

Thermodynamics and mechanics of solids

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May 21, 2025

Contents

1	TODO	2
2	Geometry	2
3	Deformation	2
3.1	Displacement	3
3.2	Changes of measures	4
3.2.1	Change of volume	4
3.2.2	Change of lengths	4
3.2.3	Change of surfaces	5
3.3	Affine transformations	5
4	Forces	6
4.1	Forces in the deformed configuration	6
4.1.1	Cauchy stress tensor	6
4.1.2	Balance equations in the deformed configuration	7
4.2	Forces in the undeformed configuration	7
4.2.1	Piola-Kirchhoff stresses	7
4.2.2	Balance equations in the deformed configuration	8
5	Elasticity	8
5.1	Frame invariance principle	9
5.2	Isotropic material	9
5.3	Hyperelastic materials	9
5.4	Properties of W	9
5.5	Rank-one convexity	12
6	Linearized elasticity	13
6.1	Equations	14
6.2	Convex analysis	16
6.3	Problem of a man...	18
6.4	von Mises elatoplasticity	19
6.4.1	Plastic evolution	19
6.4.2	Discrete time setting	20
6.5	Rheological models	21
6.5.1	Dashpots	21
6.5.2	Kelvin-Voigt mateial	21

6.5.3	Maxwell material	22
6.6	Internal parameters	22
7	Thermodynamics in the framework of GSM (generalized standard materials)	24
8	Summary	25
9	(Some) tutorials	26
9.1	Change of observer	26
9.2	Change of reference configuration	26
9.3	Consequences of isotropic hyperelastic solid	26
9.4	Representation in terms of principal stresses	28
9.5	Hyperelasticity with constraints	29
9.6	Rational thermodynamics	29
9.6.1	Clausius-Duhem inequality	29
9.6.2	Isothermal setting	30
9.7	Inflation of a hyperelastic balloon	32
9.7.1	Biaxial deformation of an incompressible hyperelastic sheet	32
9.7.2	Simplified approach for a balloon	33
9.7.3	Exact solution	34

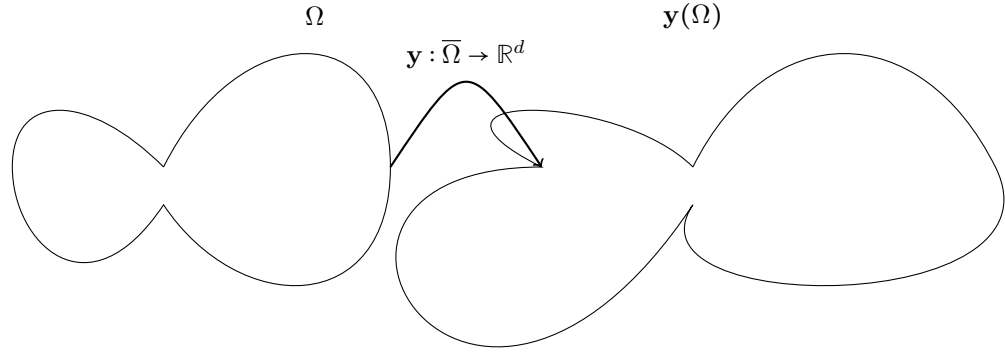
1 TODO

- include missing lecture about potential forces
- include missing lecture about rank one convexity
- include weak convergence symbol
- fix bold greek letters

2 Geometry

3 Deformation

Suppose we are given an abstract body $\Omega \subset \mathbb{R}^d, d = 2, 3$. Choosing a particular state, we denote it the **reference configuration**. After the acting of forces, the body deforms into the **current, deformed configuration**.



The mapping that produces the deformation is called the **deformation**, denoted \mathbf{y} , i.e.

$$\mathbf{y} : \bar{\Omega} \rightarrow \mathbb{R}^d.$$

Of large interest will be the **deformation gradient**

$$\mathbb{F}(\mathbf{x}) = \nabla \mathbf{y}(\mathbf{x}), (\nabla \mathbf{y})_{ij} = \frac{\partial y^i}{\partial x^j},$$

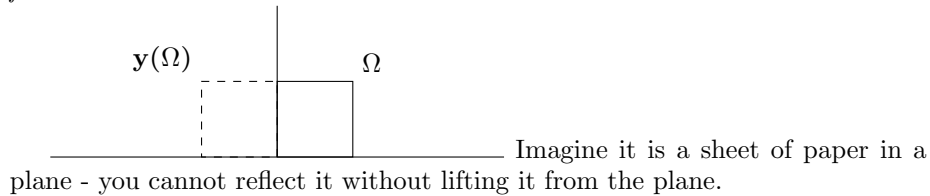
on which we put some physically sound restrictions, such as $\det \mathbb{F} > 0$. This means in particular that the determinant is nonzero, but also that preserves orientations of bases:

$$(\mathbf{e}_1 \times \mathbf{e}_2) \cdot \mathbf{e}_3 > 0 \Rightarrow (\mathbb{F}\mathbf{e}_1 \times \mathbb{F}\mathbf{e}_2) \cdot \mathbb{F}\mathbf{e}_3 > 0.$$

Example. Suppose the deformation is given as

$$\mathbf{y}(\mathbf{x}) = \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix} \mathbf{x},$$

i.e., $\mathbb{F} = \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix}$, $\det \mathbb{F} = -1$. This is an example of a deformation that is *forbidden*.



3.1 Displacement

Another useful way of describing the deformation is by using the **displacement vector** \mathbf{u} :

$$\mathbf{u}(\mathbf{x}) = \mathbf{y}(\mathbf{x}) - \mathbf{x},$$

so taking the gradient gives

$$\nabla \mathbf{u}(\mathbf{x}) = \mathbb{F}(\mathbf{x}) - \mathbb{I}.$$

Remark. It is interesting that we do not place any restrictions on the determinant of the displacement gradient.

3.2 Changes of measures

We need to examine how the volume, area and lengths change under deformation. In what follows, for a set $\omega \subset \mathbb{R}^d$ in the reference configuration we denote $\omega^y \subset \mathbb{R}^d$ to be the deformed body in the current configuration, i.e.

$$\omega^y = \mathbf{y}(\omega).$$

3.2.1 Change of volume

Using the change of variable theorem we obtain

$$\lambda(\omega^y) = \int_{\omega^y} 1 \, d\mathbf{x}^y = \int_{\omega} \det \mathbb{F}(\mathbf{x}) \, d\mathbf{x},$$

so we write $d\mathbf{x}^y = \det \mathbb{F} \, d\mathbf{x}$. This motivates "our" definition of the determinant of the deformation gradient:

$$\det \mathbb{F}(\mathbf{x}) = \lim_{r \rightarrow 0} \frac{\lambda(\mathbf{y}(B(\mathbf{x}, r)))}{\lambda(B(\mathbf{x}, r))}, \quad (1)$$

where $B(\mathbf{x}, r)$ is a (closed) ball centered at \mathbf{x} of radius r .

3.2.2 Change of lengths

Suppose the line segment $\mathbf{x} + \Delta \mathbf{x}$ undergoes deformation. How does its length change? Taylor expansion yields:

$$\mathbf{y}(\mathbf{x} + \Delta \mathbf{x}) = \mathbf{y}(\mathbf{x}) + \mathbb{F}(\mathbf{x})\Delta \mathbf{x} + \text{h.o.t.},$$

where h.o.t. stands for higher order terms. Using the expansion, we can write

$$\|\mathbf{y}(\mathbf{x} + \Delta \mathbf{x}) - \mathbf{y}(\mathbf{x})\|^2 = (\Delta \mathbf{x})^\top \mathbb{F}^\top \mathbb{F} \Delta \mathbf{x} = (\Delta \mathbf{x})^\top \mathbb{C}(\mathbf{x}) \Delta \mathbf{x},$$

where we have denoted

$$\mathbb{C}(\mathbf{x}) = \mathbb{F}^\top(\mathbf{x})\mathbb{F}(\mathbf{x}),$$

as the **Right Cauchy Green tensor**.

Example. Let the deformation \mathbf{y} be given as $\mathbf{y}(\mathbf{x}) = \mathbb{R}\mathbf{x} + \mathbf{v}$, $\mathbf{v} \in \mathbb{R}^d$, $\mathbb{R} \in \text{SO}(d) = \left\{ \mathbb{A} \in \mathbb{R}^{d \times d}, \mathbb{A}^\top \mathbb{A} = \mathbb{A} \mathbb{A}^\top = \mathbb{I}, \det \mathbb{A} = 1^1 \det \mathbb{A} > 0 \right\}$. Then $\mathbb{F} = \mathbb{R}$, $\mathbb{C} = \mathbb{I}$.

¹From the fact \mathbb{A} is orthogonal automatically follows $\det \mathbb{A} = \pm 1$.

3.2.3 Change of surfaces

For $\mathbb{A} \in \mathbb{R}^{d \times d}$ regular we define the **cofactor matrix** $\text{cof } \mathbb{A}$ as

$$\text{cof } \mathbb{A} = (\det \mathbb{A}) \mathbb{A}^{-\top},$$

which is an interesting quantity whatsoever; we will use the following theorem

Theorem 1 (Piola's identity). *Let $\mathbf{y} \in C^2(\Omega; \mathbb{R}^d)$, then $\forall \mathbf{x} \in \Omega$:*

$$\nabla \cdot (\text{cof } \nabla \mathbf{y}(\mathbf{x})) = \mathbf{0}.$$

For a regular matrix \mathbb{A} , we also have the identity

$$\mathbb{A}^{-1} = \frac{1}{\det \mathbb{A}} (\text{cof } \mathbb{A})^\top, \quad (2)$$

What about the determinant of the cofactor? Clearly

$$\det \text{cof } \mathbb{A} = (\det \mathbb{A})^d \det \mathbb{A}^{-\top} = (\det \mathbb{A})^{d-1},$$

so we can also express equation 2 in a different way

$$\mathbb{A}^{-1} = \frac{(\text{cof } \mathbb{A})^\top}{(\det \text{cof } \mathbb{A})^{1/d-1}}. \quad (3)$$

From geometry, recall the change of variables for surface integration:

$$\int_{\partial \omega^y} \mathbf{n}^y dS^y = \int_{\partial \omega} \text{cof } \mathbb{F} \mathbf{n} dS,$$

where \mathbf{n}^y is the outward unit normal to the deformed boundary ω^y . Informally, we write $\mathbf{n}^y dS^y = \text{cof } \mathbb{F} \mathbf{n} dS$. We can also explicitly express the normal to the deformed boundary as

$$\mathbf{n}^y(\mathbf{x}^y) = \frac{\text{cof } \mathbb{F}(\mathbf{x}) \mathbf{n}(\mathbf{x})}{\|\text{cof } \mathbb{F}(\mathbf{x}) \mathbf{n}(\mathbf{x})\|}, \mathbf{x} \in \partial \omega, \mathbf{y}(\mathbf{x}) \in \partial \omega^y. \quad (4)$$

3.3 Affine transformations

An example of deformation is the so called **affine transformation**.

Example. Suppose the following deformation:

$$\mathbf{y}(\mathbf{x}) = \mathbb{A} \mathbf{x} + \mathbf{v}, \mathbb{A} \in \mathbb{R}^{d \times d}, \mathbf{v} \in \mathbb{R}^d, \det \mathbb{F} > 0.$$

Clearly then $\mathbb{F}(\mathbf{x}) = \mathbb{A}$.

It is crucial to realize how $\mathbb{F}, \mathbb{F}^\top, \mathbb{F}^{-\top}$ work.

- \mathbb{F} takes a vector $\mathbf{x} - \mathbf{0}$ from the *reference configuration* and maps it to the vector $\mathbb{F} \mathbf{x} - \mathbb{F} \mathbf{0}$ in the *current configuration*
- \mathbb{F}^{-1} takes the vector $\mathbb{F} \mathbf{x} - \mathbb{F} \mathbf{0}$ from the *current configuration* and maps it to the vector $\mathbf{x} - \mathbf{0}$ from the *reference configuration*

- \mathbb{F}^\top is defined through: $\mathbb{F}\mathbf{u} \cdot \mathbf{w} = \mathbf{u} \cdot \mathbb{F}^\top \mathbf{w}$, and since \mathbb{F} is defined on the reference configuration, \mathbb{F}^\top must take something from the *current configuration* and return something from the *reference configuration*.
- $\mathbb{F}^{-\top}$ consequently takes something from the *reference configuration* and maps it to something from the *current configuration*.

Example. What when $\mathbb{C} = \mathbb{I}$? Can we say something about \mathbb{F} ? Write $\mathbb{C} = \mathbb{F}^\top \mathbb{F} = \mathbb{I}$, so $\mathbb{F}^\top = \mathbb{F}^{-1}$, $\det \mathbb{F} > 0$. From this we have $\mathbb{F}(\mathbf{x}) = \mathbb{R}(\mathbf{x})$, $\mathbf{x} \in \Omega$, where \mathbb{R} is a rotation tensor. Investigate the cofactor of the deformation gradient:

$$\text{cof } \mathbb{F} = \det \mathbb{F} \mathbb{F}^{-\top} = \text{cof } \mathbb{R} = 1 \mathbb{R}(\mathbf{x}) = \mathbb{F}(\mathbf{x}).$$

This implies $\text{cof } \mathbb{F} = \mathbb{F}$. Recall Piola's identity:

$$\mathbf{0} = \nabla \cdot \text{cof } \mathbb{F} = \nabla \cdot \mathbb{F}(\mathbf{x}) = \nabla^2 \mathbf{y}(\mathbf{x}).$$

We have the identity:

$$\frac{1}{2}(\|\nabla \mathbf{y}\|)^2 = \|\nabla \nabla \mathbf{y}\|^2 + \nabla \mathbf{y} \cdot \nabla \nabla^2 \mathbf{y}, \quad (5)$$

and since the LHS is zero, we also have $\|\nabla \nabla \mathbf{y}\| = 0 \Rightarrow \mathbf{y}(\mathbf{x}) = \mathbb{R}\mathbf{x} + \mathbf{v}$. Let \mathbb{R} be piecewise affine. Then $\mathbb{R}_1(\mathbb{I} - \mathbf{n} \otimes \mathbf{n}) = \mathbb{R}_2(\mathbb{I} - \mathbf{n} \otimes \mathbf{n})$, so $\mathbb{R}_1 - \mathbb{R}_2 = (\mathbb{R}_1 - \mathbb{R}_2) = (\mathbb{R}_1 - \mathbb{R}_2)\mathbf{n} \otimes \mathbf{n} = \mathbf{a} \otimes \mathbf{b}$, but that is not possible for two rotations; the rank of the RHS is one, whereas the LHS is not.

4 Forces

4.1 Forces in the deformed configuration

Recall $\mathbf{y} : \overline{\Omega} \rightarrow \overline{\Omega}^y$. We can define the **volume density of applied forces** $\mathbf{f}^y : \overline{\Omega}^y \rightarrow \mathbb{R}^3$ (in newtons per cubic meters, e.g. gravity). The same on the boundary $\mathbf{g}^y : \Gamma_N^y \rightarrow \mathbb{R}^3$ (**surface density of applied forces** (in newtons per square meters = Pascals, e.g. hydrostatic pressure.)

