诺特定理

2017年11月12日

1 有限自由度体系

1.1 一般讨论

设有限自由度体系有广义坐标 $q_{\alpha}(t)$, $\alpha = 1, 2, ..., r$, r 为体系的自由度,下面为了简化讨论将 $q_{\alpha}(t)$ 简记为 q(t),下标 α 很容易还原到最后的结果中. 体系的作用量可以写为

$$S\left[q;t_{1},t_{2}\right]=\int_{t_{1}}^{t_{2}}L\left(q\left(t\right),\dot{q}\left(t\right),t\right)\mathrm{d}t$$

由最小作用量原理: 给定初始时刻 t_1 和终止时刻 t_2 的广义坐标取值 $q(t_1), q(t_2)$,体系从 $q(t_1)$ 运动到 $q(t_2)$ 所经历的真实路径是所有路径之中使得作用量取驻值的,即满足:

$$\frac{\delta S}{\delta q} = \frac{\partial L}{\partial q} - \frac{\mathrm{d}}{\mathrm{d}t} \frac{\partial L}{\partial \dot{q}} = 0$$

这就是体系的运动方程, 称为欧拉-拉格朗日方程.

考虑体系的一个变换

$$t \to t' = f_{\varepsilon}(t)$$

$$q(t) \to q'(t') \quad (q' = F_{\varepsilon}[q])$$
(1.1)

其中 ε 为变换的参数,并且 f_0, F_0 为恒等函数,中括号表示 q(t) 函数本身(而不是函数值)作为自变量.变换后系统的运动规律一般对应一个新的作用量(即在 S 中将 (1.1) 式的逆代入)

$$S'[q'; t'_{1}, t'_{2}] = S[q; t_{1}, t_{2}]$$

$$= \int_{t_{1}}^{t_{2}} L(q[q'](t), \dot{q}[q'](t), t) dt$$

$$= \int_{t'_{1}}^{t'_{2}} L'[q'; t'] dt'$$

上面 S' 与所对应的 S 的值相同,但与 S 的表达式不一定相同. 如果 S' 与 S 的表达式相同,即

$$S'[q'; t'_{1}, t'_{2}] = \int_{t'_{1}}^{t'_{2}} L(q'(t'), \dot{q}'(t), t') dt'$$
$$= S[q'; t'_{1}, t'_{2}]$$

或者等价地说有

$$S[q';t_1',t_2'] = S[q;t_1,t_2]$$
(1.2)

那么称变换 (1.1) 是体系的一种对称性.

设变换 (1.1) 是对称性,接着我们来推导与之对应的守恒律.已知

$$0 = \Delta S = S[q'; t'_{1}, t'_{2}] - S[q; t_{1}, t_{2}]$$

$$= \int_{t'_{1}}^{t'_{2}} L(q'(t'), \dot{q}'(t'), t') dt' - \int_{t_{1}}^{t_{2}} L(q(t), \dot{q}(t), t) dt$$

有两种等效的方法处理上面的等式,第一种方法是将第一项积分中的 t' 直接写成 t,然后两式相减,这时由于积分限的不同会有边界项剩余;第二种方法是使用 (1.1) 式将 t' 代换回 t,这时积分限相同,但换元积分会产生一个 f' 因子. 下面进行具体计算.

第一种方法 考虑无穷小变换 $(\varepsilon \ll 1, 2$ 忽略 ε 的高阶无穷小)

$$t' = t + \delta t$$
$$q'(t) = q(t) + \bar{\delta}q$$

 $(\bar{\delta}$ 表示同一 t 处两函数的差) 有

$$0 = \Delta S = \int_{t_{1}^{'}}^{t_{2}^{'}} L(q'(t), \dot{q}'(t), t) dt - \int_{t_{1}}^{t_{2}} L(q(t), \dot{q}(t), t) dt$$

$$= \int_{t_{1}}^{t_{2}} \left\{ L(q'(t), \dot{q}'(t), t) - L(q(t), \dot{q}(t), t) \right\} dt + L|_{t_{2}} \delta t_{2} - L|_{t_{1}} \delta t_{1}$$

$$= \int_{t_{1}}^{t_{2}} \left\{ \frac{\partial L}{\partial q} \bar{\delta} q + \frac{\partial L}{\partial \dot{q}} \bar{\delta} \dot{q} + \frac{d}{dt} (L \delta t) \right\} dt$$
(1.3)

