# Thermodynamics and mechanics of solids

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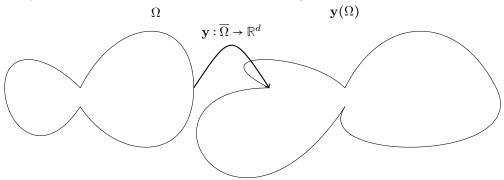
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# 1 Geometry

# 1.1 Deformation

Suppose we are given an abstract body  $\Omega \subset \mathbb{R}^d$ , d = 2, 3. Choosing a particular state, we denote it the **reference configuration**. After the acting of forces, the body deforms into the **current**, **deformed configuration**.



The mapping that produces the deformation is called the **deformation**, denoted  $\mathbf{y}$ , i.e.

$$\mathbf{y}: \overline{\Omega} \to \mathbb{R}^d$$
.

Of large interest will be the deformation gradient

$$\mathbb{F}(\mathbf{x}) = \nabla y(\mathbf{x}), (\nabla y)_{ij} = \frac{\partial y^i}{\partial x^j},$$

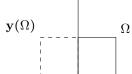
on which we put some physically sound restrictions, such as  $det \mathbb{F} > 0$ . This means in particular that the determinant is nonzero, but also that preserves orientations of bases:

$$(\mathbf{e}_1 \times \mathbf{e}_2) \cdot \mathbf{e}_3 > 0 \Rightarrow (\mathbb{F}\mathbf{e}_1 \times \mathbf{e}_2) \cdot \mathbb{F}\mathbf{e}_3 > 0.$$

**Example.** Suppose the deformation is given as

$$\mathbf{y}(\mathbf{x}) = \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix} \mathbf{x},$$

i.e.,  $\mathbb{F} = \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix}$ , det  $\mathbb{F} = -1$ . This is an example of a deformation that is forbidden.



\_\_\_\_ Imagine it is a sheet of paper in a

plane - you cannot reflect it without lifting it from the plane.

### 1.2 Displacement

Another useful way of describing the deformation is by using the **displacement vector u**:

$$\mathbf{u}(\mathbf{x}) = \mathbf{y}(\mathbf{x}) - \mathbf{x},$$

so taking the gradient gives

$$\nabla \mathbf{u}(\mathbf{x}) = \mathbb{F}(\mathbf{x}) - \mathbb{I}.$$

*Remark.* It is interesting that we do not place any restrictions on the determinant of the displacement gradient.

# 1.3 Changes of measures

We need to examine how the volume, area and lengths change under deformation. In what follows, for a set  $\omega \subset \mathbb{R}^d$  in the reference configuration we denote  $\omega^y \subset \mathbb{R}^d$  to be the deformed body in the current configuration, i.e.

$$\omega^y = \mathbf{y}(\omega).$$

#### 1.3.1 Change of volume

Using the change of variable theorem we obtain

$$\lambda(\omega^y) = \int_{\omega^y} 1 d\mathbf{x}^y = \int_{\omega} \det \mathbb{F}(\mathbf{x}) d\mathbf{x},$$

so we write  $d\mathbf{x}^y = \det \mathbb{F} d\mathbf{x}$ . This motivates "our" definition of the determinant of the deformation gradient:

$$\det \mathbb{F}(\mathbf{x}) = \lim_{r \to 0} \frac{\lambda(B(\mathbf{x}, r))}{\lambda(B(\mathbf{x}, r))}, \tag{1}$$

where  $B(\mathbf{x}, r)$  is a (closed) ball centered at  $\mathbf{x}$  of radius r.

#### 1.3.2 Change of lengths

Suppose the line segment  $\mathbf{x} + \Delta \mathbf{x}$  undergoes deformation. How does its length change? Taylor expansion yields:

$$y(x + \Delta x) = y(x) + \mathbb{F}(x)\Delta x + \text{h.o.t.},$$

where h.o.t. stands for higher order terms. Using the expansion, we can write

$$\|\mathbf{y}(\mathbf{x} + \Delta \mathbf{x}) - \mathbf{y}(\mathbf{x})\|^2 = (\Delta \mathbf{x})^{\mathsf{T}} \mathbb{F}^{\mathsf{T}} \mathbb{F} \Delta \mathbf{x} = (\Delta \mathbf{x})^{\mathsf{T}} \mathbb{C}(\mathbf{x}) \Delta \mathbf{x},$$

where we have denoted

$$\mathbb{C}(\mathbf{x}) = \mathbb{F}^{\mathsf{T}}(\mathbf{x})\mathbb{F}(\mathbf{x}),$$

as the Right Cauchy Green tensor.

**Example.** Let the deformation  $\mathbf{y}$  be given as  $\mathbf{y}(\mathbf{x}) = \mathbb{R}\mathbf{x} + \mathbf{v}, \mathbf{v} \in \mathbb{R}^d, \mathbb{R} \in SO(d) = \{\mathbb{A} \in \mathbb{R}^{d \times d}, \mathbb{A}^{\top} \mathbb{A} = \mathbb{A} \mathbb{A}^{\top} = \mathbb{I}\}$ . Then  $\mathbb{F} = \mathbb{R}$ ,  $\mathbb{C} = \mathbb{I}$ .

#### 1.3.3 Change of surfaces

For  $\mathbb{A} \in \mathbb{R}^{d \times d}$  regular we define the **cofactor matrix** cof  $\mathbb{A}$  as

$$\operatorname{cof} \mathbb{A} = (\det \mathbb{A}) \mathbb{A}^{-\mathsf{T}},$$

which is an interesting quantity whatsoever; we will use the following theorem

**Theorem 1** (Piola's identity). Let  $\mathbf{y} \in C^2(\Omega; \mathbb{R}^d)$ , then  $\forall \mathbf{x} \in \Omega$ :

$$\nabla \cdot (\operatorname{cof} \nabla \mathbf{y}(\mathbf{x})) = \mathbf{0}.$$

For a regular matrix  $\mathbb{A}$ , we also have the identity

$$\mathbb{A}^{-1} = \frac{1}{\det \mathbb{A}} (\operatorname{cof} \mathbb{A})^{\mathsf{T}}, \tag{2}$$

What about the determinant of the cofactor? Clearly

$$\det \operatorname{cof} \mathbb{A} = (\det \mathbb{A})^d \det \mathbb{A}^{-\mathsf{T}} = (\det \mathbb{A})^{d-1},$$

so we can also express equation 2 in a different way

$$\mathbb{A}^{-1} = \frac{(\operatorname{cof} \mathbb{A})^{\top}}{(\operatorname{det} \operatorname{cof} \mathbb{A})^{1/d-1}}.$$
(3)

From geometry, recall the change of variables for surface integration:

$$\int_{\partial \omega^y} \mathbf{n}^y \, \mathrm{d}S^y = \int_{\partial \omega} \mathrm{cof} \, \mathbb{F} \mathbf{n} \, \mathrm{d}S \,,$$

where  $\mathbf{n}^y$  is the outward unit normal to the deformed boundary  $\omega^y$ . Informally, we write  $\mathbf{n}^y \, \mathrm{d} S^y = \mathrm{cof} \, \mathbb{F} \mathbf{n} \, \mathrm{d} S$ . We can also explicitely express the normal to the deformed boundary as

$$\mathbf{n}^{y}(\mathbf{x}^{y}) = \frac{\operatorname{cof} \mathbb{F}(\mathbf{x})\mathbf{n}(\mathbf{x})}{\|\operatorname{cof} \mathbb{F}(\mathbf{x})\mathbf{n}(\mathbf{x})\|}, \mathbf{x} \in \partial \omega, \mathbf{y}(\mathbf{x}) \in \partial \omega^{y}.$$
(4)

#### 1.4 Affine transformations

An example of deformation is the so called **affine transformation**.

**Example.** Suppose the following deformation:

$$\mathbf{y}(\mathbf{x}) = \mathbb{A}\mathbf{x} + \mathbf{v}, \mathbb{A} \in \mathbb{R}^{d \times d}, \mathbf{v} \in \mathbb{R}^d, \det \mathbb{F} > 0.$$

Clearly then  $\mathbb{F}(\mathbf{x}) = \mathbb{A}$ .

