# M1F Notes

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# 1 Sets

**Definition 1.0.1.** A set S is a collection of objects (called *elements* of the set). If x is an *element* of S let us write  $x \in S$  otherwise  $x \notin S$ .

**Remark 1.1.** The order of the elements or any repetition is unimportant.

**Example 1.1.**  $\{1,3\} = \{3,1,1\}$ 

**Definition 1.0.2.** For two sets S and T let us write  $S \subseteq T$  (S is a subset of in T) if

$$x \in S \Rightarrow x \in T$$
.

**Definition 1.0.3.** S = T iff  $S \subseteq T$  and  $T \subseteq S$ .

Axiom 1. Foundation Axiom

$$S \not \in S$$

Remark 3.1. Nonetheless, elements can be sets.

**Definition 1.0.4.**  $\emptyset$  is the set with no elements.

**Property 4.1.**  $\emptyset \subseteq S$  and  $S \subseteq S$  for all sets S

### 1.1 Set Operators

**Definition 1.1.1.** The intersection  $S \cap T$  of two sets S and T is

$$\{x | x \in S \text{ and } x \in T\}.$$

**Definition 1.1.2.** The union  $S \cup T$  of two sets S and T is

$$\{x | x \in S \text{ or } x \in T\}.$$

**Definition 1.1.3.** The difference  $S \setminus T$  of two sets S and T is

$$\{x | x \in S \text{ and } x \notin T\}.$$

**Definition 1.1.4.** The symmetric difference  $S \triangle T$  of two sets S and T is

$$\{x | x \in S \text{ or } x \in T \text{ but not both}\}.$$

**Definition 1.1.5.** If  $A \subseteq \Omega$ , then

$$A^C = \{ x \in \Omega | \ x \notin A \} = \Omega \backslash A.$$

**Remark 5.1.** The complement is only used when the reference set  $\Omega$  is clear.

Some sets we will work with in this course are:

$$\begin{split} \mathbb{N} &= \{0, 1, 2, \dots\} \\ \mathbb{Z} &= \{0, 1, -1, 2, -2 \dots\} \\ \mathbb{Q} &= \left\{ \frac{p}{q} \middle| \ p \in \mathbb{N}, q \in \mathbb{Z} \backslash \{0\} \right\} \\ \mathbb{R} \text{ reals} \end{split}$$

 $\mathbb C$  complex numbers

 $\mathbb{N}$  is defined by two axioms:

**Axiom 2.**  $0 = \emptyset \in \mathbb{N}$ 

**Axiom 3.** If  $n \in \mathbb{N}$  then

$$n+1\stackrel{def}{=}n\cup\{n\}\in\mathbb{N}.$$

Example 5.1.

$$\begin{aligned} 1 &= 0 + 1 = \emptyset \cup \{\emptyset\} = \{\emptyset\} \\ 2 &= 1 + 1 = \{\emptyset\} \cup \{\{\emptyset\}\} = \{\emptyset, \{\emptyset\}\} \end{aligned}$$

#### 1.2 Intervals in $\mathbb{R}$

**Definition 1.2.1.** If  $a, b \in \mathbb{R}$ ,  $a \leq b$ , then

$$[a,b] = \{t \in \mathbb{R} | a \le t \le b\}$$

$$(a,b) = \{t \in \mathbb{R} | a < t < b\}$$

$$[a,b) = \{t \in \mathbb{R} | a \le t < b\}$$

$$(a,b] = \{t \in \mathbb{R} | a < t \le b\}$$

$$[a,\infty) = \{t \in \mathbb{R} | a < t\}$$

$$(-\infty,b] = \{t \in \mathbb{R} | t \le b\}.$$

### 1.3 Infinite Unions and Intersections

**Definition 1.3.1.** Suppose that, for all  $n \in \mathbb{N}$ , we are given a set  $A_n$ . Define

$$\bigcup_{n=a}^{\infty} A_n = \{x| \text{ there exists a } n \in \mathbb{N}, n \geq a \text{ such that } x \in A_n\}$$

$$\bigcap_{n=a}^{\infty} A_n = \{x| \text{ for all } n \in \mathbb{N}, n \geq a \text{ such that } x \in A_n\}.$$

#### Example 1.1.

$$\bigcup_{n=1}^{\infty} \left[0, 1 - \frac{1}{n}\right] = [0, 1)$$

$$\bigcap_{n=1}^{\infty} \left(1 - \frac{1}{n}, 1 + \frac{1}{n}\right) = \{1\}$$

### 2 Proofs

### 2.1 Elements of propositional calculus

**Definition 2.1.1.** A statement (proposition) is an assertion that can be either true (T) or false (F).

Remark 1.1. In maths such an assertion usually takes the form: "If such and such assumptions are made, then we can infer such and such conclusions."

**Example 1.1.** • n = 3

- $(A+B)^2 = A^2 + 2AB + B^2$
- If it  $n^2$  is odd, then n is odd too.
- If it rains, then it is cloudy.
- For all real numbers greater than or equal to 0 there exists a square root.

**Definition 2.1.2.** A *proof* is a chain of statements linked by logical implications (inferences) that establish the truth of the last statement. In the course of the proof one is allowed to "call up"

- assumptions that are made,
- statements proven previously,
- axioms (statements that are generally accepted and never proven).

<sup>&</sup>quot;Grammar elements" of mathematical statements are Quantifiers:

Type	Sign	Meaning
Existential	∃ ∃₁	there exists a unique
Universal	A	for all
	:,	such that

Ways to form new statements from old ones are:

- If P is a statement then  $\overline{P}$  "non-P" is the statement which is true if P is false and false if P is true.
- ullet If P and Q are statements then we can form:

Sign	Meaning
$P \wedge Q, P \& Q$	P and $Q$ .
$P \lor Q$	Either $P$ or $Q$ or both.
$P \ \underline{\lor} \ Q$	Either $P$ or $Q$ but not both.
$P \Rightarrow Q$	If $P$ then $Q$ .
$P \Leftarrow Q$	If $Q$ then $P$ .
$P \Leftrightarrow Q$	P if and only if $Q$ .

**Remark 2.1.**  $P \Rightarrow Q$  means any of the following:

- If P then Q.
- $\bullet$  Q if P.
- ullet P is true only if Q is true.
- P only if Q.
- P is sufficient for Q.
- $\bullet$  Q is necessary for P.
- If Q is false then P is false.

• 
$$\overline{Q} \Rightarrow \overline{P}$$

Similarly,  $P \Leftrightarrow Q$  means any of the following:

- $(P \Rightarrow Q) \land (Q \Rightarrow P)$
- P if and only if Q.
- P is necessary and sufficient for Q.

The rigorous definition of  $P \wedge Q$ ,  $P \Rightarrow Q$  can be made through a truth table.

**Definition 2.1.3.**  $P \wedge Q$  is defined by:

P	Q	$P \wedge Q$
T	${ m T}$	T
Τ	$\mathbf{F}$	$\mathbf{F}$
$\mathbf{F}$	${f T}$	$\mathbf{F}$
F	F	F

**Definition 2.1.4.** Also,  $P \Rightarrow Q$  is defined by:

P	Q	$P \Rightarrow Q$
Т	Τ	${f T}$
${ m T}$	$\mathbf{F}$	$\mathbf{F}$
$\mathbf{F}$	${ m T}$	${ m T}$
F	F	${ m T}$

**Example 4.1.** The statement "If  $x \in \{n \in \mathbb{N} | n^2 < 0\}$  then x is a sheep." is true as well as the statement "If  $x \in \{n \in \mathbb{N} | n^2 < 0\}$  then x is not a sheep."

### 2.2 Inference rules

**Example 0.2.** *Premise 1.* If it is raining then it is cloudy.

Premise 2. It is raining.

Conclusion. It is cloudy.

We can write this more abstractly as follows:

P: it is raining

Q: it is cloudy

In this form:

Premise 1.  $P \Rightarrow Q$ 

Premise 2. P

Conclusion. Q

This is an example of an inference rule which we write like this:

$$((P \Rightarrow Q) \land P) \Rightarrow Q$$

There are other inference rules:

$$\begin{split} ((P\Rightarrow Q)\wedge(Q\Rightarrow R))\Rightarrow(P\Rightarrow R)\\ ((P\vee Q)\wedge\overline{P})\Rightarrow Q\\ (P\wedge Q)\Rightarrow P\\ ((P\Rightarrow Q)\vee(P\Rightarrow R))\Rightarrow P\Rightarrow(Q\vee R)\\ ((P\vee Q)\wedge(P\Rightarrow(P\wedge Q)))\Rightarrow(R\Rightarrow R)\\ ((P\Rightarrow Q)\wedge(P\Rightarrow\overline{Q}))\Rightarrow\overline{P}\\ P\wedge(Q\vee R)\Rightarrow(P\wedge Q)\vee(P\wedge R) \end{split}$$

#### Exercise 1. Proof that

$$\forall n \in \mathbb{N}, n^2 \text{ odd} \Rightarrow n \text{ odd}.$$

#### **Example 0.3.** Is the following a valid argument:

- 1. If a movie is not worth seeing, then it is not made in the UK.
- 2. A movie is worth seeing only if Prof Corti reviews it.
- 3. "The Maths Graves" was not not reviewed by Prof Corti.
- 4. Therefore, "The Maths Graves" is not made in the UK.

In order to determine this, let us rewrite the argument in a more formal way:

Variable	Meaning
$\overline{M}$	the set of all movies
W(x)	" $x$ is worth seeing"
UK(x)	" $x$ is made in the UK"
C(x)	"Prof Corti reviews $x$ "
m	"The Maths Games" $\in M$

Now the argument can be expressed as:

$$\forall x \in M: \qquad \overline{W(x)} \Rightarrow \overline{UK(x)} \tag{1}$$

$$\forall x \in M: \qquad W(x) \Rightarrow C(x) \tag{2}$$

$$\overline{C(m)} \tag{3}$$

$$((1) \land (2) \land (3)) \Rightarrow \overline{UK(x)} \tag{4}$$

Yes it is a valid argument. Indeed, it is the same as:

$$\forall x \in M:$$
 
$$UK(x) \Rightarrow W(x)$$
 
$$\forall x \in M:$$
 
$$W(x) \Rightarrow C(x)$$

Then you say:

$$\forall x \in M$$
 
$$\overline{C(x)} \Rightarrow \overline{UK(x)}$$
 
$$\overline{C(m)}$$
 
$$\Rightarrow \overline{UK(m)}$$

**Result 2.2.1.** What can we learn from this? If we want to be understood, we have to learn to present our arguments better. For instance, try to put everything in the positive. Use "if then" throughout. A better way of writing would be:

- 1. If x is made in the UK, then x is worth seeing.
- 2. If x is worth seeing then Prof Corti reviews it.
- 3. Prof Corti did not review m.
- 4. Therefore m is not made in the UK.

### 2.3 Proof-Practice

**Theorem 2.3.1.** Let  $A, B, C, \Omega$  be sets with  $A, B \in \Omega$ . Then:

$$A \cap (B \cup C) = (A \cap B) \cup (A \cap C) \tag{1}$$

$$A \cup (B \cap C) = (A \cup B) \cap (A \cup C) \tag{2}$$

$$(A \cup B)^C = A^C \cap B^C \tag{3}$$

$$(A \cap B)^C = A^C \cup B^C \tag{4}$$

Exercise 2. Draw pictures of these statements.

*Proof.* Consider (1). We show first:

$$A \cap (B \cup C) \subseteq (A \cap B) \cup (A \cap C)$$

Suppose that  $x \in A \cap (B \cup C)$ , then  $x \in A$  and  $x \in B \cup C$ . That means:

$$x \in A \land (x \in B \lor x \in C)$$
 
$$x \in A \cap B \lor x \in A \cap C$$
 
$$x \in (A \cap B) \cup (A \cap C)$$

This shows  $\subseteq$ . Now we show:

$$A \cap (B \cup C) \supseteq (A \cap B) \cup (A \cap C)$$

Suppose  $x \in (A \cap B) \cup (A \cap C)$ , then  $x \in A \cap B$  or  $x \in A \cap C$ . We now distinguish between two cases:

- 1.  $x \in A \cap B$ . Then  $x \in A$  and  $x \in B$ . Therefore,  $x \in A$  and  $x \in B \cup C$ . Hence,  $x \in A \cap (B \cup C)$
- 2.  $x \in A \cap C$ . Then  $x \in A$  and  $x \in C$ . Therefore,  $x \in A$  and  $x \in B \cup C$ . Hence,  $x \in A \cap (B \cup C)$ .