因为 $\bar{\delta}$ 表示同一 t 处两函数的差,所以 $\bar{\delta}\dot{q} = \frac{\mathrm{d}}{\mathrm{d}t}\bar{\delta}q$,(1.3) 式变成

$$0 = \int_{t_1}^{t_2} \left\{ \frac{\partial L}{\partial q} \bar{\delta}q + \frac{\partial L}{\partial \dot{q}} \frac{\mathrm{d}}{\mathrm{d}t} \bar{\delta}q + \frac{\mathrm{d}}{\mathrm{d}t} (L\delta t) \right\} \mathrm{d}t$$
$$= \int_{t_1}^{t_2} \left\{ \left(\frac{\partial L}{\partial q} - \frac{\mathrm{d}}{\mathrm{d}t} \frac{\partial L}{\partial \dot{q}} \right) \bar{\delta}q + \frac{\mathrm{d}}{\mathrm{d}t} \left(\frac{\partial L}{\partial \dot{q}} \bar{\delta}q + L\delta t \right) \right\} \mathrm{d}t$$

对于真实的运动路径,满足欧拉-拉格朗日方程,上式积分中第一项等于零,又由于上式对任意 t_1,t_2 都成立,所以有

$$\frac{\mathrm{d}}{\mathrm{d}t} \left(\frac{\partial L}{\partial \dot{q}} \bar{\delta} q + L \delta t \right) = 0$$

即得到了一个守恒量

$$\frac{\partial L}{\partial \dot{q}} \frac{\bar{\delta}q}{\varepsilon} + L \frac{\delta t}{\varepsilon} = \text{const. in time}$$

第二种方法 考虑无穷小变换 ($\varepsilon \ll 1$, 忽略 ε 的高阶无穷小)

$$t' = t + \delta t$$
$$q'(t') = q(t) + \delta q$$

于是

$$0 = \Delta S = \int_{t_1}^{t_2} L\left(q'\left(t'\right), \dot{q}'\left(t'\right), t'\right) \left(1 + \frac{\mathrm{d}}{\mathrm{d}t}\delta t\right) \mathrm{d}t - \int_{t_1}^{t_2} L\left(q\left(t\right), \dot{q}\left(t\right), t\right) \mathrm{d}t$$

$$= \int_{t_1}^{t_2} \left\{ L\left(q'\left(t'\right), \dot{q}'\left(t'\right), t'\right) - L\left(q\left(t\right), \dot{q}\left(t\right), t\right) + L\frac{\mathrm{d}}{\mathrm{d}t}\delta t \right\} \mathrm{d}t$$

$$= \int_{t_1}^{t_2} \left\{ \frac{\partial L}{\partial q} \delta q + \frac{\partial L}{\partial \dot{q}} \delta \dot{q} + \frac{\partial L}{\partial t} \delta t + L\frac{\mathrm{d}}{\mathrm{d}t} \delta t \right\} \mathrm{d}t$$

$$(1.4)$$

这里因为 δ 表示两个时刻两函数的差,对任意函数 h(t) 有

$$\delta h(t) = h'(t') - h(t) = h'(t') - h'(t) + h'(t) - h(t)$$
$$= \dot{h}(t) \delta t + \bar{\delta} h \quad (+O(\varepsilon^2))$$