It is crucial to realize how  $\mathbb{F}, \mathbb{F}^{\mathsf{T}}, \mathbb{F}^{\mathsf{-T}}$  work.

- $\mathbb{F}$  takes a vector  $\mathbf{x} \mathbf{0}$  from the reference configuration and maps it to the vector  $\mathbb{F}\mathbf{x} \mathbb{F}\mathbf{0}$  in the current configuration
- $\mathbb{F}^{-1}$  takes the vector  $\mathbb{F}\mathbf{x} \mathbb{F}\mathbf{0}$  from the *current configuration* and maps it to the vector  $\mathbf{x} \mathbf{0}$  from the *reference configuration*

- $\mathbb{F}^{\mathsf{T}}$  is defined through:  $\mathbb{F}\mathbf{u} \cdot \mathbf{w} = \mathbf{u} \cdot \mathbb{F}^{\mathsf{T}}\mathbf{w}$ , and since  $\mathbb{F}$  is defined on the reference configuration,  $\mathbb{F}^{\mathsf{T}}$  must take something from the *current configuration* and return something from the *reference configuration*.
- $\mathbb{F}^{-\top}$  consequently takes something from the reference configuration and maps it to something from the current configuration.

**Example.** What when  $\mathbb{C} = \mathbb{I}$ ? Can we say something about  $\mathbb{F}$ ? Write  $\mathbb{C} = \mathbb{F}^{\mathsf{T}} \mathbb{F} = \mathbb{I}$ , so  $\mathbb{F}^{\mathsf{T}} = \mathbb{F}^{-1}$ , det  $\mathbb{F} > 0$ . From this we have  $\mathbb{F}(\mathbf{x}) = \mathbb{R}(\mathbf{x})$ ,  $\mathbf{x} \in \Omega$ , where  $\mathbb{R}$  is a rotation tensor. Investigate the cofactor of the deformation gradient:

$$\operatorname{cof} \mathbb{F} = \operatorname{det} \mathbb{F} \mathbb{F}^{-\mathsf{T}} = \operatorname{cof} \mathbb{R} = 1 \mathbb{R}(\mathbf{x}) = \mathbb{F}(\mathbf{x}).$$

This implies  $\operatorname{cof} \mathbb{F} = \mathbb{F}$ . Recall Piola's identity:

$$\mathbf{0} = \nabla \cdot \operatorname{cof} \mathbb{F} = \nabla \cdot \mathbb{F}(\mathbf{x}) = \nabla^2 \mathbf{y}(\mathbf{x}).$$

We have the identity: and since the LHS is zero, we also have  $\|\nabla\nabla\mathbf{y}\| = 0 \Rightarrow \mathbf{y}(\mathbf{x}) = \mathbb{R}\mathbf{x} + \mathbf{v}$ . Let  $\mathbb{R}$  be piecewise affine. Then  $\mathbb{R}_1(\mathbb{I} - \mathbf{n} \otimes \mathbf{n}) = \mathbb{R}_2(\mathbb{I} - \mathbf{n} \otimes \mathbf{n})$ , so  $\mathbb{R}_1 - \mathbb{R}_2 = (\mathbb{R}_1 = \mathbb{R}_2) = (\mathbb{R}_1 - \mathbb{R}_2)\mathbf{n} \otimes \mathbf{n} = \mathbf{a} \otimes \mathbf{b}$ , but that is not possible for two rotations; the rank of the RHS is one, whereas the LHS is not.

### 2 Forces

# 2.1 Forces in the deformed configuration

Recall  $\mathbf{y}: \overline{\Omega} \to \overline{\Omega}^y$ . We can define the **volume density of applied forces**  $\mathbf{f}^y: \overline{\Omega}^y \to \mathbb{R}^3$  (in newtons per cubic meters, e.g. gravity). The same on the boundary  $\mathbf{g}^y: \Gamma_N^y \to \mathbb{R}^3$  (**surface density of applied forces** (in newtons per square meters = Pascals, e.g. hydrostatic pressure.)

#### 2.1.1 Cauchy stress tensor

**Lemma 1** (Stress principle of Euler and Cauchy). There exists a (Cauchy) stress vector function  $\mathbf{t}^y : \overline{\Omega}^y \times \mathcal{S}^{d-1} \to \mathbb{R}^d$  with the following properties.

- 1. If  $\mathbf{x}^y \in \Gamma_N^y$ , then  $\mathbf{t}^y(\mathbf{x}^y, \mathbf{n}^y) = \mathbf{g}^y(\mathbf{x}^y)$ , where  $\mathbf{n}^y$  is the unit outer normal vector to  $\partial \Omega^y$  at  $\mathbf{x}^y$ .
- 2.  $\forall \omega^y \in \Omega^y$  it holds that  $\int_{\omega^y} \mathbf{f}(\mathbf{x}^y) d\mathbf{x}^y + \int_{\partial \omega^y} \mathbf{t}^y(\mathbf{x}^y, \mathbf{n}^y) dS^y = 0$ . (Balance of forces in static equilibrium.
- 3.  $\forall \omega^y \in \Omega^y$  it holds that  $\int_{\omega^y} \mathbf{x}^y \times \mathbf{f}^y(\mathbf{x}^y) d\mathbf{x}^y + \int_{\partial \omega^y} \mathbf{x}^y \times \mathbf{t}^y(\mathbf{x}^y, \mathbf{n}^y) dS^y = \mathbf{0}$ . (Balance of moment of forces in static equilibrium.)

Euler says that the direct consequence of this is the existence of  $\mathbb{T}^y(\mathbf{x}^y)$  such that

$$\mathbf{t}^{y}(\mathbf{x}^{y}, \mathbf{n}^{y}) = \mathbb{T}^{y}(\mathbf{x}^{y})\mathbf{n}^{y},\tag{5}$$

where the tensorial quantity  $\mathbb{T}$  is called the **Cauchy stress tensor**.

#### 2.1.2 Balance equations in the deformed configuration

Classical physics gives us 2 fundamental relations: Newtons second law for momenta and for angular momenta. We examine these in the continuum mechanics setting.

From second property it follows:

$$\int_{\omega^y} \mathbf{f}^y(\mathbf{x}^y) \, d\mathbf{x}^y = \int_{\partial \omega^y} \mathbb{T}^y(\mathbf{x}^y) \mathbf{n}^y \, dS^y = 0 = \int_{\omega^y} \nabla \cdot \mathbb{T}^y(\mathbf{x}^y) \, d\mathbf{x}^y, \tag{6}$$

so using the localization theorem we get

$$\mathbf{f}^y(\mathbf{x}^y) + \nabla \cdot \mathbf{T}^y(\mathbf{x}^y) = \mathbf{0}, \forall \mathbf{x}^y \in \Omega^y$$

From the third property it follows

$$\int_{\omega^{y}} \mathbf{x}^{y} \times \mathbf{f}^{y}(\mathbf{x}^{y}) d\mathbf{x}^{y} + \int_{\partial\omega^{y}} \mathbf{x}^{y} \times \mathbb{T}^{y}(\mathbf{x}^{y}) \mathbf{n}^{y} dS^{y} =$$

$$= \int_{\omega^{y}} \varepsilon_{ijk} x_{j}^{y} f_{k}^{y} d\mathbf{x}^{y} + \int_{\omega^{y}} \varepsilon_{ijk} x_{j}^{y} (T_{km}^{y} n_{m}^{y}) dS^{y} = \int_{\omega^{y}} \varepsilon_{ijk} x_{j}^{y} f_{k}^{y} d\mathbf{x}^{y} = \int_{\omega^{y}} \varepsilon_{ijk} \frac{\partial (x_{j}^{y} T_{km}^{y})}{\partial x_{m}^{y}} d\mathbf{x}^{y} =$$

$$= \int_{\omega^{y}} \varepsilon_{ijk} x_{j}^{y} f_{k}^{y} d\mathbf{x}^{y} + \int_{\omega^{y}} \varepsilon_{ijk} x_{j}^{y} \frac{\partial T_{km}}{\partial x_{m}^{y}} d\mathbf{x}^{y} + \int_{\omega^{y}} \varepsilon_{ijk} \delta_{jm} T_{km}^{y} d\mathbf{x}^{y} = \mathbf{0}.$$

The last term implies

$$\int_{\omega^y} \varepsilon_{ijk} T_{kj}^y = 0,$$

and using the localization theorem, we obtain

$$T_{ij}^{y}(\mathbf{x}^{y}) = T_{ji}^{y}(\mathbf{x}^{y}), \quad i.e. \mathbb{T}^{y}(\mathbf{x}^{y}) = (\mathbb{T}^{y}(\mathbf{x}^{y}))^{\mathsf{T}}.$$
 (7)

The Cauchy stress tensor is symmetric.