4.1.1 Cauchy stress tensor

Lemma 1 (Stress principle of Euler and Cauchy). *There exists a (Cauchy) stress vector function $\mathbf{t}^y : \overline{\Omega}^y \times \mathcal{S}^{d-1} \rightarrow \mathbb{R}^d$ with the following properties.*

1. If $\mathbf{x}^y \in \Gamma_N^y$, then $\mathbf{t}^y(\mathbf{x}^y, \mathbf{n}^y) = \mathbf{g}^y(\mathbf{x}^y)$, where \mathbf{n}^y is the unit outer normal vector to $\partial\Omega^y$ at \mathbf{x}^y .
2. $\forall \omega^y \subset \Omega^y$ it holds that $\int_{\omega^y} \mathbf{f}(\mathbf{x}^y) d\mathbf{x}^y + \int_{\partial\omega^y} \mathbf{t}^y(\mathbf{x}^y, \mathbf{n}^y) dS^y = \mathbf{0}$. (Balance of forces in static equilibrium.)
3. $\forall \omega^y \subset \Omega^y$ it holds that $\int_{\omega^y} \mathbf{x}^y \times \mathbf{f}^y(\mathbf{x}^y) d\mathbf{x}^y + \int_{\partial\omega^y} \mathbf{x}^y \times \mathbf{t}^y(\mathbf{x}^y, \mathbf{n}^y) dS^y = \mathbf{0}$. (Balance of moment of forces in static equilibrium.)

Euler says that the direct consequence of this is the existence of $\mathbb{T}^y(\mathbf{x}^y)$ such that

$$\mathbf{t}^y(\mathbf{x}^y, \mathbf{n}^y) = \mathbb{T}^y(\mathbf{x}^y)\mathbf{n}^y, \quad (6)$$

where the tensorial quantity \mathbb{T} is called the **Cauchy stress tensor**.

4.1.2 Balance equations in the deformed configuration

Classical physics gives us 2 fundamental relations: Newtons second law for momenta and for angular momenta. We examine these in the continuum mechanics setting.

From second property it follows:

$$\int_{\omega^y} \mathbf{f}^y(\mathbf{x}^y) d\mathbf{x}^y + \int_{\partial\omega^y} \mathbb{T}^y(\mathbf{x}^y) \mathbf{n}^y dS^y = \int_{\omega^y} \mathbf{f}^y(\mathbf{x})^y d\mathbf{x}^y + \int_{\omega^y} \nabla \cdot \mathbb{T}^y(\mathbf{x}^y) d\mathbf{x}^y = 0, \quad (7)$$

so using the localization theorem we get

$$\mathbf{f}^y(\mathbf{x}^y) + \nabla \cdot \mathbb{T}^y(\mathbf{x}^y) = \mathbf{0}, \forall \mathbf{x}^y \in \Omega^y.$$

From the third property it follows

$$\begin{aligned} \int_{\omega^y} \mathbf{x}^y \times \mathbf{f}^y(\mathbf{x}^y) d\mathbf{x}^y + \int_{\partial\omega^y} \mathbf{x}^y \times \mathbb{T}^y(\mathbf{x}^y) \mathbf{n}^y dS^y &= \\ &= \int_{\omega^y} \varepsilon_{ijk} x_j^y f_k^y d\mathbf{x}^y + \int_{\omega^y} \varepsilon_{ijk} x_j^y (T_{km}^y n_m^y) dS^y = \int_{\omega^y} \varepsilon_{ijk} x_j^y f_k^y d\mathbf{x}^y + \int_{\omega^y} \varepsilon_{ijk} \frac{\partial(x_j^y T_{km}^y)}{\partial x_m^y} d\mathbf{x}^y = \\ &= \int_{\omega^y} \varepsilon_{ijk} x_j^y f_k^y d\mathbf{x}^y + \int_{\omega^y} \varepsilon_{ijk} x_j^y \frac{\partial T_{km}^y}{\partial x_m^y} d\mathbf{x}^y + \int_{\omega^y} \varepsilon_{ijk} \delta_{jm} T_{km}^y d\mathbf{x}^y = \mathbf{0}. \end{aligned}$$

The last term implies

$$\int_{\omega^y} \varepsilon_{ijk} T_{kj}^y = 0,$$

and using the localization theorem, we obtain

$$T_{ij}^y(\mathbf{x}^y) = T_{ji}^y(\mathbf{x}^y), \quad i.e. \mathbb{T}^y(\mathbf{x}^y) = (\mathbb{T}^y(\mathbf{x}^y))^T. \quad (8)$$

The **Cauchy stress tensor is symmetric**.

4.2 Forces in the undeformed configuration

We have obtained the equations in the deformed configuration. That is however inconvenient - we solve the equations to find the deformed configuration. This brings us to find a new way to write the equations - in the reference configuration. The construction is a bit synthetic, our intuition will be guided by the requirement to obtain similar equations as in the current configuration.

4.2.1 Piola-Kirchhoff stresses

Definition 1 (First Piola-Kirchhoff stress tensor). Given the Cauchy stress tensor $\mathbb{T}^y(\mathbf{x}^y)$, we define the **First Piola Kirchhoff stress tensor**

$$\mathbb{T} : \bar{\Omega} \rightarrow \mathbb{R}^{3 \times 3}, \mathbb{T}(\mathbf{x}) = \mathbb{T}^y(\mathbf{x}^y) \text{ cof } \mathbb{F}(\mathbf{x}) = \det \mathbb{F}(\mathbf{x}) \mathbb{T}^y(\mathbf{x}^y) \mathbb{F}^{-T}(\mathbf{x}).$$

Definition 2 (Second Piola-Kirchhoff stress tensor). The quantity

$$\mathbb{S}(\mathbf{x}) = \mathbb{F}^{-1} \mathbb{T}(\mathbf{x}) = \mathbb{S}(\mathbf{x})^T,$$

is called the **second Piola-Kirchhoff stress tensor**.

Remark. The first PK tensor \mathbb{T} is *not symmetric in general*, but the second $\mathbb{S}(\mathbf{x}) = \mathbb{F}^{-1} = \det \mathbb{F}(\mathbf{x}) \mathbb{F}^{-1} \mathbb{T}^y(\mathbf{x}^y) \mathbb{F}^{-\top}(\mathbf{x})$ is. Also, we see that not every matrix can serve as \mathbb{T} ; it must hold $\mathbb{T}(\mathbf{x})(\text{cof } \mathbb{F}^{-1})$ is symmetric.

Remark. We have the following identity (using Piola's identity):

$$\nabla \cdot \mathbb{T}(\mathbf{x}) = \det \mathbb{F}(\mathbf{x}) \nabla \cdot \mathbb{T}^y(\mathbf{x}^y)^y. \quad (9)$$

4.2.2 Balance equations in the deformed configuration

Recall the balance of momentum

$$-\nabla \cdot (\mathbb{T}^y(\mathbf{x}^y)) = \mathbf{f}^y(\mathbf{x}^y) \text{ in } \Omega^y,$$

multiplying by $\det \mathbb{F} > 0$ yields

$$\det \mathbb{F} \nabla \cdot (\mathbb{T}^y(\mathbf{x}^y)) = \det \mathbb{F}(\mathbf{x}) \mathbf{f}^y(\mathbf{x}^y), \quad (10)$$

which *begs* for the definition

$$\mathbf{f}(\mathbf{x}) = \det \mathbb{F}(\mathbf{x}) \mathbf{f}^y(\mathbf{x}^y),$$

as the force in the *referential configuration*.

In total, the total acting body force on the body can be written as

$$\int_{\mathbf{y}(\omega)} \mathbf{f}^y(\mathbf{x}^y) d\mathbf{x}^y = \int_{\omega} \mathbf{f}^y(\mathbf{y}(\mathbf{x})) \det \mathbb{F}(\mathbf{x}) dx = \int_{\omega} \mathbf{f}(\mathbf{x}) d\mathbf{x}.$$

We can do the same for the balance of surface forces; the total contact force acting on the body is

$$\begin{aligned} \int_{\Gamma_N^y} \mathbf{g}^y(\mathbf{x}^y) dS^y &= \int_{\partial\omega^y} \mathbf{t}^y(\mathbf{x}^y, \mathbf{n}^y) dS^y = \int_{\partial\mathbf{y}(\omega)} \mathbb{T}^y(\mathbf{x}^y) \mathbf{n}^y dS^y = \\ &= \int_{\partial\omega} \mathbb{T}^y(\mathbf{y}(\mathbf{x})) \text{cof } \mathbb{F}(t, \mathbf{x}) \mathbf{n} dS = \int_{\partial\omega} \mathbb{T}(\mathbf{x}) \mathbf{n} dS, \end{aligned}$$

so if we define

$$\mathbf{g}(\mathbf{x}) = \mathbb{T}(\mathbf{x}) \mathbf{n}(\mathbf{x}),$$

as the contact force in the *referential configuration*, we formally have a similar expression.

5 Elasticity

Definition 3 (Elasticity). We say that a material is **elastic (or Cauchy elastic)** if there is a response function $\tilde{\mathbb{T}}^D : \Omega \times \mathbb{R}_+^{3 \times 3} \rightarrow \mathbb{R}_{\text{sym}}^{3 \times 3}$ such that

$$\mathbb{T}^y(\mathbf{x}^y) = \tilde{\mathbb{T}}^D(\mathbf{x}, \mathbb{F}).$$

The response function is also called the **constitutive law**.

Remark. If we know the material is elastic, we also have the information about the First Piola-Kirchhoff stress, as $\mathbb{T}(\mathbf{x}) = \mathbb{T}^y(\mathbf{x}^y) \text{cof } \mathbb{F}$, so

$$\mathbb{T}(\mathbf{x}) = \tilde{\mathbb{T}}^D(\mathbf{x}, \mathbb{F}) \text{cof } \mathbb{F} = \tilde{\mathbb{T}}(\mathbf{x}, \mathbb{F}). \quad (11)$$

5.1 Frame invariance principle

The frame invariance principle states:

$$\tilde{\mathbb{T}}^D(\mathbf{x}, \mathbb{R}\mathbf{x}) = \mathbb{R}\tilde{\mathbb{T}}^D(\mathbf{x}, \mathbb{F})\mathbb{R}^\top, \forall \mathbb{R} \in \text{SO}(3), \forall \mathbf{x} \in \overline{\Omega},$$

from which it follows ($\tilde{\mathbb{T}}$ is defined in 11)

$$\tilde{\mathbb{T}}(\mathbf{x}, \mathbb{R}\mathbb{F}) = \det(\mathbb{R}\mathbb{F})\tilde{\mathbb{T}}^D(\mathbf{x}, \mathbb{R}\mathbb{F})(\mathbb{R}\mathbb{F})^{-\top} = \det(\mathbb{R}\mathbb{F})\mathbb{R}\tilde{\mathbb{T}}^D(\mathbf{x}, \mathbb{F})\mathbb{R}^\top\mathbb{R}\mathbb{F}^{-\top} = \det \mathbb{F}\mathbb{R}\tilde{\mathbb{T}}^D(\mathbf{x}, \mathbb{F})\mathbb{F}^{-\top} = \mathbb{R}\tilde{\mathbb{T}}(\mathbf{x}, \mathbb{F}),$$

thus

$$\tilde{\mathbb{T}}(\mathbf{x}, \mathbb{R}\mathbb{F}) = \mathbb{R}\tilde{\mathbb{T}}(\mathbf{x}, \mathbb{F}), \text{ i.e. } \mathbb{R}^\top\tilde{\mathbb{T}}(\mathbf{x}, \mathbb{R}\mathbb{F}) = \tilde{\mathbb{T}}(\mathbf{x}, \mathbb{F}), \forall \mathbb{R} \in \text{SO}(3), \forall \mathbb{F} \in \mathbb{R}_+^{3 \times 3}.$$

5.2 Isotropic material

Recall $\mathbb{T}^y(\mathbf{x}^y) = \tilde{\mathbb{T}}^D(\mathbf{x}, \mathbb{F})$, $\mathbf{y} : \overline{\Omega} \rightarrow \Omega^y = \mathbf{y}(\Omega)$. Take $\mathbf{x}_0 \in \overline{\Omega}$ general but fixed, take $\mathbf{v}(\mathbf{z}) = \mathbf{x}_0 + \mathbb{R}^\top(\mathbf{z} - \mathbf{x}_0)$ for some $\mathbb{R} \in \text{SO}(3)$ and define a *new deformation*

$$\tilde{\mathbf{y}} = \mathbf{y} \circ \mathbf{v}^{-1} : \mathbf{v}(\overline{\Omega}) \rightarrow \mathbf{y}(\overline{\Omega}), \tilde{\mathbf{y}}(\tilde{\mathbf{x}}) = \mathbf{y}(\mathbf{x}_0 + \mathbb{R}(\tilde{\mathbf{x}} - \mathbf{x}_0)).$$

This implies

$$\mathbf{x}_0^y = \mathbf{x}_0^{\tilde{y}}, \mathbb{T}^y(\mathbf{x}_0^y) = \tilde{\mathbb{T}}^D(\mathbf{x}_0, \mathbb{F}) = \mathbb{T}^{\tilde{y}}(\mathbf{x}_0^{\tilde{y}}) = \tilde{\mathbb{T}}^D(\mathbf{x}_0, \tilde{\mathbb{F}}(\mathbf{x}_0)) = \tilde{\mathbb{T}}^D(\mathbf{x}_0, \mathbb{F}(\mathbf{x}_0)\mathbb{R}).$$

Definition 4 (Isotropic material). We cal the material **isotropic** if it holds

$$\tilde{\mathbb{T}}^D(\mathbf{x}, \mathbb{F}) = \tilde{\mathbb{T}}^D(\mathbf{x}, \mathbb{F}\mathbb{R}), \forall \mathbb{R} \in \text{SO}(3), \forall \mathbb{F} \in \mathbb{R}_+^{3 \times 3}.$$

Remark. For the first Piola-Kirchhoff we obtain: $\mathbb{T}^D(\mathbf{x}, \mathbb{F}\mathbb{R}) = \mathbb{T}^D(\mathbf{x}, \mathbb{F})\mathbb{R}$, which means

$$\mathbb{T}^D(\mathbf{x}, \mathbb{Q}\mathbb{F}\mathbb{R}) = \mathbb{Q}\tilde{\mathbb{T}}^D\mathbb{R}, \forall \mathbb{R}, \mathbb{Q} \in \text{SO}(3), \forall \mathbb{F} \in \mathbb{R}_+^{3 \times 3}.$$

5.3 Hyperelastic materials

Definition 5. We say that a material is hyperelastic if there is a function $W : \overline{\Omega} \times \mathbb{R}_+^{3 \times 3} \rightarrow \mathbb{R}$ such that

$$\mathbb{T}(\mathbf{x}) = \tilde{\mathbb{T}}(\mathbf{x}, \mathbb{F}) = \frac{\partial W(\mathbf{x}, \mathbb{F})}{\partial \mathbb{F}}, \mathbb{F} = \nabla \mathbf{y}(\mathbf{x}).$$

The function $W, [W] = \frac{J}{m^3} = \frac{Nm}{m^3} = \text{Pa}$ is called **stored energy density**.

Remark. Evidently, W has a potential.

5.4 Properties of W

It is physical to assume

1. $W \geq 0$ (energy is nonnegative)
2. $W(\mathbf{x}, \mathbb{F}) = W(\mathbf{x}, \mathbb{R}\mathbb{F}), \forall \mathbb{R} \in \text{SO}(3), \forall \mathbf{x} \in \overline{\Omega}, \forall \mathbb{F} \in \mathbb{R}_+^{3 \times 3}$. (energy does not change under rotations ²)

²If this was not true, you could create infinite energy by just spinning a rubber.