**Remark 0.1.** We split the proof of C in two cases. In doing so we used the inference rule:

$$((P \vee Q) \wedge (P \Rightarrow R) \wedge (Q \Rightarrow R)) \Rightarrow R$$

Please finish the proof of the other statements in your own.

Axiom 4. Archimedeon Axiom

$$\forall r \in \mathbb{R} \ \exists n \in \mathbb{N} : n > r$$

Lemma 2.1.

$$\forall a, x \in \mathbb{R}, \quad \left( \forall n \in \mathbb{N}, n \ge 1 : \quad x \ge a - \frac{1}{n} \right) \quad \Rightarrow \quad x \ge a$$

*Proof.* We argue by contradiction. Hence, we want to show

$$\left(\exists n \in \mathbb{N}, n \ge 1: \quad x < a - \frac{1}{n}\right) \quad \Leftarrow \quad x \le a.$$

By the Archimedeon Axiom

$$\exists n: \quad n > \frac{1}{a-x}.$$

And then also

$$\frac{1}{n} < a - x.$$

Therefore

$$x < a - \frac{1}{n}.$$

Proposition 1.

$$\bigcup_{n=1}^{\infty} \left[ 0, 1 - \frac{1}{n} \right] = [0, 1) \tag{1}$$

$$\bigcap_{n=1}^{\infty} \left( 1 - \frac{1}{n}, 1 + \frac{1}{n} \right) = \{1\}$$
 (2)

Proof. (2) By definition

$$\bigcap_{n=1}^{\infty} A_n = \{ a | \ \forall n, \ a \in A_n \}.$$

Then

$$L = \bigcap_{n=1}^{\infty} \left( 1 - \frac{1}{n}, 1 + \frac{1}{n} \right) = \left\{ x \in \mathbb{R} \mid \forall n \in \mathbb{N}, \ 1 - \frac{1}{n} < x < 1 + \frac{1}{n} \right\}.$$

Clearly  $1 \in L$ . This proofs  $\supseteq$ .

No we are going to prove  $\subseteq$ . We need to show

$$\left( \forall n \in \mathbb{N}, \quad 1 - \frac{1}{n} < x < 1 + \frac{1}{n} \right) \quad \Rightarrow \quad x = 1.$$

By the lemma,  $x \ge 1$ . There is a similar lemma that states

$$\left( \forall n, \quad x \leq 1 + \frac{1}{n} \right) \quad \Rightarrow \quad x \leq 1.$$

So in fact  $x \ge 1$  and  $x \le 1$ . Thus x = 1. This shows  $\subseteq$ .

(1) Recall that by definition

$$\bigcup_{n=1}^{\infty} A_n = \{a | \exists n : a \in A_n\}.$$

It is easy to see

$$\bigcup_{n=1}^{\infty} \left[ 0, 1 - \frac{1}{n} \right) \subseteq [0, 1)$$

Indeed if

$$\exists n: \quad 0 \le x \le 1 - \frac{1}{n},$$

then

$$0 \le x < 1$$
.

This shows  $\supseteq$ . Next we show  $\subseteq$ . This means exactly

$$(0 \le x < 1) \Rightarrow \left(\exists n : 0 \le x \le 1 - \frac{1}{n}\right).$$

By the Archimedeon axiom

$$\exists n: n > \frac{1}{1-r}.$$

Hence  $\frac{1}{n} < 1 - x$  and then  $x < 1 - \frac{1}{n}$ .

Show that for  $n \in \mathbb{N}$ ,  $n^2$  odd  $\Rightarrow n$  odd.

Flawed proof. If n is odd then n = 2k + 1 for some  $k \in \mathbb{N}$  and then

$$n^{2} = (2k + 1)^{2}$$
$$= 4k^{2} + 4k + 1$$
$$= 2(2k^{2} + 2k) + 1$$

So  $n^2$  is odd.

*Proof.* We need to take the following statement for granted, which will be proven later in the document:

$$\forall n \in \mathbb{N}: \quad \exists k \in \mathbb{N}: \ n = 2k \quad \lor \quad \exists k \in \mathbb{N}: \ n = 2k+1$$

Assuming that we argue by contradiction:

$$n \text{ even} \Rightarrow n^2 \text{ even}$$
  
 $n = 2k \Rightarrow n^2 = 2(2k^2)$ 

### 2.4 Dis-Proving

How to form the negation of a statement? Given P, how to form  $\overline{P}$ ? Rule 1

$$P = (\forall x \in A, Q(x))$$
 
$$\Rightarrow \overline{P} = (\exists x \in A, \overline{Q(x)})$$

Rule 2

$$P = (\exists x \in A, Q(x))$$

$$\Rightarrow \overline{P} = (\forall x \in A, \overline{Q(x)})$$

Exercise 3. Show that Rule 2 is the same as Rule 1.

**Remark 0.2.** An element  $a \in A$  such that  $\overline{Q(a)}$  is called a counterexample to the statement

$$(\forall x \in A, Q(x))$$

Indeed the very existence of this example  $a \in A$  shows that P is false (it "counters" P).

A typical exam question is:

Prove or disprove the following statement:

If  $p \in \mathbb{N}$  is prim then  $\exists a, b \in \mathbb{Z} : p = a^2 + b^2$ 

This statement is false. Counterexample: 3

$$\begin{split} P &= (\forall p \in \{n \in \mathbb{N} | \ n \ \text{prime}\} : \quad (\exists (a,b) \in \mathbb{Z}^2 : p = a^2 + b^2)) \\ \overline{P} &= (\exists p \in \{n \in \mathbb{N} | \ n \ \text{prime}\} : \quad \overline{(\exists (a,b) \in \mathbb{Z}^2 : p = a^2 + b^2)}) \\ \overline{P} &= (\exists p \in \{n \in \mathbb{N} | \ n \ \text{prime}\} : \quad (\forall (a,b) \in \mathbb{Z}^2 : p \neq a^2 + b^2)) \end{split}$$

We prove  $\overline{P}$  thus we have to name a particular prime name p=3. We claim:

$$\forall a, b \in \mathbb{Z}: \quad a^2 + b^2 \neq 3$$

*Proof.* Suppose for contradiction that for some  $a, b \in \mathbb{Z}$ ,  $a^2 + b^2 = 3$ . Note that  $a^2, b^3 \ge 0$  so both  $a^2, b^2 \le 3$ . This means that  $|a|, |b| \le 1$  but then  $a^2, b^2 \le 1$  and  $a^2 + b^2 \le 2$ .

### 3 Natural Numbers

Axiom 5. Smallest element axiom.

Let  $\emptyset \neq S \subseteq \mathbb{N}$ . Then S has a smallest element.

 $(a \in S \text{ is smallest if } \forall b \in S: a \leq b. \text{ A smallest element is clearly unique.})$ 

Theorem 3.0.1.

$$\forall n, p \in \mathbb{N}, \quad \exists_1 q, r \in \mathbb{N}: \quad n = pq + r, 0 \le r < p$$

Special case

For p=2 this says that there exists a q such that either n=2q or n=2q+1 (but not both).

Proof.

$$S = \{ q \in \mathbb{N} | \exists k \in n : \quad y = n - pk \}$$

 $S \neq \emptyset$  because  $n \in S$  The axiom says that S has a smallest element.

Take k = 0. Claim:  $0 \le r < p$ . Indeed if  $r \ge p$  then

$$r' = r - p = r - pk_0 - p = r - p(k_0 + 1) \in S$$

and r' < r so r is not the smallest element. Take  $q = k_0$  then:

$$n = pq + r \qquad \qquad 0 \le r < p$$

it remains to shows uniqueness. To show uniqueness suppose

$$n = pq_1 + r_1$$
  
 $n = pq_2 + r_2$   $0 \le r_1, r_2 < p$ 

Without loss of generality we may assume  $r_1 \leq r_2$ .

$$0 \le r_2 - r_1 = (q_1 - q_2)p < p$$

So 
$$0 \le q_1 - q_2 < 1 \quad \Rightarrow \quad q_1 = q_2 \text{ and } r_1 = r_2$$

### 3.1 Proof by Induction

Principle of induction: Suppose that  $\forall n \in \mathbb{N}$  we are given a statement  $P_n$ . Assume that:

- 1.  $P_0$  holds;
- 2.  $\forall n \in \mathbb{N}, (Pn \Rightarrow P_{n+1})$  holds

Then  $\forall n \in \mathbb{N}, P_n \text{ holds.}$ 

#### Example 0.4.

$$P_n:$$
  $0+1+2+\cdots+n=\frac{n(n+1)}{2}$ 

Let us show  $P_n \Rightarrow P_{n+1}$ . Assume that

$$0+1+2+\cdots+n = \frac{n(n+1)}{2}$$

then

$$0+1+2+\cdots+n+(n+1)=(0+1+2+\cdots+n)+(n+1)=\frac{n(n+1)}{2}+n+1=\frac{(n+1)(n+2)}{2}$$

 $P_0$  is the statement that 0=0. Therefore  $\forall n$  the formula is true.

*Proof.* We argue by contradiction. Suppose that the conclusion is false. That means:

$$\exists n \in \mathbb{N} : \overline{P_n}$$

In other words:

$$S = \{ n \in \mathbb{N} | \overline{P_n} \} \neq \emptyset$$

Let k be the smallest element of S. k exists by the smallest element axiom. k-1 < k, therefore  $k-1 \in S$ , thus  $P_{k-1}$  holds. But:

$$P_{k-1} \Rightarrow P_k$$

**Example 0.5.** The Fibonacci sequence.  $\forall n \in \mathbb{N}$  define  $F_n$  inductively by the formula:

$$F_0 = 0$$
,  $F_1 = 1$ ,  $\forall n \ge 2F_n = F_{n+1} + F_{n+2}$ 

Let us prove by induction that:

$$F_n = \frac{1}{\sqrt{5}} \left( \left( \frac{1+\sqrt{5}}{2} \right)^n - \left( \frac{1-\sqrt{5}}{2} \right)^n \right) = \frac{\varphi^n - \psi^n}{\sqrt{5}}$$

The really interesting thing would be to understand how one can "come up" with a formula like this. Another interesting thing would be to "stare" at the formula and see what we can learn from it about life. Instead wo focus on a "minor" print of logic.

