

Torsion Balances:
An Experimenter's Handbook

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Chapter 0

History

Chapter 1

Introduction

1.1 Simple Torsion Balance

A torsion balance, in its simplest incarnation, is an extended body, called the "pendulum," suspended from a thin wire, the "torsion fiber." This forms a rotational spring-mass system which has two intrinsic parameters (ignoring loss terms): the moment of inertia, I , and the torsional spring constant, κ . The primary degree of freedom of this system is rotation of the pendulum around the axis of the fiber which we call torsion. See Section 3.1 for discussion of other degrees of freedom.

Restricting ourselves to only the torsional degree of freedom gives us:

$$I\ddot{\theta}(t) = \sum_i \tau_i(t) \quad (1.1)$$

where θ is the angle of the pendulum about vertical, t is time, and τ_i are the torques acting on the pendulum. Here we use Newton's notation for derivatives with respect to time, $\dot{x} = \partial x / \partial t$ and $\ddot{x} = \partial^2 x / \partial t^2$.

From Hooke's law, the torsional spring adds a restoring torque that follows:

$$\tau_{\text{spring}}(t) = -\kappa(\theta(t) - \theta_0) \quad (1.2)$$

where θ_0 is the equilibrium angle of the torsion balance. For most situations this is the dominant torque acting on the pendulum.

Due to historical reasons, the classical example of a torsion balance is a dumb-bell shaped pendulum suspended from a thin metal fiber, shown in Figure 1.1. The pendulum is formed by a massless rod with two equal mass "test masses" attached to each end. The torsion fiber is then attached to the rod at equal distance to each test mass. This provides a prototypical model of a torsion balance which we will analyze in detail. Modern torsional balance apparatus typically have pendulums with more complex geometry and may have multiple suspension stages.

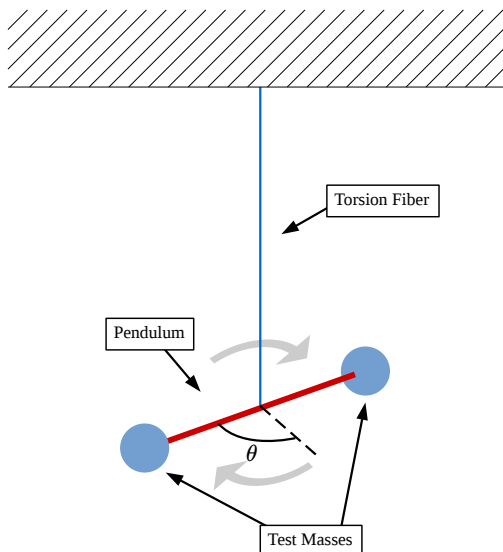


Figure 1.1: A simple torsion balance system.

1.2 Loss Terms

There are two primary sources of loss in torsion balances: external and internal damping. External damping, sometimes called velocity damping, is caused by external forces acting on the pendulum that are proportional to the angular velocity of the pendulum, such as air friction. Internal damping, on the other hand, is caused by energy dissipation internal to the torsion fiber.

External damping adds a torque on the pendulum that is proportional to the angular velocity of the pendulum:

$$\tau_{\text{vel}}(t) = -\gamma \dot{\theta}(t) \quad (1.3)$$

where γ is the damping constant. Where as internal damping can be modeled by a complex spring constant:

$$\tau_{\text{spring}}(t) = -\kappa(1 + i\delta)(\theta(t) - \theta_0) \quad (1.4)$$

where δ is the dimensionless internal loss parameter.

For most modern torsion balances, external damping is engineered away and is thus much smaller than the internal damping. Thus we will shelve discussion of external damping until Section 4.6

1.3 Equations of Motion

As mentioned in Section 1.1, the simple torsion balance is described with two primary parameters: the moment of inertia, I , which is determined by the pendulum geometry, and the torsional spring constant, κ , which is determined by the torsion fiber size and material. Adding in internal damping, this system obeys the following equation of motion:

$$I \ddot{\theta}(t) + \kappa(1 + i\delta)(\theta(t) - \theta_0) = \tau_{\text{ext}}(t) \quad (1.5)$$

where $\tau_{\text{ext}}(t)$ is the sum of all exterior torques acting on the pendulum.