# 2.2 Forces in the undeformed configuration

We have obtained the equations in the deformed configuration. That is however unconvenient - we solve the equations to find the deformed configuration. This brings us to find a new way to write the equations - in the reference configuration. The construction is a bit synthetic, our intuition will be guided by the requirement to obtain similair equations as in the current configuration.

#### 2.2.1 Piola-Kirchhoff stresses

**Definition 1** (First Piola-Kirchhoff stress tensor). Given the Cauchy stress tensor  $\mathbb{T}^y(\mathbf{x}^y)$ , we define the **First Piola Kirchhoff stress tensor** 

$$\mathbb{T}: \overline{\Omega} \to \mathbb{R}^{3\times 3}, \mathbb{T}(\mathbf{x}) = \mathbb{T}^y(\mathbf{x}^y) \operatorname{cof} \mathbb{F}(\mathbf{x}) = \det \mathbb{F}(\mathbf{x}) \mathbb{T}^y(\mathbf{x}^y) \mathbb{F}^{-\top}(\mathbf{x}).$$

**Definition 2** (Second Piola-Kirchhoff stress tensor). The quantity

$$\mathbb{S}(\mathbf{x}) = \mathbb{F}^{-1}\mathbb{T}(\mathbf{x}) = \mathbb{S}(\mathbf{x})^{\mathsf{T}},$$

is called the second Piola-Kirchhoff stress tensor.

Remark. The first PK tensor  $\mathbb{T}$  is not symmetric in general., but the second  $\mathbb{S}(\mathbf{x}) = \mathbb{F}^{-1} = \det \mathbb{F}(\mathbf{x}) \mathbb{F}^{-1} \mathbb{T}^y(\mathbf{x}^y) \mathbb{F}^{-\top}(\mathbf{x})$  is. Also, we see that not every matrix can serve as  $\mathbb{T}$ ; it must hold  $\mathbb{T}(\mathbf{x})(\cot \mathbb{F}^{-1})$  is symmetric.

Remark. We have the following identity (using Piola's identity):

$$\nabla \cdot \mathbb{T}(\mathbf{x}) = \det \mathbb{F}(\mathbf{x}) \nabla \cdot \mathbb{T}^y (\mathbf{x}^y)^y. \tag{8}$$

#### 2.2.2 Balance equations in the deformed configuration

Recall the balance of momentum

$$-\nabla \cdot (\mathbb{T}^y(\mathbf{x}^y)) = \mathbf{f}^y(\mathbf{x}^y) \text{ in } \Omega^y,$$

multiplying by det  $\mathbb{F} > 0$  yields

$$\det \mathbb{F} \nabla \cdot (\mathbb{T}^y(\mathbf{x}^y)) = \det \mathbb{F}(\mathbf{x}) \mathbf{f}^y(\mathbf{x}^y), \tag{9}$$

which begs for the definition

$$f(x) = \det \mathbb{F}(x)f^y(y(x)),$$

as the force in the referential configuration.

In total, the total acting body force on the body can be written as

$$\int_{\mathbf{y}(\omega)} \mathbf{f}^{y}(\mathbf{x}^{y}) d\mathbf{x}^{y} = \int_{\omega} \mathbf{f}^{y}(\mathbf{y}(\mathbf{x})) \det \mathbb{F}(\mathbf{x}) dx = \int_{\omega} \mathbf{f}(\mathbf{x}) d\mathbf{x}.$$

We can do the same for the balance of surface forces; the total contact force acting on the body is

$$\begin{split} \int_{\Gamma_N^y} \mathbf{g}^y(\mathbf{x}^y) \, \mathrm{d}S^y &= \int_{\partial \omega^y} \mathbf{t}^y(\mathbf{x}^y, \mathbf{n}^y) \, \mathrm{d}S^y = \int_{\partial \mathbf{y}(\omega)} \mathbb{T}^y(\mathbf{x}^y) \mathbf{n}^y \, \mathrm{d}S^y = \\ &= \int_{\partial \omega} \mathbb{T}^y(\mathbf{y}(\mathbf{x})) \cos \mathbb{F}(t, \mathbf{x}) \mathbf{n} \, \mathrm{d}S = \int_{\partial \omega} \mathbb{T}(\mathbf{x}) \mathbf{n} \, \mathrm{d}S \,, \end{split}$$

so if we define

$$\mathbf{g}(\mathbf{x}) = \mathbb{T}(\mathbf{x})\mathbf{n}(\mathbf{x}),$$

as the contact force in the  $referential\ configuration$ , we formally have a similar expression.

# 3 Elasticity

**Definition 3** (Elasticity). We say that a material is **elastic** (or Cauchy elastic) if there is a response function  $\tilde{\mathbb{T}}^D: \Omega^y \times \mathbb{R}^{3\times 3}_+ \to \mathbb{R}^{3\times 3}_{\mathrm{sym}}$  such that

$$\mathbb{T}^y(\mathbf{x}^y) = \tilde{\mathbb{T}}^D(\mathbf{x}, \mathbb{F}).$$

The response function is also called the **constitutive law**.

*Remark.* If we know the material is elastic, we also have the information about the First Piola-Kirchhoff stress, as  $\mathbb{T}(\mathbf{x}) = \mathbb{T}^y(\mathbf{x}^y) \operatorname{cof} \mathbb{F}$ , so

$$\mathbb{T}(\mathbf{x}) = \tilde{\mathbb{T}}^D(\mathbf{x}, \mathbb{F}) \operatorname{cof} \mathbb{F} = \tilde{\mathbb{T}}(\mathbf{x}, \mathbb{F}). \tag{10}$$

#### 3.1 Frame invariance principle

The frame invariance principle states:

$$\tilde{\mathbb{T}}^D(\mathbf{x},\mathbb{R}\mathbf{x}) = \mathbb{R}\tilde{\mathbb{T}}^D(\mathbf{x},\mathbb{F})\mathbb{R}^{\top}, \forall \mathbb{R} \in \mathrm{SO}(3)\,, \forall \mathbf{x} \in \overline{\Omega},$$

from which it follows ( $\tilde{\mathbb{T}}$  is defined in 10)

$$\tilde{\mathbb{T}}(\mathbf{x}, \mathbb{RF}) = \det(\mathbb{RF})\tilde{\mathbb{T}}^{D}(\mathbf{x}, \mathbb{RF})(\mathbb{RF})^{-\top} = \det(\mathbb{RF})\mathbb{R}\tilde{\mathbb{T}}^{D}(\mathbf{x}, \mathbb{F})\mathbb{R}^{\top}\mathbb{RF}^{-\top} = \det\mathbb{F}\mathbb{R}\tilde{\mathbb{T}}^{D}(\mathbf{x}, \mathbb{F})\mathbb{F}^{-\top} = \mathbb{R}\tilde{\mathbb{T}}(\mathbf{x}, \mathbb{F}),$$
thus

$$\tilde{\mathbb{T}}(\mathbf{x}, \mathbb{RF}) = \mathbb{R}\tilde{\mathbb{T}}(\mathbf{x}, \mathbb{F}), \text{ i.e. } \mathbb{R}^{\top}\tilde{\mathbb{T}}(\mathbf{x}, \mathbb{RF}) = \tilde{\mathbb{T}}(\mathbf{x}, \mathbb{F}), \forall \mathbb{R} \in SO(3), \forall \mathbb{F} \in \mathbb{R}^{3\times 3}_{+}.$$

