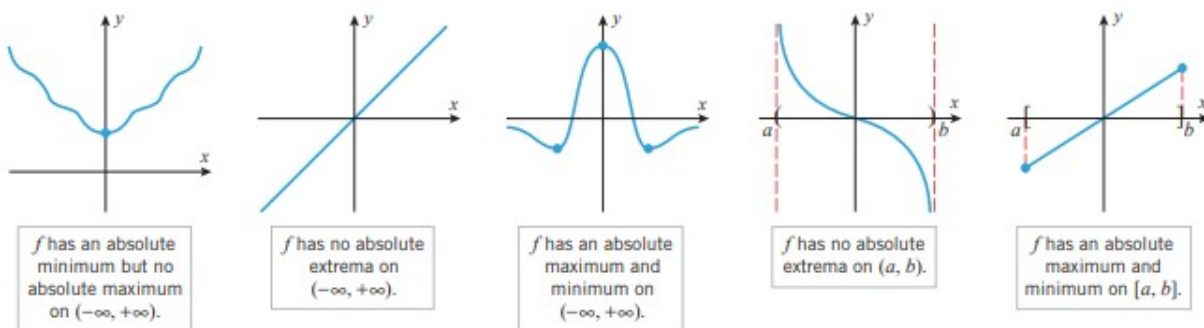


## Chapter # 04

### (The Derivative in Graphing and Applications)

#### 4.4 Absolute Maxima and Minima:

**Absolute Extrema:** Consider an interval in the domain of a function  $f$  and a point  $x_0$  in that interval. We say that  $f$  has an absolute maximum at  $x_0$  if  $f(x) \leq f(x_0)$  for all  $x$  in the interval, and we say that  $f$  has an absolute minimum at  $x_0$  if  $f(x_0) \leq f(x)$  for all  $x$  in the interval. We say that  $f$  has an absolute extremum at  $x_0$  if it has either an absolute maximum or an absolute minimum at that point.



**Theorem (Extreme-Value Theorem):** If a function  $f$  is continuous on a finite closed interval  $[a, b]$ , then  $f$  has both an absolute maximum and an absolute minimum on  $[a, b]$ .

**Theorem:** If  $f$  has an absolute extremum on an open interval  $(a, b)$ , then it must occur at a critical point of  $f$ .

**A Procedure for Finding the Absolute Extrema of a Continuous Function  $f$  on a Finite Closed Interval  $[a, b]$ :**

**Step 1.** Find the critical points of  $f$  in  $(a, b)$ .

**Step 2.** Evaluate  $f$  at all the critical points and at the endpoints  $a$  and  $b$ .

**Step 3.** The largest of the values in **Step 2** is the absolute maximum value of  $f$  on  $[a, b]$  and the smallest value is the absolute minimum

**Example 1:** Find the absolute maximum and minimum values of the function  $f(x) = 2x^3 - 15x^2 + 36x$  on the interval  $[1, 5]$ , and determine where these values occur

**Solution:** Since  $f$  is continuous and differentiable everywhere, the absolute extrema must occur either at endpoints of the interval or at solutions to the equation  $f'(x) = 0$  in the open interval  $(1, 5)$ .

For stationary points,  $f'(x) = 0$

$$\Rightarrow 6x^2 - 30x + 36 = 0 \Rightarrow x^2 - 5x + 6 = 0 \Rightarrow (x - 3)(x - 2) = 0 \quad \therefore x = 2 \text{ \& } x = 3$$

Evaluating  $f$  at the endpoints, at  $x = 2$ , and at  $x = 3$  yields

$$f(1) = 2(1)^3 - 15(1)^2 + 36(1) = 23$$

$$f(2) = 2(2)^3 - 15(2)^2 + 36(2) = 28$$

$$f(3) = 2(3)^3 - 15(3)^2 + 36(3) = 27$$

$$f(5) = 2(5)^3 - 15(5)^2 + 36(5) = 55$$

from which we conclude that the absolute minimum of  $f$  on  $[1, 5]$  is 23, occurring at  $x = 1$ , and the absolute maximum of  $f$  on  $[1, 5]$  is 55, occurring at  $x = 5$ .

**Example 2:** Find the absolute extrema of  $f(x) = 6x^{\frac{4}{3}} - 3x^{\frac{1}{3}}$  on the interval  $[-1, 1]$ , and determine where these values occur.

**Solution:** Note that  $f$  is continuous everywhere and therefore the Extreme-Value Theorem guarantees that  $f$  has a maximum and a minimum value in the interval  $[-1, 1]$ . Differentiating, we obtain

$$f'(x) = 8x^{1/3} - x^{-2/3} = x^{-2/3}(8x - 1) = \frac{8x - 1}{x^{2/3}}$$

Thus,  $f'(x) = 0$  at  $x = \frac{1}{8}$ , and  $f'(x)$  is undefined at  $x = 0$ . Evaluating  $f$  at these critical points and endpoints yields

$x$	-1	0	$\frac{1}{8}$	1
$f(x)$	9	0	$-\frac{9}{8}$	3

from which we conclude that an absolute minimum value of  $-\frac{9}{8}$  occurs at  $x = \frac{1}{8}$ , and an absolute maximum value of 9 occurs at  $x = -1$ .