Wrong proof. To prove by induction you need to declare at the outset,  $\forall n$  what is  $P_n$ . Your instinct here will be to say

$$P_n:$$
 
$$F_n = \frac{1}{\sqrt{5}} \left( \left( \frac{1+\sqrt{5}}{2} \right)^n - \left( \frac{1-\sqrt{5}}{2} \right)^n \right)$$

Then you will write:

$$F_{n+1} = F_n + F_{n+1}$$
$$= (\dots) + (\dots)$$

**Remark 0.3.** You have used both,  $P_{n-1}$  and  $P_n$ . However, for induction you can only use  $P_n$ .

*Proof.* We use the principle of induction with:

$$Q_n = (P_n \wedge P_{n+1})$$

We need to show  $\forall n: Q_n \Rightarrow Q_{n+1}$ . Suppose  $(P_n \wedge P_{n+1} \Rightarrow P_{n+1}) \Rightarrow ((P_n \wedge P_{n+1}) \wedge (P_{n+1} \wedge P_{n+2}))$ . Hence we only need to proof that  $P_n \wedge P_{n+1} \Rightarrow P_{n+2}$  Assume  $P_n \wedge P_{n+1}$ , then:

$$F_{n+1} = F_{n+1} + F_n = \frac{1}{\sqrt{5}} \left( \varphi^{n+1} - \psi^{n+1} \right) + \frac{1}{\sqrt{5}} \left( \varphi^n - \psi^n \right)$$
$$= \frac{1}{\sqrt{5}} \varphi^n (\varphi + 1) + \frac{1}{\sqrt{5}} \psi^n (\psi + 1)$$

Since  $\varphi$  and  $\psi$  are solutions of the equation  $x^2 - x - 1 = 0$  we can rewrite that as:

$$\frac{1}{\sqrt{5}}\varphi^n\varphi^2 + \frac{1}{\sqrt{5}}\psi^n\psi^2$$
$$= \frac{1}{\sqrt{5}}\varphi^{n+2} + \frac{1}{\sqrt{5}}\psi^{n+2}$$

So  $P_{n+2}$  holds. We have shown that  $\forall n:\ Q_n\Rightarrow Q_{n+1}$ . To finish the proof we need  $Q_0=(P_0\wedge P_1)$ .

$$P_0 F_0 = \frac{1}{\sqrt{5}} (\varphi^0 - \psi^0) = 1$$

$$P_1 F_1 = \frac{1}{\sqrt{5}} (\varphi^1 - \psi^1) = 1$$

**Theorem 3.1.1.** Principle of strong induction.

Suppose that  $\forall n \in \mathbb{N}$  we are given a statement  $Q_n$ . Assume that:

- 1.  $Q_0$  holds;
- 2.  $\forall n, (\forall k \leq n : Q_k) \Rightarrow Q_{n+1}$

Then  $\forall n \in \mathbb{N}, \ Q_n \text{ holds.}$ 

*Proof.* Apply induction with:

$$(Q_0 \wedge Q_1 \wedge \cdots \wedge Q_n)$$

#### 3.2 Prime numbers

**Definition 3.2.1.**  $n \neq 0, 1 \in \mathbb{N}$  is irreducible if:

$$\forall u, v \in \mathbb{N}: \quad n = uv \quad \Rightarrow \quad u = 1 \lor v = 1$$

**Theorem 3.2.1.** Every  $n \in \mathbb{N}$ ,  $n \neq 0, 1$  is the product of irreducibles.

*Proof.* We are going to prove the statement by strong induction. Let  $Q_n$  be the statement that n is the product of irreducibles.

 $Q_0$  clearly holds.

Assume  $Q_n$  for  $k \le n$ . If n+1 is irreducible then  $Q_{n+1}$ . Otherwise  $n+1=u \cdot v$  where 1 < u < n+1 and 1 < v < n+1. By  $Q_u, u$  is prod of irreducibles. By  $Q_v, v$  is the product if irreducibles. Therefore,  $Q_{n+1}$  holds.

**Definition 3.2.2.** For  $c, a \in \mathbb{Z}$  we say that c divides A and write  $c \mid A$  if

$$\exists R \in \mathbb{Z} : cR = A$$

#### Remark 2.1.

$$c|A_1 \wedge c|A_2 \Rightarrow c|(A_1 + A_2)$$
  
 $c|A \Rightarrow \forall B \in \mathbb{Z}, c|AB$ 

#### Definition 3.2.3.

$$\forall a, b \in \mathbb{Z}: hcf(a, b) = \text{Highest Common Factor} = \max\{t \in \mathbb{Z} | t | a \land t | b\}$$

#### Remark 3.1.

$$hcf(a, b) = hcf(\pm a, \pm b) \in \mathbb{N} = hcf(b, a)$$

Let us now consider the *Division Algorithm* to compute the highest common factor. Suppose  $a, b \in \mathbb{N}$  with  $a \geq b$ . We know from last time:

$$\exists q, r \in \mathbb{N}, \quad 0 \le r < b : \quad a = bq + r$$

Note that

$$(t|a \wedge t|b) \Leftrightarrow (t|b \wedge t|r)$$

This implies that hcf(a, b) = hcf(b, r).  $a \ge b > r$  so the pair (b, r) is smaller than the pair (a, b), hence our algorithm will eventually come to an end. And I can assume by induction that I know to compute k and (b, r).

**Theorem 3.2.2.** If c = hcf(a, b) then

$$\exists y, x \in \mathbb{Z}: \quad c = ac + by$$

*Proof.* Assume  $a \ge b > 0$  then write a = bq + r. But what we said:

$$hcf(a,b) = hcf(q,r) = c$$

(q,r) is smaller than (a,b) so by induction there exist  $x_0,g_0$  such that

$$c = rx_0 + by_0$$
  
=  $(a - bq)x_0 + by_0$   
=  $ax_0 + b(y_0 - qx_0)$ 

**Example 3.1.** Compute hcf(1734, 371) = c and  $x, y \in \mathbb{Z}$  such that 1734 + 371y = c

$$\begin{array}{c} 1734 = 4 \cdot 371 + 250 \\ \Rightarrow & \operatorname{hcf}(1734, 371) = \operatorname{hcf}(371, 250) \\ 371 = 1 \cdot 250 + 121 \\ \Rightarrow & \operatorname{hcf}(371, 250) = \operatorname{hcf}(250, 121) \\ 250 = 2 \cdot 121 + 8 \\ \Rightarrow & \operatorname{hcf}(250, 121) = \operatorname{hcf}(121, 8) \\ 121 = 15 \cdot 8 + 1 \\ \Rightarrow & \operatorname{hcf}(121, 8) = \operatorname{hcf}(8, 1) \end{array}$$

So c = 1.

$$1 = -15 \cdot 8 + 121$$

$$= -15(-2 \cdot 121 + 250) + 121$$

$$= 31 \cdot 121 - 12 \cdot 250$$

$$= 31 \cdot (-1 \cdot 250 + 371) - 15 \cdot 250$$

$$= -46 \cdot 250 + 31 \cdot 371$$

$$= -44(-4 \cdot 371 + 1734) + 31 \cdot 371$$

$$= 215 \cdot 371 - 46 \cdot 1734$$

**Definition 3.2.4.** We say that  $a, b \in \mathbb{Z}$  are *co-prime* if hcf(a, c) = 1.

**Definition 3.2.5.**  $p \in \mathbb{N} \setminus \{0, 1\}$  is prime if:

$$\forall A, B \in \mathbb{Z}: p|AB \Rightarrow p|A \lor p|Q$$

**Theorem 3.2.3.**  $P \in \mathbb{N}$  is irreducible if and only if it is prime.

*Proof.* Suppose p is prime, i.e.

$$p|uv \Rightarrow (p|u \lor p|v)$$

If p|u then u = kp and

$$p = uv = (kv)p \quad \Rightarrow \quad 1 = kv \quad \Rightarrow \quad v = 1$$

Similarly if p|v then u=1. This shows p is irreducible.

Now suppose p is irreducible. Suppose p|AB. Because p is irreducible, the positive divisors of p are just 1 and p. Therefore, either hcf(p,A) = 1 or hcf(p,A) = p.

If hcf(p, A) = p then p|A and we can close. Suppose hcf(p, A) = 1. Then  $\exists x, y \in \mathbb{Z}$  such that:

$$xp + yA = 1$$

But then

$$xpB+yAB=B$$

p divides the first part because there is a p there. p divides the second part because we are assuming p|AB. So p|B.

**Definition 3.2.6.** Let p be prime. Then

$$\forall N \in \mathbb{Z}, \quad \operatorname{ord}_p N = \max \left\{ k \in \mathbb{N} | p^k | N \right\}$$

= exponent of the largest power of p that divides n.

### Example 6.1.

$$\operatorname{ord}_2 3 = 0$$
$$\operatorname{ord}_2 24 = 3$$

#### Property 6.1.

$$\forall N_1, N_2 \in \mathbb{Z} \qquad \operatorname{ord}_p(N_1 + N_2) \ge \min\{\operatorname{ord}_p N_1, \operatorname{ord}_p N_2\} \tag{1}$$

$$\operatorname{ord}_{p}(N_{1}, N_{2}) = \operatorname{ord}_{p} N_{1} + \operatorname{ord}_{p} N_{2}$$
(2)

*Proof.* (1) If  $p^k | N_1$  and  $p^k | N_2$  then  $p^k | N_1 + N_2$ . (2)

$$a_1 = \operatorname{ord}_p N_i$$

means

$$p^{a_i}|N_i \wedge p^{a_i+1} \nmid N_i$$

It is clear that

$$(p^{a_i}|N \wedge p^{a_2}|N_2) \quad \Rightarrow \quad p^{a_1+a_2}|N_1N_2$$

What we need to show is:

$$p^{a_1+a_2+1} \nmid N_1 N_2$$

Write  $N_i = p^{a_i} A_i$  where  $p_i \nmid A_i$ . Then

$$N_1 N_2 = p^{a_1 + a_2} A_1 A_2$$

and

$$p \nmid A_1, p \nmid A_2 \quad \Rightarrow \quad p \nmid A_1 A_2$$

**Result 3.2.1.** If p is a prime then  $\sqrt{p}$  is irrational.

*Proof.* Suppose for contradiction that  $r^2 = p$  with  $r = \frac{k}{n} \in \mathbb{Q}$ .

$$\frac{k^2}{n^2} = p$$

or equivalently:

$$k^{2} = pn^{2}$$

$$2 \operatorname{ord}_{p} k = \operatorname{ord}_{p}(pn^{2}) = 1 + 2 \operatorname{ord}_{p} n$$

This is a contradiction. ( A number can only be either odd or even but not both.)

**Definition 3.2.7.**  $N \in \mathbb{Z}$  is a perfect square if  $\exists k \in \mathbb{Z} : N = k^2$ .

**Exercise 4.** N is a perfect square iff for all primes p,  $\operatorname{ord}_p N$  is even.

**Example 7.1.**  $\sqrt{N} \in \mathbb{Q} \iff N \text{ is a perfect square.}$ 

*Proof.*  $\Leftarrow$  is obvious.

We need to deal with  $\Rightarrow$ . Suppose  $\exists r = \frac{k}{n} \in \mathbb{Q}$  such that  $r^2 = N$ .

Suppose for contradiction that N is not a perfect square. i.e. there exists a prime p such that  $\operatorname{ord}_p N$  is odd. As before we write:

$$k^2 = n^2 N$$
 
$$2 \operatorname{ord}_p k = \operatorname{ord}_p k^2 = 2 \operatorname{ord}_p n + \operatorname{ord}_p N$$

This is a contradiction because we have an even number on the left and an odd number on the right hand side.  $\Box$ 

**Theorem 3.2.4.** The fundamental theorem of arithmetic.

Every  $n \in \mathbb{N}$  can be written uniquely in the form:

$$n = p_1^{a_1} p_2^{a_2} \dots p_r^{a_r}$$

where  $p_1 < p_2 < \dots < p_r$  are primes and  $a_i \in \mathbb{N} \setminus 0$  for  $i \in \mathbb{N} \setminus \{0\}$ .

*Proof.* We already know that n can be written like this. For uniqueness:

$$a_i = \operatorname{ord}_{p_i} n$$

Remark 7.1. What you are really doing is:

$$n = p_1^{a_1} \dots p_r^{a_r}$$

$$\Rightarrow \operatorname{ord}_{p_i} n = \operatorname{ord}_{p_i} (p_1^{a_1} \dots p_r^{a_r})$$

$$= a_1 \operatorname{ord}_{p_i} p_1 + \dots + a_r \operatorname{ord}_{p_i} p_{i-1} + a_i \operatorname{ord}_{p_i} p_i + a_{a+1} \operatorname{ord}_{p_i} p_{i+1} + \dots + a_r \operatorname{ord}_{p_i} p_r = a_i$$

because  $\operatorname{ord}_{p_i} p_i = 1$  and  $\operatorname{ord}_{p_j} p_j = 0$  if  $i \neq j$ .

**Example 7.2.** There are infinitely many primes. Suppose for a contradiction that:

$$\mathbb{P} = \{\text{all primes}\} = \{p_1 < p_2 < \dots < p_r\}$$

Consider  $N = p_1 p_2 \dots p_r + 1$ . Claim  $hcf(N, p_i) = 1$  for all  $i = 1, \dots, r$ . Manifestly  $\exists x, y \in \mathbb{Z}$  such that  $xN + yp_i = 1$ . Hence, N contradicts the prime decomposition theorem.

New things about hcf.

**Lemma 3.1.** Suppose hcf(a,b) = 1. Then for all  $C \in \mathbb{N}$ 

$$(a|C \land b|C) \Rightarrow ab|C \tag{1}$$

$$a|bC \Rightarrow a|C$$
 (2)

Proof.

$$\exists x, y \in \mathbb{Z} : \quad ax + by = C$$
 (\*)

For (1) multiply (\*) with C. We get

$$axC + byC = C$$

 $b|C \Rightarrow ab|aC$  so ab divides axC.  $a|C \Rightarrow ab|bC$  so ab divides byC. Therefore, ab|C. For (2) again

$$axC + byC = C$$

a obviously divides axC and byC as well because of our assumption. Thus a|C.