# 3.2 Isotropic material

Recall  $\mathbb{T}^y(\mathbf{x}^y) = \tilde{\mathbb{T}}^D(\mathbf{x}, \mathbb{F}), \mathbf{y} : \overline{\Omega} \to \Omega^y = \mathbf{y}(\Omega)$ . Take  $\mathbf{x}_0 \in \overline{\Omega}$  general but fixed, take  $\mathbf{v}(\mathbf{z}) = \mathbf{x}_0 + R^{\mathsf{T}}(\mathbf{z} - \mathbf{x}_0)$  for some  $\mathbb{R} \in SO(3)$  and define a new deformation

$$\tilde{\mathbf{y}} = \mathbf{y} \circ \mathbf{v}^{-1} : \mathbf{v}(\overline{\Omega}) \to \mathbf{y}(\overline{\Omega}), \tilde{\mathbf{y}}(\tilde{\mathbf{x}}) = \mathbf{y}(\mathbf{x}_0 + \mathbb{R}(\tilde{\mathbf{x}} - \mathbf{x}_0)).$$

This implies

$$\mathbf{x}_0^y = \mathbf{x}_0^{\tilde{y}}, \mathbb{T}^y(\mathbf{x}_0^y) = \tilde{\mathbb{T}}^D(\mathbf{x}_0, \mathbb{F}) = \mathbb{T}^{\tilde{y}}(\mathbf{x}_0^{\tilde{y}}) = \tilde{\mathbb{T}}^D(\mathbf{x}_0, \tilde{\mathbb{F}}(\mathbf{x}_0)) = \tilde{\mathbb{T}}^D(\mathbf{x}_0, \mathbb{F}(\mathbf{x}_0)\mathbb{R}).$$

**Definition 4** (Isotropic material). We call the material **isotropic** if it holds

$$\tilde{\mathbb{T}}^D(\mathbf{x}, \mathbb{F}) = \tilde{\mathbb{T}}^D(\mathbf{x}, \mathbb{FR}), \forall \mathbb{R} \in SO(3), \forall \mathbb{F} \in \mathbb{R}^{3\times 3}_+.$$

*Remark.* For the first Piola-Kirchhoff we obtain:  $\mathbb{T}^D(\mathbf{x}, \mathbb{FR}) = \mathbb{T}^D(\mathbf{x}, \mathbb{F})\mathbb{R}$ , which means

$$\mathbb{T}^D(\mathbf{x},\mathbb{QFR}) = \mathbb{Q}\tilde{\mathbb{T}}^D\mathbb{R}, \forall \mathbb{R}, \mathbb{Q} \in \mathrm{SO}(3)\,, \forall \mathbb{F} \in \mathbb{R}_+^{3\times 3}.$$

# 3.3 Hyperelastic materials

**Definition 5.** We say that a material is hyperelastic if there is a function  $W: \overline{\Omega} \times \mathbb{R}^{3\times 3}_+ \to \mathbb{R}$  such that

$$\mathbb{T}(\mathbf{x}) = \widetilde{\mathbb{T}}(\mathbf{x}, \mathbb{F}) = \frac{\partial W(\mathbf{x}, \mathbb{F})}{\partial \mathbb{F}}, \mathbb{F} = \nabla \mathbf{y}(\mathbf{x}).$$

The function  $W, [W] = \frac{J}{m^3} = \frac{Nm}{m^3} = \text{Pa}$  is called **stored energy density.** 

Remark. Evidently, W has a potential.

#### 3.4 Properties of W

It is physical to assume

- 1.  $W \ge 0$  (energy is nonnegative)
- 2.  $W(\mathbf{x}, \mathbb{F}) = W(\mathbf{x}, \mathbb{RF}), \forall \mathbb{R} \in SO(3), \forall \mathbf{x} \in \overline{\Omega}, \forall \mathbb{F} \in \mathbb{R}^{3\times 3}_{+}$ . (energy does not change under rotations <sup>1</sup>

 $<sup>^{1}\</sup>mathrm{If}$  this was not true, you could create infinite energy by just spinning a rubber.

- 3.  $W(\mathbf{x}, \tilde{\mathbb{R}}\mathbb{U}) = W(\mathbf{x}, \mathbb{U}), \mathbb{U} = \sqrt{\mathbb{C}}$ . (matrices are from the polar decomposition)
- 4.  $W(\mathbf{x}, \mathbb{F}) \to \infty$  if det  $\mathbb{F} \to 0_+$  (it takes infinite energy to deform the body to a point)
- 5.  $W(\mathbf{x}, \mathbb{F}) \ge \alpha (\|\mathbb{F}\|^p + \|\operatorname{cof} \mathbb{F}\|^q + (\det \mathbb{F})^r) d, \forall \alpha > 0, \forall p, q, r \ge 1, \forall d \in \mathbb{R}, \forall \mathbf{x} \in \overline{\Omega}, \forall \mathbb{F} \in \mathbb{R}^{3 \times 3}_+$ .

**Definition 6** (Natural state of the body). The natural state of the body is the state in which

$$W(\mathbf{x}, \mathbb{F}) = 0 \wedge \frac{\partial W}{\partial \mathbb{F}}(\mathbf{x}, \mathbb{F}) = 0. \tag{11}$$

Remark (Unnatural vegetable). Not all materials (bodies) have its natural states. Zum Beispiel, carrot does not have a natural state.

From the previous work, we can write  $\mathbb{R}^{\mathsf{T}} \frac{\partial W(\mathbf{x}, \mathbb{R}\mathbb{F})}{\partial \mathbb{F}} = \frac{\partial W(\mathbf{x}, \mathbb{F})}{\partial \mathbb{F}}$ , and for brevity denote  $W(\mathbf{x}, \mathbb{R}\mathbb{F}) = W_R(\mathbf{x}, \mathbb{F})$ . Next, we suppose we can Taylor expand:

$$W_{R}(\mathbf{x}, \mathbb{F} + \tilde{\mathbb{F}}) = W(\mathbf{x}, \mathbb{R}\mathbb{F} + \mathbb{R}\tilde{\mathbb{F}}) = W(\mathbf{x}, \mathbb{R}\mathbb{F}) + \frac{\partial W(\mathbf{x}, \mathbb{R}\mathbb{F})}{\partial \mathbb{F}} : (\mathbb{R}\tilde{\mathbb{F}}) + \text{h.o.t.}$$
$$= W_{R}(\mathbf{x}, \mathbb{F}) + \mathbb{R}^{\mathsf{T}} \frac{\partial W(\mathbf{x}, \mathbb{R}\mathbb{F})}{\partial \mathbb{F}} : \tilde{\mathbb{F}} + \text{h.o.t.}.$$

Moreover

$$W_R(\mathbf{x}, \mathbb{F} + \tilde{\mathbb{F}}) = W_R(\mathbf{x}, \mathbb{F}) + \frac{\partial W_R(\mathbf{x}, \mathbb{F})}{\partial \mathbb{F}} : \tilde{\mathbb{F}}.$$