If we assume a harmonic solution, $\theta(t) = A e^{i\omega t}$, we can transform Equation 1.5 into the Fourier domain to yield:

$$(-I\omega^2 + \kappa(1 + i\delta))\tilde{\theta}(\omega) = \tau_{\text{ext}}(\omega) \quad (1.6)$$

It is convenient to define two parameters here: the resonant frequency, $\omega_0 = \sqrt{\kappa/I}$, and the quality factor, $Q = \frac{1}{\delta}$. Equation 1.6 can then be rearranged to:

$$\tilde{\theta}(\omega) = \frac{\tau_{\text{ext}}(\omega)}{\kappa} \frac{1}{1 - \omega^2/\omega_0^2 + i/Q} \quad (1.7)$$

1.4 Response Function

The second dimensionless factor in Equation 1.7 is traditionally called the response function or transfer function of the system. It controls the amount of angle the pendulum gets for a given torque as a function of frequency.

$$R(\omega) = \frac{1}{1 - \omega^2/\omega_0^2 + i/Q} \quad (1.8)$$

For a pendulum with no damping, $Q \rightarrow \infty$, the response function has three distinct features. Below the resonant frequency, $\omega \ll \omega_0$, the response goes to unity. Above the resonant frequency, $\omega \gg \omega_0$, the response function follows $R(\omega) = -\omega_0^2/\omega^2$ causing effect of high frequency torques to decrease as $1/\omega^2$. At the resonant frequency, $\omega = \omega_0$ the response goes to infinity. This causes the motion at this frequency to grow without limit.

With damping, the response has a similar structure albeit with different amplitudes. Namely at the resonance the response does not approach infinity but instead $R(\omega) = -iQ$. The quality factor, hence the amount of damping, limits the maximum resonant motion for a given input torque.

The full response function is plotted in Figure 1.2 for a variety of quality factors and a resonant frequency of 0.1 Hz. As can be seen, below the resonance the magnitude of the response approaches unity with a nearly zero phase for most values of Q . There's a peak at the resonant frequency whose amplitude is strongly dependent on Q and the phase undergoes a rapid transition. Above the resonance the magnitude follows $\sim 1/\omega^2$ with a phase of 180° independent of Q -value. Note that a phase of 180° is equivalent to a negative sign.

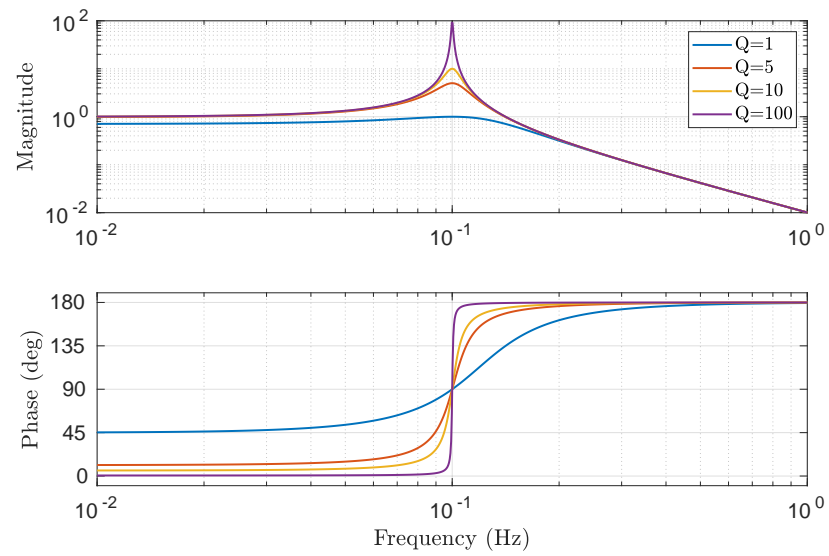


Figure 1.2: The response function for a simple torsion balance system with a resonance of $\omega_0 = 2\pi$ (0.1 Hz).