**Theorem 3.2.5.** Suppose c|a and c|b. Then  $c|\operatorname{hcf}(a,b)$ .

*Proof.* Indeed let d = hcf(a, b). We know  $\exists x, y \in \mathbb{Z}$  such that

$$ax + by = d$$

c divides ax as well as bx. Thus, c|d

It follows easily from unique prime factorization that for all p,  $\operatorname{ord}_p \operatorname{hcf}(a, b) = \min\{\operatorname{ord}_p a, \operatorname{ord}_p b\}$ . Another way to say this is: if

$$a = \Pi p_i^{r_i}, b = \Pi p_i^{s_i}$$

Then

$$hcf(a,b) = \prod p_i^{\min\{r_i,s_i\}}$$

Remark 7.2. This is a really bad method for computing the hcf.

#### **Example 7.3.** • (a Diophantine equation)

Solve for  $x, y \in \mathbb{N}$ :

$$4y^2 = x^3 + 1$$

Write this as:

$$x^3 = 4y^2 - 1 = (2y + 1)(2y - 1)$$

Note that hcf(2y + 1, 2y - 1) = c = 1:

$$(c|2y+1 \land c|2y-1) \Rightarrow c|(2y+1)-(2y+1)=2$$

but both numbers are odd so c = 1.

Suppose hcf(A, B) = 1 and  $AB = x^3$  is a perfect cube. Then both A, B are perfect cubes. Indeed, if p prime,  $ord_p AB = 3k$  and at least one of  $ord_p A$ ,  $ord_p B = 0$ .

So 2y-1, 2y+1 are both perfect cubes. Only small cubes can have such a small distance.

$$\dots -27 -8 -1 0 1 8 27 \dots$$

At the end:

$$2y - 1 = -1$$

$$2y + 1 = 1$$

$$\Rightarrow y = 0 \land x = -1$$

These are all the solutions!

#### • Consider the equation

$$3x + 7y = 18, \qquad (x, y) \in \mathbb{Z}^2$$
 (1)

Ordinarily, you would use Euklid's algorithm to find one solution. This solution can then help to find the general solution. In this case it is easy to spot one: (x, y) = (6, 0). Write  $(x, y) = (x_0, y_0) + (x', y')$  and

$$3x + 7y = 18$$

$$\Rightarrow 3x' + 7y' = 0 \tag{2}$$

Thus, finding all solutions of (2) is the same as finding all solutions of (1). Note: 7y' = -3x'.

$$(hcf(7,3) = 1 \quad \land \quad 7|3x') \qquad \Rightarrow 7|x'$$

Therefore, there exists a  $t \in \mathbb{Z}$ : x' = 7t. Then 7y' = -3t The set of all solutions is precisely:

$$\{(x,y)| (x,y) = (6,0) + t(7,-3), t \in \mathbb{Z}\}\$$

We think of this as a parametrised 'integral line'.

Let us regard the general case of the example above. Suppose  $A, B, C \in \mathbb{Z}$ . We want to find all solutions  $(x, y) \in \mathbb{Z}^2$  of:

$$Ax + By = C (*)$$

Sometimes we may want to look for  $(x,y) \in \mathbb{N}^2$ . Suppose hcf(a,b) = d. Then we write

$$a = da'$$
$$b = db'$$

with  $a', b' \in \mathbb{Z}$  and co-prime.

**Remark 7.3.** (\*) has a solutions  $x_0, y_0$  iff d = hcf(a, b)|c.

*Proof.* Suppose  $ax_0 + by_0 = c$  Then d|a and d|b and hence d|c. Vice versa suppose that d|c, i.e. c = dc'. We know that there exists  $u, v \in \mathbb{Z}$  such that au + bv = d and then  $x_0 = ac'$ ,  $y_0 = vc'$  satisfy

$$ax_0 + by_0 = auc' + bvc' = dc' = c$$

**Remark 7.4.** Euklid's algorithm gives us a way to compute a solution  $x_0, y_0$  of (\*) if a solution exists. The problem is to find all other solutions.

Suppose that  $x_0, y_0$  is a solution of (\*). Write

$$(x,y) = (x_0, y_0) + (x', y')$$

then x, y is a solution of (\*) is a solution of the homogeneous equation.

$$ax' + bx' = 0 (1)$$

(1) is equivalent to:

$$a'x' + b'y' = 0 (2)$$

Now hcf(a', b') = 1. Write

$$-b'y' = a'x'$$

and notice b'|a'x'. Since  $\operatorname{hcf}(b'a')=1$  then b'|x'. Wo we can write x'=tb' for some  $t\in\mathbb{Z}$  Then (2) says

$$b'a't + b'y' = 0$$

So

$$a't + y' = 0$$

i.e. y' = a't. Conclusion: The set of solutions of (1) is

$$\{(x,y) = t(b'-a') | t \in \mathbb{Z}\}$$

The set of solutions of (\*) is

$$\{(x,y) + (x_0 + y_0) = t(b' - a') | t \in \mathbb{Z}\}\$$

This is the end of the general theory.

**Example 7.4.** Find all  $c \in \mathbb{Z}$  such that the equation

$$5x + 11y = c$$

has a solution  $(x,y) \in \mathbb{N}^2$  All integer solutions (x,y) are of the form  $(x,y) = (x_0,y_0) + t(11,-5)$ . (this idea can be obtained by drawing the graph. The slope of the line is  $\frac{-11}{5}$ )

If c is big enough we can always find a positive solution (x, y) with  $x \ge 0$ ,  $y \ge 0$ . By adding/subtracting an integer multiple of the vector (11, -5). This will work if:

$$\sqrt{\frac{c^2}{5^2} + \frac{c^2}{11^2}} \ge \sqrt{11^2 + 5^2}$$
$$\frac{c}{55}\sqrt{11^2 + 5^2} \ge \sqrt{1^2 + 5^2}$$

If  $c \geq 55$  then a solution  $(x, y) \in \mathbb{N}^2$  exists. For every pair  $(x, y) \in \mathbb{N}$  we compute 5x + 11y. We can get the following possible sums smaller than 55

Conclusion: The equation 5x + 11y = c has a solution  $(x, y) \in \mathbb{N}^2$  iff

$$c \in \{0, 5, 10, 11, 15, 16, 20, 21, 22, 25, 26, 27, 30, 31, 32, 33, 35, 36, 37, 38\} \quad \lor \quad c \le 40$$

Relations

**Definition 3.2.8.** The Cartesian Product of two sets A, B is the set

$$A \times B := \{(a, b) | \quad a \in A, b \in B\}$$

where (a, b) is an ordered pair.

**Example 8.1.** Suppose  $A = \{1, 2, 3\}, B = \{1, 2\}.$  Then

$$A \times B = \{(1,1), (1,2), (2,1), (2,2), (3,1), (3,2)\}$$

**Definition 3.2.9.** A relation on a set S is a subset  $R \subseteq S \times S$ . We write

$$aRb$$
 or  $a \sim_R b$   $\Leftrightarrow$   $(a,b) \in R$ 

**Example 9.1.** • Let S be this room.

$$R = \{(a, b) | a \text{ is in love with } b\}$$

• Some mathematical examples

$$\triangle = \{(a, a) | a \in S\}$$
$$R = S \times S \setminus \triangle$$

Some relations on  $\mathbb{R}$  are

$$A = \{(a,b) | a \le b\} \subseteq \mathbb{R}^2$$

$$B = \{(a,b) | a < b\} \subseteq \mathbb{R}^2$$

$$C = \{(x,y) \in \mathbb{R}^2 | y^2 - x^2 = 1\} \subseteq \mathbb{R}^2$$

**Example 9.2.** How many relations are there on the set  $S = \{1, 2\}$ ? A relation on  $S = \{1, 2\}$  is precisely a subset of  $S \times S = \{(1, 1), (1, 2), (2, 1), (2, 2)\}$ . Hence, there are  $2^4 = 16$  Relations on the set.

**Remark 9.1.** If T is a set with n elements, then T has  $2^n$  subsets. (We will prove that further in these notes.)

**Property 9.1.** • R is reflexive if for all  $a \in S$ ,  $(a, a) \in \mathbb{R}$ .

- R is symmetric if for all  $a, b \in S$ ,  $(a, b) \in R \Rightarrow (b, a) \in R$ .
- R is transitive if for all  $a,b,c\in S$ ,  $(a,b)\in R \land (b,c)\in R \Rightarrow (a,b)\in R$ .
- R is an equivalence relation if R is reflexive, symmetric and transitive

Now we have the language to speak about modular arithmetic:

Fix  $m \in \mathbb{N}$ . Then we define a relation R on  $\mathbb{Z}$ :

$$R = \{(a, b) \in \mathbb{Z} \times \mathbb{Z} | m|(a - b)\}$$

Notation

$$a \sim_R b \qquad \Leftrightarrow \qquad a \equiv b \qquad \text{mod } m$$

read a congruent to  $B \mod n$ . This is the same as saying:

Dividing m into a or b leaves the same remainder.

### Property 9.2.

$$\forall a \in \mathbb{Z} \qquad \qquad a \equiv a \mod m$$
 
$$\forall a, b \in \mathbb{Z} \qquad \qquad m|a-b \iff m|b-a$$
 
$$\forall a, b, c \in \mathbb{Z} \qquad \qquad m|a-b \land m|b-c \implies m|(a-b)+(b-c)=(a-c)$$

Therefore,  $\equiv \mod m$  is an equivalence relation.

**Definition 3.2.10.** Let  $\sim$  be an equivalence relation on a set S. For all  $a \in S$  we define

$$[a] = \{b \in S | a \sim b\}$$

Theorem 3.2.6.

$$a \sim b$$
  $\Leftrightarrow$   $[a] = [b]$   $(1)$   $a \nsim b$   $\Leftrightarrow$   $[a] \cap [b] = \emptyset$   $(2)$ 

*Proof.* (1). first prove  $\Rightarrow$ . We show first  $[a] \subseteq [b]$ .

Suppose  $a \sim c$  then  $c \sim a$  and  $a \sim b \Rightarrow c \sim b \Rightarrow b \sim c$ . So  $c \in [b]$ .

Similarly  $[b] \subseteq [a]$ .

 $\Leftarrow$  is pretty obvious.

(2). Suppose  $[a] \cap [b] \neq \emptyset$ , so let  $c \in [a] \cap [b]$ . Hence,  $a \sim c, b \sim c$ . Therefore  $a \sim c$  and  $c \sim b$  and thus  $a \sim b$  So [a] = [b]. Please finish the proof by yourself.

**Definition 3.2.11.** The *quotientset* of S by an equivalence relation R is

$$S/R := \{[a] \mid a \in S\} \subseteq P(S)$$

where  $P(S) = \{A | A \subseteq S\}.$ 

Suppose that we know how to choose a unique distinguished element in each equivalence class on a set S: denote by  $\overline{a}$  the distinguished element in [a]. (So if  $b \in [a]$  then  $\overline{a} = \overline{b}$ . Then we can form a concrete model

$$S/R = {\overline{a} \mid a \in S} \subseteq S$$

#### 3.3 Modular Arithmetic

Fix  $n \geq 2, m \in \mathbb{N}$ 

$$a \equiv b \mod n$$

iff m|a-b. The quotientset is denoted  $\mathbb{Z}/m\mathbb{Z}$ .

$$\forall a \quad \exists ! r \quad 0 \le r < m : \qquad a = qm + r$$

We call this r the smallest residue of a mod m, we denote it by  $\overline{a}$ . So

$$\overline{a} = \overline{b} \quad \Leftrightarrow \quad a \equiv b \mod m$$

#### Example 0.1.

$$\overline{7} = 1 = \overline{10} \mod 3$$

Every equivalence class has a unique distinguished representative in  $\{0, 1, 2, 3, \dots, n-1\} \subseteq \mathbb{Z}$ . so we can think of  $\mathbb{Z}/m\mathbb{Z}$  as 'being' the set  $\{0, 1, 2, 3, \dots, n-1\}$ .

