

## Recovery Systems

Database recovery management

is the process of restoring the db to the most recent consistent state that existed just before the failure.

=> 3 states db recovery

- Pre condition - in a consistent state.
- Condition - occurs some kind sys failure.
- Post condition - Restore db to the consistent state then existed before failure

Types of failure!

- ① Transaction failure : incorrect i/p., deadlock
- ② System : OS fault, RAM failure.
- ③ Media : Disk head crash, power problem.

Data base to basic update strategy

- copy file

## Types of Failures

① Transaction failure : If transaction succeeds

② Logical errors : Bad I/P, Data not found, overflow, resource limit exceeded, coding error.

③ System Deadlock.

④ System Crash : H/W malfunction, Bug in database SW or OS.

⑤ Disk Failure

A disk block loses its content during data transfer operations due to lack of power stored / back up.

## UNDO & REDO

Undo : It restores the value of data items which are updated by any transaction

To the old values.

Redo: It sets the value of all data items updated by transaction  $T_i$  to the new value.

DO: successfully done now move forward.

$T_i$

R(A)

& R(B)

R(C)

A = A + B

B = B + C

C = A + C

w(A)

commit

w(B)

w(C)

commit

Failure undo log files

Failure redo

There are two types of techniques.

① log based recovery.

② shadow paging.

Log based recovery: it uses LF : obsr

The log is a sequence of log records  
recording all the update activities in  
data base.

log contains complete records of DB activity

various log records are:

- $\langle T_i \text{ start} \rangle$  when transaction  $T_i$  starts.
- $\langle T_i, x_j, v_1, v_2 \rangle$  Transaction  $T_i$  has performed  
a write operation on  $x_j$ .  $x_j$  had value  
 $v_1$  before write &  $v_2$  updated value.
- $\langle T_i \text{ commit} \rangle$   $T_i$  has committed.
- $\langle T_i \text{ abort} \rangle$   $T_i$  has aborted.

$T_i$

R(A)

R(B)

$$A = A + B; j =$$

w(A)

$\langle T_i \text{ start} \rangle$

$\langle \text{read} \rangle$

$\langle T_i, b, A, 5, 12 \rangle$

$\langle T_i \text{ commit} \rangle$

Deferred database modification

This technique ensures transaction atomicity by recording all db modification in log, but deferring the execution of write operation until transaction partially commit:

$\frac{T_0}{R(A)}$

$$A = A - 50$$

w(A)

R(B)

$$B = B + 50$$

w(B)

$\frac{T_1}{R(C)}$

$$C = C - 100$$

w(C)

$$A = 600$$

$$B = 900$$

$$C = 400$$

$$008 + 8 = 8$$

(A) w

(B) w

w

$$008 = 8$$

$$008 = A$$

$\frac{T_0}{}$

< T<sub>0</sub> start >

< T<sub>0</sub>, A, 500 >

F undo → < T<sub>0</sub>, B, 950 >

< T<sub>0</sub> Commit >

$\frac{T_1}{008 = 8}$

< T<sub>1</sub> start >

< T<sub>1</sub>, C, 1100 >

< T<sub>1</sub> commit >

Redo To T<sub>1</sub> Undo

→ F To T<sub>1</sub> Redo

F →

Redo

< Insert into T3 >

< 008 008 into T3 >

< 008 008 into T3 >

< Insert into T3 >

Immediate database modification : benefit

now if you make any modification it will be superposed to other

commit the transaction, so all modifications of b will prob

commute. If two transactions affect the same object

$$\begin{array}{l} T_1 \\ \hline R(A) = A \end{array}$$

$$R(B) = B$$

$$A = A + B$$

$$B = B + 200$$

$$w(A)$$

$$w(B)$$

w

$$\begin{array}{l} T_2 \\ \hline R(C) = C \\ R(D) = D \end{array}$$

$$C = C + D$$

$$W = (C)$$

$$(C) W$$

$$\begin{array}{l} T \\ \hline (A) = A \\ (B) = B \end{array}$$

$$\begin{array}{l} (A) = A \\ (B) = B \end{array}$$

$$\begin{array}{l} (A) = A \\ (B) = B \end{array}$$

$$\begin{array}{l} (A) = A \\ (B) = B \end{array}$$

$$A = 200 \quad A = 800$$

$$B = 600 \quad B = 800$$

$$C = 900 \quad C = 2500$$

$$D = 1600$$

$$\begin{array}{l} T_1 \\ \hline \langle T_1, \text{start} \rangle \end{array}$$

$$\begin{array}{l} ① \quad \langle T_1, A, 200, 800 \rangle \\ \hline \langle T_1, B, 600, 800 \rangle \end{array}$$

$$\begin{array}{l} ② \quad \hline \langle T_1, \text{commit} \rangle \end{array}$$

$\langle \text{trans } T \rangle$

$\langle \text{log } (A, \text{at}) \rangle$

$\langle \text{log } T_2 \rangle$

$\langle T_2, \text{start} \rangle$

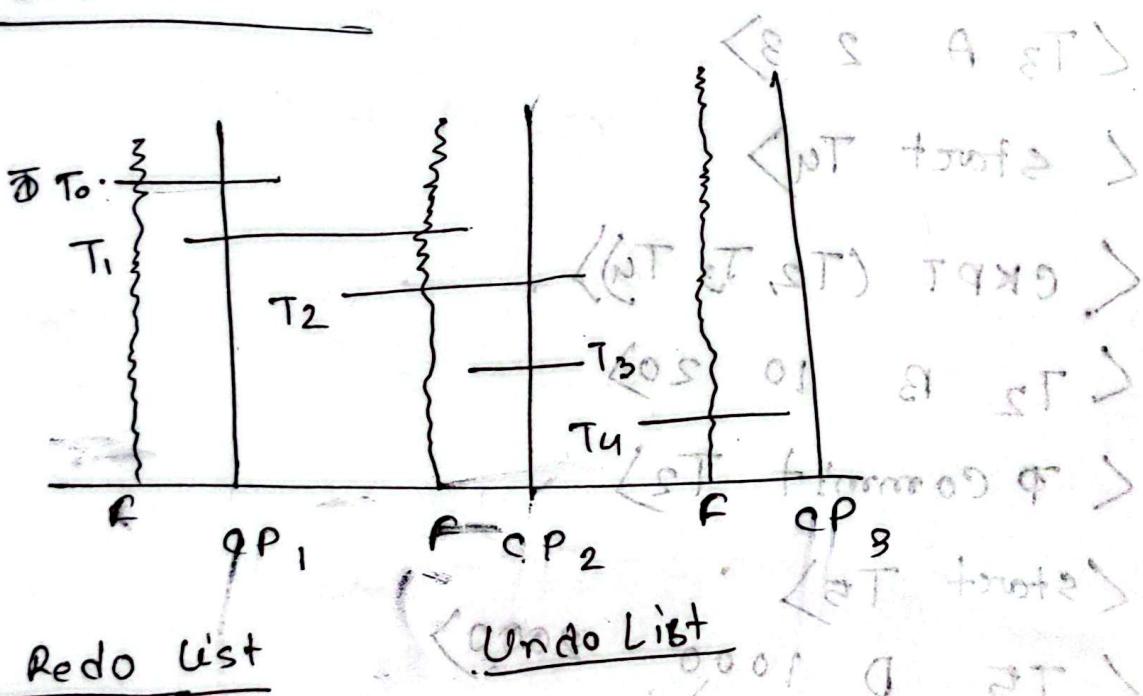
$\langle T_2, C, 900, 2500 \rangle$

$\langle T_2, \text{commit} \rangle$

④ —

- ①  $T_1$  undo       $A = 200$        $\langle ST \text{ fronts} \rangle$   
 ②  $T_1$  Redo       $\langle ST, A, ST \rangle$   
 ③  $T_2$  undo       $T_2 + T_1$  Redo.       $C = 900$        $\langle ST \text{ fronts} \rangle$   
 ④ Redo  $T_1, T_2$        $\langle ST \text{ timers} \rangle$

Check Points!