Chapter 2

Mechanics

2.1 Torque Sensing

For many experiments, the primary use of a torsion balance is to sense weak torques acting on the pendulum. In this mode, the measurements of the angle of the pendulum is converted to torque by rearranging Equation 1.7:

$$\tau_{\text{ext}}(\omega) = \frac{\kappa \tilde{\theta}(\omega)}{R(\omega)} \quad (2.1)$$

If we assume a frequency-independent angle spectrum, $\tilde{\theta}(\omega)$, (which is unrealistic but a rough approximation of spectra arising from readout noise, Section 4.2) then the corresponding torque spectrum will follow the inverse of the pendulum response function, $R(\omega)$. An example of such a spectrum is shown in Figure 2.1. This spectrum has very similar features as the response discussed in Section 1.4. However, instead of having a peak at the resonant frequency it has a dip and above the resonant frequency the torque spectrum rises as $\sim \omega^2$.

These features are the first example we've seen that expresses the significance of the frequency of signal of interest in designing an experiment. A careful experimenter would design an apparatus to minimize the noise at the frequency of interest within practical limits. For example, the model apparatus described by Figure 2.1 would not be ideal to run an experiment with a signal at 1 Hz but would be well suited for a signal at 10 mHz since the noise level differs by a factor of 100 between these two frequencies. This is a common consideration in torsion balance design that will reoccur throughout this work.

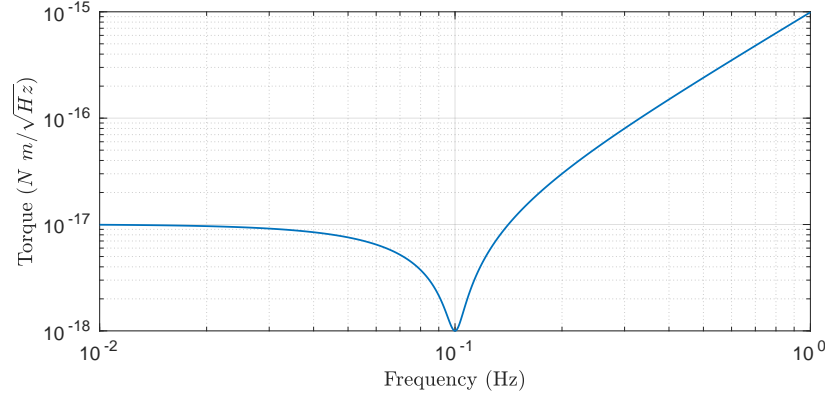


Figure 2.1: Example torque spectrum assuming a frequency independent angle spectrum $\tilde{\theta}(\omega) = 1 \text{ nrad}/\sqrt{\text{Hz}}$ amplitude, $\kappa = 10^{-8} \text{ N m/rad}$, $Q = 10$, and $\omega_0 = 2\pi$ (0.1 Hz) .

2.2 Inertial Sensing

A relatively newer use of torsion balances is for inertial sensing. Where as in the previous section we discussed torques acting on the pendulum, for inertial sensing the pendulum acts as an inertial proof mass. The goal of this mode is to measure the angular motion of structure that the pendulum is suspended from. These sorts of measurements have a wide range of application, from rotational seismology and seismic isolation to guidance systems and navigation.

