

# Parametrized Complexity and Graph Minor Theory

Pierre Aboulker - pierreaboulker@gmail.com

# Programm of the day

- Definitions of parametrized complexity (FPT, XP, W[1])
- Branching method
  - ▶ VERTEX COVER in time  $O(1.46^k n^{O(1)})$
  - ▶ Branching vector
  - ▶ FEEDBACK VERTEX SET in time  $(3k)^k \cdot n^{O(1)}$
- Kernelization
  - ▶  $k$ -VERTEX COVER has a  $k^2 + k$  kernel
  - ▶ VERTEX COVER has a  $2k$  kernel (Linear Programming)
- Color Coding
  - ▶ LONGEST PATH in time  $2^k n^{O(1)}$

# Parametrized Complexity and FPT Algorithms

Slides are inspired by a course of Daniel Marx, and another course of Marcin Pilipczuk.

# Classical Complexity

A brief review:

- We usually aim for **polynomial-time** algorithms: the worst-case running time is  $O(n^c)$ , where  $n$  is the input size and  $c$  is a constant.

# Classical Complexity

A brief review:

- We usually aim for **polynomial-time** algorithms: the worst-case running time is  $O(n^c)$ , where  $n$  is the input size and  $c$  is a constant.
- Classical polynomial-time algorithms: shortest path, perfect matching, minimum spanning tree, maximum flow, 2-SAT etc

# Classical Complexity

A brief review:

- We usually aim for **polynomial-time** algorithms: the worst-case running time is  $O(n^c)$ , where  $n$  is the input size and  $c$  is a constant.
- Classical polynomial-time algorithms: shortest path, perfect matching, minimum spanning tree, maximum flow, 2-SAT etc
- It is unlikely that polynomial-time algorithms exist for **NP-hard** problems.

# Classical Complexity

A brief review:

- We usually aim for **polynomial-time** algorithms: the worst-case running time is  $O(n^c)$ , where  $n$  is the input size and  $c$  is a constant.
- Classical polynomial-time algorithms: shortest path, perfect matching, minimum spanning tree, maximum flow, 2-SAT etc
- It is unlikely that polynomial-time algorithms exist for **NP-hard** problems.
- Unfortunately, many problems of interest are NP-hard: Hamiltonian Cycle, 3-Coloring, 3-SAT, etc.

# Classical Complexity

A brief review:

- We usually aim for **polynomial-time** algorithms: the worst-case running time is  $O(n^c)$ , where  $n$  is the input size and  $c$  is a constant.
- Classical polynomial-time algorithms: shortest path, perfect matching, minimum spanning tree, maximum flow, 2-SAT etc
- It is unlikely that polynomial-time algorithms exist for **NP-hard** problems.
- Unfortunately, many problems of interest are NP-hard: Hamiltonian Cycle, 3-Coloring, 3-SAT, etc.
- We expect that these problems can be solved only in exponential time (i.e.,  $O(c^n)$ ).

# Classical Complexity

A brief review:

- We usually aim for **polynomial-time** algorithms: the worst-case running time is  $O(n^c)$ , where  $n$  is the input size and  $c$  is a constant.
- Classical polynomial-time algorithms: shortest path, perfect matching, minimum spanning tree, maximum flow, 2-SAT etc
- It is unlikely that polynomial-time algorithms exist for **NP-hard** problems.
- Unfortunately, many problems of interest are NP-hard: Hamiltonian Cycle, 3-Coloring, 3-SAT, etc.
- We expect that these problems can be solved only in exponential time (i.e.,  $O(c^n)$ ).

# Classical Complexity

A brief review:

- We usually aim for **polynomial-time** algorithms: the worst-case running time is  $O(n^c)$ , where  $n$  is the input size and  $c$  is a constant.
- Classical polynomial-time algorithms: shortest path, perfect matching, minimum spanning tree, maximum flow, 2-SAT etc
- It is unlikely that polynomial-time algorithms exist for **NP-hard** problems.
- Unfortunately, many problems of interest are NP-hard: Hamiltonian Cycle, 3-Coloring, 3-SAT, etc.
- We expect that these problems can be solved only in exponential time (i.e.,  $O(c^n)$ ).

**Can we say anything nontrivial about NP-hard problems?**

# What can you do in front of a hard problem

If a problem is NP-hard, then there is no algorithm that solves

- all instances
- optimally
- in poly-time

# What can you do in front of a hard problem

If a problem is NP-hard, then there is no algorithm that solves

- all instances
- optimally
- in poly-time

But why is a problem hard to solve?

It is certainly easy to solve on some easy instances.

But how to capture the notion of **easy instances**?

Maybe some parameter of the input play an important role, and if this parameter is small we can solve the problem efficiently.

# How to cheat in front of a hard problem?

The **size** of the input is **never** the **only** thing that affects the running time of an algorithm.

**Main idea:** measure the complexity in term of the input size **and something else**.

**Formally:** Instead of expressing the running time by a function  $T(n)$  of the input size  $n$ , express it by a function  $T(n, k)$  of the input size  $n$  and of a parameter  $k$  of the input.

# Parametrized complexity

**Problem:**

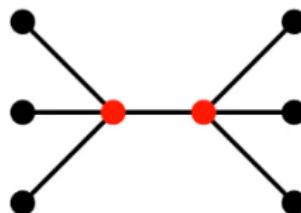
VERTEX COVER

**Input:**

Graph  $G$ , integer  $k$

**Question:**

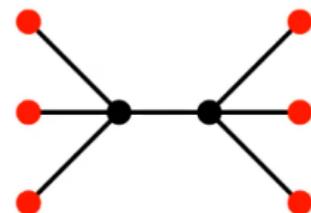
Is it possible to cover  
the edges with  $k$  vertices?



INDEPENDENT SET

Graph  $G$ , integer  $k$

Is it possible to find  
 $k$  independent vertices?



**Complexity:**

NP-complete

**Brute force:**

$O(n^k)$  possibilities

NP-complete

$O(n^k)$  possibilities

# Parametrized complexity

### Problem:

## VERTEX COVER

## Input:

Graph  $G$ , integer  $k$

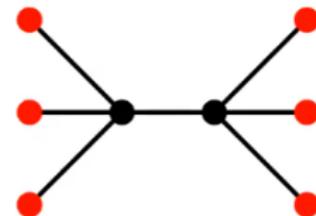
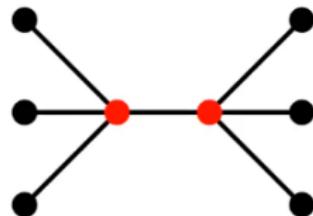
## Question:

Is it possible to cover  
the edges with  $k$  vertices?

## INDEPENDENT SET

### Graph $G$ , integer $k$

Is it possible to find  
 $k$  independent vertices?



## Complexity:

## NP-complete

## Brute force:

NP-complete  
 $O(n^k)$  possibilities

$O(2^k n^2)$  algorithm  
exists 😊

## NP-complete

$O(n^k)$  possibilities

No  $n^{o(k)}$  algorithm known 😞

# Parametrized complexity, definitions

- A **parametrized algorithmic** problem is a problem where a certain parameter  $k$  is given in addition to the **input** (of size  $n$ ).
- The complexity is studied as a function of  $n$  and  $k$ .
- $k$  can be the **size of the solution**, or an implicit parameter of the input graph (diameter, maximum degree, **treewidth**...).

# Parametrized complexity, definitions

- A **parametrized algorithmic** problem is a problem where a certain parameter  $k$  is given in addition to the **input** (of size  $n$ ).
- The complexity is studied as a function of  $n$  and  $k$ .
- $k$  can be the **size of the solution**, or an implicit parameter of the input graph (diameter, maximum degree, **treewidth**...).

There are roughly three possibilities for the complexity of a parametrized algorithmic problem.

# Parametrized complexity, definitions

- A **parametrized algorithmic** problem is a problem where a certain parameter  $k$  is given in addition to the **input** (of size  $n$ ).
- The complexity is studied as a function of  $n$  and  $k$ .
- $k$  can be the **size of the solution**, or an implicit parameter of the input graph (diameter, maximum degree, **treewidth**...).

There are roughly three possibilities for the complexity of a parametrized algorithmic problem.

- Either the problem is already hard for fixed  $k$ .

**Example:** decide if  $\chi(G) \leq k$  is NP-hard for  $k = 3$ . (Brute force gives  $k^n$ )

# Parametrized complexity, definitions

- A **parametrized algorithmic** problem is a problem where a certain parameter  $k$  is given in addition to the **input** (of size  $n$ ).
- The complexity is studied as a function of  $n$  and  $k$ .
- $k$  can be the **size of the solution**, or an implicit parameter of the input graph (diameter, maximum degree, **treewidth**...).

There are roughly three possibilities for the complexity of a parametrized algorithmic problem.

- Either the problem is already hard for fixed  $k$ .  
**Example:** decide if  $\chi(G) \leq k$  is NP-hard for  $k = 3$ . (Brute force gives  $k^n$ )
- Or the problem is NP-hard for  $k$  in the input but polynomial for  $k$  fixed.  
**Example:** Decide if  $\alpha(G) \leq k$  with parameter  $k$  by exhaustive search needs :  $O(n^k)$  (we say it is **XP**).

# Parametrized complexity, definitions

- A **parametrized algorithmic** problem is a problem where a certain parameter  $k$  is given in addition to the **input** (of size  $n$ ).
- The complexity is studied as a function of  $n$  and  $k$ .
- $k$  can be the **size of the solution**, or an implicit parameter of the input graph (diameter, maximum degree, **treewidth**...).

There are roughly three possibilities for the complexity of a parametrized algorithmic problem.

- Either the problem is already hard for fixed  $k$ .  
**Example:** decide if  $\chi(G) \leq k$  is NP-hard for  $k = 3$ . (Brute force gives  $k^n$ )
- Or the problem is NP-hard for  $k$  in the input but polynomial for  $k$  fixed.  
**Example:** Decide if  $\alpha(G) \leq k$  with parameter  $k$  by exhaustive search needs :  $O(n^k)$  (we say it is **XP**).
- Or it is **Fixed Parameter Tractable (FPT)** for  $k$ : Algorithm in time  $O(f(k) \cdot n^{O(1)})$

# Formal definition

- We consider only **decision problem**.

## Formal definition

- We consider only **decision problem**.
- Let  $\Sigma$  be a finite alphabet used to encode the input.

# Formal definition

- We consider only **decision problem**.
- Let  $\Sigma$  be a finite alphabet used to encode the input.
  - ▶  $\Sigma = \{0, 1\}$  for binary encoding.

# Formal definition

- We consider only **decision problem**.
- Let  $\Sigma$  be a finite alphabet used to encode the input.
  - ▶  $\Sigma = \{0, 1\}$  for binary encoding.
- A **parametrized problem** is a set  $\mathcal{P} \subseteq \Sigma^* \times \mathbb{N}$ .

# Formal definition

- We consider only **decision problem**.
- Let  $\Sigma$  be a finite alphabet used to encode the input.
  - ▶  $\Sigma = \{0, 1\}$  for binary encoding.
- A **parametrized problem** is a set  $\mathcal{P} \subseteq \Sigma^* \times \mathbb{N}$ .
  - ▶  $= \{(x_1, k_1), (x_2, k_2), \dots\}$ .

# Formal definition

- We consider only **decision problem**.
- Let  $\Sigma$  be a finite alphabet used to encode the input.
  - ▶  $\Sigma = \{0, 1\}$  for binary encoding.
- A **parametrized problem** is a set  $\mathcal{P} \subseteq \Sigma^* \times \mathbb{N}$ .
  - ▶  $= \{(x_1, k_1), (x_2, k_2), \dots\}$ .
  - ▶ The set  $P$  contains the couples  $(x, k)$  for which the answer to the question encoded by  $(x, k)$  is **YES**;  $k$  is the parameter.

# Formal definition

- We consider only **decision problem**.
- Let  $\Sigma$  be a finite alphabet used to encode the input.
  - ▶  $\Sigma = \{0, 1\}$  for binary encoding.
- A **parametrized problem** is a set  $\mathcal{P} \subseteq \Sigma^* \times \mathbb{N}$ .
  - ▶  $= \{(x_1, k_1), (x_2, k_2), \dots\}$ .
  - ▶ The set  $P$  contains the couples  $(x, k)$  for which the answer to the question encoded by  $(x, k)$  is **YES**;  $k$  is the parameter.
- A parametrized problem  $\mathcal{P}$  is **Fixed-Parameter Tractable** if there is an algorithm that, given an input  $(x, k)$

# Formal definition

- We consider only **decision problem**.
- Let  $\Sigma$  be a finite alphabet used to encode the input.
  - ▶  $\Sigma = \{0, 1\}$  for binary encoding.
- A **parametrized problem** is a set  $\mathcal{P} \subseteq \Sigma^* \times \mathbb{N}$ .
  - ▶  $= \{(x_1, k_1), (x_2, k_2), \dots\}$ .
  - ▶ The set  $P$  contains the couples  $(x, k)$  for which the answer to the question encoded by  $(x, k)$  is **YES**;  $k$  is the parameter.
- A parametrized problem  $\mathcal{P}$  is **Fixed-Parameter Tractable** if there is an algorithm that, given an input  $(x, k)$ 
  - ▶ Decide if  $(x, k)$  belongs to  $\mathcal{P}$  or not, and

# Formal definition

- We consider only **decision problem**.
- Let  $\Sigma$  be a finite alphabet used to encode the input.
  - ▶  $\Sigma = \{0, 1\}$  for binary encoding.
- A **parametrized problem** is a set  $\mathcal{P} \subseteq \Sigma^* \times \mathbb{N}$ .
  - ▶  $= \{(x_1, k_1), (x_2, k_2), \dots\}$ .
  - ▶ The set  $P$  contains the couples  $(x, k)$  for which the answer to the question encoded by  $(x, k)$  is **YES**;  $k$  is the parameter.
- A parametrized problem  $\mathcal{P}$  is **Fixed-Parameter Tractable** if there is an algorithm that, given an input  $(x, k)$ 
  - ▶ Decide if  $(x, k)$  belongs to  $\mathcal{P}$  or not, and
  - ▶ run in time  $f(k)n^c$  for some computable function  $f$  and a constant  $c$ .

# Formal definition

- We consider only **decision problem**.
- Let  $\Sigma$  be a finite alphabet used to encode the input.
  - ▶  $\Sigma = \{0, 1\}$  for binary encoding.
- A **parametrized problem** is a set  $\mathcal{P} \subseteq \Sigma^* \times \mathbb{N}$ .
  - ▶  $= \{(x_1, k_1), (x_2, k_2), \dots\}$ .
  - ▶ The set  $P$  contains the couples  $(x, k)$  for which the answer to the question encoded by  $(x, k)$  is **YES**;  $k$  is the parameter.
- A parametrized problem  $\mathcal{P}$  is **Fixed-Parameter Tractable** if there is an algorithm that, given an input  $(x, k)$ 
  - ▶ Decide if  $(x, k)$  belongs to  $\mathcal{P}$  or not, and
  - ▶ run in time  $f(k)n^c$  for some computable function  $f$  and a constant  $c$ .
- A parametrized problem  $P$  is **XP** if there is an algorithm that, given an input  $(x, k)$

# Formal definition

- We consider only **decision problem**.
- Let  $\Sigma$  be a finite alphabet used to encode the input.
  - ▶  $\Sigma = \{0, 1\}$  for binary encoding.
- A **parametrized problem** is a set  $\mathcal{P} \subseteq \Sigma^* \times \mathbb{N}$ .
  - ▶  $= \{(x_1, k_1), (x_2, k_2), \dots\}$ .
  - ▶ The set  $P$  contains the couples  $(x, k)$  for which the answer to the question encoded by  $(x, k)$  is **YES**;  $k$  is the parameter.
- A parametrized problem  $\mathcal{P}$  is **Fixed-Parameter Tractable** if there is an algorithm that, given an input  $(x, k)$ 
  - ▶ Decide if  $(x, k)$  belongs to  $\mathcal{P}$  or not, and
  - ▶ run in time  $f(k)n^c$  for some computable function  $f$  and a constant  $c$ .
- A parametrized problem  $P$  is **XP** if there is an algorithm that, given an input  $(x, k)$ 
  - ▶ Decide if  $(x, k)$  belongs to  $\mathcal{P}$  or not, and

# Formal definition

- We consider only **decision problem**.
- Let  $\Sigma$  be a finite alphabet used to encode the input.
  - ▶  $\Sigma = \{0, 1\}$  for binary encoding.
- A **parametrized problem** is a set  $\mathcal{P} \subseteq \Sigma^* \times \mathbb{N}$ .
  - ▶  $= \{(x_1, k_1), (x_2, k_2), \dots\}$ .
  - ▶ The set  $P$  contains the couples  $(x, k)$  for which the answer to the question encoded by  $(x, k)$  is **YES**;  $k$  is the parameter.
- A parametrized problem  $\mathcal{P}$  is **Fixed-Parameter Tractable** if there is an algorithm that, given an input  $(x, k)$ 
  - ▶ Decide if  $(x, k)$  belongs to  $\mathcal{P}$  or not, and
  - ▶ run in time  $f(k)n^c$  for some computable function  $f$  and a constant  $c$ .
- A parametrized problem  $P$  is **XP** if there is an algorithm that, given an input  $(x, k)$ 
  - ▶ Decide if  $(x, k)$  belongs to  $\mathcal{P}$  or not, and
  - ▶ run in time  $n^{f(k)}$  for some computable function  $f$  and a constant  $c$ .

