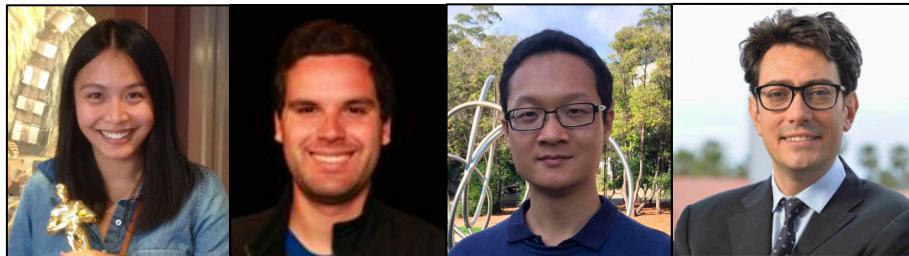


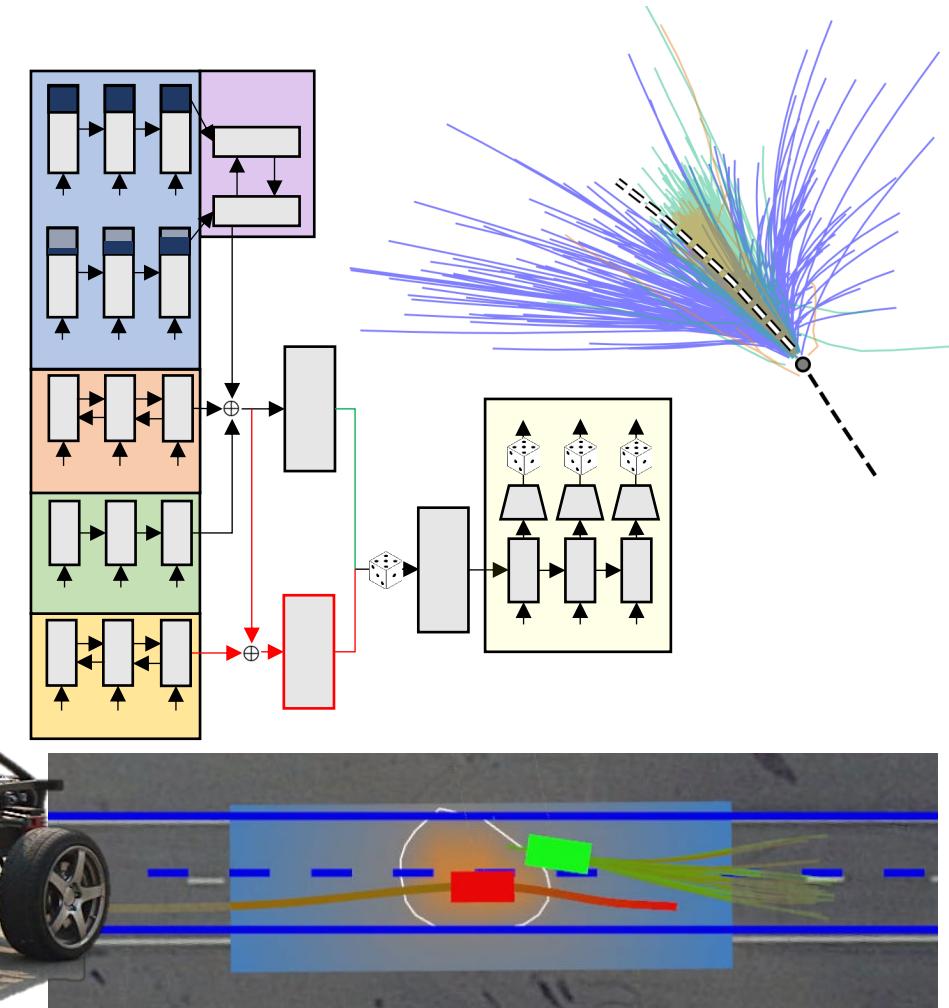
Mitigating the “Element of Surprise” in Model-Based Robot Planning

Edward Schmerling

Joint work with Karen Leung, Boris Ivanovic,
Prof. Mo Chen, Prof. Marco Pavone, et al.

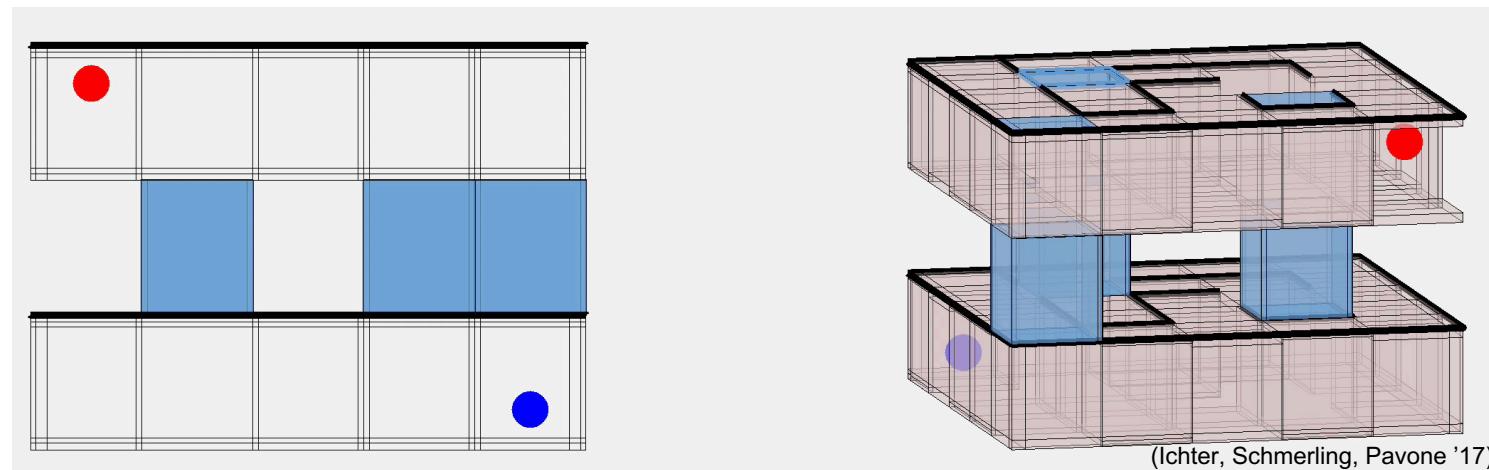


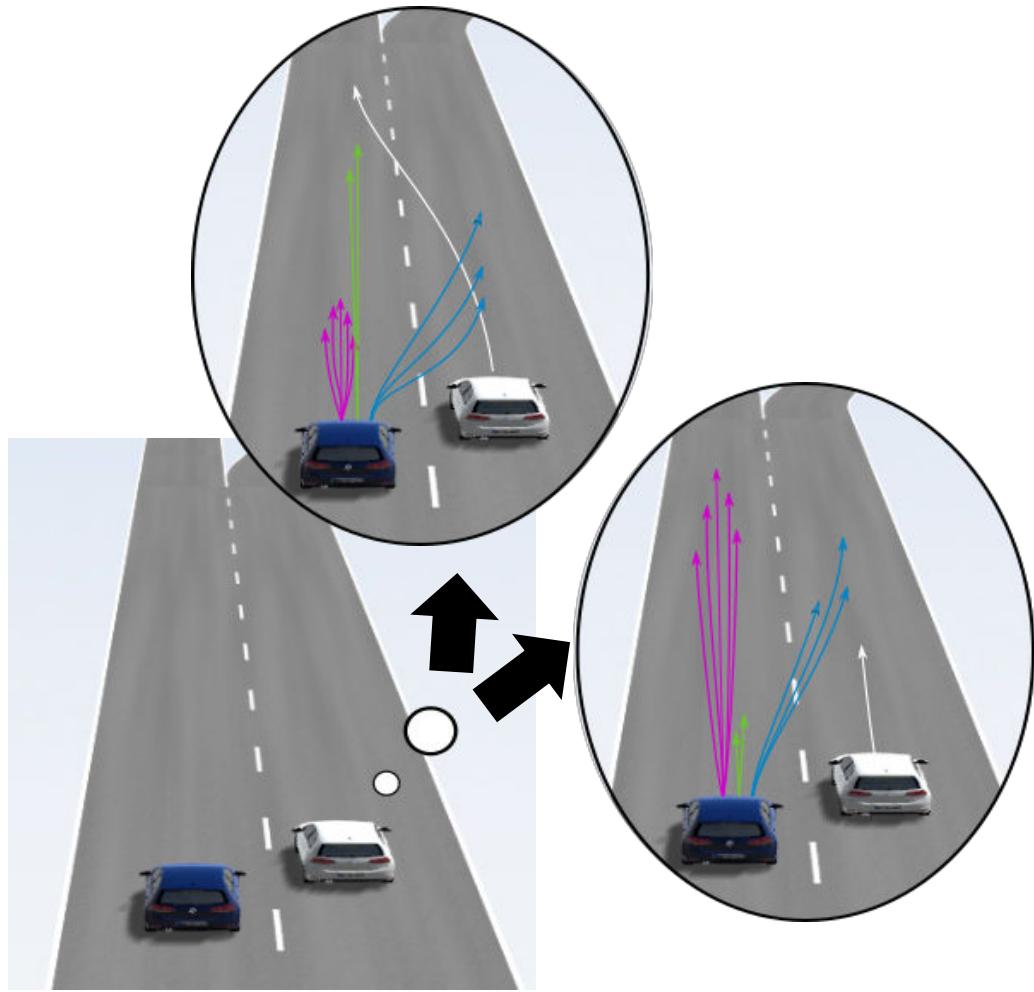
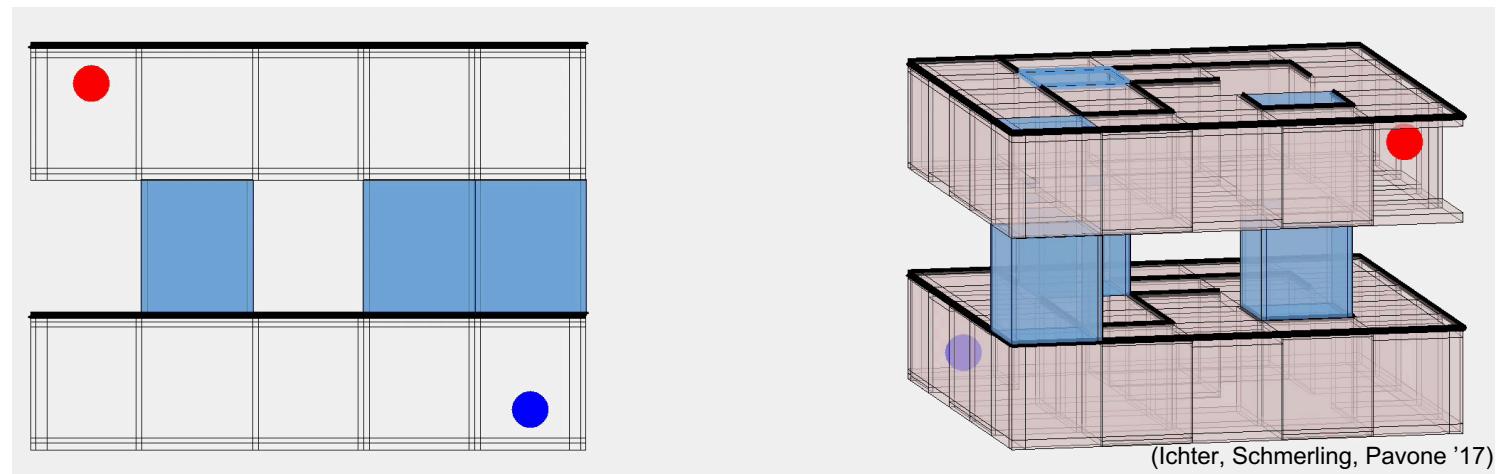
Stanford University





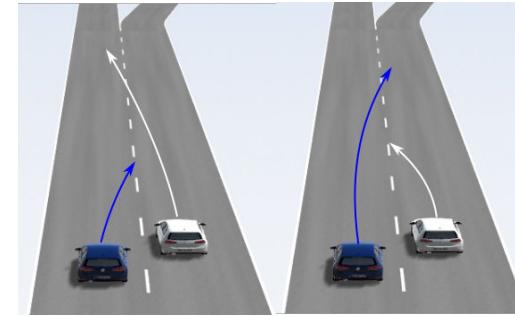
Geoff Caddick - https://www.brinknews.com/wp-content/uploads/2017/05/ron_harbour_int-631893050-1025x685.jpg





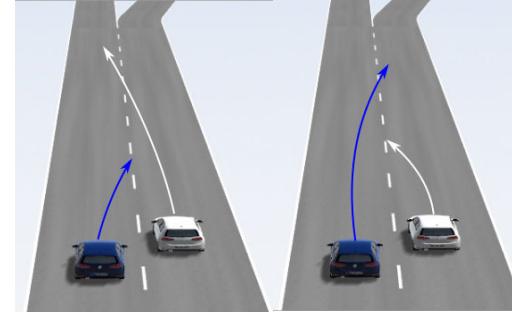
The “Element of Surprise” in HRI

- Intent-ambiguous scenarios
Multiple highly distinct possible outcomes

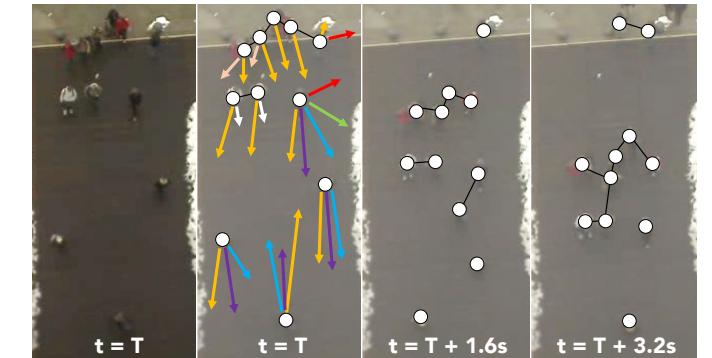


The “Element of Surprise” in HRI

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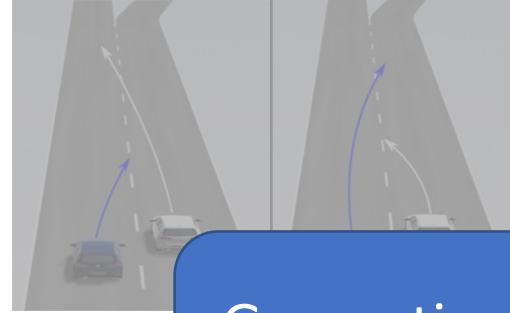


- Dynamically evolving scenarios
Variable number of agents coming into and out of relevance



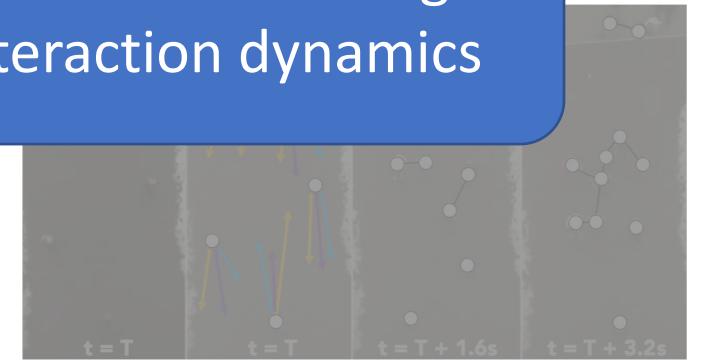
The “Element of Surprise” in HRI

- Intent-ambiguous scenarios
Multiple highly distinct possible outcomes



Generative modeling of interaction dynamics

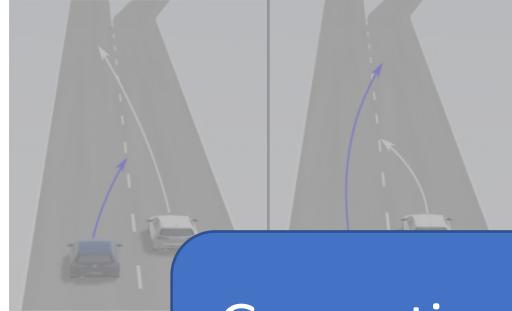
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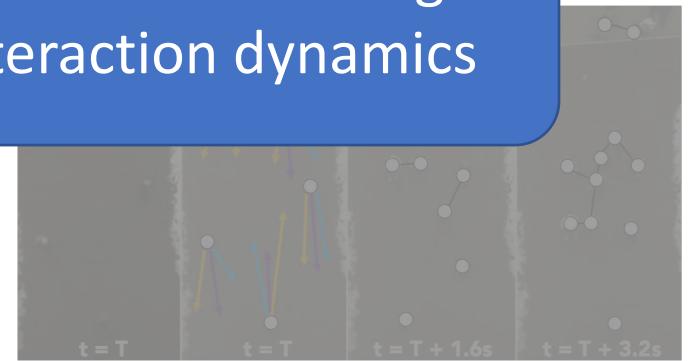
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- Dynamically evolving scenarios

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Generative modeling of interaction dynamics



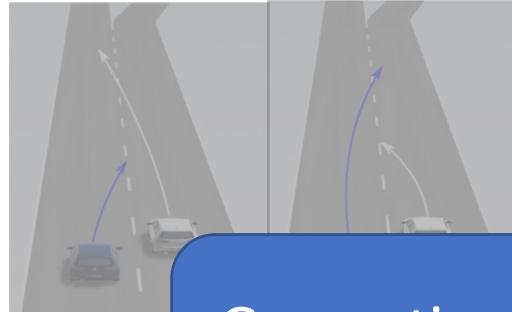
- Scenarios where these predictive models fail?