Altogether

$$\frac{\partial}{\partial \mathbb{F}} (W_R(\mathbf{x}, \mathbb{F}) - W_R(\mathbf{x}, \mathbb{F})) = 0,$$

from which it follows <sup>2</sup>

$$W(\mathbf{x}, \mathbb{RF}) = W(\mathbf{x}, \mathbb{F}) + k(\mathbb{R}).$$

Take  $\mathbb{F} = \mathbb{I}$ , then

$$W(\mathbf{x}, \mathbb{R}^2) = W(\mathbf{x}, \mathbb{R}\mathbb{R}) = W(\mathbf{x}, \mathbb{R}) + k(\mathbb{R}) = W(\mathbf{x}, \mathbb{I}) + 2k(\mathbb{R}),$$

so

$$W(\mathbf{x}, \mathbb{R}^n) = W(\mathbf{x}, \mathbb{I}) + nk(\mathbb{R}).$$

Since the set of rotations is closed and bounded, it is compact, so there exists a convergent subsequence of  $\{\mathbb{R}^n\}$ . Moreover, we assume W to be continuous (we took the derivative...), so  $\lim_{n\to\infty} W(\mathbf{x},\mathbb{R}^n)$  exists and from the properties of W we get it is finite. But then  $k(\mathbb{R}) = 0$ , as otherwise  $nk(\mathbb{R}) \to \infty$ . All in all, we have shown

$$W(\mathbf{x}, \mathbb{RF}) = W(\mathbf{x}, \mathbb{F}). \tag{12}$$

**Definition 7** (Energy functional). Let us have  $\partial\Omega = \Gamma_N \cup \Gamma_D$ ,  $\Gamma_N \cap \Gamma_D = \emptyset$ , where the parts of the boundary are those when Neumann/Dirichlet boundary conditions are prescribed. The energy functional of the material is the functional

$$I(\mathbf{y}) = \int_{\Omega} W(\mathbf{x}, \mathbb{F}(\mathbf{x})) d\mathbf{x} - \int_{\Omega} \mathbf{f}(\mathbf{x}) \cdot \mathbf{y}(\mathbf{x}) d\mathbf{x} - \int_{\Gamma_{N}} \mathbf{g}(\mathbf{x}) \cdot \mathbf{y}(\mathbf{x}) dS,$$

<sup>&</sup>lt;sup>2</sup>The set of matrices with positive determinant is connected.

where the first part corresponds to the stored energy and the remaining terms are the work done by the external loads.

*Remark.* If y is the minimizer of I, then  $I(t\varphi + y) \ge I(y), \forall t, \varphi$ . If we denote

$$a(t) \coloneqq I(t\varphi + \mathbf{y}),$$

then it most hold

$$0 = a'(0) = \frac{\mathrm{d}}{\mathrm{d}t} \left( \int_{\Omega} W(\mathbb{F} + t\nabla \varphi) \, \mathrm{d}\mathbf{x} - \int_{\Omega} \mathbf{f}(\mathbf{x}) \cdot (\mathbf{y}(\mathbf{x}) + t\varphi(\mathbf{x})) \, \mathrm{d}\mathbf{x} - \int_{\Gamma_N} \mathbf{g}(\mathbf{x}) \cdot (\mathbf{y}(\mathbf{x}) + t\varphi(\mathbf{x})) \, \mathrm{d}\mathbf{x} \right) \Big|_{t=0},$$

calculating the derivatives yields

$$0 = \int_{\Omega} \frac{\partial W(\mathbb{F})}{\partial \mathbb{F}} : \nabla \varphi \, d\mathbf{x} - \int_{\Omega} \mathbf{f} \cdot \varphi \, d\mathbf{x} - \int_{\Gamma_{N}} \mathbf{g} \cdot \varphi \, dS =$$

$$= \int_{\Omega} \frac{\partial}{\partial x_{j}} \left( \frac{\partial W(\mathbb{F})}{\partial F_{ij}} \varphi_{i} \right) d\mathbf{x} - \int_{\Omega} \frac{\partial}{\partial x_{j}} \left( \frac{\partial W(\mathbb{F})}{\partial F_{ij}} \right) \varphi_{i} \, d\mathbf{x} - \int_{\Omega} \mathbf{f} \cdot \varphi \, d\mathbf{x} - \int_{\Gamma_{N}} \mathbf{g} \cdot \varphi \, dS =$$

$$= \int_{\Gamma_{N}} \frac{\partial W(\mathbb{F})}{\partial F_{ij}} \varphi_{i} n_{j} \, dS - \int_{\Omega} \frac{\partial}{\partial x_{j}} \left( \frac{\partial W(\mathbb{F})}{\partial F_{ij}} \right) \varphi_{i} \, d\mathbf{x} - \int_{\Omega} \mathbf{f} \cdot \varphi \, d\mathbf{x} - \int_{\Gamma_{N}} \mathbf{g} \cdot \varphi \, dS,$$

so it must hold

$$-\frac{\partial}{\partial x_j} \left( \frac{\partial W(\mathbb{F})}{\partial F_{ij}} \right) = f_i \text{ in } \Omega, \frac{\partial W(\mathbb{F})}{\partial F_{ij}} n_j = g_i \text{ on } \Gamma_N.$$

This is exactly

$$-\nabla \cdot \mathbb{T} = \mathbf{f} \text{ in } \Omega, \mathbb{T} \mathbf{n} = \mathbf{g}, \text{ on } \Gamma_N.$$

This implies that  $\mathbf{y}$  minimizes energy  $\Leftrightarrow \mathbf{y}$  is governed by the equations of classical mechanis.

Are there some other qualities of W? It is natural to assume

$$W(\mathbb{I}) = 0 \Rightarrow W(\mathbb{R}) = 0, \forall \mathbb{R} \in SO(3)$$

and  $W(\mathbb{F}) > 0$  whenever  $\mathbb{F} \notin SO(3)$  This however implies W is not convex! Assume

$$\mathbb{R}_1 = \begin{bmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{bmatrix}, \mathbb{R}_2 = \mathbb{I},$$

then

$$W\left(\frac{1}{4}\mathbb{R}_{1} + \frac{3}{4}\mathbb{R}_{2}\right) > \frac{1}{4}W(\mathbb{R}_{1}) + \frac{3}{4}W(\mathbb{R}_{2}) = 0.$$

**Example** (Minimizer does not exist). Assume  $J(u) = \int_0^1 (1 - (u'(x))^2)^2 + u(x)^2 dx$ ,  $u \in W^{1,4}(0,1)$ , u(0) = u(1) = 0, and find the minimum of J. First of all, J > 0, so the minimum also. I can take  $u_k$  such that  $u'_k(x) = 1$  on (0,1/2) and  $u'_k(x) = -1$  on (1/2,1). Then  $J(u_k) \to 0 \Rightarrow \inf J = 0$  but there is no minimizer.

Not everything is lost...

**Definition 8** (Polyconvexity, 1977 J.M. Ball).  $W : \mathbb{R}^{3\times3} \to \mathbb{R} \cup \{\infty\}$  is polyconvex provided there exists convex and lower-semicontinuous function  $h : \mathbb{R}^{19} \to \mathbb{R} \cup \{\infty\}$ :

$$W(\mathbb{A}) = h(\mathbb{A}, \operatorname{cof} \mathbb{A}, \det \mathbb{A}).$$

**Example.** • If W is convex and lower-semicontinuous then W is polyconvex.

•  $W(\mathbb{A}) = \det \mathbb{A}$  is polyconvex but not convex.

Remark (Weak convergence in  $L_p(\Omega; \mathbb{R}^3)$ ). Let  $1 and <math>\{\mathbf{u_k}\} \subset L_p(\Omega; \mathbb{R}^3)$ . We say  $\{\mathbf{u_k}\}$  converges weakly to  $\mathbf{u}$  in  $L_p(\Omega; \mathbb{R}^3)$  provided

$$\int_{\Omega} \mathbf{u}_{\mathbf{k}} \cdot \boldsymbol{\varphi} \, \mathrm{d}\mathbf{x} \to \int_{\Omega} \mathbf{u} \cdot \boldsymbol{\varphi} \, \mathrm{d}\mathbf{x} \,, \, \forall \boldsymbol{\varphi} \in L_{p'} \big(\Omega; \mathbb{R}^3 \big) \,.$$

**Theorem 2** (Magic). Assume that  $\mathbf{y}^k$  converges weakly to  $\mathbf{y}$  in  $W^{1,p}(\Omega; \mathbb{R}^3)$ ,  $\Omega \subset \mathbb{R}^3 \in C^{0,1}$ , p > 3. Then  $\det \nabla \mathbf{y}^k$  converges weakly to  $\det \nabla \mathbf{y}$  in  $L_{\frac{p}{3}}(\Omega)$ . Moreover  $\cot \nabla \mathbf{y}^l$  converges weakly to  $\cot \nabla \mathbf{y}$  in  $L_{\frac{p}{3}}(\Omega; \mathbb{R}^{3 \times 3})$ .

