

# Theory of Computation

MIEIC, 2nd Year

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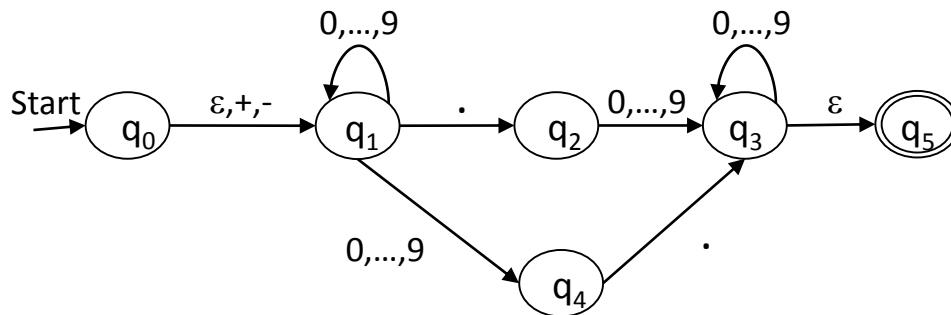
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# Outline

- ▶ Non-Deterministic Finite Automata with  $\varepsilon$  transitions ( $\varepsilon$ -NFAs)
- ▶ Conversion of  $\varepsilon$ -NFAs into DFAs

# Finite Automata with $\epsilon$ Transitions

- ▶ Example:  $\epsilon$ -NFA which recognizes decimal numbers
  - ▶ Signal + or – optional
  - ▶ Sequence of digits
  - ▶ A decimal point
  - ▶ Another sequence of digits (At least one of the sequences of digits is non-empty)

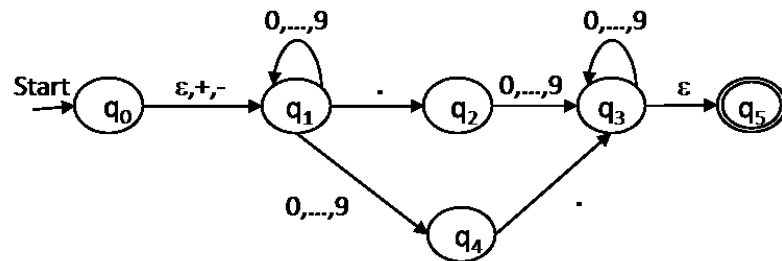


# Exercise 6

- ▶ Modify the previous state diagram in order to not recognize inputs like: .5, +.1, and -.1
- ▶ More precise, this new definition of a decimal number is:
  - ▶ Signal + or – optional
  - ▶ A sequence of digits with length greater or equal 1
  - ▶ A decimal part consisting of a ‘.’ followed by an optional sequence of digits x, such that  $|x| \geq 0$ .

# Formal Notation $\varepsilon$ -NFA

- ▶  $\varepsilon$ -NFA  $E = (Q, \Sigma, \delta, q_0, F)$ 
  - ▶ The major difference is in the transition function  $\delta$  to deal with  $\varepsilon$ 
    - ▶  $\delta(q, a)$ : state  $q \in Q$  and  $a \in \Sigma \cup \{\varepsilon\}$
- ▶ Example:  $E = (\{q_0, q_1, q_2, q_3, q_4, q_5\}, \{., +, -, 0, \dots, 9\}, \delta, q_0, \{q_5\})$
- ▶ The symbol representing the empty-string,  $\varepsilon$ , is not visible in the sequence of digits
  - ▶ It represents spontaneous transitions
  - ▶ We deal with it in the same way as with the non-determinism, i.e., considering that the automaton can be in all the states before and after the  $\varepsilon$  transition
- ▶ To know which are the states we can reach from a state  $q$  with  $\varepsilon$ , we calculate the  $\varepsilon$ -close( $q$ )
  - ▶  $\varepsilon$ -close( $q_0$ ) =  $\{q_0, q_1\}$ ;  $\varepsilon$ -close( $q_3$ ) =  $\{q_3, q_5\}$