Humans that consistently defy a robot's expectations

The “Element of Surprise” in HRI

- Intent-ambiguous scenarios

Multiple highly distinct possible outcomes



- Dynamically evolving scenarios

Variable number of agents coming into and out of relevance

Generative modeling of interaction dynamics



- Scenarios where these predictive models fail?
Humans that consistently defy a robot's expectations

Deterministic safety controller



Probabilistic Agent Modeling

We consider generative models of human action distributions conditioned on

- Joint interaction history of all agents in the scene
- Candidate robot future action sequence

$$x_H^{(t+1)} = f_H(x_H^{(t)}, u_H^{(t)}) \quad (\text{human})$$

$$x_R^{(t+1)} = f_R(x_R^{(t)}, u_R^{(t)}) \quad (\text{robot})$$

$$x^{(t)} = (x_H^{(t)}, x_R^{(t)}) \quad (\text{joint state})$$

$$u^{(t)} = (u_H^{(t)}, u_R^{(t)}) \quad (\text{joint control})$$

$$p(u_H^{(t+1:t+N)} \mid x^{(0:t)}, u^{(0:t)}, u_R^{(t+1:t+N)}) = \prod_{i=1}^N p(u_H^{(t+1)} \mid x^{(0:t)}, u^{(0:t)}, u_R^{(t+1:t+N)}, u_H^{(t+1:t+i-1)})$$

The term $u_H^{(t+1:t+N)}$ is bracketed by a brace spanning from $t+1$ to N . The term $x^{(0:t)}, u^{(0:t)}, u_R^{(t+1:t+N)}$ is bracketed by a brace spanning from 0 to t , and also includes the previous bracket for $u_H^{(t+1:t+N)}$.

y **x**

Human future Joint history,
 robot future

Probabilistic Agent Modeling

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y
Human future

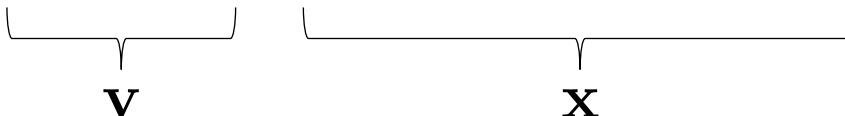
x
Joint history,
robot future

Apply neural network
function approximator

Probabilistic Agent Modeling

We consider generative models of human action distributions conditioned on

- Joint interaction history of all agents in the scene
- Candidate robot future action sequence

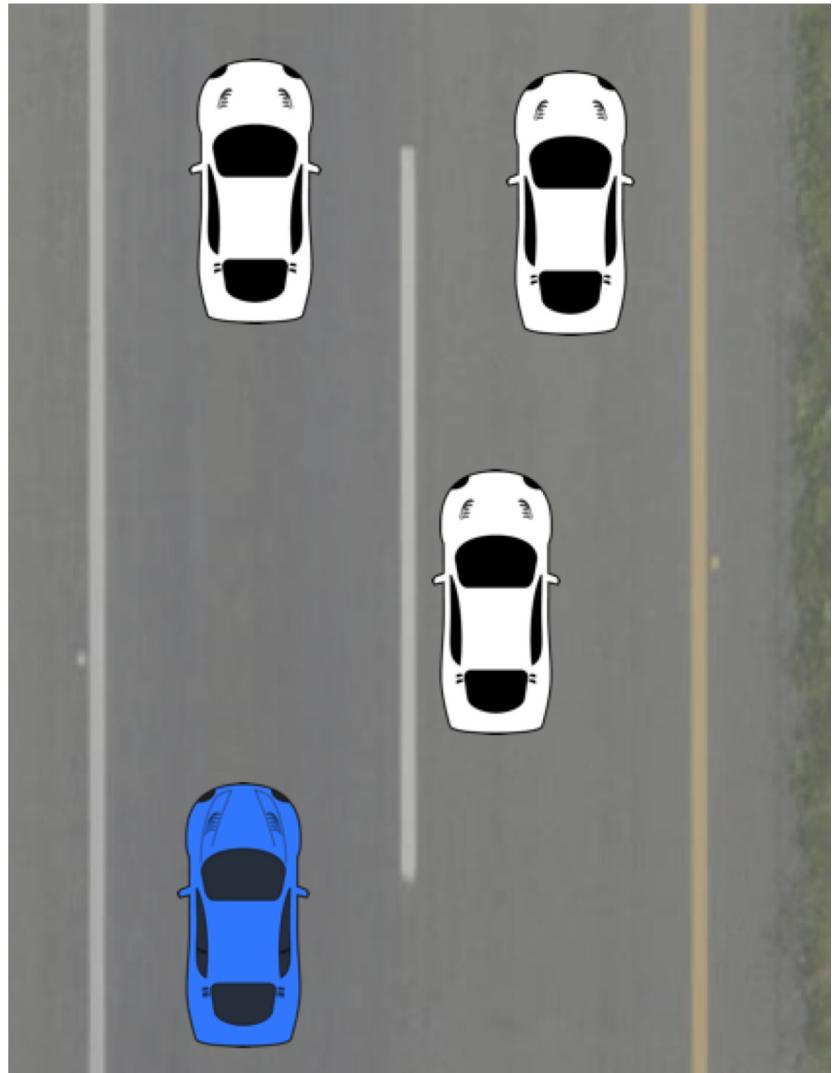
$$p(u_H^{(t+1:t+N)} \mid x^{(0:t)}, u^{(0:t)}, u_R^{(t+1:t+N)}) = \prod_{i=1}^N p(u_H^{(t+1)} \mid x^{(0:t)}, u^{(0:t)}, u_R^{(t+1:t+N)}, u_H^{(t+1:t+i-1)})$$


For robot planning purposes we want models that are:

- Capable of capturing the full breadth of future outcomes (i.e., not regression)
- Amenable to computationally efficient sampling
- **Flexible** with respect to evolving multi-agent scenarios yet **scalable** to tens of possibly relevant agents → we seek a modular model architecture

Multi-Agent Trajectory Prediction

Take the following highway scene as an example:

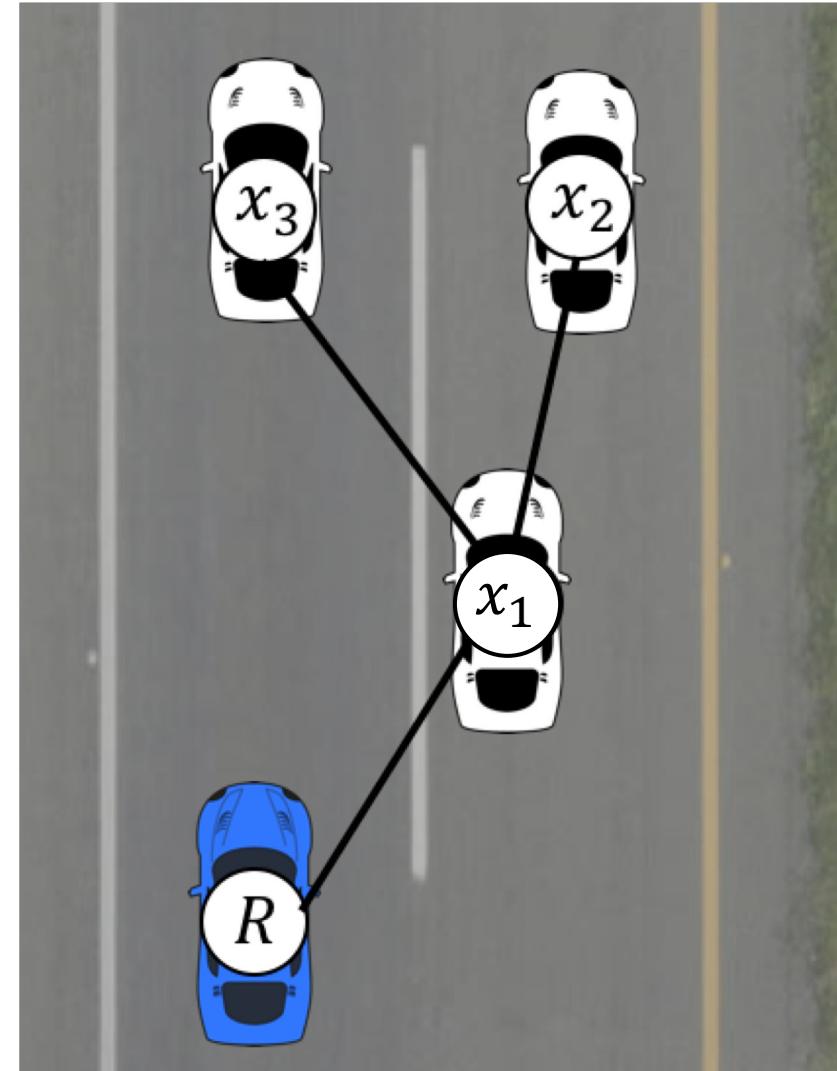


Multi-Agent Trajectory Prediction

Take the following highway scene as an example:

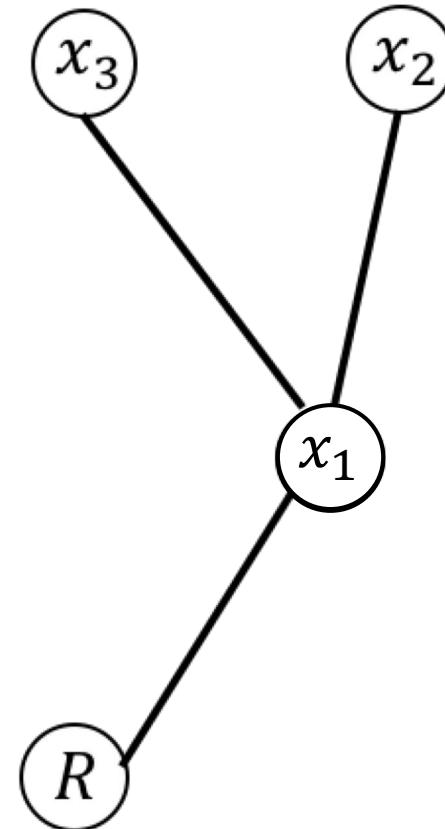
Entities in the scene are represented as **nodes** in a spatiotemporal graph.

Their interactions are encoded as **edges** (formed according to spatial proximity).

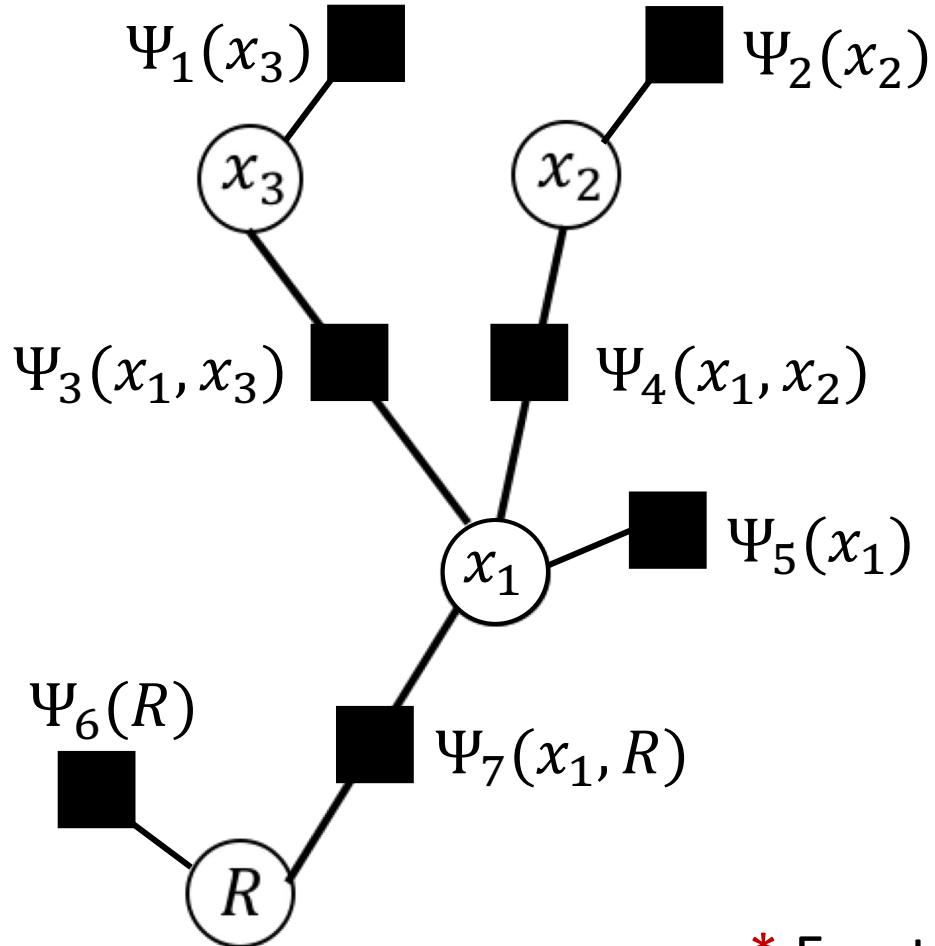


Multi-Agent Trajectory Prediction

Now we can focus on modeling the resulting spatiotemporal graph.



Multi-Agent Trajectory Prediction



$$P(x_1|x_3, x_2, R) \propto \prod_{i=1}^7 \Psi_i(\dots)$$

Our approach is inspired* by
Conditional Random Fields (CRFs)

* Exact inference/sampling on CRFs can be very expensive

Multi-Human Modeling with Spatiotemporal Graphs

Conditional Variational Autoencoder (CVAE)

- We introduce a discrete latent variable \mathbf{z}

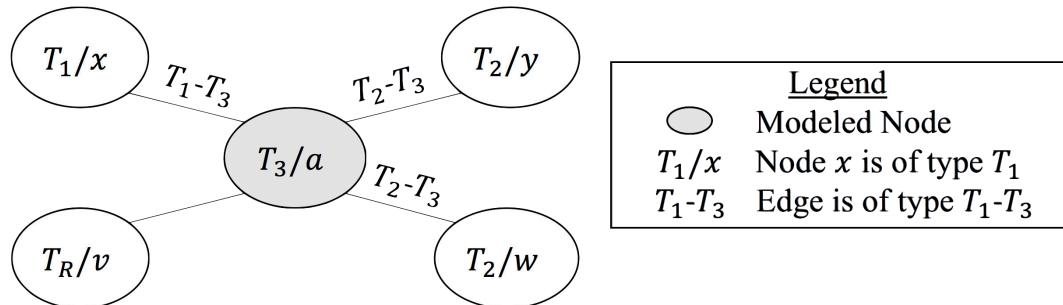
$$p(\mathbf{y}|\mathbf{x}) = \sum_{\mathbf{z}} p(\mathbf{y}|\mathbf{x}, \mathbf{z})p(\mathbf{z}|\mathbf{x})$$

Multi-Human Modeling with Spatiotemporal Graphs

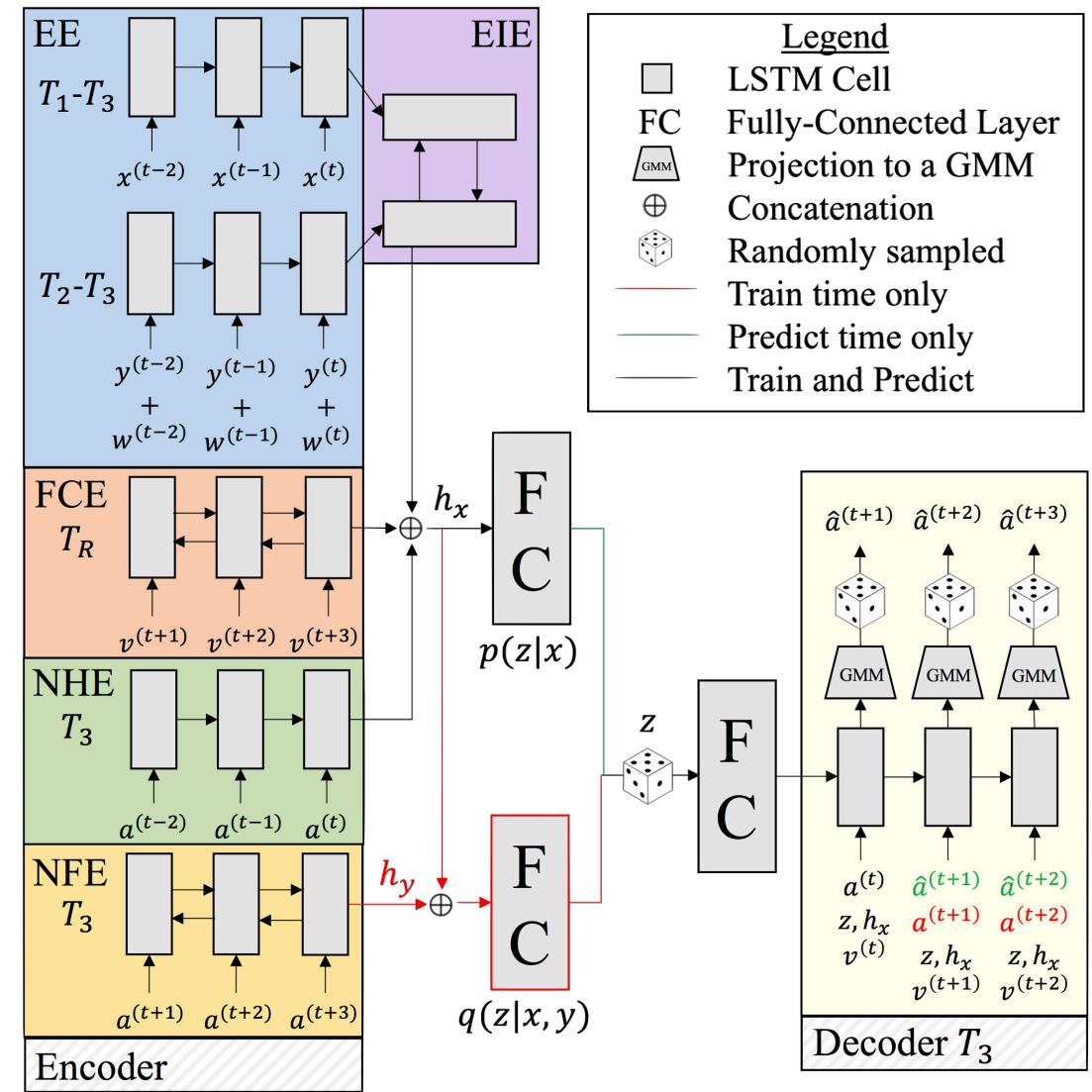
Conditional Variational Autoencoder (CVAE)

- We introduce a discrete latent variable \mathbf{z}

$$p(\mathbf{y}|\mathbf{x}) = \sum_{\mathbf{z}} p(\mathbf{y}|\mathbf{x}, \mathbf{z})p(\mathbf{z}|\mathbf{x})$$



$$\max_{\phi, \theta, \psi} \sum_{i=1}^N \mathbb{E}_{z \sim q_\phi(z|\mathbf{x}_i, \mathbf{y}_i)} [p_\psi(\mathbf{y}_i|\mathbf{x}_i, z)] - D_{KL}(q_\phi(z|\mathbf{x}_i, \mathbf{y}_i) \parallel p_\theta(z|\mathbf{x}_i))$$

