M1F Notes

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Contents

1	Sets	
	1.1 Set Operators	
	1.2 Intervals in $\mathbb R$;
	1.3 Infinite Unions and Intersections	,
2	Proofs	
	2.1 Elements of the propositional calculus	;
	2.2 Inference rules	į
	2.3 Proof-Practice	(
	2.4 Dis-Proving	
3	Natural Numbers	,
	3.1 Proof by Induction	10

1 Sets

Definition 1.0.1. A set S is a collection of objects (called *elements* of the set). If x is an *element* of S let us write $x \in S$ otherwise $x \notin S$.

Remark 1.1. The order of the elements or any repetition is unimportant.

Example 1.1.

$$\{1,3\} = \{3,1,1\}$$

Definition 1.0.2. For two sets S and T let us write $S \subseteq T$ (S is contained in T) if

$$x \in S \Rightarrow x \in T$$

Result 1.0.1. S = T iff $S \subseteq T$ and $T \subseteq S$.

Remark 2.1. $S \notin S$ (Foundation Axiom)

Nonetheless, elements can be sets.

Definition 1.0.3. \emptyset is the set with no elements.

Property 3.1. $\emptyset \subseteq S$ and $S \subseteq S$ for all sets S

1.1 Set Operators

Definition 1.1.1. The intersection $S \cap T$ of two sets S and T is

$$\{x | x \in S \text{ and } x \in T\}$$

Definition 1.1.2. The union $S \cup T$ of two sets S and T is

$$\{x | x \in S \text{ or } x \in T\}$$

Definition 1.1.3. The difference $S \setminus T$ of two sets S and T is

$$(S \cup T) \setminus (S \cap T)$$

Definition 1.1.4. The symmetric difference $S \triangle T$ of two sets S and T is

 $\{x | x \in S \text{ and } x \in T \text{ but not both}\}\$

Definition 1.1.5. In $A \subseteq \Omega$ then

$$A^C = \{ x \in \Omega | x \notin A \}$$
 = $\Omega \backslash A$

Remark 5.1. The complement is only used when the reference set Ω is clear.

Some sets we will work with in this course are

$$\begin{split} \mathbb{N} &= \{0,1,2,\dots\} \\ \mathbb{Z} &= \{0,1,-1,2,-2\dots\} \\ \mathbb{Q} &= \left\{\frac{p}{q} | \ p \in \mathbb{N}, q \in \mathbb{Z} \backslash \{0\} \right\} \\ \mathbb{R} \ \text{reals} \\ \mathbb{C} \ \text{complex numbers} \end{split}$$

Definition 1.1.6. \mathbb{N} is defined by two axioms:

- 1. $0 = \emptyset \in \mathbb{N}$
- 2. If $n \in \mathbb{N}$ then $n+1 \stackrel{def}{=} n \cup \{n\} \in \mathbb{N}$

Example 6.1.

$$\begin{aligned} 1 &= 0 + 1 = \emptyset \cup \{\emptyset\} = \{\emptyset\} \\ 2 &= 1 + 1\{\emptyset\} \cup \{\{\emptyset\}\} = \{\emptyset, \{\emptyset\}\} \end{aligned}$$

1.2 Intervals in \mathbb{R}

Definition 1.2.1. If $a, b \in \mathbb{R}$, $a \leq b$:

$$[a,b] = \{t \in \mathbb{R} | a \le t \le b\}$$

$$(a,b) = \{t \in \mathbb{R} | a < t < b\}$$

$$[a,b) = \{t \in \mathbb{R} | a \le t < b\}$$

$$(a,b] = \{t \in \mathbb{R} | a < t \le b\}$$

$$[a,\infty) = \{t \in \mathbb{R} | a < t\}$$

$$(-\infty,b] = \{t \in \mathbb{R} | t \le b\}$$

1.3 Infinite Unions and Intersections

Definition 1.3.1. Suppose that, for all $n \in \mathbb{N}$, we are given a set A_n .

$$\bigcup_{n=a}^{\infty} A_n = \{x| \text{ there exists a } n \in \mathbb{N}, n \geq a : x \in A_n\}$$

$$\bigcap_{n=a}^{\infty} A_n = \{x| \text{ for all } n \in \mathbb{N}, n \geq a : x \in A_n\}$$

Example 1.1.

$$\bigcup_{n=1}^{\infty} \left[0, 1 - \frac{1}{n}\right] = [0, 1)$$

$$\bigcap_{n=1}^{\infty} \left(1 - \frac{1}{n}, 1 + \frac{1}{n}\right) = \{1\}$$

2 Proofs

2.1 Elements of the propositional calculus

Definition 2.1.1. A statement (proposition) is an assertion that can be either true (T) or false (F).