Redo List

$T_0, T_1$

$\langle \text{checkpoint 2} \rangle$

Undo List

$\langle 0003 001 \dots \rangle$

$\langle ST \text{ timers} \rangle$

$\langle ST \text{ fronts} \rangle$

$\langle NT \text{ logs} \rangle$

$\langle 0008 0009 \dots \rangle$

$\langle \text{start } T_1 \rangle$

00:00:00A

obser IT ①

$\langle T_1 A, 1, 2 \rangle$

00:00:01B

IT ②

$\langle \text{start } T_2 \rangle$

00:00:02C

obser ST ③

$\langle \text{commit } T_1 \rangle$

ST + IT obser

④

$\langle \text{start } T_3 \rangle$

Checkpoint

$\langle T_3 A, 2, 3 \rangle$

$\langle \text{start } T_4 \rangle$

$\langle \text{CKPT } (T_2, T_3, T_4) \rangle$

$\langle T_2 B, 10, 20 \rangle$

$\langle \varphi \text{ commit } T_2 \rangle$

$\langle \text{start } T_5 \rangle$

$\langle T_5 D, 1000, 2000 \rangle$

$\langle T_4 C, 100, 200 \rangle$

$\langle \text{commit } T_5 \rangle$

Committing

$\langle \text{start } T_6 \rangle$

$\langle \text{END CKPT} \rangle$

$\rightarrow \langle T_6 D, 2000, 3000 \rangle$

Time axis



## B+ tree

Order अंग देखि वाकाय Max children और  
same order number.

Order,  $m = 4$

max children = 4

$$\text{min } " \quad = \lceil \frac{m}{2} \rceil = 2$$

$$\text{Max keys} \Rightarrow m-1 = 4-1 = 3$$

$$\text{min } " \quad \lceil \frac{m}{2} - 1 \rceil = \lceil \frac{4}{2} - 1 \rceil = 1$$

Root node एवं अन्य गण रखे न।

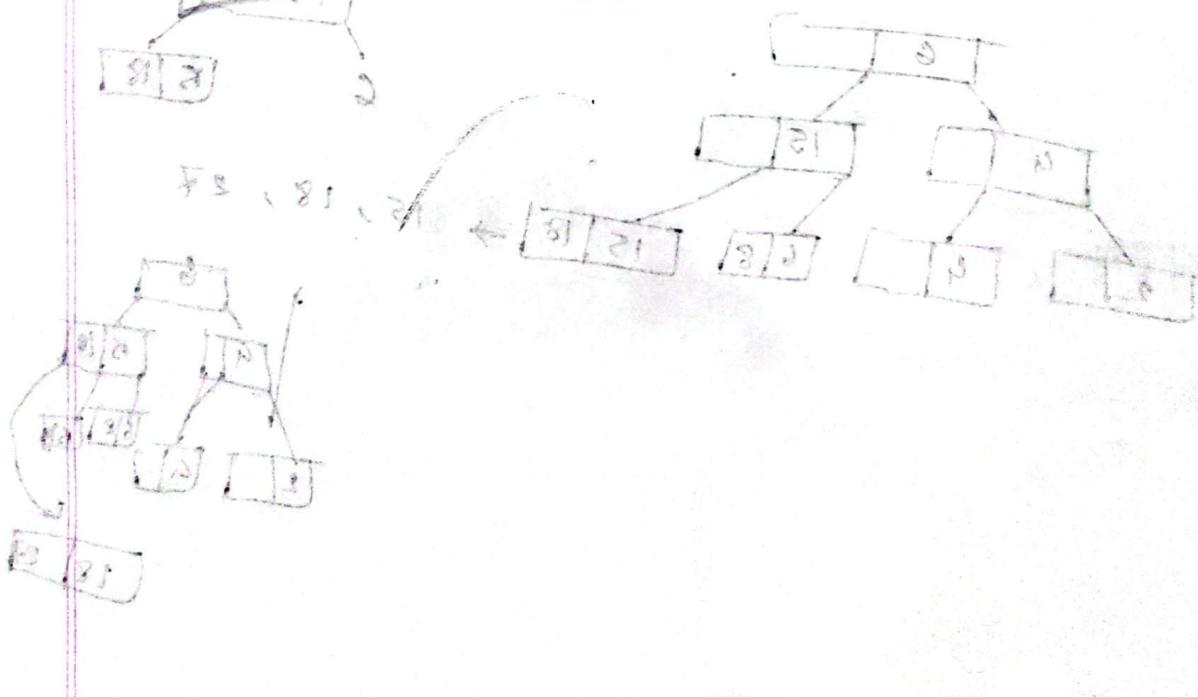
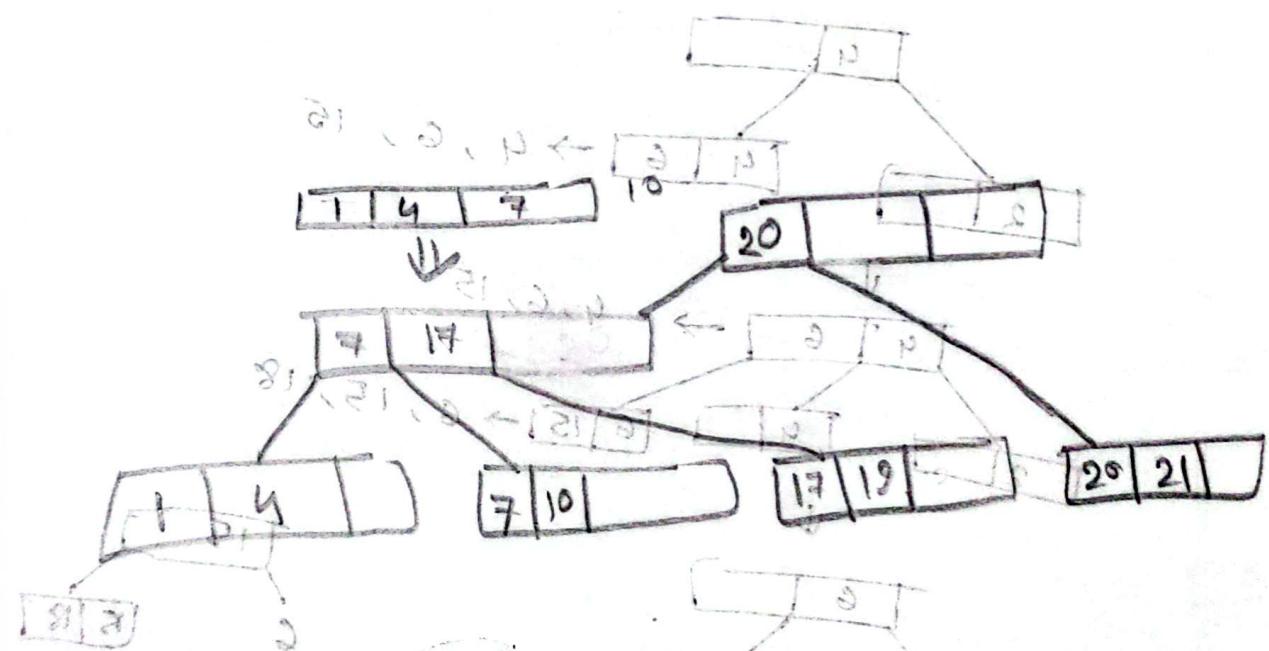
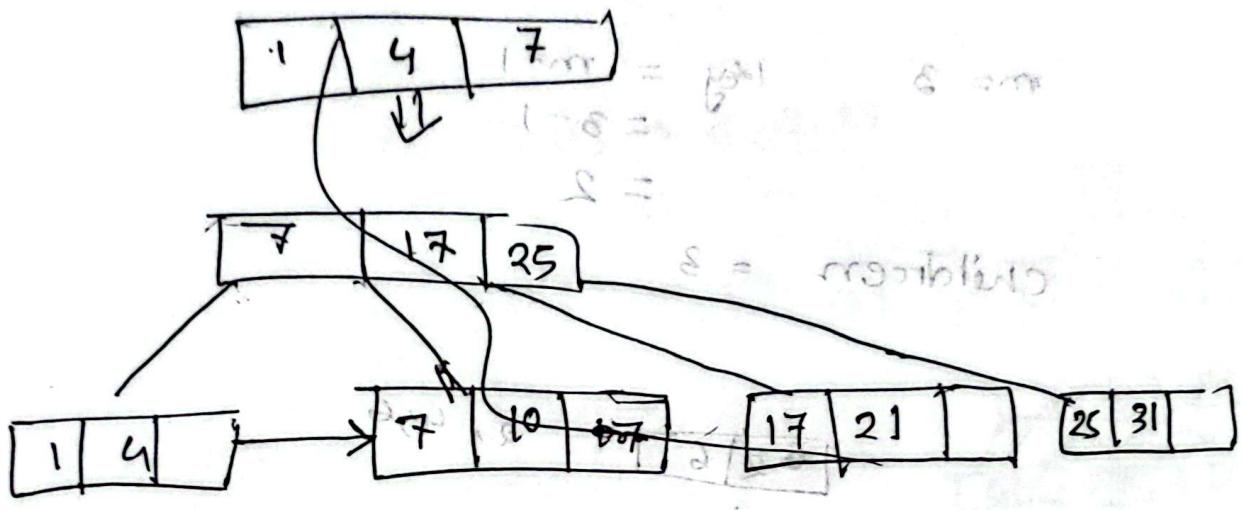
④ B+ tree के data शुल्क अधिक Leaf node  
आए।