**Theorem 3.3.1.** Suppose  $m \in \mathbb{N}$ 

$$a \equiv b \mod m$$

$$c \equiv d \mod m$$

$$\Rightarrow a + c \equiv b + d \mod m$$

$$\wedge a \cdot c \equiv b \cdot d \mod m$$

This defines the operations  $+, \cdot$  on  $\mathbb{Z}/m\mathbb{Z}$ .

**Property 0.1.** These operations have familiar rules:

$$a+b\equiv b+a \ mod \ m$$
 $a\cdot b\equiv b\cdot a \ mod \ m$ 
 $a\cdot (b+c)\equiv a\cdot b+a\cdot c \ mod \ m$ 

**Example 0.2.** Addition and multiplication tables in  $\mathbb{Z}/m\mathbb{Z}$ . Addition table:

	0	1	2	3	4	5
0	0	1	2	3	4	5
1	1	2	3	4	5	0
<b>2</b>	2	3	4	5	0	1
3	3	4	5	0	1	2
4	4	5	0	1	2	3
5	5	0	1	2	3	4

Multiplication table:

	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	1	2	3	4	5
<b>2</b>	0	$^2$	4	0	$^2$	4
3	0	3	0	3	0	3
4	0	4	2	0	4	2
5	0	5	4	3	2	1

The thing to note here is: In  $\mathbb{Z}/6\mathbb{Z}$   $\overline{2}$ ,  $\overline{3} \neq 0$  but  $\overline{2} \cdot \overline{3} = 0$ .

**Example 0.3.** Multiplication table in  $\mathbb{Z}/5\mathbb{Z}$ :

	0	1	2	3	4
0	0	0	0	0	0
1	0	1	2	3	4
<b>2</b>	0	2	4	1	3
3	0	3	1	4	2
4	0	4	3	2	1

Remark 0.1. In  $\mathbb{Z}/5\mathbb{Z}$ 

$$(a \neq 0 \quad \land \quad b \neq 0) \qquad \Leftrightarrow \qquad ab \neq 0$$

In  $\mathbb{Z}/5$  every  $a \neq 0$  has a multiplicative inverse  $a^{-1}$ :

$$1^{-1} = 1$$
  $2^{-1} = 3$ ,  $3^{-1} = 2$ ,  $4^{-1} = 4$ 

**Theorem 3.3.2.** In  $\mathbb{Z}/m\mathbb{Z}$  every nonzero element has a multiplicative inverse iff m is prime.

*Proof.* Suppose m is prime. Let  $a \neq 0$  in  $\mathbb{Z}/p\mathbb{Z}$ . To find a multiplicative inverse of a is exactly the same as solving

$$ax = 1$$
 in  $\mathbb{Z}/p\mathbb{Z}$ 

that is ax - 1 = py. That is: Find  $x, y \in \mathbb{Z}$  such that ax - py = 1. We can do that iff hcf(a, p) = 1. Since p is prime this is the same as  $p \nmid a$  and this is the same as saying  $a \not\equiv 0 \mod p$ .

On the other hand if m is not prime, then there exist  $m_1, m_2$  with  $m = m_1 \cdot m_2$  and  $1 < m_1, m_2 < m$ .

$$m_1 \not\equiv 0 \qquad \mod m$$

$$m_2 \not\equiv 0 \qquad \mod m$$

but

$$m_1 \cdot m_2 \equiv 0 \mod m$$

So neither  $m_1$  nor  $m_2$  have a multiplicative inverse.

Indeed if there was a  $u_1$  such that

$$u_1 m_1 \equiv 1 \mod m$$

then

$$(u_1 m_1) m_2 \equiv m_2 \not\equiv 0 \qquad \text{mod } m$$

$$= u_1 (m_1 m_2) \equiv 0 \qquad \text{mod } m$$

**Example 0.4.** • What is  $2^{100} \mod 15$ ?

$$2^4 \equiv 1 \mod 15$$

so 
$$2^{100} = (2^4)^{25} \equiv 1 \mod 15$$
.

• Compute 5<sup>67</sup> mod 14. We first have to find the binary expansion of 67 which is 1000011. Idea:

$$67 = 64 + 2 + 1$$

$$= 2^{6} + 4 + 1$$

$$5^{2} \equiv 11 \qquad \text{mod } 14$$

$$5^{4} \equiv (5^{2})^{2} = 9 \qquad \text{mod } 14$$

$$5^{8} \equiv 9^{2} = 11 \qquad \text{mod } 14$$

$$5^{16} \equiv 11^{2} = 9 \qquad \text{mod } 14$$

$$5^{32} \equiv 11 \qquad \text{mod } 14$$

$$5^{64} \equiv 9 \qquad \text{mod } 14$$

$$5^{67} = 5^{64} \cdot 5^{2} \cdot 5 \equiv 9 \cdot 11 \cdot 5 \equiv 5 \qquad \text{mod } 14$$

• Prove that

$$\forall n \in \mathbb{N}, \qquad \sqrt{5n+3} \notin \mathbb{Q}$$

All we need to show is that for all  $n \in \mathbb{N}, 5n + 3$  is not a perfect square, i.e. for all  $n \in \mathbb{N}$  the equation

$$5n + 3 = x^2$$

has no interger solution  $x \in \mathbb{Z}$ . Something stronger is true:

$$x^2 \equiv 3 \mod 5$$

has no solution in  $\mathbb{Z}/5\mathbb{Z}$ .

$$x \equiv 0 \mod 5 \qquad \Rightarrow \qquad x^2 \equiv 0 \mod 5$$
 $x \equiv 1 \mod 5 \qquad \Rightarrow \qquad x^2 \equiv 1 \mod 5$ 
 $x \equiv 2 \mod 5 \qquad \Rightarrow \qquad x^2 \equiv 4 \mod 5$ 
 $x \equiv 3 \mod 5 \qquad \Rightarrow \qquad x^2 \equiv 4 \mod 5$ 
 $x \equiv 4 \mod 5 \qquad \Rightarrow \qquad x^2 \equiv 1 \mod 5$ 

So no x satisfies  $x^2 \equiv 3 \mod 5$ .

**Remark 0.2.** The same argument also shows that for all  $n \in \mathbb{N}$ , 5n + 2 is not a perfect square

• Show that the only solution of

$$x^2 + 5y^2 = 3z^2$$

for  $x, y, z \in \mathbb{Q}$  is the trivial solution x = 0, y = 0, z = 0.

The first thing to note is: If  $x_0, y_0, z_0$  is a solution and  $r \in \mathbb{Q}$ , then  $rx_0, ry_0, rz_0$  is also a solution. Suppose for contradiction that  $x_0, y_0, z_0 \in \mathbb{Q}$  is a nontrivial solution. Multiplying  $x_0, y_0, z_0$  by a common denominator, we may assume that  $x_0, y_0, z_0 \in \mathbb{Z}$  and  $hcf(x_0, y_0, z_0) = 1$ . In particular then reducing the

equation  $\mod 5$  we get  $x^2 \equiv 3z^2 \mod 5$ . If  $z \equiv 0 \mod 5$  then also  $x \equiv 0 \mod 5$ . Assume  $z_0 \not\equiv 0 \mod 5$ . Then z has a multiplicative inverse  $\mod 5$ . I.e. there exists a  $w_0 \in \mathbb{Z}$  such that

$$z_0 w_0 \equiv 1 \mod 5$$

Multiply by  $w_0^2$ :

$$(x_0 w_0)^2 \equiv 3(z_0 w_0)^2 \equiv 3 \mod 5$$

and this is impossible. So  $x_0, z_0$  are both  $\equiv 0 \mod 5$ 

$$x_0 = 5x_0', z_0 = 5z_0'$$

plug back into (\*)

$$25x_0^2 + 5y_0^2 = 75x_0'x_0'^2$$

Divide then by 5:

$$5x_0'^2 + y_0^2 = 15z_0'^2$$
$$y_0^2 = 5(3z_0'^2 - x_0'^2)$$

So  $5|y_0^2$  and  $5|y_0$  as well. In fact  $5|x_0, y_0z_0$ . This is a contradiction because:

$$hcf(x_0, y_0 z_0) = 1$$

Hence every solution is trivial.

#### Proposition 2. The equation

$$ax \equiv 1 \mod m$$

is solvable for x if and only if hcf(a, m) = 1. I.e. a has a multiplicative inverse  $\mod m$  if and only if hcf(a, m) = 1

Proof.

$$ax \equiv 1 \mod \Leftrightarrow \exists y : ax + my = 1$$

The equation is solvable if and only if

$$\exists x, y \in \mathbb{Z}: \quad ac + my = 1$$

We know this is equivalent to hcf(a, m) = 1.

**Remark 0.3.** hcf(a, m) only depends on  $[a] \mod m$ . i.e. for all  $k \in \mathbb{Z}$ :

$$hcf(a + kn, m) = hcf(a, m)$$

**Definition 3.3.1.** Let A be a set. Denote the number of elements of A, |A| = #A.

Example 1.1.  $|\mathbb{Z}/m\mathbb{Z}| = m$ .

**Definition 3.3.2.** Let  $(\mathbb{Z}/m\mathbb{Z})^x$  be the set of [a] which have a multiplicative inverse. That means:

$$(\mathbb{Z}/m\mathbb{Z})^x = \{r|\ 0 \le r < m \land \mathrm{hcf}(r,m) = 1\}$$

Furthermore, define Euler's function  $\varphi$  with

$$\varphi(m) := |(\mathbb{Z}/m\mathbb{Z})^x|.$$

**Example 2.1.** If p is prime then for all  $a \in \mathbb{Z}$  either p|a or hcf(p,a) = 1 so  $\varphi(p) = p - 1$ . We will soon know how to compute  $\varphi(m)$  for all  $m \in \mathbb{N}$ .

**Exercise 5.** If  $a, b, c \in \mathbb{Z}$ , define  $hcf(a, b, c) = max\{t \in \mathbb{Z} | t | a \wedge t | b \wedge t | c\}$ . Prove

- hcf(a, b, c) = hcf(hcf(a, b), c).
- there exist integers  $p, q, s \in \mathbb{Z}$  such that

$$hcf(a, b, c) = ap + bq + cs$$

• Use unique factorization to show:

$$\forall p \text{ prime}$$
  $\operatorname{ord}_p \operatorname{hcf}(a, b, c) = \min \left\{ \operatorname{ord}_p a, \operatorname{ord}_p b, \operatorname{ord}_p c \right\}$ 

### 4 Functions

**Definition 4.0.3.** Let A, B be sets. Let C be a subset of  $A \times B$  such that

$$\forall a \in A \ \exists_1 b \in B : (a, b) \in C$$

Then a function F from A to B is defined as the triple:

$$F = (A, B, C)$$

If  $(a,b) \in C$  we write b = F(a) and say that b is the value of F at a. A is called the domain of f. B is called the range of f.

$$\{b \in B | \exists a \in A : f(a) = b\} \subseteq B$$

is called the image of f.

Notation:  $F: A \to B$  for a function F from A to B.

A function is a set of arrows. For a set of arrows to be a function means that for all  $a \in A$  there is a unique arrow starting at a.

**Remark 3.1.** In the following, if we talk about a function F = (A, B, C) we will mostly refer to the set C.

#### Example 3.1.

$$f: \mathbb{R} \to \mathbb{R}: \qquad f(x) = x^2$$

$$f = \{(x, y) \in \mathbb{R} \times \mathbb{R} | \quad y = x^2\}$$

$$f': \mathbb{R} \to [0, \infty): \qquad f'(x) = x^2$$

$$f' = \{(x, y) \in \mathbb{R} \times [0, \infty) | \quad y = x^2\}$$

Note that  $f \neq f'$ :

range
$$(f) = \mathbb{R}$$
  
image $(f) = \mathbb{R}_{\geq 0}$   
range $(f') = \mathbb{R}_{\geq 0}$   
image $(f') = \mathbb{R}_{> 0}$ 

**Remark 3.2.** This is true due to the fact that for every  $y \in \mathbb{R}_{\geq 0}$  there exists an  $x \in \mathbb{R}$  with  $x^2 = y$  which will be proven later.

**Definition 4.0.4.** A function f is *injective* if

$$\forall a_1, a_2 \in A:$$
  $f(a_1) = f(a_2) \Rightarrow a_1 = a_2$ 

No two elements of A are mapped to the same element of B.