*Proof.* Only in 2 dimensions. The determinant can be written as:

$$\det \nabla \mathbf{y} = \frac{\partial y_1}{\partial x_1} \frac{\partial y_2}{\partial x_2} - \frac{\partial y_1}{\partial x_2} \frac{\partial y_2}{\partial x_1} = \frac{\partial}{\partial x_1} \left( y_1 \frac{\partial y_2}{\partial x_2} \right) - \frac{\partial}{\partial x_2} \left( y_1 \frac{\partial y_2}{\partial x_1} \right) = \nabla \cdot \left( y_1, -\frac{\partial y_2}{\partial x_1} \right),$$

so then

$$\int_{\Omega} \det \nabla \mathbf{y}^k \varphi \, \mathrm{d}x = \int_{\Omega} \frac{\partial}{\partial x_1} \left( y_1^k \frac{\partial y_2^k}{\partial x_2} \right) \varphi \, \mathrm{d}x - \int_{\Omega} \frac{\partial}{\partial x_2} \left( y_1^k \frac{\partial y_2^k}{\partial x_1} \right) \varphi \, \mathrm{d}x = -\int_{\Omega} y_1^k \frac{\partial y_2^k}{\partial x_2} \frac{\partial \varphi}{\partial x_1} \, \mathrm{d}x + \int_{\Omega} \frac{\partial \varphi}{\partial x_2} y_1^k \frac{\partial y_2^k}{\partial x_1} \, \mathrm{d}x \, ,$$

and the result follows from the embedding theorems and strong convergence (strong times weak gives weak convergence).  $\Box$ 

### 3.5 Rank-one convexity

Assume the following domain:  $\Omega = (1,2) \times (0,4\pi) \times (1,2)$  and the deformation

$$\mathbf{y}: \overline{\Omega} \to \mathbb{R}^3, \mathbf{y}(x_1, x_2, x_3) = (x_1 \cos x_2, x_1 \sin x_2, x_3), \mathbb{F} = \begin{bmatrix} \cos x_2 & -x_1 \sin x_2 & 0\\ \sin x_2 & x_1 \cos x_2 & 0\\ 0 & 0 & 1 \end{bmatrix}.$$

We can calculate det  $\mathbb{F} = x_1 \cos^2 x_2 + x_1 \sin^2 x_2 = x_1$ . But even though the deformation has positive determinant, we still face self-penetration issues, i.e., **y** is not injective.

**Theorem 3** (Ciarlet-Nečas condition). Let p>3 and let  $\det \mathbb{F}>0$  a.e. in  $\Omega\subset\mathbb{R}^3,\mathbf{y}\in W^{1,p}\big(\Omega;\mathbb{R}^3\big)$ . If

$$\int_{\Omega} \det \mathbb{F}(\mathbf{x}) \, d\mathbf{x} \le \lambda(\mathbf{y}(\Omega))$$

then  $\mathbf{y}$  is injective almost everywhere in  $\Omega$ , i.e.,  $\exists \omega \in \Omega : \lambda(\omega) = 0, \mathbf{y}|_{\Omega/\omega}$  is injective.

Is the determinant condition of any use? Let us compute, assuming  ${\bf y}={\bf 0}$  on  $\partial\Omega.$ 

$$\int_{\Omega} \det \mathbb{F} d\mathbf{x} = \int_{\Omega} \frac{\partial}{\partial x_1} \left( y_1 \frac{\partial y_2}{\partial x_2} \right) - \frac{\partial}{\partial x_2} \left( y_1 \frac{\partial y_2}{\partial x_1} \right) d\mathbf{x} = \int_{\partial \Omega} y_1 \frac{\partial y_2}{\partial x_2} n_1 - y_1 \frac{\partial y_2}{\partial x_1} n_2 dS \underset{y=0 \text{ on } \partial \Omega}{\Longrightarrow} \int_{\Omega} \det \mathbb{F}(\mathbf{x}) d\mathbf{x} = 0.$$

This is powerful! Assume that  $\mathbf{y}(\mathbf{x}) = \mathbb{F}\mathbf{x}$  on  $\partial\Omega$ , then

$$\int_{\Omega} \det \mathbb{F}(\mathbf{x}) \, \mathrm{d}\mathbf{x} = \lambda(\Omega) \det \mathbb{F}.$$

Now let

$$I(\mathbf{y}) = \int_{\Omega} \det \mathbb{F}(\mathbf{x}) d\mathbf{x}, \mathbf{y} \in W^{1,p}(\Omega; \mathbb{R}^3), \mathbf{y}(\mathbf{x}) = \mathbb{F}\mathbf{x}.$$

Then I is constant<sup>3</sup> and it holds

$$I(\mathbf{y}) = \lambda(\Omega) \det \mathbb{F}.$$

# 4 Linearized elasticity

Recall the Right Cauchy-Green tensor:  $\mathbb{C} = \mathbb{F}^{\mathsf{T}}\mathbb{F}$ . Using it, we can define

**Theorem 4** (Green-Lagrange strain tensor/Green-St.-Venaint strain tensor). Let  $\mathbb{C}$  be the Right Cauchy-Green tensor. We define the Green-Lagrange strain tensor/Green-St.-Venaint strain tensor as

$$\mathbb{E} = \frac{1}{2}(\mathbb{C} - \mathbb{I}).$$

Remark. The Green-St.-Venaint strain tensor can be rewritten as:

$$\mathbb{E} = \frac{1}{2} \left( (\mathbb{I} + \nabla \mathbf{u})^{\mathsf{T}} (\mathbb{I} + \nabla \mathbf{u}) - \mathbb{I} \right) = \frac{1}{2} \left( \nabla \mathbf{u} + (\nabla \mathbf{u})^{\mathsf{T}} \right) + \frac{1}{2} (\nabla \mathbf{u})^{\mathsf{T}} \nabla \mathbf{u} = e(\mathbf{u}) + \frac{1}{2} \mathbb{C}(\nabla \mathbf{u}).$$

For the stored energy density, we can write

$$W(\mathbb{F}) = W(\mathbb{RF}) = \overline{W}(\mathbb{C}(\mathbb{F})) = \hat{W}(\mathbb{E}(\mathbb{F})).$$

and also

$$W(\mathbb{F}) = \hat{W}(e(\mathbf{u}) + \mathbb{C}(\nabla \mathbf{u})).$$

It is our assumption that

$$\hat{W}(\mathbb{O}) = 0, \hat{W}(\mathbb{E}) > 0 \text{ if } \mathbb{E} \neq \mathbb{O},$$

and also that

$$\mathbb{C}(\nabla \mathbf{u}) = \mathbf{0}.$$

Using Taylor expansion, we can write

$$\hat{W}(\mathbf{e}(\mathbf{u})) = \hat{W}(\mathbf{0}) + \frac{\partial \hat{W}}{\partial \mathbf{e}}(\mathbf{0})\mathbf{e}(\mathbf{u}) + \frac{1}{2}\frac{\partial^2 \hat{W}}{\partial \mathbf{e}^2}(\mathbf{0})\mathbf{e}(\mathbf{u})\mathbf{e}(u) + \text{h.o.t.}.$$

Since  $\hat{W}(0) = \frac{\partial \hat{W}}{\partial 0}(0) = 0$  the above (formal) manipulation leads us to the definition

<sup>&</sup>lt;sup>3</sup>All constant functionals are convex.

**Definition 9** (Tensor of elastic constants).

$$\mathbb{C} = \frac{\partial^2 \hat{W}}{\partial e^2}(\mathbb{O}), C_{ijkl} = \frac{\partial^2 \hat{W}}{\partial e_{ij} e_{kl}^2}.$$

*Remark.* Since we assume  $\hat{W}$  is smooth, we have some symmetries, and from the general 81 components of  $C_{ijkl}$  only 21 are unique.