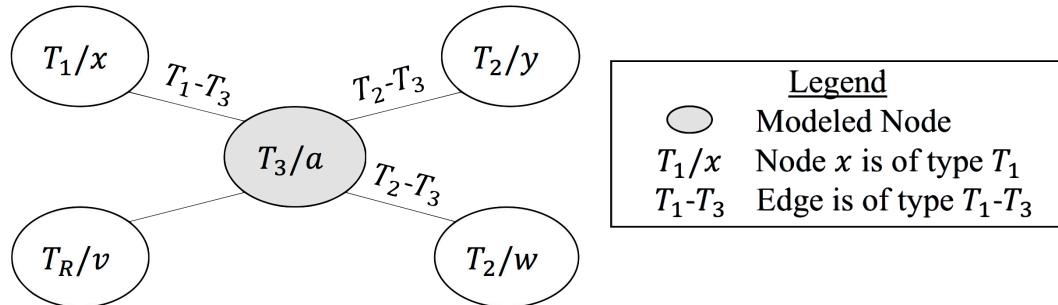


Multi-Human Modeling with Spatiotemporal Graphs

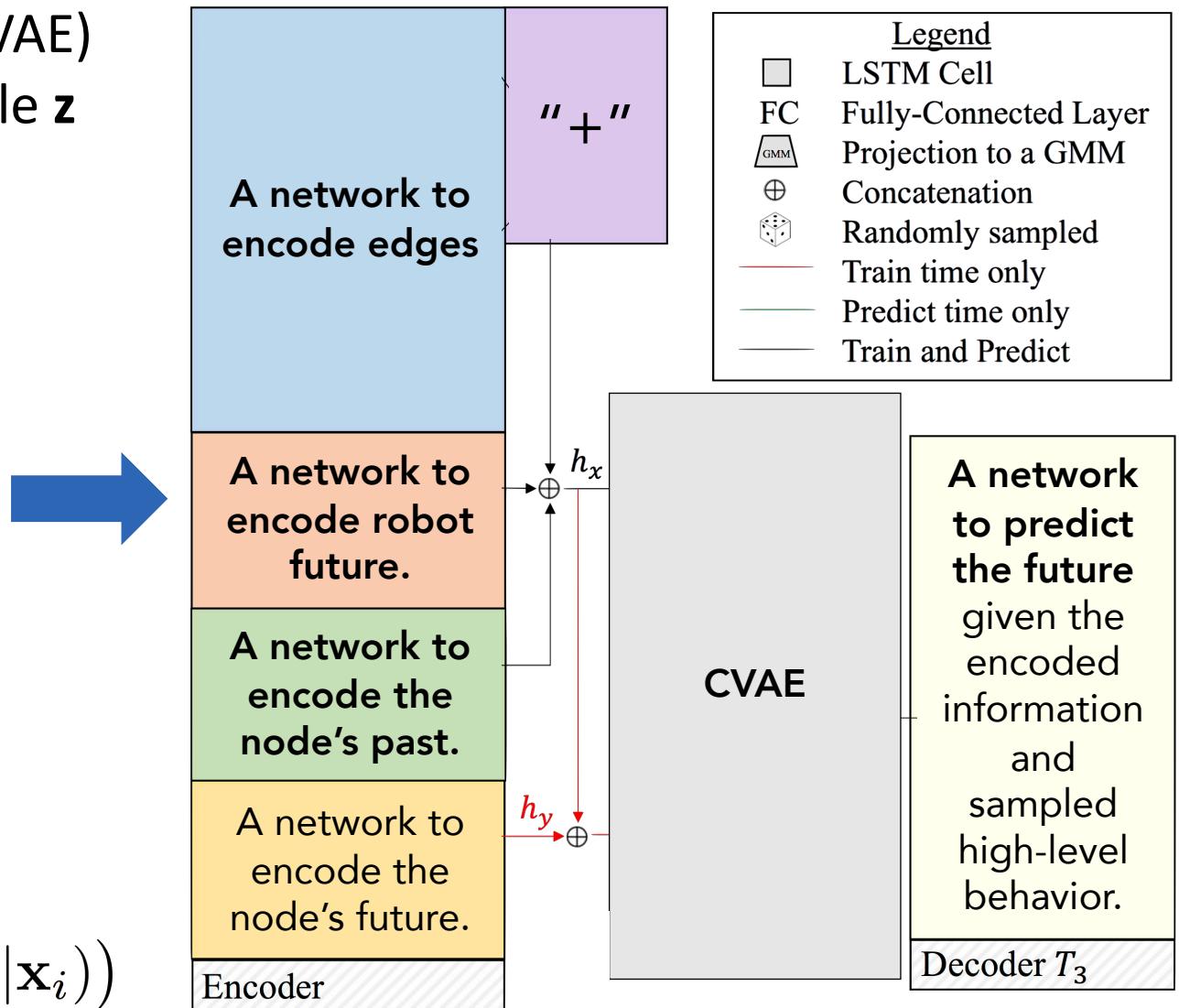
Conditional Variational Autoencoder (CVAE)

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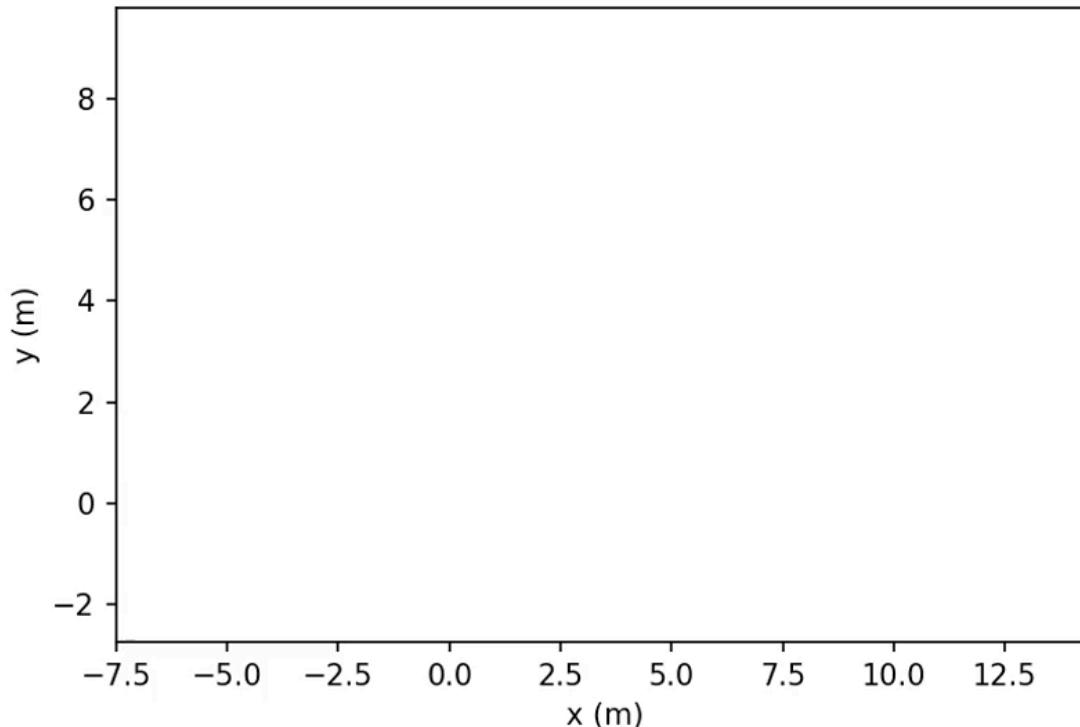
$$\max_{\phi, \theta, \psi} \sum_{i=1}^N \mathbb{E}_{z \sim q_\phi(z|\mathbf{x}_i, \mathbf{y}_i)} [p_\psi(\mathbf{y}_i|\mathbf{x}_i, z)] - D_{KL}(q_\phi(z|\mathbf{x}_i, \mathbf{y}_i) \parallel p_\theta(z|\mathbf{x}_i))$$



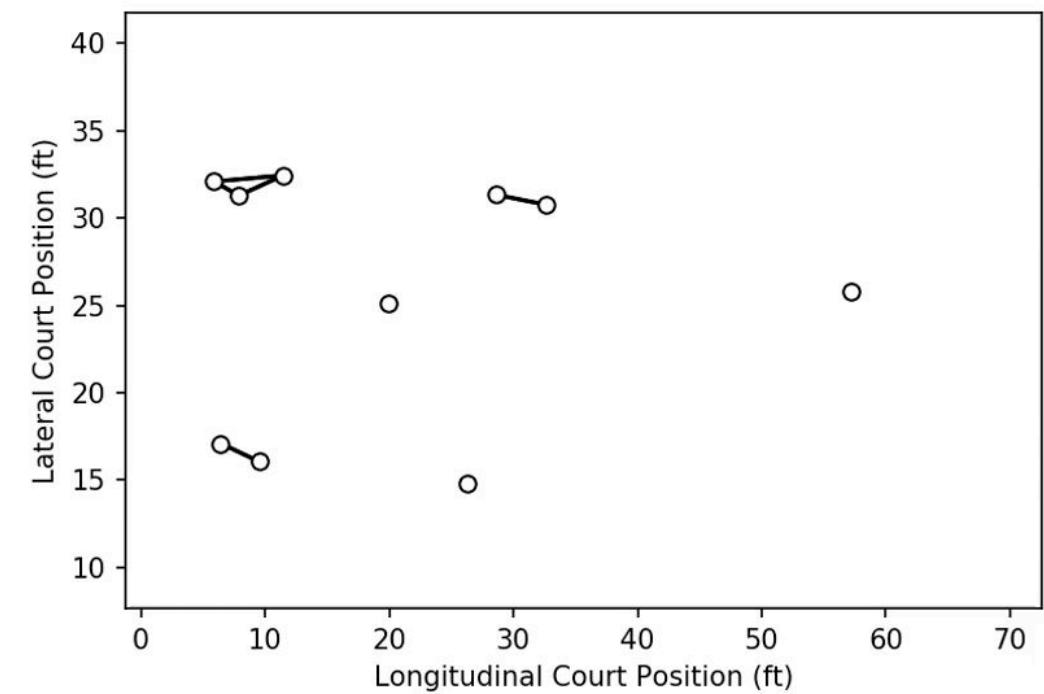
Multi-Human Modeling with Spatiotemporal Graphs

What if the scene structure changes?

ETH Pedestrians Dataset



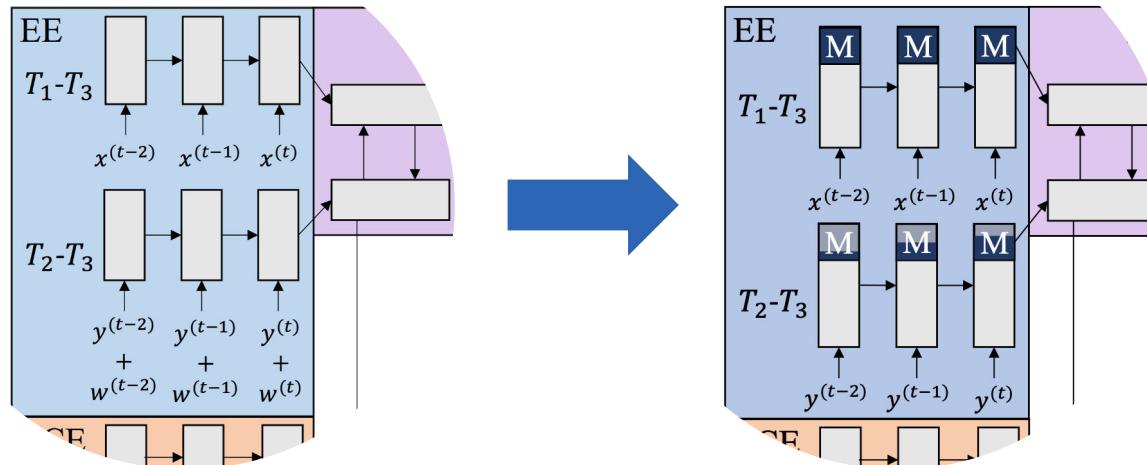
NBA Basketball Players Dataset



Dynamic Spatiotemporal Graphs

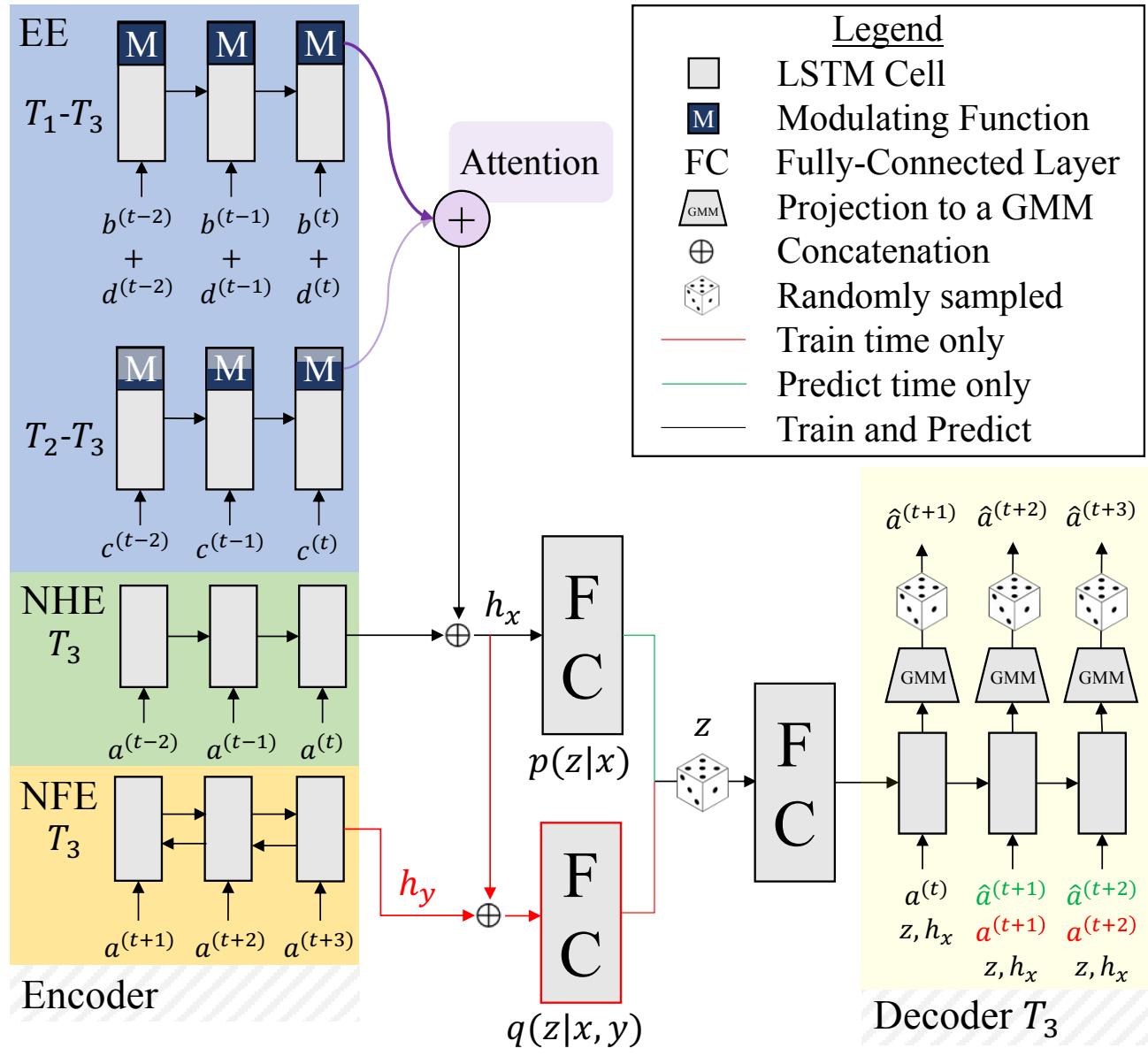
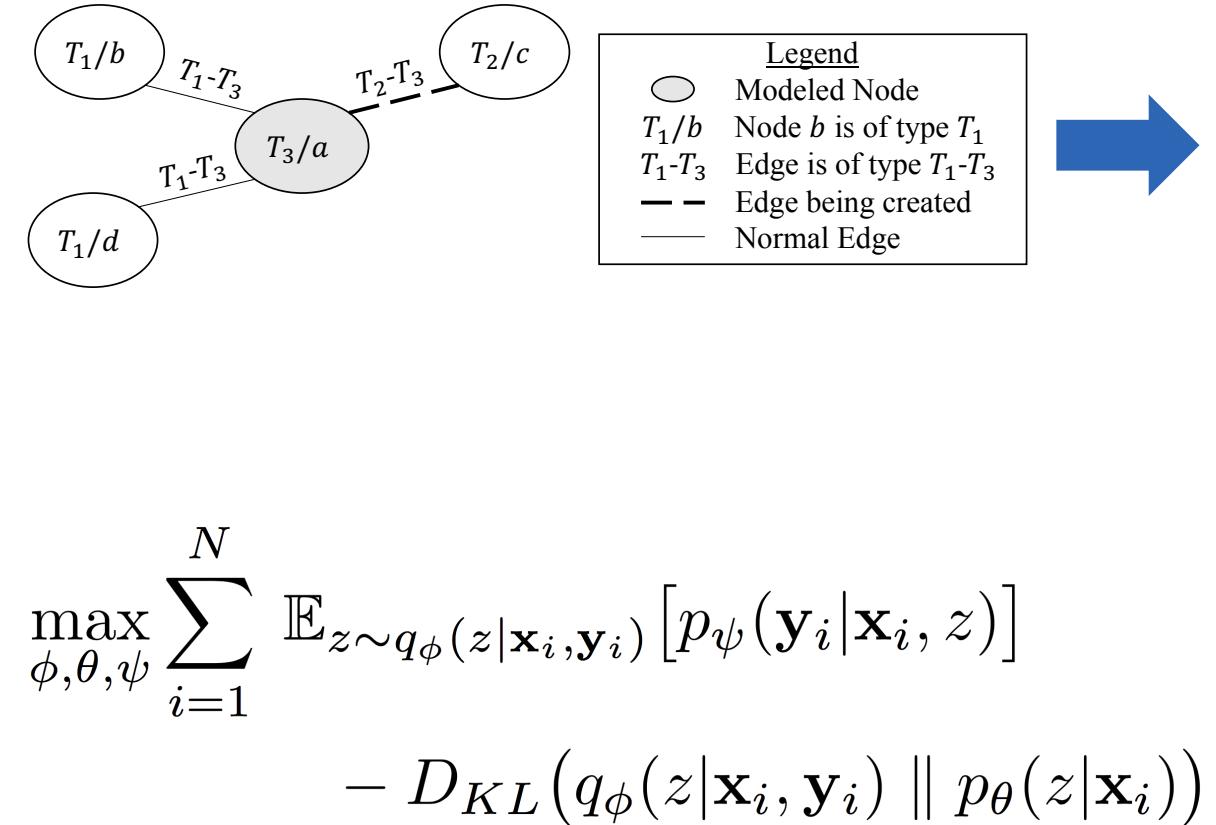
How do you model edges/nodes being added/removed from your graph?