# Formal definition

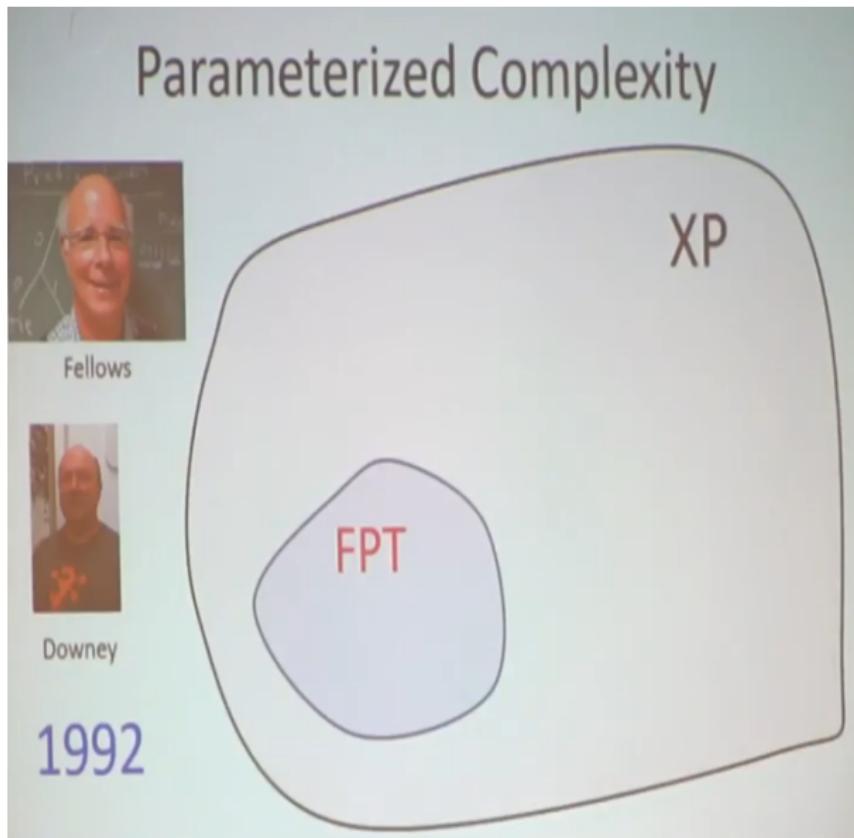
- We consider only **decision problem**.
- Let  $\Sigma$  be a finite alphabet used to encode the input.
  - ▶  $\Sigma = \{0, 1\}$  for binary encoding.
- A **parametrized problem** is a set  $\mathcal{P} \subseteq \Sigma^* \times \mathbb{N}$ .
  - ▶  $= \{(x_1, k_1), (x_2, k_2), \dots\}$ .
  - ▶ The set  $P$  contains the couples  $(x, k)$  for which the answer to the question encoded by  $(x, k)$  is **YES**;  $k$  is the parameter.
- A parametrized problem  $\mathcal{P}$  is **Fixed-Parameter Tractable** if there is an algorithm that, given an input  $(x, k)$ 
  - ▶ Decide if  $(x, k)$  belongs to  $\mathcal{P}$  or not, and
  - ▶ run in time  $f(k)n^c$  for some computable function  $f$  and a constant  $c$ .
- A parametrized problem  $P$  is **XP** if there is an algorithm that, given an input  $(x, k)$ 
  - ▶ Decide if  $(x, k)$  belongs to  $\mathcal{P}$  or not, and
  - ▶ run in time  $n^{f(k)}$  for some computable function  $f$  and a constant  $c$ .

# Formal definition

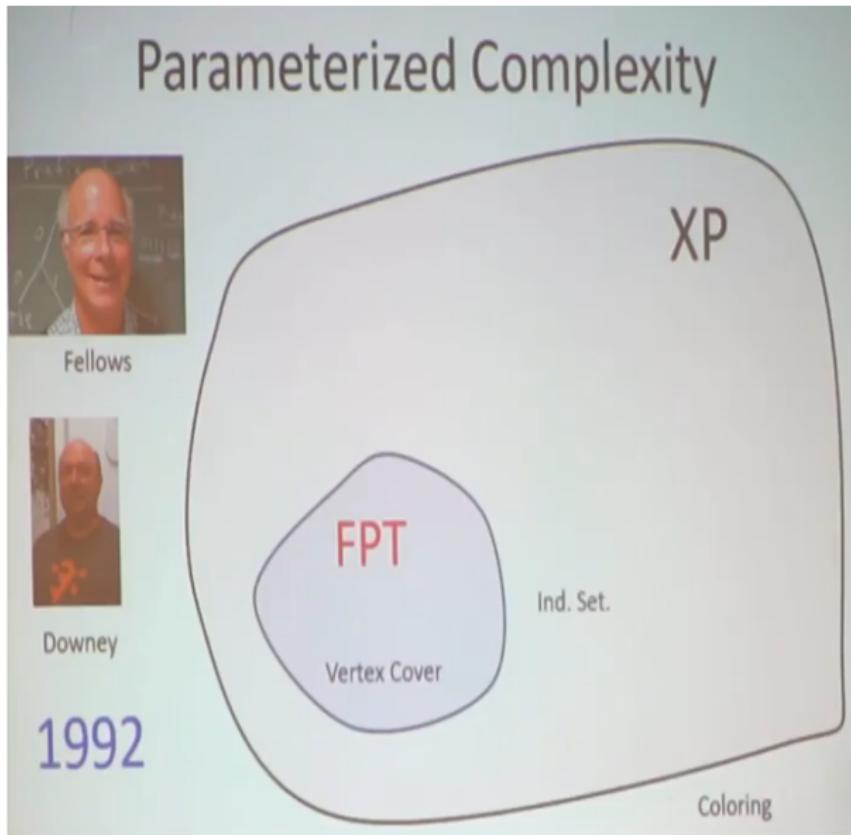
- We consider only **decision problem**.
- Let  $\Sigma$  be a finite alphabet used to encode the input.
  - ▶  $\Sigma = \{0, 1\}$  for binary encoding.
- A **parametrized problem** is a set  $\mathcal{P} \subseteq \Sigma^* \times \mathbb{N}$ .
  - ▶  $= \{(x_1, k_1), (x_2, k_2), \dots\}$ .
  - ▶ The set  $P$  contains the couples  $(x, k)$  for which the answer to the question encoded by  $(x, k)$  is **YES**;  $k$  is the parameter.
- A parametrized problem  $\mathcal{P}$  is **Fixed-Parameter Tractable** if there is an algorithm that, given an input  $(x, k)$ 
  - ▶ Decide if  $(x, k)$  belongs to  $\mathcal{P}$  or not, and
  - ▶ run in time  $f(k)n^c$  for some computable function  $f$  and a constant  $c$ .
- A parametrized problem  $P$  is **XP** if there is an algorithm that, given an input  $(x, k)$ 
  - ▶ Decide if  $(x, k)$  belongs to  $\mathcal{P}$  or not, and
  - ▶ run in time  $n^{f(k)}$  for some computable function  $f$  and a constant  $c$ .

For example, the set of tuples  $\{(G, k) \in \mathcal{G} \times \mathbb{N} : \text{vc}(G) \leq k\}$  is the problem VERTEX-COVER parametrized by the size of the solution.

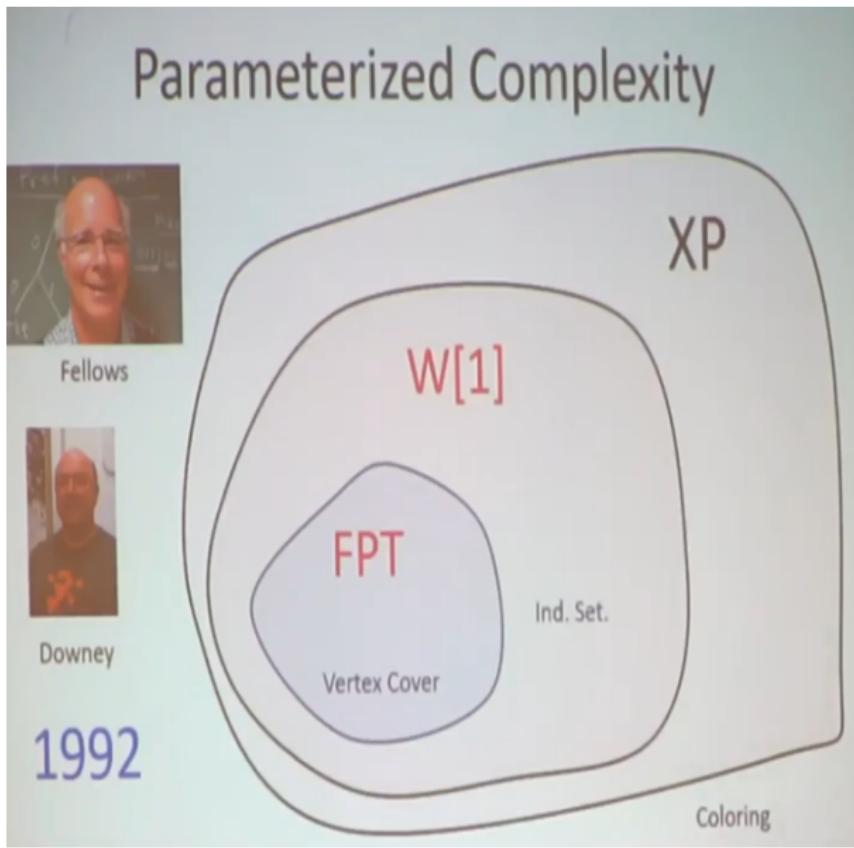
# Parametrized Complexity



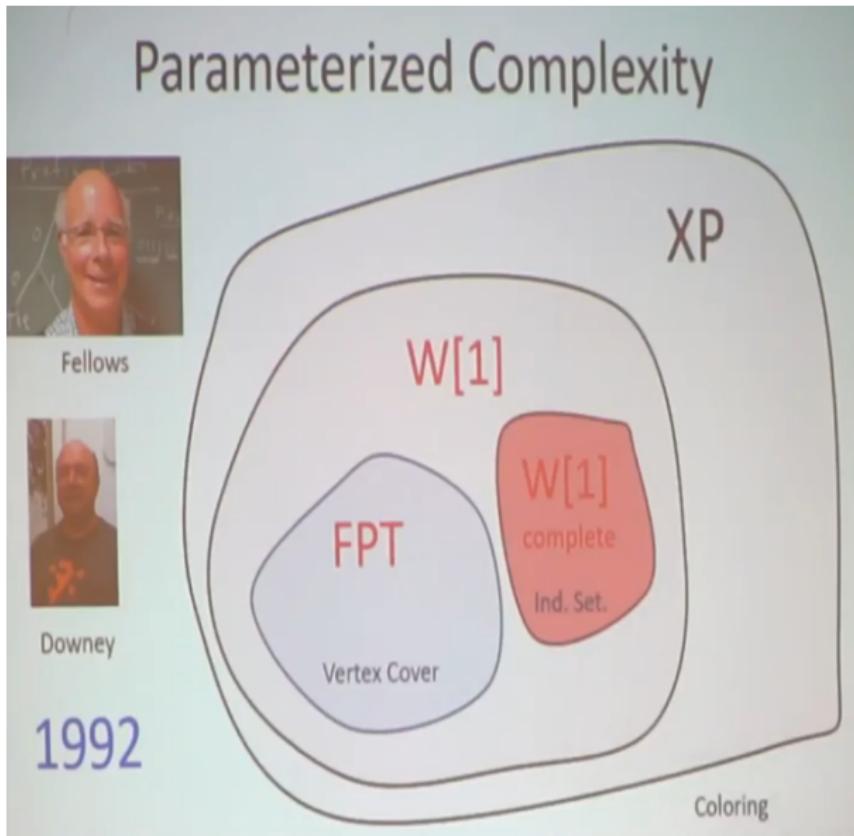
# Parametrized Complexity



# Parametrized Complexity



# Parametrized Complexity



# $W[1]$ -hardness

Negative evidence similar to NP-completeness: if a (parametrized) problem is  $W[1]$ -hard, then the problem is not FPT unless  $FPT = W[1]$ .

Some  $W[1]$ -hard problem:

- Find a clique/stable set of size  $k$ .
- Find a dominating set of size  $k$
- Set cover
- ...

# $W[1]$ -hardness

Negative evidence similar to NP-completeness: if a (parametrized) problem is  $W[1]$ -hard, then the problem is not FPT unless  $FPT = W[1]$ .

Some  $W[1]$ -hard problem:

- Find a clique/stable set of size  $k$ .
- Find a dominating set of size  $k$
- Set cover
- ...

General Principal to prove hardness:

With an appropriate reduction from  $k$ -CLIQUE to problem  $P$ , we show that if problem  $P$  is FPT, then  $k$ -CLIQUE is also FPT

## $W[1]$ -hardness

Negative evidence similar to NP-completeness: if a (parametrized) problem is  $W[1]$ -hard, then the problem is not FPT unless  $FPT = W[1]$ .

Some  $W[1]$ -hard problem:

- Find a clique/stable set of size  $k$ .
- Find a dominating set of size  $k$
- Set cover
- ...

General Principal to prove hardness:

With an appropriate reduction from  $k$ -CLIQUE to problem  $P$ , we show that if problem  $P$  is FPT, then  $k$ -CLIQUE is also FPT

**Exponential Time Hypothesis (ETH):**

$n$ -variable 3-SAT cannot be solved in time  $2^{o(n)}$ .

# Clique parametrized by maximum degree

## Problem (CLIQUE parametrized by $\Delta$ )

**Input :** A graph  $G$  with **maximum degree  $\Delta$**  and an integer  $k$

**Question :** Does  $G$  has a clique of size at least  $k$ ?

# Clique parametrized by maximum degree

## Problem (CLIQUE parametrized by $\Delta$ )

**Input :** A graph  $G$  with **maximum degree  $\Delta$**  and an integer  $k$

**Question :** Does  $G$  has a clique of size at least  $k$ ?

**Algorithm:** For each vertex  $v$ , check for a maximum clique in  $N(v)$

# Clique parametrized by maximum degree

## Problem (CLIQUE parametrized by $\Delta$ )

**Input :** A graph  $G$  with **maximum degree  $\Delta$**  and an integer  $k$

**Question :** Does  $G$  has a clique of size at least  $k$ ?

**Algorithm:** For each vertex  $v$ , check for a maximum clique in  $N(v)$

**Running time:**  $O(2^\Delta n)$ , FPT!!

So CLIQUE parametrized by  $\Delta(G)$  is FPT.

But CLIQUE parametrized by **solution size  $k$**  is  $W[1]$ -hard. That is, probably no algorithm in time  $f(k) \cdot n^{O(1)}$ .

# Parametrized Complexity



Rod G. Downey  
Michael R. Fellows

Parameterized  
Complexity

Springer 1999

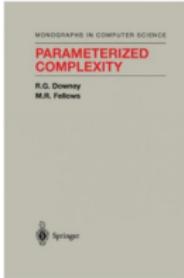
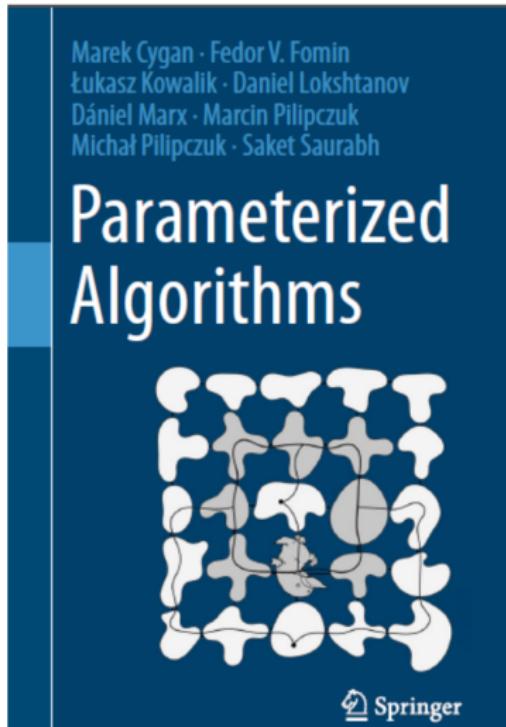


figure by Daniel Marx

- The study of parameterized complexity was initiated by Downey and Fellows in the early 90s.
- First monograph in 1999.
- By now, strong presence in most algorithmic conferences.

# Source for this class



## Parameterized Algorithms

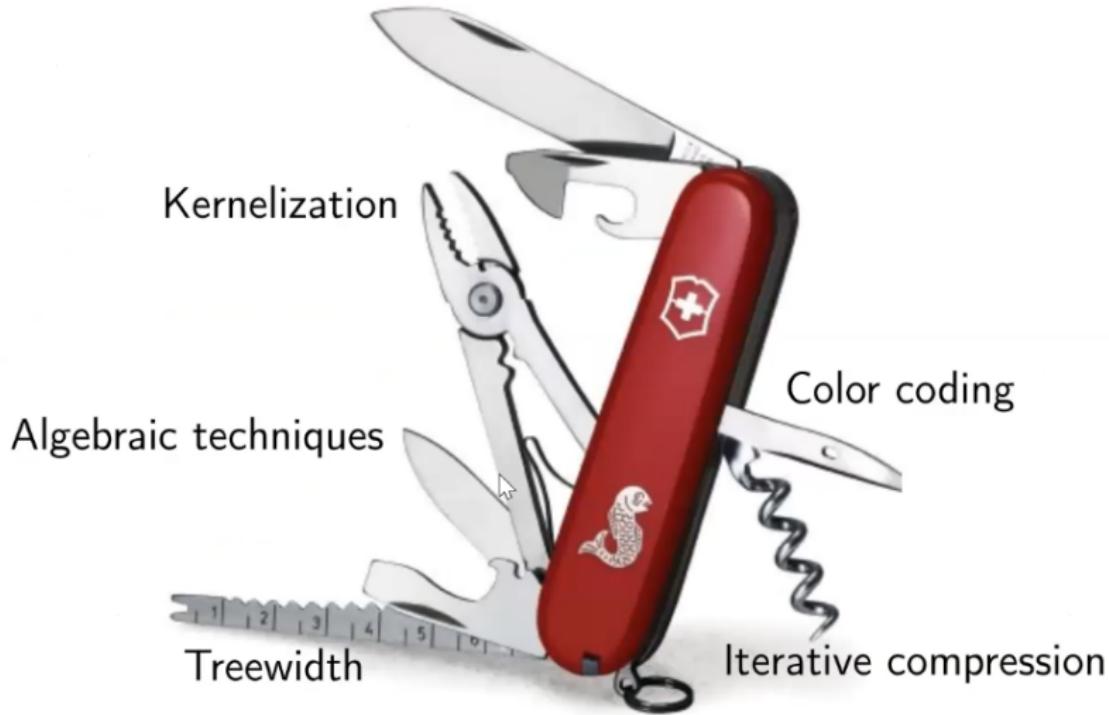
Marek Cygan, Fedor V. Fomin,  
Łukasz Kowalik, Daniel Lokshtanov,  
Dániel Marx, Marcin Pilipczuk,  
Michał Pilipczuk, Saket Saurabh

Springer 2015



## Algorithmic techniques to design FPT algorithm

## Bounded-depth search trees



A set of small, semi-transparent navigation icons typically found in Beamer presentations, including arrows for navigation and symbols for search and refresh.