## B+ tree

1, 4, 7, 10, 17, 21, 31, 25, 19,  
20, 28, 42

1, 4, 7, 10, 17, 19, 20, 21, 25, 28, 31, 42

First, 81 < 101, 8 < 78, 8 < 81 < 91, 8 < 9

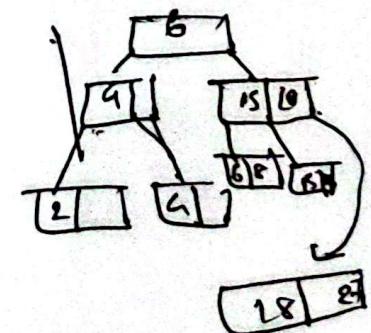
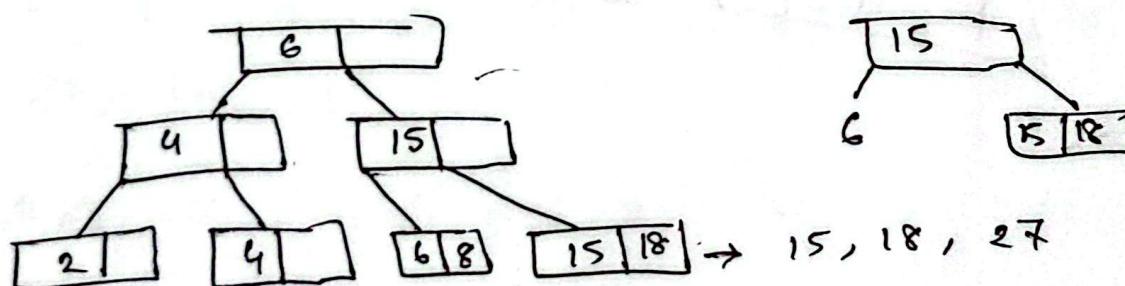
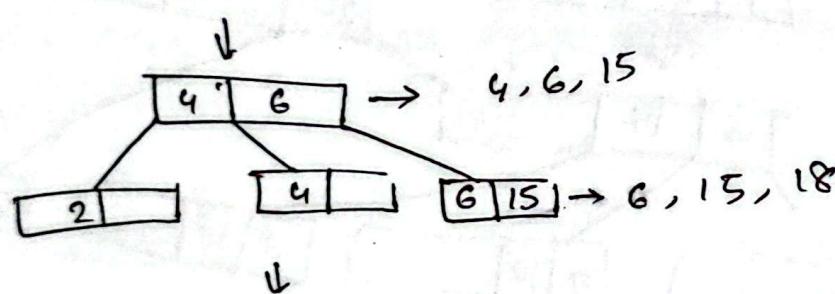
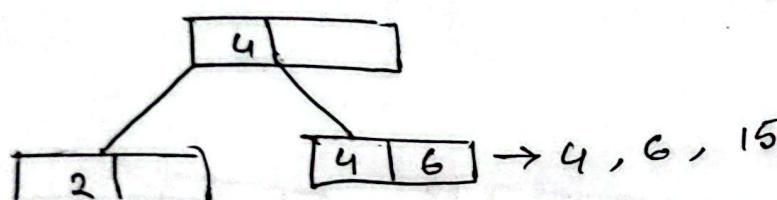
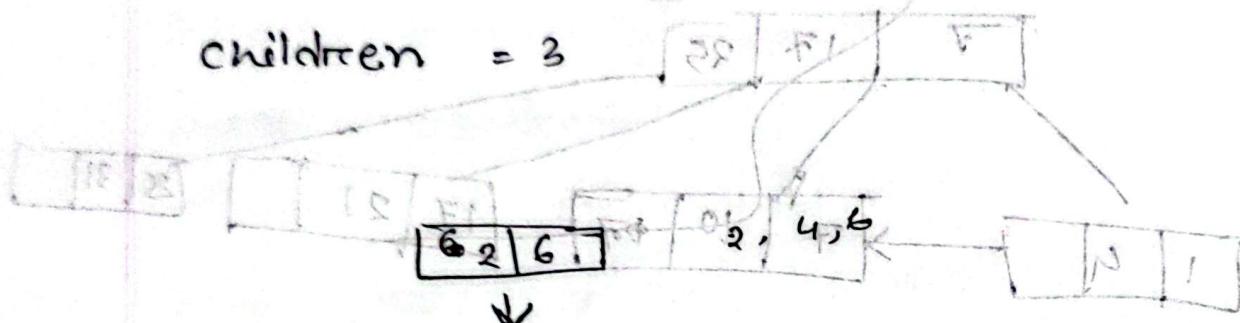