1. Adding/removing edge/node models is critical for computational efficiency
2. We therefore modulate edge/node strengths to smoothly “interpolate” between graph changes



Extra scalar multiplication
and learned attention layer

The “Trajectron”

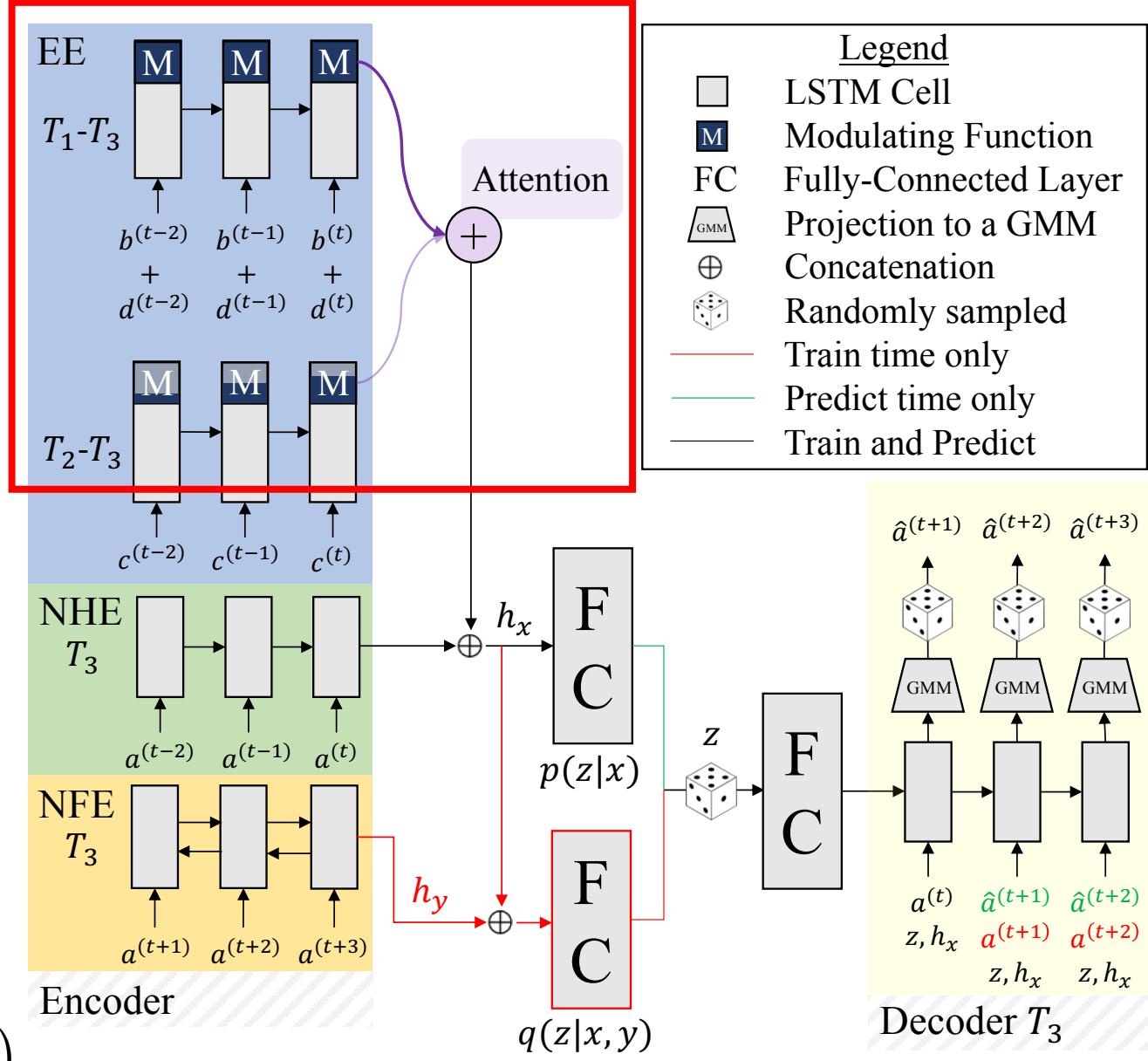


The “Trajectron”

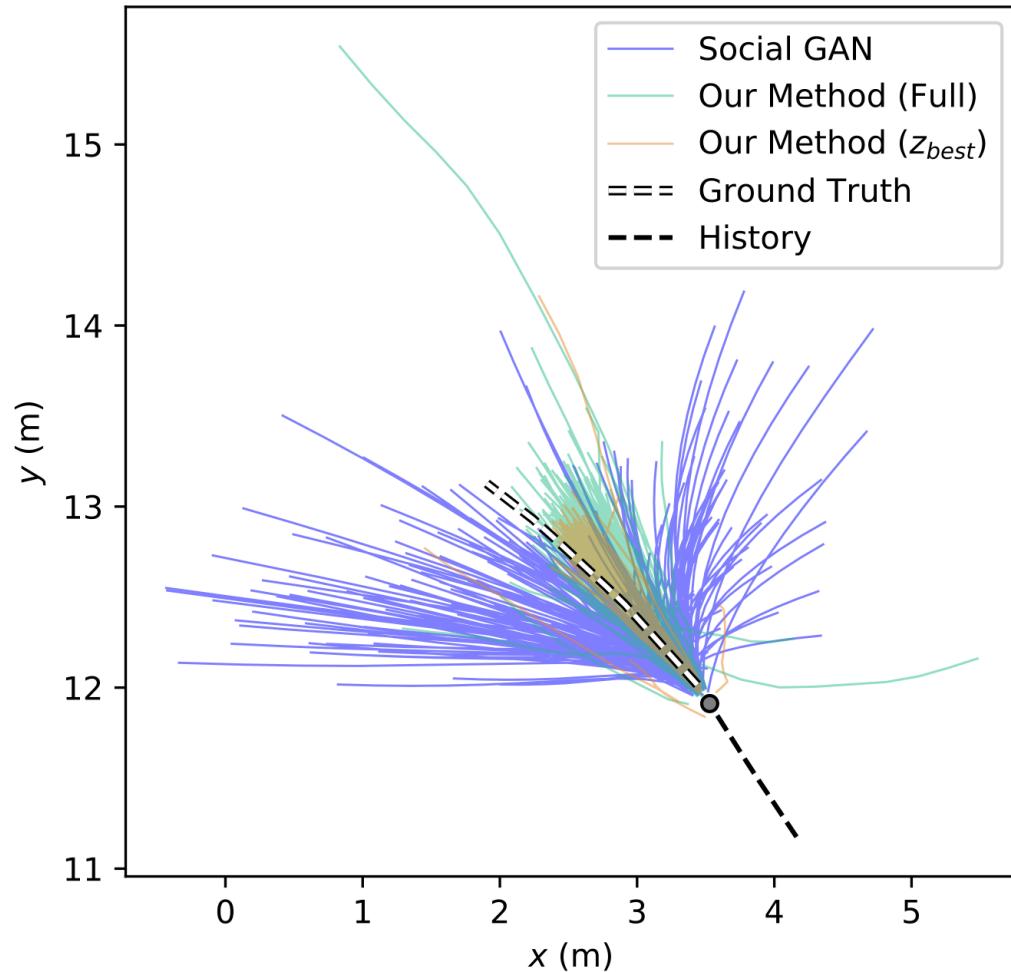
(Ivanovic and Pavone, under review)

Edges are now
fully dynamic.

$$\max_{\phi, \theta, \psi} \sum_{i=1}^N \mathbb{E}_{z \sim q_\phi(z | \mathbf{x}_i, \mathbf{y}_i)} [p_\psi(\mathbf{y}_i | \mathbf{x}_i, z)] - D_{KL}(q_\phi(z | \mathbf{x}_i, \mathbf{y}_i) \| p_\theta(z | \mathbf{x}_i))$$



Results



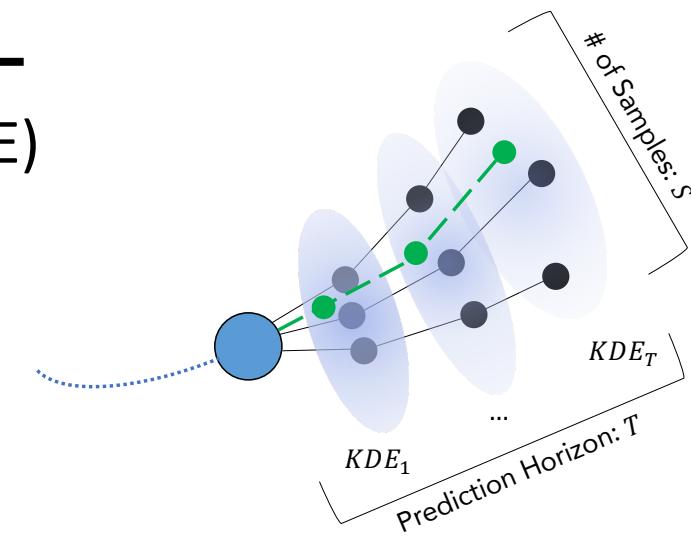
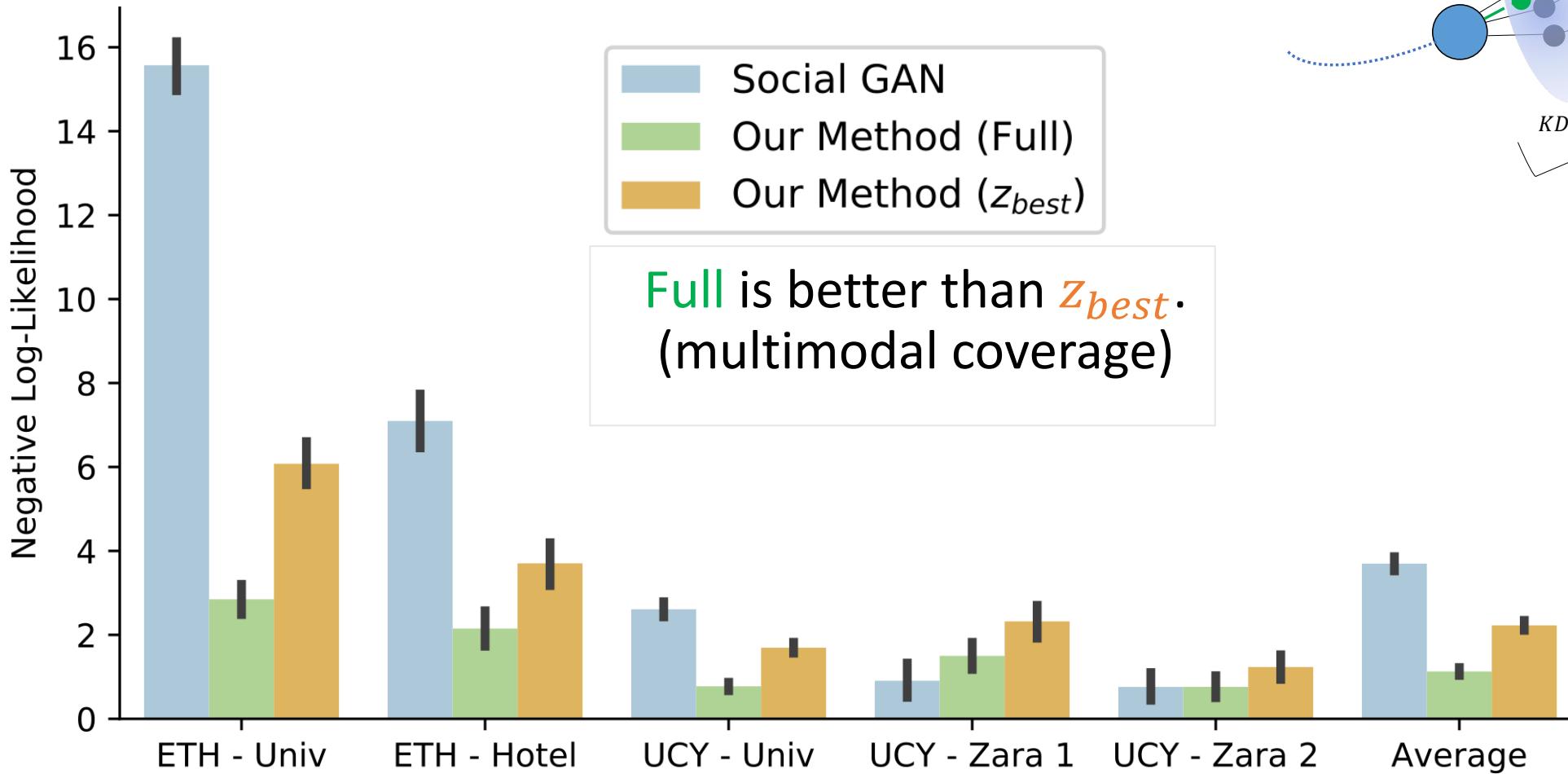
More concentrated predictions compared to the previous state of the art ([Gupta et al. 2018](#)).

Can choose between accuracy (z_{best}) and coverage of potential futures (Full).

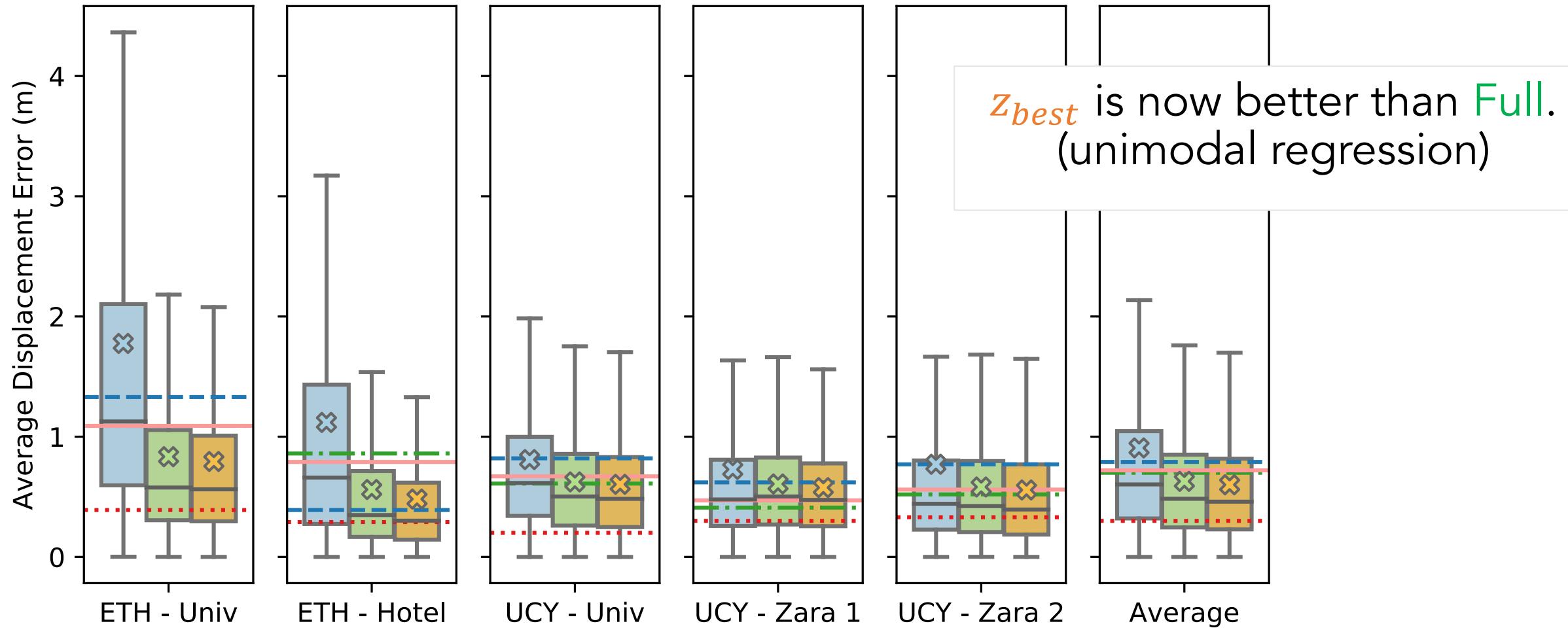
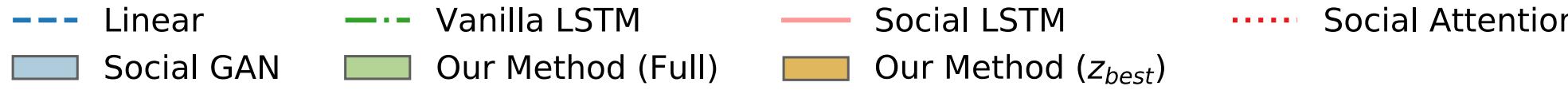
$$z_{best} = \arg \max_z p_\theta(z | x), y \sim p_\psi(y | x, z_{best})$$
$$z \sim p_\theta(z | x), y \sim p_\psi(y | x, z)$$

Results – Negative Log-Likelihood

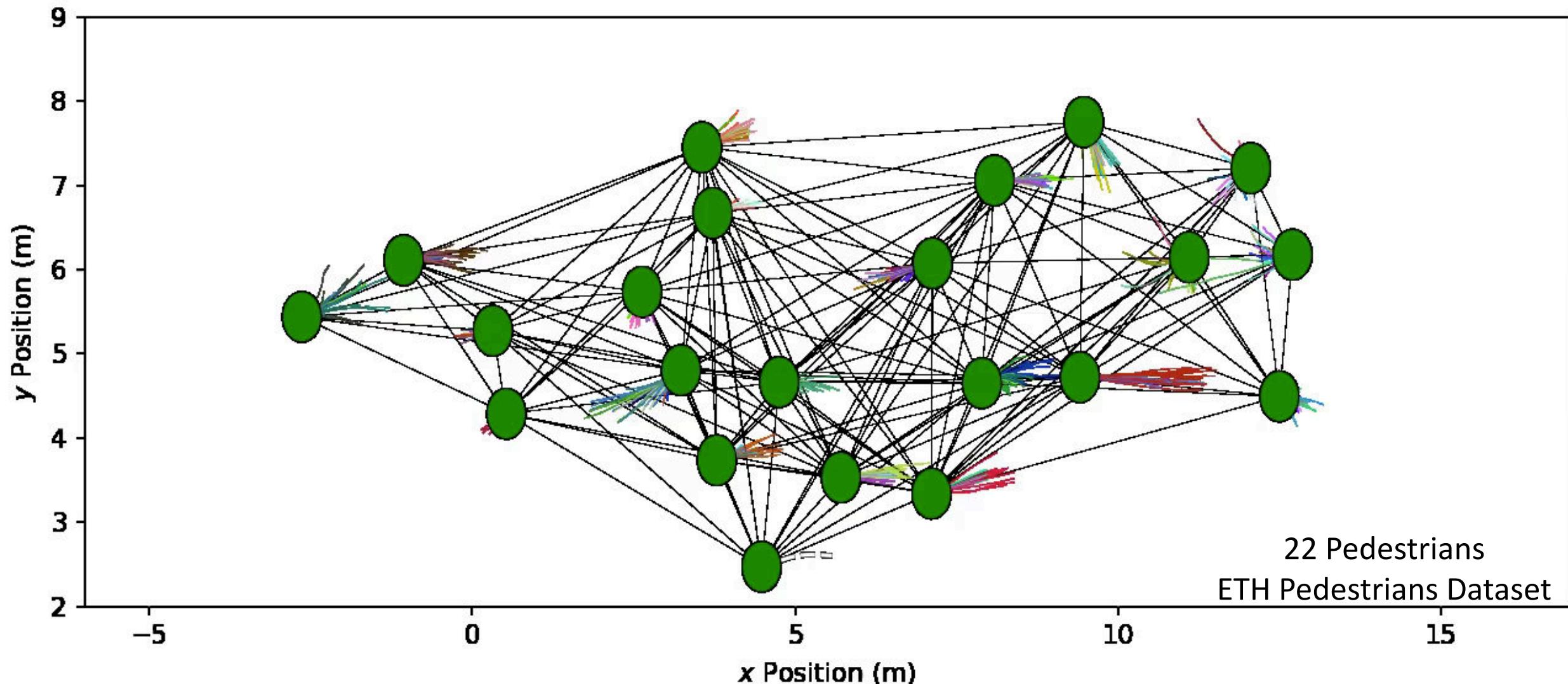
For comparability we use kernel density estimation (KDE) to construct pdfs from sets of sampled trajectories