3. $W(\mathbf{x}, \tilde{\mathbb{R}}\mathbb{U}) = W(\mathbf{x}, \mathbb{U}), \mathbb{U} = \sqrt{\mathbb{C}}$. (matrices are from the polar decomposition)
4. $W(\mathbf{x}, \mathbb{F}) \rightarrow \infty$ if $\det \mathbb{F} \rightarrow 0_+$ (it takes infinite energy to deform the body to a point)
5. $W(\mathbf{x}, \mathbb{F}) \geq \alpha(\|\mathbb{F}\|^p + \|\text{cof } \mathbb{F}\|^q + (\det \mathbb{F})^r) - d, \forall \alpha > 0, \forall p, q, r \geq 1, \forall d \in \mathbb{R}, \forall \mathbf{x} \in \bar{\Omega}, \forall \mathbb{F} \in \mathbb{R}_+^{3 \times 3}$.

Definition 6 (Natural state of the body). The natural state of the body is the state in which

$$W(\mathbf{x}, \mathbb{F}) = 0 \wedge \frac{\partial W}{\partial \mathbb{F}}(\mathbf{x}, \mathbb{F}) = 0. \quad (12)$$

Remark (Unnatural vegetable). Not all materials (bodies) have its natural states. Zum Beispiel, carrot does not have a natural state.

From the previous work, we can write $\mathbb{R}^\top \frac{\partial W(\mathbf{x}, \mathbb{R}\mathbb{F})}{\partial \mathbb{F}} = \frac{\partial W(\mathbf{x}, \mathbb{F})}{\partial \mathbb{F}}$, and for brevity denote $W(\mathbf{x}, \mathbb{R}\mathbb{F}) = W_R(\mathbf{x}, \mathbb{F})$. Next, we suppose we can Taylor expand:

$$\begin{aligned} W_R(\mathbf{x}, \mathbb{F} + \tilde{\mathbb{F}}) &= W(\mathbf{x}, \mathbb{R}\mathbb{F} + \mathbb{R}\tilde{\mathbb{F}}) = W(\mathbf{x}, \mathbb{R}\mathbb{F}) + \frac{\partial W(\mathbf{x}, \mathbb{R}\mathbb{F})}{\partial \mathbb{F}} : (\mathbb{R}\tilde{\mathbb{F}}) + \text{h.o.t.} \\ &= W_R(\mathbf{x}, \mathbb{F}) + \mathbb{R}^\top \frac{\partial W(\mathbf{x}, \mathbb{R}\mathbb{F})}{\partial \mathbb{F}} : \tilde{\mathbb{F}} + \text{h.o.t.} \end{aligned}$$

Moreover

$$W_R(\mathbf{x}, \mathbb{F} + \tilde{\mathbb{F}}) = W_R(\mathbf{x}, \mathbb{F}) + \frac{\partial W_R(\mathbf{x}, \mathbb{F})}{\partial \mathbb{F}} : \tilde{\mathbb{F}}.$$

Altogether

$$\frac{\partial}{\partial \mathbb{F}}(W_R(\mathbf{x}, \mathbb{F}) - W(\mathbf{x}, \mathbb{F})) = 0,$$

from which it follows ³

$$W(\mathbf{x}, \mathbb{R}\mathbb{F}) = W(\mathbf{x}, \mathbb{F}) + k(\mathbb{R}).$$

Take $\mathbb{F} = \mathbb{I}$, then

$$W(\mathbf{x}, \mathbb{R}^2) = W(\mathbf{x}, \mathbb{R}\mathbb{R}) = W(\mathbf{x}, \mathbb{R}) + k(\mathbb{R}) = W(\mathbf{x}, \mathbb{I}) + 2k(\mathbb{R}),$$

so

$$W(\mathbf{x}, \mathbb{R}^n) = W(\mathbf{x}, \mathbb{I}) + nk(\mathbb{R}).$$

Since the set of rotations is closed and bounded, it is compact, so there exists a convergent subsequence of $\{\mathbb{R}^n\}$. Moreover, we assume W to be continuous (we took the derivative...), so $\lim_{n \rightarrow \infty} W(\mathbf{x}, \mathbb{R}^n)$ exists and from the properties of W we get it is finite. But then $k(\mathbb{R}) = 0$, as otherwise $nk(\mathbb{R}) \rightarrow \infty$. All in all, we have shown

$$W(\mathbf{x}, \mathbb{R}\mathbb{F}) = W(\mathbf{x}, \mathbb{F}). \quad (13)$$

Definition 7 (Energy functional). Let us have $\partial\Omega = \Gamma_N \cup \Gamma_D, \Gamma_N \cap \Gamma_D = \emptyset$, where the parts of the boundary are those when Neumann/Dirichlet boudary conditions are prescribed. The energy functional of the material is the functional

$$I(\mathbf{y}) = \int_{\Omega} W(\mathbf{x}, \mathbb{F}(\mathbf{x})) \, d\mathbf{x} - \int_{\Omega} \mathbf{f}(\mathbf{x}) \cdot \mathbf{y}(\mathbf{x}) \, d\mathbf{x} - \int_{\Gamma_N} \mathbf{g}(\mathbf{x}) \cdot \mathbf{y}(\mathbf{x}) \, dS,$$

³The set of matrices with positive determinant is connected.

where the first part corresponds to the stored energy and the remaining terms are the work done by the external loads.

Remark. If \mathbf{y} is the minimizer of I , then $I(t\boldsymbol{\varphi} + \mathbf{y}) \geq I(\mathbf{y}), \forall t, \boldsymbol{\varphi}$. If we denote

$$a(t) := I(t\boldsymbol{\varphi} + \mathbf{y}),$$

then it most hold

$$0 = a'(0) = \frac{d}{dt} \left(\int_{\Omega} W(\mathbb{F} + t\nabla\boldsymbol{\varphi}) \, d\mathbf{x} - \int_{\Omega} \mathbf{f}(\mathbf{x}) \cdot (\mathbf{y}(\mathbf{x}) + t\boldsymbol{\varphi}(\mathbf{x})) \, d\mathbf{x} - \int_{\Gamma_N} \mathbf{g}(\mathbf{x}) \cdot (\mathbf{y}(\mathbf{x}) + t\boldsymbol{\varphi}(\mathbf{x})) \, dS \right) \Big|_{t=0},$$

calculating the derivatives yields

$$\begin{aligned} 0 &= \int_{\Omega} \frac{\partial W(\mathbb{F})}{\partial \mathbb{F}} : \nabla \boldsymbol{\varphi} \, d\mathbf{x} - \int_{\Omega} \mathbf{f} \cdot \boldsymbol{\varphi} \, d\mathbf{x} - \int_{\Gamma_N} \mathbf{g} \cdot \boldsymbol{\varphi} \, dS = \\ &= \int_{\Omega} \frac{\partial}{\partial x_j} \left(\frac{\partial W(\mathbb{F})}{\partial F_{ij}} \varphi_i \right) d\mathbf{x} - \int_{\Omega} \frac{\partial}{\partial x_j} \left(\frac{\partial W(\mathbb{F})}{\partial F_{ij}} \right) \varphi_i \, d\mathbf{x} - \int_{\Omega} \mathbf{f} \cdot \boldsymbol{\varphi} \, d\mathbf{x} - \int_{\Gamma_N} \mathbf{g} \cdot \boldsymbol{\varphi} \, dS = \\ &= \int_{\Gamma_N} \frac{\partial W(\mathbb{F})}{\partial F_{ij}} \varphi_i n_j \, dS - \int_{\Omega} \frac{\partial}{\partial x_j} \left(\frac{\partial W(\mathbb{F})}{\partial F_{ij}} \right) \varphi_i \, d\mathbf{x} - \int_{\Omega} \mathbf{f} \cdot \boldsymbol{\varphi} \, d\mathbf{x} - \int_{\Gamma_N} \mathbf{g} \cdot \boldsymbol{\varphi} \, dS, \end{aligned}$$

so it must hold

$$-\frac{\partial}{\partial x_j} \left(\frac{\partial W(\mathbb{F})}{\partial F_{ij}} \right) = f_i \text{ in } \Omega, \quad \frac{\partial W(\mathbb{F})}{\partial F_{ij}} n_j = g_i \text{ on } \Gamma_N.$$

This is exactly

$$-\nabla \cdot \mathbb{T} = \mathbf{f} \text{ in } \Omega, \quad \mathbb{T} \mathbf{n} = \mathbf{g}, \text{ on } \Gamma_N.$$

This implies that \mathbf{y} minimizes energy $\Leftrightarrow \mathbf{y}$ is governed by the equations of classical mechanis.

Are there some other qualities of W ? It is natural to assume

$$W(\mathbb{I}) = 0 \Rightarrow W(\mathbb{R}) = 0, \forall \mathbb{R} \in \text{SO}(3)$$

and $W(\mathbb{F}) > 0$ whenever $\mathbb{F} \notin \text{SO}(3)$ This however implies W is not convex!
Assume

$$\mathbb{R}_1 = \begin{bmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{bmatrix}, \mathbb{R}_2 = \mathbb{I},$$

then

$$W\left(\frac{1}{4}\mathbb{R}_1 + \frac{3}{4}\mathbb{R}_2\right) > \frac{1}{4}W(\mathbb{R}_1) + \frac{3}{4}W(\mathbb{R}_2) = 0.$$

Example (Minimizer does not exist). Assume $J(u) = \int_0^1 \left(1 - (u'(x))^2\right)^2 + u(x)^2 \, dx, u \in W^{1,4}(0,1), u(0) = u(1) = 0$, and find the minimum of J . First of all, $J > 0$, so the minimum also. I can take u_k such that $u'_k(x) = 1$ on $(0, 1/2)$ and $u'_k(x) = -1$ on $(1/2, 1)$. Then $J(u_k) \rightarrow 0 \Rightarrow \inf J = 0$ but there is no minimizer.

Not everything is lost...

Definition 8 (Polyconvexity, 1977 J.M. Ball). $W : \mathbb{R}^{3 \times 3} \rightarrow \mathbb{R} \cup \{\infty\}$ is polyconvex provided there exists convex and lower-semicontinuous function $h : \mathbb{R}^{19} \rightarrow \mathbb{R} \cup \{\infty\}$:

$$W(\mathbb{A}) = h(\mathbb{A}, \text{cof } \mathbb{A}, \det \mathbb{A}).$$

Example. • If W is convex and lower-semicontinuous then W is polyconvex.

- $W(\mathbb{A}) = \det \mathbb{A}$ is polyconvex but not convex.

Remark (Weak convergence in $L_p(\Omega; \mathbb{R}^3)$). Let $1 < p < \infty$ and $\{\mathbf{u}_k\} \subset L_p(\Omega; \mathbb{R}^3)$. We say $\{\mathbf{u}_k\}$ converges weakly to \mathbf{u} in $L_p(\Omega; \mathbb{R}^3)$ provided

$$\int_{\Omega} \mathbf{u}_k \cdot \boldsymbol{\varphi} \, d\mathbf{x} \rightarrow \int_{\Omega} \mathbf{u} \cdot \boldsymbol{\varphi} \, d\mathbf{x}, \forall \boldsymbol{\varphi} \in L_{p'}(\Omega; \mathbb{R}^3).$$

Theorem 2 (Magic). Assume that \mathbf{y}^k converges weakly to \mathbf{y} in $W^{1,p}(\Omega; \mathbb{R}^3)$, $\Omega \subset \mathbb{R}^3 \in C^{0,1}$, $p > 3$. Then $\det \nabla \mathbf{y}^k$ converges weakly to $\det \nabla \mathbf{y}$ in $L_{\frac{p}{3}}(\Omega)$. Moreover $\text{cof } \nabla \mathbf{y}^k$ converges weakly to $\text{cof } \nabla \mathbf{y}$ in $L_{\frac{p}{2}}(\Omega; \mathbb{R}^{3 \times 3})$.

Proof. Only in 2 dimensions. The determinant can be written as:

$$\det \nabla \mathbf{y} = \frac{\partial y_1}{\partial x_1} \frac{\partial y_2}{\partial x_2} - \frac{\partial y_1}{\partial x_2} \frac{\partial y_2}{\partial x_1} = \frac{\partial}{\partial x_1} \left(y_1 \frac{\partial y_2}{\partial x_2} \right) - \frac{\partial}{\partial x_2} \left(y_1 \frac{\partial y_2}{\partial x_1} \right) = \nabla \cdot \left(y_1, -\frac{\partial y_2}{\partial x_1} \right),$$

so then

$$\int_{\Omega} \det \nabla \mathbf{y}^k \varphi \, dx = \int_{\Omega} \frac{\partial}{\partial x_1} \left(y_1^k \frac{\partial y_2^k}{\partial x_2} \right) \varphi \, dx - \int_{\Omega} \frac{\partial}{\partial x_2} \left(y_1^k \frac{\partial y_2^k}{\partial x_1} \right) \varphi \, dx = - \int_{\Omega} y_1^k \frac{\partial y_2^k}{\partial x_2} \frac{\partial \varphi}{\partial x_1} \, dx + \int_{\Omega} \frac{\partial \varphi}{\partial x_2} y_1^k \frac{\partial y_2^k}{\partial x_1} \, dx,$$

and the result follows from the embedding theorems and strong convergence (strong times weak gives weak convergence). \square

5.5 Rank-one convexity

Assume the following domain: $\Omega = (1, 2) \times (0, 4\pi) \times (1, 2)$ and the deformation

$$\mathbf{y} : \bar{\Omega} \rightarrow \mathbb{R}^3, \mathbf{y}(x_1, x_2, x_3) = (x_1 \cos x_2, x_1 \sin x_2, x_3), \mathbb{F} = \begin{bmatrix} \cos x_2 & -x_1 \sin x_2 & 0 \\ \sin x_2 & x_1 \cos x_2 & 0 \\ 0 & 0 & 1 \end{bmatrix}.$$

We can calculate $\det \mathbb{F} = x_1 \cos^2 x_2 + x_1 \sin^2 x_2 = x_1$. But even though the deformation has positive determinant, we still face self-penetration issues, i.e., \mathbf{y} is not injective.

Theorem 3 (Ciarlet-Nečas condition). Let $p > 3$ and let $\det \mathbb{F} > 0$ a.e. in $\Omega \subset \mathbb{R}^3$, $\mathbf{y} \in W^{1,p}(\Omega; \mathbb{R}^3)$. If

$$\int_{\Omega} \det \mathbb{F}(\mathbf{x}) \, d\mathbf{x} \leq \lambda(\mathbf{y}(\Omega))$$

then \mathbf{y} is injective almost everywhere in Ω , i.e., $\exists \omega \subset \Omega : \lambda(\omega) = 0, \mathbf{y}|_{\Omega/\omega}$ is injective.