Remark 1.1. In maths such an assertion usually takes the form: "If such and such assumptions are made, then we can infer such and such conclusions."

Example 1.1.

- n = 3
- $(A+B)^2 = A^2 + 2AB + B^2$
- If it n^2 is odd, then n is odd too.
- If it rains, then it is cloudy.
- For all real numbers ≥ 0 there exists a square root.

Definition 2.1.2. A proof is a chain of statements linked by logical implications (inferences) that establish the truth of the last statement. In the course of the proof one is allowed to "call up"

- assumptions that are made.
- statements proven previously.
- axioms (statements that are generally accepted and never proven).

"Grammar elements" of mathematical statements are Quantifiers:

Type	Sign	Meaning
Existential	∃ ∃ ₁	there exists there exists a unique
Universal	\forall	for all
	:,	such that

Ways to form new statements from old ones:

- If P is a statement then \overline{P} "non-P" is the statement which is true if P is false and false if P is true.
- $\bullet\,$ If P and Q are statements then we can form:

Sign	Meaning
$P \wedge Q, P \& Q$	P and Q .
$P \lor Q$	Either P or Q or both.
$P \ \underline{\lor} \ Q$	Either P or Q but not
	both.
$P \Rightarrow Q$	If P then Q .
$P \Leftrightarrow Q$	P if and only if Q .

Remark 2.1. $P \Rightarrow Q$ means any of the following:

- If P then Q.
- \bullet Q if P.
- ullet P is true only if Q is true.
- P only if Q.
- ullet P is sufficient for Q.
- ullet Q is necessary for P.
- ullet If Q is false then P is false.
- $\overline{Q} \Rightarrow \overline{P}$

Similarly, $P \Leftrightarrow Q$ means any of the following:

$$\bullet \ (P \Rightarrow Q) \land (Q \Rightarrow P)$$

- P if and only if Q.
- P is necessary and sufficient for Q.

The rigorous definition of $P \wedge Q$, $P \Rightarrow Q$ can be made through a truth table

Definition 2.1.3. $P \wedge Q$ is defined by:

P	Q	$P \wedge Q$
T	Τ	${f T}$
${ m T}$	\mathbf{F}	\mathbf{F}
\mathbf{F}	${ m T}$	F
\mathbf{F}	F	F

Definition 2.1.4. Also, $P \Rightarrow Q$ is defined by:

P	Q	$P \Rightarrow Q$
Т	Τ	${f T}$
${ m T}$	\mathbf{F}	\mathbf{F}
\mathbf{F}	${ m T}$	${ m T}$
F	F	${f T}$

Example 4.1. The statement "If $x \in \{n \in \mathbb{N} | n^2 < 0\}$ then x is a sheep." is true as well as the statement "If $x \in \{n \in \mathbb{N} | n^2 < 0\}$ then x is not a sheep."

2.2 Inference rules

Example 0.2. Premise 1. If it is raining then it is cloudy.

Premise 2. It is raining.

Conclusion. It is cloudy.

We can write this more abstractly as follows:

P: it is raining

Q: it is cloudy

In this form:

Premise 1. $P \Rightarrow Q$

Premise 2. P

Conclusion. Q

This is an example of an inference rule which we write like this:

$$((P \Rightarrow Q) \land P) \Rightarrow Q$$

There are other inference rules:

$$\begin{split} ((P \Rightarrow Q) \land (Q \Rightarrow R)) \Rightarrow (P \Rightarrow R) \\ ((P \lor Q) \land \overline{P}) \Rightarrow Q \\ (P \land Q) \Rightarrow P \\ ((P \Rightarrow Q) \lor (P \Rightarrow R)) \Rightarrow P \Rightarrow (Q \lor R) \\ ((P \lor Q) \land (P \Rightarrow (P \land Q))) \Rightarrow (R \Rightarrow R) \\ ((P \Rightarrow Q) \land (P \Rightarrow \overline{Q})) \Rightarrow \overline{P} \\ P \land (Q \lor R) \Rightarrow (P \land Q) \lor (P \land R) \end{split}$$

Exercise 1. Proof that

$$\forall n \in \mathbb{N}, n^2 \text{ odd} \Rightarrow n \text{ odd}$$
.