A system whose support structure is allowed to move can be modeled with Equation 1.5 by allowing θ_0 to vary in time:

$$I \ddot{\theta}(t) + \kappa(1 + i\delta)(\theta(t) - \theta_0(t)) = \tau_{\text{ext}}(t) \quad (2.2)$$

Since the motion of the support is what we want to measure, Equation 2.15 can be transformed in the Fourier domain and rearranged to yield:

$$\tilde{\theta}(\omega) = \frac{1 + i/Q}{1 - \omega^2/\omega_0^2 + i/Q} \tilde{\theta}_0(\omega) \quad (2.3)$$

However, angular readout systems do not sense the inertial angle of the pendulum but instead measure the difference in angle between the support and the pendulum. (In all other sections we assume the support is inertial.) Thus, the measured angle is:

$$\tilde{\theta}_a(\omega) = \tilde{\theta}(\omega) - \tilde{\theta}_0(\omega) \quad (2.4)$$

Combining Equations 2.3 and 2.4 yields:

$$\tilde{\theta}_0(\omega) = \frac{\omega_0^2}{\omega^2} \frac{1}{R(\omega)} \tilde{\theta}_a(\omega) \quad (2.5)$$

At first glance, Equation 2.5 looks very similar to the angle response to torque, Equation 1.7. However, the extra factor of ω^2/ω_0^2 mirrors the features onto opposite sides of the resonance. For a frequency independent measured angle spectrum, the inertial angle spectrum will follow $\sim 1/\omega^2$ below the resonance while above it flattens to approach the measured angle spectrum. Figure 2.2 shows an example of such a spectrum which displays the same features as Figure 2.1 but mirrored about the resonance frequency.



Figure 2.2: Example inertial angle spectrum assuming a frequency independent measured angle spectrum $\tilde{\theta}_a(\omega) = 1 \text{ nrad}/\sqrt{\text{Hz}}$ amplitude, $Q = 10$, and $\omega_0 = 2\pi$ (0.1 Hz).

Due to the features of Equation 2.5, an inertial sensing apparatus achieves its best performance above the resonant frequency and quickly loses sensitivity below it. Thus lowering the resonant frequency increases the band of interest.

2.3 Fiber Engineering

Although it is easy to think of the torsion fiber as a minor part of the torsion balance system, manufacturing the optimal fiber for a given experiment is an art in itself. There are two primary optimizations when designing a torsion fiber: minimizing the κ of the fiber and maximizing the Q . These are not necessarily orthogonal and many design alterations will drastically change both parameters.

There are generally three parameters that can be adjusted in a fiber design: the length of the fiber, l , the cross-sectional radius¹, r , and the material. To optimize these parameters, one usually uses the following procedure. First, find out the maximum length that can fit in the given apparatus and use that length of fiber. Then choose a material based on the requirements of the experiment, what is readily available to purchase or manufacture, and which material will

¹Here we're assuming a circular cross-section but many fibers have more complex geometries which must be accounted for.

give the highest Q . Then minimize the fiber radius until the weight of the pendulum is close to the breaking strength of the fiber (with a healthy safety factor to minimize break-ability). This can be iterated through multiple times along with various apparatus changes (chamber height, charge mitigation, etc.) to achieve a near optimal set-up.

Experimenter's are not left to blindly search for optimal parameters but are instead informed by vast troves of theoretical and experimental information much of which was produced by the engineering communities. The κ -value for a fiber with uniform cross-section can be readily calculated using [1]:

$$\kappa = \mu \frac{J}{l} \quad (2.6)$$

where μ is the shear modulus of the material, J is the torsional constant of the material, and l is the length of the fiber. The shear modulus depends on the material and temperature whereas the torsional constant only depends on the cross-sectional geometry of the fiber. Assuming a circular cross-section this becomes:

$$\kappa = \mu \frac{\pi r^4}{2l} \quad (2.7)$$

where r is the cross-sectional radius of the fiber. Equation 2.7 shows the drastic dependence of κ on the radius of the fiber and to a lesser extent the length. The value of the shear modulus, μ , for a given material is typically looked-up in various engineering references. Table 2.1 gives the values for a selection of materials typically used in torsion balance apparatus.