Is the determinant condition of any use? Let us compute, assuming $\mathbf{y} = \mathbf{0}$ on $\partial\Omega$.

$$\int_{\Omega} \det \mathbb{F} \, d\mathbf{x} = \int_{\Omega} \frac{\partial}{\partial x_1} \left(y_1 \frac{\partial y_2}{\partial x_2} \right) - \frac{\partial}{\partial x_2} \left(y_1 \frac{\partial y_2}{\partial x_1} \right) d\mathbf{x} = \int_{\partial\Omega} y_1 \frac{\partial y_2}{\partial x_2} n_1 - y_1 \frac{\partial y_2}{\partial x_1} n_2 \, dS \underset{y=0 \text{ on } \partial\Omega}{\Rightarrow} \int_{\Omega} \det \mathbb{F}(\mathbf{x}) \, d\mathbf{x} = 0.$$

This is powerful! Assume that $\mathbf{y}(\mathbf{x}) = \mathbb{F}\mathbf{x}$ on $\partial\Omega$, then

$$\int_{\Omega} \det \mathbb{F}(\mathbf{x}) \, d\mathbf{x} = \lambda(\Omega) \det \mathbb{F}.$$

Now let

$$I(\mathbf{y}) = \int_{\Omega} \det \mathbb{F}(\mathbf{x}) \, d\mathbf{x}, \mathbf{y} \in W^{1,p}(\Omega; \mathbb{R}^3), \mathbf{y}(\mathbf{x}) = \mathbb{F}\mathbf{x}.$$

Then I is constant⁴ and it holds

$$I(\mathbf{y}) = \lambda(\Omega) \det \mathbb{F}.$$

6 Linearized elasticity

Recall the Right Cauchy-Green tensor: $\mathbb{C} = \mathbb{F}^T \mathbb{F}$. Using it, we can define

Theorem 4 (Green-Lagrange strain tensor/Green-St.-Venaint strain tensor). *Let \mathbb{C} be the Right Cauchy-Green tensor. We define the Green-Lagrange strain tensor/Green-St.-Venaint strain tensor as*

$$\mathbb{E} = \frac{1}{2}(\mathbb{C} - \mathbb{I}).$$

Remark. The Green-St.-Venaint strain tensor can be rewritten as:

$$\mathbb{E} = \frac{1}{2}((\mathbb{I} + \nabla \mathbf{u})^T (\mathbb{I} + \nabla \mathbf{u}) - \mathbb{I}) = \frac{1}{2}(\nabla \mathbf{u} + (\nabla \mathbf{u})^T) + \frac{1}{2}(\nabla \mathbf{u})^T \nabla \mathbf{u} = \mathbf{e}(\mathbf{u}) + \frac{1}{2}\mathbb{C}(\nabla \mathbf{u}).$$

For the stored energy density, we can write

$$W(\mathbb{F}) = W(\mathbb{R}\mathbb{F}) = \overline{W}(\mathbb{C}(\mathbb{F})) = \hat{W}(\mathbb{E}(\mathbb{F})).$$

and also

$$W(\mathbb{F}) = \hat{W}(\mathbf{e}(\mathbf{u}) + \mathbb{C}(\nabla \mathbf{u})).$$

It is our assumption that

$$\hat{W}(\mathbb{0}) = 0, \hat{W}(\mathbb{E}) > 0 \text{ if } \mathbb{E} \neq \mathbb{0},$$

and also that

$$\mathbb{C}(\nabla \mathbf{u}) = \mathbf{0}.$$

Using Taylor expansion, we can write

$$\hat{W}(\mathbf{e}(\mathbf{u})) = \hat{W}(\mathbb{0}) + \frac{\partial \hat{W}}{\partial \mathbf{e}}(\mathbb{0})\mathbf{e}(\mathbf{u}) + \frac{1}{2} \frac{\partial^2 \hat{W}}{\partial \mathbf{e}^2}(\mathbb{0})\mathbf{e}(\mathbf{u})\mathbf{e}(\mathbf{u}) + \text{h.o.t.}$$

Since $\hat{W}(\mathbb{0}) = \frac{\partial \hat{W}}{\partial \mathbf{e}}(\mathbb{0}) = 0$ the above (formal) manipulation leads us to the definition

⁴All constant functionals are convex.

Definition 9 (Tensor of elastic constants).

$$\mathcal{C} = \frac{\partial^2 \hat{W}}{\partial \mathbf{e}^2}(\mathbf{0}), C_{ijkl} = \frac{\partial^2 \hat{W}}{\partial e_{ij} \partial e_{kl}}.$$

Remark. Since we assume \hat{W} is smooth, we have some symmetries, and from the general 81 components of C_{ijkl} only 21 are unique.

With the notion of a tensor of elastic constants, we can then write the stored energy density as

$$w(\mathbf{e}) = \frac{1}{2}(\mathcal{C}\mathbf{e}) : \mathbf{e}.$$

Following our definition $\mathbb{T} = \frac{\partial \hat{W}}{\partial \mathbb{F}}$ we see

$$\sigma = \frac{\partial w(\mathbf{e})}{\partial \mathbf{e}} = \mathbb{C}\mathbf{e}, \sigma_{ij} = C_{ijkl}e_{kl}.$$

Is a useful notion of stress. It is denoted as the *Cauchy stress*. or in components

$$\sigma_{ij} = C_{ijkl}e_{kl}.$$

6.1 Equations

Rewritting the equations in the linearized elasticity setting we obtain the system

$$\begin{aligned} -\nabla \cdot \sigma &= -\nabla \cdot (\mathbb{C}\mathbf{e}) = \mathbf{f} \text{ in } \Omega \\ \sigma \mathbf{n} &= \mathbf{g} \text{ on } \Gamma_N, \\ \mathbf{u} &= \mathbf{0} \text{ on } \Gamma_D. \end{aligned}$$

The weak formulation can be obtained as

$$\int_{\Omega} \frac{\partial}{\partial x_j} (C_{ijkl}e_{kl}) v_i \, d\mathbf{x} = \int_{\Omega} \mathbf{f} \cdot \mathbf{v} \, d\mathbf{x}, \forall \mathbf{v} \in W^{1,2}(\Omega; \mathbb{R}^3), u = 0 \text{ on } \Gamma_D,$$

so

$$\int_{\Omega} C_{ijkl}e_{kl} \frac{\partial v_i}{\partial x_j} \, d\mathbf{x} - \int_{\partial\Omega} C_{ijkl}e_{kl} v_i n_j \, dS = \int_{\Omega} \mathbf{f} \cdot \mathbf{v} \, d\mathbf{x},$$

which can be rewritten as

$$\underbrace{\int_{\Omega} \mathbb{C}\mathbf{e}(\mathbf{u}) \cdot \mathbf{e}(\mathbf{v}) \, d\mathbf{x}}_{:=B(u,v)} = \underbrace{\int_{\Omega} \mathbf{f} \cdot \mathbf{v} \, d\mathbf{x} + \int_{\Gamma_N} \mathbf{g} \cdot \mathbf{v} \, dS}_{:=L(v)},$$

where we have denoted

$$\mathbf{e}(\mathbf{v}) = \text{sym}(\nabla \mathbf{v}).$$

We are looking for

$$u \in V = \{u \in W^{1,2}(\Omega; \mathbb{R}^3), \text{tr } u = 0 \text{ on } \Gamma_D\} : B(u, v) = L(v) \forall v \in V,$$

and to prove the existence, we will use the Lax-Milgram lemma. Show that

- $L \in V^*$

- $B : V \times V \rightarrow \mathbb{R}$ is V -bounded and V -coercive

Realize that in order to show the properties, we would have to be able to control $\nabla \mathbf{u}$ by $\text{sym}(\nabla \mathbf{u})$. Is that even possible?

Example. Let $u = 0$ on $\partial\Omega$. In particular, let us take $\mathbf{u} \in \mathcal{D}(\Omega; \mathbb{R}^n)$. Then

$$\exists C > 0 : \int_{\Omega} |\mathbf{e}(\mathbf{u})|^2 \, d\mathbf{x} \geq c \int_{\Omega} |\nabla \mathbf{u}|^2 \, d\mathbf{x}.$$

Can this hold? Make a quick test: Take \mathbf{u} such that $\mathbf{e}(\mathbf{u}) = 0$, so $\frac{1}{2} \left(\frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right) = 0$, so of course:

$$\nabla \mathbf{u} = -(\nabla \mathbf{u})^T,$$

and $\nabla \mathbf{u}$ must have the form

$$\nabla \mathbf{u} = \begin{bmatrix} 0 & \frac{\partial u_1}{\partial x_2} \\ \frac{\partial u_2}{\partial x_1} & 0 \end{bmatrix},$$

where $\frac{\partial u_1}{\partial x_1} = \frac{\partial u_2}{\partial x_2} = 0$, but since $\mathbf{u} = \mathbf{0}$ at the boundary, it also holds that $\mathbf{u} = \mathbf{0}$ in Ω . Okay, so that not disprove the above inequality.

Let us try something else (although unsure what this means):

$$\begin{aligned} \int_{\Omega} |\mathbf{e}(\mathbf{u})|^2 \, d\mathbf{x} &= \frac{1}{4} \int_{\Omega} \left(\frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right) \left(\frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right) \, d\mathbf{x} \\ &= \frac{1}{4} \int_{\Omega} \left(\frac{\partial u_i}{\partial x_j} \right)^2 + 2 \frac{\partial u_i}{\partial x_j} \frac{\partial u_j}{\partial x_i} + \left(\frac{\partial u_j}{\partial x_i} \right)^2 \, d\mathbf{x} = \frac{1}{2} \int_{\Omega} \left(\frac{\partial u_i}{\partial x_j} \right)^2 + \frac{\partial u_i}{\partial x_j} \frac{\partial u_j}{\partial x_i} \, d\mathbf{x}, \end{aligned}$$

where we used the symmetry property. Integrating by parts two times to obtain ${}^{\text{5}}\partial_i u_i \partial_j u_j = (\partial_j u_j)^2$ ⁵. All in all

$$\frac{1}{2} \int_{\Omega} \left(\frac{\partial u_i}{\partial x_j} \right)^2 + \left(\frac{\partial u_i}{\partial x_i} \right)^2 \, d\mathbf{x} \geq 0.$$

Theorem 5 (Korn's inequality). *Let $\Omega \subset \mathbb{R}^n$ be bounded Lipschitz domain ($\Omega \in C^{0,1}$). Then there exists $C > 0$ such that $\forall \mathbf{u} \in W^{1,2}(\Omega; \mathbb{R}^n)$ it holds*

$$\left(\|\mathbf{e}(\mathbf{u})\|_{L_2(\Omega; \mathbb{R}^{n \times n})}^2 + \|\mathbf{u}\|_{L_2(\Omega; \mathbb{R}^n)}^2 \right) \geq c \|\mathbf{u}\|_{W^{1,2}(\Omega; \mathbb{R}^n)}.$$

Definition 10 (Axial vectors). Let $\mathbb{A} = -\mathbb{A}^T, \mathbb{A} \in \mathbb{R}^{n \times n}$. Then there is $\mathbf{b} \in \mathbb{R}^n$ such that $\mathbb{A} \mathbf{v} = \mathbf{b} \times \mathbf{v}, \forall \mathbf{v} \in \mathbb{R}^n$. The vector \mathbf{b} is called the axial vector of \mathbb{A} .

Remark (\mathbb{R}^n). This truly holds in \mathbb{R}^n , not only in \mathbb{R}^3 . We only have to replace \times by \wedge , the outer product.

Assume that $\mathbf{u} \in C^2(\Omega; \mathbb{R}^3)$. Then

$$\frac{\partial^2 u_i}{\partial x_j \partial x_k} = \frac{\partial e_{ik}}{\partial x_j}(\mathbf{u}) + \frac{\partial e_{ij}}{\partial x_k}(\mathbf{u}) - \frac{\partial e_{jk}}{\partial x_i}(\mathbf{u}).$$

⁵Sign does not change as we integrate 2 times. Also, we have homogenous Dirichlet

If now $\mathbf{e}(\mathbf{u}) = \mathbf{0}$, then \mathbf{u} is an affine function, because $\frac{\partial^2 u_i}{\partial x_j \partial x_k}, \forall i, j, k \in \{1, 2, 3\}$.

⁶ It must thus hold

$$u_i(x) = a_i + b_{ij}x_j,$$

and $\frac{\partial u_i}{\partial x_j} = b_{ij} = -b_{ji}$, because $\mathbf{e}(\mathbf{u}) = \mathbf{0}$, so it must be skew symmetric. The skew-symmetry also means it can be written

$$\mathbf{u}(\mathbf{x}) = \mathbf{a} + \mathbf{d} \times \mathbf{x}.$$

If additionally we assume that $\mathbf{u} = \mathbf{0}$ on some $\Gamma_D \subset \partial\Omega, \mathcal{H}(\Gamma_D) > 0$ and $\mathbf{u}(\mathbf{x}) = \mathbf{a} + \mathbf{d} \times \mathbf{x}$, then $\mathbf{u} = \mathbf{0}$ identically in Ω . This moreover means that

$$\mathbf{u} \mapsto \|\mathbf{e}(\mathbf{u})\|_{L_2((\Omega; \mathbb{R}^{n \times n}))}$$

is a norm on

$$V = \{\mathbf{w} \in W^{1,2}((\Omega; \mathbb{R}^3)), \mathbf{w} = \mathbf{0} \text{ on } \Gamma_D\}$$

which is equivalent to the norm of $W^{1,2}((\Omega; \mathbb{R}^3))$.

Coming back to our equation $B(u, v) = L(v), \forall v \in V$, we have showed everything to use Lax-Milgram $\Rightarrow \exists! u \in V$. This also means the functional

$$J(\mathbf{v}) = \frac{1}{2} \int_{\Omega} (\mathbb{C}\mathbf{e}(\mathbf{v}) : \mathbf{e}(\mathbf{v}) - L(\mathbf{v})) \, d\mathbf{x}, \forall \mathbf{v} \in V.$$

has an unique minimizer.

6.2 Convex analysis

We will deal with the analysis of the functions $f : \mathbb{R}^n \rightarrow \mathbb{R} \cup \{+\infty\}$, f is convex.

Definition 11 (Epigraph of a set). The epigraph of a function f is the set

$$\text{epi } f = \{(x, y) : y \geq f(x)\}$$

Remark. With the notion of $\text{epi } f$ we can work with sets instead of functions. Moreover, it holds

- $\text{epi } f$ is closed $\Leftrightarrow f$ is lower-semicontinuous,
- f is convex $\Leftrightarrow \text{epi } f$ is convex

From one of the consequences of Hahn-Banach theorem (oddělovací věty), we obtain the existence of such $\xi \in \mathbb{R}^n$ (dependent of x) that for fixed x it yields

$$f(z) \geq f(x) + \xi \cdot (z - x), \forall z \in \mathbb{R}^n.$$

If f is differentiable at x , then

$$\xi = \nabla f(x).$$

But in general it does not have to be differentiable. This motivates the following definition

⁶Recall that Ω is simply connected.

Definition 12 (Subgradient, subdifferential). The function $\xi(x)$ such that

$$f(z) \geq f(x) + \xi(x) \cdot (z - x), \forall z \in \mathbb{R}^n,$$

is called the **subgradient** of f at x . The set of all subgradients of f at x is called the **subdifferential** of f at x and it is denoted $\partial f(x)$.

Let $f : \mathbb{R}^{n \times m} \rightarrow \mathbb{R} \cup \{\infty\}$, convex and lower semicontinuous⁷, $f \neq \infty$. The function $\xi(\mathbb{X})$ such that

$$f(\mathbb{Y}) \geq f(\mathbb{X}) + \xi(\mathbb{X}) \cdot (\mathbb{Y} - \mathbb{X}), \forall \mathbb{Y} \in \mathbb{R}^{n \times m},$$

is called the subgradient of f at \mathbb{X} . The set of all subgradients of f at \mathbb{X} is called the subdifferential and denoted $\partial f(\mathbb{X})$.

Remark. • If $\partial f(\mathbb{X})$ is a singleton, then $\nabla f(\mathbb{X})$ exist.

- $\partial f(\mathbb{X})$ is convex
- $0 \in \partial f(x) \forall x \in \mathbb{R}^n$ is a condition for the minimizer.