Results – Average Displacement Error



The Trajectron

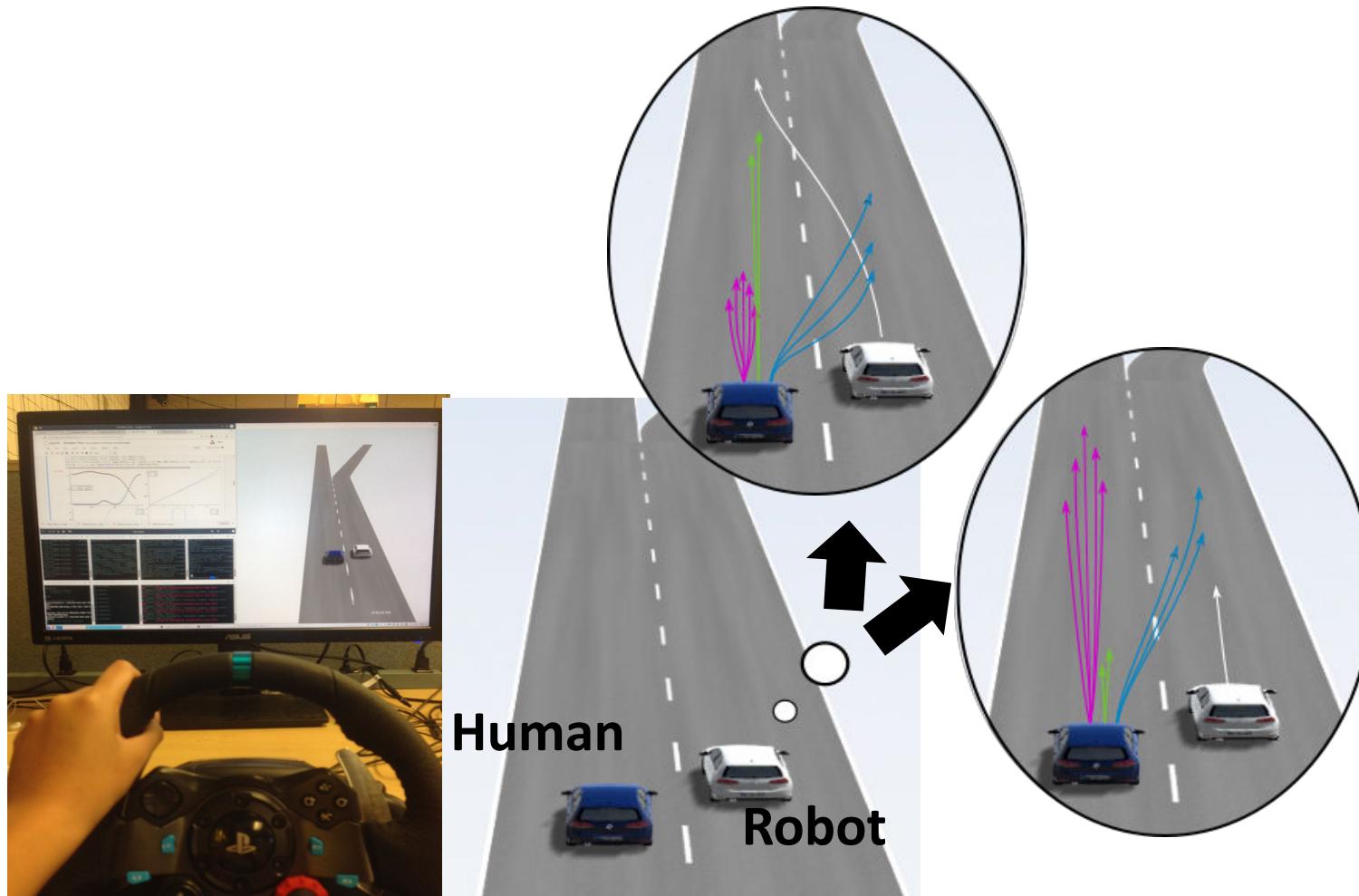


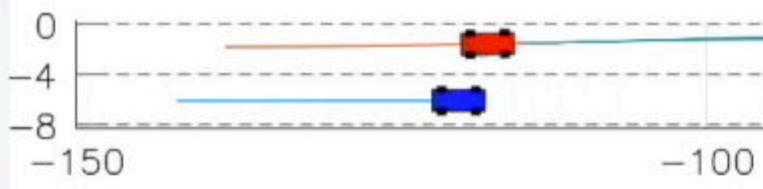
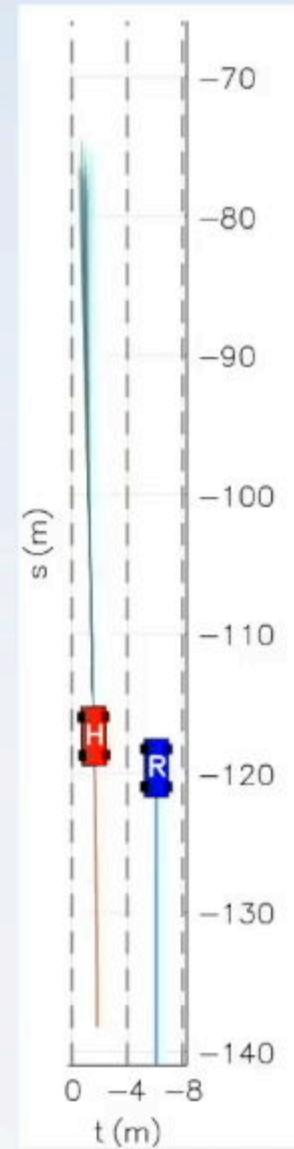
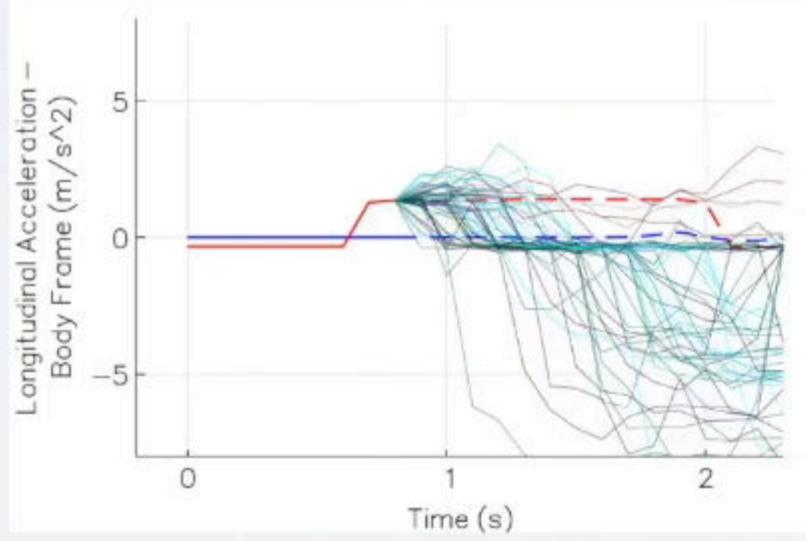
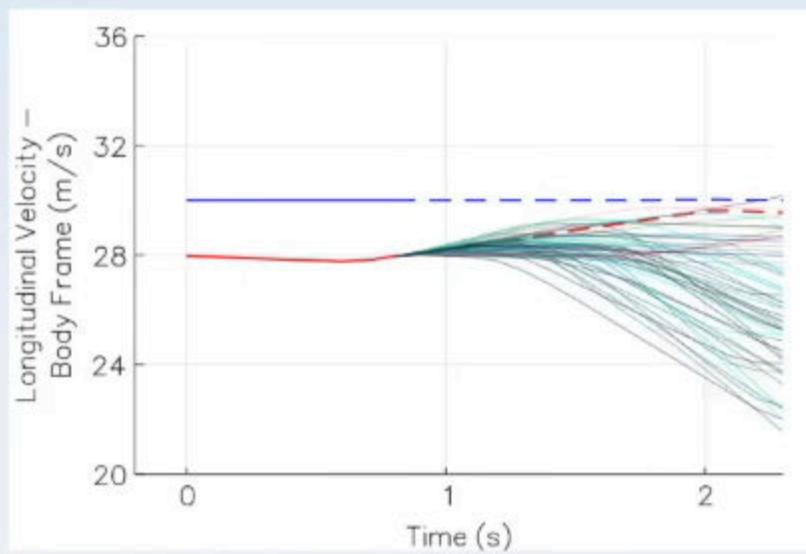
Ongoing/Future Work

- Spatiotemporal graph-based modeling
 - Here, we're only using the graph at $t < T$ to make a prediction about $t > T$
Modeling future graph evolution → enable truly **long term** motion prediction
 - Moving beyond purely dynamic-state-based representations
- Model-based planning

Model-Based Planning

(Schmerling, Leung et al. 2018)







- Replanning is too slow to ensure safety
- Probabilistic model may “get it wrong”, especially with low-likelihood events



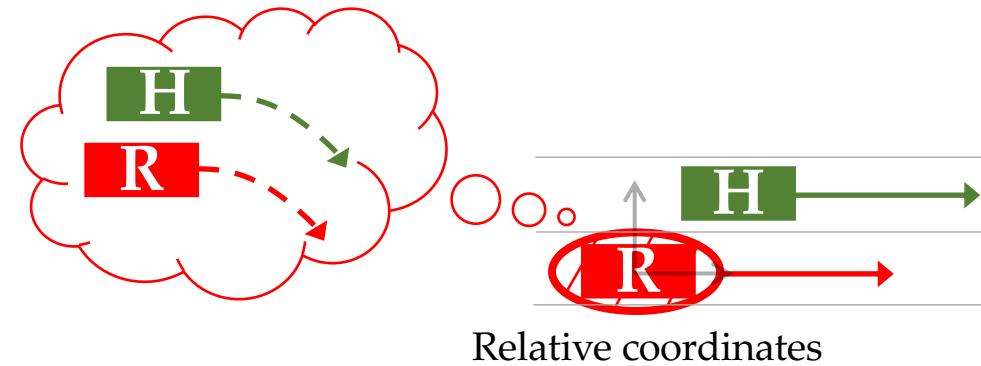
Disaster?!

Hamilton-Jacobi Reachability Analysis

(Leung*, Schmerling*, et al. 2018)

"If I want to avoid this set of states in the future, what is the set I should avoid now?"

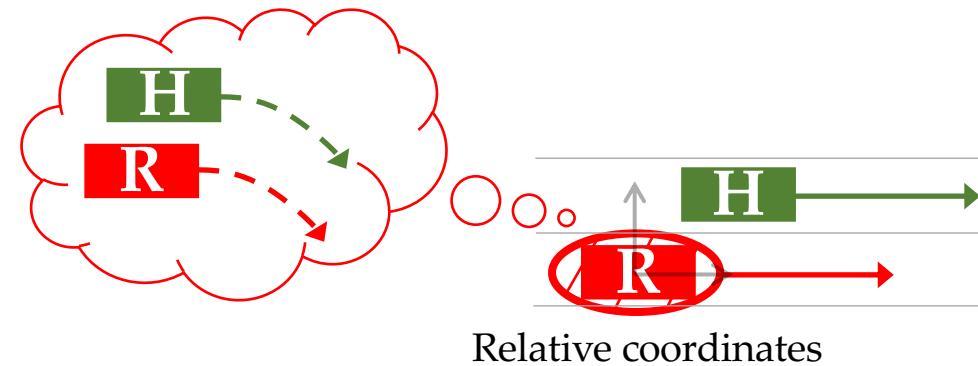
Backward Reachable Set



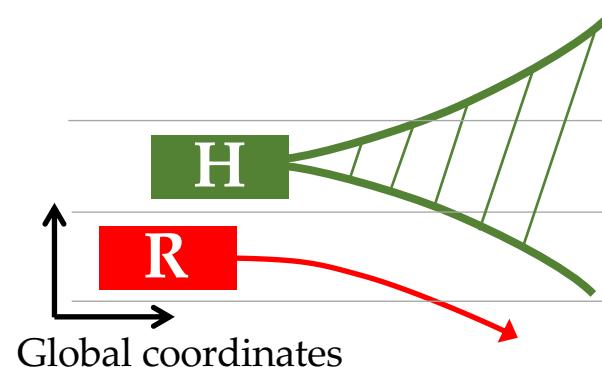
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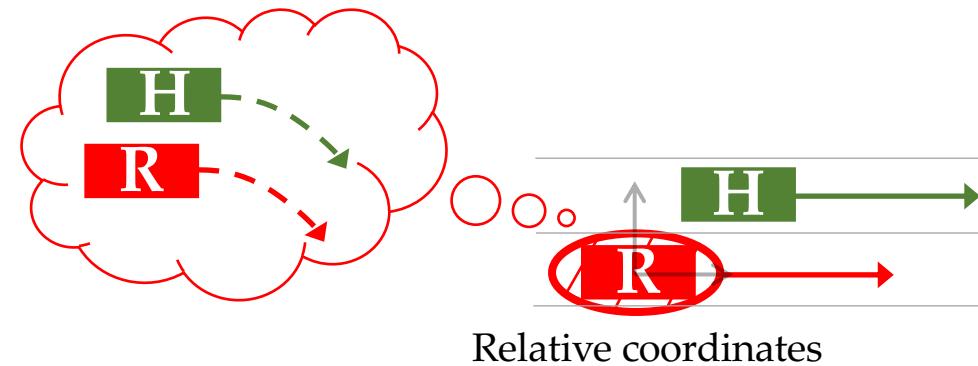
Forward Reachable Set



Hamilton-Jacobi Reachability Analysis

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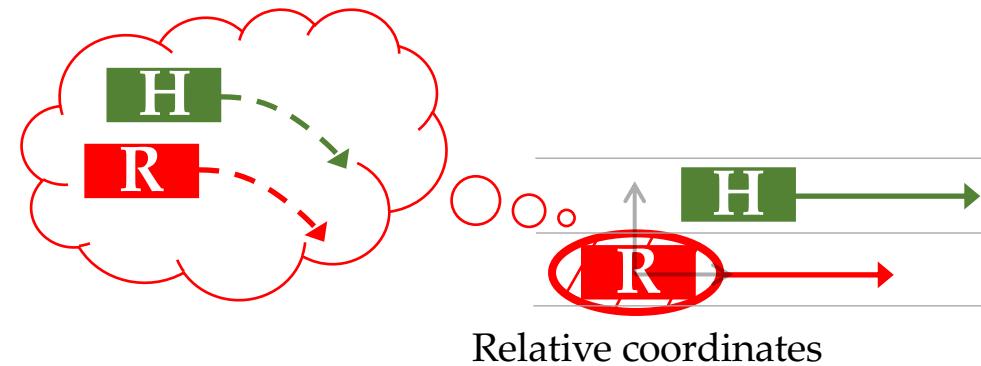
Forward Reachable Set



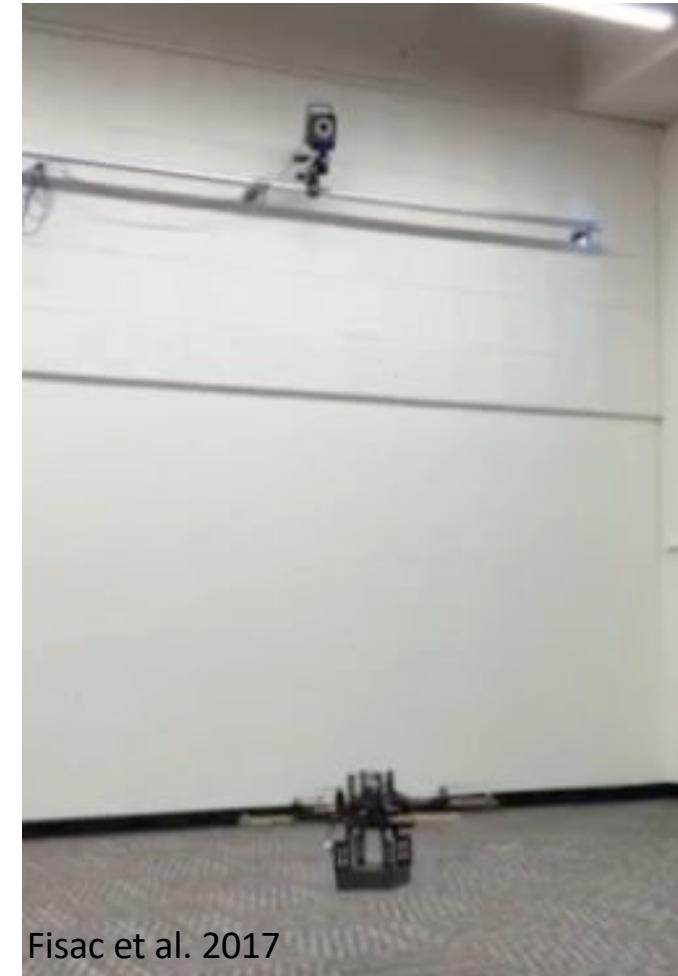
Hamilton-Jacobi Reachability Analysis

"If I want to avoid this set of states in the future, what is the set I should avoid now?"

Backward Reachable Set



Forward Reachable Set



Fisac et al. 2017

How to integrate safety assurance within a performance-centric planning framework?

How to integrate safety assurance within a performance-centric planning framework?

Incorporate HJ reachability as a constraint for a low-level MPC tracking controller

How to integrate **safety assurance** within a
performance-centric planning framework?

Incorporate HJ reachability as a constraint for a
low-level MPC tracking controller

Does this **guarantee** safety on the road?

Our Contribution

(Leung*, Schmerling*, et al. 2018)

How to integrate **safety assurance** within a
performance-centric planning framework?

Incorporate HJ reachability as a constraint for a
low-level MPC tracking controller

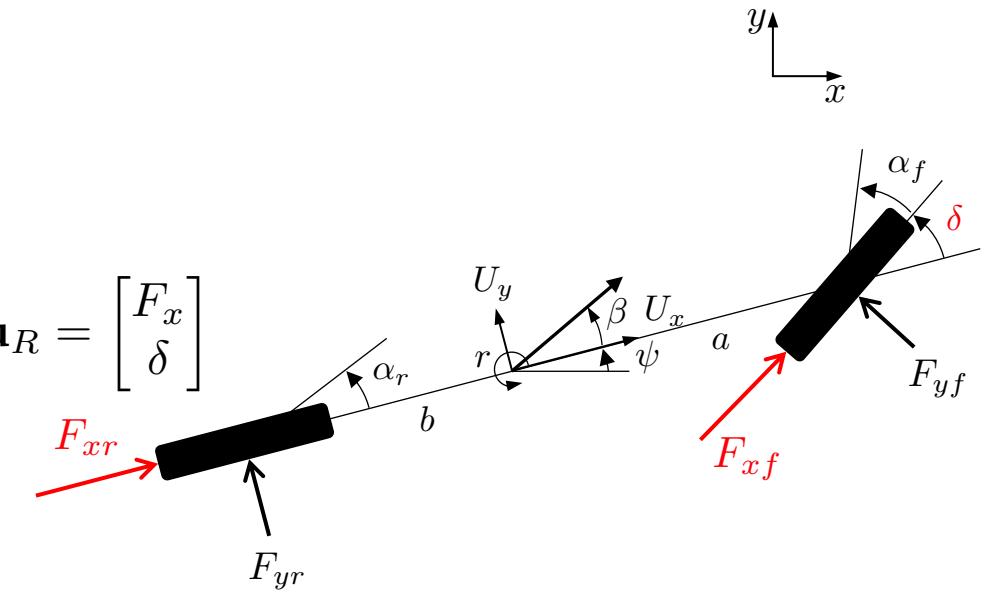
Does this **guarantee** safety on the road?