Example 0.3. Is the following a valid argument:

1. If a movie is not worth seeing, then it is not made in the UK.

- 2. A movie is worth seeing only if Prof Corti reviews it.
- 3. "The Maths Graves" was not not reviewed by Prof Corti.
- 4. Therefore, "The Maths Graves" is not made in the UK.

In order to determine this, let us rewrite the argument in a more formal way:

Variable	Meaning
M	the set of all movies
W(x)	" x is worth seeing"
UK(x)	" x is made in the UK"
C(x)	"Prof Corti reviews x "
m	"The Maths Games" $\in M$

Now the argument can be expressed as:

$$\forall x \in M: \qquad \overline{W(x)} \Rightarrow \overline{UK(x)} \tag{1}$$

$$\forall x \in M: \qquad W(x) \Rightarrow C(x) \tag{2}$$

$$\overline{C(m)} \tag{3}$$

$$((1) \land (2) \land (3)) \Rightarrow \overline{UK(x)} \tag{4}$$

Yes it is a valid argument. Indeed, it is the same as:

$$\forall x \in M:$$
 $UK(x) \Rightarrow W(x)$ $\forall x \in M:$ $W(x) \Rightarrow C(x)$

Then you say:

$$\forall x \in M$$

$$\overline{C(x)} \Rightarrow \overline{UK(x)}$$

$$\overline{C(m)}$$

$$\Rightarrow \overline{UK(m)}$$

Result 2.2.1. What can we learn from this? If we want to be understood, we have to learn to present our arguments better. For instance, try to put everything in the positive. Use "if then" throughout. A better way of writing would be:

- 1. If x is made in the UK, then x is worth seeing.
- 2. If x is worth seeing then Prof Corti reviews it.
- 3. Prof Corti did not review m.
- 4. Therefore m is not made in the UK.

2.3 Proof-Practice

Theorem 2.3.1. Let A, B, C, Ω be sets with $A, B \in \Omega$. Then:

$$A \cap (B \cup C) = (A \cap B) \cup (A \cap C) \tag{1}$$

$$A \cup (B \cap C) = (A \cup B) \cap (A \cup C) \tag{2}$$

$$(A \cup B)^C = A^C \cap B^C \tag{3}$$

$$(A \cap B)^C = A^C \cup B^C \tag{4}$$

Exercise 2. Draw pictures of these statements.

Proof. Consider (1). We show first:

$$A \cap (B \cup C) \subseteq (A \cap B) \cup (A \cap C)$$

Suppose that $x \in A \cap (B \cup C)$ then $x \in A$ and $x \in B \cup C$.

That is:

$$\begin{aligned} x \in A \land (x \in B \lor x \in C) \\ \Leftrightarrow & x \in A \cap B \lor x \in A \cap C \\ \Leftrightarrow & x \in (A \cap B) \cup (A \cap C) \end{aligned}$$

This shows \subseteq . Now we show:

$$A \cap (B \cup C) \supseteq (A \cap B) \cup (A \cap C)$$

Suppose $x \in (A \cap B) \cup (A \cap C)$ then $x \in A \cap B$ or $x \in A \cap C$. We now distinguish between two cases:

- 1. $x \in A \cap B$. Then $x \in A$ and $x \in B$. Therefore, $x \in A$ and $x \in B \cup C$. Hence, $x \in A \cap (B \cup C)$
- 2. $x \in A \cap C$. Then $x \in A$ and $x \in C$. Therefore, $x \in A$ and $x \in B \cup C$. Hence, $x \in A \cap (B \cup C)$.