Definition 13 (Indicator function). Let $K \subset \mathbb{R}^{n \times m}$ be a closed convex nonempty set. The function $I_K(\mathbb{X})$ given as

$$I_K(\mathbb{X}) = \begin{cases} 0, & \text{if } \mathbb{X} \in K \\ +\infty, & \text{otherwise} \end{cases},$$

is called the indicator function of K

The indicator function is helpful for constraint minimization. If f is reasonably (at least finitely valued on K) then it holds:

$$\min_K f = \min_{\mathbb{R}^{n \times m}} (f + I_K).$$

Example (Unit interval). Let $K = [0, 1]$. What is $\partial I_K(x)$?

If $x \in (0, 1)$, then $I_K(x) = 0$ so the only ξ such that $I_K(y) \geq 0 + \xi(y - x)$ holds is $\xi = 0$.

If $x = 0, x = 1$ then $\partial I_K(0) = (-\infty, 0], \partial I_K(1) = [0, \infty)$. This resembles a normal "vector", but in fact it is not a single vector and more a "cone" of vectors.

Definition 14 (Normal cone to a set). Let K be closed convex nonempty set. The subdifferential of the indicator function I_K is called the normal cone to the set K and it is denoted by N_K .

Example. Minimize x^2 on $[1, 2]$. We are looking for

$$\min_{[1,2]} x^2 = \min_{\mathbb{R}} (x^2 + I_{[1,2]}(x)).$$

It must hold at the minimum

$$0 \in \partial(x^2 + I_{[1,2]}(x)) \Leftrightarrow -\partial I_{[1,2]}(x) \subset \partial x^2 \Leftrightarrow (x^2)' \in -N_{[1,2]}(x)$$

⁷ $f(x) \leq \liminf_{k \rightarrow \infty} f(x_k), x_k \rightarrow x$

Example. Take a square $K = [0, 1] \times [0, 1] \subset \mathbb{R}^2$. We know $K \in C^{0,1}$ so the outter normal exist at a.a. points on the boundary. The outter normal does not exist in the corners, but the normal cone does.

Definition 15 (Fenchel (convex) conjugate — Legendre transformation). Let x^* be a slope i have chosen (it is given). I require

$$f(x) \geq x^* \cdot x - k, \forall x \in \mathbb{R}^{n \times m},$$

which means $k \geq x^* \cdot x - f(x), \forall x \in \mathbb{R}^{n \times m}$, and so we can define

$$f^*(x^*) := \sup_{x \in \mathbb{R}^{n \times m}} (x^* \cdot x - f(x)).$$

Remark. f^* is always convex even if f is not. But when f is convex and lower-semicontinuous, then

$$f^{**} = f, \text{ (biconjugate).}$$

Theorem 6 (Fenchel identity). *Let $x^* \in \partial f(x)$. Then*

$$x^* \cdot x = f(x) + f^*(x^*).$$

Proof. Let us assume that $x^* \in \partial f(x)$. Then it must hold

$$f(y) \geq f(x) + x^* \cdot (y - x), \forall y,$$

so

$$x^* \cdot x - f(x) \geq x^* \cdot y - f(y),$$

and taking the supremum over y yields⁸

$$x^* \cdot x - f(x) = \sup_y (x^* \cdot y - f(y)) = f^*(x^*).$$

We have thus obtained

$$x^* \cdot x = f(x) + f^*(x^*).$$

□

Remark (Minimization of $f \Leftrightarrow$ minimization of f^*). We see that it holds:

$$x^* \in \partial f(x) \Leftrightarrow x \in \partial f^*(x^*).$$

6.3 Problem of a man...

Assume a person is pulling a box of weight m of weight m of weight m of weight m by a spring. If he is pulling just a little, the box does not move, only the spring is deformed - but in a reversible, elastic way. To move the box, the man needs to pull at least with the force $\sigma_0 = mgc$, where c is some friction coefficient. When he us pulling with force greater than σ_0 , the box is moving and does not require any extra force to be moved (the system to be deformed). The deformation can be decomposed as

$$\mathbb{e} = \tilde{\mathbb{e}} + \mathbb{p},$$

where \mathbb{e} is the total strain, $\tilde{\mathbb{e}}$ is the elastic strain and \mathbb{p} is the plastic strain.

⁸The inequality becomes equality, as it can be reached by taking $y = x$.

6.4 von Mises elastoplasticity

The elasticity part is described as

$$\begin{cases} -\nabla \cdot \sigma = \mathbf{f}, & \text{in the bulk} \\ \sigma \mathbf{n} = \mathbf{g}, & \text{on the boundary} \end{cases},$$

with some constitutive relation $\sigma = \mathcal{C}\tilde{\epsilon} = \mathcal{C}(\epsilon - \mathbb{p})$. What about the plastic part?

$$\begin{cases} \dot{\mathbb{p}}(t) \in N_K(\sigma), \\ \mathbb{p}(0) = \mathbb{p}_0, \end{cases}$$

where K is a convex closed subset such that $0 \in K$. This means that the plastic deformation is zero inside K , i.e. for some stresses.

Remark. Very often, the deformation is considered "incompressible", i.e.,

$$\det \mathbb{F} = 1,$$

which in linear case translates into

$$\text{tr } \epsilon = 0.$$

In most cases, the set K is given as

$$K = \{\sigma : \varphi(\sigma) \leq 0\},$$

where φ is the **yield function**. The set

$$\{\sigma | \varphi(\sigma) = 0\}$$

is called the **yield surface**. Very often we have

$$\varphi(\sigma) = |\sigma^D| - c_0,$$

where $|\cdot|$ denotes the Frobenius norm and

$$\sigma^D = \sigma - \frac{1}{3}(\text{tr } \sigma)\mathbb{I},$$

is the *deviatoric part of the stress tensor*.

6.4.1 Plastic evolution

From the previous we have

$$\dot{\mathbb{p}} = \begin{cases} 0, & \text{if } \varphi(\sigma) < 0, \\ \frac{\lambda}{|\sigma^D|} \sigma^D, & \text{if } \varphi(\sigma) = 0, \lambda \geq 0 \end{cases}.$$

Also $\dot{\mathbb{p}} \in N_K(\sigma) = \partial I_K(\sigma)$ so

$$\sigma \in \partial I_K^*(\dot{\mathbb{p}}),$$

where

$$I_K^*(\dot{\mathbb{p}}) = \sup_{\mathbf{q} \in \mathbb{R}^{3 \times 3}} (\dot{\mathbb{p}} : \mathbf{q} - I_K(\mathbf{q})) = \sup_{\mathbf{q} \in K} \dot{\mathbb{p}} : \mathbf{q},$$

is the Fenchel transformation of I_K , also called the **supporting function** of $\dot{\mathbb{p}}$. We are able to rewrite the supremum to take the form⁹

$$I_K^*(\dot{\mathbb{p}}) = \dot{\mathbb{p}} : \frac{c_0}{|\dot{\mathbb{p}}|} \dot{\mathbb{p}},$$

if however the second term lies in K . Realize now that if $\text{tr } \dot{\mathbb{p}} = 0$ then

$$I_K^*(\dot{\mathbb{p}}) = c_0 |\dot{\mathbb{p}}|,$$

and if $\text{tr } \dot{\mathbb{p}} \neq 0$, then $I_K^*(\dot{\mathbb{p}}) = +\infty$. If we now define the **dissipation potential** D as

$$D(\dot{\mathbb{p}}) = \begin{cases} c_0 |\dot{\mathbb{p}}|, & \text{if } \text{tr } \dot{\mathbb{p}} = 0 \\ +\infty, & \text{otherwise} \end{cases},$$

we get the following condition

$$\sigma \in \partial D(\dot{\mathbb{p}}).$$

Let us summarise a bit. For the stress tensor we have $\sigma = \mathcal{C}(\mathbb{e} - \mathbb{p}) \in D(\dot{\mathbb{p}})$. The general relation also yields $\sigma = \frac{\partial w(\tilde{\mathbb{e}})}{\partial \tilde{\mathbb{e}}} = \frac{\partial w(\mathbb{e} - \mathbb{p})}{\partial \tilde{\mathbb{e}}}$, where $w(\tilde{\mathbb{e}}) = \frac{1}{2} C \tilde{\mathbb{e}} : \tilde{\mathbb{e}}$ is the free energy density. Using the chain rule we obtain the condition

$$\frac{\partial w(\mathbb{e} - \mathbb{p})}{\partial \mathbb{p}} \in \partial D(\dot{\mathbb{p}}).$$

In total, we are solving the following system

$$\begin{cases} 0 \in \frac{\partial w(\mathbb{e} - \mathbb{p})}{\partial \mathbb{p}} + \partial D(\dot{\mathbb{p}}), & \text{in } \Omega \text{ (flow rule)} \\ \mathbb{p}(0) = \mathbb{p}_0, & \text{in } \Omega \\ -\nabla \cdot (\mathcal{C}(\mathbb{e} - \mathbb{p})) = \mathbf{f}, & \text{in } \Omega \\ \text{boundary conditions,} & \text{on } \partial\Omega \end{cases}.$$

How to solve the system?

6.4.2 Discrete time setting

Let us take $t \in [0, T]$ and fix $\tau = \frac{T}{N}$, $N \in \mathbb{N}$ for some $N \gg 1$. Assume that using some discrete scheme, we are able to calculate \mathbb{p} at a certain time. Then we must solve

$$\begin{cases} 0 \in \frac{\partial w(\mathbb{e} - \mathbb{p})}{\partial \mathbb{p}} + \partial D\left(\frac{\mathbb{p} - \mathbb{p}_{k-1}}{\tau}\right), & \text{in } \Omega \\ -\nabla \cdot (\mathcal{C}(\mathbb{e}_k - \mathbb{p}_k)) = \mathbf{f}_k, & \text{in } \Omega \end{cases}.$$

Which are the E-L equations of the functional¹⁰

$$I(\mathbf{u}, \mathbb{p}) = \int_{\Omega} w(\mathbb{e}(\mathbf{u}) - \mathbb{p}) \, dx + \tau \int_{\Omega} D\left(\frac{1}{\tau}(\mathbb{p} - \mathbb{p}_{k-1})\right) \, dx - \int_{\Omega} \mathbf{f}_k \cdot \mathbf{u} \, dx - \int_{\Gamma_N} \mathbf{g}_k \cdot \mathbf{u} \, dS.$$

Really, taking the variaton with respect to \mathbf{u} gives us

$$-\nabla \cdot (\mathcal{C}(\mathbb{e}_k - \mathbb{p}_k)) = \mathbf{f}_k,$$

⁹To utilize Cauchy-Schwarz later.

¹⁰We have guessed it.

and the variation with respect to \mathbb{p} gives us

$$0 \in -\sigma + \partial D\left(\frac{1}{\tau}(\mathbb{p} - \mathbb{p}_{k-1})\right).$$

If we want to minimize this functional, *i.e.*, solve the equations, it must hold ¹¹ $D(\mathfrak{q}) \neq +\infty$ (for \mathfrak{q} being the argument). From our assumptions on the dissipation potential this however implies.

$$D(\mathfrak{q}) = c_0|\mathfrak{q}|, \text{tr } \mathfrak{q} = 0,$$

and we say the evolution is **rate-independent**. We see that D is 1-homogenous:

$$D(\alpha\mathfrak{q}) = \alpha D(\mathfrak{q}).$$

Rewritting the functional now yields:

$$I(\mathbf{u}, \mathbb{p}) = \frac{1}{2} \int_{\Omega} \mathcal{C}(\mathfrak{e}(\mathbf{u}) - \mathbb{p}) : (\mathfrak{e}(\mathbf{u}) - \mathbb{p}) \, dx + \int_{\Omega} c_0 |\mathbb{p} - \mathbb{p}_{k-1}| \, dx - L_k(\mathbf{u}), \mathbb{p}(0) = \mathbb{p}_0,$$

where $L_k(\mathbf{u})$ is the loading (at the k -th time step.) The sought solution is the pair $(\mathbf{u}_k, \mathbb{p}_k)$ which satisfies

$$I(\mathbf{u}_k, \mathbb{p}_k) = \min_{\mathbf{u}, \mathbb{p}} I(\mathbf{u}, \mathbb{p}).$$

6.5 Rheological models

6.5.1 Dashpots

Or *tlumič* in Czech. The stress is assumed to take the form

$$\sigma = \mathcal{D}\dot{\mathfrak{e}}(\nabla \mathbf{u}), \sigma_{ij} = D_{ijkl}\dot{e}_{kl}(\nabla \mathbf{u}),$$

where \mathcal{D} is the **tensor of viscosity constants**. ¹²

6.5.2 Kelvin-Voigt mateial

The response of some materials can be modelled as a "parallel composition of a spring and a dashpot." Then, the total stress is

$$\sigma = \sigma_p + \sigma_e,$$

that is the sum of the plastic and the elastic stresses. The strain is of course the same:

$$\mathfrak{e} = \mathfrak{e}_p = \mathfrak{e}_e.$$

The governing equations thus are

¹¹If not, we have no chance of minimizing it.

¹²People say viscosity stresses or viscous stress. This is used, but nonetheless it is wrong.

$$\begin{aligned}
-\nabla \cdot (\mathcal{C}\mathbf{e}(\mathbf{u}) + \mathcal{D}\dot{\mathbf{e}}(\mathbf{u})) &= \mathbf{f}, \text{ in } \Omega \\
(\mathcal{C}\mathbf{e} + \mathcal{D}\dot{\mathbf{e}})\mathbf{n} &= \mathbf{0}, \text{ on } \Gamma_N \\
\mathbf{u} &= \mathbf{0}, \text{ on } \Gamma_D \\
\mathbf{e}(t=0) &= \mathbf{e}_0, \text{ in } \Omega.
\end{aligned}$$

Let us obtain the energy *formally* balance. As usual, multiply the first equation by $\dot{\mathbf{u}}$ and integrate $\int_{\Omega} d\mathbf{x}$.

$$\int_{\Omega} -\nabla \cdot (\mathcal{C}\mathbf{e} + \mathcal{D}\dot{\mathbf{e}}) \cdot \dot{\mathbf{u}} d\mathbf{x} = \int_{\Omega} \mathbf{f} \cdot \dot{\mathbf{u}} d\mathbf{x},$$

using Gauss

$$\int_{\Omega} (\mathcal{C}\mathbf{e} + \mathcal{D}\dot{\mathbf{e}}) : \nabla \dot{\mathbf{u}} d\mathbf{x} = \int_{\Omega} \mathbf{f} \cdot \dot{\mathbf{u}} d\mathbf{x} = {}^{13} \int_{\Omega} \mathcal{C}\mathbf{e} : \dot{\mathbf{e}} d\mathbf{x} + \int_{\Omega} \mathcal{D}\dot{\mathbf{e}} : \dot{\mathbf{e}} d\mathbf{x} = \int_{\Omega} \mathbf{f} \cdot \mathbf{u} d\mathbf{x},$$

and now we rewrite

$$= \int_{\Omega} \frac{d}{dt} \left(\frac{1}{2} \mathcal{C}\mathbf{e}(\mathbf{u}) : \mathbf{e}(\mathbf{u}) \right) d\mathbf{x} + \int_{\Omega} \mathcal{D}\dot{\mathbf{e}}(\mathbf{u}) : \dot{\mathbf{e}}(\mathbf{u}) d\mathbf{x} = \int_{\Omega} \mathbf{f} \cdot \dot{\mathbf{u}} d\mathbf{x},$$

and integrate in time:

$$\int_0^T \int_{\Omega} \frac{d}{dt} \left(\frac{1}{2} \mathcal{C}\mathbf{e}(\mathbf{u}) : \mathbf{e}(\mathbf{u}) \right) d\mathbf{x} dt + \int_0^T \int_{\Omega} \mathcal{D}\dot{\mathbf{e}}(\mathbf{u}) : \dot{\mathbf{e}}(\mathbf{u}) d\mathbf{x} dt = \int_0^T \int_{\Omega} \mathbf{f} \cdot \dot{\mathbf{u}} d\mathbf{x} dt.$$

Remember that

$$w(\mathbf{e}(\mathbf{u})) = \frac{1}{2} \mathcal{C}\mathbf{e}(\mathbf{u}) : \mathbf{e}(\mathbf{u}),$$

so we have obtained

$$\int_{\Omega} w(\mathbf{e}(\mathbf{u}(T))) d\mathbf{x} - \int_{\Omega} w(\mathbf{e}(\mathbf{u}(0))) d\mathbf{x} + \int_0^T \int_{\Omega} \mathcal{D}\dot{\mathbf{e}}(\mathbf{u}) : \dot{\mathbf{e}}(\mathbf{u}) d\mathbf{x} dt = \int_0^T \int_{\Omega} \mathbf{f} \cdot \dot{\mathbf{u}} d\mathbf{x} dt.$$

6.5.3 Maxwell material

This is the case when we "put the spring and the dashpot in serial composition". The total stress is

$$\sigma = \sigma_p = \sigma_e,$$

and the total strain is

$$\varepsilon = \varepsilon_p + \varepsilon_e.$$

6.6 Internal parameters

A lot of materials can be described using some internal parameters \mathbf{z} (scalars, vectos, tensors; we take the tensor case for generality); for example, plastic strain, fatigue, damage, length of a crack, delamination.