HJ analysis is highly dependent on model fidelity
but results are still interpretable

Relative Vehicle Dynamics

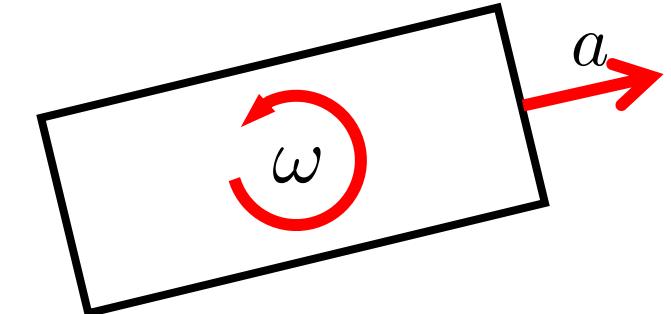
Robot vehicle dynamics

$$\mathbf{x}_R = \begin{bmatrix} x_R \\ y_R \\ \psi_R \\ U_{x_R} \\ U_{y_R} \\ r_R \end{bmatrix}, \quad \dot{\mathbf{x}}_R = \begin{bmatrix} \dot{x}_R \\ \dot{y}_R \\ \dot{\psi}_R \\ \dot{U}_{x_R} \\ \dot{U}_{y_R} \\ \dot{r}_R \end{bmatrix} = \begin{bmatrix} U_{x_R} \cos \psi_R - U_{y_R} \sin \psi_R \\ U_{x_R} \sin \psi_R + U_{y_R} \cos \psi_R \\ r_R \\ \frac{1}{m}(F_x + F_{x_{drag}}) + r_R U_{y_R} \\ \frac{1}{m}(F_{y_f} + F_{y_r}) - r_R U_{x_R} \\ \frac{1}{I_{zz}}(aF_{y_f} - bF_{y_r}) \end{bmatrix}, \quad \mathbf{u}_R = \begin{bmatrix} F_x \\ \delta \end{bmatrix}$$



Human vehicle dynamics

$$\mathbf{x}_H = \begin{bmatrix} x_H \\ y_H \\ \psi_H \\ v_H \end{bmatrix}, \quad \dot{\mathbf{x}}_H = \begin{bmatrix} \dot{x}_H \\ \dot{y}_H \\ \dot{\psi}_H \\ \dot{v}_H \end{bmatrix} = \begin{bmatrix} v_H \cos \psi_H \\ v_H \sin \psi_H \\ \omega \\ a \end{bmatrix}, \quad \mathbf{u}_H = \begin{bmatrix} \omega \\ a \end{bmatrix}$$



Relative Vehicle Dynamics

(Leung*, Schmerling*, et al. 2018)

$$\begin{bmatrix} x_{rel} \\ y_{rel} \end{bmatrix} = R_{-\psi_R} \begin{bmatrix} x_H - x_R \\ y_H - y_R \end{bmatrix}$$

$$\psi_{rel} = \psi_H - \psi_R$$

$$\begin{bmatrix} \dot{x}_{rel} \\ \dot{y}_{rel} \\ \dot{\psi}_{rel} \\ \dot{U}_{x_R} \\ \dot{U}_{y_R} \\ \dot{v}_H \\ \dot{r}_R \end{bmatrix} = \begin{bmatrix} v_H \cos \psi_{rel} - U_{x_R} + y_{rel} r_R \\ v_H \sin \psi_{rel} - U_{y_R} - x_{rel} r_R \\ \omega - r_R \\ \frac{1}{m}(F_x + F_{x_{drag}}) - r_R U_{x_R} \\ \frac{1}{m}(F_{y_f} + F_{y_r}) - r_R U_{x_R} \\ a \\ \frac{1}{I_{zz}}(a F_{y_f} - b F_{y_r}) \end{bmatrix}$$

Relative vehicle dynamics
(equal control authority)

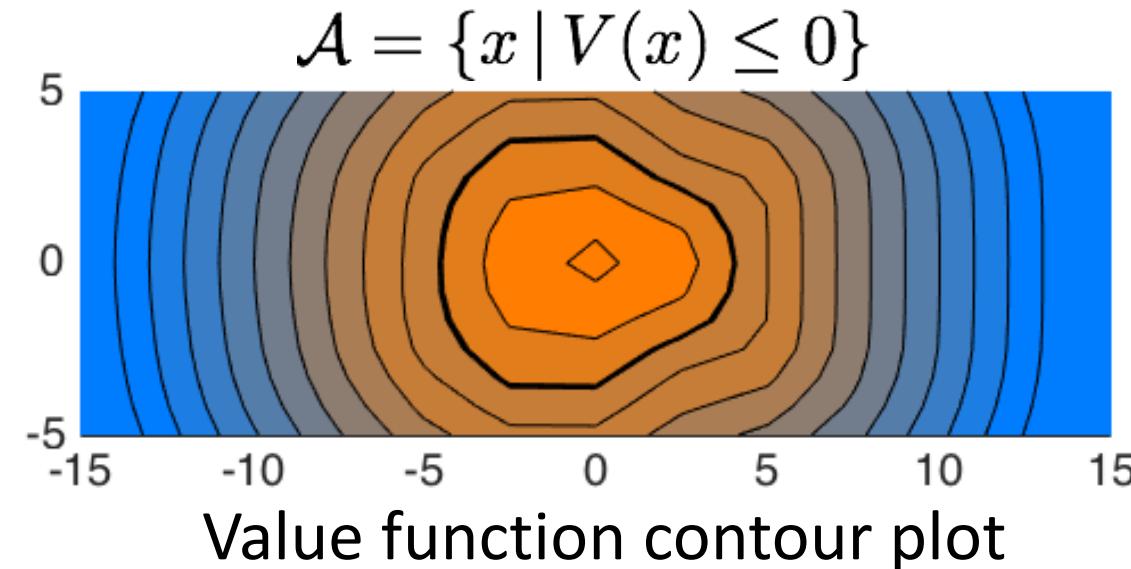
Value Function

- Terminal set is the zero sub-level set of a **value function**
- Value function varies as relative states changes

Value Function

(Leung*, Schmerling*, et al. 2018)

- Terminal set is the zero sub-level set of a **value function**
- Value function varies as relative states changes

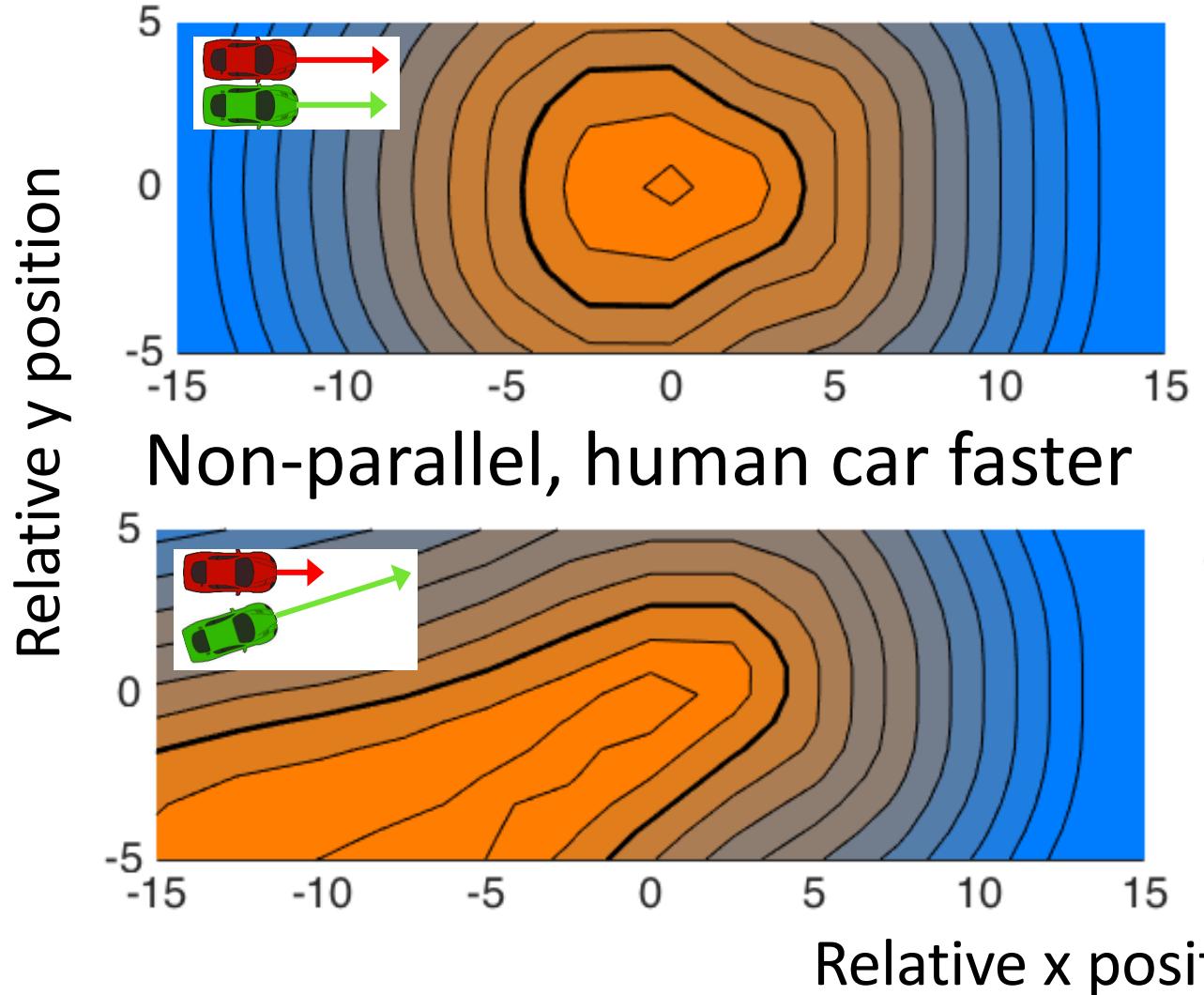


$$u_R^* = \arg \max_{u_R} \min_{u_H} \nabla V(x_{\mathcal{R}}) \cdot f(x_{\mathcal{R}}, u_R, u_H)$$

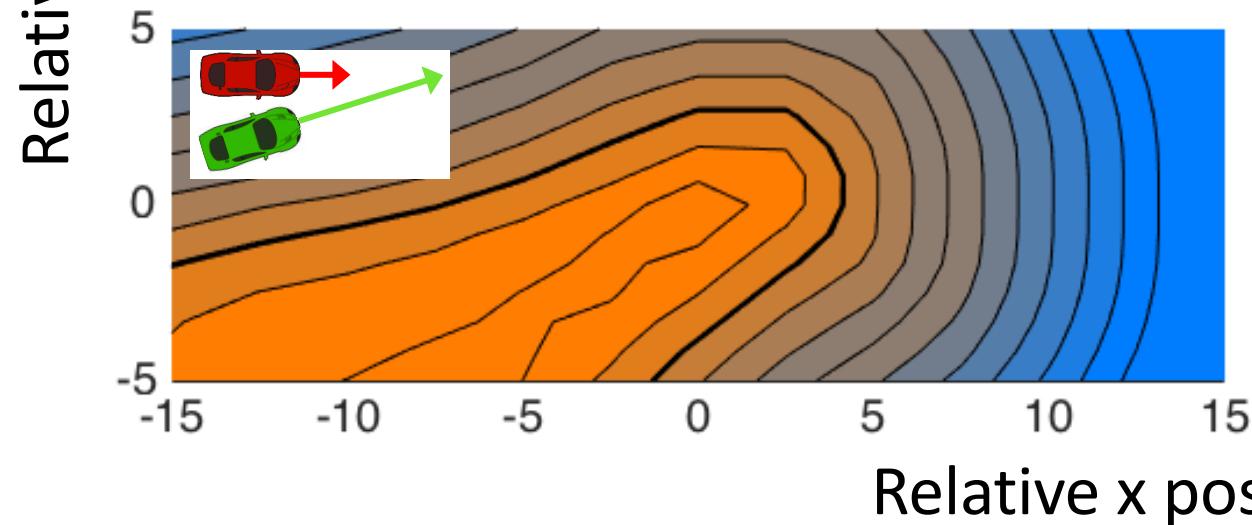
Value Function Contour Plots

(Leung*, Schmerling*, et al. 2018)

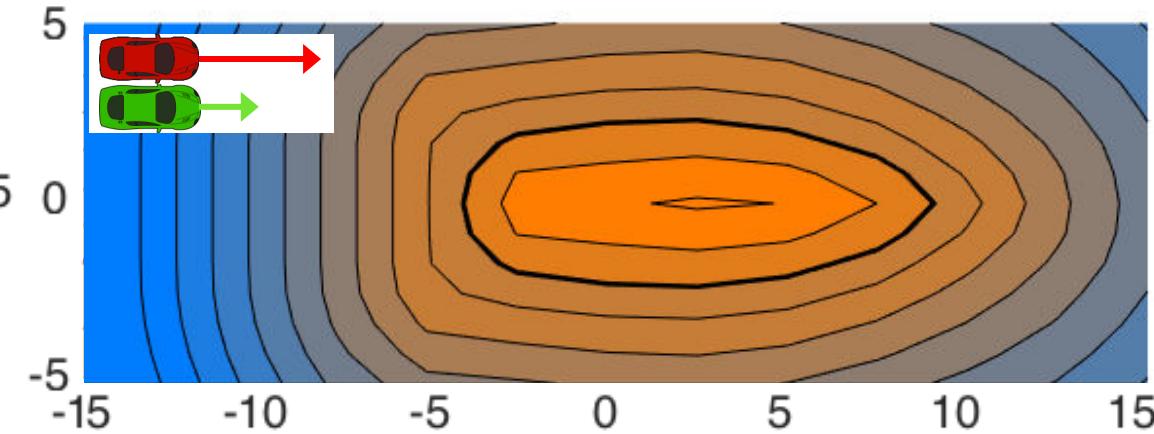
Parallel, equal speeds



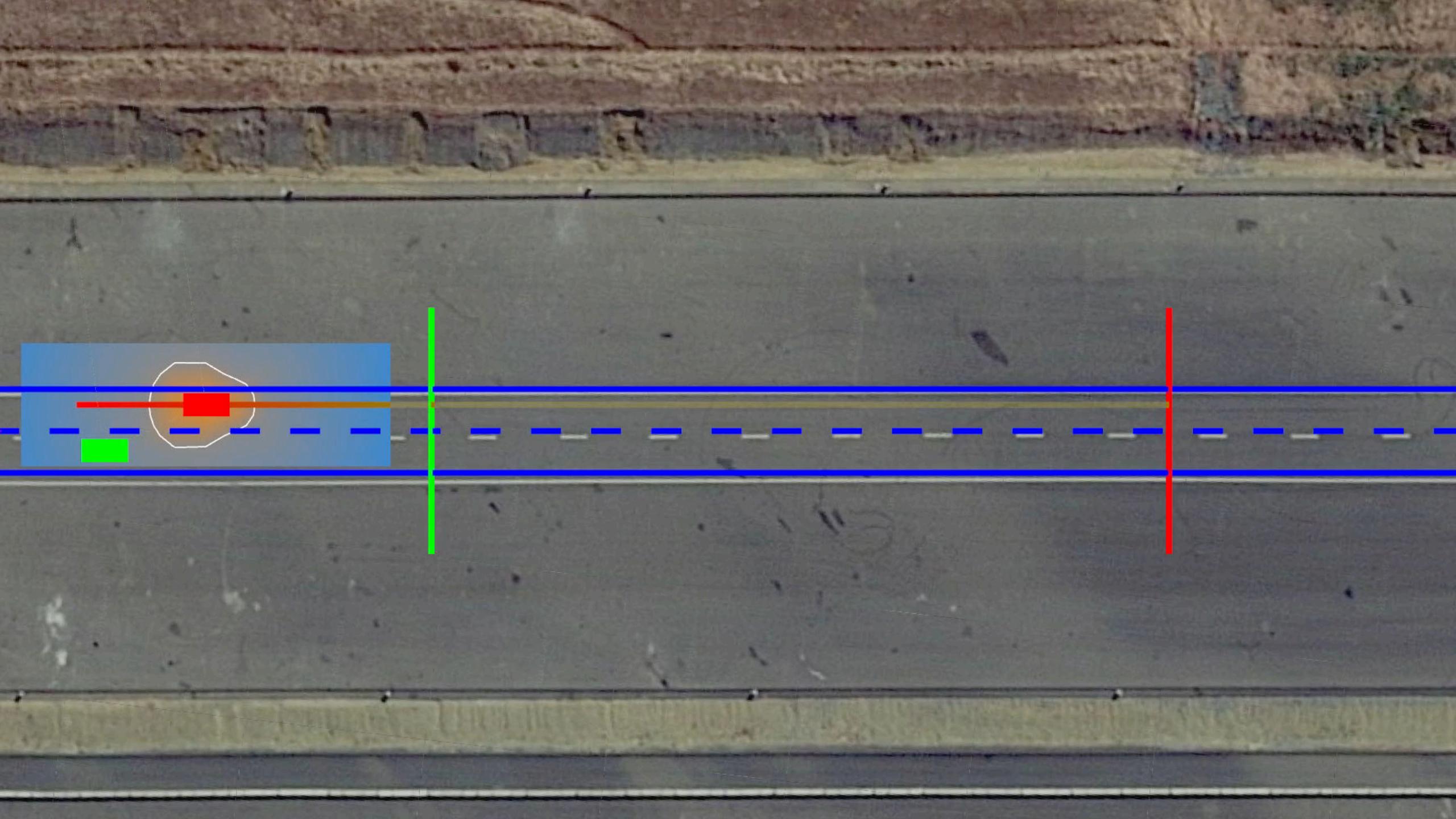
Non-parallel, human car faster



Parallel, robot car faster



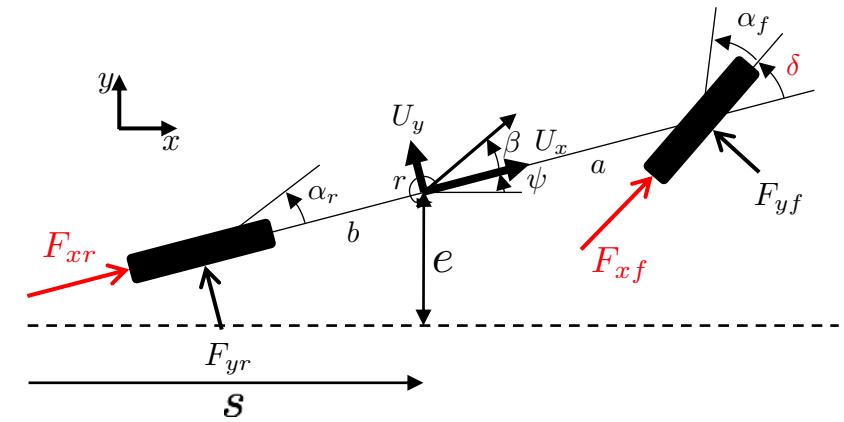
— Zero-level set



MPC Tracking Controller

- Quadratic program
- Centimeter accuracy
- 100Hz

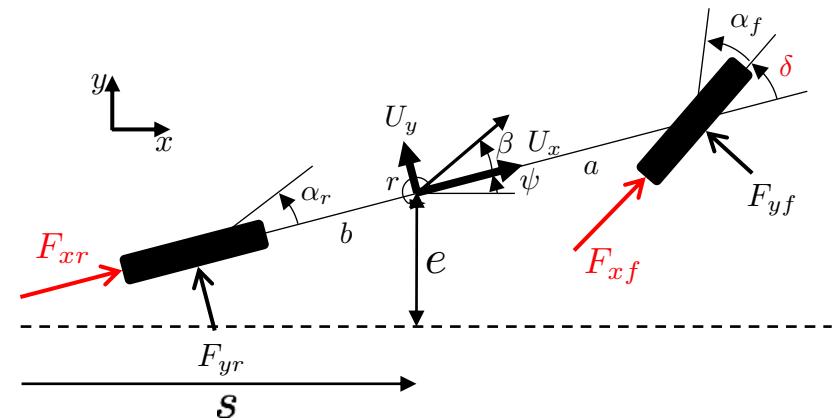
(Leung*, Schmerling*, et al. 2018)



