

# 1 Partitions, Young diagrams, and multisets

## 1.1 Partitions

We noticed that the elements of  $S_N$  fall into subsets where the permutations have a similar cycle structure (or they are of similar *cycle type*)<sup>1</sup>. *E.g.* in  $S_4$  we had the following types

(1234), <i>etc.</i>	one 4 – cycle	4
(123)(4), <i>etc.</i>	one 3 – cycle, one 1 – cycle	3 + 1
(12)(34), <i>etc.</i>	two 2 – cycles	2 + 2
(12)(3)(4), <i>etc.</i>	one 2 – cycle, two 1 – cycles	2 + 1 + 1
(1)(2)(3)(4), <i>etc.</i>	four 1 – cycles	1 + 1 + 1 + 1

The last column above lists the lengths of all cycles, including 1-cycles. We notice that adding up the lengths always gives 4. Of course, in permuting  $N$  elements, the sum of lengths of all cycles must be  $N$  for the permutation to map all of the  $N$  elements. In the above, the different sums are different *partitions* of 4.

**Definition:** A **partition** of  $N$  is a sum

$$N = \sum_i n_i \quad (1)$$

where all  $n_i \in \mathbb{Z}_+$ . The number of different partitions of  $N$  (different ways of breaking  $N$  into a sum of type (1)), denoted  $p(N)$ , is called the **partition function**.

For example,  $p(4) = 5$ . One way to compute  $p(N)$  is to use a generating function. One can show that the following identity holds:

$$\sum_{N=0}^{\infty} p(N)x^N = \prod_{k=1}^{\infty} \left( \frac{1}{1-x^k} \right) . \quad (2)$$

Now, expanding all terms on the right hand side as Taylor series:

$$\begin{aligned} (1-x)^{-1} &= 1 + x + x^2 + x^3 + \dots \\ (1-x^2)^{-1} &= 1 + x^2 + x^4 + x^6 + \dots \\ (1-x^3)^{-1} &= 1 + x^3 + x^6 + x^9 + \dots \\ &\text{etc.} \end{aligned} \quad (3)$$

then

$$\begin{aligned} \prod_{k=1}^{\infty} \left( \frac{1}{1-x^k} \right) &= (1 + x + x^2 + \dots)(1 + x^2 + x^4 + \dots)(1 + x^3 + \dots) \dots \\ &= 1 + x + 2x^2 + 3x^3 + \dots \end{aligned} \quad (4)$$

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<sup>1</sup>We will also learn later that the subsets will be so-called *conjugacy classes* of  $S_N$ .

Matching the coefficients of  $x^N$  on both sides of (2) gives the values of  $p(N)$ :  $p(0) = 1$ ,  $p(1) = 1$ ,  $p(2) = 2$ ,  $p(3) = 3, \dots$ . For large values of  $N$ , Hardy and Ramanujan derived the famous asymptotic formula






$$p(N) \sim \frac{1}{4N\sqrt{3}} \exp\left(\pi\sqrt{\frac{2N}{3}}\right) \quad , \quad N \rightarrow \infty . \quad (5)$$

## 1.2 Young diagrams

The different partitions can be represented graphically with **Young diagrams**. Recall the partition sum (1). Assume that the summands have been indexed in descending order:  $n_1 \geq n_2 \geq n_3 \geq \dots$ . Then draw a figure with  $n_1$  adjacent boxes on the first row,  $n_2$  boxes in the second row, and so on:

1	2	$\dots$	$n_1 - 2$	$n_1 - 1$	$n_1$
1	2	$\dots$	$n_2 - 1$	$n_2$	
1	2	$\dots$	$n_3$		
$\vdots$	$\vdots$	$\ddots$			

The resulting figure is the Young diagram corresponding to the partition (1). For example, for  $N = 4$  we have the partitions and Young diagrams

4	
3 + 1	
2 + 2	
2 + 1 + 1	
1 + 1 + 1 + 1	

The Young diagrams then also represent graphically the different types of permutations (different conjugacy classes) of  $S_N$ .

## 1.3 Multisets

Permutations reorder the elements of a set  $X$  with *distinct* elements. If we represent the elements by alphabetic letters and orderings as *words*, permutations generate *anagrams*. E.g. for a set of 4 elements E,S,K,O we get  $4!=24$  different anagrams:

ESKO  
SEKO  
OKSE  
EKOS

...

What about words where the same letter appears more than once, such as

### ABRACADABRA

How many different anagrams would we generate now? Let us first define a **multiset**: a set where an element  $x_i$  can appear multiple times, specified by its **multiplicity**  $m_i$ . For example, in

$$X = \{x_1, x_2, x_2, x_2, x_3, x_3\}$$

the element  $x_1$  has multiplicity  $m_1 = 1$ ,  $x_2$  has  $m_2 = 3$ ,  $x_3$  has  $m_3 = 2$ . The total number of elements of  $X$  is  $N = \sum_i m_i$  ( $N = 6$  in the above example), when we do not require that all the elements are distinct. The letters of the word ABRACADABRA form the multiset

$$X = \{A, A, A, A, A, B, B, R, R, C, D\}$$

with  $m_A = 5, m_B = 2, m_R = 2, m_C = m_D = 1$ , and  $N = 11$ . Different words formed by the letters are the the different permutations (reorderings) of the multiset. A priori,  $N$  elements can be reordered  $N!$  times. But there are  $m_1!$  ways to reorder the elements  $x_1$  with no effect,  $m_2!$  ways to reorder the elements  $x_2$ , and so on. Thus the total number of *distinct* reorderings of the elements of  $X$  is

$$\frac{N!}{m_1!m_2!\cdots m_k!} \equiv \binom{N}{m_1, m_2, \dots, m_k} , \quad (6)$$

where  $k$  is the number of distinct elements of  $X$ , and  $\sum_{i=1}^k m_i = N$  is a partition of  $N$ . Equation (6) defines a **multinomial coefficient**, which is a generalization of the binomial coefficient. The name comes from the generalization of the binomial theorem to  $k$  variables, the multinomial theorem

$$(x_1 + \cdots + x_k)^N = \sum_{\{m_i\}} \binom{N}{m_1, m_2, \dots, m_k} x_1^{m_1} x_2^{m_2} \cdots x_k^{m_k}$$

where the sum is over all partitions  $\{m_i\}$  of  $N$ .

Back to the question how many different anagrams of ABRACADABRA there are. The answer is

$$\frac{11!}{5!2!2!1!1!} = 83160 .$$