**Definition 4.0.5.** A function f is surjective if

$$image(A) = range(A)$$

For all  $b \in B$  there is some  $a \in A$  that is mapped to b by f.

**Definition 4.0.6.** A function f is *bijective* if it is both injective and surjective.

**Definition 4.0.7.** Let A be a set. Define

$$id_A = \{(a, a) | a \in A\}$$

That means for all  $a \in A$ ,  $id_A(a) = a$ .

**Definition 4.0.8.** Suppose  $f: A \to B$  is a function and  $f: B \to C$  is a function. Then we can form a function:

$$h = g \circ f: \quad A \to C$$
  
 $h(a) = g(f(a))$ 

The function f is invertible if

$$\exists g: B \to A: \qquad g \circ f = \mathrm{id}_A \quad \land \quad f \circ g = \mathrm{id}_B$$

Notation:  $g = f^{-1}(x)$  is called the *inverse* of f.

f is invertible means that if you reverse the arrows of f, then that is a function.

#### **Example 8.1.** • The function

$$g:[0,\infty)\to[0,\infty)$$
  
 $g(x)=x^2$ 

is invertible and the inverse is the function

$$g^{-1}: [0, \infty) \to [0, \infty)$$
$$g^{-1}(y) = \sqrt{y}$$

• Let us think of

$$f': \mathbb{R} \to [0, \infty)$$
  
 $f'(x) = x^2$ 

Look at

$$gf^{-1}: [0, \infty) \to [0, \infty)$$

$$f^{-1}(y) = \sqrt{y}$$

$$f' \circ f^{-1}(y) = (\sqrt{y})^2 = y$$

$$\Rightarrow f' \circ f^{-1} = \mathrm{id}_{[0, \infty)}$$

but

$$f^{-1} \circ f'(x) = \sqrt{x^2} = |x|$$
 
$$\Rightarrow \qquad f^{-1} \circ f' \neq \mathrm{id}_{\mathbb{R}}$$

**Remark 8.1.** We did not prove that f' is not invertible. We just showed that g is not its inverse.

**Proposition 3.** f is invertible iff f is bijective.

 $Proof. \Rightarrow$ 

Suppose that f is invertible. We have to show that f is injective and surjective. That means

$$\exists g: B \to A: \qquad g \circ f = \mathrm{id}_A \tag{1}$$

$$f \circ g = \mathrm{id}_B \tag{2}$$

f is injective:

$$f(a_1) = f(a_2) \quad \stackrel{(1)}{\Rightarrow} \quad g \circ f(a_1) = a_1 = f \circ f(a_2) = a_2$$

f is surjective. By (2) for all  $b \in B$ , b = f(g(b)). So indeed there exists  $a \in A$  such that b = f(a), namely a = g(b).

 $\Leftarrow$ 

Suppose that f is injective and surjective. Take

$$g = \{(b, a) \in B \times A | (a, b) \in f\} \subseteq B \times A$$

f is injective and surjective means precisely that g is a function.

It is obvious that  $g \circ f = id_A$  and  $f \circ g = id_B$ .

**Theorem 4.0.3.** Fermat's little theorem.

If p is prime and  $p \nmid a$  then  $a^{p-1} \equiv 1 \mod p$ .

Proof. Consider the function

$$f: \mathbb{Z}/p\mathbb{Z}^x \to \mathbb{Z}/p\mathbb{Z}^x$$
$$f: x \mapsto ax$$

This is an invertible function. We know that a has a multiplicative inverse  $b \in (\mathbb{Z}/p\mathbb{Z}^x)$ . Take

$$g: \mathbb{Z}/p\mathbb{Z}^x \to \mathbb{Z}/p\mathbb{Z}^x$$
$$g: x \mapsto bx$$

Then  $g = f^{-1}$  because  $ab \equiv ba \equiv 1 \mod p$ .

$$\left\{\overline{a}, \overline{2a}, \dots, \overline{(p-1)a}\right\} = \{1, 2, \dots p-1\}$$

$$\Rightarrow a^{p-1}(p-1)! \equiv (p-1)! \mod p$$

Dividing through by (p-1)! gives us

$$a^{p-1} \equiv 1 \mod p$$

Theorem 4.0.4. Chinese Remainder Theorem

Suppose hcf(n, m) = 1. Then for all a, b the equation

$$\begin{array}{ccc} x & \equiv a \mod n \\ x & \equiv b \mod m \end{array}$$
 (\*)

has a solution  $x \in \mathbb{Z}$ . In fact, x is unique  $\mod mn'$ .

Proof.

$$x = a + pn = b + qm$$

$$\Rightarrow pn - qm = b - a$$

hcf(n, m) = 1 implies that p and q exist.

As for uniqueness  $\mod nm$ , suppose that  $x_0, x_1$  are two solutions of (\*). Then

$$x_0 - x_1 \equiv 0 \mod n$$

$$\equiv 0 \mod m$$

$$\Rightarrow \qquad \qquad n, m | x_0 - x_1 \qquad \qquad (1)$$

$$(1) \land \operatorname{hcf}(n, m) = 1 \Rightarrow \qquad \qquad nm | x_0 - x_1$$

**Proposition 4.** Euler's function  $\varphi$  is multiplicative, that is: If hcf(n,m) = 1 then  $\varphi(nm) = \varphi(n)\varphi(m)$ .

*Proof.* The Chinese remainder theorem says the following: We can define a function f with

$$f: \mathbb{Z}/n\mathbb{Z} \times \mathbb{Z}/m\mathbb{Z} \to \mathbb{Z}/nm\mathbb{Z}$$
  
 $f: (a, b) \mapsto \text{solution of } (*)$ 

and f is invertible. In fact, f is the inverse of:

$$g: \mathbb{Z}/nm\mathbb{Z} \to \mathbb{Z}/n\mathbb{Z} \times \mathbb{Z}/m\mathbb{Z}$$
$$g: [c] \mod mn \mapsto ([c] \mod n, [c] \mod m)$$

This shows that

$$f': (\mathbb{Z}/n\mathbb{Z})^x \times (\mathbb{Z}/m\mathbb{Z})^x \to (\mathbb{Z}/nm\mathbb{Z})^x$$

is invertible. Hence, the cardinality of both sets is the same.

### **Proposition 5.** For all $n \in \mathbb{N}$

$$\varphi(n) = n \prod_{p \text{ prime, } p|n} \left(1 - \frac{1}{p}\right)$$

*Proof.* Suppose that p is prime and that  $k = p^a$ .

$$\varphi(k) = |\{c \in \{1, 2, \dots, p-1\} | p \nmid c\}|$$

$$= p^{a} - p^{a-1}$$
(1)

Therefore, by multiplicativity of  $\varphi$ , if

$$n = p_1^{a_1} p_2^{a_2} \dots p_r^{a_r}$$

then

$$\begin{split} \varphi(n) &= \varphi\left(p_1^{a_1}\right) \varphi\left(p_2^{a_2}\right) \dots \varphi\left(p_r^{a_r}\right) \\ &\stackrel{(1)}{=} \left(p_1^{a_1} p_1^{a_1 - 1}\right) \left(p_2^{a_2} - p_2^{a_2 - 1}\right) \dots \left(p_r^{a_r} - p_r^{a_r - 1}\right) \\ &= p_1^{a_1} p_2^{a_2} \dots p_r^{a_r} \left(1 - \frac{1}{p_1}\right) \left(1 - \frac{1}{p_2}\right) \dots \left(1 - \frac{1}{p_r}\right) \\ &= n \left(1 - \frac{1}{p_1}\right) \left(1 - \frac{1}{p_2}\right) \dots \left(1 - \frac{1}{p_r}\right). \end{split}$$

Example 8.2.

$$\varphi(9) = 9 - 3$$
  
=  $\{c \in \{1, 2, \dots, 9\} | 3 \nmid c\}$ 

Consider the equation  $x^k \equiv a \mod m$  We will discuss 2 cases:

- 1. m = p prime
- 2. m = pq product of two distinct primes

**Proposition 6.** Suppose hcf(a, p - 1) = 1. Then

$$x^k \equiv a \mod p \tag{*}$$

has a unique solution modulo p.

*Proof.* First, there exist  $u, v \in \mathbb{N}$  such that

$$ku - (p-1)v = 1.$$

then  $x = a^u$  is a solution of (\*). Indeed,

$$x^{k} = a^{ku} = a^{1+(p-1)v}$$
$$= a (a^{p-1})^{v}$$
$$\equiv a \mod p.$$

By Fermat's little theorem. Conversely, suppose that  $x^k \equiv a \mod p$ . Then by Fermat's little theorem,

$$x \equiv xx^{(p-1)v} = x^{1+(p-1)v} \mod p$$
$$= x^{ku}$$
$$\equiv a^u \mod p$$

We have shown that  $x = a^u$  is a unique solution of (\*) mod p.

**Proposition 7.** Suppose p and q are distinct primes hcf(a, pq) = 1. Then

$$a^{(p-1)(q-1)} \equiv 1 \mod pq.$$

*Proof.* By Fermat's little theorem

$$a^{(p-1)(q-1)} \equiv 1^{q-1} \equiv 1 \mod p$$
$$a^{(p-1)(q-1)} \equiv 1 \mod q.$$

And

$$x \equiv 1 \mod p$$
$$x \equiv 1 \mod q$$

has a unique solution by the Chinese remainder theorem. Therefore, x=1 is the unique solution modulo pq. Another solution is  $a^{(p-1)(q-1)}$ . So  $a^{(p-1)(q-1)} \equiv 1 \mod pq$ .

Corollary 4.1. Suppose that p, q are distinct primes. Then for all a, for all  $v \in \mathbb{N}$ ,

$$a^{1+v(p-1)(q-1)} \equiv a \mod pq.$$

Proof.

$$a^{1+v(p-1)(q-1)} \equiv a \mod p$$
$$a^{1+v(p-1)(q-1)} \equiv a \mod q$$

Because of Proposition 4.7. If p|a or q|a these statements obviously hold as well. By the uniqueness part of the Chinese remainder theorem

$$a^{1+v(p-1)(q-1)} \equiv a \mod pq.$$

**Proposition 8.** Suppose hcf(k, (p-1)(q-1)) = 1. Then

$$x^k \equiv a \mod pq \tag{*}$$

has a unique solution modulo pq.

*Proof.* There exist  $u, v \in \mathbb{N}$  such that

$$ka - (p-1)(q-1)v = 1.$$

Therefore,  $x = a^u$  is a solution of (\*):

$$x^{u} \equiv a^{vu} \mod pq$$
$$= aa^{(p-1)(q-1)r}$$
$$\equiv a \mod pq$$

By Proposition 4.7. Conversely, suppose  $x^k \equiv a \mod pq$ :

$$x \equiv xx^{(p-1)(q-1)r} \mod pq$$
$$= x^{ku}$$
$$\equiv a^u \mod pq$$

Thus,  $x = a^k$  is the unique solution of (\*) mod pq.

**Example 8.3.** Solve for x:

$$x^{53} \equiv -38 \mod 119$$

We check

$$119 = 7 \cdot 17$$
$$hcf(38, 119) = 1$$
$$hcf(53, 96) = 1.$$

Hence, we can apply Proposition 4.8 to this. To find x we need to find  $n, r \in \mathbb{N}$  such that

$$53u - 96r = 1.$$

Euklid's Algorithm gives us

$$5 \cdot 29 - 96 \cdot 1601$$
.

By Proposition 4.8,  $x = (-38)^{29} \mod 119$ . To calculate this we use the method of successive squares:

$$29 = 16 + 8 + 4 + 1$$

$$(-38)^{2} = 1444 \equiv 16 \mod 119$$

$$\Rightarrow \qquad (-38)^{4} = 16^{2} \equiv 18 \mod 119$$

$$\Rightarrow \qquad (-38)^{8} = 18^{2} \equiv -33 \mod 119$$

$$\Rightarrow \qquad (-38)^{16} = (-33)^{2} \equiv 18 \mod 119$$

Therefore,

$$(-38)^{29} = 18 \cdot (-33) \cdot 18 \cdot (-38) \equiv 30 \mod 119.$$

The unique solution is

$$x \equiv 30 \mod 119$$
.

