefore F \text{ covers } E.$

$\therefore E \text{ and } F \text{ are equivalent.}$

## # 2<sup>nd</sup> Normal Form (2NF)

A table is in 2NF if:

(i) it is in 1NF.

(ii) No non-prime attributes (which are not a part of candidate key), should be dependent upon a part of the candidate key, i.e. if  $P \rightarrow NP$  dependency exists, then it should be the whole of the candidate key, not a part of it. (no partial dependency exists)

## # 3<sup>rd</sup> Normal Form (3NF)

(i) Table should be in 2NF.

(ii) No non-prime attribute should derive another non-prime attribute.  
(no transitive dependency exists)

(either LHS is candidate key OR RHS is a prime attribute)

# BCNF (Boyce Codd NF)  $\rightarrow$  Special case of 3NF.

(i) Table should be in 3NF

(ii) Every attribute should be derived only from candidate keys (or superkeys), i.e. LHS should only have candidate keys.

(~~either~~)

## # Decompositions

Ideal decomposition of a table should be: lossless & dependency preserving

### Lossy decomposition

When  $R$  is decomposed into  $R_1, R_2$  (say) and we try to join  $R_1$  and  $R_2$  again using a natural join ( $R_1 \times R_2$ ), we do not obtain the original  $R$  table if the decomposition was lossy.

### Lossless Decomposition

If  $R_1 \cup R_2 = R$  (retrieve original table)

- $R_1 \cap R_2 \neq \emptyset$  (Two generated tables should at least have one common column)

- The common column (attribute) should be a candidate key (or superkey) in at least one of the new tables.

Dependency Preservation  $\rightarrow$  refer to gate samsher