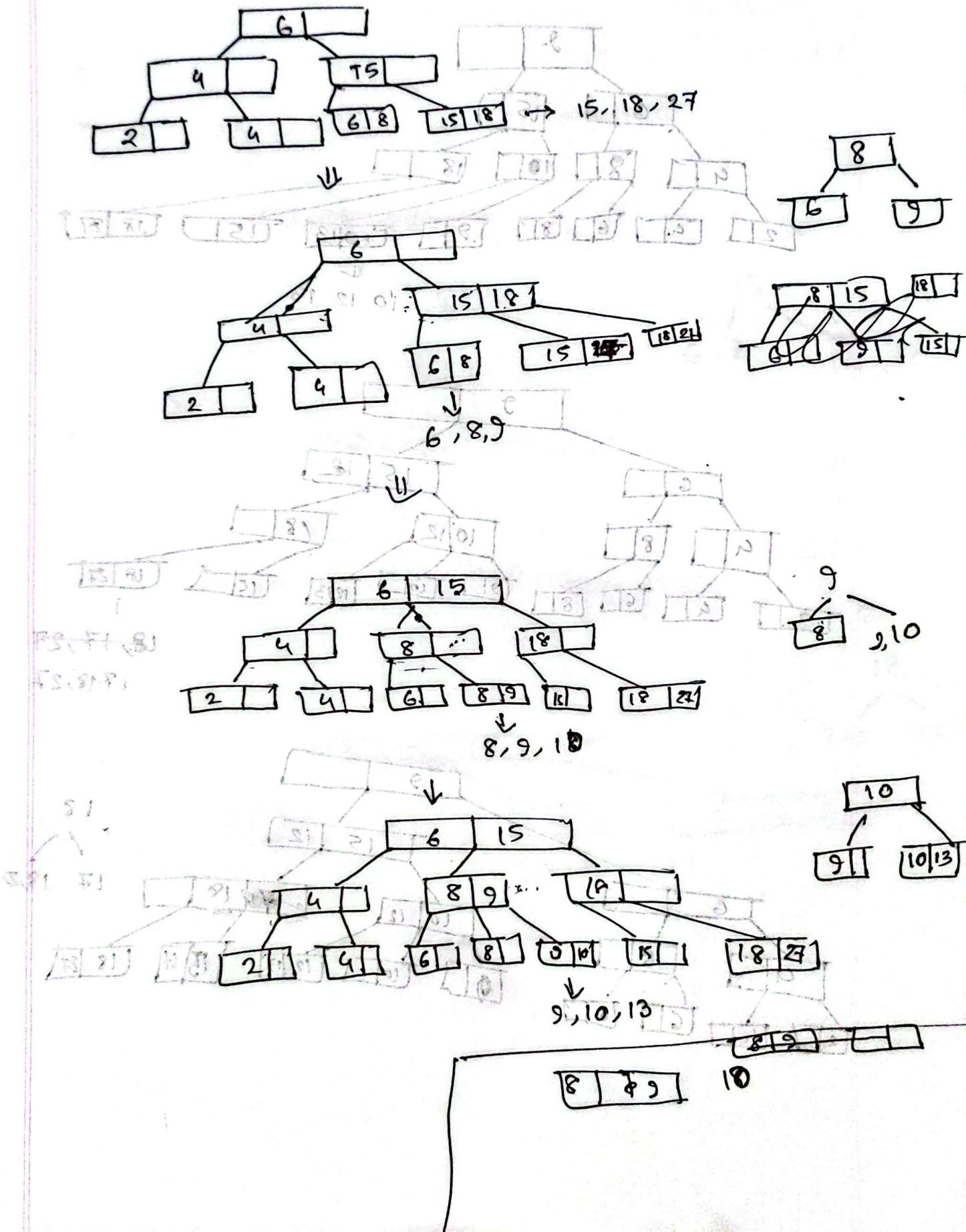
6, 2, 4, 15, 18, 8, 27, 9, 10, 13, 12, 17

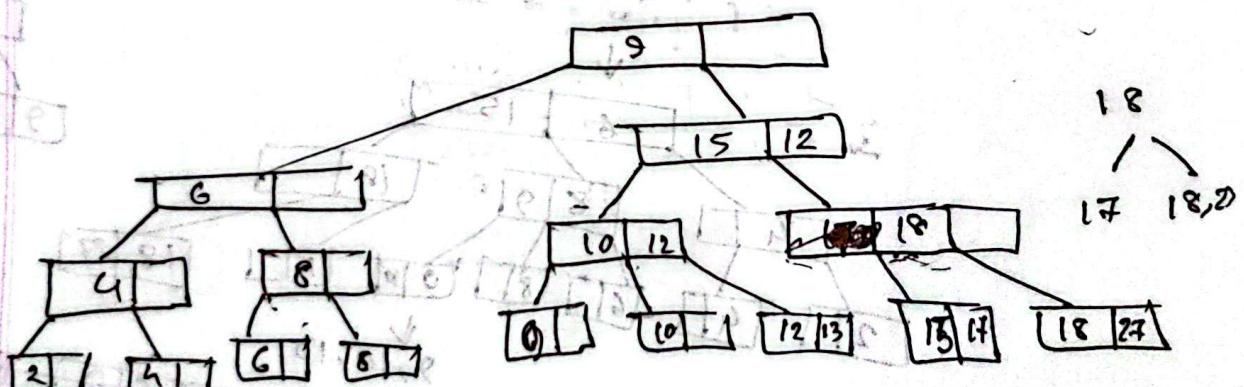
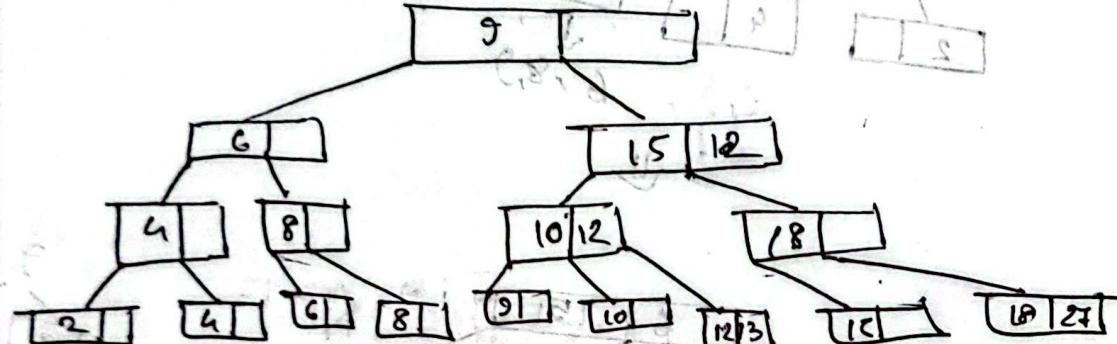
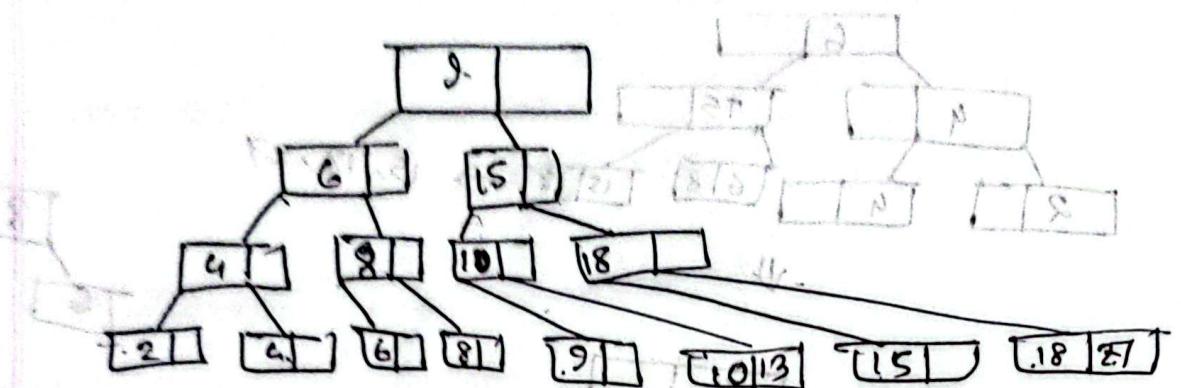
$$m = 3$$