# 1 - Branching Method

First problem:

## VERTEX COVER

A **vertex cover** of a graph  $G$  is a set  $S$  of vertices such that  $G \setminus S$  is edgeless.  
In other words  $S$  hits all edges.

# Vertex Cover

A **vertex cover** is a set  $S$  of vertices such that  $G \setminus S$  is edgeless. In other words  $S$  hits all edges.

**Problem** (VERTEX COVER parametrized by the size of the solution)

**Question:** Given  $(G, k)$ , does  $G$  have a vertex cover of size at most  $k$ ?

**Brute force:** For every set  $S$  of  $k$  vertices, check if  $G \setminus S$  is edgeless.

**Running time:**  $O(n^k \cdot n^2) = O(n^{k+2})$ .

So VERTEX COVER parametrized by the size of the solution is in  **$XP$** .

But is it in **FPT**?

# Thinking about the problem

# Thinking about the problem

- For each edge  $uv$ , either  $u$  or  $v$  is in the solution.

# Thinking about the problem

- For each edge  $uv$ , either  $u$  or  $v$  is in the solution.
- So  $G$  has a VC of size at most  $k$  if and only if  $G \setminus \{u\}$  or  $G \setminus \{v\}$  has a VC of size at most  $k - 1$ .

# Thinking about the problem

- For each edge  $uv$ , either  $u$  or  $v$  is in the solution.
- So  $G$  has a VC of size at most  $k$  if and only if  $G \setminus \{u\}$  or  $G \setminus \{v\}$  has a VC of size at most  $k - 1$ .
- In other words, for every edge  $uv$ :  
 $(G, k)$  is a YES instance if and only if  $(G \setminus \{u\}, k - 1)$  or  $(G \setminus \{v\}, k - 1)$  is

# Thinking about the problem

- For each edge  $uv$ , either  $u$  or  $v$  is in the solution.
- So  $G$  has a VC of size at most  $k$  if and only if  $G \setminus \{u\}$  or  $G \setminus \{v\}$  has a VC of size at most  $k - 1$ .
- In other words, for every edge  $uv$ :  
 $(G, k)$  is a YES instance if and only if  $(G \setminus \{u\}, k - 1)$  or  $(G \setminus \{v\}, k - 1)$  is
- The tree search has depth at most  $k$ , so has at most  $2^k$  vertices.

# Thinking about the problem

- For each edge  $uv$ , either  $u$  or  $v$  is in the solution.
- So  $G$  has a VC of size at most  $k$  if and only if  $G \setminus \{u\}$  or  $G \setminus \{v\}$  has a VC of size at most  $k - 1$ .
- In other words, for every edge  $uv$ :  
 $(G, k)$  is a YES instance if and only if  $(G \setminus \{u\}, k - 1)$  or  $(G \setminus \{v\}, k - 1)$  is
- The tree search has depth at most  $k$ , so has at most  $2^k$  vertices.
- $(G, k)$  is a YES-instance if and only if the graph on the leaves are edgeless.

# Thinking about the problem

- For each edge  $uv$ , either  $u$  or  $v$  is in the solution.
- So  $G$  has a VC of size at most  $k$  if and only if  $G \setminus \{u\}$  or  $G \setminus \{v\}$  has a VC of size at most  $k - 1$ .
- In other words, for every edge  $uv$ :  
 $(G, k)$  is a YES instance if and only if  $(G \setminus \{u\}, k - 1)$  or  $(G \setminus \{v\}, k - 1)$  is
- The tree search has depth at most  $k$ , so has at most  $2^k$  vertices.
- $(G, k)$  is a YES-instance if and only if the graph on the leaves are edgeless.
- So the running time:  $O(2^k \cdot n^{O(1)})$ .

# Branching method, size of the search tree and complexity

To solve instance  $(G, k)$  of VERTEX COVER:

- **Main idea:** reduce the problem to solving a bounded number of problems with parameter  $k' < k$ .
- We need to be able to solve instance  $(G, k)$  in poly-time knowing the solution of the new instances.
- Since the parameter decrease in every recursive call, the **depth** of the search tree is at most  $k$ .
- **Size** of the search tree:
  - ▶ If we branch into  $c$  directions:  $c^k$
  - ▶ If we branch into  $k$  directions:  $k^k = 2^{k \log(k)}$
  - ▶ If we branch into  $\log(n)$  directions:  $n + 2^{k \log(k)}$

# Branching method, size of the search tree and complexity

To solve instance  $(G, k)$  of VERTEX COVER:

- **Main idea:** reduce the problem to solving a bounded number of problems with parameter  $k' < k$ .
- We need to be able to solve instance  $(G, k)$  in poly-time knowing the solution of the new instances.
- Since the parameter decrease in every recursive call, the **depth** of the search tree is at most  $k$ .
- **Size** of the search tree:
  - ▶ If we branch into  $c$  directions:  $c^k$
  - ▶ If we branch into  $k$  directions:  $k^k = 2^{k \log(k)}$
  - ▶ If we branch into  $\log(n)$  directions:  $n + 2^{k \log(k)}$

We are now going to solve VERTEX COVER in time  $1.46^k \cdot n^{O(1)}$ !

**Notation:**  $1.46^k \cdot n^{O(1)} = O^*(1.46^k)$

## More thinking about the problem

**Idea:** instead of branching on edges, we are going to branch on vertices of degree at least 3. It is going to work faster because in some of the branches, the parameter is going to decrease faster.

## More thinking about the problem

**Idea:** instead of branching on edges, we are going to branch on vertices of degree at least 3. It is going to work faster because in some of the branches, the parameter is going to decrease faster.

- For each vertex  $u$  of degree at least 3:
  - ▶ either  $u$  is in the solution  $\Rightarrow$  parameter decreases by 1
  - ▶ or all the neighbors of  $u$  are in the solution  $\Rightarrow$  parameter decrease by at least 3

## More thinking about the problem

**Idea:** instead of branching on edges, we are going to branch on vertices of degree at least 3. It is going to work faster because in some of the branches, the parameter is going to decrease faster.

- For each vertex  $u$  of degree at least 3:
  - ▶ either  $u$  is in the solution  $\Rightarrow$  parameter decreases by 1
  - ▶ or all the neighbors of  $u$  are in the solution  $\Rightarrow$  parameter decrease by at least 3
- If every vertex has degree at most 2, we can solve VERTEX COVER in poly-time because the graph is the disjoint union of paths and cycles. Such graphs will correspond to the leaf node of our searchtree.

## More thinking about the problem

**Idea:** instead of branching on edges, we are going to branch on vertices of degree at least 3. It is going to work faster because in some of the branches, the parameter is going to decrease faster.

- For each vertex  $u$  of degree at least 3:
  - ▶ either  $u$  is in the solution  $\Rightarrow$  parameter decreases by 1
  - ▶ or all the neighbors of  $u$  are in the solution  $\Rightarrow$  parameter decrease by at least 3
- If every vertex has degree at most 2, we can solve VERTEX COVER in poly-time because the graph is the disjoint union of paths and cycles. Such graphs will correspond to the leaf node of our searchtree.

$(G, k)$  is a YES instance if and only if  $(G \setminus \{u\}, k - 1)$  or  $(G \setminus N[u], k - d(u))$  is

# Algebraic resolution

Let  $T(k)$  be the number of leaves in the search tree, and  $T(k) = 0$  if  $k \leq 1$ .  
Then:

$$T(k) \leq T(k-1) + T(k-3)$$

## Algebraic resolution

Let  $T(k)$  be the number of leaves in the search tree, and  $T(k) = 0$  if  $k \leq 1$ . Then:

$$T(k) \leq T(k-1) + T(k-3)$$

Let us prove by induction that  $T(k) \leq c^k$  for some constant  $c \geq 1$  as small as possible.

What is a good value for  $c$ ? We are happy if it satisfies:

$$c^k \geq c^{k-1} + c^{k-3}$$

## Algebraic resolution

Let  $T(k)$  be the number of leaves in the search tree, and  $T(k) = 0$  if  $k \leq 1$ . Then:

$$T(k) \leq T(k-1) + T(k-3)$$

Let us prove by induction that  $T(k) \leq c^k$  for some constant  $c \geq 1$  as small as possible.

What is a good value for  $c$ ? We are happy if it satisfies:

$$c^k \geq c^{k-1} + c^{k-3}$$

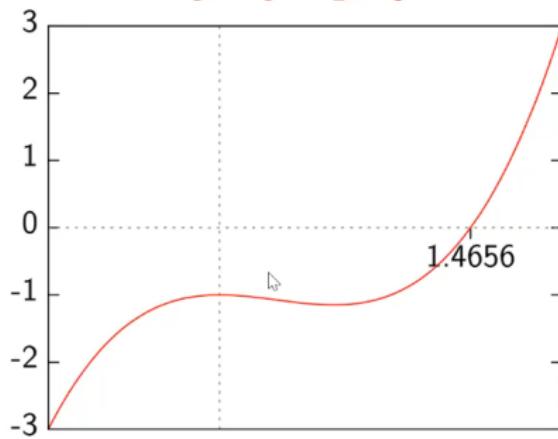
and in particular:

$$c^3 - c^2 - 1 \geq 0$$

So we want to find the smallest positive root of this equation.  
Actually, such equations have a unique positive root.

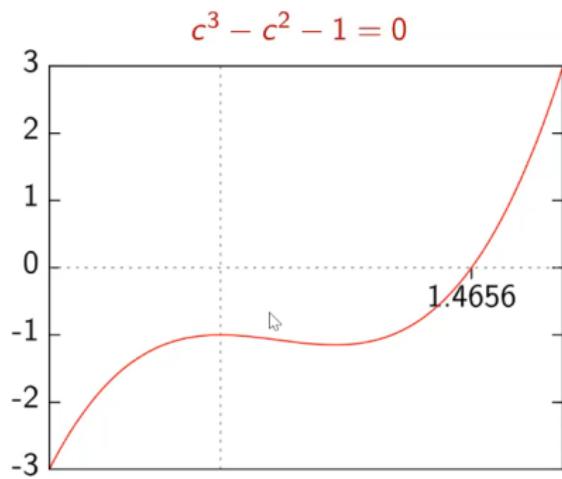
# Solving the equation

$$c^3 - c^2 - 1 = 0$$



$c = 1.4656$  is a good value, so we get  $T(k) \leq 1.4656^k$ .  
And thus we get a  $O^*(1.4656^k)$  algorithm for VERTEX COVER

# Solving the equation



$c = 1.4656$  is a good value, so we get  $T(k) \leq 1.4656^k$ .  
And thus we get a  $O^*(1.4656^k)$  algorithm for VERTEX COVER

Best known FPT algorithm:  $O^*(1.2738^k)$ , by J. Chen, I. A. Kanj and G. Xia,  
*Simplicity is beauty: improved upper bounds for Vertex Cover.*

## Branching method

The branching vector of our  $O^*(1.4656k)$  VERTEX COVER algorithm was  $(1, 3)$ .

**Example:** Let us bound the search tree for the branching vector  $(2, 5, 6, 6, 7, 7)$ . (2 out of the 6 branches decrease the parameter by 7, etc.).

The value  $c > 1$  has to satisfy:

$$c^k \geq c^{k-2} + c^{k-5} + 2c^{k-6} + 2c^{k-7}$$

And thus  $c$  satisfies:

$$c^7 - c^5 - c^2 - 2c - 2 \geq 0$$

Unique positive root of the characteristic equation: 1.4483, so  $T(k) \leq 1.4483^k$ .

In general, it is hard to compare branching vectors intuitively.

Next problem:

## GRAPH MODIFICATION PROBLEM

**Definition:** Given a graph property  $\mathcal{P}$ , find a set of vertices  $S$  such that  $G \setminus S$  satisfies  $\mathcal{P}$ .

If  $\mathcal{P}$  is the property of being edgeless, we recover vertex cover.

# Triangle-free deletion problem

## Problem (Triangle-free deletion)

**Given:** a graph  $G$  and an integer  $k$ ,

**Question:** is there a set of at most  $k$  vertices such that  $G \setminus S$  is triangle-free?

# Triangle-free deletion problem

## Problem (Triangle-free deletion)

**Given:** a graph  $G$  and an integer  $k$ ,

**Question:** is there a set of at most  $k$  vertices such that  $G \setminus S$  is triangle-free?

**Key idea** showing that the branching method is going to work:

If  $v_1 v_2 v_3$  is a triangle of  $G$ , then:

$(G, k)$  is a YES instance

$\Leftrightarrow$

$(G \setminus \{v_i\}, k - 1)$  is a YES instance for some  $i \in \{1, 2, 3\}$

# Triangle-free deletion problem

## Problem (Triangle-free deletion)

**Given:** a graph  $G$  and an integer  $k$ ,

**Question:** is there a set of at most  $k$  vertices such that  $G \setminus S$  is triangle-free?

**Key idea** showing that the branching method is going to work:

If  $v_1 v_2 v_3$  is a triangle of  $G$ , then:

$(G, k)$  is a YES instance

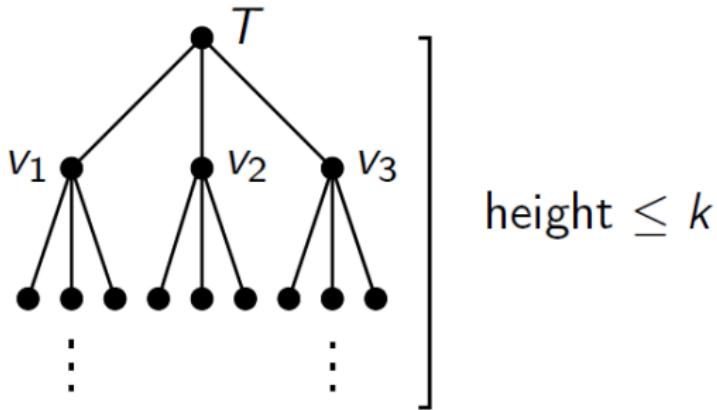
$\Leftrightarrow$

$(G \setminus \{v_i\}, k - 1)$  is a YES instance for some  $i \in \{1, 2, 3\}$

**Algo:**

- Find a triangle  $v_1 v_2 v_3$  (time:  $O(n^3)$ )
- Solve the instance  $(G \setminus v_i, k - 1)$  for  $i = 1, 2, 3$ .

# Complexity analysis



The search tree has depth at most  $k$  and thus has at most  $3^{k+1}$  vertices.  
Find a triangle or check if a graph is triangle-free:  $n^3$ ,  
Running time:  $O(3^k \cdot n^3)$ .

# Graph modification problem

## Problem (Graph modification problem)

Given:  $(G, k)$

Question: do at most  $k$  allowed operation on  $G$  can make  $G$  to have property  $\mathcal{P}$ ?

- Allowed operations: vertex deletion, edge deletion, edge contraction, edge addition...
- Property  $\mathcal{P}$ : edgeless, no triangle, no cycles, disconnected...

# Graph modification problem

## Problem (Graph modification problem)

Given:  $(G, k)$

Question: do at most  $k$  allowed operation on  $G$  can make  $G$  to have property  $\mathcal{P}$ ?

- Allowed operations: vertex deletion, edge deletion, edge contraction, edge addition...
- Property  $\mathcal{P}$ : edgeless, no triangle, no cycles, disconnected...

Examples:

- VERTEX COVER: delete  $k$  vertices to make  $G$  edgeless,
- TRIANGLE-FREE DELETION: delete  $k$  vertices to make  $G$  triangle-free,
- FEEDBACK VERTEX SET: delete  $k$  vertices to make  $G$  a forest.
- CHORDAL COMPLETION: add  $k$  edges to make the graph chordal.

# Subgraphs and induce subgraph

- ① Remove a vertex  $v$  (and all its incident edges), denoted  $G \setminus v$ .
- ② Remove an edge  $e$  (but not its end vertices), denoted  $G \setminus e$ .

# Subgraphs and induce subgraph

- ① Remove a vertex  $v$  (and all its incident edges), denoted  $G \setminus v$ .
  - ② Remove an edge  $e$  (but not its end vertices), denoted  $G \setminus e$ .
- 
- $H$  is an **induced subgraph** of  $G$  if  $H$  obtained from  $G$  by the repeated use of 1.
  - $H$  is a **subgraph** of  $G$  if  $H$  obtained from  $G$  by the repeated use of 1 and 2.

# Hereditary property

**Definition:** a graph property  $\mathcal{P}$  is **hereditary** or **closed under taking induced subgraph** if whenever  $G \in \mathcal{P}$ , every induced subgraph  $H$  of  $G$  are also in  $\mathcal{P}$ .

small-*Deleting vertices do not ruin the property-*

**Examples:** edgeless, triangle-free, bipartite, planar...

# Hereditary property

**Definition:** a graph property  $\mathcal{P}$  is **hereditary** or **closed under taking induced subgraph** if whenever  $G \in \mathcal{P}$ , every induced subgraph  $H$  of  $G$  are also in  $\mathcal{P}$ .

small-*Deleting vertices do not ruin the property-*

**Examples:** edgeless, triangle-free, bipartite, planar...

**Observation:** Every hereditary property  $\mathcal{P}$  can be characterized by a (finite or infinite) set  $\mathcal{F}$  of **minimal obstructions** or **forbidden induced subgraphs**:  $G \in \mathcal{P}$  if and only if  $G$  does not have an induced subgraph isomorphic to a member of  $\mathcal{F}$ .