MPC Tracking Controller

(Leung*, Schmerling*, et al. 2018)

- Quadratic program
- Centimeter accuracy
- 100Hz



$$\underset{q, u, \sigma, \sigma_{HJI}, \Delta \delta, \Delta F_x}{\text{minimize}} \quad \sum_{k=1}^T \Delta s_k^T Q_{\Delta s} \Delta s_k + \Delta \psi_k^T Q_{\Delta \psi} \Delta \psi_k + e_k^T Q_e e_k + \Delta \delta_k^T R_{\Delta \delta} \Delta \delta_k + \\ \Delta F_{x,k}^T R_{\Delta F_x} \Delta F_{x,k} + W_\beta \sigma_{\beta,k} + W_r \sigma_{r,k} + W_{HJI} \sigma_{HJI,k}$$

$$\text{subject to} \quad \delta_{k+1} - \delta_k = \Delta \delta_k, \quad \Delta \delta_{min} \leq \Delta \delta_k \leq \Delta \delta_{max}, \quad \delta_{min} \leq \delta_k \leq \delta_{max}$$

$$F_{x,k+1} - F_{x,k} = \Delta F_{x,k}, \quad V_{min} \leq U_{x,k} \leq V_{max}, \quad F_{x,min} \leq F_{x,k} \leq F_{x,max}$$

$$\sigma_{1,k} \geq 0, \quad \sigma_{2,k} \geq 0, \quad \sigma_{HJI,j} \geq 0$$

$$H_k \begin{bmatrix} U_{y,k} \\ r_k \end{bmatrix} - G_k \leq \begin{bmatrix} \sigma_{\beta,k} \\ \sigma_{r,k} \end{bmatrix}, \quad A_k q_k + B_k^- u_k + B_k^+ u_{k+1} + c_k = q_{k+1}$$

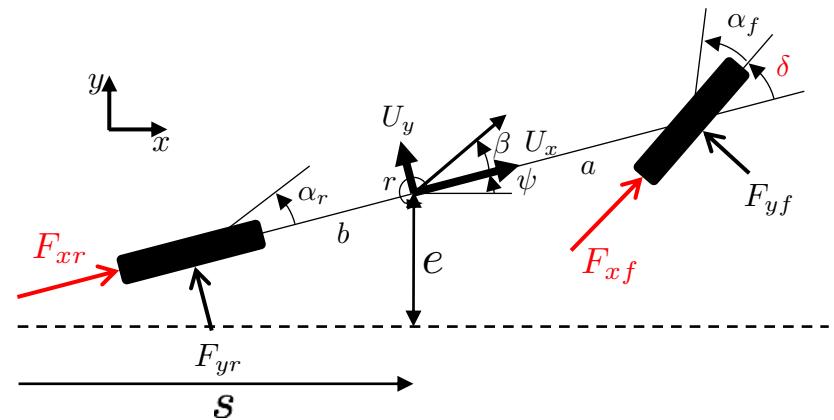
$$q_1 = q_{curr}, \quad u_1 = u_{curr}, \quad M_{HJI} u_j + b_{HJI} \geq -\sigma_{HJI}$$

$$\text{for } j = 1, \dots, T_{HJI}, \quad k = 1, \dots, T$$

MPC Tracking Controller

(Leung*, Schmerling*, et al. 2018)

- Quadratic program
- Centimeter accuracy
- 100Hz



$$\underset{q, u, \sigma, \sigma_{HJI}, \Delta \delta, \Delta F_x}{\text{minimize}} \quad \sum_{k=1}^T \quad \text{Quadratic cost: position error, control, control rate, slack variables}$$

$$\text{subject to} \quad \delta_{k+1} - \delta_k = \Delta \delta_k, \quad \Delta \delta_{min} \leq \Delta \delta_k \leq \Delta \delta_{max}, \quad \delta_{min} \leq \delta_k \leq \delta_{max}$$

$$F_{x,k+1} - F_{x,k} = \Delta F_{x,k}, \quad V_{min} \leq U_{x,k} \leq V_{max}, \quad F_{x,min} \leq F_{x,k} \leq F_{x,max}$$

$$\sigma_{1,k} \geq 0, \quad \sigma_{2,k} \geq 0, \quad \sigma_{HJI,j} \geq 0$$

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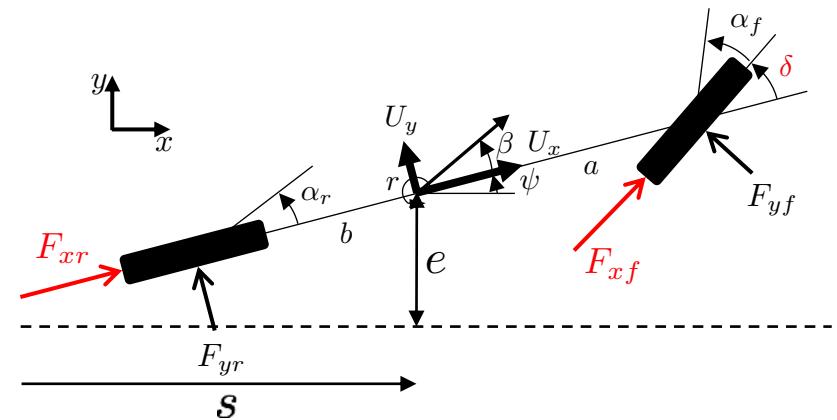
$$q_1 = q_{curr}, \quad u_1 = u_{curr}, \quad M_{HJI} u_j + b_{HJI} \geq -\sigma_{HJI}$$

$$\text{for } j = 1, \dots, T_{HJI}, \quad k = 1, \dots, T$$

MPC Tracking Controller

(Leung*, Schmerling*, et al. 2018)

- Quadratic program
- Centimeter accuracy
- 100Hz



$$\underset{q, u, \sigma, \sigma_{HJI}, \Delta \delta, \Delta F_x}{\text{minimize}} \sum_{k=1}^T \quad \text{Quadratic cost: position error, control, control rate, slack variables}$$

subject to

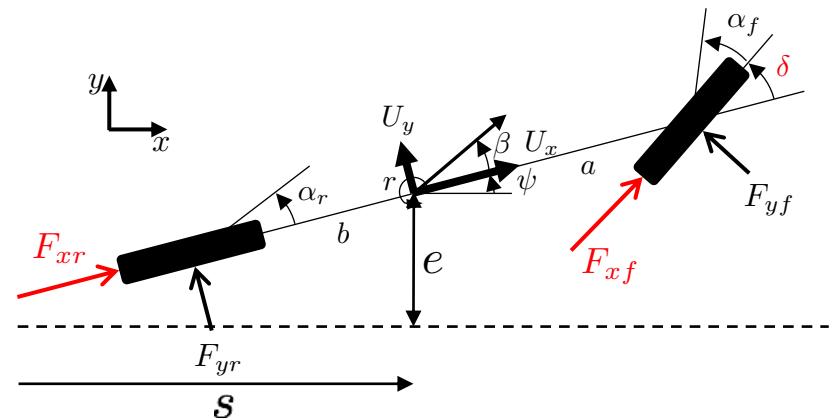
Constraints: Continuity, saturation, positivity in slack variables, stability and environmental envelope, linearized dynamics, initial conditions

for $j = 1, \dots, T_{HJI}$, $k = 1, \dots, T$

MPC Tracking Controller

(Leung*, Schmerling*, et al. 2018)

- Quadratic program
- Centimeter accuracy
- 100Hz



$$\underset{q, u, \sigma, \sigma_{HJI}, \Delta \delta, \Delta F_x}{\text{minimize}} \sum_{k=1}^T \quad \begin{array}{l} \text{Quadratic cost: position error, control, control} \\ \text{rate, slack variables} \end{array}$$

subject to

Constraints: Continuity, saturation, positivity in slack
variables, stability and environmental envelope, linearized
dynamics, initial conditions

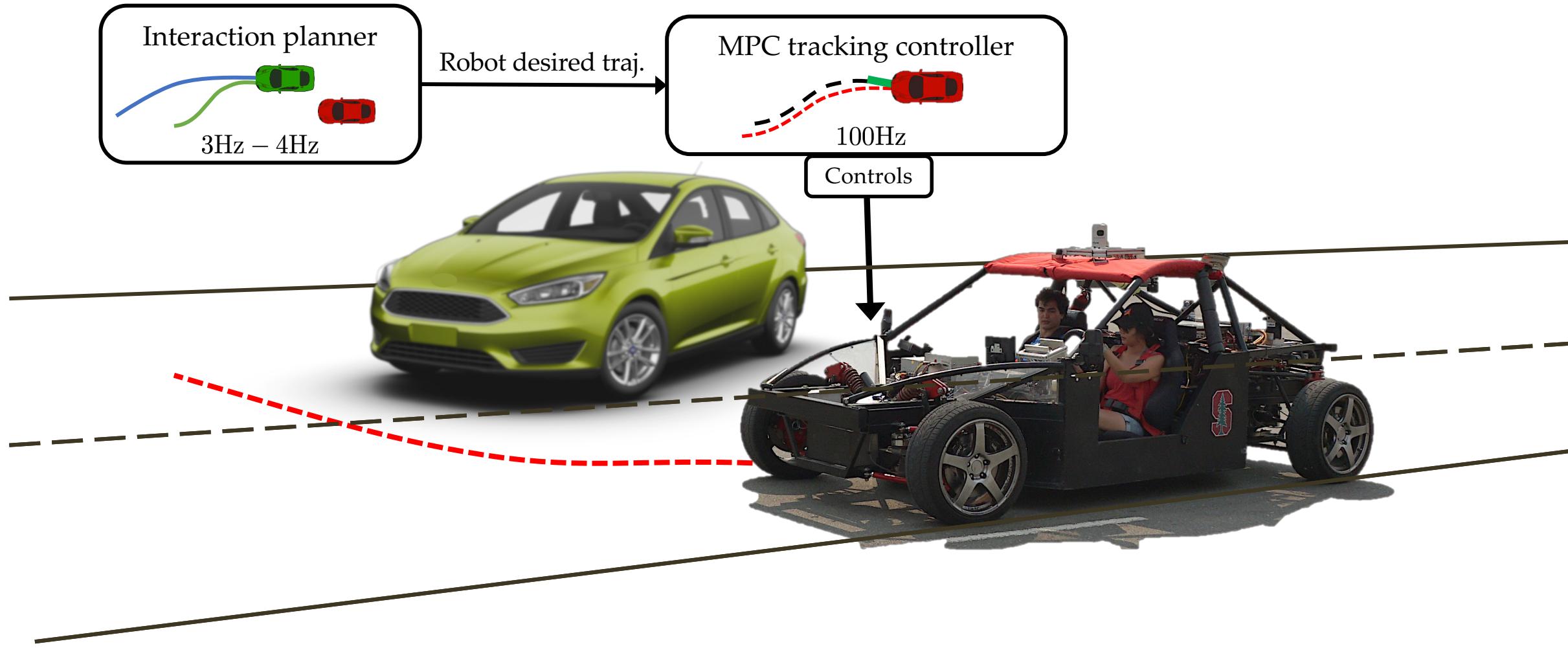
Reachability constraint

for $j = 1, \dots, T_{HJI}$, $k = 1, \dots, T$

Probabilistic Model-based Planning

Schmerling, Leung, et al, ICRA 2018

Brown, Funke, et al, CEP, 2017

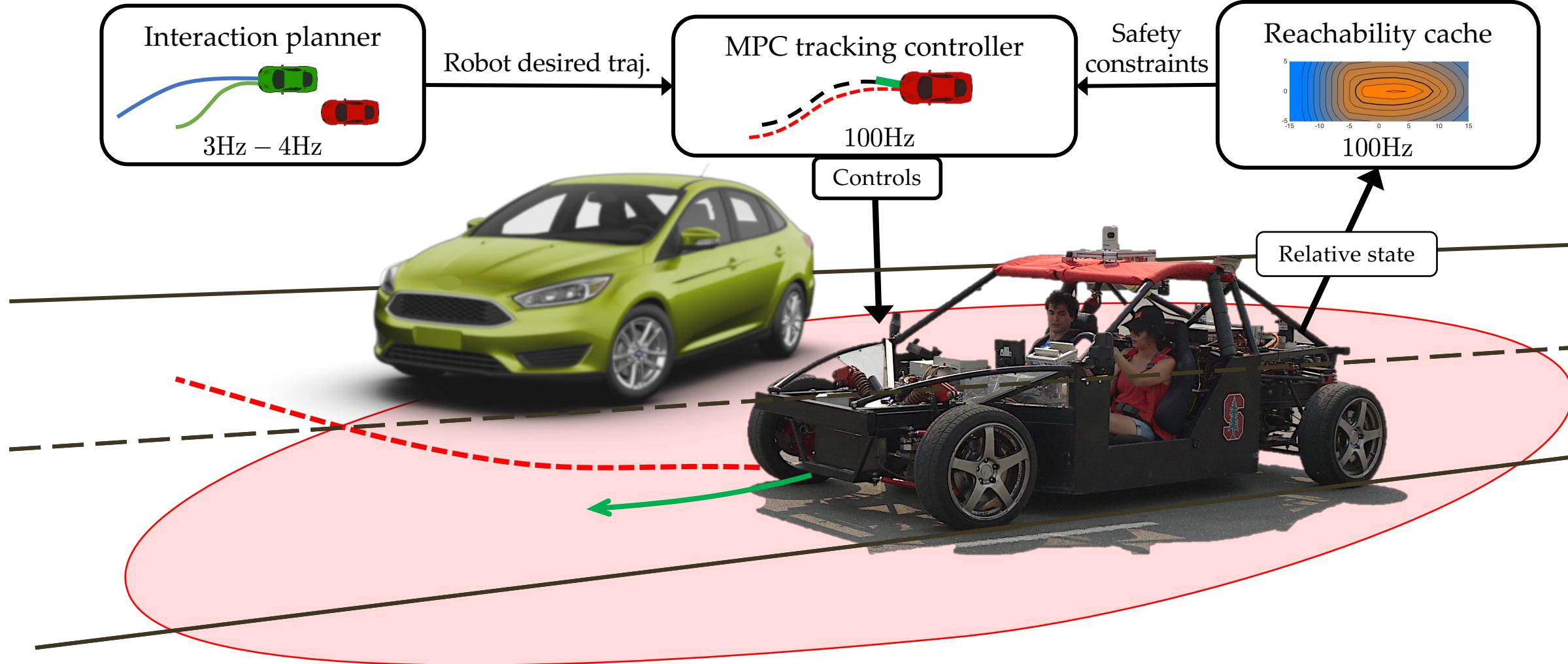


Probabilistic Model-based Planning with Safety Assurance

Schmerling, Leung, et al, ICRA 2018

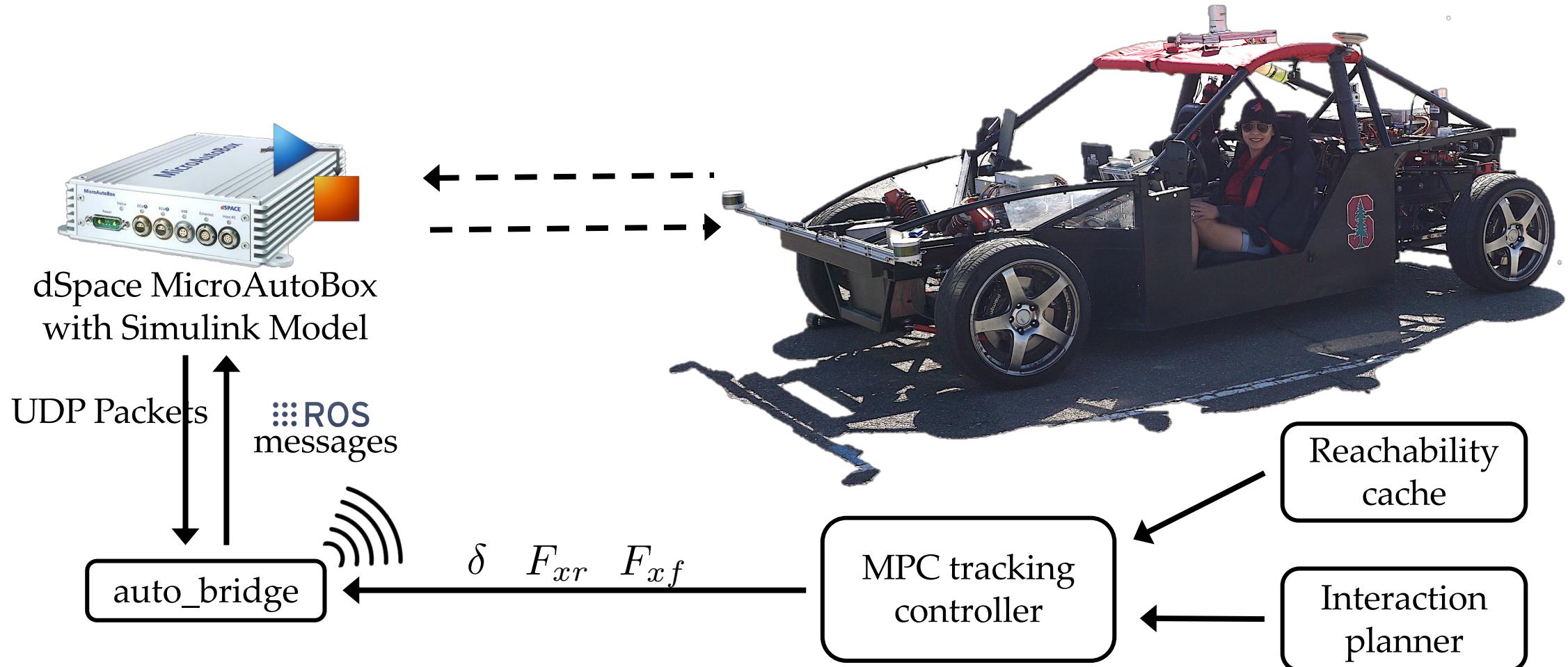
Brown, Funke, et al, CEP, 2017

Chen and Tomlin, AR of CRAS, 2018.