# 4.1 RSA encryption

A real-life application of simple mathematical ideas is RSA. It is called "public key cryptography". We publish the means to encrypt messages sent to us. Nevertheless, only we have the information that allows us do decrypt the messages.

Here is what we do:

secret	public
We choose two large primes $p$ and $q$ .	N = pq
We choose $e$ such that $hcf e, (p-1(q-1)=1.$	e

A message is an element  $a \in \mathbb{Z}/n\mathbb{Z}$ . If now an arbitrary person, let us call this person Assange, wants to send an encrypted message a to us, he has to compute

$$b = a^e \in \mathbb{Z}/N\mathbb{Z}.$$

He keeps a to himself and sends b. In order to decrypt, we do the following: Find d such that

$$de \equiv 1 \mod (p-1)(q-1).$$

Then  $a \equiv b^d \mod N$ . This works by the Proposition 4.8.

### Example 0.4.

secret	public
$p = 7, \ q = 17$	N = 119
(p-1)(q-1) = 96 and $e = 53$ such that	e = 53
and $e = 53$ such that $hcf(53, 96) = 1$	

Encryption. Assunge wants to send us the message a=30 (don't tell anybody). He sends us the encrypted message:

$$b = 30^{53} \mod 119$$

$$53 = 32 + 16 + 4 + 1$$

$$30^{2} = 900 \equiv 67 \mod 119$$

$$\Rightarrow 30^{4} = 67^{2} = 4489 \equiv -33 \mod 119$$

$$\Rightarrow 30^{8} = (-33)^{2} = 1089 \equiv 18 \mod 119$$

$$\Rightarrow 30^{16} = 18^{2} = 324 \equiv -33 \equiv \mod 119$$

$$\Rightarrow 30^{32} = (-33)^{2} \equiv 18 \mod 119$$

$$\Rightarrow 30^{53} \equiv 30 \cdot (-33) \cdot (-33) \cdot 18 \mod 119$$

$$\equiv -38 \mod 119$$

He sends us the message -38.

Decryption. Find d such that  $53d \equiv 1 \mod 96$ . Solve for  $u, v \in \mathbb{N}$ :

$$53u - 96 = 1$$

To solve this we use Euklid's algorithm:

$$53 \cdot 29 - 96 \cdot 16 = 1.$$

So d = 29. To decrypt we compute

$$(-38)^{29} \equiv 30 \mod 119$$

### 4.2 Basic counting techniques

**Proposition 9.** Let S be a finite set. Define

$$P(S) := \{ a | a \subseteq S \}.$$

Then

$$|P(S)| = 2^{|S|}$$
.

*Proof.* Let A, B be sets. Write

$$A^B = \{ f : B \to A | f \text{ a function} \}$$

If A, B are finite then:

$$|A^B| = |A|^{|B|}$$

Let  $\underline{2} = \{0, 1\}$ . There is a canonical (i.e. naturally defined) bijection:

$$f: P(S) \rightarrow (\underline{2}^S = \{f: S \rightarrow \underline{2}\})$$

This bijection can be defined as follows: Suppose that  $A \in P(S)$ ; that is  $a \subseteq S$ .

$$f: A \mapsto \left(K_A: S \to \{0,1\}: K_A(s) = \begin{cases} 1, & s \in A \\ 0, & s \notin A \end{cases}\right)$$

f is a bijection. In fact, its inverse is

$$|P(S)| = |2^S| = 2^{|S|}$$
.

**Proposition 10.** The number of ways of ordering the numbers  $1, 2, \ldots, n$  is n!.

**Proposition 11.** The number of subsets of order r of  $\{1, 2, ..., n\}$  is

$$\binom{n}{r} = \frac{n!}{r!(n-r)!}.$$

*Proof.* We can order  $1, 2, \ldots, n$  by choosing r elements first, ordering these elements and the others.

$$n! = \binom{n}{r} r! (n-r)!$$

The proposition follows.

#### Theorem 4.2.1.

$$(x+y)^n = \sum_{k=0}^n \binom{n}{k} x^k y^{n-k}$$

Proof. Write

$$(x+y)^n = \underbrace{(x+y)(x+y)\dots(x+y)}_{n \text{ times}}$$

You score the term  $x^{n+r}y^r$  by choosing r ys out of the n brackets.

#### 4.2.1 Partitions and multinomial coefficients

**Definition 4.2.1.** A partition of a set S is a collection of subsets of S:

$$\forall a \in A, S_a \subseteq S: \qquad a \neq b \Rightarrow S_a \cap S_b = \emptyset \qquad \land \qquad S = \bigcup_{a \in A} S_a$$

**Remark 1.1.** A typical example of this is where A is the set of equivalence classes of an equivalence relation. In fact, all examples of partitions arise in this fashion.

**Definition 4.2.2.** An ordered partition is a partition where the sets  $S_a$  are with a chosen order.

#### Example 2.1.

$$S = \{1, 2, 3, 4, 5, 6, 7, 8\}$$
 
$$\{\{1, 2, 3, 4\}, \{5, 6\}, \{7, 8\}\} = \{\{1, 2, 3, 4\}, \{7, 8\}, \{5, 6\}\}$$

but

$$(\{1,2,3,4\},\{5,6\},\{7,8\}) \neq (\{1,2,3,4\},\{7,8\},\{5,6\}).$$

**Proposition 12.** The number of ordered partitions of  $\{1, 2, ..., n\}$  into k subsets  $S_1, S_2, ..., S_k$  of orders  $r_1, r_2, ..., r_k$  is

$$\binom{n}{r_1,r_2,\ldots,r_k} = \frac{n}{r_1!r_2!\ldots,r_k!}$$

where  $r_1 + r_2 + \cdots + r_k = n$ .

*Proof.* Order  $1, 2, \ldots, n$  by choosing  $r_1, \ldots, r_k$  and ordering the first  $r_1$  then  $r_2$  to  $r_k$ . We get:

$$n! = \binom{n}{r_1, r_2, \dots, r_k} r_1! r_2! \dots, r_k!$$

The proposition follows.

Remark 2.1. 
$$\binom{n}{r, n-r} = \binom{n}{r}$$

Theorem 4.2.2. Multinomial theorem

$$(x_1 + x_2 + \dots + x_k)^n = \sum_{r_1, \dots, r_k \ge 0, \ r_1 + \dots + r_n = n} \binom{n}{r_1, \dots, r_n} x_1^{r_1} \dots x_k^{r_k}$$

Proof. Write

$$(x_1 + x_2 + \dots + x_k)^n = \underbrace{(x_1 + x_2 + \dots + x_k) \dots (x_1 + x_2 + \dots + x_k)}_{n \text{ times}}$$

We score the term  $x_1^{r_1} \dots x_k^{r_k}$  by choosing  $r_1$   $x_1$ s,  $r_2$   $x_2$ s up to  $r_k$   $x_k$ s out of the n brackets.

Example 2.2. Find the "constant" coefficient in the expression of

$$\left(x+y+z+\frac{1}{xyz}\right)^n$$

Expand with the multinomial theorem:

$$\sum_{r_1+r_2+r_3+r_4=n} \binom{n}{r_1,r_2,r_3,r_4} \frac{x^{r_1}y^{r_2}z^{r_3}}{(xyz)^r4}$$

The fraction is a constant if

$$r_1 = r_2 = r_3 = r_4 = k \quad \land \quad n = 4k$$

The answer is:

$$c_n = \begin{cases} 0, & 4 \nmid n \\ \binom{4k}{k,k,k,k} = \frac{(4k)!}{(k!)^4}, & n = 4k \end{cases}$$

**Proposition 13.** Inclusion-Exclusion principle

Let  $A_1, A_2, \ldots, A_n$  be finite sets. Then

$$\left| \bigcap_{k=1}^{n} A_{k} \right| = \sum_{1 \leq i_{1} < i_{2} < \dots < i_{r} \leq n} (-1)^{r-1} |A_{i_{1}} \cap \dots \cap A_{i_{r}}|.$$

#### Example 2.3. Let

$$S = \{k \in \mathbb{N} | 0 \le k < 360, \operatorname{hcf}(k, 360) = 1\}$$

On the one hand, we know  $|S| = \varphi(360)$  and we have a formula for this. Hence, we compute |S| as an application of the inclusion-exclusion formula:

$$360 = 2^3 \cdot 3^2 \cdot 5$$

Define

$$\begin{split} \Omega &= \{k \in \mathbb{N} | \ 0 \le k < 360\} \\ A_2 &= \{k \in \Omega | \ 2|k\} \\ A_3 &= \{k \in \Omega | \ 3|k\} \\ A_5 &= \{k \in \Omega | \ 5|k\}. \end{split}$$

Then

$$S = \Omega \backslash (A_2 \cup A_2 \cup A_5)$$
$$|S| = |\Omega| - |A_2 \cup A_3 \cup A_5|$$

If d|360 write

$$A_d = \{k \in \Omega | d|k\}.$$

Then

$$A_{2} \cup A_{3} = A_{6}$$

$$A_{2} \cup A_{5} = A_{10}$$

$$A_{3} \cup A_{3} = A_{15}$$

$$A_{2} \cup A_{3} \cup A_{5} = A_{30}$$

The inclusion-exclusion principle gives us

$$|S| = |\Omega| - |A_2| - |A_3| - |A_5| + |A_6| + |A_{10}| + |A_{15}| - |A_{30}|$$
  
= 360 - 180 - 120 - 72 + 60 + 36 + 24 - 12  
= 96

# 5 Number Systems

We spoke a bout  $\mathbb N$  and  $\mathbb Z$ . From now on we take  $\mathbb N$  and  $\mathbb Z$  for granted. Let us now talk about  $\mathbb Q$ , which is much harder to define than  $\mathbb N$  or  $\mathbb Z$ . The reason for this is that  $\mathbb Q = S/R$  and this leads to equalitie such as:

$$\frac{2}{3} = \frac{6}{9}$$

In fact, the symbol

 $\frac{2}{3}$ 

denotes the equivalence class of a pair (2,3).

What we are aiming for is to take

$$S = \mathbb{Z} \times (\mathbb{Z} \setminus \{0\})$$
  
= \{(a, b) | a \in \mathbb{Z}, b \in \mathbb{Z} \\ \{0\}\}.

We then want to define an equivalence relation R on S such that

$$S/R = \mathbb{Q}$$

and then we can also write

$$[(a,b)] = \frac{a}{b}.$$

Then it will make sense to say

$$\frac{2}{3} = \frac{4}{6} = \frac{6}{9}$$

because this just means  $(2,3) \sim (4,6)$ . The equivalence relation can be defined as

$$(a_1, b_1) \sim (a_2, b_2) \Leftrightarrow a_1b_2 = a_2b_1.$$

Another approach is:

$$(a_1, b_1) \sim (a_1, b_2) \Leftrightarrow \exists u, v \in \mathbb{Z} \setminus \{0\} :$$
  
 $ua_1 = va_2$   
 $ub_1 = vb_2$ 

**Exercise 6.** Show that the two approaches are equivalent.

This is an equivalence relation:

• Evidently,

$$(a,b) \sim (a,b)$$
.

- Suppose  $(a_1, b_1) \sim (a_2, b_2)$ , i.e.  $a_1b_2 = a_2b_1$ . Then also  $a_2, b_1 = a_1b_2$  and  $(a_2, b_2) \sim (a_1, b_1)$ .
- Assume  $(a_1, b_1) \sim (a_2, b_2)$ , i.e.  $a_1b_2 = a_2b_1$  and  $(a_2, b_2) \sim (a_3, b_3)$ , i.e.  $a_2b_3 = a_3b_2$ . Hence

$$a_1b_2b_3 = a_2b_1b_3 = a_3b_2b_1$$
  
 $\Rightarrow$   $(a_1b_3 - a_3b_1)b_2 = 0$   
 $\Rightarrow$   $a_1b_3 = a_3b_1$ 

This means  $(a_1, b_1) \sim (a_3, b_3)$ .