$\delta$	$\varepsilon$	$+, -$	$.$	$0, \dots, 9$
$\rightarrow q_0$	$\{q_1\}$	$\{q_1\}$	$\emptyset$	$\emptyset$
$q_1$	$\emptyset$	$\emptyset$	$\{q_2\}$	$\{q_1, q_4\}$
$q_2$	$\emptyset$	$\emptyset$	$\emptyset$	$\{q_3\}$
$q_3$	$\{q_5\}$	$\emptyset$	$\emptyset$	$\{q_3\}$
$q_4$	$\emptyset$	$\emptyset$	$\{q_3\}$	$\emptyset$
$*q_5$	$\emptyset$	$\emptyset$	$\emptyset$	$\emptyset$

# Extended Transitions

## ► $\varepsilon$ -close( $q$ ) or $EClose(q)$

► Basis: State  $q$  is in  $EClose(q)$

► Induction: if  $p$  is in  $EClose(q)$  and exists an  $\varepsilon$  transition from  $p$  to  $r$  com with label  $\varepsilon$ , then  $r$  is also in  $EClose(q)$

## ► Extended transition $\widehat{\delta}$

► Basis:  $\widehat{\delta}(q, \varepsilon) = EClose(q)$

► Induction:  $w = xa$ ,  $a \in \Sigma$  (so,  $a \neq \varepsilon$ )

► 1. let's  $\widehat{\delta}(q, x) = \{p_1, p_2, \dots, p_k\}$

► 2.  $\bigcup_{i=1}^k \delta(p_i, a) = \{r_1, \dots, r_m\}$

► 3.  $\delta(q, w) = \bigcup_{j=1}^m EClose(r_j)$

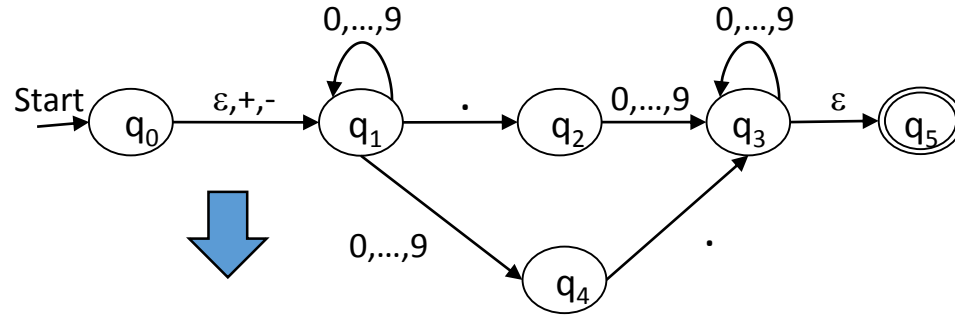
► (1.) gives the states reached from  $q$  following a path representing  $x$  that can include (and/or terminate) one or more  $\varepsilon$

# Eliminating $\epsilon$ Transitions

- ▶ Given an  $\epsilon$ -NFA  $E$  there exists always an equivalent DFA  $D$ 
  - ▶  $E$  and  $D$  accept the same language
- ▶ Technique of subsets construction
  - ▶  $\epsilon$ -NFA  $E = (Q_E, \Sigma, \delta_E, q_0, F_E) \rightarrow$  DFA  $D = (Q_D, \Sigma, \delta_D, q_D, F_D)$
- ▶  $Q_D$  is the set of subsets of  $Q_E$  closed in  $\epsilon$ 
  - ▶  $Q_D = \text{EClose}(Q_E)$
- ▶ State of start:  $q_D = \text{EClose}(q_0)$
- ▶  $F_D = \{S \mid S \text{ is in } Q_D \text{ and } S \cap F_E \neq \emptyset\}$
- ▶ Transition  $\delta_D(S, a)$ , with  $a$  in  $\Sigma$  and  $S$  in  $Q_D$ 
  - ▶  $S = \{p_1, p_2, \dots, p_k\}$
  - ▶ Calculate 
$$\bigcup_{i=1}^k \delta_E(p_i, a) = \{r_1, \dots, r_m\}$$
  - ▶ Terminate with 
$$\delta_D(S, a) = \bigcup_{j=1}^m \text{EClose}(r_j)$$