The model

$$\sigma = \partial_{\varepsilon} \zeta(\dot{\varepsilon}, \dot{\mathbf{z}}) + \partial_{\mathbf{e}} w(\mathbf{e}, \mathbf{z}),$$

¹³It holds $\dot{\mathbf{e}}(\mathbf{u}) = \mathbf{e}(\dot{\mathbf{u}})$.

with the flow rule

$$0 \in \partial_{\dot{\mathbf{z}}} \zeta(\dot{\mathbf{e}}, \dot{\mathbf{z}}) + \partial_{\mathbf{z}} \varphi(\mathbf{e}, \mathbf{z}).$$

is called the **generalized Kelvin-Voigt** model/material. From now on, we will be using φ for the stored energy density. There is some analogy:

- φ is the stored energy density = potential of stress
- ζ is the (pseudo)potential of dissipative forces.

To do anything, we need to obtain some energy balance, so test by $\dot{\mathbf{u}}$. Investigate the terms:

$$\sigma : \dot{\mathbf{e}} = \partial_{\dot{\mathbf{e}}} \zeta(\dot{\mathbf{e}}, \dot{\mathbf{z}}) : \dot{\mathbf{e}} + \partial_{\mathbf{e}} \varphi(\mathbf{e}, \mathbf{z}) : \dot{\mathbf{e}},$$

realize now that from the flow rule it follows

$$(\partial_{\dot{\mathbf{z}}} \zeta(\dot{\mathbf{e}}, \dot{\mathbf{z}}) + \partial_{\mathbf{z}} \varphi(\mathbf{e}, \mathbf{z})) : \dot{\mathbf{z}} = 0,$$

so i can add it to the previous term and obtain

$$\sigma : \dot{\mathbf{e}} = \partial_{\dot{\mathbf{e}}} \zeta(\dot{\mathbf{e}}, \dot{\mathbf{z}}) : \dot{\mathbf{e}} + \partial_{\mathbf{e}} \varphi(\mathbf{e}, \mathbf{z}) : \dot{\mathbf{e}} + \partial_{\dot{\mathbf{z}}} \zeta(\dot{\mathbf{e}}, \dot{\mathbf{z}}) : \dot{\mathbf{z}} + \partial_{\mathbf{z}} \varphi(\mathbf{e}, \mathbf{z}) : \dot{\mathbf{z}} = 0,$$

Realize now that we have obtained

$$\partial_{\mathbf{e}} \varphi(\mathbf{e}, \mathbf{z}) \dot{\mathbf{e}} + \partial_{\mathbf{z}} \varphi(\mathbf{e}, \mathbf{z}) = \frac{d}{dt} \varphi(\mathbf{e}, \mathbf{z}),$$

and denoting the quantity

$$\xi(\dot{\mathbf{e}}, \dot{\mathbf{z}}) := \partial_{\dot{\mathbf{e}}} \zeta(\dot{\mathbf{e}}, \dot{\mathbf{z}}) : \dot{\mathbf{e}} + \partial_{\dot{\mathbf{z}}} \zeta(\dot{\mathbf{e}}, \dot{\mathbf{z}}) : \dot{\mathbf{z}},$$

as the *rate of the dissipation* we obtain

$$\sigma : \dot{\mathbf{e}} = \frac{d}{dt} \varphi(\mathbf{e}, \mathbf{z}) + \xi(\dot{\mathbf{e}}, \dot{\mathbf{z}}).$$

What are the properties of ξ ? First of all, we require

$$\xi \geq 0.$$

Assume ζ is a convex function:

$$\zeta(0, 0) \geq \zeta(\dot{\mathbf{e}}, \dot{\mathbf{z}}) + \partial_{\dot{\mathbf{e}}} \zeta(\dot{\mathbf{e}}, \dot{\mathbf{z}}) : (-\dot{\mathbf{e}}) + \partial_{\dot{\mathbf{z}}} \zeta(\dot{\mathbf{e}}, \dot{\mathbf{z}}) : (-\dot{\mathbf{z}}).$$

Moreover, assume now $\zeta(0, 0) = 0$. We have

$$\xi(\dot{\mathbf{e}}, \dot{\mathbf{z}}) = \partial_{\dot{\mathbf{e}}} \zeta(\dot{\mathbf{e}}, \dot{\mathbf{z}}) : \dot{\mathbf{e}} + \partial_{\dot{\mathbf{z}}} \zeta(\dot{\mathbf{e}}, \dot{\mathbf{z}}) : \dot{\mathbf{z}} \geq \zeta(\dot{\mathbf{e}}, \dot{\mathbf{z}}) \geq 0.$$

Finally, the total power balance becomes

$$\int_{\Omega} \frac{1}{2} \frac{d}{dt} \rho |\dot{\mathbf{u}}|^2 dx + \int_{\Omega} \frac{d}{dt} \varphi(\mathbf{e}, \mathbf{z}) dx + \int_{\Omega} \xi(\dot{\mathbf{e}}, \dot{\mathbf{z}}) dx = \int_{\Omega} \mathbf{f} \cdot \dot{\mathbf{u}} dx,$$

and the total energy balance becomes

$$\int_{\Omega} \frac{1}{2} \rho (|\dot{\mathbf{u}}(T)|^2 - |\dot{\mathbf{u}}(0)|^2) dx + \int_{\Omega} (\varphi(\mathbf{e}(T), \mathbf{z}(T)) - \varphi(\mathbf{e}(0), \mathbf{z}(0))) dx + \int_0^T \int_{\Omega} \xi(\dot{\mathbf{e}}, \dot{\mathbf{z}}) dx dt = \int_0^T \int_{\Omega} \mathbf{f} \cdot \dot{\mathbf{u}} dx dt.$$

7 Thermodynamics in the framework of GSM (generalized standard materials)

Having obtained some knowledge of thermodynamical quantities, we are ready to generalize the theory. We will see that the evolution of a specimen can be acquired by the knowledge of the stored energy density ψ and the dissipation "potential" ζ

Denote

$$\psi = \psi(\mathbf{e}, \mathbf{z}, \theta), \zeta = \zeta(\dot{\mathbf{e}}, \dot{\mathbf{z}})$$

to be the stored energy and the dissipation potential. Here $\theta > 0$ denotes the absolute thermodynamic temperature. Let us denote

$$\sigma_{el} = \frac{\partial \psi}{\partial \mathbf{e}}, \sigma_{in} = \frac{\partial \psi}{\partial \mathbf{z}}, s = -\frac{\partial \psi}{\partial \theta},$$

as the elastic and inelastic stress and the entropy density. Moreover, define

$$w(\mathbf{e}, \mathbf{z}, \theta, s) = \psi(\mathbf{e}, \mathbf{z}, \theta) + \theta s$$

as the **internal energy density**. If we calculate the time derivative of the internal energy density we obtain:

$$\dot{w} = \frac{\partial}{\partial t}(\psi(\mathbf{e}, \mathbf{z}, \theta) + \theta s) = \frac{\partial \psi}{\partial \mathbf{e}} : \dot{\mathbf{e}} + \frac{\partial \psi}{\partial \mathbf{z}} : \dot{\mathbf{z}} + \underbrace{\frac{\partial \psi}{\partial \theta} \dot{\theta} + \dot{\theta} s + \theta \dot{s}}_{=-s\dot{\theta} + \dot{\theta}s=0}.$$

We postulate:

$$\dot{w} = \sigma_{el} : \dot{\mathbf{e}} + \sigma_{in} : \dot{\mathbf{z}} + \xi(\dot{\mathbf{e}}, \dot{\mathbf{z}}) - \nabla \cdot \mathbf{j},$$

where \mathbf{j} is the heat flux. From this postulate, we obtain

$$\xi(\dot{\mathbf{e}}, \dot{\mathbf{z}}) = \theta \dot{s} + \nabla \cdot \mathbf{j}. \quad (14)$$

A common modelling choice is the dependency

$$\mathbf{j} = \mathbf{j}(\theta, \mathbf{e}, \mathbf{z}, \nabla \theta) = -\mathbb{K}(\mathbf{e}, \mathbf{z}, \theta) \nabla \theta,$$

known as the *Fourier law*. Here

$$\mathbb{K} \in \{\mathbb{A} \in \mathbb{R}^{3 \times 3} | \mathbb{A} > 0\},$$

is the *matrix of heat flux coefficients*. This is a classical example of a constitutive law.

$$\begin{aligned} \frac{d}{dt} \int_{\Omega} s(t, \mathbf{x}) d\mathbf{x} &= \int_{\Omega} \frac{1}{\theta} (\xi - \nabla \cdot \mathbf{j}) d\mathbf{x} = \int_{\Omega} \frac{\xi}{\theta} d\mathbf{x} + \int_{\Omega} \frac{\nabla \cdot (\mathbb{K} \nabla \theta)}{\theta} d\mathbf{x} = \\ &= \int_{\partial \Omega} \frac{\mathbb{K} \nabla \theta}{\theta} \cdot \mathbf{n} dS - \int_{\Omega} \mathbb{K} \nabla \theta \cdot \nabla \left(\frac{1}{\theta} \right) d\mathbf{x} + \int_{\Omega} \frac{\xi}{\theta} d\mathbf{x} = \\ &= \int_{\Omega} \left(\frac{\xi}{\theta} + \frac{\mathbb{K} \nabla \theta \cdot \nabla \theta}{\theta^2} \right) d\mathbf{x} - \int_{\partial \Omega} \frac{\mathbf{j}}{\theta} \cdot \mathbf{n} dS. \end{aligned}$$

This relation is known as the *Clausius-Duhem inequality*.¹⁴

From the definition of s

$$s = -\frac{\partial\psi}{\partial\theta}(\theta, \mathfrak{e}, \mathbf{z}),$$

it follows

$$\dot{s} = -\frac{\partial^2\psi}{\partial\theta^2}\dot{\theta} - \frac{\partial^2\psi}{\partial\theta\partial\mathfrak{e}} : \dot{\mathfrak{e}} - \frac{\partial^2\psi}{\partial\theta\partial\mathbf{z}} : \dot{\mathbf{z}},$$

and so

$$\theta\dot{s} = \underbrace{-\frac{\partial^2\psi}{\partial\theta^2}\dot{\theta}}_{:=C_V} - \frac{\partial^2\psi}{\partial\theta\partial\mathfrak{e}} : (\dot{\mathfrak{e}}\theta) - \frac{\partial^2\psi}{\partial\theta\partial\mathbf{z}} : (\dot{\mathbf{z}}\theta) = C_V\dot{\theta} - \frac{\partial^2\psi}{\partial\theta\partial\mathfrak{e}} : (\dot{\mathfrak{e}}\theta) - \frac{\partial^2\psi}{\partial\theta\partial\mathbf{z}} : (\dot{\mathbf{z}}\theta),$$

where we have identified

$$C_V = -\theta\frac{\partial^2\psi}{\partial\theta^2},$$

as the *heat capacity at the constant volume*. Coming back to 14, we read

$$C_V\dot{\theta} + \nabla \cdot \mathbf{j} = \xi(\dot{\mathfrak{e}}, \dot{\mathbf{z}}) + \theta\frac{\partial^2\psi}{\partial\theta\partial\mathfrak{e}} : \dot{\mathfrak{e}} + \theta\frac{\partial^2\psi}{\partial\theta\partial\mathbf{z}} : \dot{\mathbf{z}}.$$

This is our *heat equation*, the right hand side are the sources. We could identify the derivatives of the potential with lets say some derivative of σ_{el} , but let us keep the "thermodynamics and mechanics separated."; although it does not really make sense. In total

$$\begin{aligned} C_V\dot{\theta} - \nabla \cdot (\mathbb{K}\nabla\theta) &= \xi + \theta\frac{\partial^2\psi}{\partial\theta\partial\mathfrak{e}} : \dot{\mathfrak{e}} + \theta\frac{\partial^2\psi}{\partial\theta\partial\mathbf{z}} : \dot{\mathbf{z}}, \\ \rho\ddot{\mathbf{u}} - \nabla \cdot (\sigma_{el} + \sigma_{in}) &= \mathbf{f}, \\ 0 &\in \partial_{\dot{\mathbf{z}}}\zeta(\dot{\mathfrak{e}}, \dot{\mathbf{z}}) + \partial_{\mathbf{z}}\psi(\mathfrak{e}, \mathbf{z}, \theta), \end{aligned}$$

plus of course some initial and boundary conditions.

8 Summary

At the end, the lecture is summarized.

It began with deformation:

$$\mathbf{y} : \bar{\Omega} \rightarrow \mathbb{R}^3, \nabla\mathbf{y} = \mathbb{F}, \mathbb{C} = \mathbb{F}^\top\mathbb{F}, \det \mathbb{F} > 0.$$

and some quantities associated with these. A little excursion allowed as to define

$$W = W(\nabla\mathbf{y}) = W(\mathbb{F}),$$

to be the stored energy density. Note that later on, we have called it ψ . Coming back to deformation, we have defined various stress measures:

$$\mathbb{T}^y, \mathbb{T} = \mathbb{T}^y \operatorname{cof} \mathbb{F}, \mathbb{S} = \mathbb{F}^{-1}\mathbb{T}.$$

¹⁴Although inequality, there appears only the equality sign "=". I do not actually know what that means.

Wanting to show existence of solutions, we needed the convexity of some functionals. A problem with rotations however meant we needed to lower our expectations and we had to discover polyconvexity and rank-1 convexity. This included *e.g.* Legendre-Hadamard condition.

Realizing we are stuck in full theory, we began exploring linearized elasticity. To show existence, we refreshed the Korn's inequality. And because that all seemed easy, a question about time dependence has been asked: is everything truly stationary?

No, it is not; that lead us to von Mises elastoplasticity and to a class of materials, such as Kelvin-Voigt or Maxwell materials. Generalizing this framework and also including some internal variables, we have given the foundations of (the thermodynamics of) generalized standard materials: this was especially elegant, as from the Helmholtz free energy and the dissipation potential, we were able to derive evolution equations for the important thermodynamical quantities. This included some energy/power estimates, balances and the notion of entropy and its rate.