**Absolute Extrema on Infinity Intervals:** We observed earlier that a continuous function may or may not have absolute extrema on an infinite interval. However, certain conclusions about the existence of absolute extrema of a continuous function  $f$  on  $(-\infty, \infty)$  can be drawn from the behavior of  $f(x)$  as  $x \rightarrow -\infty$  and as  $x \rightarrow +\infty$ .

ABSOLUTE EXTREMA ON INFINITE INTERVALS				
LIMITS	$\lim_{x \rightarrow -\infty} f(x) = +\infty$ $\lim_{x \rightarrow +\infty} f(x) = +\infty$	$\lim_{x \rightarrow -\infty} f(x) = -\infty$ $\lim_{x \rightarrow +\infty} f(x) = -\infty$	$\lim_{x \rightarrow -\infty} f(x) = -\infty$ $\lim_{x \rightarrow +\infty} f(x) = +\infty$	$\lim_{x \rightarrow -\infty} f(x) = +\infty$ $\lim_{x \rightarrow +\infty} f(x) = -\infty$
CONCLUSION IF $f$ IS CONTINUOUS EVERYWHERE	$f$ has an absolute minimum but no absolute maximum on $(-\infty, +\infty)$ .	$f$ has an absolute maximum but no absolute minimum on $(-\infty, +\infty)$ .	$f$ has neither an absolute maximum nor an absolute minimum on $(-\infty, +\infty)$ .	$f$ has neither an absolute maximum nor an absolute minimum on $(-\infty, +\infty)$ .
GRAPH				

**Example 4:** Determine by inspection whether  $p(x) = 3x^4 + 4x^3$  has any absolute extrema. If so, find them and state where they occur.

**Solution:** Since  $p(x)$  has even degree and the leading coefficient is positive,  $p(x) \rightarrow +\infty$  as  $x \rightarrow \pm\infty$ . Thus, there is an absolute minimum but no absolute maximum. The absolute minimum must occur at a critical point of  $p$ . Since  $p$  is differentiable everywhere, we can find all critical points by solving the equation  $p'(x) = 0$ . This equation is

$$12x^3 + 12x^2 = 12x^2(x + 1) = 0$$

from which we conclude that the critical points are  $x = 0$  and  $x = -1$ . Evaluating  $p$  at these critical points yields

$$p(0) = 0 \quad \text{and} \quad p(-1) = -1$$

Therefore,  $p$  has an absolute minimum of  $-1$  at  $x = -1$ .

**Absolute Extrema on open Intervals:** We know that a continuous function may or may not have absolute extrema on an open interval. However, certain conclusions about the existence of absolute extrema of a continuous function  $f$  on a finite open interval  $(a, b)$ .

ABSOLUTE EXTREMA ON OPEN INTERVALS				
LIMITS	$\lim_{x \rightarrow a^+} f(x) = +\infty$ $\lim_{x \rightarrow b^-} f(x) = +\infty$	$\lim_{x \rightarrow a^+} f(x) = -\infty$ $\lim_{x \rightarrow b^-} f(x) = -\infty$	$\lim_{x \rightarrow a^+} f(x) = -\infty$ $\lim_{x \rightarrow b^-} f(x) = +\infty$	$\lim_{x \rightarrow a^+} f(x) = +\infty$ $\lim_{x \rightarrow b^-} f(x) = -\infty$
CONCLUSION IF $f$ IS CONTINUOUS ON $(a, b)$	$f$ has an absolute minimum but no absolute maximum on $(a, b)$ .	$f$ has an absolute maximum but no absolute minimum on $(a, b)$ .	$f$ has neither an absolute maximum nor an absolute minimum on $(a, b)$ .	$f$ has neither an absolute maximum nor an absolute minimum on $(a, b)$ .
GRAPH				

**Example 5:** Determine whether the function

$$f(x) = \frac{1}{x^2 - x}$$

has any absolute extrema on the interval **(0, 1)**. If so, find them and state where they occur.

**Solution:** Since  $f$  is continuous on the interval **(0, 1)** and

$$\begin{aligned}\lim_{x \rightarrow 0^+} f(x) &= \lim_{x \rightarrow 0^+} \frac{1}{x^2 - x} = \lim_{x \rightarrow 0^+} \frac{1}{x(x - 1)} = -\infty \\ \lim_{x \rightarrow 1^-} f(x) &= \lim_{x \rightarrow 1^-} \frac{1}{x^2 - x} = \lim_{x \rightarrow 1^-} \frac{1}{x(x - 1)} = -\infty\end{aligned}$$

the function  $f$  has an absolute maximum but no absolute minimum on the interval **(0, 1)**.

The absolute maximum must occur at a critical point of  $f$  in the interval **(0, 1)**. We have

$$f'(x) = -\frac{2x - 1}{(x^2 - x)^2}$$

$$\text{For } f'(x) = 0 \Rightarrow -\frac{2x-1}{(x^2-x)^2} = 0 \Rightarrow x = \frac{1}{2}.$$

Although  $f$  is not differentiable at  $x = 0$  or at  $x = 1$ , these values are doubly disqualified since they are neither in the domain of  $f$  nor in the interval **(0, 1)**.

Thus, the absolute maximum occurs at  $x = \frac{1}{2}$ , and this absolute maximum value is

$$f\left(\frac{1}{2}\right) = \frac{1}{\left(\frac{1}{2}\right)^2 - \frac{1}{2}} = -4$$

**Home Work: Exercise 4.4: Problem No. 7-13 and 21-27**