**Example:** a graph is **bipartite** if and only if it does not contain **odd cycles** as induced subgraph.

# Graph properties

all graph properties

hereditary properties

hereditary with finite set of  
forbidden induced subgraphs

*regular*  
*planar*

*bipartite*  
*empty*

*triangle free*  
*complete*

*connected*  
*acyclic*

# Graph properties

all graph properties

*regular*

hereditary properties

hereditary with finite set of  
forbidden induced subgraphs

*planar*

*bipartite*  
*empty*

*triangle free*  
*complete*

*connected*  
*acyclic*

# Graph properties

all graph properties

*regular*

hereditary properties

*bipartite*

hereditary with finite set of  
forbidden induced subgraphs

*planar*

*empty*

*triangle free*  
*complete*

*connected*  
*acyclic*

# Graph properties

all graph properties

*regular*

hereditary properties

*bipartite*

hereditary with finite set of  
forbidden induced subgraphs

*triangle free*

*planar*

*empty*

*complete*

*connected*  
*acyclic*

# Graph properties

all graph properties

*regular*

*connected*

hereditary properties

*bipartite*

hereditary with finite set of  
forbidden induced subgraphs

*triangle free*

*planar*

*empty*

*complete*

*acyclic*

# Graph properties

all graph properties

*regular*

*connected*

hereditary properties

*bipartite*  
*planar*

hereditary with finite set of  
forbidden induced subgraphs

*triangle free*

*empty*

*complete*

*acyclic*

# Graph properties

all graph properties

*regular*

*connected*

hereditary properties

*bipartite*  
*planar*

hereditary with finite set of  
forbidden induced subgraphs

*triangle free*  
*empty*

*complete*

*acyclic*

# Graph properties

all graph properties

*regular*

*connected*

hereditary properties

*bipartite*  
*planar*

hereditary with finite set of  
forbidden induced subgraphs

*triangle free*  
*empty*  
*complete*

*acyclic*

# Graph properties

all graph properties

*regular*

*connected*

hereditary properties

*bipartite*  
*planar*  
*acyclic*

hereditary with finite set of  
forbidden induced subgraphs

*triangle free*  
*empty*  
*complete*

# Graph properties

all graph properties

*regular*

*connected*

hereditary properties

*bipartite*  
*planar*  
*acyclic*

hereditary with finite set of  
forbidden induced subgraphs

*triangle free*  
*empty*  
*complete*

**FPT**

# Finite set of obstructions

## Theorem

If  $\mathcal{P}$  is a hereditary graph property and can be characterized by a *finite* set  $\mathcal{F}$  of forbidden induced subgraphs, then the graph modifications problems corresponding to  $\mathcal{P}$  are FPT.

# Finite set of obstructions

## Theorem

If  $\mathcal{P}$  is a hereditary graph property and can be characterized by a **finite** set  $\mathcal{F}$  of forbidden induced subgraphs, then the graph modifications problems corresponding to  $\mathcal{P}$  are FPT.

## Proof:

- Suppose that every graph in  $\mathcal{F}$  has at most  $r$  vertices. Observe that  **$r$  is a constant**.

# Finite set of obstructions

## Theorem

If  $\mathcal{P}$  is a hereditary graph property and can be characterized by a **finite** set  $\mathcal{F}$  of forbidden induced subgraphs, then the graph modifications problems corresponding to  $\mathcal{P}$  are FPT.

## Proof:

- Suppose that every graph in  $\mathcal{F}$  has at most  $r$  vertices. Observe that  **$r$  is a constant**.
- Check if  $G$  contains a forbidden graphs. This can be done by brute force in time  $|\mathcal{F}| \cdot n^r = O(n^r)$ .

# Finite set of obstructions

## Theorem

If  $\mathcal{P}$  is a hereditary graph property and can be characterized by a **finite** set  $\mathcal{F}$  of forbidden induced subgraphs, then the graph modifications problems corresponding to  $\mathcal{P}$  are FPT.

## Proof:

- Suppose that every graph in  $\mathcal{F}$  has at most  $r$  vertices. Observe that  **$r$  is a constant**.
- Check if  $G$  contains a forbidden graphs. This can be done by brute force in time  $|\mathcal{F}| \cdot n^r = O(n^r)$ .
- If a forbidden subgraph  $F$  exists, then we have to delete one of the at most  $r$  vertices of the copy of  $F$ .

# Finite set of obstructions

## Theorem

If  $\mathcal{P}$  is a hereditary graph property and can be characterized by a **finite** set  $\mathcal{F}$  of forbidden induced subgraphs, then the graph modifications problems corresponding to  $\mathcal{P}$  are FPT.

## Proof:

- Suppose that every graph in  $\mathcal{F}$  has at most  $r$  vertices. Observe that  $r$  is a constant.
- Check if  $G$  contains a forbidden graphs. This can be done by brute force in time  $|\mathcal{F}| \cdot n^r = O(n^r)$ .
- If a forbidden subgraph  $F$  exists, then we have to delete one of the at most  $r$  vertices of the copy of  $F$ .
- The tree has at most  $r^{k+1}$  vertices, and the work to be done at each vertex is  $O(n^r)$ .

# Finite set of obstructions

## Theorem

If  $\mathcal{P}$  is a hereditary graph property and can be characterized by a **finite** set  $\mathcal{F}$  of forbidden induced subgraphs, then the graph modifications problems corresponding to  $\mathcal{P}$  are FPT.

## Proof:

- Suppose that every graph in  $\mathcal{F}$  has at most  $r$  vertices. Observe that  **$r$  is a constant**.
- Check if  $G$  contains a forbidden graphs. This can be done by brute force in time  $|\mathcal{F}| \cdot n^r = O(n^r)$ .
- If a forbidden subgraph  $F$  exists, then we have to delete one of the at most  $r$  vertices of the copy of  $F$ .
- The tree has at most  $r^{k+1}$  vertices, and the work to be done at each vertex is  $O(n^r)$ .
- **Total running time:**  $O(r^{k+1} \cdot n^r)$ .

# An active area of research

Graph modification problem is a very wide and active research area in parameterized algorithms.

- If the set of forbidden subgraphs is **finite**, then the problem is immediately FPT (e.g., VERTEX COVER, TRIANGLE FREE DELETION). Here the challenge is improving the naive running time.
- If the set of forbidden subgraphs is **infinite**, then very different techniques are needed to show that the problem is FPT (e.g., FEEDBACK VERTEX SET, BIPARTITE DELETION, PLANAR DELETION).

Next problem:

## FEEDBACK VERTEX SET

A **Feedback Vertex Set (FVS)** of a graph  $G$  is a set  $S$  of vertices such that  $G \setminus S$  is a forest.

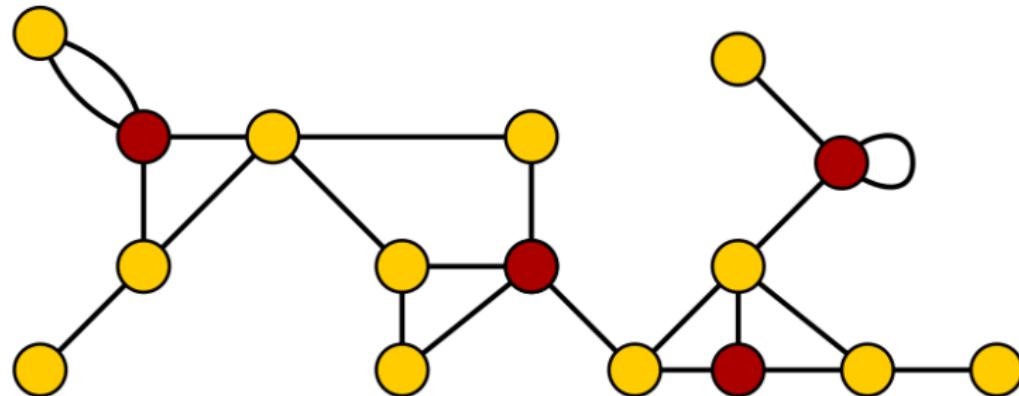
In other words  $S$  hits all cycles.

# Feedback Vertex set

## Problem (Feedback Vertex set (FVS))

**Question:** Given  $(G, k)$ , find a set  $S$  of at most  $k$  vertices such that  $G \setminus S$  has no cycle (i.e.  $G \setminus S$  is a forest).

- We allow **loop**, and **multiple edges** ( $G$  is a **multigraph**).
- A **Feedback Vertex Set** is a set of vertices that **hits every cycle** of the graph.

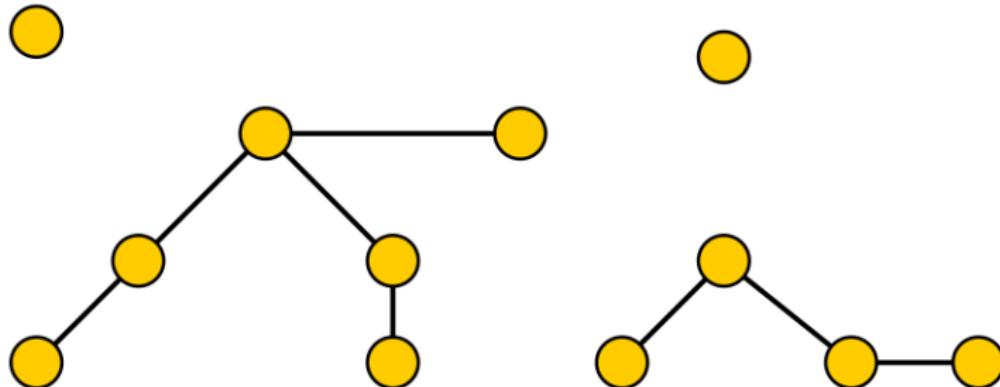


# Feedback Vertex set

## Problem (Feedback Vertex set (FVS))

**Question:** Given  $(G, k)$ , find a set  $S$  of at most  $k$  vertices such that  $G \setminus S$  has no cycle (i.e.  $G \setminus S$  is a forest).

- We allow **loop**, and **multiple edges** ( $G$  is a **multigraph**).
- A **Feedback Vertex Set** is a set of vertices that **hits every cycle** of the graph.



# Feedback Vertex set

## Problem (Feedback Vertex set (FVS))

**Question:** Given  $(G, k)$ , find a set  $S$  of at most  $k$  vertices such that  $G \setminus S$  has no cycle (i.e.  $G \setminus S$  is a forest).

- We allow **loop**, and **multiple edges** ( $G$  is a **multigraph**).
- A **Feedback Vertex Set** is a set of vertices that **hits every cycle** of the graph.

**Link with vertex cover:** a vertex cover is a set of vertices that **hits every edge** of the graph.

# Thinking about the problem

- In Vertex Cover, at least one extremity of each edge must be in the solution.
- In Feedback Vertex set, at least one vertex of each cycle must be in the solution. But **the size of a cycle can be arbitrarily large**.

# Thinking about the problem

- In Vertex Cover, at least one extremity of each edge must be in the solution.
- In Feedback Vertex set, at least one vertex of each cycle must be in the solution. But **the size of a cycle can be arbitrarily large**.
- We are going to: identify a set of  $O(k)$  vertices such that any size- $k$  feedback vertex set has to contain one of these vertices, and branch on it.

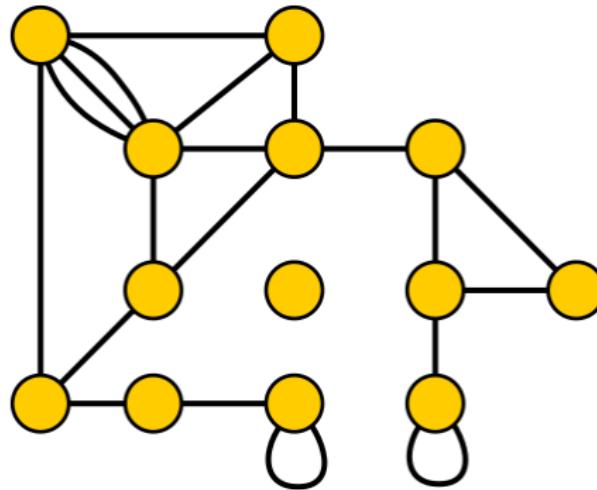
# Thinking about the problem

- In Vertex Cover, at least one extremity of each edge must be in the solution.
- In Feedback Vertex set, at least one vertex of each cycle must be in the solution. But **the size of a cycle can be arbitrarily large**.
- We are going to: identify a set of  $O(k)$  vertices such that any size- $k$  feedback vertex set has to contain one of these vertices, and branch on it.
- But first, as often, some **reduction rules**.

The reduction rules are here to simplify the input in such a way that the new input is a YES-instance if and only if the orginal one is.

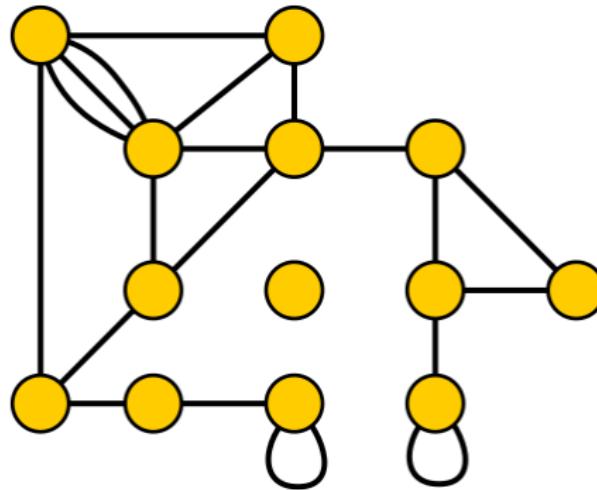
## Reduction rules for FVS

(R1) If there is a **loop** at  $v$ , then delete  $v$  and **decrease  $k$  by one**.



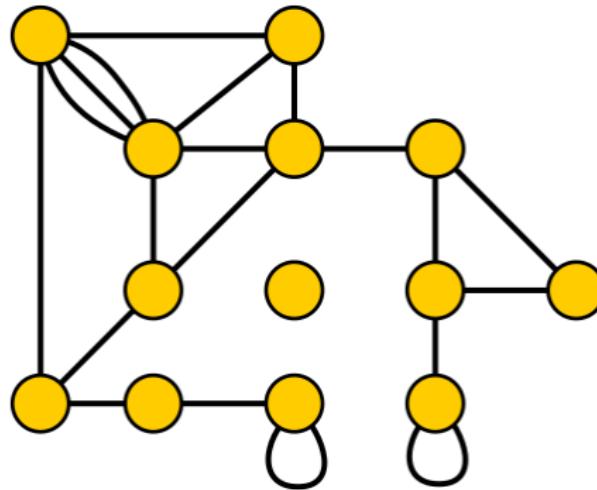
## Reduction rules for FVS

- (R1) If there is a **loop** at  $v$ , then delete  $v$  and **decrease  $k$  by one**.
- (R2) If there is an **edge of multiplicity** larger than 2, then reduce its multiplicity to 2.



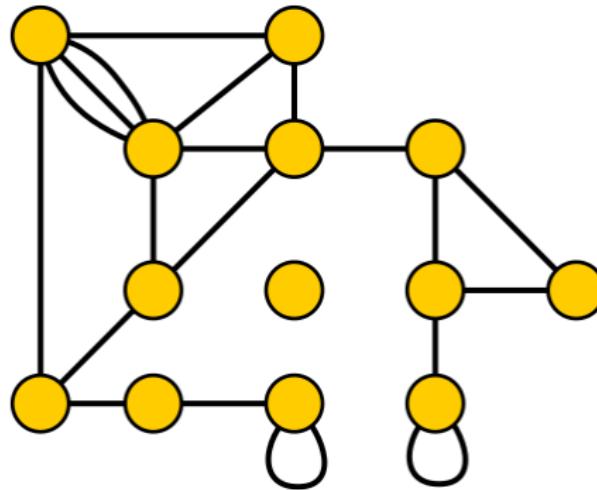
## Reduction rules for FVS

- (R1) If there is a **loop** at  $v$ , then delete  $v$  and **decrease  $k$  by one**.
- (R2) If there is an **edge of multiplicity** larger than 2, then reduce its multiplicity to 2.
- (R3) If there is a vertex  $v$  of **degree 0 or 1**, then delete  $v$ .



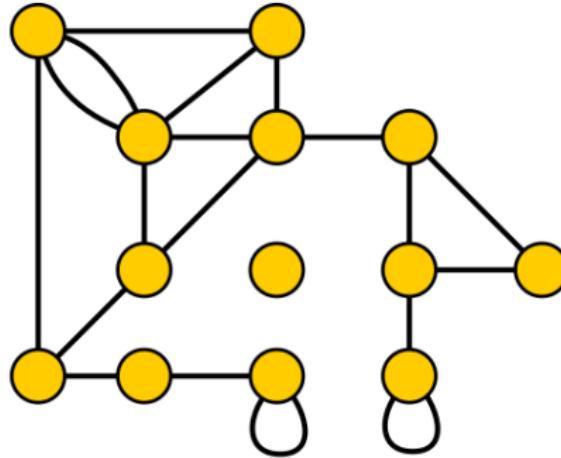
## Reduction rules for FVS

- (R1) If there is a **loop** at  $v$ , then delete  $v$  and **decrease  $k$  by one**.
- (R2) If there is an **edge of multiplicity** larger than 2, then reduce its multiplicity to 2.
- (R3) If there is a vertex  $v$  of **degree 0 or 1**, then delete  $v$ .
- (R4) If there is a vertex  $v$  of **degree 2**, then delete  $v$  and add an edge between the neighbors of  $v$ .



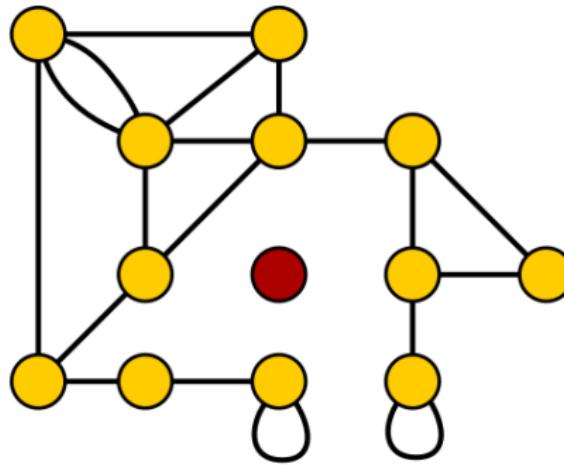
## Reduction rules for FVS

- (R1) If there is a **loop** at  $v$ , then delete  $v$  and **decrease  $k$  by one**.
- (R2) If there is an **edge of multiplicity** larger than 2, then reduce its multiplicity to 2.
- (R3) If there is a vertex  $v$  of **degree 0 or 1**, then delete  $v$ .
- (R4) If there is a vertex  $v$  of **degree 2**, then delete  $v$  and add an edge between the neighbors of  $v$ .