# Example of the Recognizer of Decimals

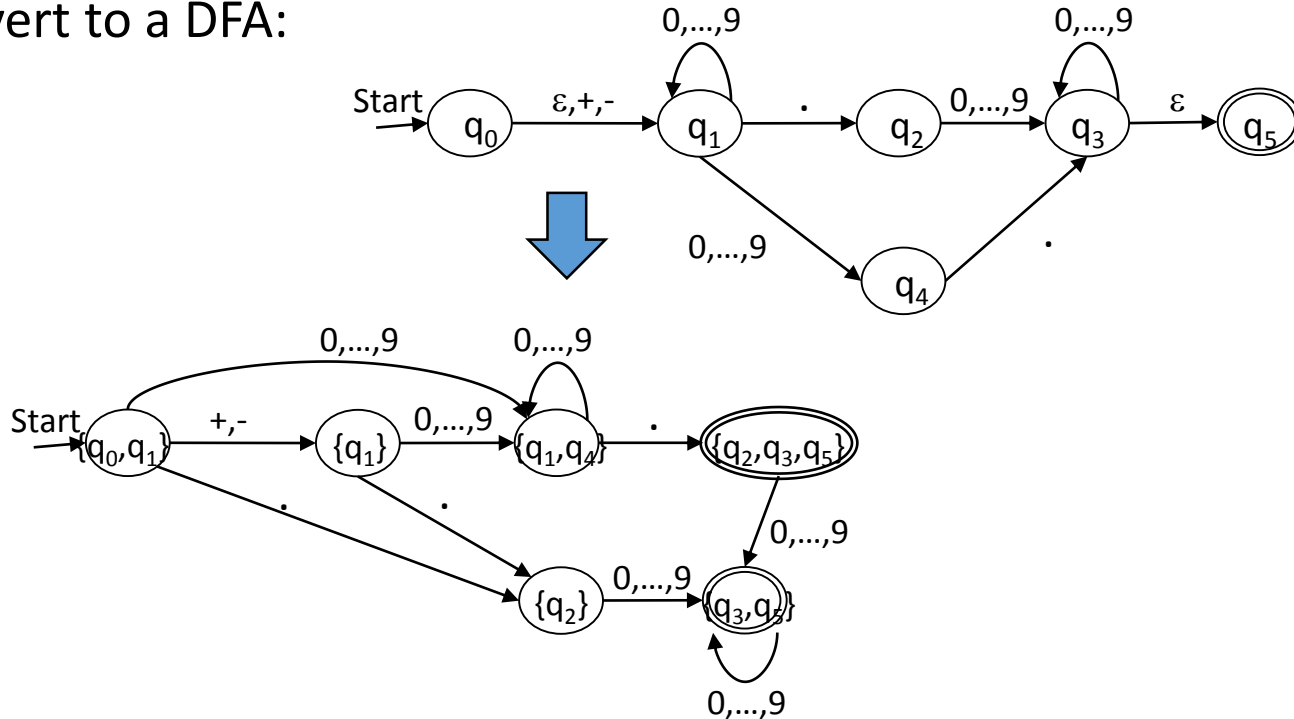
► Convert to a DFA:





# Example of the Recognizer of Decimals

► Convert to a DFA:



# Exercise 7

- ▶ Consider the following  $\varepsilon$ -NFA:

	$\varepsilon$	a	b	c
$\rightarrow p$	$\emptyset$	$\{p\}$	$\{q\}$	$\{r\}$
q	$\{p\}$	$\{q\}$	$\{r\}$	$\emptyset$
$*r$	$\{q\}$	$\{r\}$	$\emptyset$	$\{p\}$

- ▶ Calculate the  $\varepsilon$ -close for each state
- ▶ Indicate all the strings with length  $\leq 3$  accepted by the automaton
- ▶ Convert the  $\varepsilon$ -NFA into a DFA