$$\text{deg} = \frac{m^2 - 1}{m - 1} = 3 - 1 = 2$$

$$\text{children} = 3$$

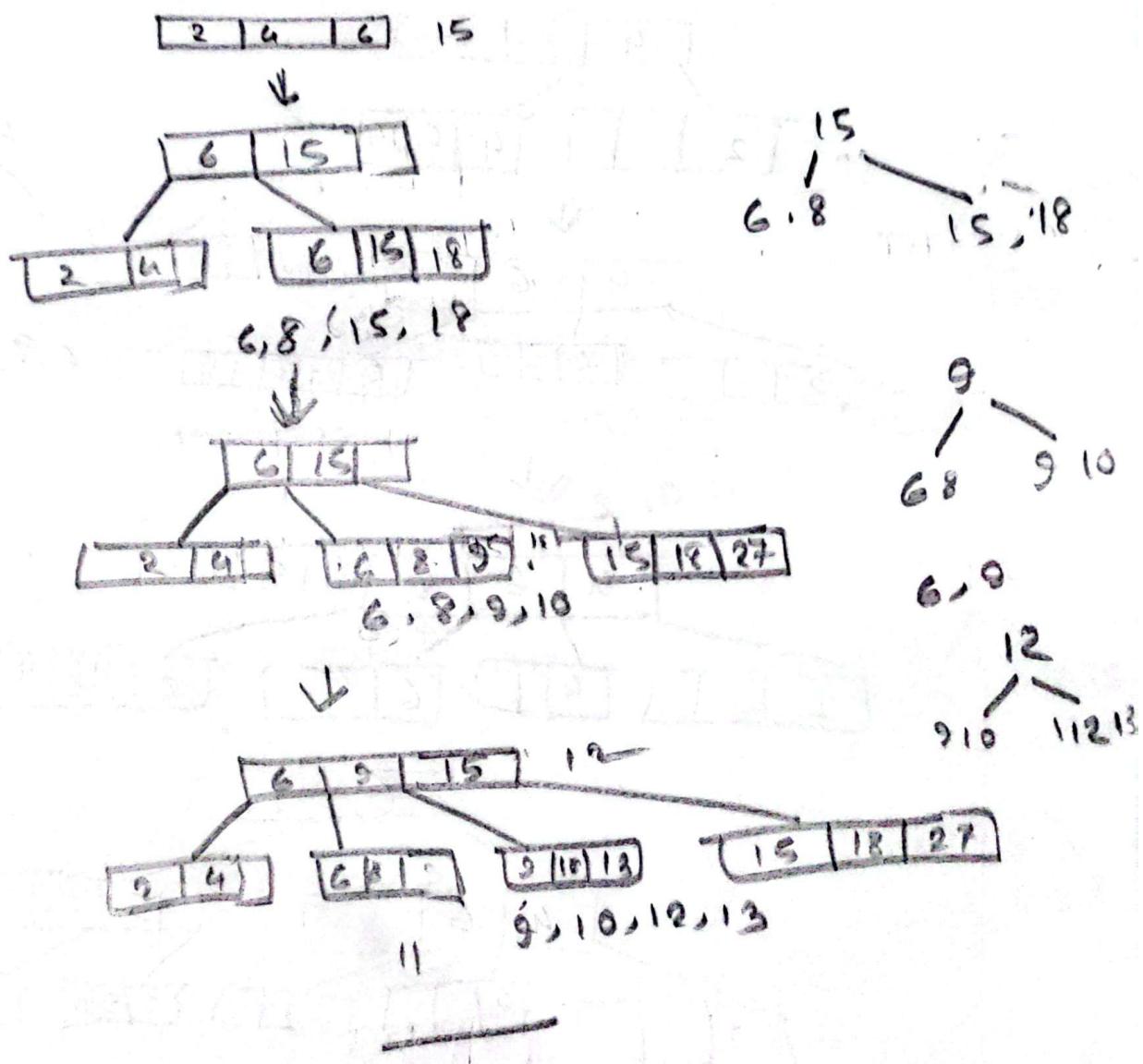




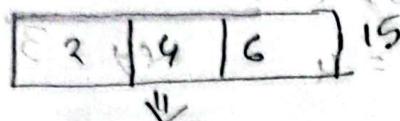


## B+ tree Insertion of Order 4

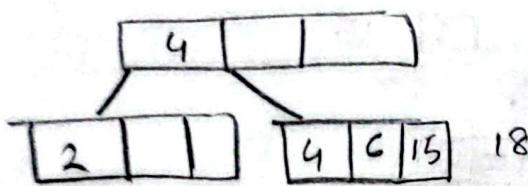
6, 2, 4, 15, 18, 8, 27, 9, 10, 13, 12, 17  
 $m=4$  children = 4 Key = 3



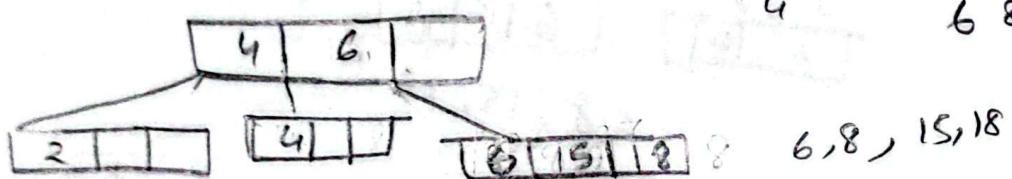
2, 6, 4, 15, 18, 8, 27, 9, 10, 13, 12, 17