9 (Some) tutorials

9.1 Change of observer

The requirement of material frame indifference yields:

$$W = \hat{W}(\mathbb{F}) = \hat{W}(\mathbb{Q}\mathbb{F}), \forall \mathbb{Q} \in \text{orth}.$$

9.2 Change of reference configuration

The requirement of material symmetry yields:

$$W = \hat{W}(\mathbb{F}) = \hat{W}(\mathbb{F}\mathbb{P}), \forall \mathbb{P} \in \mathcal{G},$$

where \mathcal{G} is the symmetry group of the material.

9.3 Consequences of isotropic hyperelastic solid

Remark (Groups unim, orth). The "biggest sensible" symmetry group is the unimodular group:

$$\text{unim} = \{\mathbb{P}, \det \mathbb{P} = \pm 1\}.$$

There exists another common group:

$$\text{orth} \{ \mathbb{Q}, \mathbb{Q}\mathbb{Q}^\top = \mathbb{Q}^\top \mathbb{Q} = \mathbb{I} \} \subset \text{unim}.$$

We thus have $W = \hat{W}(\mathbb{F}) = \hat{W}(\mathbb{Q}\mathbb{F}) = \hat{W}(\mathbb{F}\mathbb{Q}), \forall \mathbb{Q} \in \text{orth}, \forall \mathbb{F}$.

Use *polar decomposition*: $\mathbb{F} = \mathbb{R}\mathbb{U} = \mathbb{V}\mathbb{R}, \mathbb{R} \in \text{orth}, \mathbb{U}, \mathbb{V}$ positively definite, $\mathbb{U} = \sqrt{\mathbb{C}}, \mathbb{V} = \sqrt{\mathbb{B}}$.

To make use of it, we first use m.f.i. and then isotropy and then first use isotropy and then m.f.i. So from material frame indifference

$$W = \hat{F}(\mathbb{F}) = \hat{W}(\mathbb{Q}\mathbb{F}) = \hat{W}(\mathbb{R}^\top \mathbb{R}\mathbb{U}) = \hat{W}(\mathbb{U}) = \bar{W}(\mathbb{C}),$$

where we have taken $\mathbb{Q} = \mathbb{R}^\top$. Note that this works universal (without the need of isotropy), as it comes from the objectivity consideration.

From isotropy

$$W = \hat{W}(\mathbb{F}) = \hat{W}(\mathbb{F}Q) = \overline{W}(\mathbb{C}) = \overline{W}((\mathbb{F}Q)^\top(\mathbb{F}Q)) = \overline{W}(Q^\top \mathbb{F}^\top \mathbb{F} Q) = \overline{W}(Q^\top \mathbb{C} Q), \forall Q \in \text{orth}, \forall \mathbb{C} \text{ admissible}.$$

Now backwards using the second polar decomposition:

$$W = \hat{W}(\mathbb{F}) = \hat{W}(\mathbb{F}Q) = \hat{W}(\mathbb{V}\mathbb{R}Q) = \hat{W}(\mathbb{V}\mathbb{R}\mathbb{R}^\top) = \hat{W}(\sqrt{\mathbb{B}}) = \tilde{W}(\mathbb{B}),$$

$$W = \tilde{W}(\mathbb{B}) = \tilde{W}(Q\mathbb{F}(Q\mathbb{F})^\top) = \tilde{W}(Q\mathbb{B}Q^\top).$$

So far, we have shown

$$W(t, \mathbf{X}) = \overline{W}(\mathbb{C}(t, \mathbf{X}), \mathbf{X}) = \overline{W}(Q\mathbb{C}Q^\top),$$

$$W(t, \mathbf{X}) = \tilde{W}(\mathbb{B}(t, \mathbf{X}), \mathbf{X}) = \tilde{W}(Q\mathbb{B}Q^\top),$$

In HW, we will know

$$\mathbb{T} = 2 \frac{\partial \hat{W}(\mathbb{F})}{\partial \mathbb{F}}, T_{iJ} = 2 \frac{\partial \hat{W}}{\partial F_{iJ}}$$

and we can show

$$\mathbb{T} = 2 \frac{\partial \hat{W}(\mathbb{F})}{\partial \mathbb{F}} = 2\mathbb{F} \frac{\partial \tilde{W}(\mathbb{B})}{\partial \mathbb{B}} \mathbb{F}, \mathbb{T}^y = \dots, \mathbb{S} = 2 \frac{\partial \overline{W}(\mathbb{C})}{\partial \mathbb{C}}.$$

Definition 16 (Isotropic functions). We say the functions $\hat{a}(y_\alpha, \mathbf{y}_\alpha, \mathbb{Y}_\alpha), \hat{\mathbf{a}}(y_\alpha, \mathbf{y}_\alpha, \mathbb{Y}_\alpha), \hat{A}(y_\alpha, \mathbf{y}_\alpha, \mathbb{Y}_\alpha), \alpha = 1, \dots, N$ are isotropic functions (of their respective arguments) if it holds

$$\begin{aligned} \hat{a}(y_\alpha, \mathbf{y}_\alpha, \mathbb{Y}_\alpha) &= \hat{a}(y_\alpha, Q\mathbf{y}_\alpha, Q\mathbb{Y}_\alpha Q^\top), \\ Q\hat{\mathbf{a}}(y_\alpha, \mathbf{y}_\alpha, \mathbb{Y}_\alpha) &= \hat{\mathbf{a}}(y_\alpha, Q\mathbf{y}_\alpha, Q\mathbb{Y}_\alpha Q^\top), \\ Q\hat{A}Q^\top &= \hat{A}(y_\alpha, Q\mathbf{y}_\alpha, Q\mathbb{Y}_\alpha Q^\top), \end{aligned}$$

So we see that $\overline{W}(\mathbb{C}), \tilde{W}(\mathbb{B})$ are **scalar isotropic functions of 1 tensorial (symmetric) argument**.

Theorem 7 (Representation theorem for scalar isotropic functions). *Let $\psi = \hat{\psi}(\mathbb{A}) = \hat{\psi}(Q\mathbb{A}Q^\top)$ be a scalar isotropic function of a single symmetric tensorial variable. Then it must hold*

$$\hat{\psi}(\mathbb{A}) \equiv \hat{\psi}(I_1(\mathbb{A}), I_2(\mathbb{A}), I_3(\mathbb{A})),$$

where

$$\begin{aligned} I_1(\mathbb{A}) &= \text{tr } \mathbb{A}, \\ I_2(\mathbb{A}) &= \frac{1}{2} \left((\text{tr } \mathbb{A})^2 - \text{tr } \mathbb{A}^2 \right), \\ I_3(\mathbb{A}) &= \det \mathbb{A}, \end{aligned}$$

are the invariants of \mathbb{A} .

Proof. $\det(\mathbb{A} - \lambda \mathbb{I}) = -\lambda^3 + \lambda^2 I_1 - \lambda I_2 + I_3 = p_\lambda(\mathbb{A})$ We will prove a different assertion:

\mathbb{A}, \mathbb{B} are symmetric with the same invariants $\Leftrightarrow \exists \mathbb{Q} : \mathbb{A} = \mathbb{Q} \mathbb{B} \mathbb{Q}^\top$ " \Leftarrow " is trivial, as then the matrices are similar, so they have the same char. polynomial, so they have the same invariants. \Rightarrow have same eigenvalues, so if i write the spectral decomposition, i can write

$$\mathbb{A} = \mathbb{Q} \mathbb{\Lambda} \mathbb{Q}^\top, \mathbb{B} = \mathbb{Q} \mathbb{\Lambda} \mathbb{R}^\top = \mathbb{R} \mathbb{Q}^\top \mathbb{A} \mathbb{Q} \mathbb{R}^\top.$$

Now suppose that the function is not a function of the invariants: $\hat{\psi} \neq \tilde{\psi}(I_1, I_2, I_3)$. That means $\exists \mathbb{A}_1, \mathbb{A}_2$ such that $I_1(\mathbb{A}_1) = I_1(\mathbb{A}_2)$ and the same for the remaining invariants. Using the previous assertion, we have

$$\exists \mathbb{Q} : \mathbb{A}_1 = \mathbb{Q} \mathbb{A}_2 \mathbb{Q}^\top \Rightarrow \hat{\psi}(\mathbb{A}_1) = \hat{\psi}(\mathbb{Q} \mathbb{A}_2 \mathbb{Q}^\top) = \hat{\psi}(\mathbb{A}_2), \text{ but } \hat{\psi}(\mathbb{A}_1) \neq \tilde{\psi}(\mathbb{A}_2).$$

□

Since using polar decomposition it can be shown the invariants of \mathbb{B}, \mathbb{C} are the same we receive

$$W = \tilde{W}(I_1(\mathbb{B}), I_2(\mathbb{B}), I_3(\mathbb{B})) = \overline{W}(I_1(\mathbb{C}), I_2(\mathbb{C}), I_3(\mathbb{C})).$$

9.4 Representation in terms of principal stresses

... in terms of the eigenvalues \mathbb{U}, \mathbb{V} . The invariants can be expressed as

$$\begin{aligned} I_1 &= \lambda_1 + \lambda_2 + \lambda_3, \\ I_2 &= \lambda_1 \lambda_2 + \lambda_2 \lambda_3 + \lambda_1 \lambda_3, \\ I_3 &= \lambda_1 \lambda_2 \lambda_3. \end{aligned}$$

Often in materials science the quantities can be expressed in these variables:

Example (Ogden materials).

$$\hat{W}(\lambda_1, \lambda_2, \lambda_3) = \sum_{k=1}^n \frac{\mu_k}{\alpha_k} (\lambda_1^{\alpha_k} + \lambda_2^{\alpha_k} + \lambda_3^{\alpha_k} - 3)$$

How to calculate e.g. \mathbb{T} in this representation?

$$\mathbb{T} = 2 \frac{\partial W(I_1, I_2, I_3)}{\partial \mathbb{B}} \mathbb{F} = 2 \frac{\partial \hat{W}}{\partial \mathbb{B}}(\lambda_1(\mathbb{B}), \lambda_2(\mathbb{B}), \lambda_3(\mathbb{B})) 2 \frac{\partial \hat{W}}{\partial \lambda_i} \frac{\partial \lambda_i(\mathbb{B})}{\partial \mathbb{B}} \mathbb{F}.$$

What (in the hell) is $\frac{\partial \lambda_i(\mathbb{B})}{\partial \mathbb{B}}$? ¹⁵

$$\mathbb{B}(s) = \sum_{\alpha=1}^3 \omega_\alpha(s) \mathbf{g}_\alpha(s) \otimes \mathbf{g}_\alpha(s), \forall s \in I$$

where I is some open interval and $\{\mathbf{g}_\alpha\}$ is an ON eigenbasis of \mathbb{B} . Next, realize

$$\omega_1(s) = \mathbf{g}_1(s) \cdot \mathbb{B}(s) \mathbf{g}_1(s),$$

and differentiate this:

$$\frac{d\omega(s)}{ds} = \frac{d\mathbf{g}_1}{ds} \cdot \mathbb{B} \mathbf{g}_1 + \mathbf{g}_1 \cdot \frac{d\mathbb{B}}{ds} \mathbf{g}_1 + \mathbf{g}_1 \cdot \mathbb{B} \frac{d\mathbf{g}_1}{ds} = \frac{1}{2} + 0.$$

¹⁵Recall the Dalecki-Krein theorem:

9.5 Hyperelasticity with constraints

Very often, some other considerations have taken to be in account when describing some materials. Examples include

- *incompressibility*: $\det \mathbb{F} = 1$,
- *inextensibility*: $\mathbf{l} \cdot \mathbb{C} \mathbf{l} = 1$, for some $\mathbf{l} \in \mathbb{R}^3$ (*i.e.*, T.A. materials)

9.6 Rational thermodynamics

In rational thermodynamics, we *postulate*:

$$\mathbf{J}_\eta = \frac{1}{\theta_R} \mathbf{Q}, R_\eta = \frac{R}{\theta}, \quad (15)$$

i.e., the flux/production of entropy is the flux/production of heat divided by temperature. This *makes sense to assume*.

9.6.1 Clausius-Duhem inequality

Recall *balance of mass*:

$$\rho(t, \mathbf{x}) \det \mathbb{F} = \rho_R(\mathbf{X}),$$

balance of momentum:

$$\rho_r(\mathbf{x}) \frac{\partial^2 \chi}{\partial t^2} = \nabla \cdot \mathbb{T} + \rho_R \mathbf{B}(t, \mathbf{X}),$$

balance of internal energy:

$$\rho_r \frac{\partial e_r}{\partial t}(t, \mathbf{X}) = \underbrace{-\nabla \cdot \mathbf{Q}}_{= -\nabla \cdot (\det \mathbb{F} \mathbb{F}^{-1} \mathbf{q}(t, \mathbf{x}))} + \dot{\mathbb{F}} : \mathbb{T} + \rho_R R(t, \mathbf{X}),$$

where also

$$\dot{\mathbb{F}} : \mathbb{T} = \frac{1}{2} \mathbb{S} : \dot{\mathbb{C}},$$

and the *balance of entropy*:

$$\rho_R \frac{\partial \eta_R}{\partial t} = -\nabla \cdot \mathbf{J}_\eta + \rho_R R_\eta + \xi_R.$$

The definition of the Helmholtz free energy is: $\psi = e - \theta \eta$, or in the reference configuration:

$$\psi_R = e_R - \theta_R \eta_R.$$

Take the time derivative and calculate

$$\begin{aligned} \rho_R \dot{\psi}_R &= \rho_R (\dot{e}_R - \dot{\theta} \eta - \theta \dot{\eta}) = \nabla \cdot \mathbf{Q} + \dot{\mathbb{F}} : \mathbb{T} + \rho_R (R - \dot{\theta} \eta) - \theta (-\nabla \cdot \mathbf{J}_\eta + \rho_R R_\eta + \xi_R) = \\ &= -\nabla \cdot \mathbf{Q} + \dot{\mathbb{F}} : \mathbb{T} - \rho_R \dot{\theta} \eta + \frac{\theta_R}{\theta} \nabla \cdot (\mathbf{Q}) - \theta_R \xi_R + \theta_R \nabla \left(\frac{1}{\theta_R} \right) \cdot \mathbf{Q}, \end{aligned}$$

where we have used 15 to cancel some terms. In total we obtain

$$\rho_R(\dot{\psi}_R + \eta_R \dot{\theta}_R) - \dot{\mathbb{F}} : \mathbb{T} - \theta_R \mathbf{Q} \cdot \nabla \left(\frac{1}{\theta_R} \right) = -\theta_R \xi_R \leq 0. \quad (16)$$

Rational thermodynamics also *postulates* Clausius-Duhem inequality holds for all thermodynamically admissible processes.

9.6.2 Isothermal setting

Let us consider a special case - an *isothermal setting*, meaning $0 = \dot{\theta} = \nabla \left(\frac{1}{\theta_R} \right) = 0$. The Clausius-Duhem inequality then becomes:

$$\rho_R \dot{\psi}_R - \dot{\mathbb{F}} : \mathbb{T} \leq 0,$$

for all admissible processes. Realize that this is the same as:

$$\frac{\partial(\rho_R \psi_R)}{\partial t} - \frac{1}{2} \mathbb{S} : \dot{\mathbb{C}},$$

and that $\rho_R \psi_R = W$. By hyperelasticity $W = W(\mathbb{F})$ and so from material frame indifference $W = \overline{W}(\mathbb{C})$. Taking the time derivative means:

$$\left(\frac{\partial W}{\partial \mathbb{C}} - \frac{1}{2} \mathbb{T} \right) : \dot{\mathbb{C}} \leq 0, \forall \mathbb{C}, \dot{\mathbb{C}}$$

and that can only be met when

$$2 \frac{\partial W}{\partial \mathbb{C}} = \mathbb{S},$$

since $\mathbb{C}, \dot{\mathbb{C}}$ are independent.