With the notion of a tensor of elastic constants, we can then write the stored energy density as

$$w(e) = \frac{1}{2}(Ce) : e.$$

Following our definition  $\mathbb{T}=\frac{\partial \hat{W}}{\partial \mathbb{F}}$  we see

$$\sigma = \frac{\partial w(\mathbf{e})}{\partial \mathbf{e}} = \mathbb{C}\mathbf{e}, \sigma_{ij} = C_{ijkl}e_{kl}.$$

Is a useful notion of stress. It is denoted as the Cauchy stress. or in components

$$\sigma_{ij} = C_{ijkl}e_{kl}$$
.

# 4.1 Equations

Rewritting the equations in the linearized elasticity setting we obtain the system

$$-\nabla \cdot \sigma = -\nabla \cdot (\mathbb{C}_{\mathbb{C}}) = \mathbf{f} \text{ in } \Omega$$
$$\sigma \mathbf{n} = \mathbf{g} \text{ on } \Gamma_N,$$
$$\mathbf{u} = \mathbf{0} \text{ on } \Gamma_D.$$

The weak formulation can be obtained as

$$\int_{\Omega} \frac{\partial}{\partial x_{i}} (C_{ijkl} e_{kl}) v_{i} \, \mathrm{d}\mathbf{x} = \int_{\Omega} \mathbf{f} \cdot \mathbf{v} \, \mathrm{d}\mathbf{x}, \, \forall \mathbf{v} \in \mathbf{W}^{1,2} (\Omega; \mathbb{R}^{3}), u = 0 \, \mathrm{on} \, \Gamma_{D},$$

so

$$\int_{\Omega} C_{ijkl} e_{kl} \frac{\partial v_i}{\partial x_j} \, d\mathbf{x} - \int_{\partial \Omega} C_{ijkl} e_{kl} v_i n_j \, dS = \int_{\Omega} \mathbf{f} \cdot \mathbf{v} \, d\mathbf{x} \,,$$

which can be rewritten as

$$\underbrace{\int_{\Omega} \mathbb{C} \mathbf{e}(\mathbf{u}) \cdot \mathbf{e}(\mathbf{v}) \, \mathrm{d}\mathbf{x}}_{:=B(u,v)} = \underbrace{\int_{\Omega} \mathbf{f} \cdot \mathbf{v} \, \mathrm{d}\mathbf{x} + \int_{\Gamma_N} \mathbf{g} \cdot \mathbf{v} \, \mathrm{d}S}_{:=L(v)},$$

where we have denoted

$$e(\mathbf{v}) = \operatorname{sym}(\nabla \mathbf{v}).$$

We are looking for

$$u \in V = \{u \in W^{1,2}(\Omega; \mathbb{R}^3), \text{tr } u = 0 \text{ on } \Gamma_D\} : B(u,v) = L(v) \forall v \in V,$$

and to prove the existence, we will use the Lax-Milgram lemma. Show that

•  $L \in V^*$ 

#### • $B: V \times V \to \mathbb{R}$ is V-bounded and V-coercive

Realize that in order to show the properties, we would have to be able to control  $\nabla \mathbf{u}$  by sym ( $\nabla \mathbf{u}$ ). Is that even possible?

**Example.** Let u = 0 on  $\partial \Omega$ . Then

$$\exists C > 0: \int_{\Omega} |\mathbf{e}(\mathbf{u})|^2 d\mathbf{x} \ge c \int_{\Omega} |\nabla \mathbf{u}|^2 d\mathbf{x}.$$

Theorem 5 (Korn's inequality).

### 5 Tutorials

# 5.1 Change of observer

The requirement of material frame indifference yields:

$$W = \hat{W}(\mathbb{F}) = \hat{W}(\mathbb{QF}), \forall \mathbb{Q} \in \text{ orth }.$$

# 5.2 Change of reference configuration

The requiremenent of material symmetry yields:

$$W = \hat{W}(\mathbb{F}) = \hat{W}(\mathbb{FP}), \forall \mathbb{P} \in \mathcal{G},$$

where  $\mathcal{G}$  is the symmetry group of the material.

# 5.3 Consequences of isotropic hyperelastic solid

Remark (Groups unim, orth). The "biggest sensible" symmetry group is the unimodular group:

unim = 
$$\{\mathbb{P}, \det \mathbb{P} = \pm 1\}.$$

There exists another common group:

$$\operatorname{orth} \left\{ \mathbb{Q}, \mathbb{Q} \mathbb{Q}^{\top} = \mathbb{Q}^{\top} \mathbb{Q} = \mathbb{I} \right\} \subset \operatorname{unim}.$$

We thus have  $W = \hat{W}(\mathbb{F}) = \hat{W}(\mathbb{QF}) = \hat{W}(\mathbb{FQ}), \forall \mathbb{Q} \in \text{orth}, \forall \mathbb{F}.$ 

Use polar decomposition:  $\mathbb{F} = \mathbb{R}\mathbb{U} = \mathbb{V}\mathbb{R}, \mathbb{R} \in \text{ orth }, \mathbb{U}, \mathbb{V} \text{ positively definite }, \mathbb{U} = \sqrt{\mathbb{C}}, \mathbb{V} = \sqrt{\mathbb{B}}.$ 

To make use of it, we first use m.f.i. and then isotropy and then first use isotropy and then m.f.i. So from material frame indifference

$$W = \hat{F}(\mathbb{F}) = \hat{W}(\mathbb{QF}) = \hat{W}(\mathbb{R}^{\mathsf{T}} \mathbb{RU}) = \hat{W}(\mathbb{U}) = \overline{W}(\mathbb{C}),$$

where we have taken  $\mathbb{Q} = \mathbb{R}^{\mathsf{T}}$ . Note that this works universaly (without the need of isotropy), as it comes from the objectivity consideration.

From isotropy

$$W = \hat{W}(\mathbb{F}) = \hat{W}(\mathbb{FQ}) = \overline{W}(\mathbb{C}) = \overline{W}((\mathbb{FQ})^{\mathsf{T}}(\mathbb{FQ})) = \overline{W}(\mathbb{Q}^{\mathsf{T}}\mathbb{F}^{\mathsf{T}}\mathbb{FQ}) = \overline{W}(\mathbb{Q}^{\mathsf{T}}\mathbb{CQ}), \forall Q \in \text{ orth }, \forall \mathbb{C} \text{ admissable }.$$

Now backwards using the second polar decomposition:

$$W = \hat{W}(\mathbb{F}) = \hat{W}(\mathbb{FQ}) = \hat{W}(\mathbb{VRQ}) = \hat{W}(\mathbb{VRR}^{\mathsf{T}}) = \hat{W}(\sqrt{\mathbb{B}}) = \tilde{W}(\mathbb{B}),$$

$$W = \tilde{W}(\mathbb{B}) = \tilde{W}(\mathbb{QF}(\mathbb{QF})^{\mathsf{T}}) = \tilde{W}(\mathbb{QBQ}^{\mathsf{T}}).$$

So far, we have shown

$$W(t, \mathbf{X}) = \overline{W}(\mathbb{C}(t, \mathbf{X}), \mathbf{X}) = \overline{W}(\mathbb{Q}\mathbb{C}\mathbb{Q}^{\mathsf{T}}),$$

$$W(t, \mathbf{X}) = \tilde{W}(\mathbb{B}(t, \mathbf{X}), \mathbf{X}) = \tilde{W}(\mathbb{Q}\mathbb{B}\mathbb{Q}^{\mathsf{T}}),$$

In HW, we will know

$$\mathbb{T} = 2\frac{\partial \hat{W}(\mathbb{F})}{\partial \mathbb{F}}, T_{iJ} = 2\frac{\partial \hat{W}}{\partial F_{iJ}}$$

and we can show

$$\mathbb{T} = 2 \frac{\partial \hat{W}(\mathbb{F})}{\partial \mathbb{F}} = 2 \mathbb{F} \frac{\partial \tilde{W}(\mathbb{B})}{\partial \mathbb{B}} \mathbb{F}, \mathbb{T}^y = \dots, \mathbb{S} = 2 \frac{\partial \overline{W}(\mathbb{C})}{\partial \mathbb{C}}.$$