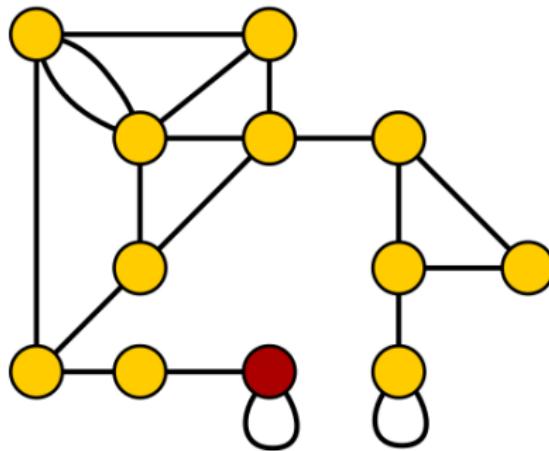
## Reduction rules for FVS

- (R1) If there is a **loop** at  $v$ , then delete  $v$  and **decrease  $k$  by one**.
- (R2) If there is an **edge of multiplicity** larger than 2, then reduce its multiplicity to 2.
- (R3) If there is a vertex  $v$  of **degree 0 or 1**, then delete  $v$ .
- (R4) If there is a vertex  $v$  of **degree 2**, then delete  $v$  and add an edge between the neighbors of  $v$ .



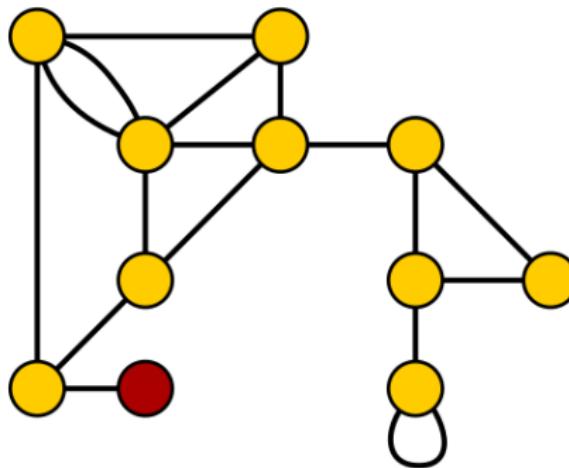
## Reduction rules for FVS

- (R1) If there is a **loop** at  $v$ , then delete  $v$  and **decrease  $k$  by one**.
- (R2) If there is an **edge of multiplicity** larger than 2, then reduce its multiplicity to 2.
- (R3) If there is a vertex  $v$  of **degree 0 or 1**, then delete  $v$ .
- (R4) If there is a vertex  $v$  of **degree 2**, then delete  $v$  and add an edge between the neighbors of  $v$ .



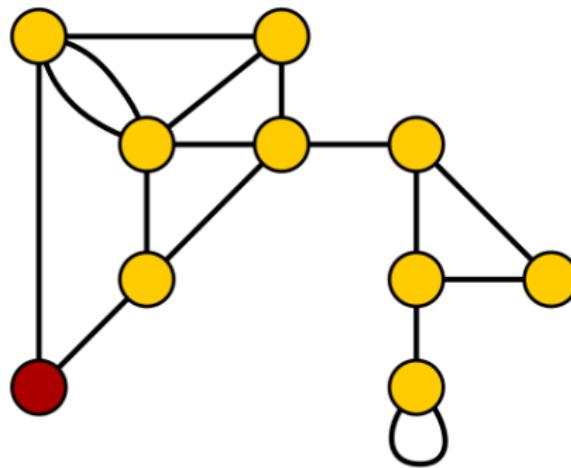
## Reduction rules for FVS

- (R1) If there is a **loop** at  $v$ , then delete  $v$  and **decrease  $k$  by one**.
- (R2) If there is an **edge of multiplicity** larger than 2, then reduce its multiplicity to 2.
- (R3) If there is a vertex  $v$  of **degree 0 or 1**, then delete  $v$ .
- (R4) If there is a vertex  $v$  of **degree 2**, then delete  $v$  and add an edge between the neighbors of  $v$ .



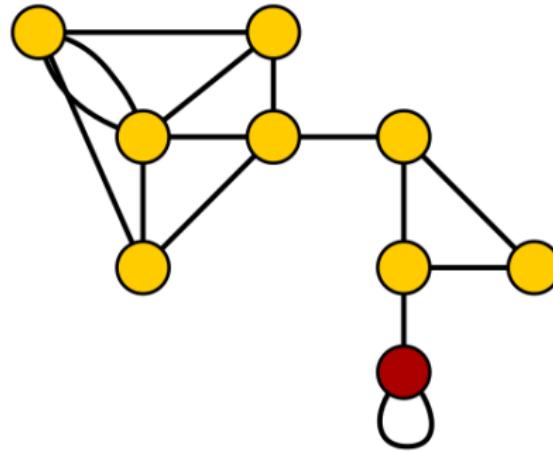
## Reduction rules for FVS

- (R1) If there is a **loop** at  $v$ , then delete  $v$  and **decrease  $k$  by one**.
- (R2) If there is an **edge of multiplicity** larger than 2, then reduce its multiplicity to 2.
- (R3) If there is a vertex  $v$  of **degree 0 or 1**, then delete  $v$ .
- (R4) If there is a vertex  $v$  of **degree 2**, then delete  $v$  and add an edge between the neighbors of  $v$ .



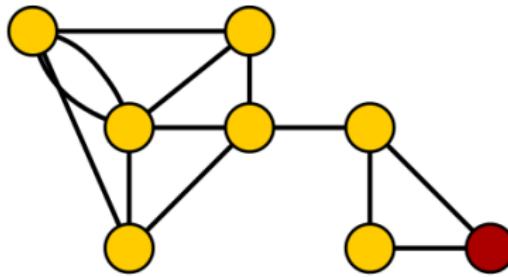
## Reduction rules for FVS

- (R1) If there is a **loop** at  $v$ , then delete  $v$  and **decrease  $k$  by one**.
- (R2) If there is an **edge of multiplicity** larger than 2, then reduce its multiplicity to 2.
- (R3) If there is a vertex  $v$  of **degree 0 or 1**, then delete  $v$ .
- (R4) If there is a vertex  $v$  of **degree 2**, then delete  $v$  and add an edge between the neighbors of  $v$ .



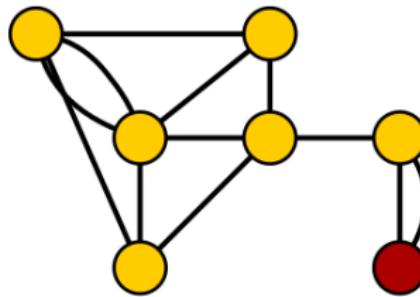
## Reduction rules for FVS

- (R1) If there is a **loop** at  $v$ , then delete  $v$  and **decrease  $k$  by one**.
- (R2) If there is an **edge of multiplicity** larger than 2, then reduce its multiplicity to 2.
- (R3) If there is a vertex  $v$  of **degree 0 or 1**, then delete  $v$ .
- (R4) If there is a vertex  $v$  of **degree 2**, then delete  $v$  and add an edge between the neighbors of  $v$ .



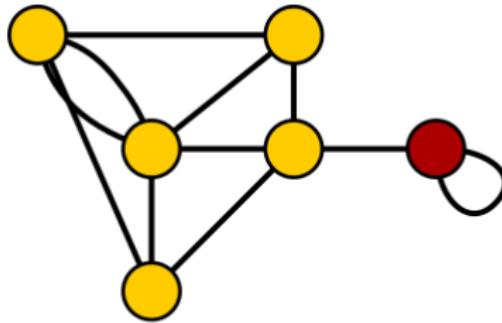
## Reduction rules for FVS

- (R1) If there is a **loop** at  $v$ , then delete  $v$  and **decrease  $k$  by one**.
- (R2) If there is an **edge of multiplicity** larger than 2, then reduce its multiplicity to 2.
- (R3) If there is a vertex  $v$  of **degree 0 or 1**, then delete  $v$ .
- (R4) If there is a vertex  $v$  of **degree 2**, then delete  $v$  and add an edge between the neighbors of  $v$ .



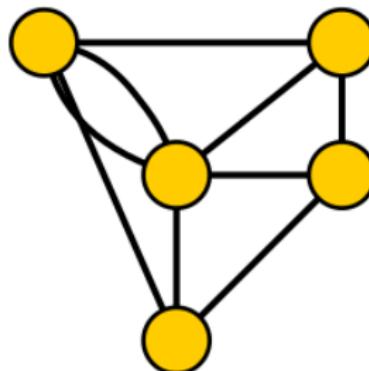
## Reduction rules for FVS

- (R1) If there is a **loop** at  $v$ , then delete  $v$  and **decrease  $k$  by one**.
- (R2) If there is an **edge of multiplicity** larger than 2, then reduce its multiplicity to 2.
- (R3) If there is a vertex  $v$  of **degree 0 or 1**, then delete  $v$ .
- (R4) If there is a vertex  $v$  of **degree 2**, then delete  $v$  and add an edge between the neighbors of  $v$ .



## Reduction rules for FVS

- (R1) If there is a **loop** at  $v$ , then delete  $v$  and **decrease  $k$  by one**.
- (R2) If there is an **edge of multiplicity** larger than 2, then reduce its multiplicity to 2.
- (R3) If there is a vertex  $v$  of **degree 0 or 1**, then delete  $v$ .
- (R4) If there is a vertex  $v$  of **degree 2**, then delete  $v$  and add an edge between the neighbors of  $v$ .



# Reduction rules for FVS

- (R1) If there is a **loop** at  $v$ , then delete  $v$  and **decrease  $k$  by one**.
- (R2) If there is an **edge of multiplicity** larger than 2, then reduce its multiplicity to 2.
- (R3) If there is a vertex  $v$  of **degree 0 or 1**, then delete  $v$ .
- (R4) If there is a vertex  $v$  of **degree 2**, then delete  $v$  and add an edge between the neighbors of  $v$ .

After exhaustively applying these reduction rules, the resulting graph  $G$  satisfies:

- no loop,
- edge multiplicity is 1 or 2,
- **minimum degree 3**

# Key property of reduction rules

## Key Property of the reduction rules:

If  $(G, k)$  is an instance of FVS graph and  $(G', k')$  is the instance obtained after applying the reduction rules as much as we can, then

- $G$  has a FVS of size at most  $k$  if and only if  $G'$  has a FVS of size at most  $k'$  and
- If  $S$  is a FVS of  $G'$ , then it is a FVS of  $G$  together with the vertices deleted by R1. (not necessary if we don't care about the set and just want a YES/NO answer).

In other words, we can safely apply the reduction rules and work on the resulting graph.

# Branching

**Lemma:** Let  $G$  be a graph with minimum degree 3, and let  $V_{3k}$  be the  $3k$  largest degree vertices. Then every Feedback Vertex set of size at most  $k$  contains at least one vertex of  $V_{3k}$ .

# Branching

**Lemma:** Let  $G$  be a graph with minimum degree 3, and let  $V_{3k}$  be the  $3k$  largest degree vertices. Then every Feedback Vertex set of size at most  $k$  contains at least one vertex of  $V_{3k}$ .

Assuming the Lemma we can easily design our FPT algorithm:

- Apply reduction rules to obtain  $G'$  and compute  $V_{3k}$ .
- Branch on each vertex  $x \in V_{3k}$ , that is solve the problems for the  $k$  instances:  $(G' \setminus \{x\}, k - 1)$ .
- Branching into  $3k$  directions  $\Rightarrow O^*((3k)^k)$
- Applying reduction rules and finding the  $3k$  largest degree vertices can easily be done in poly-time.

## Proof of the Lemma

**Lemma:** Let  $G$  be a graph with minimum degree 3, and let  $V_{3k}$  be the  $3k$  largest degree vertices. Then every Feedback Vertex set of size at most  $k$  contains at least one vertex of  $V_{3k}$ .

## Proof of the Lemma

**Lemma:** Let  $G$  be a graph with minimum degree 3, and let  $V_{3k}$  be the  $3k$  largest degree vertices. Then every Feedback Vertex set of size at most  $k$  contains at least one vertex of  $V_{3k}$ .

### Proof:

- Let  $S$  be a solution disjoint from  $V_{3k}$ .

# Proof of the Lemma

**Lemma:** Let  $G$  be a graph with minimum degree 3, and let  $V_{3k}$  be the  $3k$  largest degree vertices. Then every Feedback Vertex set of size at most  $k$  contains at least one vertex of  $V_{3k}$ .

**Proof:**

- Let  $S$  be a solution disjoint from  $V_{3k}$ .
- Let  $d$  be the min degree of vertices in  $V_{3k}$ .

## Proof of the Lemma

**Lemma:** Let  $G$  be a graph with minimum degree 3, and let  $V_{3k}$  be the  $3k$  largest degree vertices. Then every Feedback Vertex set of size at most  $k$  contains at least one vertex of  $V_{3k}$ .

### Proof:

- Let  $S$  be a solution disjoint from  $V_{3k}$ .
- Let  $d$  be the min degree of vertices in  $V_{3k}$ .
- Let  $X = V(G) - (S \cup V_{3k})$

# Proof of the Lemma

**Lemma:** Let  $G$  be a graph with minimum degree 3, and let  $V_{3k}$  be the  $3k$  largest degree vertices. Then every Feedback Vertex set of size at most  $k$  contains at least one vertex of  $V_{3k}$ .

**Proof:**

- Let  $S$  be a solution disjoint from  $V_{3k}$ .
- Let  $d$  be the min degree of vertices in  $V_{3k}$ .
- Let  $X = V(G) - (S \cup V_{3k})$
- 

$$\sum_{v \in X \cup V_{3k}} d(v) \geq 3|X| + 3kd$$

# Proof of the Lemma

**Lemma:** Let  $G$  be a graph with minimum degree 3, and let  $V_{3k}$  be the  $3k$  largest degree vertices. Then every Feedback Vertex set of size at most  $k$  contains at least one vertex of  $V_{3k}$ .

## Proof:

- Let  $S$  be a solution disjoint from  $V_{3k}$ .
- Let  $d$  be the min degree of vertices in  $V_{3k}$ .
- Let  $X = V(G) - (S \cup V_{3k})$
- $$\sum_{v \in X \cup V_{3k}} d(v) \geq 3|X| + 3kd$$
- $G[X \cup V_{3k}]$  is a forest, so the number of edges in  $G[X \cup V_{3k}] \leq |X| + 3k - 1$ .

# Proof of the Lemma

**Lemma:** Let  $G$  be a graph with minimum degree 3, and let  $V_{3k}$  be the  $3k$  largest degree vertices. Then every Feedback Vertex set of size at most  $k$  contains at least one vertex of  $V_{3k}$ .

## Proof:

- Let  $S$  be a solution disjoint from  $V_{3k}$ .
- Let  $d$  be the min degree of vertices in  $V_{3k}$ .
- Let  $X = V(G) - (S \cup V_{3k})$
- 

$$\sum_{v \in X \cup V_{3k}} d(v) \geq 3|X| + 3kd$$

- $G[X \cup V_{3k}]$  is a forest, so the number of edges in  $G[X \cup V_{3k}] \leq |X| + 3k - 1$ .
- So sum of the degree in  $G[X \cup V_{3k}]$  is at most  $2|X| + 6k - 2$ .

# Proof of the Lemma

**Lemma:** Let  $G$  be a graph with minimum degree 3, and let  $V_{3k}$  be the  $3k$  largest degree vertices. Then every Feedback Vertex set of size at most  $k$  contains at least one vertex of  $V_{3k}$ .

## Proof:

- Let  $S$  be a solution disjoint from  $V_{3k}$ .
- Let  $d$  be the min degree of vertices in  $V_{3k}$ .
- Let  $X = V(G) - (S \cup V_{3k})$
- 

$$\sum_{v \in X \cup V_{3k}} d(v) \geq 3|X| + 3kd$$

- $G[X \cup V_{3k}]$  is a forest, so the number of edges in  $G[X \cup V_{3k}] \leq |X| + 3k - 1$ .
- So sum of the degree in  $G[X \cup V_{3k}]$  is at most  $2|X| + 6k - 2$ .
- And now, the number of edges between  $S$  and  $X \cup V_{3k}$  is:

# Proof of the Lemma

**Lemma:** Let  $G$  be a graph with minimum degree 3, and let  $V_{3k}$  be the  $3k$  largest degree vertices. Then every Feedback Vertex set of size at most  $k$  contains at least one vertex of  $V_{3k}$ .

## Proof:

- Let  $S$  be a solution disjoint from  $V_{3k}$ .
- Let  $d$  be the min degree of vertices in  $V_{3k}$ .
- Let  $X = V(G) - (S \cup V_{3k})$
- 

$$\sum_{v \in X \cup V_{3k}} d(v) \geq 3|X| + 3kd$$

- $G[X \cup V_{3k}]$  is a forest, so the number of edges in  $G[X \cup V_{3k}] \leq |X| + 3k - 1$ .
- So sum of the degree in  $G[X \cup V_{3k}]$  is at most  $2|X| + 6k - 2$ .
- And now, the number of edges between  $S$  and  $X \cup V_{3k}$  is:
  - ▶  $\geq 3kd + 3|X| - (2|X| + 6k - 2) > 3kd - 6k$

# Proof of the Lemma

**Lemma:** Let  $G$  be a graph with minimum degree 3, and let  $V_{3k}$  be the  $3k$  largest degree vertices. Then every Feedback Vertex set of size at most  $k$  contains at least one vertex of  $V_{3k}$ .

**Proof:**

- Let  $S$  be a solution disjoint from  $V_{3k}$ .
- Let  $d$  be the min degree of vertices in  $V_{3k}$ .
- Let  $X = V(G) - (S \cup V_{3k})$
- 

$$\sum_{v \in X \cup V_{3k}} d(v) \geq 3|X| + 3kd$$

- $G[X \cup V_{3k}]$  is a forest, so the number of edges in  $G[X \cup V_{3k}] \leq |X| + 3k - 1$ .
- So sum of the degree in  $G[X \cup V_{3k}]$  is at most  $2|X| + 6k - 2$ .
- And now, the number of edges between  $S$  and  $X \cup V_{3k}$  is:
  - ▶  $\geq 3kd + 3|X| - (2|X| + 6k - 2) > 3kd - 6k$
  - ▶  $\leq dk$  because  $S$  has  $k$  vertices, each of degree at most  $d$ .