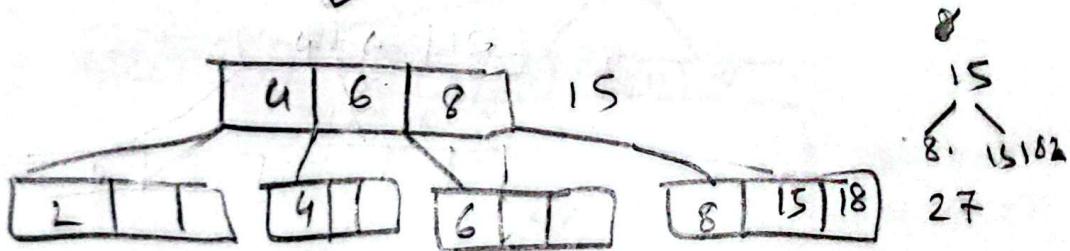
merging n = 6



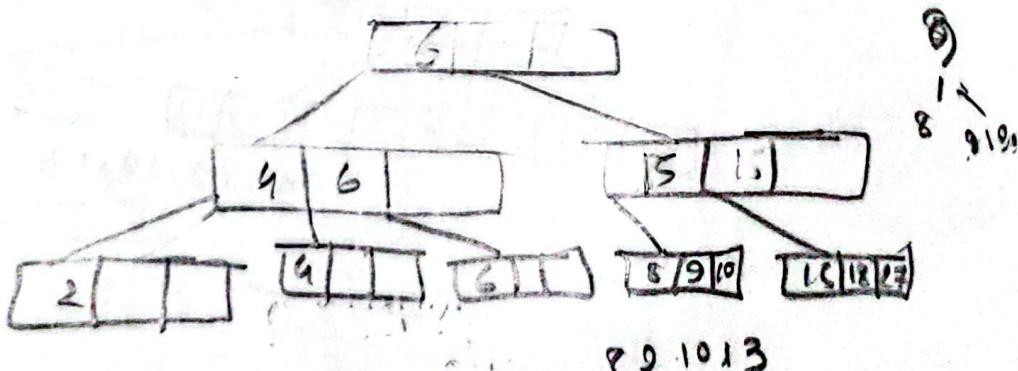
6  
4  
6 8 9



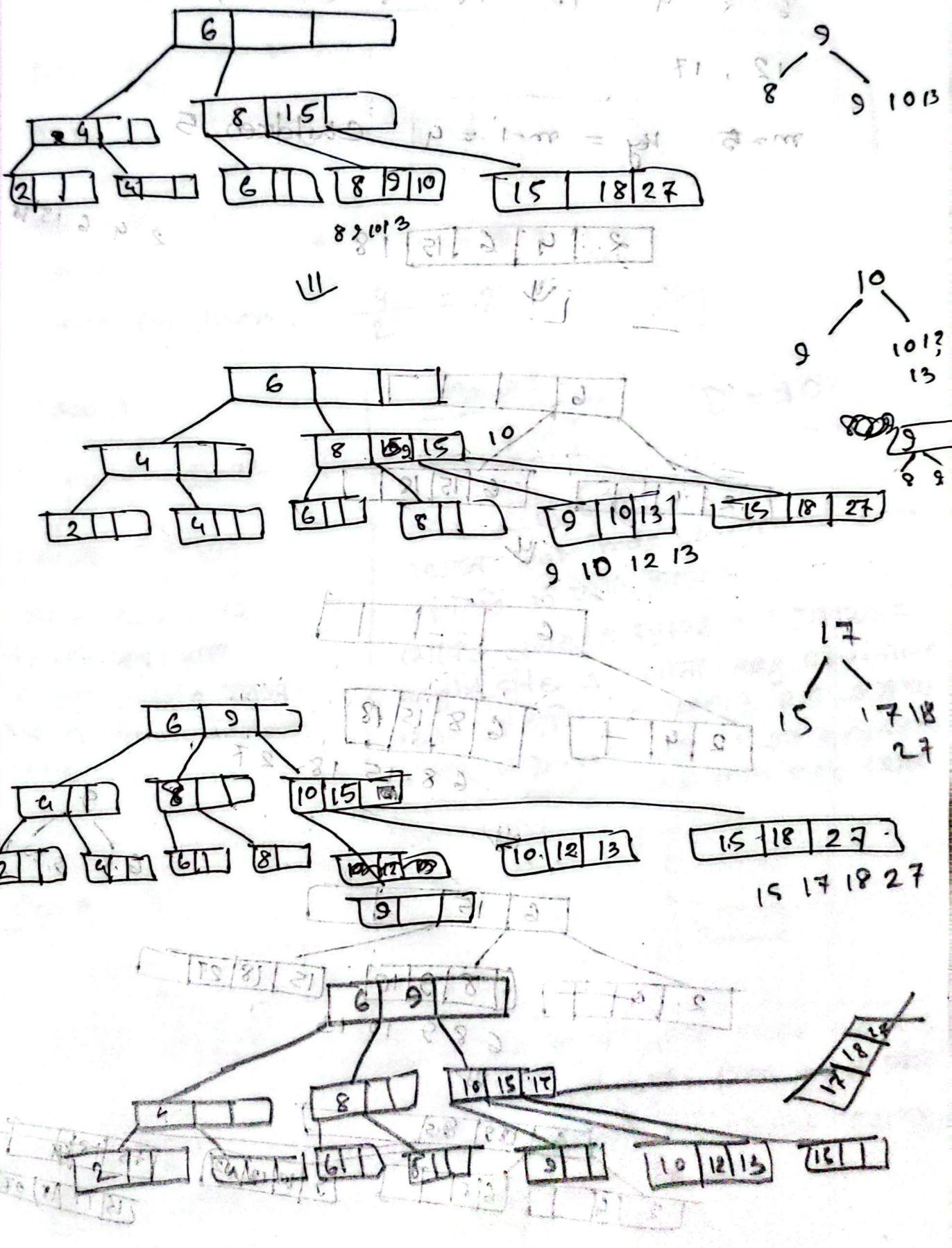
6, 8, 15, 18



8  
15  
8, 15, 18, 27



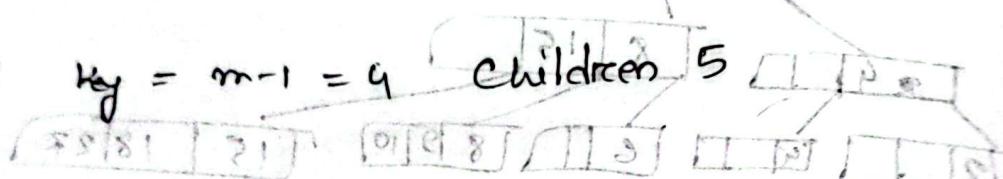
8 9 10 13



6 2 4 15 18 8 27 9 10 13

12, 17

$m=5$  Key =  $m-1 = 4$  Children 5

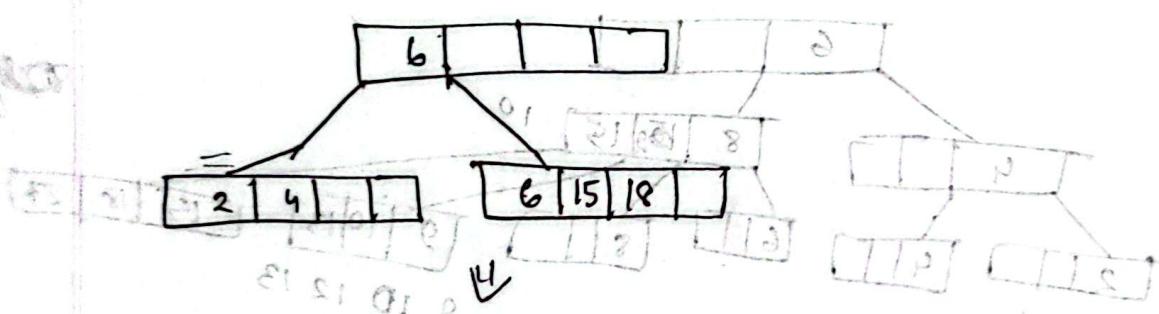


2 4 6 15 18

↙

2 4 6 15 18

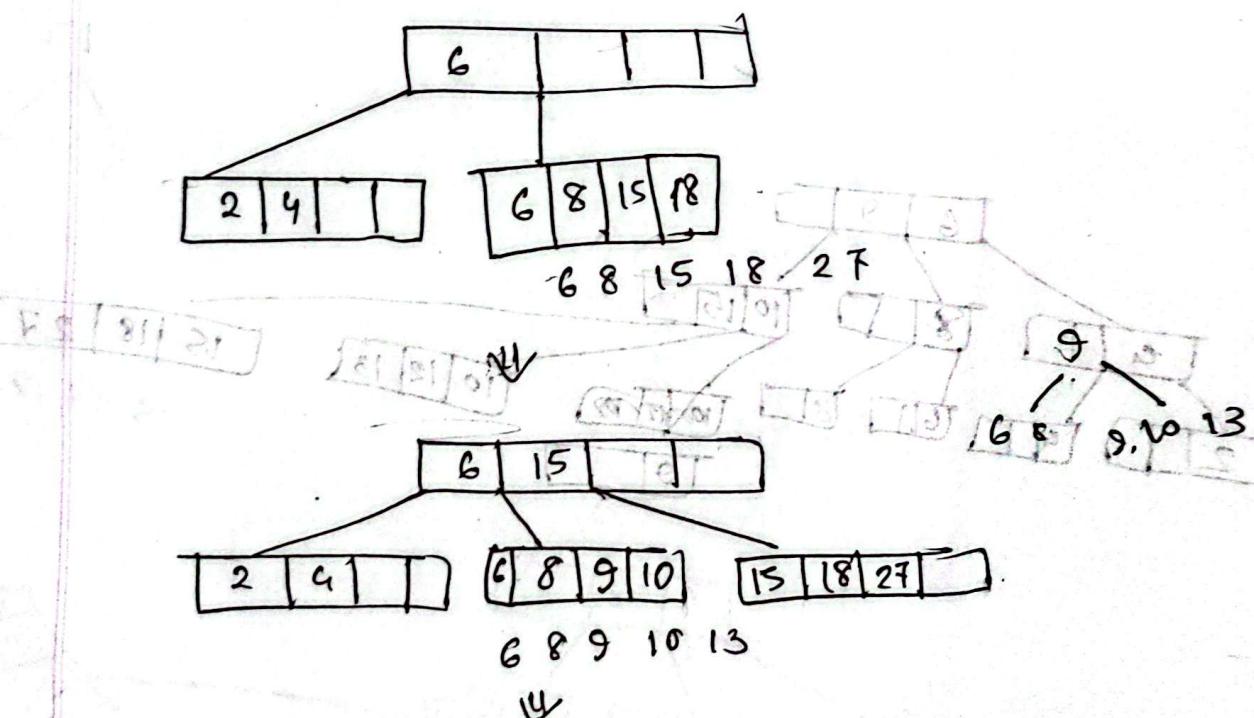
↙



2 4 12 17

↙

6 15 18



2 4 12 17

↙

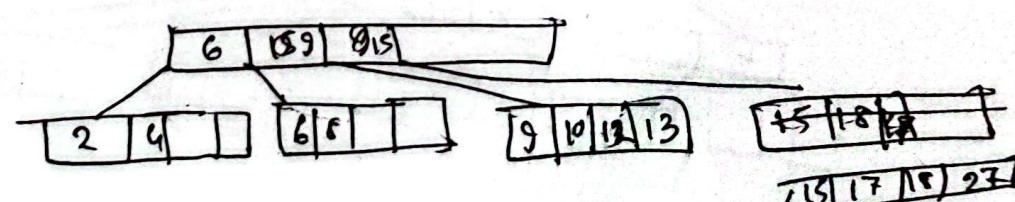
6 8 15 18

6 8 15 18 27

9, 10, 13

6 8 9 10 13

↙



2 4

6 8

9 10 12 13

15 18 27

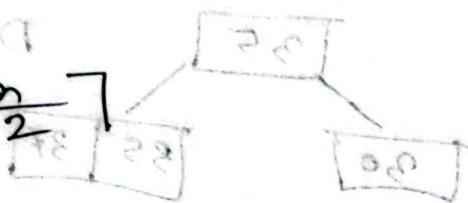
15 17 18 27

## B+ tree deletion

P 3009

$$\text{Min key} = \left\lceil \frac{m}{2} \right\rceil - 1$$