Material	Shear Modulus, μ (GPa)
Beryllium Copper (Be-Cu)	48
Aluminum (Al), 6061-T6	224
Tungsten (W)	161
Titanium (Ti)	41
Steel	75
Fused Silica (SiO ₂)	31

Table 2.1: Table of the shear modulus for a selection of typical materials [2, 3].

Naively, Equation 2.7 could be interpreted as allowing arbitrarily low κ -values since up to this point we have not set any limits on the minimum width of the torsion fiber. However, in addition to providing the restoring torque, the fiber must also hold the pendulum's weight. The maximum force that a fiber

can experience without deforming² follows:

$$F = \sigma \pi r^2 \quad (2.8)$$

where σ is the yield strength of the material and r is the cross-sectional radius. The minimum radius needed to hold a pendulum is then:

$$r_{\min} = \sqrt{\frac{mg}{\pi\sigma}} \quad (2.9)$$

where m is the mass of the pendulum and g is the local gravitational acceleration. A fiber of this radius could hold the pendulum but any further force (earthquake, anthropogenic forces, etc.) on the fiber would cause it to yield. Thus a safety factor is used to ensure the apparatus is robust against laboratory conditions.

$$r_{\text{safe}} = \eta \sqrt{\frac{mg}{\pi\sigma}} \quad (2.10)$$

where η is the safety factor with typical values in the range of 2 - 3. Yield strength is another parameter which in practice is looked-up in engineering references. Table 2.2 shows values for a collection of typical materials used for torsion fibers.

Material	Yield Strength, σ (MPa)
Beryllium Copper (Be-Cu)	965 - 1205
Aluminum (Al), 6061-T6	241
Tungsten (W)	550
Titanium (Ti)	100 - 225
Steel	75
Fused Silica (SiO ₂)	48

Table 2.2: Table of yield strengths for a selection of typical materials [3, 4, 5, 6].

The quality factor, Q , is also an important consideration when engineering a torsion fiber. The Q -value is inversely proportional to the amount of mechanical energy dissipated in the fiber. Thus, the Q -value not only changes the response function of the system, as can be seen in Figure 1.2, but also influences the amount of thermal noise in the system. Thermal noise is discussed in detail in

²There are two tensile strengths one could use: the yield strength or the ultimate tensile strength. The yield strength is the point at which the material deforms while the ultimate tensile strength is when it breaks. The ultimate tensile strength is typically less than twice the yield strength. Here we use the yield strength as it is lower than the ultimate tensile strength and deformation of a torsion fiber would alter the other parameters discussed here.

Section 4.1; however when it comes to fiber design the important fact is that thermal torque noise follows, $\tau \sim 1/\sqrt{Q}$. Thus the higher the Q the lower the thermal noise.

The exact value of the quality factor of a given fiber is dependent on a variety of factors, from the mechanics of the end points to the presence of surface defects. However, many materials are known to have larger Q -values than others. Generally, if the material is more uniform then it will have a larger Q -value. Metals are the traditional choice especially those historically used in musical instruments (bells, guitar strings, etc.). Specifically, tungsten fibers are known to achieve Q -values of ~ 4500 [7]. A type of glass called fused silica or fused quartz is also well known to be a high- Q material. It is formed from almost pure silicon dioxide (SiO_2) and can achieve Q -values as high as 10^5 [7]. As compared to metals, fused silica is extremely delicate and thus many hours of lab work can be ruined by simply touching the fiber. To many experimenter's, including those at the world's gravitational wave observatories, this risk is well worth the exceedingly high Q -values achieved by this material.

2.4 Pendulum Design

Engineering a suitable fiber doesn't in itself make for a high-quality torsion balance; a quality pendulum is the other key ingredient. Much of the pendulum design depends strongly on the experiment that is to be conducted with the apparatus. Yet there are a few common guidelines that lead to the top performing pendulums.