## Proof of the Lemma

**Lemma:** Let  $G$  be a graph with minimum degree 3, and let  $V_{3k}$  be the  $3k$  largest degree vertices. Then every Feedback Vertex set of size at most  $k$  contains at least one vertex of  $V_{3k}$ .

**Proof:**

- Let  $S$  be a solution disjoint from  $V_{3k}$ .
- Let  $d$  be the min degree of vertices in  $V_{3k}$ .
- Let  $X = V(G) - (S \cup V_{3k})$
- 

$$\sum_{v \in X \cup V_{3k}} d(v) \geq 3|X| + 3kd$$

- $G[X \cup V_{3k}]$  is a forest, so the number of edges in  $G[X \cup V_{3k}] \leq |X| + 3k - 1$ .
- So sum of the degree in  $G[X \cup V_{3k}]$  is at most  $2|X| + 6k - 2$ .
- And now, the number of edges between  $S$  and  $X \cup V_{3k}$  is:
  - ▶  $\geq 3kd + 3|X| - (2|X| + 6k - 2) > 3kd - 6k$
  - ▶  $\leq dk$  because  $S$  has  $k$  vertices, each of degree at most  $d$ .
- So  $3kd - 6k < kd \Leftrightarrow 2kd - 6k < 0$  which is false because  $d \geq 3$ .

## 2 - Kernelization

# Kernelization

Theory of Parameterized  
Preprocessing



Fedor V. Fomin

Daniel Lokshtanov

Saket Saurabh

Meirav Zehavi

# Data reduction

- **Kernelization** is a method for parameterized preprocessing:  
We want to efficiently reduce the size of the instance  $(x, k)$  to an equivalent instance with size bounded by  $f(k)$ .
- **A basic way of obtaining FPT algorithms:**  
Reduce the size of the instance to  $f(k)$  in polynomial time and then apply any brute force algorithm to the shrunk instance.

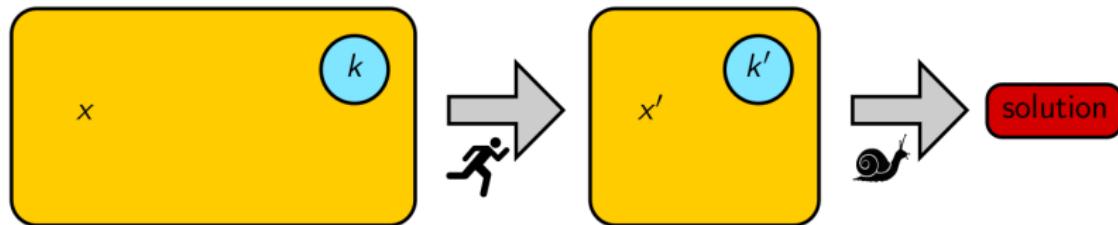


Figure by Daniel Marx

# Kernelization: formal definition

- Let  $\mathcal{P} \subseteq \Sigma^* \times \mathbb{N}$  be a parametrized problem and let  $f : \mathbb{N} \rightarrow \mathbb{N}$  be a computable function.

# Kernelization: formal definition

- Let  $\mathcal{P} \subseteq \Sigma^* \times \mathbb{N}$  be a parametrized problem and let  $f : \mathbb{N} \rightarrow \mathbb{N}$  be a computable function.
- A **kernel** of size  $f(k)$  for  $\mathcal{P}$  is an **algorithm** that, given  $(x, k)$ , runs in **polynomial time** in  $|x| + k$  and outputs an instance  $(x', k')$  such that:
  - $(x, k) \in \mathcal{P} \Leftrightarrow (x', k') \in \mathcal{P}$ .
  - $|x'| \leq f(k)$  and  $k' \leq k$ .

# Kernelization: formal definition

- Let  $\mathcal{P} \subseteq \Sigma^* \times \mathbb{N}$  be a parametrized problem and let  $f : \mathbb{N} \rightarrow \mathbb{N}$  be a computable function.
- A **kernel** of size  $f(k)$  for  $\mathcal{P}$  is an **algorithm** that, given  $(x, k)$ , runs in **polynomial time** in  $|x| + k$  and outputs an instance  $(x', k')$  such that:
  - $(x, k) \in \mathcal{P} \Leftrightarrow (x', k') \in \mathcal{P}$ .
  - $|x'| \leq f(k)$  and  $k' \leq k$ .
- A **polynomial kernel** is a kernel whose function  $f$  is polynomial.

# Kernelization: formal definition

- Let  $\mathcal{P} \subseteq \Sigma^* \times \mathbb{N}$  be a parametrized problem and let  $f : \mathbb{N} \rightarrow \mathbb{N}$  be a computable function.
- A **kernel** of size  $f(k)$  for  $\mathcal{P}$  is an **algorithm** that, given  $(x, k)$ , runs in **polynomial time** in  $|x| + k$  and outputs an instance  $(x', k')$  such that:
  - $(x, k) \in \mathcal{P} \Leftrightarrow (x', k') \in \mathcal{P}$ .
  - $|x'| \leq f(k)$  and  $k' \leq k$ .
- A **polynomial kernel** is a kernel whose function  $f$  is polynomial.

# Kernelization: formal definition

- Let  $\mathcal{P} \subseteq \Sigma^* \times \mathbb{N}$  be a parametrized problem and let  $f : \mathbb{N} \rightarrow \mathbb{N}$  be a computable function.
- A **kernel** of size  $f(k)$  for  $\mathcal{P}$  is an **algorithm** that, given  $(x, k)$ , runs in **polynomial time** in  $|x| + k$  and outputs an instance  $(x', k')$  such that:
  - $(x, k) \in \mathcal{P} \Leftrightarrow (x', k') \in \mathcal{P}$ .
  - $|x'| \leq f(k)$  and  $k' \leq k$ .
- A **polynomial kernel** is a kernel whose function  $f$  is polynomial.

**Question:** which problem has a kernel??

# A crazy equivalence

**Theorem:** A parametrized problem is FPT if and only if it is decidable and has a kernel (of arbitrary size).

# A crazy equivalence

**Theorem:** A parametrized problem is FPT if and only if it is decidable and has a kernel (of arbitrary size).

## Proof:

- If the problem has a kernel:

reduce the size of the instance in poly-time and use brute force on it  $\Rightarrow$  FPT.

# A crazy equivalence

**Theorem:** A parametrized problem is FPT if and only if it is decidable and has a kernel (of arbitrary size).

## Proof:

- If the problem has a kernel:

reduce the size of the instance in poly-time and use brute force on it  $\Rightarrow$  FPT.

- If the problem can be solved in time  $f(k) \cdot |x|^c$ :

- If  $|x| \leq f(k)$ , then we already have our kernel.

- If  $|x| \geq f(k)$ , then we can solve the problem in time  $f(k) \cdot |x|^c \leq |x|^{c+1}$  (which is polynomial in  $|x|$ ) and then output a trivial YES or NO answer.

# A crazy equivalence

**Theorem:** A parametrized problem is FPT if and only if it is decidable and has a kernel (of arbitrary size).

## Proof:

- If the problem has a kernel:

reduce the size of the instance in poly-time and use brute force on it  $\Rightarrow$  FPT.

- If the problem can be solved in time  $f(k) \cdot |x|^c$ :

- If  $|x| \leq f(k)$ , then we already have our kernel.
  - If  $|x| \geq f(k)$ , then we can solve the problem in time  $f(k) \cdot |x|^c \leq |x|^{c+1}$  (which is polynomial in  $|x|$ ) and then output a trivial YES or NO answer.
- 
- So asking if there is a kernel is the same question as asking for an FPT algorithm.
  - The important question: is there a polynomial kernel?

## Back to vertex cover

Let us prove that Vertex Cover has a polynomial kernel.

A **vertex cover** of a graph  $G$  is a set  $S$  of vertices such that  $G \setminus S$  is edgeless.  
In other words  $S$  hits all edges.

# Thinking about the problem

Observe that if a vertex  $v$  has degree 0, then:

$G$  has a vertex cover of size  $k$  **if and only if**  $G - \{v\}$  has a vertex cover of size  $k$ .

## Thinking about the problem

Observe that if a vertex  $v$  has degree 0, then:

$G$  has a vertex cover of size  $k$  **if and only if**  $G - \{v\}$  has a vertex cover of size  $k$ .

Observe that if a vertex  $v$  has degree  $k + 1$ , then  $v$  must be in all vertex cover of size at most  $k$ . So:

$G$  has a vertex cover of size  $k$  **if and only if**  $G - \{v\}$  has a vertex cover of size  $k - 1$ .

## Thinking about the problem

Observe that if a vertex  $v$  has degree 0, then:

$G$  has a vertex cover of size  $k$  **if and only if**  $G - \{v\}$  has a vertex cover of size  $k$ .

Observe that if a vertex  $v$  has degree  $k + 1$ , then  $v$  must be in all vertex cover of size at most  $k$ . So:

$G$  has a vertex cover of size  $k$  **if and only if**  $G - \{v\}$  has a vertex cover of size  $k - 1$ .

This leads us to define the two following **reduction rules**:

(R1) If  $v$  has degree 0, then reduce to  $(G - v, k)$

(R2) If  $v$  has degree at least  $k + 1$ , then reduce to  $(G - v, k - 1)$ .

Now, if  $(G, k)$  is an instance of VERTEX COVER and  $(G', k')$  is the instance obtained after an exhaustive application of R1 and R2, then:

$(G, k)$  is a YES-instance if and only if  $(G', k')$  is a YES-instance.

# Kernel for vertex cover

Reduction rules:

- (R1) If  $v$  has degree 0, then reduce to  $(G - v, k)$
- (R2) If  $v$  has degree at least  $k + 1$ , then reduce to  $(G - v, k - 1)$ .

# Kernel for vertex cover

Reduction rules:

- (R1) If  $v$  has degree 0, then reduce to  $(G - v, k)$
- (R2) If  $v$  has degree at least  $k + 1$ , then reduce to  $(G - v, k - 1)$ .

**Lemma:** If  $(G, k)$  is a YES-instance for  $k$ -vertex cover on which reduction rules 1 and 2 cannot be applied, then  $G$  has at most  $k^2$  edges and at most  $k^2 + k$  vertices.

# Kernel for vertex cover

Reduction rules:

- (R1) If  $v$  has degree 0, then reduce to  $(G - v, k)$
- (R2) If  $v$  has degree at least  $k + 1$ , then reduce to  $(G - v, k - 1)$ .

**Lemma:** If  $(G, k)$  is a YES-instance for  $k$ -vertex cover on which reduction rules 1 and 2 cannot be applied, then  $G$  has at most  $k^2$  edges and at most  $k^2 + k$  vertices.

**Proof:**

- Let  $S$  be a vertex cover of  $G$  of size at most  $k$ .
- Each vertex hits at most  $k$  edges because (R2) does not apply. So there are at most  $k^2$  edges.
- Each vertex is either in  $S$ , or is one of the  $k$  neighbors of a vertex in  $S$ . So  $|V(G)| \leq k^2 + k$ .

# Kernel for vertex cover

Reduction rules:

- (R1) If  $v$  has degree 0, then reduce to  $(G - v, k)$
- (R2) If  $v$  has degree at least  $k + 1$ , then reduce to  $(G - v, k - 1)$ .

**Lemma:** If  $(G, k)$  is a YES-instance for  $k$ -vertex cover on which reduction rules 1 and 2 cannot be applied, then  $G$  has at most  $k^2$  edges and at most  $k^2 + k$  vertices.

Kernelization for VERTEX COVER:

- Apply rules (R1) and (R2) exhaustively. We get a new instance  $(G', k')$  with  $k' \leq k$  and such that  $(G, k)$  is a YES-instance if and only if  $(G', k')$  is.
- If  $|E(G')| > k'^2$  or  $|V(G)| > k'^2 + k'$ , output NO.
- Otherwise we have a kernel of size  $O(2k^2 + k)$ .

## Kernels based on linear programming

**Theorem:** VERTEX COVER has a kernel with at most  $2k$  vertices.

# Integer Linear Programming

Many combinatorial problems can be expressed in the language of Integer Linear Programming (ILP).

In an ILP instance, we are given a set of integer-valued variables, a set of linear inequalities (called constraints) and a linear cost function.

The goal is to minimize or maximize the value of the cost function respecting the constraints.

$$\begin{array}{ll} \text{Minimise :} & \sum_{j=1}^n c_j \cdot x_j \\ \text{Subject to:} & \sum_{j=1}^n a_{ij} \cdot x_j \leq b_i \quad \text{for } 1 \leq i \leq m \\ & x_j \in \mathbb{Z} \quad \text{for } 1 \leq j \leq m \end{array}$$

The  $a_{ij}$ ,  $b_i$  and  $c_i$  are constants, the  $x_i$  are the variables.

# Encode VERTEX COVER as an ILP

Introduce a variable  $x_v \in \{0, 1\}$  for each  $v \in V(G)$ .

Setting  $x_v = 0$  means that  $x_v$  is not in the solution, while  $x_v = 1$  means it is.

Minimise :  $\sum_{v \in V(G)} x_v$   
Subject to:  $x_u + x_v \geq 1$  for all  $uv \in E(G)$   
 $x_v \in \{0, 1\}$  for all  $v \in V(G)$

# Encode VERTEX COVER as an ILP

Introduce a variable  $x_v \in \{0, 1\}$  for each  $v \in V(G)$ .

Setting  $x_v = 0$  means that  $x_v$  is not in the solution, while  $x_v = 1$  means it is.

$$\begin{array}{ll} \text{Minimise :} & \sum_{v \in V(G)} x_v \\ \text{Subject to:} & \begin{array}{ll} x_u + x_v \geq 1 & \text{for all } uv \in E(G) \\ x_v \in \{0, 1\} & \text{for all } v \in V(G) \end{array} \end{array}$$

Beautiful, but how is it helpful? ILP is extremely hard to solve.

## Fractional relaxation

Linear Programming is famously known for being solvable in (weakly) poly-time, so let us relax our problem. Call it  $LPVC(G)$ .

$$\begin{aligned} \text{Minimise : } & \sum_{v \in V(G)} x_v \\ \text{Subject to: } & x_u + x_v \geq 1 \quad \text{for all } uv \in E(G) \\ & 0 \leq x_v \leq 1 \quad \text{for all } v \in V(G) \end{aligned}$$

$x_v = \frac{1}{3}$  is understood as we take one third of the vertex.

A solution to  $LPVC(G)$  is called a **fractional vertex cover** of  $G$ . Its size is denoted by  $VC_f(G)$ .

## Fractional relaxation

Linear Programming is famously known for being solvable in (weakly) poly-time, so let us relax our problem. Call it  $LPVC(G)$ .

$$\begin{array}{ll} \text{Minimise :} & \sum_{v \in V(G)} x_v \\ \text{Subject to:} & x_u + x_v \geq 1 \quad \text{for all } uv \in E(G) \\ & 0 \leq x_v \leq 1 \quad \text{for all } v \in V(G) \end{array}$$

$x_v = \frac{1}{3}$  is understood as we take one third of the vertex.

A solution to  $LPVC(G)$  is called a **fractional vertex cover** of  $G$ . Its size is denoted by  $VC_f(G)$ .

We of course have

$$VC_f(G) \leq VC(G)$$

## Fractional relaxation

Linear Programming is famously known for being solvable in (weakly) poly-time, so let us relax our problem. Call it  $LPVC(G)$ .

$$\begin{aligned} \text{Minimise : } & \sum_{v \in V(G)} x_v \\ \text{Subject to: } & x_u + x_v \geq 1 \quad \text{for all } uv \in E(G) \\ & 0 \leq x_v \leq 1 \quad \text{for all } v \in V(G) \end{aligned}$$

$x_v = \frac{1}{3}$  is understood as we take one third of the vertex.

A solution to  $LPVC(G)$  is called a **fractional vertex cover** of  $G$ . Its size is denoted by  $VC_f(G)$ .

We of course have

$$VC_f(G) \leq VC(G)$$

and for example, if  $G$  is a triangle,  $VC_f(G) = \frac{3}{2} < 2 = VC(G)$ .

Let  $(x_v)_{v \in V(G)}$  be a minimum fractional vertex cover, i.e. an optimal solution to:

$$\begin{array}{ll} \text{Minimise :} & \sum_{v \in V(G)} x_v \\ \text{Subject to:} & x_u + x_v \geq 1 \quad \text{for all } uv \in E(G) \\ & 0 \leq x_v \leq 1 \quad \text{for all } v \in V(G) \end{array}$$

Partition the vertices with respect to their value as follows:

- $V_0 = \{v : x_v < \frac{1}{2}\}$
- $V_{\frac{1}{2}} = \{v : x_v = \frac{1}{2}\}$
- $V_1 = \{v : x_v > \frac{1}{2}\}$

Let  $(x_v)_{v \in V(G)}$  be a minimum fractional vertex cover, i.e. an optimal solution to:

$$\begin{array}{ll} \text{Minimise :} & \sum_{v \in V(G)} x_v \\ \text{Subject to:} & x_u + x_v \geq 1 \quad \text{for all } uv \in E(G) \\ & 0 \leq x_v \leq 1 \quad \text{for all } v \in V(G) \end{array}$$

Partition the vertices with respect to their value as follows:

- $V_0 = \{v : x_v < \frac{1}{2}\}$
- $V_{\frac{1}{2}} = \{v : x_v = \frac{1}{2}\}$
- $V_1 = \{v : x_v > \frac{1}{2}\}$

### Key Observations:

- $V_0$  is an independent set, and
- there is no edge between  $V_0$  and  $V_{\frac{1}{2}}$ .