所以 (1.4) 式变成

$$0 = \int_{t_1}^{t_2} \left\{ \frac{\partial L}{\partial q} \left(\bar{\delta}q + \underline{\dot{q}}\underline{\delta t} \right) + \frac{\partial L}{\partial \dot{q}} \left(\bar{\delta}\dot{q} + \underline{\ddot{q}}\underline{\delta t} \right) + \frac{\partial L}{\underline{\partial t}}\underline{\delta t} + L\frac{\mathrm{d}}{\mathrm{d}t}\underline{\delta t} \right\} \mathrm{d}t$$

$$= \int_{t_1}^{t_2} \left\{ \left(\frac{\partial L}{\partial q} \bar{\delta}q + \frac{\partial L}{\partial \dot{q}} \bar{\delta}\dot{q} \right) + \left(\frac{\partial L}{\partial q} \dot{q} + \frac{\partial L}{\partial \dot{q}} \ddot{q} + \frac{\partial L}{\partial t} \right) \underline{\delta t} + L\frac{\mathrm{d}}{\mathrm{d}t}\underline{\delta t} \right\} \mathrm{d}t$$

$$= \int_{t_1}^{t_2} \left\{ \frac{\partial L}{\partial q} \bar{\delta}q + \frac{\partial L}{\partial \dot{q}} \bar{\delta}\dot{q} + \frac{\mathrm{d}}{\mathrm{d}t} \left(L\underline{\delta t} \right) \right\} \mathrm{d}t$$

与 (1.3) 式完全相同, 所以接下来重复第一种方法剩下的步骤, 可得

$$\frac{\mathrm{d}}{\mathrm{d}t} \left(\frac{\partial L}{\partial \dot{q}} \frac{\bar{\delta}q}{\varepsilon} + L \frac{\delta t}{\varepsilon} \right) = 0$$

1.2 短程线与 Killing 矢量场

考虑在相对论情形下,粒子沿短程线的运动,作用量可写为1

$$S[x^{\mu}; \lambda_{1}, \lambda_{2}] = \tau = \int_{\lambda_{1}}^{\lambda_{2}} \sqrt{g_{\mu\nu}(x^{\alpha}) \frac{\mathrm{d}x^{\mu}}{\mathrm{d}\lambda} \frac{\mathrm{d}x^{\nu}}{\mathrm{d}\lambda}} \mathrm{d}\lambda$$
$$= \int_{\lambda_{1}}^{\lambda_{2}} L\left(x^{\mu}, \frac{\mathrm{d}x^{\mu}}{\mathrm{d}\lambda}\right) \mathrm{d}\lambda$$

其中 λ 为参数,注意上式中度规 $g_{\mu\nu}$ 是 x^{μ} 的函数. 现考虑变换

$$x^{\mu}(\lambda) \to x^{\prime \mu}(\lambda) = f_{\varepsilon}^{\mu}(x^{\nu}(\lambda)) \tag{1.5}$$

由 (1.2) 式可知,变换 (1.5) 是一种对称性的条件为

$$S\left[x^{\prime\mu};\lambda_{1},\lambda_{2}\right] = S\left[x^{\mu};\lambda_{1},\lambda_{2}\right]$$

即

$$\int_{1}^{\lambda_2} \sqrt{g_{\mu\nu} (x'^{\alpha})} \frac{\mathrm{d}x'^{\mu}}{\mathrm{d}\lambda} \frac{\mathrm{d}x'^{\nu}}{\mathrm{d}\lambda} \mathrm{d}\lambda = \int_{1}^{\lambda_2} \sqrt{g_{\mu\nu} (x^{\alpha})} \frac{\mathrm{d}x^{\mu}}{\mathrm{d}\lambda} \frac{\mathrm{d}x^{\nu}}{\mathrm{d}\lambda} \mathrm{d}\lambda \tag{1.6}$$

 $^{^{1}}$ 这里使用 +-- 度规,并且这里的 L 与相对论力学中的拉氏量有所区别.

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显然,若

$$\frac{\partial x'^{\mu}}{\partial x^{\rho}} \frac{\partial x'^{\nu}}{\partial x^{\sigma}} g_{\mu\nu} \left(f_{\varepsilon}^{\alpha} \left(x^{\beta} \right) \right) = g_{\rho\sigma} \left(x^{\beta} \right)$$

则 (1.6) 式成立. 上式也可以写成

$$f_{\varepsilon}^{*}\left(g\left(f_{\varepsilon}\left(x\right)\right)\right) = g\left(x\right)$$

其中 f_{ε}^{*} 为拉回(pullback)映射,按照李导数的定义还可以写成

$$\mathfrak{L}_{X}g = \lim_{\varepsilon \to 0} \frac{f_{\varepsilon}^{*}\left(g\left(f_{\varepsilon}\left(x\right)\right)\right) - g\left(x\right)}{\varepsilon} = 0$$
(1.7)

其中 $X^\mu=rac{\mathrm{d} f^\mu}{\mathrm{d} \varepsilon}=rac{ar{\delta} x^\mu}{\varepsilon}$ 为 (1.5) 式的无穷小形式所对应的矢量场. 换句话说,满足 (1.7) 式的无穷小变换是体系的一种对称性,由诺特定理,这种对称性对应体系的一个守恒方程

$$0 = \frac{\mathrm{d}}{\mathrm{d}\lambda} \left(\frac{\partial L}{\partial \left(\frac{\mathrm{d}x^{\rho}}{\mathrm{d}\lambda} \right)} \frac{\bar{\delta}x^{\rho}}{\varepsilon} \right) = \frac{\mathrm{d}}{\mathrm{d}\lambda} \left(\frac{g_{\rho\mu} \frac{\mathrm{d}x^{\mu}}{\mathrm{d}\lambda}}{\sqrt{g_{\mu\nu} \left(x^{\alpha} \right) \frac{\mathrm{d}x^{\mu}}{\mathrm{d}\lambda} \frac{\mathrm{d}x^{\nu}}{\mathrm{d}\lambda}}} X^{\rho} \right)$$
$$= \frac{\mathrm{d}}{\mathrm{d}\lambda} \frac{g_{\rho\mu} X^{\rho} \frac{\mathrm{d}x^{\mu}}{\mathrm{d}\lambda}}{\frac{\mathrm{d}\tau}{\mathrm{d}\lambda}}$$

即有

$$\frac{\mathrm{d}}{\mathrm{d}\tau} \left(X_{\mu} \frac{\mathrm{d}x^{\mu}}{\mathrm{d}\tau} \right) = 0$$

满足 (1.7) 式的矢量场 X^{μ} 称为 Killing 矢量场.

2 场论

2.1 一般讨论

场论中的诺特定理的推导与有限自由度体系是相似的,上述讨论可以很容易地类比到 场论的情形.

考虑 n 维空间中的场 $\varphi(x^{\mu})$,其中 φ 可能包含有多个场以及它们的各个分量, $\mu=0,\ldots,n-1$ 或者 $1,\ldots n$. φ 的运动方程可以从作用量

$$S[\varphi;\Omega] = \int_{\Omega} \mathcal{L}(\varphi, \partial_{\mu}\varphi, x^{\mu}) d^{n}x$$

的具体形式得出. 由最小作用量原理,对于给定的边界条件 $\varphi|_{\partial\Omega}=h(x)$,真实的场 $\varphi_{\rm re}$ 使得作用量取驻值,即满足

$$\frac{\delta S}{\delta \varphi} = \frac{\partial \mathcal{L}}{\partial \varphi} - \partial_{\mu} \left(\frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \varphi)} \right) = 0$$

现在考虑体系的一个变换,在此变换下:

$$x^{\mu} \to x'^{\mu} = f_{\varepsilon}^{\mu} (x^{\nu})$$

$$\varphi (x^{\mu}) \to \varphi' (x'^{\mu}) \quad (\varphi' = F_{\varepsilon} [\varphi])$$
(2.1)

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 $(\varepsilon$ 是变换的参数,且当 $\varepsilon = 0$ 时为恒等变换). 变换 (2.1) 是一种对称性的条件与上一节的 (1.2) 式类似,为

$$S[\varphi'; \Omega'] = S[\varphi; \Omega]$$

设(2.1)是一种对称性,那么有

$$\Delta S = \int_{\Omega'} \mathcal{L}\left(\varphi', \partial'_{\mu}\varphi', x'^{\mu}\right) d^{n}x' - \int_{\Omega} \mathcal{L}\left(\varphi, \partial_{\mu}\varphi, x^{\mu}\right) d^{n}x = 0$$

为了导出与之相应守恒律,依然有两种等效的处理方法:一种是将上式左边的 x' 直接换为 x,然后相减,由于积分区域 Ω' 与 Ω 不一致,会导致多出一个边界项;另一种是将 $x'^{\mu}=f_{\varepsilon}^{\mu}(x^{\nu})$ 代回上式左边,然后再相减,这时积分区域一致,但积分微元在从 $\mathrm{d}^{n}x'$ 变换为 $\mathrm{d}^{n}x$ 时出现一个雅可比行列式,也会多出一项.下面分别按这两种方法进行推导.

第一种方法 考虑无穷小变换 $(\varepsilon \ll 1$, 忽略 ε 的高阶无穷小)

$$x'^{\mu} = x^{\mu} + \delta x^{\mu}$$
$$\varphi'(x^{\mu}) = \varphi(x^{\mu}) + \bar{\delta}\varphi$$

 $(\bar{\delta}$ 表示同一 x^{μ} 处两函数的差), 有²

$$0 = \Delta S = \int_{\Omega'} \mathcal{L}(\varphi', \partial_{\mu}\varphi', x^{\mu}) \, \mathrm{d}^{n}x - \int_{\Omega} \mathcal{L}(\varphi, \partial_{\mu}\varphi, x^{\mu}) \, \mathrm{d}^{n}x$$

$$= \int_{\Omega} \left\{ \mathcal{L}(\varphi', \partial_{\mu}\varphi', x^{\mu}) - \mathcal{L}(\varphi, \partial_{\mu}\varphi, x^{\mu}) \right\} \, \mathrm{d}^{n}x + \int_{\partial\Omega} \mathcal{L}\delta x^{\mu} \, \mathrm{d}s_{\mu}$$

$$= \int_{\Omega} \left\{ \frac{\partial \mathcal{L}}{\partial \varphi} \bar{\delta}\varphi + \frac{\partial \mathcal{L}}{\partial (\partial_{\mu}\varphi)} \bar{\delta}(\partial_{\mu}\varphi) \right\} \, \mathrm{d}^{n}x + \int_{\Omega} \partial_{\mu} (\mathcal{L}\delta x^{\mu}) \, \mathrm{d}^{n}x \quad (\text{斯托克斯定理})$$

$$(2.2)$$

因为 $\bar{\delta}$ 表示同一 x^{μ} 处两函数的差,所以 $\bar{\delta}$ 与 ∂_{μ} 可交换次序. 再考虑到真实场 φ 满足欧拉 一拉格朗日方程,(2.2) 式可化成

$$0 = \int_{\Omega} \left\{ \left(\partial_{\mu} \frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \varphi)} \right) \bar{\delta} \varphi + \frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \varphi)} \partial_{\mu} \left(\bar{\delta} \varphi \right) + \partial_{\mu} \left(\mathcal{L} \delta x^{\mu} \right) \right\} d^{n} x$$

$$= \int_{\Omega} \partial_{\mu} \left(\frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \varphi)} \bar{\delta} \varphi + \mathcal{L} \delta x^{\mu} \right) d^{n} x$$
(2.3)

上式对于任意区域 Ω 都成立. 因此有守恒流方程

$$\partial_{\mu} \left(\frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \varphi)} \bar{\delta} \varphi + \mathcal{L} \delta x^{\mu} \right) = 0$$

可以记

$$j^{\mu} \equiv \frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \varphi)} \frac{\bar{\delta} \varphi}{\varepsilon} + \mathcal{L} \frac{\delta x^{\mu}}{\varepsilon}$$

$$\partial_{\mu} j^{\mu} = 0$$
(2.4)

第二种方法 考虑无穷小变换 ($\varepsilon \ll 1$, 忽略 ε 的高阶无穷小):

$$x'^{\mu} = x^{\mu} + \delta x^{\mu}$$

 $^{^2}$ 这里 $\mathrm{d} s_\mu = (-1)^{\mu-1}\,\mathrm{d} x^1\wedge\cdots\wedge\mathrm{d} x^n\wedge\cdots\wedge\mathrm{d} x^n$ 是边界的微分形式.

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$$\varphi'(x'^{\mu}) = \varphi(x^{\mu}) + \delta\varphi$$

$$d^{n}x' = \left| \frac{\partial x'^{\mu}}{\partial x^{\nu}} \right| d^{n}x = \left| 1 + \frac{\partial \delta x^{\mu}}{\partial x^{\nu}} \right| d^{n}x$$
$$= \left\{ 1 + \operatorname{tr} \left(\frac{\partial \delta x^{\mu}}{\partial x^{\nu}} \right) \right\} d^{n}x$$
$$= \left\{ 1 + \partial_{\mu} \left(\delta x^{\mu} \right) \right\} d^{n}x$$

于是

$$0 = \Delta S = \int_{\Omega} \mathcal{L} \left(\varphi', \partial'_{\mu} \varphi', x'^{\mu} \right) \left\{ 1 + \partial_{\mu} \left(\delta x^{\mu} \right) \right\} d^{n} x - \int_{\Omega} \mathcal{L} \left(\varphi, \partial_{\mu} \varphi, x^{\mu} \right) d^{n} x$$

$$= \int_{\Omega} \left\{ \mathcal{L} \left(\varphi', \partial'_{\mu} \varphi', x'^{\mu} \right) - \mathcal{L} \left(\varphi, \partial_{\mu} \varphi, x^{\mu} \right) \right\} d^{n} x + \int_{\Omega} \mathcal{L} \partial_{\mu} \left(\delta x^{\mu} \right) d^{n} x$$

$$= \int_{\Omega} \left\{ \frac{\partial \mathcal{L}}{\partial \varphi} \delta \varphi + \frac{\partial \mathcal{L}}{\partial \left(\partial_{\mu} \varphi \right)} \delta \left(\partial_{\mu} \varphi \right) + \frac{\partial \mathcal{L}}{\partial x^{\mu}} \delta x^{\mu} + \mathcal{L} \partial_{\mu} \left(\delta x^{\mu} \right) \right\} d^{n} x$$

(这里用 $\frac{\partial \mathcal{L}}{\partial x^{\mu}}$ 表示函数 $\mathcal{L}(\bullet, \bullet, x^{\mu})$ 对 x^{μ} 求导, $\partial_{\mu}\mathcal{L}$ 表示 $\mathcal{L}(\varphi, \partial_{\mu}\varphi, x^{\mu})$ 作为复合函数对 x^{μ} 求导). 由

$$\delta h = h'(x'^{\mu}) - h(x) = h'(x'^{\mu}) - h'(x^{\mu}) + h'(x^{\mu}) - h(x^{\mu})$$
$$= \delta x^{\mu} \partial_{\mu} h(x^{\nu}) + \bar{\delta} h \quad (+O(\varepsilon^{2}))$$

可得

$$0 = \int_{\Omega} \left\{ \frac{\partial \mathcal{L}}{\partial \varphi} \left(\bar{\delta} \varphi + \underline{\delta x^{\mu} \partial_{\mu} \varphi} \right) + \frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \varphi)} \left(\bar{\delta} \left(\partial_{\mu} \varphi \right) + \underline{\delta x^{\nu} \partial_{\nu} \left(\partial_{\mu} \varphi \right)} \right) \right.$$

$$\left. + \frac{\partial \mathcal{L}}{\partial x^{\mu}} \delta x^{\mu} + \mathcal{L} \partial_{\mu} \left(\delta x^{\mu} \right) \right\} d^{n} x$$

$$= \int_{\Omega} \left\{ \frac{\partial \mathcal{L}}{\partial \varphi} \bar{\delta} \varphi + \frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \varphi)} \bar{\delta} \left(\partial_{\mu} \varphi \right) + \left(\underline{\frac{\partial \mathcal{L}}{\partial \varphi} \partial_{\mu} \varphi} + \underline{\frac{\partial \mathcal{L}}{\partial (\partial_{\nu} \varphi)}} \partial_{\mu} \left(\partial_{\nu} \varphi \right) \right.$$

$$\left. + \frac{\partial \mathcal{L}}{\underline{\partial x^{\mu}}} \right) \delta x^{\mu} + \mathcal{L} \partial_{\mu} \left(\delta x^{\mu} \right) \right\} d^{n} x$$

$$= \int_{\Omega} \left\{ \frac{\partial \mathcal{L}}{\partial \varphi} \bar{\delta} \varphi + \frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \varphi)} \bar{\delta} \left(\partial_{\mu} \varphi \right) + \underline{\partial_{\mu} \mathcal{L}} \delta x^{\mu} + \mathcal{L} \partial_{\mu} \left(\delta x^{\mu} \right) \right\} d^{n} x$$

$$= \int_{\Omega} \left\{ \frac{\partial \mathcal{L}}{\partial \varphi} \bar{\delta} \varphi + \frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \varphi)} \bar{\delta} \left(\partial_{\mu} \varphi \right) + \partial_{\mu} \left(\mathcal{L} \delta x^{\mu} \right) \right\} d^{n} x$$

与 (2.2) 式相同. 因此与之前一样可以得到守恒流方程

$$\partial_{\mu} \left(\frac{\partial \mathcal{L}}{\partial (\partial_{\mu} \varphi)} \frac{\bar{\delta} \varphi}{\varepsilon} + \mathcal{L} \frac{\delta x^{\mu}}{\varepsilon} \right) = 0$$

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2.2.1 推广

从上述推导过程可以看出,要想得到守恒流方程,只需 $\Delta S=0$ 在 $\varphi=\varphi_{\rm re}$ 处成立即可,将这样的变换称为在壳(on-shell)对称性;而对于任意的 φ 都有 $\Delta S=0$ 的变换称为离壳(off-shell)对称性.除此以外,对称性的概念还可以进一步推广,变换只需满足

$$\Delta S = \int_{\partial \Omega} \varepsilon f^{\mu} (\varphi, \partial \varphi, \partial \partial \varphi, \dots) \, \mathrm{d}s_{\mu}$$
$$= \int_{\Omega} \varepsilon \partial_{\mu} f^{\mu} (\varphi, \partial \varphi, \partial \partial \varphi, \dots) \, \mathrm{d}^{n} x$$

即可称为一种对称性. 此时只需修改 (2.3) 式:

$$\int_{\Omega} \varepsilon \partial_{\mu} f^{\mu} \left(\varphi, \partial \varphi, \partial \partial \varphi, \ldots \right) d^{n} x = \int_{\Omega} \partial_{\mu} \left(\frac{\partial \mathcal{L}}{\partial \left(\partial_{\mu} \varphi \right)} \bar{\delta} \varphi + \mathcal{L} \delta x^{\mu} \right) d^{n} x$$