With every pendulum, there is mass that is used in the scientific experiment, which we call active mass, and mass that only serves as structural support, called passive mass. In the simple torsion pendulum shown in Figure 1.1, the active mass would be the test-masses while the passive would be the rod. Since many experiments are more sensitive if the apparatus has more active mass, experimenter's want to maximize the active mass and minimize the passive. The figure of merit for a given pendulum design is the ratio of the active mass and the total mass:

$$\alpha = \frac{m_{\text{active}}}{m_{\text{active}} + m_{\text{passive}}} \quad (2.11)$$

Ideally, $\alpha = 1$ (such as in the massless-rod, simple pendulum example) which would imply that all of the mass of the pendulum is participating in the experiment. However, no realistic pendulum achieves this and α -values of 40-60% are considered satisfactory.

The other parameter that is directly controlled by the pendulum design is the moment of inertia, I . The moment of inertia can be calculated via:

$$I = \int \rho(\vec{r}) r^2 dV \quad (2.12)$$

where \vec{r} is the vector from the rotation axis to a point in the pendulum, ρ is the mass density at that point, and dV is the corresponding volume element. This

integral is taken over the entire volume of the pendulum. If we align the z -axis with the torsion fiber then the torsional moment inertia³ can be found with:

$$I = \int \rho(x, y, z) (x^2 + y^2) dx dy dz \quad (2.13)$$

For the simple torsion balance described in Section 1.1 the mass density is simply Dirac delta functions which follow (aligning the x -axis with the pendulum rod):

$$\rho(x, y, z) = m \delta(x^2 - R^2) \delta(y) \delta(z) \quad (2.14)$$

where m is the mass of one test mass and R is the “lever-arm” (the radius from the torsion fiber to the test masses). The moment of inertia then simplifies to:

$$I = 2mR^2 \quad (2.15)$$

Although Equation 2.15 is for an idealized pendulum, moments of inertia for realistic geometries follow the same scaling (i.e. $I \sim mR^2$). The primary effect of the moment of inertia on the equation of motion is the change of the resonant frequency:

$$\omega_0 = \sqrt{\frac{\kappa}{I}} \sim \frac{1}{R} \sqrt{\frac{\kappa}{m}} \quad (2.16)$$

The lever-arm not only affects the moment of inertia but can also influence the sensitivity of a given experiment. If the experiment is searching for a new force, \vec{F}_{new} , then the torque experienced by the pendulum will follow:

$$\tau(t) = \vec{r} \times \vec{F}_{\text{new}} \sim R F_{\text{new}} \quad (2.17)$$

Thus for a given torque sensitivity, the larger the lever-arm the more sensitive the experiment is to new forces. This is why most experimenters place the test masses at the largest radius allowed in the given apparatus.

In addition to maximizing the active mass and tuning the geometry, a pendulum design must account for a variety of extraneous couplings and noise sources that are addressed in later sections. These include allowing angle read-out, Section 4.2, minimizing electrostatic coupling, Section 4.4, and accounting for gravity gradients, Section 4.7.

³There is a different moment of inertia for each rotation axis. Here we only calculate the torsional moment (i.e. for rotations around the z -axis) but a similar procedure can yield the other moments. Generally, there’s a moment of inertia tensor which allows one to calculate the moment around any axis of rotation.

Chapter 3

Complications

3.1 Swing Modes

Up to this point, we have only focused on the torsional degree of freedom but any torsion balance with a non-zero length torsion fiber will also permit “swing” modes. These are the prototypical pendulum modes whose restoring force is due to gravity not the torsion spring. Figure 3.1 shows the swing degree of freedom for our simple torsion balance system.