#### Definition 5.0.3.

$$\mathbb{Q} = \{\text{rational numbers}\} = S/\sim$$
$$[(a,b)] = \frac{a}{b}$$

### 5.1 Binary operations on $\mathbb{Q}$

Define operations  $\oplus$  and  $\odot$  on  $S = \mathbb{Z} \times (\mathbb{Z} \setminus \{0\})$ 

$$(a,b) \odot (p,q) = (ap,bq)$$
  
 $(a,b) \oplus (p,q) = (aq + pq,bq)$ 

Proposition 14. Suppose

$$(a_1, b_1) \sim (a_2, b_2)$$
  
  $\wedge (p_1, q_1) \sim (p_2, q_2).$ 

Then

$$(a_1, b_1) \odot (p_1, q_1) \sim (a_2, b_2) \odot (p_2, q_2)$$

$$(a_1, b_1) \oplus (p_1, q_1) \sim (a_2, b_2) \oplus (p_2, q_2)$$

$$(2)$$

**Result 5.1.1.** We can define operations on  $\mathbb{Q}$ :

$$\frac{a}{b} \cdot \frac{p}{q} = \frac{ap}{bq}$$
$$\frac{a}{b} + \frac{p}{q} = \frac{aq + bp}{bq}$$

Proof. (2)

$$(a_1q_1 + b_1p_1, b_1q_1) \sim (a_2q_2 + b_2p_2, b_2q_2)$$
  

$$\Leftrightarrow (a_1q_1 + b_1p_1) \cdot (b_2q_2) \sim (a_2q_2 + b_2p_2) \cdot (b_1q_1)$$
  

$$\Leftrightarrow a_1b_2q_1q_2 + p_1q_2b_1b_2 = a_2b_1q_1q_2 + p_2q_1b_1b_2$$

Due to our assumption  $(a_1, b_1) \sim (a_2, b_2)$  i.e.  $a_1b_2 = a_2b_1$  and  $(p_1q_1) \sim (p_2, q_2)$ , the equation above is true. This shows (2). (1) is left as an exercise.

**Property 0.1.** For all  $a, b, c \in \mathbb{Q}$  the following holds:

1. Commutativity of addition:

$$a+b=b+a$$

2. Commutativity of multiplication:

$$ab = ba$$

3. Associativity of addition:

$$a + (b+c) = (a+b) + c$$

4. Associativity of multiplication:

$$a(bc) = (ab)c$$

5. Distributivity:

$$a(b+c) = ab + ac$$

6. Existence of a neutral element of addition:

$$\exists 0 \in \mathbb{Q}: \ \forall x \in \mathbb{Q} \qquad x + 0 = x$$

7. Existence of an inverse element of addition:

$$\forall x \in \mathbb{Q} \ \exists y \in \mathbb{Q}: \qquad x + y = 0$$

Notation: y = -x and x + (-y) = x - y

8. Existence of a neutral element of multiplication:

$$\exists 1 \in \mathbb{Q}: \ \forall x \in \mathbb{Q} \qquad x \cdot 1 = x$$

9. Existence of an inverse element of multiplication:

$$\forall x \in \mathbb{Q} \ \exists y \in \mathbb{Q} : \qquad xy = 1$$

Notation:  $y = x^{-1}$  and  $x(y^{-1}) = \frac{x}{y}$ .

10.  $\mathbb{Q}$  has binary relations <,> such that precisely one of the following alternatives holds:

$$a = 0$$

11.

$$\begin{array}{ccc} a>0 &\Leftrightarrow & 0>-a\\ a>0 &\Leftrightarrow & b+a>b\\ (a>0 \wedge b>0) &\Leftrightarrow & ab>0 \end{array}$$

12. Archimedean Axiom

$$\forall x \in \mathbb{Q} \ \exists N \in \mathbb{N} : \qquad N > x$$

All the properties hold for  $\mathbb R$  as well and for  $\mathbb C$  properties 1. to 9. hold.

Everything that we know about  $\mathbb{Q}$  follows from these properties.

**Example 0.1.** • Consider the very basic and well known property

$$\forall x \in \mathbb{Q} \qquad 0 \cdot x = 0.$$

Proof. Due to 2, 5, 6, 8 we get

$$1 \cdot x = (0+1) \cdot x$$
$$= 0 \cdot x + 1 \cdot x$$
$$= 0 \cdot x + x.$$

So for all  $x \in \mathbb{Q}$ 

$$x = 0 \cdot x + x$$
.

We add -x to both sides:

$$x + (-x) = 0 \cdot x + x + (-x)$$

$$\Leftrightarrow \qquad 0 = 0 \cdot x + 0$$

$$\Leftrightarrow \qquad 0 = 0 \cdot x.$$

• For all  $x \in \mathbb{Q}$  either x = 0 or  $x^2 > 0$  but not both.

*Proof.* There are 3 cases:

1. x > 0

$$x \cdot x = x^2 > 0$$

 $2. \ x = 0$ 

$$x = 0$$

3. 0 > x

$$-x > x + (-x) = 0$$

We know

$$0 = 0 \cdot x = (1 + (-1)) \cdot x = x + (-1)x$$

So  $(-1) \cdot s$  is an additive inverse but since there is only one additive inverse it has to be equal to -x (the proof of the uniqueness of additive inverses is left as an exercise).

Furthermore,  $(-1) \cdot (-1) = 1$  (the proof of that statement is also up to the reader). This finishes the proof:

$$(-x)^2 = (-1) \cdot (-1) \cdot x \cdot x$$
$$= x \cdot x$$

**Definition** 5.1.1. Let  $a \in \mathbb{R}$ , then the round up of a is the integer

$$[a] = \min\{k \in \mathbb{Z} | a \le k\}.$$

Similarly, the round down of a is

$$[a] = \max\{k \in \mathbb{Z} | a \ge k\}.$$

Exercise 7. Show that the Archimedian axiom and the smallest element axiom ensure that the definitions are valid

**Definition 5.1.2.** We define the fractional part  $\{a\}$  of a as

$$a = [a] + \{a\},$$
  
 $0 < \{a\} < 1$ 

**Definition 5.1.3.** The absolute value |a| of a real number a is defined as

$$|a| = \sqrt{a^2} = \begin{cases} a, & a \ge 0 \\ -a, & a < 0 \end{cases}$$

The completeness axiom for  $\mathbb{R}$ .

**Definition** 5.1.4. Let  $\emptyset \neq S \subseteq \mathbb{R}$ . The largest element of S written  $M = \max(S)$  such that

$$M \in S$$
  $\land$   $\forall a \in S, \quad a \leq M$ 

Example 4.1. •

$$S = [0, 1] \Rightarrow \max(S) = 1$$

•

$$S = [0, 1) \Rightarrow \max(S)$$
 does not exist

It is clear that we want to be looking at x = 1 but unfortunately  $1 \notin S = [0, 1)$ .

**Definition 5.1.5.** Let  $\emptyset \neq S \subseteq \mathbb{R}$ . A real number  $A \in \mathbb{R}$  is an *upper bound* for S if

$$\forall a \in S, \quad a < A$$

We say that S is bounded above is S has an upper bound.

**Remark 5.1.** For S = [0, 1), A = 1 is an upper bound but so is A = 2. The upper bound is not unique.

**Definition 5.1.6.** Let  $\emptyset \neq S \subseteq \mathbb{R}$ . Suppose S is bounded above. The supremum or least upper bound of S is

$$\sup(S) = \min(T),$$

$$T = \{A \in \mathbb{R} | A \text{ is an upper bound} \}$$

**Example 6.1.** Say that we are und  $\mathbb{Q}$  instead.

$$S = \{ r \in \mathbb{Q} | \ r^2 < 2 \}$$

does not have a maximum but neither does it have a supremum in  $\mathbb{Q}$  (because this supremum would be  $\sqrt{2} \notin \mathbb{Q}$ ).

Axiom 6. Completeness Axiom

Let  $\emptyset \neq S \subseteq \mathbb{R}$  be bounded above. Then S has a real supremum.

Similarly, you can define lower bound, bounded below, infimum or highest lower bound.

**Result 5.1.2.** If  $\emptyset \neq S \subseteq \mathbb{R}$  is bounded below, then S has an infimum.

Proof. Define

$$-S = \{-a | a \in S\} \subseteq \mathbb{R}$$

That S is bounded below implies that -S is bounded above and hence

$$\inf S = -\sup(-S)$$

2\*

2†

**Lemma 5.1.** Let  $\emptyset \neq s \subseteq \mathbb{R}$ . Then

$$b = \sup S$$

iff

1. b is an upper bound for S and

2.

$$\forall \epsilon \in \mathbb{R}, \epsilon > 0, \ \exists a \in S : b - \epsilon < a.$$

*Proof.* For all  $0 < \epsilon \in \mathbb{R}$ ,  $b - \epsilon$  is not an upper bound for S.

Obviously  $2^*$  is equivalent to 2.

For all b' < b, b is not an upper bound for S.

Again,  $2\dagger$  is evidently equivalent to 2\* and hence 2.

So it is enough to prove Lemma†, which is:

Let  $\emptyset \neq S \subseteq \mathbb{R}$ . Then

$$b = \sup S$$

iff:

- 1. b is an upper bound for S.
- 2. b' < b implies b' is not an upper bound of S.

Let us first prove the left-right-implication ( $\Rightarrow$ ). We know if  $b = \sup S$ , then B is an upper bound but b is the smallest upper bound. Hence, c is an upper bound implies  $b \le c$ , i.e. c is not an upper bound if c < b.

The right-to-left implication is much similar. If b is an upper bound and there is no upper bound smaller than b, then b is the smallest upper bound.

#### Theorem 5.1.1.

$$\forall y \in \mathbb{R}, y \ge 0, \ \exists_1 x \in \mathbb{R}, x \ge 0: \qquad x^2 = y$$

**Remark 6.1.** We will write  $x = \sqrt{y}$ . With similar ideas you can also construct  $\sqrt[n]{y}$  for natural positive  $n \in \mathbb{N}$ .

Proof. Let

$$L = \{ t \ge 0 | t^2 \le y \}.$$

Because 
$$y \ge 0, 0 \in L$$
. (1)

For 
$$y < 1$$
,  $t > 1$  implies  $t^2 > 1 > y$  and the set is bounded above by 1. (2.1)

For 
$$y \ge 1$$
,  $t > y$  implies  $t^2 > y^2 \ge y$ , t and the set is bounded above by  $y$ . (2.2)

By 
$$(2.1)$$
 and  $(2.2)$ ,  $L$  is bounded above.  $(2)$ 

By (1) and (2), L has a supremum. Let

$$x = \sup\{t \le 0 | t^2 \le y\}. \tag{*}$$

By lemma 5.1, if  $0 \le x' < x$ , then x' is not an upper bound for L. Hence there exists a  $t \in L$  with x' < t. Because of  $t^2 \le y$ , we get  $x'^2 < t^2$ . Writing x' as  $x - \epsilon$  we get

$$\forall \epsilon > 0 \qquad (x - \epsilon)^2 \le y.$$

Note that always

$$x^2 - 2\epsilon x < x^2 - 2\epsilon x + \epsilon^2 = (x - \epsilon)^2 < y.$$

Therefore

$$\forall \epsilon > 0, \qquad x^2 - 2\epsilon x \le y. \tag{3}$$

Assume for contradiction that  $x^2 > y$ . Choose

$$\epsilon = \frac{x^2 - y}{3x} < \frac{x^2 - y}{2x}.$$

This can be rewritten as

$$x^2 - 2x\epsilon > y.$$

This contradicts (3). Hence  $x^2 \leq y$ .

Suppose for contradiction  $x^2 < y$ . Pick

$$\epsilon < \min\left\{\frac{y - x^2}{2x + 1}, 1\right\}. \tag{5}$$

(4)

(6)

Then

$$\begin{aligned} \epsilon < \frac{y-x^2}{2x+1} \\ \Leftrightarrow \\ \epsilon(2x+1) < y-x^2 \\ \Leftrightarrow \\ x^2 + 2\epsilon x + \epsilon < y \end{aligned}$$

Due to (5),  $\epsilon^2 \leq \epsilon$  and thus

$$x^{2} + 2\epsilon x + \epsilon^{2} < y$$

$$(x + \epsilon)^{2} < y$$

But then x is no upper bound for L. This contradicts (\*). Therefore  $x \geq y$ . (5) and (6) implies the theorem.

Similarly, for all  $k \in \mathbb{N}$  there exists one and only one  $b \geq 0, b \in \mathbb{R}$  such that

$$b^k = a$$
.