$$\text{min children} = \left\lceil \frac{m}{2} \right\rceil$$



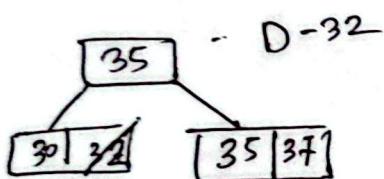
Ex:  $m = 4$ .

$$\text{min key} = \frac{4}{2} - 1 = 1$$

$$\text{min children} = \frac{4}{2} = 2$$

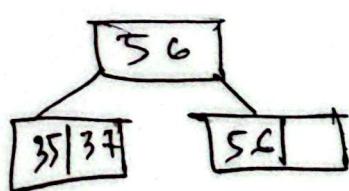
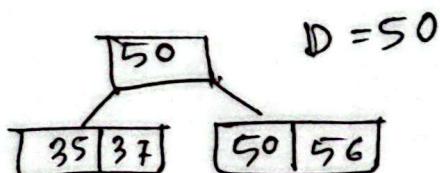


### Case 1



As the direct 32  
delete करा सकते हैं  
जो तो delete करना चाहिए  
सब condition maintained रहता है।

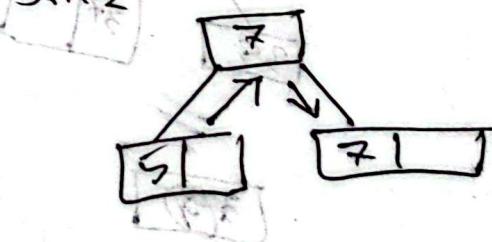
### Case - 3



### Case 2

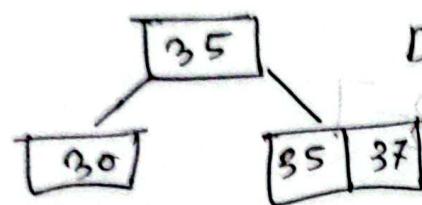
$D = 10$

एक leaf node 3 internal node  
रखते हैं 10 आदि आमादेव 2 जूँगा  
यद्यकि delete करते हैं 10 में से 1  
right side 1 रखता key children  
यद्यकि तो तो L.S 7 रखते हैं और R.S 2 रखते हैं  
आवश्यिक in-node 10 एवं उसके बीच  
रखते हैं 7 एवं 2 जूँगा min key रखते हैं  
लिए तो यहाँ अस्ति