Remark 0.1. We split the proof of C in two cases. In doing so we used the inference rule:

$$(P \lor Q, P \Rightarrow R, Q \Rightarrow R) \Rightarrow R$$

Please finish the proof of the other statements in your own

Axiom 1. Archimedeon Axiom

$$\forall r \in \mathbb{R} \ \exists n \in \mathbb{N} : \quad n > r$$

Lemma 2.1.

$$\forall a \in \mathbb{R} \quad \forall x \in \mathbb{R} \quad \left(\forall n \in \mathbb{N}, n \ge 1 : \quad x \ge a - \frac{1}{n} \right) \quad \Rightarrow \quad x \ge a$$

Proof. We argue by contradiction. Hence we want to show

$$\left(\exists n \in \mathbb{N}, n \ge 1: \quad x < a - \frac{1}{n}\right) \quad \Leftarrow \quad x \le a$$

By the Archimedeon Axiom

$$\exists n: \quad n > \frac{1}{a-x}$$

And then also

$$\frac{1}{n} < a - x$$

Therefore

$$x < a - \frac{1}{n}$$

 $\bigcup_{n=1}^{\infty} \left[0, 1 - \frac{1}{n} \right] = [0, 1) \tag{1}$

$$\bigcap_{n=1}^{\infty} \left(1 - \frac{1}{n}, 1 + \frac{1}{n} \right) = \{1\} \tag{2}$$

Proof. (2) By definition

$$\bigcap_{n=1}^{\infty} A_n = \{ a | \ \forall n, \ a \in A_n \}$$

Then

$$L = \bigcap_{n=1}^{\infty} \left(1 - \frac{1}{n}, 1 + \frac{1}{n} \right) = \left\{ x \in \mathbb{R} | \ \forall n \in \mathbb{N} : \ 1 - \frac{1}{n} < x < 1 + \frac{1}{n} \right\}$$

Clearly $1 \in L$. This proofs \supseteq .

No we are going to prove \subseteq . We need to show

$$\left(\forall n \in \mathbb{N} \quad 1 - \frac{1}{n} < x < 1 + \frac{1}{n} \right) \Rightarrow x = 1$$

By the lemma, $x \ge 1$. There is a similar lemma that states

$$\left(\forall n, \quad x \le 1 + \frac{1}{n}\right) \Rightarrow x \le 1$$

So in fact $x \ge 1$ and $x \le 1$. Thus x = 1. This shows \subseteq .

(1) Recall that by definition

$$\bigcup_{n=1}^{\infty} A_n = \{ a | \exists n : a \in A_n \}$$

It is easy to see

$$\bigcup_{n=1}^{\infty} \left[0, 1 - \frac{1}{n} \right) \subseteq [0, 1)$$

Indeed if

$$\exists n: \quad 0 \le x \le 1 - \frac{1}{n}$$

then

$$0 \le x < 1$$

This shows \supseteq , as next we show \subseteq . This means exactly

$$(0 \le x < 1) \Rightarrow \left(\exists n : \ 0 \le x \le 1 - \frac{1}{n}\right)$$

By the Archimedeon axiom

$$\exists n: \quad n > \frac{1}{1-x}$$

Hence $\frac{1}{n} < 1 - x$ and then $x < 1 - \frac{1}{n}$.

Show that for $n \in \mathbb{N}$, n^2 odd $\Rightarrow n$ odd.

Flawed proof. If n is odd then n = 2k + 1 for some $k \in \mathbb{N}$ and then

$$n^{2} = (2k + 1)^{2}$$
$$= 4k^{2} + 4k + 1$$
$$= 2(2k^{2} + 2k) + 1$$

So n^2 is odd.

Proof. We need to take the following statement for granted, which will be proven later in the document:

$$\forall n \in \mathbb{N}: \quad \exists k \in \mathbb{N}: \ n = 2k \quad \lor \quad \exists k \in \mathbb{N}: \ n = 2k+1$$

Assuming that we argue by contradiction:

$$n \text{ even} \Rightarrow n^2 \text{ even}$$

 $n = 2k \Rightarrow n^2 = 2(2k^2)$

2.4 Dis-Proving

How to form the negation of a statement? Given P, how to form \overline{P} ? Rule 1

$$P = (\forall x \in A, Q(x))$$

$$\Rightarrow \overline{P} = (\exists x \in A, \overline{Q(x)})$$

Rule 2

$$P = (\exists x \in A, Q(x))$$

$$\Rightarrow \overline{P} = (\forall x \in A, \overline{Q(x)})$$

Exercise 3. Show that Rule 2 is the same as Rule 1.

Remark 0.2. An element $a \in A$ such that $\overline{Q(a)}$ is called a counterexample to the statement

$$(\forall x \in A, Q(x))$$

Indeed the very existence of this example $a \in A$ shows that P is false (it "counters" P).