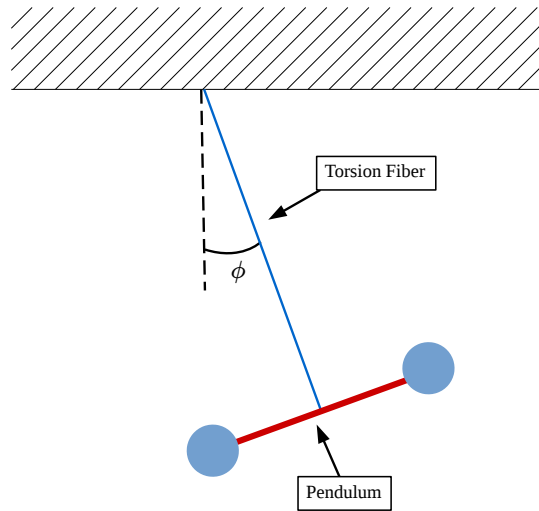


Figure 3.1: The swing degree of freedom for a simple torsion balance system.

Restricting ourselves to one swing axis and allowing for external damping of

this motion, the equation of motion for the swing degree of freedom is:

$$I_\phi \ddot{\phi}(t) + \gamma_\phi \dot{\phi}(t) - \tau_g(t) = \tau_\phi(t) \quad (3.1)$$

where I_ϕ is the moment of inertia about the swing axis (into the page in Figure 3.1), ϕ is the swing angle of the pendulum, γ_ϕ is the swing damping constant, τ_g is the gravitational restoring torque, and τ_ϕ is the exterior torque about the swing axis. Treating the pendulum as a point mass gives:

$$ml^2 \ddot{\phi}(t) + \gamma_\phi \dot{\phi}(t) + mgl \sin(\phi(t)) = \tau_\phi(t) \quad (3.2)$$

where m is the mass of the pendulum, l is the length of the torsion fiber, and g is the local gravitational acceleration. If we take the small- ϕ approximation the equation of motion becomes:

$$\ddot{\phi}(t) + \frac{\gamma_\phi}{ml^2} \dot{\phi}(t) + \frac{g}{l} \phi(t) = \frac{\tau_\phi(t)}{ml^2} \quad (3.3)$$

If we define $\nu_0 = \sqrt{g/l}$ and $\nu_0/\Omega = \gamma_\phi/(ml^2)$, the equation of motion in the Fourier domain becomes:

$$\phi(\omega) = \frac{\tau_\phi(t)}{mgl} \frac{1}{1 - \omega^2/\nu_0^2 + i\omega/(\nu_0\Omega)} \quad (3.4)$$

3.2 Centrifugal Force

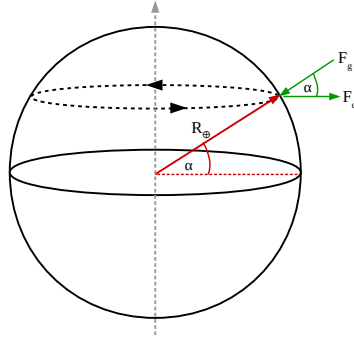


Figure 3.2: Geometry of forces caused by having the torsion balance on the surface of the Earth.

For most practical purposes, we assume that the torsion fiber runs parallel to local gravity. If the Earth was a perfect sphere the fiber would point directly towards the center of the Earth. However, this is only true if the torsion balance is located at either the equator or one of the planet's poles. Torsion balances

experience two forces due to being located on the surface of the Earth: the gravitational pull of the Earth and the centrifugal force due to the Earth's rotation. These together determine the equilibrium swing angle which is not pointed along local gravity.

Since the Earth is rotating, the torsion balance feels a centrifugal force that points perpendicular to the rotation axis of the Earth and follows:

$$F_c = m\omega_{\oplus}^2 R_{\oplus} \cos \alpha \quad (3.5)$$

where m is the mass of the pendulum, ω_{\oplus} is the angular frequency of the Earth, R_{\oplus} is the radius of the Earth, and α is the latitude of the torsion balance's location. Figure 3.2 shows the geometry of this force along with the gravitational force due to the Earth.