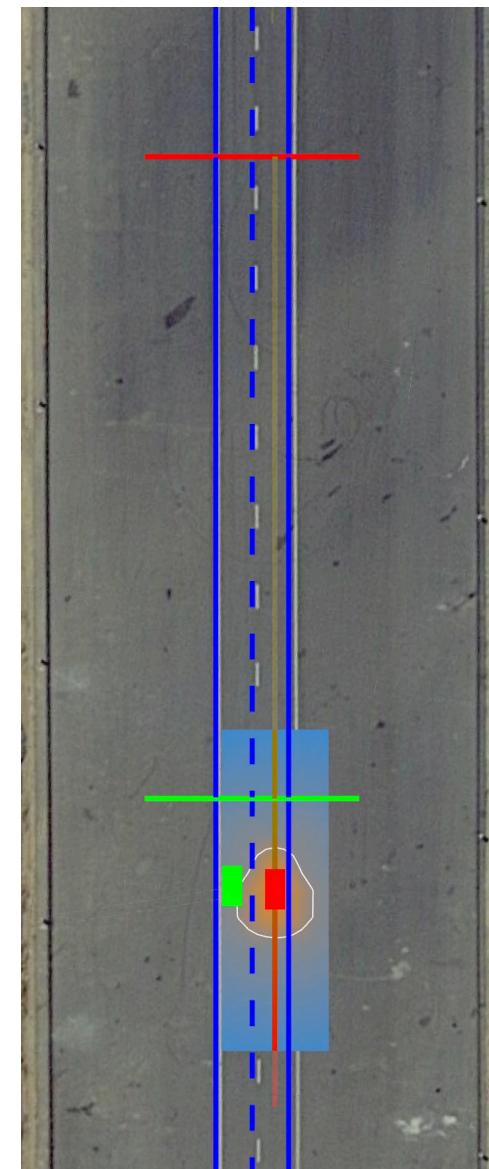
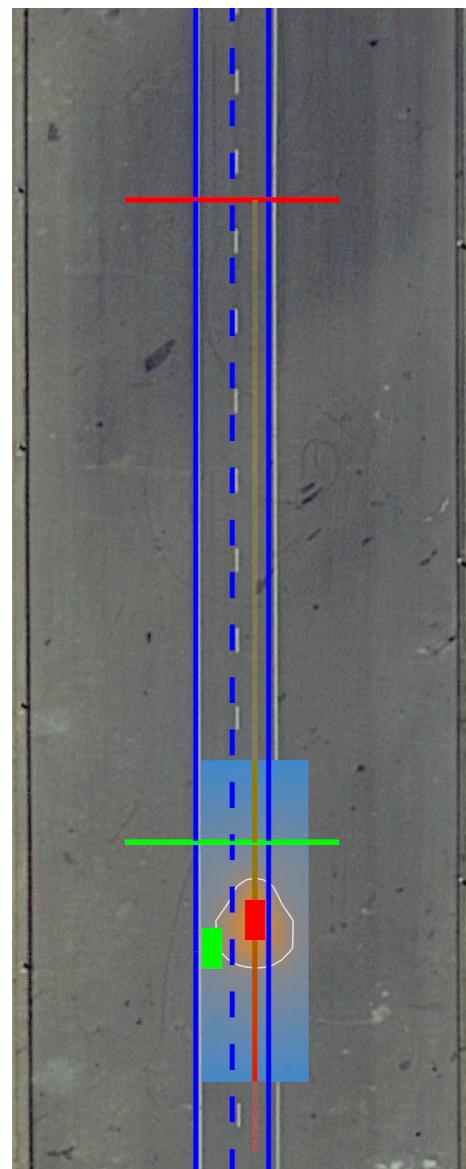
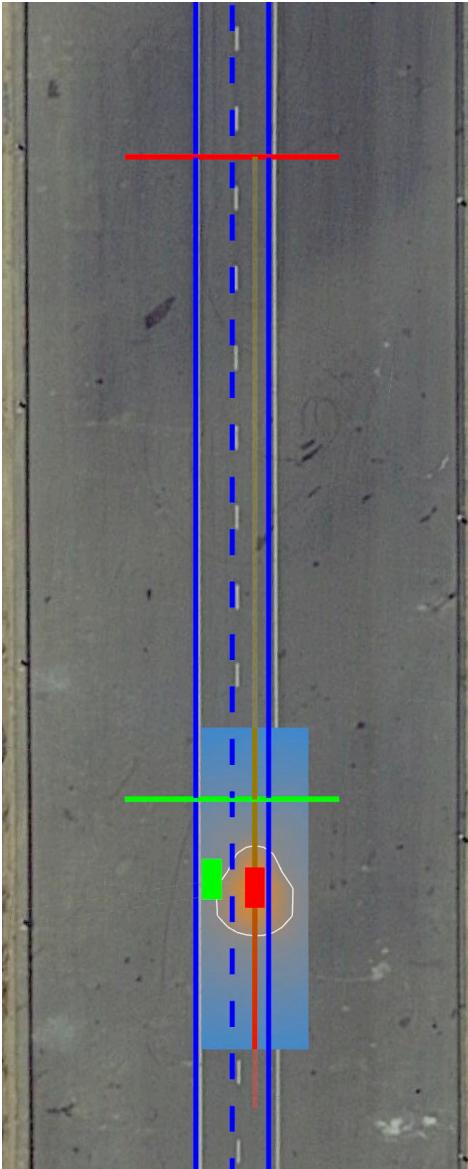
Experimental Platform (X1)

(Leung*, Schmerling*, et al. 2018)



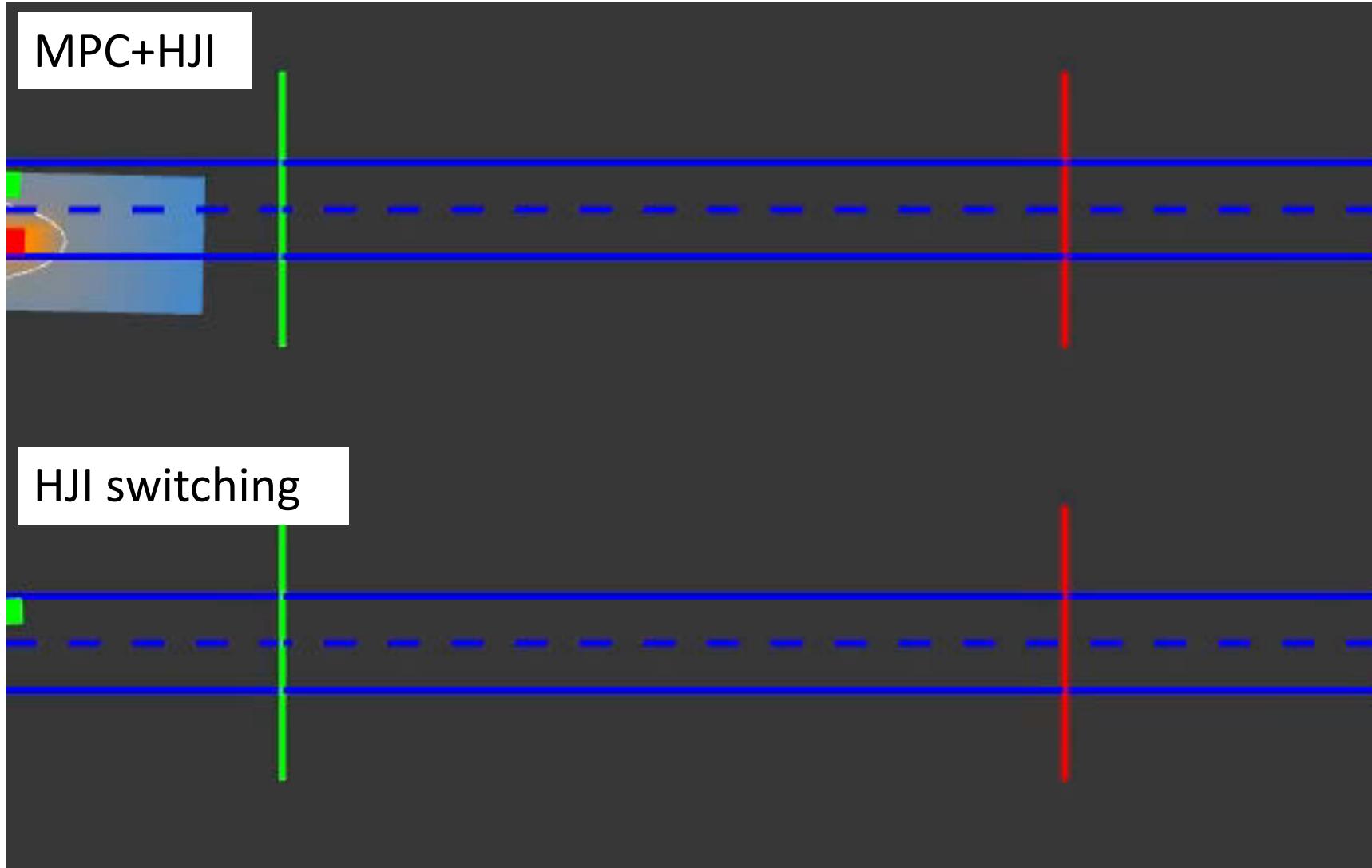
Experimental Results

(Leung*, Schmerling*, et al. 2018)



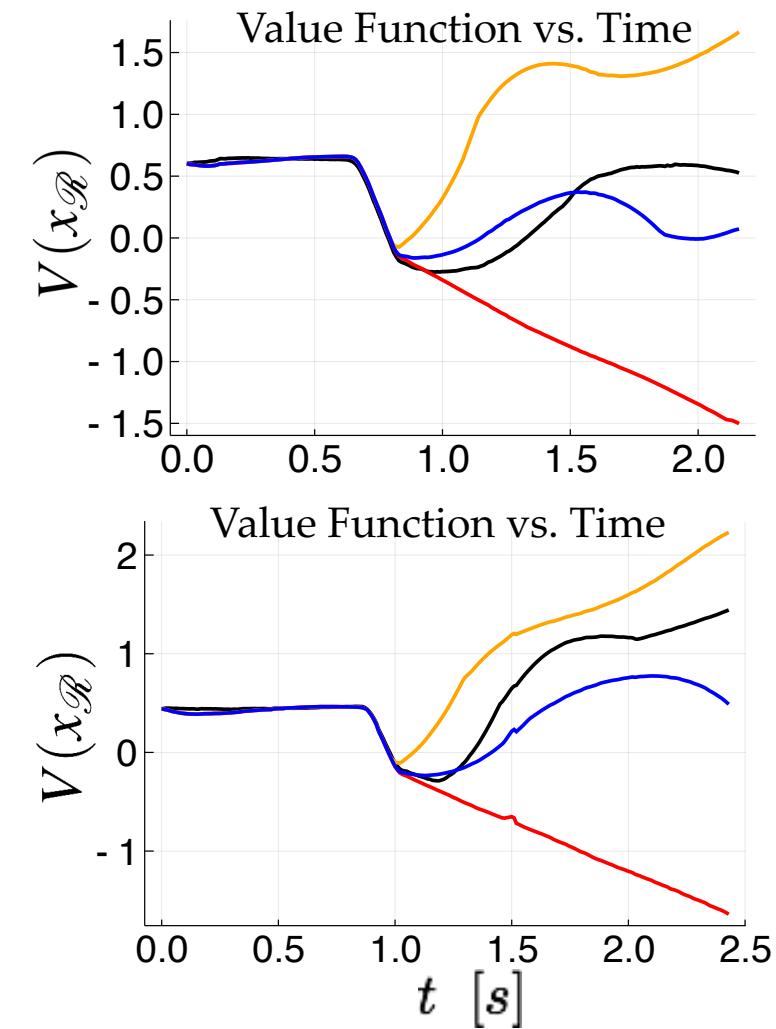
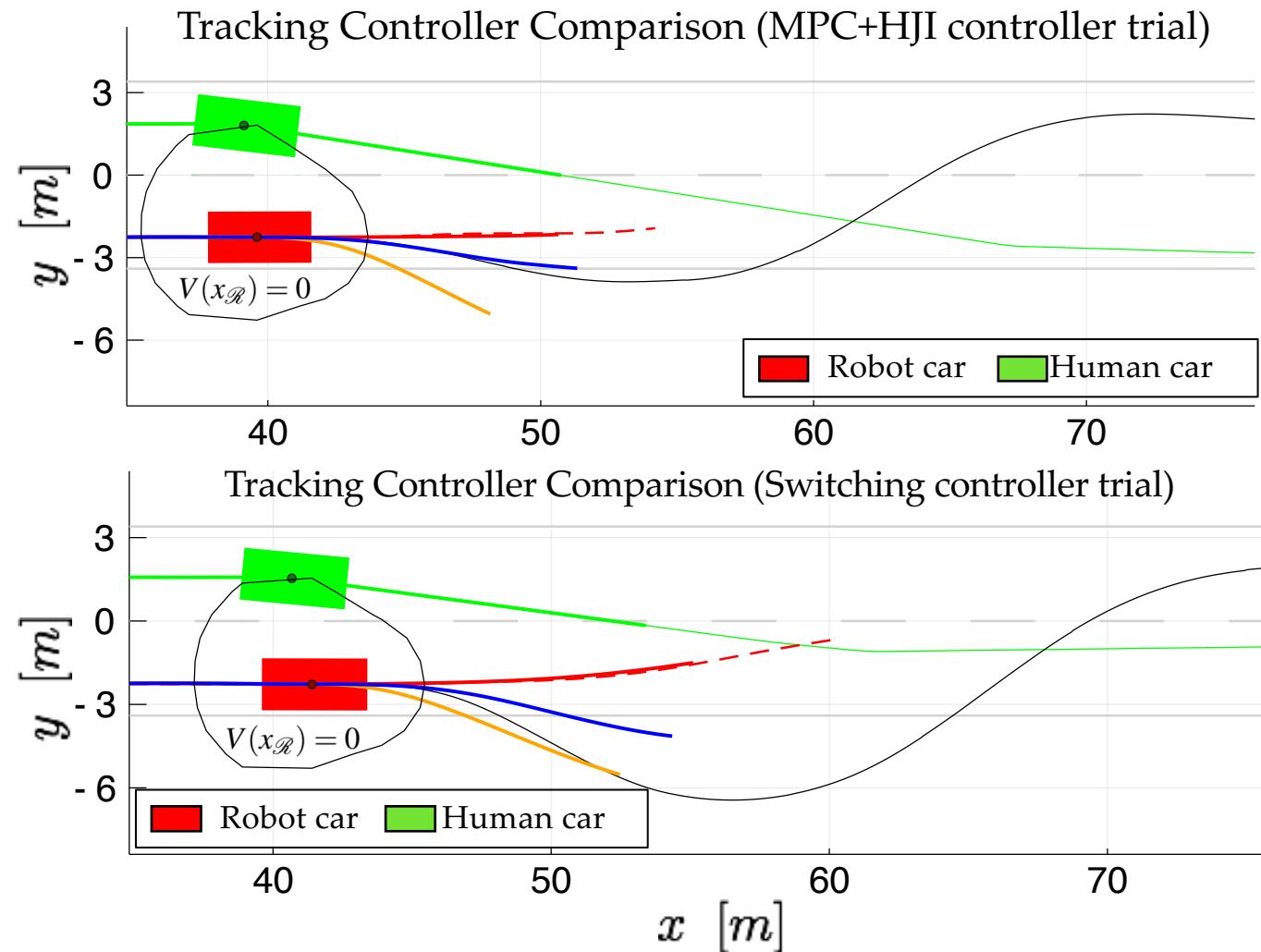
Experimental Results

(Leung*, Schmerling*, et al. 2018)



Experimental Results

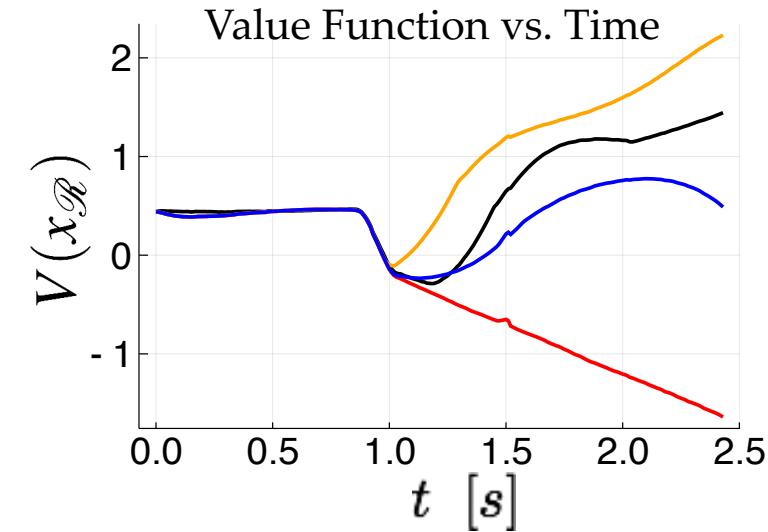
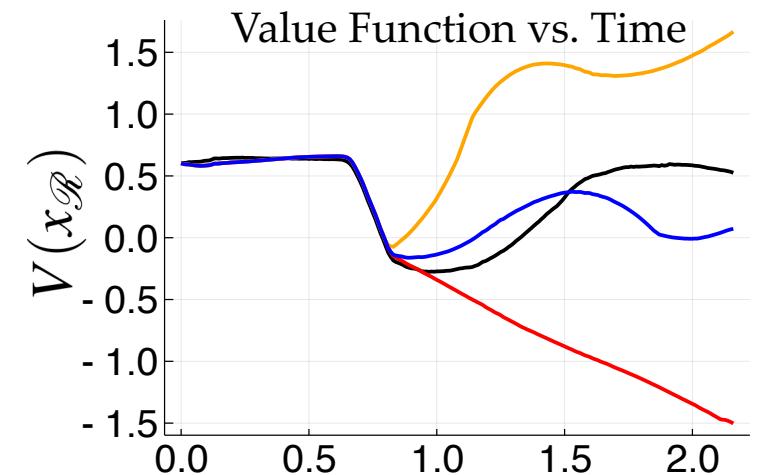
(Leung*, Schmerling*, et al. 2018)



Experimental Insights

(Leung*, Schmerling*, et al. 2018)

- Unmodeled steering angle slew rate causes us to dip into danger
- Negative value function represents worst-case collision penetration
 - Alternative: collision severity
- Interpretability of value function is key for more realistic scenarios (barriers, multiple other agents)
- Ongoing experimental work: incorporating static obstacles as MPC constraints



1/10 scale RC car



Referenced Papers

- B. Ivanovic, E. Schmerling, K. Leung and M. Pavone, “Generative Modeling of Multimodal Multi-Human Behavior,” in IEEE/RSJ Int. Conf. on Intelligent Robots & Systems, 2018. Available at <https://arxiv.org/abs/1803.02015>.
- B. Ivanovic and M. Pavone, “The Trajectron: Probabilistic Multi-Agent Trajectory Modeling with Dynamic Spatiotemporal Graphs,” Arxiv Preprint. Available at <https://arxiv.org/abs/1810.05993>.
- E. Schmerling, K. Leung, W. Vollprecht and M. Pavone, “Multimodal Probabilistic Model-Based Planning for Human-Robot Interaction,” in Proc. IEEE Conf. on Robotics and Automation, 2018. Available at <https://arxiv.org/abs/1710.09483>.
- K. Leung, E. Schmerling, M. Chen, J. Talbot, J.C. Gerdes and M. Pavone, “On Infusing Reachability-Based Safety Assurance within Probabilistic Planning Frameworks for Human-Robot Vehicle Interactions,” in Int. Symp. on Experimental Robotics, 2018. Available at <https://arxiv.org/abs/1812.11315>.