A typical exam question is:

Prove or disprove the following statement:

If $p \in \mathbb{N}$ is prim then $\exists a, b \in \mathbb{Z} : p = a^2 + b^2$

This statement is false. Counterexample: 3

$$\begin{split} P &= (\forall p \in \{n \in \mathbb{N} | \ n \ \text{prime}\}: \quad (\exists (a,b) \in \mathbb{Z}^2 : p = a^2 + b^2)) \\ \overline{P} &= (\exists p \in \{n \in \mathbb{N} | \ n \ \text{prime}\}: \quad \overline{(\exists (a,b) \in \mathbb{Z}^2 : p = a^2 + b^2)}) \\ \overline{P} &= (\exists p \in \{n \in \mathbb{N} | \ n \ \text{prime}\}: \quad (\forall (a,b) \in \mathbb{Z}^2 : p \neq a^2 + b^2)) \end{split}$$

We prove \overline{P} thus we have to name a particular prime name p=3. We claim:

$$\forall a, b \in \mathbb{Z}: \quad a^2 + b^2 \neq 3$$

Proof. Suppose for contradiction that for some $a, b \in \mathbb{Z}$, $a^2 + b^2 = 3$. Note that $a^2, b^3 \ge 0$ so both $a^2, b^2 \le 3$. This means that $|a|, |b| \le 1$ but then $a^2, b^2 \le 1$ and $a^2 + b^2 \le 2$.

3 Natural Numbers

Axiom 2. Smallest element axiom.

Let $\emptyset \neq S \subseteq \mathbb{N}$. Then S has a smallest element.

 $(a \in S \text{ is smallest if } \forall b \in S: a \leq b. \text{ A smallest element is clearly unique.})$

Theorem 3.0.1.

$$\forall n, p \in \mathbb{N}, \quad \exists_1 q, r \in \mathbb{N}: \quad n = pq + r, 0 \le r < p$$

Special case

For p=2 this says that there exists a q such that either n=2q or n=2q+1 (but not both).

Proof.

$$S = \{ q \in \mathbb{N} | \exists k \in n : \quad y = n - pk \}$$

 $S \neq \emptyset$ because $n \in S$ The axiom says that S has a smallest element.

Take k = 0. Claim: $0 \le r < p$. Indeed if $r \ge p$ then

$$r' = r - p = r - pk_0 - p = r - p(k_0 + 1) \in S$$

and r' < r so r is not the smallest element. Take $q = k_0$ then:

$$n = pq + r \qquad \qquad 0 \le r < p$$

it remains to shows uniqueness. To show uniqueness suppose

$$n = pq_1 + r_1$$

 $n = pq_2 + r_2$ $0 \le r_1, r_2 < p$

Without loss of generality we may assume $r_1 \leq r_2$.

$$0 \le r_2 - r_1 = (q_1 - q_2)p < p$$

So
$$0 \le q_1 - q_2 < 1 \quad \Rightarrow \quad q_1 = q_2 \text{ and } r_1 = r_2$$

3.1 Proof by Induction

Principle of induction: Suppose that $\forall n \in \mathbb{N}$ we are given a statement P_n . Assume that:

- 1. P_0 holds;
- 2. $\forall n \in \mathbb{N}, (Pn \Rightarrow P_{n+1})$ holds

Then $\forall n \in \mathbb{N}, P_n \text{ holds.}$

Example 0.4.

$$P_n:$$
 $0+1+2+\cdots+n=\frac{n(n+1)}{2}$

Let us show $P_n \Rightarrow P_{n+1}$. Assume that

$$0+1+2+\cdots+n = \frac{n(n+1)}{2}$$

then

$$0+1+2+\cdots+n+(n+1)=(0+1+2+\cdots+n)+(n+1)=\frac{n(n+1)}{2}+n+1=\frac{(n+1)(n+2)}{2}$$

 P_0 is the statement that 0=0. Therefore $\forall n$ the formula is true.