Let  $(x_v)_{v \in V(G)}$  be a minimum fractional vertex cover, i.e. an optimal solution to:

$$\begin{array}{ll} \text{Minimise :} & \sum_{v \in V(G)} x_v \\ \text{Subject to:} & x_u + x_v \geq 1 \quad \text{for all } uv \in E(G) \\ & 0 \leq x_v \leq 1 \quad \text{for all } v \in V(G) \end{array}$$

Partition the vertices with respect to their value as follows:

- $V_0 = \{v : x_v < \frac{1}{2}\}$
- $V_{\frac{1}{2}} = \{v : x_v = \frac{1}{2}\}$
- $V_1 = \{v : x_v > \frac{1}{2}\}$

### Key Observations:

- $V_0$  is an independent set, and
- there is no edge between  $V_0$  and  $V_{\frac{1}{2}}$ .

### Theorem (Nemhauser-Trotter, 1975)

There is a minimum vertex cover  $S$  of  $G$  such that:  $V_1 \subseteq S \subseteq V_{\frac{1}{2}} \cup V_1$

## Theorem (Nemhauser-Trotter, 1975)

There is a minimum vertex cover  $S$  of  $G$  such that:  $V_1 \subseteq S \subseteq V_{\frac{1}{2}} \cup V_1$

### Proof:

- Let  $S^*$  be a minimum vertex cover of  $G$ .
- Set  $S = V_1 \cup (V_{\frac{1}{2}} \cap S^*)$ , and observe that  $V_1 \subseteq S \subseteq V_{\frac{1}{2}} \cup V_1$ .
- Since there is no edge between  $V_0$  and  $V_{\frac{1}{2}}$ ,  $S$  is a VC of  $G$ .
- It remains to prove that  $S$  is a minimal VC. Assume  $|S| > |S^*|$ .
- So

$$|V_0 \cap S^*| < |V_1 \setminus S^*| \quad (1)$$

- Set  $\varepsilon = \min(|x_v - \frac{1}{2}| : v \in V_0 \cup V_1)$  and define:

$$y_v = \begin{cases} x_v - \varepsilon & \text{if } v \in V_1 \setminus S^* \\ x_v + \varepsilon & \text{if } v \in V_0 \cap S^* \\ x_v & \text{otherwise} \end{cases}$$

- It is easy to check that  $(y_v)_{v \in V(G)}$  is a fractional vertex cover.
- But by (1),  $\sum_{v \in V(G)} y_v < \sum_{v \in V(G)} x_v$ , a contradiction.

Nemhauser-Trotter's theorem allows the following reduction rule:

(R3) Given a minimum fractional vertex cover  $(x_v)_{v \in V(G)}$  and the partition  $(V_0, V_{\frac{1}{2}}, V_1)$ :

- ▶ if  $\sum_{v \in V(G)} x_v > k$ , output NO.
- ▶ Otherwise, solve  $(G[V_{\frac{1}{2}}], k - |V_1|)$ .

Nemhauser-Trotter's theorem allows the following reduction rule:

(R3) Given a minimum fractional vertex cover  $(x_v)_{v \in V(G)}$  and the partition  $(V_0, V_{\frac{1}{2}}, V_1)$ :

- ▶ if  $\sum_{v \in V(G)} x_v > k$ , output NO.
- ▶ Otherwise, solve  $(G[V_{\frac{1}{2}}], k - |V_1|)$ .

This is a **safe rule** in the sense that:

- if  $\sum_{v \in V(G)} x_v > k$ , then  $(G, k)$  is indeed a NO-instance.
- $(G[V_{\frac{1}{2}}], k - |V_1|)$  is a YES-instance if and only  $(G, k)$  is.

Moreover, if  $(G, k)$  is a YES-instance, then

$$|V_{\frac{1}{2}}| = \sum_{v \in V_{\frac{1}{2}}} 2x_v \leq 2 \sum_{v \in V(G)} x_v \leq 2k.$$

**Theorem:** VERTEX COVER has a kernel with at most  $2k$  vertices.

**Lemma:** An minimum fractional vertex cover with each weight in  $\{0, \frac{1}{2}, 1\}$  can be found in time  $O(m\sqrt{n})$

**Proof:** We reduce fractional vertex cover to VERTEX COVER in the following bipartite graph  $H$ : take two copies  $V_1$  and  $V_2$  of  $V(G)$  (if  $u \in V(G)$ , there is a copy  $u_1$  of  $u$  in  $V_1$  and a copy  $u_2$  of  $u$  in  $V_2$ .) and if  $uv \in E(G)$ , then  $u_1v_2, v_1u_2 \in E(H)$ .

**Lemma:** A minimum fractional vertex cover with each weight in  $\{0, \frac{1}{2}, 1\}$  can be found in time  $O(m\sqrt{n})$

**Proof:** We reduce fractional vertex cover to VERTEX COVER in the following bipartite graph  $H$ : take two copies  $V_1$  and  $V_2$  of  $V(G)$  (if  $u \in V(G)$ , there is a copy  $u_1$  of  $u$  in  $V_1$  and a copy  $u_2$  of  $u$  in  $V_2$ .) and if  $uv \in E(G)$ , then  $u_1v_2, v_1u_2 \in E(H)$ .

Find a minimum vertex cover  $S$  in  $H$  with the Hopcroft-Karp algorithm:  
 $O(m\sqrt{n})$ .

**Lemma:** A minimum fractional vertex cover with each weight in  $\{0, \frac{1}{2}, 1\}$  can be found in time  $O(m\sqrt{n})$

**Proof:** We reduce fractional vertex cover to VERTEX COVER in the following bipartite graph  $H$ : take two copies  $V_1$  and  $V_2$  of  $V(G)$  (if  $u \in V(G)$ , there is a copy  $u_1$  of  $u$  in  $V_1$  and a copy  $u_2$  of  $u$  in  $V_2$ .) and if  $uv \in E(G)$ , then  $u_1v_2, v_1u_2 \in E(H)$ .

Find a minimum vertex cover  $S$  in  $H$  with the Hopcroft-Karp algorithm:  $O(m\sqrt{n})$ .

Define a vector  $(x_v)_{v \in V(G)}$  as follows:

- if both  $v_1$  and  $v_2$  are in  $S$ , set  $x_v = 1$ ,
- if exactly one of  $v_1$  and  $v_2$  are in  $S$ , set  $x_v = \frac{1}{2}$ ,
- $x_v = 0$  otherwise.

**Lemma:** A minimum fractional vertex cover with each weight in  $\{0, \frac{1}{2}, 1\}$  can be found in time  $O(m\sqrt{n})$

**Proof:** We reduce fractional vertex cover to VERTEX COVER in the following bipartite graph  $H$ : take two copies  $V_1$  and  $V_2$  of  $V(G)$  (if  $u \in V(G)$ , there is a copy  $u_1$  of  $u$  in  $V_1$  and a copy  $u_2$  of  $u$  in  $V_2$ .) and if  $uv \in E(G)$ , then  $u_1v_2, v_1u_2 \in E(H)$ .

Find a minimum vertex cover  $S$  in  $H$  with the Hopcroft-Karp algorithm:  $O(m\sqrt{n})$ .

Define a vector  $(x_v)_{v \in V(G)}$  as follows:

- if both  $v_1$  and  $v_2$  are in  $S$ , set  $x_v = 1$ ,
- if exactly one of  $v_1$  and  $v_2$  are in  $S$ , set  $x_v = \frac{1}{2}$ ,
- $x_v = 0$  otherwise.

We have:  $\sum_{v \in V(G)} x_v = \frac{|S|}{2}$ .

**Lemma:** A minimum fractional vertex cover with each weight in  $\{0, \frac{1}{2}, 1\}$  can be found in time  $O(m\sqrt{n})$

**Proof:** We reduce fractional vertex cover to VERTEX COVER in the following bipartite graph  $H$ : take two copies  $V_1$  and  $V_2$  of  $V(G)$  (if  $u \in V(G)$ , there is a copy  $u_1$  of  $u$  in  $V_1$  and a copy  $u_2$  of  $u$  in  $V_2$ .) and if  $uv \in E(G)$ , then  $u_1v_2, v_1u_2 \in E(H)$ .

Find a minimum vertex cover  $S$  in  $H$  with the Hopcroft-Karp algorithm:  $O(m\sqrt{n})$ .

Define a vector  $(x_v)_{v \in V(G)}$  as follows:

- if both  $v_1$  and  $v_2$  are in  $S$ , set  $x_v = 1$ ,
- if exactly one of  $v_1$  and  $v_2$  are in  $S$ , set  $x_v = \frac{1}{2}$ ,
- $x_v = 0$  otherwise.

We have:  $\sum_{v \in V(G)} x_v = \frac{|S|}{2}$ .

Since  $S$  is a vertex cover of  $H$ , at least two of the vertices  $u_1, v_1, u_2, v_2$  are in  $S$ , and thus, for every edge  $uv$ ,  $x_u + x_v \geq 1$ . So  $(x_v)_{v \in V(G)}$  is a fractional vertex cover  $G$ . Let us prove it is minimum.

Let  $(y_v)_{v \in V(G)}$  be a minimum fractional vertex cover of  $G$ .

We define a weight on  $V(H)$  as follows:

For every  $v \in V(G)$ ,  $w(v_1) = w(v_2) = y_v$ .

This weight assignment is a fractionnal vertex cover of  $H$ , i.e., for every edge  $u_1v_2$  of  $H$ , we have  $w(u_1) + w(v_2) \geq 1$ . Hence,  $\sum_{v \in V(H)} w(v)$  is at least the size of a maximum matching  $M$  of  $H$ .

Now, by König Theorem,  $|M| = |S|$ , so:

$$\sum_{v \in V(G)} y_v = \frac{1}{2} \sum_{v \in V(G)} (w(v_1) + w(v_2)) = \frac{1}{2} \sum_{v \in V(H)} w(v) \geq \frac{|S|}{2} = \sum_{v \in V(G)} x_v$$

## 3 - Color coding

# $k$ -PATH PROBLEM

## Problem ( $k$ -PATH)

Given  $(G, k)$ , decide if  $G$  contains a (simple) path on  $k$  vertices as a subgraph.

A long history:

- This problem is NP-complete (it is hamiltonian path for  $k = n$ ).

# $k$ -PATH PROBLEM

## Problem ( $k$ -PATH)

Given  $(G, k)$ , decide if  $G$  contains a (simple) path on  $k$  vertices as a subgraph.

A long history:

- This problem is NP-complete (it is hamiltonian path for  $k = n$ ).
- No trivial FPT algorithm exists.

# $k$ -PATH PROBLEM

## Problem ( $k$ -PATH)

Given  $(G, k)$ , decide if  $G$  contains a (simple) path on  $k$  vertices as a subgraph.

A long history:

- This problem is NP-complete (it is hamiltonian path for  $k = n$ ).
- No trivial FPT algorithm exists.
- Monien 1985:  $k! \cdot n^{O(1)}$  using representative set.

# $k$ -PATH PROBLEM

## Problem ( $k$ -PATH)

Given  $(G, k)$ , decide if  $G$  contains a (simple) path on  $k$  vertices as a subgraph.

A long history:

- This problem is NP-complete (it is hamiltonian path for  $k = n$ ).
- No trivial FPT algorithm exists.
- Monien 1985:  $k! \cdot n^{O(1)}$  using representative set.
- Bodlaender 1989:  $k!2^k \cdot n^{O(1)}$ , using treewidth.

# $k$ -PATH PROBLEM

## Problem ( $k$ -PATH)

Given  $(G, k)$ , decide if  $G$  contains a (simple) path on  $k$  vertices as a subgraph.

A long history:

- This problem is NP-complete (it is hamiltonian path for  $k = n$ ).
- No trivial FPT algorithm exists.
- Monien 1985:  $k! \cdot n^{O(1)}$  using representative set.
- Bodlaender 1989:  $k!2^k \cdot n^{O(1)}$ , using treewidth.
- Alon, Yuster, Zwick, 1994:  $((2e)^k)m$  using color coding.

# $k$ -PATH PROBLEM

## Problem ( $k$ -PATH)

Given  $(G, k)$ , decide if  $G$  contains a (simple) path on  $k$  vertices as a subgraph.

A long history:

- This problem is NP-complete (it is hamiltonian path for  $k = n$ ).
- No trivial FPT algorithm exists.
- Monien 1985:  $k! \cdot n^{O(1)}$  using representative set.
- Bodlaender 1989:  $k!2^k \cdot n^{O(1)}$ , using treewidth.
- Alon, Yuster, Zwick, 1994:  $((2e)^k)m$  using color coding.
- Kneiss, Molle, Richter, Rossmanith, 2006:  $4^k \cdot n^{O(1)}$  using color coding.

# $k$ -PATH PROBLEM

## Problem ( $k$ -PATH)

Given  $(G, k)$ , decide if  $G$  contains a (simple) path on  $k$  vertices as a subgraph.

A long history:

- This problem is NP-complete (it is hamiltonian path for  $k = n$ ).
- No trivial FPT algorithm exists.
- Monien 1985:  $k! \cdot n^{O(1)}$  using representative set.
- Bodlaender 1989:  $k!2^k \cdot n^{O(1)}$ , using treewidth.
- Alon, Yuster, Zwick, 1994:  $((2e)^k)m$  using color coding.
- Kneiss, Molle, Richter, Rossmanith, 2006:  $4^k \cdot n^{O(1)}$  using color coding.
- Koutis 2008:  $2^{3k/2} \cdot n^{O(1)}$ , algebraic method.

# $k$ -PATH PROBLEM

## Problem ( $k$ -PATH)

Given  $(G, k)$ , decide if  $G$  contains a (simple) path on  $k$  vertices as a subgraph.

A long history:

- This problem is NP-complete (it is hamiltonian path for  $k = n$ ).
- No trivial FPT algorithm exists.
- Monien 1985:  $k! \cdot n^{O(1)}$  using representative set.
- Bodlaender 1989:  $k!2^k \cdot n^{O(1)}$ , using treewidth.
- Alon, Yuster, Zwick, 1994:  $((2e)^k)m$  using color coding.
- Kneiss, Molle, Richter, Rossmanith, 2006:  $4^k \cdot n^{O(1)}$  using color coding.
- Koutis 2008:  $2^{3k/2} \cdot n^{O(1)}$ , algebraic method.
- Williams 2009:  $2^k \cdot n^{O(1)}$ , algebraic method.

# $k$ -PATH PROBLEM

## Problem ( $k$ -PATH)

Given  $(G, k)$ , decide if  $G$  contains a (simple) path on  $k$  vertices as a subgraph.

A long history:

- This problem is NP-complete (it is hamiltonian path for  $k = n$ ).
- No trivial FPT algorithm exists.
- Monien 1985:  $k! \cdot n^{O(1)}$  using representative set.
- Bodlaender 1989:  $k!2^k \cdot n^{O(1)}$ , using treewidth.
- Alon, Yuster, Zwick, 1994:  $((2e)^k)m$  using color coding.
- Kneiss, Molle, Richter, Rossmanith, 2006:  $4^k \cdot n^{O(1)}$  using color coding.
- Koutis 2008:  $2^{3k/2} \cdot n^{O(1)}$ , algebraic method.
- Williams 2009:  $2^k \cdot n^{O(1)}$ , algebraic method.
- Bjorklund, Husfeldt, Kaski, Koivisto 2010:  $1.66^k n^{O(1)}$

# Randomized algorithm

- A randomized algorithm is an algorithm that employs randomness.

# Randomized algorithm

- A randomized algorithm is an algorithm that employs randomness.
- IRL, a guaranteed error probability of  $10^{-100}$  is as good as a deterministic algorithm (probability of hardware failure is larger!)

# Randomized algorithm

- A randomized algorithm is an algorithm that employs randomness.
- IRL, a guaranteed error probability of  $10^{-100}$  is as good as a deterministic algorithm (probability of hardware failure is larger!)
- Randomized algorithm can be more efficient and/or conceptually simpler.

# Randomized algorithm

- A randomized algorithm is an algorithm that employs randomness.
- IRL, a guaranteed error probability of  $10^{-100}$  is as good as a deterministic algorithm (probability of hardware failure is larger!)
- Randomized algorithm can be more efficient and/or conceptually simpler.
- It can be the first step towards a deterministic algorithm

# Randomized algorithm

- A randomized algorithm is an algorithm that employs randomness.
- IRL, a guaranteed error probability of  $10^{-100}$  is as good as a deterministic algorithm (probability of hardware failure is larger!)
- Randomized algorithm can be more efficient and/or conceptually simpler.
- It can be the first step towards a deterministic algorithm
  - ▶ Standard derandomization techniques exist.

## Monte-carlo algorithm

A typical situation in randomized algorithm is the so-called Monte-Carlo algorithm with one-sided error:

- NO instance: always output NO.
- YES instance: output YES with probability  $p$  (and NO with probability  $1 - p$ ).
- The time complexity is deterministic, and depends on  $p$ .

# Monte-carlo algorithm

A typical situation in randomized algorithm is the so-called Monte-Carlo algorithm with one-sided error:

- NO instance: always output NO.
- YES instance: output YES with probability  $p$  (and NO with probability  $1 - p$ ).
- The time complexity is deterministic, and depends on  $p$ .

**Question:** Are we happy with a probability  $p = \frac{1}{10}$ ?

# Monte-carlo algorithm

A typical situation in randomized algorithm is the so-called Monte-Carlo algorithm with one-sided error:

- NO instance: always output NO.
- YES instance: output YES with probability  $p$  (and NO with probability  $1 - p$ ).
- The time complexity is deterministic, and depends on  $p$ .

**Question:** Are we happy with a probability  $p = \frac{1}{10}$ ?

**Answer:** Yes! because of Probability Amplification:

Repeat the algorithm 100 times and output YES if there was at least one YES.

Then:

$$\Pr[\text{error}] \leq \frac{9}{10^{100}}$$

# Monte-carlo algorithm

A typical situation in randomized algorithm is the so-called Monte-Carlo algorithm with one-sided error:

- NO instance: always output NO.
- YES instance: output YES with probability  $p$  (and NO with probability  $1 - p$ ).
- The time complexity is deterministic, and depends on  $p$ .

**Question:** Are we happy with a probability  $p = \frac{1}{10}$ ?

**Answer:** Yes! because of Probability Amplification:

Repeat the algorithm 100 times and output YES if there was at least one YES.