**Definition 10** (Isotropic functions). We say the functions  $\hat{a}(y_{\alpha}, \mathbf{y}_{\alpha}, \mathbb{Y}_{\alpha})$ ,  $\hat{\mathbf{a}}(y_{\alpha}, \mathbf{y}_{\alpha}, \mathbb{Y}_{\alpha})$ ,  $\hat{\mathbb{A}}(y_{\alpha}, \mathbf{y}_{\alpha}, \mathbb{Y}_{\alpha})$ ,  $\hat{\mathbb{A}}(y_{$ 

$$\hat{a}(y_{\alpha}, \mathbf{y}_{\alpha}, \mathbb{Y}_{\alpha}) = \hat{a}(y_{\alpha}, \mathbb{Q}\mathbf{y}_{\alpha}, \mathbb{Q}\mathbb{Y}_{\alpha}\mathbb{Q}^{\top}),$$

$$\mathbb{Q}\hat{\mathbf{a}}(y_{\alpha}, \mathbf{y}_{\alpha}, \mathbb{Y}_{\alpha}) = \hat{\mathbf{a}}(y_{\alpha}, \mathbb{Q}\mathbf{y}_{\alpha}, \mathbb{Q}\mathbb{Y}_{\alpha}\mathbb{Q}^{\top}),$$

$$\mathbb{Q}\hat{\mathbb{A}}\mathbb{Q}^{\top} = \hat{\mathbb{A}}(y_{\alpha}, \mathbb{Q}\mathbf{y}_{\alpha}, \mathbb{Q}\mathbb{Y}_{\alpha}\mathbb{Q}^{\top}),$$

So we see that  $\overline{W}(\mathbb{C}), \tilde{W}(\mathbb{B})$  are scalar isotropic functions of 1 tensorial (symmetric) argument.

**Theorem 6** (Representation theorem for scalar isotropic functions). Let  $\psi = \hat{\psi}(\mathbb{A}) = \hat{\psi}(\mathbb{Q}\mathbb{A}\mathbb{Q}^{\mathsf{T}})$  be a scalar isotropic function of a single symmetric tensorial variable. Then it must hold

$$\hat{\psi}(\mathbb{A}) \equiv \hat{\psi}(I_1(\mathbb{A}), I_2(\mathbb{A}), I_3(\mathbb{A})),$$

where

$$\begin{split} &I_{1}(\mathbb{A}) = \operatorname{tr} \mathbb{A}, \\ &I_{2}(\mathbb{A}) = \frac{1}{2} \Big( (\operatorname{tr} \mathbb{A})^{2} - \operatorname{tr} \mathbb{A}^{2} \Big), \\ &I_{3}(\mathbb{A}) = \det \mathbb{A}, \end{split}$$

are the invariants of A.

*Proof.* det  $(\mathbb{A} - \lambda \mathbb{I}) = -\lambda^3 + \lambda^2 I_1 - \lambda I_2 + I_3 = p_{\lambda}(\mathbb{A})$  We will prove a different assertion:

 $\mathbb{A}$ ,  $\mathbb{B}$  are symmetric with the same invariants  $\Leftrightarrow \mathbb{BQ}: \mathbb{A} = \mathbb{QBQ}^T$  "  $\Leftarrow$ " is trivial, as then the matrices are similliar, so they have the same char. polynomial, so they have the same invariants.  $\Rightarrow$  have same eigenvalues, so if i write the spectral decomposition, i can write

$$\mathbb{A} = \mathbb{Q} \mathbb{A} \mathbb{Q}^\mathsf{T}, \mathbb{B} = \mathbb{Q} \mathbb{A} \mathbb{R}^\mathsf{T} = \mathbb{R} \mathbb{Q}^\mathsf{T} \mathbb{A} \mathbb{Q} \mathbb{R}^\mathsf{T}.$$

Now suppose that the function is not a function of the invariants:  $\hat{\psi} \neq \tilde{\psi}(I_1, I_2, I_3)$ . That means  $\exists \mathbb{A}_{,}, \mathbb{A}_2$  such that  $I_1(\mathbb{A}_1) = I_1(\mathbb{A}_2)$  and the same for the remaining invariants. Using the previous assertion, we have

$$\exists \mathbb{Q} : \mathbb{A}_1 = \mathbb{Q} \mathbb{A}_2 \mathbb{Q}^\top \Rightarrow \hat{\psi}(\mathbb{A}_1) = \hat{\psi}(\mathbb{Q} \mathbb{A}_2 \mathbb{Q}^\top) = \hat{\psi}(\mathbb{A}_2), \text{ but } \hat{\psi}(\mathbb{A}_1) \neq \hat{\psi}(\mathbb{A}_2).$$

Since using polar decomposition it can be shown the invariants of  $\mathbb{B},\mathbb{C}$  are the same we recieve

$$W = \widetilde{W}(I_1(\mathbb{B}), I_2(\mathbb{B}), I_3(\mathbb{B})) = \overline{W}(I_1(\mathbb{C}), I_2(\mathbb{C}), I_3(\mathbb{C})).$$

# 5.4 Representation in terms of principial stresses

... in terms of the eigenvalues  $\mathbb{U}, \mathbb{V}$ . The invariants can be expressed as

$$\begin{split} &I_1 = \lambda_1 + \lambda_2 + \lambda_3, \\ &I_2 = \lambda_1 \lambda_2 + \lambda_2 \lambda_3 + \lambda_1 \lambda_3, \\ &I_3 = \lambda_1 \lambda_2 \lambda_3. \end{split}$$

Often in materials science the quantites can be expressed in these variables:

Example (Ogden materials).

$$\hat{W}(\lambda_1, \lambda_2, \lambda_3) = \sum_{k=1}^{n} \frac{\mu_k}{\alpha_k} \left( \lambda_1^{\alpha_k} + \lambda_2^{\lambda_k} + \lambda_3^{\alpha_k} - 3 \right)$$

How to calculate e.g.  $\mathbb{T}$  in this representation?

$$\mathbb{T} = 2 \frac{\partial W(\mathbf{I}_1, \mathbf{I}_2, \mathbf{I}_3)}{\partial \mathbb{B}} \mathbb{F} = 2 \frac{\partial \hat{W}}{\partial \mathbb{B}} (\lambda_1(\mathbb{B}), \lambda_2(\mathbb{B}), \lambda_3(\mathbb{B})) 2 \frac{\partial \hat{W}}{\partial \lambda_i} \frac{\partial \lambda_i(\mathbb{B})}{\partial \mathbb{B}} \mathbb{F}.$$

What (in the hell) is  $\frac{\partial \lambda_i(\mathbb{B})}{\partial \mathbb{B}}$ ?

$$\mathbb{B}(s) = \sum_{\alpha=1}^{3} \omega_{\alpha}(s) \mathbf{g}_{\alpha}(s) \otimes \mathbf{g}_{\alpha}(s), \forall s \in I$$

where I is some open interval and  $\{\mathbf{g}_{\alpha}\}$  is an ON eigenbasis of  $\mathbb{B}$ . Next, realize

$$\omega_1(s) = \mathbf{g}_1(s) \cdot \mathbb{B}(s)\mathbf{g}_1(s),$$

and differentiate this:

$$\frac{\mathrm{d}\omega(s)}{\mathrm{d}s} = \frac{\mathrm{d}\mathbf{g}_1}{\mathrm{d}s} \cdot \mathbb{B}\mathbf{g}_1 + \mathbf{g}_1 \frac{\mathrm{d}\mathbb{B}}{\mathrm{d}s} \mathbf{g}_1 + \mathbf{g}_1 \cdot \mathbb{B} \frac{\mathrm{d}\mathbf{g}}{\mathrm{d}s} = \frac{1}{2} + +0.$$

<sup>&</sup>lt;sup>4</sup>Recall the Daleckii-Krein theorem: