

**Problem set 2 due at 05/04/2020****Total points = 760 pts****1. Kronig-Penny model (360%)**

Assume free electron ( $m^* = m_0 = 9.11 \times 10^{-31}$  kg), please plot two figures in slide #113 in lecture note for the following cases:

- (a) (60%)  $a = 10$  nm,  $b = 1$  nm, and  $V_0 = 1$  eV
- (b) (60%)  $a = 1$  nm,  $b = 10$  nm, and  $V_0 = 1$  eV
- (c) (60%)  $a = 10$  nm,  $b = 1$  nm, and  $V_0 = 0.5$  eV
- (d) (60%)  $a = 10$  nm,  $b = 1$  nm, and  $V_0 = 0.1$  eV
- (e) (120%) please pick random numbers of  $a$ ,  $b$ , and  $V_0$  to make your plots of band structures with clear bands and band gaps.

**2. [Nearly Free Electron Approximation] (160%)**

Consider a one-dimensional solid whose “empty lattice”  $E(n, k)$  is shown in Fig.

1. We are interested in the evolution of the electronic states in this solid as a weak periodic potential is introduced. Use appropriate perturbation theory (1<sup>st</sup> and 2<sup>nd</sup> order) to answer the following questions. Let  $\psi(n, k)$ ,  $E(n, k)$ , and  $v(n, k)$  represent the wavefunction, energy, and group velocity of electrons occupying a state  $(n, k)$ , where  $n$  is index and  $k$  is wave vector in 1<sup>st</sup> B.Z. Consider 6 specific  $k$ -values as indicated in Fig. 1, where  $k_1 = 0$ ,  $k_2 = \frac{\pi}{10a}$ ,  $k_3 = \frac{\pi}{3a}$ ,

$$k_4 = \frac{\pi}{2a}, \quad k_5 = \frac{9\pi}{10a}, \quad \text{and} \quad k_6 = \frac{\pi}{a}$$

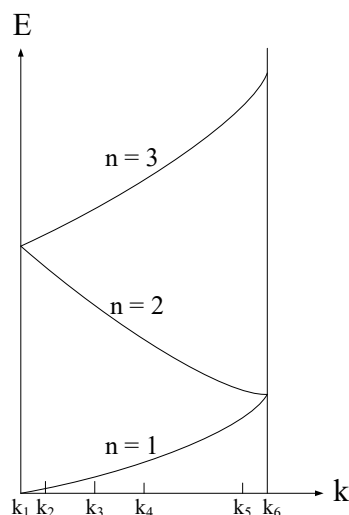


Fig. 1 E-k relation

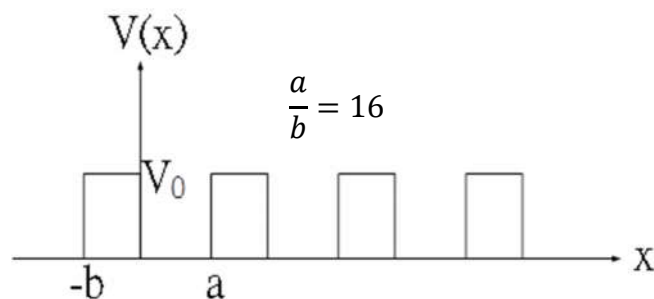


Fig. 2 Kronig-Penney model

- (a) (40%) Write down expression for  $\psi(n, k)$ ,  $E(n, k)$ , and  $v(n, k)$  for the states  $(n = 1, k_5)$ ,  $(n = 2, k_6)$ ,  $(n = 3, k_6)$ , assuming no periodic

potential is present (empty lattice or free electron)

- (b) (40%) Assuming a periodic potential of the form  $U(x) = V_0 \cos\left(\frac{2\pi}{a}x\right)$ , where  $V_0 = \frac{\hbar^2}{2m} \frac{1}{10} \left(\frac{\pi}{a}\right)^2$  is now introduced. How much does this potential change  $E(n=1, k_5)$ ,  $E(n=1, k_6)$ ,  $E(n=3, k_6)$ ,  $v(n=1, k_6)$ ,  $v(n=2, k_5)$ ? (Give the percentage change)
- (c) (40%) For the potential of part (b), write the approximate expressions for  $\psi(n=1, k_5)$  and  $\psi(n=2, k_5)$ .
- (d) (40%) Suppose that the periodic potential is that of the Kronig-Penney model as shown in Fig. 2. What are the percentage change in  $E(n=1, k_6)$ ,  $E(n=2, k_1)$ ,  $E(n=3, k_4)$ ,  $E(n=3, k_6)$  with respect to the empty lattice energies. Assume  $V_0 = \frac{\hbar^2}{2m} \frac{1}{10} \left(\frac{\pi}{a}\right)^2$ .

### 3. Low-dimensional density of states (120%)

- (a) (40%) Assume in two-dimension crystal of square of length  $L$  and under the periodic boundary condition, at  $T=0$ , the Fermi wave vector is  $k_F$ , please find
- (10%) electron density
  - (10%) energy density
  - (10%) average energy
  - (10%) density of state
- (b) (40%) repeat (a) for 1D crystal (200%)
- (c) (40%) Now  $T > 0$ , please compare  $E_F(T > 0)$  and  $E_F(T = 0)$  for 2D and 1D crystal (100%)

### 4. Carrier density (120%)

- (a) (40%) If n-type doping level is  $10^{15} \text{ cm}^{-3}$ , please draw electron density vs. temperature (50 K to 300 K).
- (b) (80%) Replot the following two figures.