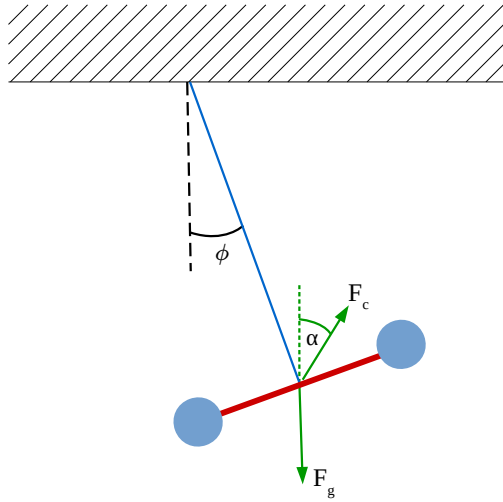


Figure 3.3: Force diagram for the forces acting on the torsion balance in equilibrium.

In the lab frame, the centrifugal force points upwards at an angle from local vertical equal to the latitude of the torsion balances location as shown in Figure 3.4. This provides an additional torque on the balance which shifts its swing equilibrium angle to be:

$$\phi = \arctan \left(\frac{\omega_{\oplus}^2 R_{\oplus} \cos \alpha \sin \alpha}{g - \omega_{\oplus}^2 R_{\oplus} \cos^2 \alpha} \right) \quad (3.6)$$

3.3 Multiple Pendulums

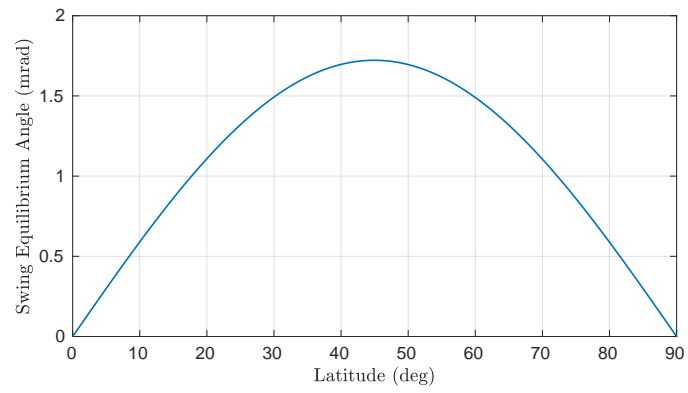


Figure 3.4: Force diagram for the forces acting on the torsion balance in equilibrium.

Chapter 4

Noise Sources and Mitigation

4.1 Thermal Noise

The fact that torsion balances are at finite temperature causes ever-present noise which is one of the most difficult to mitigate.

This thermal motion causes a torque that follows [8]:

$$\tau(\omega) = \sqrt{4k_B T \left(\frac{\kappa}{Q}\right) \left(\frac{1}{\omega}\right)} \quad (4.1)$$

where k_B is Boltzman's constant, T is the temperature, κ is the spring constant, Q is the quality factor, and ω is the angular frequency.

There are three parameters that can be altered to minimize this noise. For most torsion balances the temperature is set by the ambient temperature of the laboratory however, a few experimenters have investigated cooling their apparatus to cryogenic temperatures [?]. The other two, κ and Q , are determined by the torsion fiber and thus readily engineered.

- 4.2 Readout Noise
- 4.3 Seismic Motion
- 4.4 Electrostatic Couplings
- 4.5 Magnetic Noise
- 4.6 Gas Damping
- 4.7 Gravity Gradients

Chapter 5

Data Analysis Techniques

5.1 Fourier Analysis

5.2 Linear Least-Squares Fitting

Chapter 6

Case Study Experiments

6.1 Inverse Square Law

6.2 Equivalence Principle

6.3 Gravitational Wave Detection

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