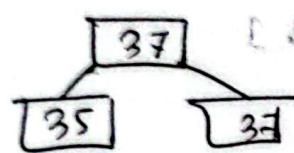


Index delete कराये जाने node का RS  
→ गवाहिमें होने value की लिखे and ऐसे  
की उपाधि प्राप्ति होना check कराया  
राम key भागे किता

### Case 4

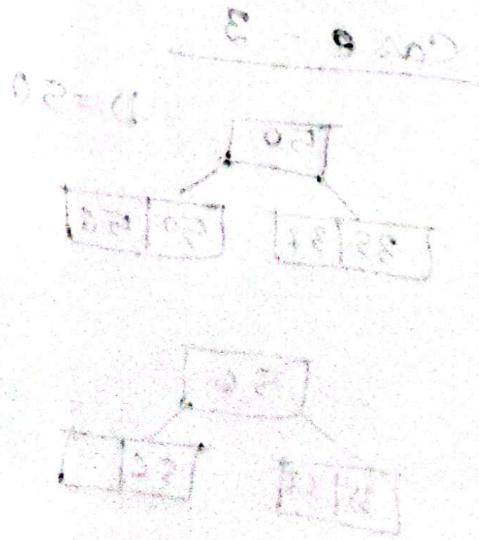
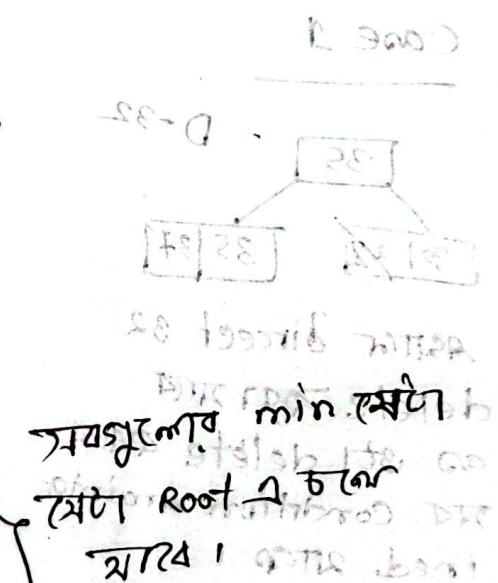
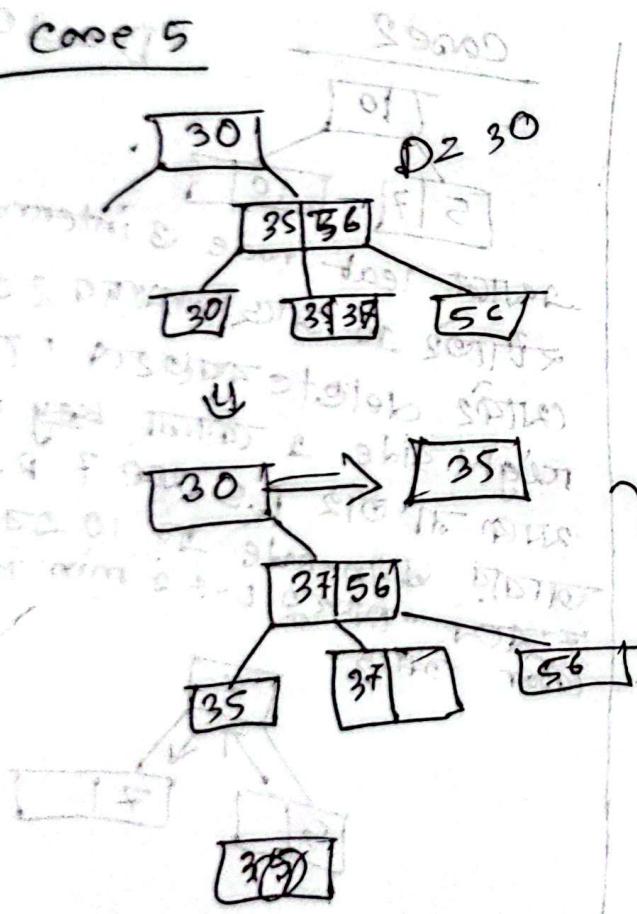


$D = 30 \text{ min} / 2 = 15 \text{ min}$   
 $S = 3 / 2 = 1.5 \text{ min}$  = monthly min

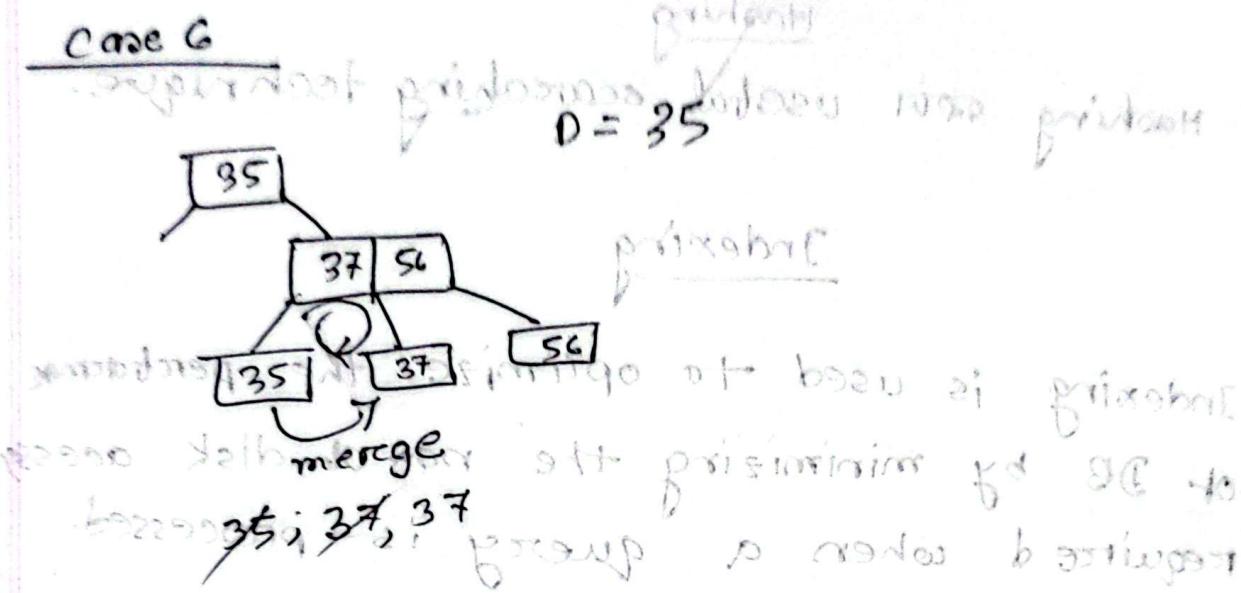


$D = 30 \text{ min} / 2 = 15 \text{ min}$   
 $S = 3 / 2 = 1.5 \text{ min}$  = monthly min

### Case 5



### Case G



### Case 7