Then:

$$\Pr[\text{error}] \leq \frac{9}{10^{100}}$$

**Morality:** any constant probability is ok.

**Problem A**  
(what we want to solve)

Randomized magic



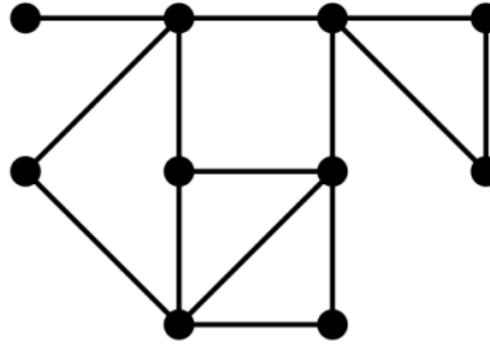
**Problem B**  
(what we can solve)

Figure by Daniel Marx

## Color coding

**Surprising idea:** transform the problem into the following:

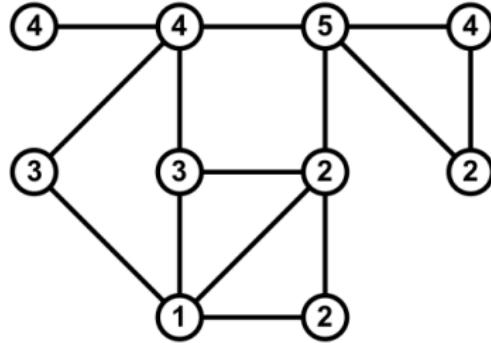
- Assume the vertices are colored randomly with  $\{1, \dots, k\}$
- **Problem:** find a path colored  $1 - 2 - \dots - k$ .



## Color coding

**Surprising idea:** transform the problem into the following:

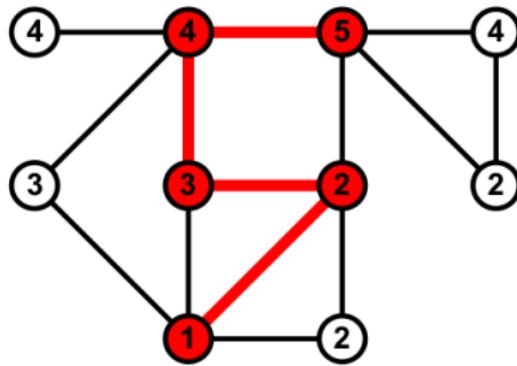
- Assume the vertices are colored randomly with  $\{1, \dots, k\}$
- **Problem:** find a path colored  $1 - 2 - \dots - k$ .



## Color coding

**Surprising idea:** transform the problem into the following:

- Assume the vertices are colored randomly with  $\{1, \dots, k\}$
- **Problem:** find a path colored  $1 - 2 - \dots - k$ .



## Color coding

- Assign color from  $[k]$  to the vertices of  $G$  uniformly and independently at random.

## Color coding

- Assign color from  $[k]$  to the vertices of  $G$  uniformly and independently at random.
- Output YES if there is a path colored  $1 - 2 - \dots - k$ , and NO otherwise.

## Color coding

- Assign color from  $[k]$  to the vertices of  $G$  uniformly and independently at random.
- Output YES if there is a path colored  $1 - 2 - \dots - k$ , and NO otherwise.
- If  $G$  has a  $k$ -path, the probability that this  $k$ -path is colored  $1-2-\dots-k$  is  $1/k^k$ .

## Color coding

- Assign color from  $[k]$  to the vertices of  $G$  uniformly and independently at random.
- Output YES if there is a path colored  $1 - 2 - \dots - k$ , and NO otherwise.
- If  $G$  has a  $k$ -path, the probability that this  $k$ -path is colored  $1-2-\dots-k$  is  $1/k^k$ .
- So if  $G$  is a YES instance, the algo output YES with probability at least  $1/k^k$

## Color coding

- Assign color from  $[k]$  to the vertices of  $G$  uniformly and independently at random.
- Output YES if there is a path colored  $1 - 2 - \dots - k$ , and NO otherwise.
- If  $G$  has a  $k$ -path, the probability that this  $k$ -path is colored  $1-2-\dots-k$  is  $1/k^k$ .
- So if  $G$  is a YES instance, the algo output YES with probability at least  $1/k^k$
- And if it is a NO instance, the algorithm output NO.

## Color coding

- Assign color from  $[k]$  to the vertices of  $G$  uniformly and independently at random.
- Output YES if there is a path colored  $1 - 2 - \dots - k$ , and NO otherwise.
- If  $G$  has a  $k$ -path, the probability that this  $k$ -path is colored  $1-2-\dots-k$  is  $1/k^k$ .
- So if  $G$  is a YES instance, the algo output YES with probability at least  $1/k^k$
- And if it is a NO instance, the algorithm output NO.
- This looks very bad, but since  $k$  is considered as a constant maybe it is not that bad!

# Brilliant idea: do it a lot of times

## Useful fact

If the probability of success of a (Monte-Carlo) algorithm is at least  $p$ , then the probability that, given a YES-instance, the algorithm return NO  $1/p$  times in a row is at most:

$$(1 - p)^{1/p} < (e^{-p})^{1/p} = 1/e \approx 0.38$$

# Brilliant idea: do it a lot of times

## Useful fact

If the probability of success of a (Monte-Carlo) algorithm is at least  $p$ , then the probability that, given a YES-instance, the algorithm return NO  $1/p$  times in a row is at most:

$$(1 - p)^{1/p} < (e^{-p})^{1/p} = 1/e \approx 0.38$$

Thus if  $p \geq \frac{1}{k^k}$ , then after  $k^k$  repetitions error probability is at most  $1/e$ :

$$\left(1 - \frac{1}{k^k}\right)^k < \frac{1}{e}$$

Hence, by trying  $100 \cdot k^k$  random colorings, the probability of a wrong answer is at most  $1/e^{100}$ .

# Find a $1 - 2 - \dots - k$ colored path

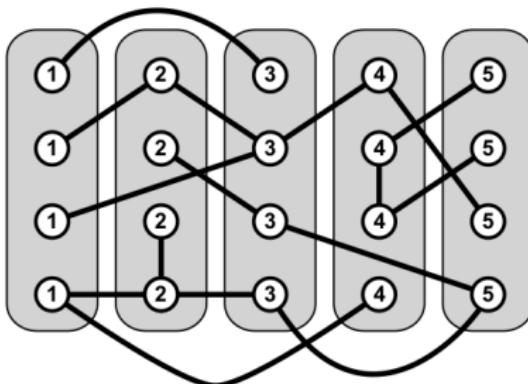


Figure by Daniel Marx

- Let  $V_i$  be the set of vertices colored  $i$  (**color class**)
- Delete edge linking non-consecutive color classes.
- Orient the edges toward the larger class
- Check if there is a path from color class 1 to color class  $k$ : this can be done in linear time with BFS.

# Find a $1 - 2 - \dots - k$ colored path

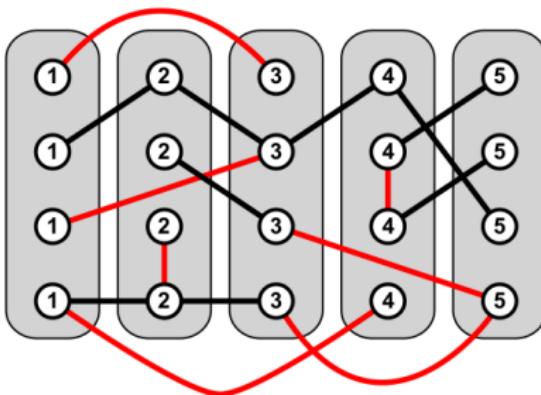


Figure by Daniel Marx

- Let  $V_i$  be the set of vertices colored  $i$  (**color class**)
- Delete edge linking non-consecutive color classes.
- Orient the edges toward the larger class
- Check if there is a path from color class 1 to color class  $k$ : this can be done in linear time with BFS.

# Find a $1 - 2 - \dots - k$ colored path

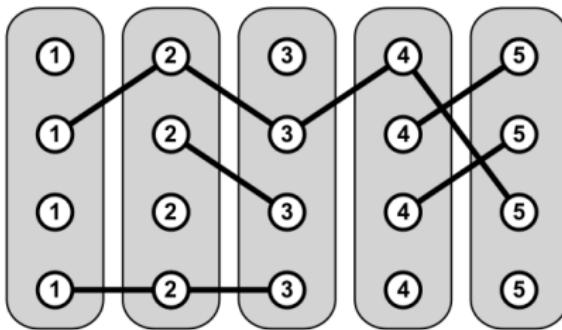


Figure by Daniel Marx

- Let  $V_i$  be the set of vertices colored  $i$  (**color class**)
- Delete edge linking non-consecutive color classes.
- Orient the edges toward the larger class
- Check if there is a path from color class 1 to color class  $k$ : this can be done in linear time with BFS.

# Find a $1 - 2 - \dots - k$ colored path

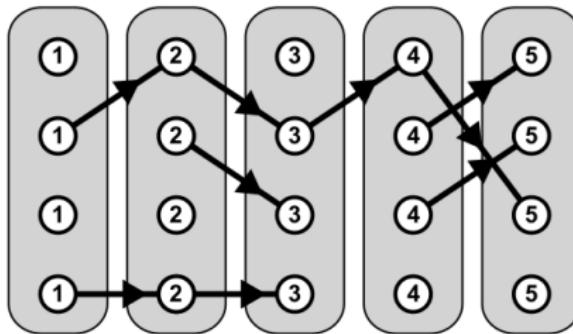


Figure by Daniel Marx

- Let  $V_i$  be the set of vertices colored  $i$  (**color class**)
- Delete edge linking non-consecutive color classes.
- Orient the edges toward the larger class
- Check if there is a path from color class 1 to color class  $k$ : this can be done in linear time with BFS.

# Find a $1 - 2 - \dots - k$ colored path

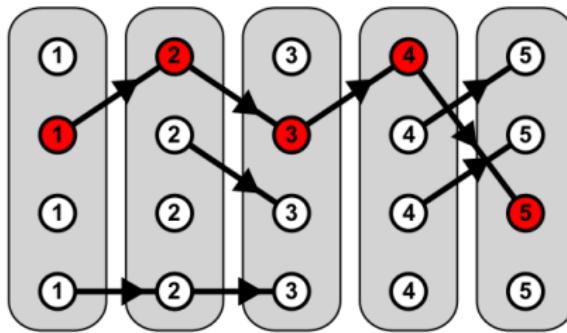


Figure by Daniel Marx

- Let  $V_i$  be the set of vertices colored  $i$  (**color class**)
- Delete edge linking non-consecutive color classes.
- Orient the edges toward the larger class
- Check if there is a path from color class 1 to color class  $k$ : this can be done in linear time with BFS.

$k$ -PATH

Color Coding  
success probability:  $k^{-k}$



Finding a  
 $1 - 2 - \dots - k$  colored  
path

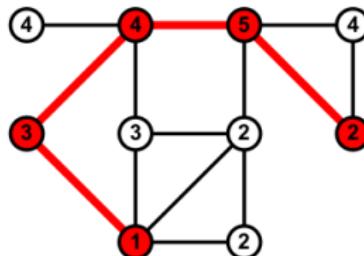
polynomial-time solvable

Complexity:  $O(c \cdot k^k \cdot (n + m))$ .  
Probability of success:  $1/e^c$

Figure by Daniel Marx

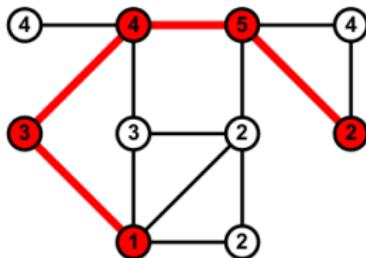
# Improved color coding

- Assign colors from  $[k]$  to the vertices uniformly and independently at random.



## Improved color coding

- Assign colors from  $[k]$  to the vertices uniformly and independently at random.



- Output YES if there is a **colorfull  $k$ -path**.

- If there is no  $k$ -path, no colorfull path exist, and the algo output NO.
- If there is a  $k$ -path, probability that it is colorfull is

$$\frac{k!}{k^k} > \frac{\left(\frac{k}{e}\right)^k}{k^k} = e^{-k}$$

- Repeat the algorithm  $100e^k$  times decrease the error probability to  $e^{-100}$ .

## Improved color coding

So replacing the problem "Find a  $k$ -path colored  $1 - 2 - \dots - k$ ?" by "Is there a  $k$ -path coloured with  $k$  colours?" allowed us to go from probability of success of  $1/k^k$  to  $1/e^k$ .

Recall that this means that we need to solve the problem  $e^k$  times instead of  $k^k$ .

But how hard is it to solve colorfull path problem?

# Find a colorfullpath with dynamic programming

**Subproblem:** For each vertex  $v$  and each set of color  $C \subseteq [k]$ , define:

$D(v, C)$  to be YES if there is a path ending at  $v$  and using each color of  $C$ .

# Find a colorfullpath with dynamic programming

**Subproblem:** For each vertex  $v$  and each set of color  $C \subseteq [k]$ , define:

$D(v, C)$  to be YES if there is a path ending at  $v$  and using each color of  $C$ .

Denote by  $\chi : V \rightarrow [k]$  the random coloring.

$D(v, C)$  is YES if and only if  $\chi(v) \in C$  and there is an edge  $uv$  for which  $D(u, C \setminus \chi(v))$  is YES.

# Find a colorfullpath with dynamic programming

**Subproblem:** For each vertex  $v$  and each set of color  $C \subseteq [k]$ , define:

$D(v, C)$  to be YES if there is a path ending at  $v$  and using each color of  $C$ .

Denote by  $\chi : V \rightarrow [k]$  the random coloring.

$D(v, C)$  is YES if and only if  $\chi(v) \in C$  and there is an edge  $uv$  for which  $D(u, C \setminus \chi(v))$  is YES.

Now, we can solve this DP in time  $2^k \cdot |E|$

# Recap

**The algorithm:** Repeat  $e^k$  times:

- ① Sample a coloring  $c : V \leftarrow \{1, \dots, k\}$
- ② Check if  $G$  contains a colorfull  $k$ -path in time  $O(2^k) \cdot |E|$  and return YES if it does.

If no colorfull  $k$ -path was found, return NO.

# Recap

**The algorithm:** Repeat  $e^k$  times:

- ① Sample a coloring  $c : V \leftarrow \{1, \dots, k\}$
- ② Check if  $G$  contains a colorfull  $k$ -path in time  $O(2^k) \cdot |E|$  and return YES if it does.

If no colorfull  $k$ -path was found, return NO.

**Analysis:**

- If no solution, the answer is correct,

# Recap

**The algorithm:** Repeat  $e^k$  times:

- ① Sample a coloring  $c : V \leftarrow \{1, \dots, k\}$
- ② Check if  $G$  contains a colorfull  $k$ -path in time  $O(2^k) \cdot |E|$  and return YES if it does.

If no colorfull  $k$ -path was found, return NO.

**Analysis:**

- If no solution, the answer is correct,
- If there is a solution  $(u_1 u_2 \dots u_k)$ ,

$$Pr(\text{single try sucess}) \geq \frac{k!}{k^k} \simeq \frac{\left(\frac{k}{e}\right)^k}{k^k} = \frac{1}{e^k}$$

# Recap

**The algorithm:** Repeat  $e^k$  times:

- ① Sample a coloring  $c : V \leftarrow \{1, \dots, k\}$
- ② Check if  $G$  contains a colorfull  $k$ -path in time  $O(2^k) \cdot |E|$  and return YES if it does.

If no colorfull  $k$ -path was found, return NO.

**Analysis:**

- If no solution, the answer is correct,
- If there is a solution  $(u_1 u_2 \dots u_k)$ ,

$$Pr(\text{single try sucess}) \geq \frac{k!}{k^k} \simeq \frac{\left(\frac{k}{e}\right)^k}{k^k} = \frac{1}{e^k}$$

$$Pr[\text{error}] = Pr[e^k \text{ single failures}] \leq \left(1 - \frac{1}{e^k}\right)^{e^k} \leq \frac{1}{e} < \frac{1}{2}$$

# Recap

**The algorithm:** Repeat  $e^k$  times:

- ① Sample a coloring  $c : V \leftarrow \{1, \dots, k\}$
- ② Check if  $G$  contains a colorfull  $k$ -path in time  $O(2^k) \cdot |E|$  and return YES if it does.

If no colorfull  $k$ -path was found, return NO.

**Analysis:**

- If no solution, the answer is correct,
- If there is a solution  $(u_1 u_2 \dots u_k)$ ,

$$Pr(\text{single try sucess}) \geq \frac{k!}{k^k} \simeq \frac{\left(\frac{k}{e}\right)^k}{k^k} = \frac{1}{e^k}$$

$$Pr[\text{error}] = Pr[e^k \text{ single failures}] \leq \left(1 - \frac{1}{e^k}\right)^{e^k} \leq \frac{1}{e} < \frac{1}{2}$$

- **Total running time:**  $O((2e)^k \cdot |E|)$ .

$k$ -PATH

Color Coding  
success probability:  $e^{-k}$



Finding a colorful path

Solvable in time  $2^k \cdot n^{O(1)}$

Figure by Daniel Marx

# Derandomization

## Definition:

A family  $\mathcal{H}$  of functions  $[n] \rightarrow [k]$  is a **k-perfect** family of hash functions if for every  $S \subseteq [n]$  with  $|S| = k$ , there is an  $h \in \mathcal{H}$  such that  $h(x) \neq h(y)$  for any  $x, y \in S, x \neq y$

**Theorem:** There is a  $k$ -perfect family of functions  $[n] \rightarrow [k]$  having size  $2^{O(k)} \log n$  (and can be constructed in time polynomial in the size of the family).

Instead of trying  $O(e^k)$  random colorings, we go through a  $k$ -perfect family  $\mathcal{H}$  of functions  $V(G) \rightarrow [k]$ . If there is a solution  $S$

- ⇒ The vertices of  $S$  are colorful for at least one  $h \in \mathcal{H}$
- ⇒ Algorithm outputs “YES”.
- ⇒  $k$ -Path can be solved in deterministic time  $2^{O(k)} \cdot n^{O(1)}$

**$k$ -PATH**

$k$ -perfect family  
 $2^{O(k)} \log n$  functions



**Finding a colorful path**

Solvable in time  $2^k \cdot n^{O(1)}$

Figure by Daniel Marx