得到守恒流方程

$$\partial_{\mu} \left(\frac{\partial \mathcal{L}}{\partial \left(\partial_{\mu} \varphi \right)} \frac{\bar{\delta} \varphi}{\varepsilon} + \mathcal{L} \frac{\delta x^{\mu}}{\varepsilon} - f^{\mu} \right) = 0$$

2.2.2 李导数记法

通常,对于所研究的场 φ 我们都认为:在 (2.1)式的坐标变换

$$x^{\mu} \rightarrow x^{\prime \mu} = f_{\epsilon}^{\mu} (x^{\nu})$$

下, φ 同时会有一个伴随的变换,例如矢量场在转动变换下除了场在空间中移动外每一点处场矢量的箭头指向也会跟随着转动。我们将这种伴随的变换记为

$$\varphi\left(x^{\mu}\right) \to \varphi'\left(x'^{\mu}\right) = f_{\varepsilon*}\left(\varphi\left(f_{\varepsilon}^{\nu-1}\left(x'^{\mu}\right)\right)\right)$$
 (2.5)

 $f_{\varepsilon*}$ 称为推前(pushforward)映射.考虑 $\varepsilon \ll 1$ 的无穷小变换,由坐标变换的伴随变换导致的场的变化为

$$\bar{\delta}_{c}\varphi = f_{\varepsilon*}\left(\varphi\left(f_{\varepsilon}^{\nu-1}\left(x^{\mu}\right)\right)\right) - \varphi\left(x^{\mu}\right) = -\varepsilon\left(\mathfrak{L}_{X}\varphi\right)\left(x^{\mu}\right)$$

其中 $\mathfrak{L}_{X}\varphi$ 为场的李导数, $X^{\mu}=\frac{1}{\varepsilon}\delta_{\mathbf{c}}x^{\mu}$ 为无穷小坐标变换对应的矢量场. 如果<u>除</u>坐标变换引起的场的伴随变换以外,还有内禀变换

$$\varphi(x^{\mu}) \to \varphi'(x^{\mu}) = F_{\varepsilon}(\varphi(x^{\mu}))$$
 (2.6)

$$\begin{split} \delta_{\mathbf{i}}x^{\mu} &= 0 \\ \bar{\delta}_{\mathbf{i}}\varphi &= \delta_{\mathbf{i}}\varphi = \varphi'\left(x^{\mu}\right) - \varphi\left(x^{\mu}\right) \\ &= \varepsilon \left.\frac{\mathrm{d}}{\mathrm{d}\varepsilon}\right|_{\varepsilon=0} F_{\varepsilon}\left(\varphi\right) \equiv \varepsilon\Phi \end{split}$$

2 场论

那么对 (2.5)(2.6) 两变换的复合, 有

$$\bar{\delta}\varphi = \bar{\delta}_{c}\varphi + \bar{\delta}_{i}\varphi = -\varepsilon \mathfrak{L}_{X}\varphi + \varepsilon \Phi$$

若变换是对称性,这时诺特流 (2.4) 可写成

$$\begin{split} j^{\mu} &= \frac{\partial \mathcal{L}}{\partial \left(\partial_{\mu}\varphi\right)} \frac{\bar{\delta}\varphi}{\varepsilon} + \mathcal{L} \frac{\delta x^{\mu}}{\varepsilon} \\ &= \frac{\partial \mathcal{L}}{\partial \left(\partial_{\mu}\varphi\right)} \left(-\mathfrak{L}_{X}\varphi + \Phi\right) + \mathcal{L} X^{\mu} \\ &= \left(-\frac{\partial \mathcal{L}}{\partial \left(\partial_{\mu}\varphi\right)} \mathfrak{L}_{X}\varphi + \mathcal{L} X^{\mu}\right) + \frac{\partial \mathcal{L}}{\partial \left(\partial_{\mu}\varphi\right)} \Phi \end{split}$$

上式中的第一项对应坐标变换对称性,第二项对应场的内禀对称性.