Proof. We argue by contradiction. Suppose that the conclusion is false. That means:

$$\exists n \in \mathbb{N} : \overline{P_n}$$

In other words:

$$S = \{ n \in \mathbb{N} | \overline{P_n} \} \neq \emptyset$$

Let k be the smallest element of S. k exists by the smallest element axiom. k-1 < k, therefore $k-1 \in S$, thus P_{k-1} holds. But:

$$P_{k-1} \Rightarrow P_k$$

Example 0.5. The Fibonacci sequence. $\forall n \in \mathbb{N}$ define F_n inductively by the formula:

$$F_0 = 0$$
, $F_1 = 1$, $\forall n \ge 2F_n = F_{n+1} + F_{n+2}$

Let us prove by induction that:

$$F_n = \frac{1}{\sqrt{5}} \left(\left(\frac{1+\sqrt{5}}{2} \right)^n - \left(\frac{1-\sqrt{5}}{2} \right)^n \right) = \frac{\varphi^n - \psi^n}{\sqrt{5}}$$

The really interesting thing would be to understand how one can "come up" with a formula like this. Another interesting thing would be to "stare" at the formula and see what we can learn from it about life. Instead wo focus on a "minor" print of logic.

Wrong proof. To prove by induction you need to declare at the outset, $\forall n$ what is P_n . Your instinct here will be to say

$$P_n:$$

$$F_n = \frac{1}{\sqrt{5}} \left(\left(\frac{1+\sqrt{5}}{2} \right)^n - \left(\frac{1-\sqrt{5}}{2} \right)^n \right)$$

Then you will write:

$$F_{n+1} = F_n + F_{n+1}$$
$$= (\dots) + (\dots)$$

Remark 0.3. You have used both, P_{n-1} and P_n . However, for induction you can only use P_n .

Proof. We use the principle of induction with:

$$Q_n = (P_n \wedge P_{n+1})$$

We need to show $\forall n: Q_n \Rightarrow Q_{n+1}$. Suppose $(P_n \wedge P_{n+1} \Rightarrow P_{n+1}) \Rightarrow ((P_n \wedge P_{n+1}) \wedge (P_{n+1} \wedge P_{n+2}))$. Hence we only need to proof that $P_n \wedge P_{n+1} \Rightarrow P_{n+2}$ Assume $P_n \wedge P_{n+1}$, then:

$$F_{n+1} = F_{n+1} + F_n = \frac{1}{\sqrt{5}} \left(\varphi^{n+1} - \psi^{n+1} \right) + \frac{1}{\sqrt{5}} \left(\varphi^n - \psi^n \right)$$
$$= \frac{1}{\sqrt{5}} \varphi^n (\varphi + 1) + \frac{1}{\sqrt{5}} \psi^n (\psi + 1)$$

Since φ and ψ are solutions of the equation $x^2 - x - 1 = 0$ we can rewrite that as:

$$\frac{1}{\sqrt{5}}\varphi^n\varphi^2 + \frac{1}{\sqrt{5}}\psi^n\psi^2$$
$$= \frac{1}{\sqrt{5}}\varphi^{n+2} + \frac{1}{\sqrt{5}}\psi^{n+2}$$

So P_{n+2} holds. We have shown that $\forall n:\ Q_n\Rightarrow Q_{n+1}$. To finish the proof we need $Q_0=(P_0\wedge P_1)$.

$$P_0 F_0 = \frac{1}{\sqrt{5}} (\varphi^0 - \psi^0) = 1$$

$$P_1 F_1 = \frac{1}{\sqrt{5}} (\varphi^1 - \psi^1) = 1$$

Theorem 3.1.1. Principle of strong induction.

Suppose that $\forall n \in \mathbb{N}$ we are given a statement Q_n . Assume that:

- 1. Q_0 holds;
- 2. $\forall n, (\forall k \leq n : Q_k) \Rightarrow Q_{n+1}$

Then $\forall n \in \mathbb{N}, \ Q_n \text{ holds.}$

Proof. Apply induction with:

$$(Q_0 \wedge Q_1 \wedge \cdots \wedge Q_n)$$

Definition 3.1.1. $n \neq 0, 1 \in \mathbb{N}$ is irreducible if:

$$\forall u, v \in \mathbb{N}: \quad n = uv \Rightarrow u = 1 \lor v = 1$$

Theorem 3.1.2. Every $n \in \mathbb{N}, n \neq 0, 1$ is the product of irreducibles.

Proof. We are going to prove the statement by strong induction. Let Q_n be the statement that n is the product of irreducibles.

 Q_0 clearly holds.

Assume Q_n for $k \leq n$. If n+1 is irreducible then Q_{n+1} . Otherwise $n+1=u\cdot v$ where 1 < u < n+1 and 1 < v < n+1. By Q_u, u is prod of irreducibles. By Q_v, v is the product if irreducibles. Therefore, Q_{n+1} holds.