Really, define the motion

$$\chi(t, \mathbf{X}) = \mathbf{X}_0 = \exp((t - t_0)\mathbb{D})\mathbb{F}_0(\mathbf{X} - \mathbf{X}_0),$$

then

$$\mathbb{F}(t, \mathbf{X}) = \exp((t - t_0)\mathbb{D})\mathbb{F}_0,$$

so $\mathbb{F}(t_0, \mathbf{X}_0) = \mathbb{F}_0$. Time derivative can be computed to be:

$$\dot{\mathbb{F}}(t, \mathbf{X}) = \mathbb{D} \exp((t - t_0))\mathbb{F},$$

and $\dot{\mathbb{F}}(t_0, \mathbf{X}_0) = \mathbb{D}\mathbb{F}_0$. This means

$$\mathbb{C}(t_0, \mathbf{X}_0) = \mathbb{F}_0^\top \mathbb{F}_0,$$

and

$$\dot{\mathbb{C}}(t_0, \mathbf{X}_0) = 2\mathbb{F}_0^\top \mathbb{D}\mathbb{F}_0,$$

We see that we can choose $\mathbb{C}(t_0, \mathbf{X}_0)$ and $\dot{\mathbb{C}}(t_0, \mathbf{X}_0)$ independently.

Suppose we are given the constraint

$$f(\mathbb{C}) = 0,$$

which fits the conditions

$$e.g. \mathbf{1} \cdot \mathbf{C} \mathbf{1} - 1 = 0, \det \mathbf{C} - 1 = 0.$$

Thus the Clausis-Duhem inequality with constraints reduces to:

$$\left(\frac{\partial W}{\partial \mathbb{C}} - \frac{1}{2} \dot{\mathbb{S}} \right) : \dot{\mathbb{C}} \leq 0, \forall \mathbb{C}, \dot{\mathbb{C}} \text{ s.t. } f(\mathbb{C}) = 0. \quad (17)$$

The condition is "almost equivalent" to

$$\frac{\partial f}{\partial \mathbb{C}} : \dot{\mathbb{C}} = 0,$$

which is convenient, as we have the following theorem.

Theorem 8. *Let $\mathbb{A} \in \mathbb{R}^{n \times m}$, $\mathbf{b} \in \mathbb{R}^{n \times 1}$, $\boldsymbol{\alpha} \in \mathbb{R}^{m \times 1}$, $\beta \in \mathbb{R}$ such that*

$$\begin{aligned} \mathbb{A}\mathbf{x} + \mathbf{b} &= 0, \\ \boldsymbol{\alpha} \cdot \mathbf{x} + \beta &\leq 0, \end{aligned}$$

for some $\mathbf{x} \in \mathbb{R}^m$. Let S be the set of solutions of the equation and assume it is nonempty. Then the following are equivalent:

- $\forall \mathbf{x} \in S$ the equation holds
- $\exists \lambda \in \mathbb{R}^n \neq 0$ s.t. $\boldsymbol{\alpha}^\top - \lambda^\top \mathbb{A} = 0, \beta - \lambda \cdot \mathbf{b} \leq 0$.

Remark. In our case, we have

$$\mathbf{b} = 0, \mathbb{A} = \frac{\partial f}{\partial \mathbb{C}}, \mathbf{x} = \dot{\mathbb{C}}.$$

Using this it can be shown that under this constraint the Cauchy stress must take the form¹⁶

$$\mathbb{T}^y = \lambda \mathbb{I} + 2\mathbb{F} \frac{\partial W}{\partial \mathbb{C}} \mathbb{F}^\top.$$

We usually identify $\lambda = p_{\text{th}}$, with the thermodynamically determined stress.

Theorem 9. *The followig statements are equivalent:*

- $\forall \mathbf{x} \in S = \{\mathbf{x} : \mathbb{A}\mathbf{x} + \mathbf{b} = \mathbf{0}\} : \boldsymbol{\alpha} \cdot \mathbf{x} + \beta \geq 0,$
- $\exists \boldsymbol{\lambda} \neq \mathbf{0}$ s.t. $\boldsymbol{\alpha}^\top - \boldsymbol{\lambda}^\top \mathbb{A} = \mathbf{0} \wedge b - \lambda \cdot \beta \geq 0$

Proof. first ii) \Rightarrow i): multiply the first row by \mathbf{x} : $\boldsymbol{\alpha} \cdot \mathbf{v} - \boldsymbol{\lambda} \cdot \mathbb{A}\mathbf{x} = 0$, sum it up with the second inequality and obtain

$$\boldsymbol{\alpha} \cdot \mathbf{x} + \beta - \boldsymbol{\lambda} \cdot (\mathbb{A}\mathbf{x} + \mathbf{b}) \geq 0,$$

so when $\mathbf{x} \in S, \mathbb{A}\mathbf{x} + \mathbf{b} = \mathbf{0}$, and we obtain

$$\boldsymbol{\alpha} \cdot \mathbf{x} + \beta \geq 0.$$

Now i) \Rightarrow ii). It suffices to show i)

$$\Rightarrow \exists \boldsymbol{\lambda} \neq \mathbf{0} \text{ s.t. } \boldsymbol{\alpha}^\top - \boldsymbol{\lambda}^\top \mathbb{A} = 0,$$

¹⁶use $\mathbb{T}^y = \det \mathbb{F} \mathbb{F}^{-1} \mathbb{T}^y \mathbb{F}^{-\top}$, differentiate and realize $\frac{\partial f}{\partial \mathbb{C}} = \det \mathbb{C} \text{rcg}^{-\top}$, $\det \mathbb{F} = 1 = \det \mathbb{C}$, and plug this in.

since if $\boldsymbol{\alpha} \cdot \mathbf{x} + \beta \geq 0 \forall \mathbf{x} \in S$, then $\boldsymbol{\alpha} \cdot \mathbf{x} - \boldsymbol{\lambda} \cdot \mathbb{A}\mathbf{x} = 0$, where $\mathbb{A}\mathbf{x} = -\mathbf{b} \forall \mathbf{x} \in S$. This immediately implies the sought result. This proof is by contradiction: suppose

$$(\mathbb{A}\mathbf{x} + \mathbf{b} = 0 \Rightarrow \boldsymbol{\alpha} \cdot \mathbf{x} + \beta \geq 0) \wedge \exists \mathbf{x}_0 \text{ s.t. } \mathbb{A}\mathbf{x}_0 = \mathbf{0} \wedge \boldsymbol{\alpha} \cdot \mathbf{x}_0 \neq 0.$$

We can now take

$$\mathbb{A}(\mathbf{x} + \delta \mathbf{x}_0) + \mathbf{b} = \mathbf{0} \Rightarrow \boldsymbol{\alpha} \cdot (\mathbf{x} + \delta \mathbf{x}_0) + \beta \geq 0,$$

for an arbitrary $\delta \in \mathbb{R}$. But this is clearly not possible, as we can take $\delta < 0, |\delta| \gg 1$ and surely the second relation will not be met. \square

9.7 Inflation of a hyperelastic balloon

To prepare ourselves, first we examine the *biaxial deformation of a incompressible hyperelastic sheet*.

9.7.1 Biaxial deformation of a incompressible hyperelastic sheet

The deformation gradient is

$$\mathbb{F} = \begin{bmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_3 \end{bmatrix}, \mathbb{B} = \mathbb{F}\mathbb{F}^\top = \begin{bmatrix} \lambda_1^2 & 0 & 0 \\ 0 & \lambda_2^2 & 0 \\ 0 & 0 & \lambda_3^2 \end{bmatrix}.$$

Moreover, assume the material is the incompressible Ogden:

$$W(\lambda_1, \lambda_2, \lambda_3) = \sum_{k=1}^N \frac{\mu_k}{\alpha_k} (\lambda_1^{\alpha_k} + \lambda_2^{\alpha_k} + \lambda_3^{\alpha_k} - 3),$$

$$\lambda_1 \lambda_2 \lambda_3 = 1.$$

Cauchy stress then would be

$$\mathbb{T}^y = -p\mathbb{I} + \frac{2}{J} \frac{\partial W(\mathbb{B})}{\partial \mathbb{B}} \mathbb{B} = -p\mathbb{I} + 2 \sum_{j=1}^3 \frac{\partial W}{\partial \lambda_j} \frac{\partial \lambda_j}{\partial \mathbb{B}} \mathbb{B},$$

recall that

$$J = 1, \frac{\partial \lambda_j}{\partial \mathbb{B}} = \frac{1}{2\lambda_j} \mathbf{g}_j \otimes \mathbf{g}_j, \mathbb{B} = \sum_{j=1}^3 \lambda_j^2 (\mathbf{g}_j \otimes \mathbf{g}_j).$$

We must calculate

$$\frac{\partial W}{\partial \lambda_j} = \sum_{k=1}^N \frac{\mu_k}{\lambda_k} \alpha_k \lambda_j^{\alpha_k - 1},$$

and so

$$\mathbb{T}^y = -p\mathbb{I} + 2 \sum_{j=1}^3 \sum_{k=1}^N \mu_k \frac{1}{2} \lambda_j^{\alpha_k - 2} (\mathbf{g}_j \otimes \mathbf{g}_j) \sum_{l=1}^3 \lambda_l^2 (\mathbf{g}_l \otimes \mathbf{g}_l) = -p\mathbb{I} + \sum_{j=1}^3 \sum_{k=1}^N \mu_k \lambda_j^{\alpha_k} (\mathbf{g}_j \otimes \mathbf{g}_j).$$

We now assume

$$T_{33} = 0,$$

called the *thin sheet assumption*, i.e., plane-stress problem. This means

$$0 = -p + \sum_{k=1}^N \mu_k \lambda_3^{\alpha_k},$$

since $\mathbf{g}_j = \mathbf{e}_j$. The pressure thus is

$$p = \sum_{k=1}^N \mu_k \lambda_3^{\alpha_k}.$$

The remaining stresses are

$$T_{11} = - \sum_{k=1}^N \mu_k \lambda_3^{\alpha_k} + \sum_{k=1}^N \mu_k \lambda_1^{\alpha_k},$$

where

$$\lambda_3 = \frac{1}{\lambda_1 \lambda_2},$$

so

$$T_{11} = \sum_{k=1}^N \mu_k \left(\lambda_1^{\alpha_k} - (\lambda_1 \lambda_2)^{-\alpha_k} \right),$$

and similiarly

$$T_{22} = \sum_{k=1}^N \mu_k \left(\lambda_2^{\alpha_k} - (\lambda_1 \lambda_2)^{-\alpha_k} \right).$$

Alltogether,

$$\mathbb{T}^y = T_{11}(\mathbf{e}_1 \otimes \mathbf{e}_1) + T_{22}(\mathbf{e}_2 \otimes \mathbf{e}_2) \equiv \sigma_1(\mathbf{e}_1 \otimes \mathbf{e}_2) + \sigma_2(\mathbf{e}_2 \otimes \mathbf{e}_2).$$

9.7.2 Simplified approach for a balloon

Assume now

$$\sigma_1 = \sigma_2 \equiv \sigma,$$

meaning the the balloon is being stretched the same way in both directions. This is equivalent to

$$\lambda_1 = \lambda_2 \equiv \lambda,$$

i.e.,

$$\sigma = \sum_{k=1}^N \mu_k \left(\lambda^{\alpha_k} - \lambda^{-2\alpha_k} \right).$$

Let the thickness of the baloon be h and assume $h \ll 1$. We also define

$$\sigma_T = \sigma h,$$

as in fact the *surface tension*. The virtual work principle states

$$p_0 \delta V = \sigma_T \delta S,$$

where p_0 is the overpressure. This can be manioulated into

$$p_0 \frac{4}{3} \pi 3 \pi r^2 \delta r = \sigma_T 2 \pi r \delta r$$

$$p_0 r^2 = 2 r \sigma_T,$$

and so

$$p_0 = \frac{2 \sigma_T}{r} = 2 \sigma_T K,$$

which is the *Laplace-Young* condition. The pressure in the baloon is the greater the less the radius the balloon has, or the greater the curvature K gets.

Substituting for σ_T yields

$$p_0 = \frac{2 \sigma h}{r} = 2 \sigma \underbrace{\left(\frac{h}{H} \right)}_{=\lambda_3 = \frac{1}{\lambda^2}} \underbrace{\frac{H}{R} \left(\frac{R}{r} \right)}_{=\frac{1}{\lambda} = \frac{2g}{\lambda^3}} = \frac{2H}{R \lambda^3} \sum_{k=1}^N \mu_k (\lambda^{\alpha_k} - \lambda^{-2\lambda_k}),$$

where H, R are the reference thickness and radius and h, r are the thickness and radius in the deformed configuration. so finally

$$p_0 = \frac{2H}{R} \sum_{k=1} \mu_k (\lambda^{\alpha_k-3} - \lambda^{-2\lambda_k-3}).$$

Plotting this for a rubber-like material, the dependency $p_0(\lambda)$ shows that first, starting from 0, p_0 is very steep, but suddenly at a one time the material expands very rapidly.

9.7.3 Exact solution

Denote now A, B, H to be the inner radius, outer radius and the thickness, the same for a, b, h . It will be advantageous to use spherical coordinates:

$$R \in [A, B],$$

$$\Theta \in [0, \pi),$$

$$\Phi \in [0, 2\pi),$$

The deformation is

$$r = f(R)R,$$

$$\theta = \Theta,$$

$$\varphi = \Phi$$

This gets sophisticated now, as

$$\mathbb{F} = \frac{\partial \xi^i}{\partial X^J} \mathbf{g}_i \otimes \mathbf{G}^J, \mathbf{g}_i = \frac{\partial \mathbf{x}(\xi^1, \xi^2, \xi^3)}{\partial \xi^i},$$

in curvilinear coordinates. It can be obtained:

$$\mathbb{F} = \frac{\partial(f(R)R)}{\partial R} (\mathbf{g}_r \otimes \mathbf{G}^R) + \mathbf{g}_\theta \otimes \mathbf{G}^\Theta + \mathbf{g}_\varphi \otimes \mathbf{G}^\Phi,$$

but every decent person works in coordinate *s.t.* $\|\mathbf{g}_r\| = 1$ etc. Calculation gives

$$\|\mathbf{G}_R\| = 1, \|\mathbf{G}_\Theta\| = R, \|\mathbf{G}_\Phi\| = R \sin \Theta,$$

and the inverse for the forms. Now we write the deformation gradient in the "normalized" coordinates, without writing things like $\mathbf{g}_{\hat{r}}$.

$$\mathbb{F} = Rf'(R)(\mathbf{g}_r \otimes \mathbf{G}^R) + f(R)\mathbb{I}.$$

After long and complicated calculations, it can be shown

$$p_0 = \int_{\lambda_a}^{\lambda_b} \frac{\tilde{W}'(\lambda)}{\lambda^3 - 1} d\lambda,$$

where $\tilde{W} = W\left(\frac{1}{\lambda^2}, \lambda, \lambda\right)$.