

given a covering  $p : E \rightarrow X$  and  $x$  in  $X$ :

Thm (Lifting) for all  $e$  in  $p^{-1}(x)$ :

- a) injection  $\varphi_e : p^*(\pi_1(E, e)) \rightarrow \pi_1(X, x)$   
defined by  
 $\varphi_e(p^*(\pi_1(E, e)) * [\gamma]) = e \cdot [\gamma]$
- b) if  $E$  is path-connected, then  $\varphi_e$  is bijective

Thm (Galois) if  $X$  is conn. & locally simply-conn.,  
then a bijection:

$$\{\text{pointed (path-conn.) coverings of } X\} / \sim \\ \rightarrow \{\text{subgroups of } \pi_1(X, x)\}$$

defined by  $(p : E \rightarrow X, e)$  mapsto  $p^*(\pi_1(E, e))$

why Galois?

$H$  subgp of  $H'$

$H = \pi_1(X, x)$  [?]

$H$  trivial [?]

$p$  extends  $p'$

$p$  trivial [ $= \text{id}_X$ ]

$E$  simply-conn.

Df

a covering  $p : E \rightarrow X$  is universal iff  
 $E$  is path-connected & simply-connected

Thm

if  $X$  is conn. & locally simply-conn., then:  
a universal covering exists

idea:

want  $\pi_1(X, x) \rightarrow p^{-1}(x)$  to be a bijection

take  $p^{-1}(x) = \pi_1(X, x)$  literally

Df the path space of  $X$  is

$$\Pi_X = \{\text{paths } \gamma : [0, 1] \rightarrow X\}$$

[space not set?] with the compact-open topology:

the topology with subbasis  $B_{K, U}$ , where

$$B_{K, U} = \{\gamma \mid \gamma(K) \text{ is inside } U\}$$

for all compact  $K$  in  $[0, 1]$  and open  $U$  in  $X$

[why a subbasis? note that  $B_{[0, 1], X} = \Pi_X$ ]

[how can we get from  $\Pi_X$  back to  $X$ ?]

Lem the maps  $p_0, p_1 : \Pi_X \rightarrow X$  defined by  
 $p_0(\gamma) = \gamma(0)$  and  $p_1(\gamma) = \gamma(1)$  are cts

Pf  $p_i^{-1}(U) = B_{\{i\}, U}$  for  $i = 0, 1$

the based/pointed path space of  $(X, x)$  is

$$\Pi_{X, x} = \{\gamma \text{ in } \Pi_X \mid \gamma(0) = x\} = p_0^{-1}(x)$$

consider  $p_1 : \Pi_{X, x} \rightarrow X$ :

- $p_1^{-1}(y) = \{\text{paths in } X \text{ from } x \text{ to } y\}$
- $p_1^{-1}(x) = \{\text{loops in } X \text{ based at } x\}$

Lem  $\Pi_{X, x}$  is contractible

$$h : \Pi_{X, x} \times [0, 1] \rightarrow \Pi_{X, x}$$

def by  $h(\gamma, t) = \gamma_t$ , where

$\gamma_t(s) = \gamma(s)$	$s \leq t$
$\gamma_t(s) = \gamma(t)$	$s \geq t$

almost what we want: [what's left?]

need to quotient by path-homotopy:

if  $\gamma \sim_{\text{path}} \gamma'$ , then  $p_1(\gamma) = p_1(\gamma')$

Thm  $p_1 : \Pi_{X, x} \rightarrow X$  induces  
a universal covering  $\Pi_{X, x} / \sim_{\text{path}} \rightarrow X$

Rem every other cover is a quotient of this

if  $p : E \rightarrow X$  is a covering,  
then self-homeo's  $\varphi : E \rightarrow E$  s.t.

$p \circ \varphi = p$  are called  
deck transformations of  $p$   
and form a group  $\text{Deck}(p)$

[similar to pointed self-equiv.'s but need not  
preserve a basept on  $E$ ]

if  $p$  is universal, then  $\text{Deck}(p)$  is iso to  $\pi_1(X)$   
[in general,  $\text{Deck}(p)$  is iso to  $N_{\pi_1(X)}(\pi_1(E))$ ]  
so any subgrp  $H$  of  $\pi_1(X)$  is iso to one of  $\text{Deck}(p)$

$$\pi_1((\Pi_{X, x} / \sim_{\text{path}}) / H) = H$$

thus surjectivity in the Galois correspondence

where to go from here?

- homology groups  $H^n(X)$
- higher homotopy groups  $\pi_n(X)$
- manifold theory

a surface is a manifold of dim 2

the connect sum (#-sum) of connected surfaces  
is defined in PS9, #3 [draw]

[any connected manifold is path-connected]

Thm (Classification of Compact Surfaces)

any conn. component of a compact surface  $S$  is  
the #-sum of a sphere with finitely many

- handles [T: draw]
- cross-handles [K: draw]
- cross-caps [ $P^2$ : draw]
- holes (including boundary)

call such a component ordinary

[hardest part of the proof:]  $S$  has a triangulation:  
it is the quotient of a disjoint union of  
finitely many triangles mod “edge zipping”  
[edges paired up with orientations]

Zip Proof of Classification from Triangulation  
(due to John H. Conway)

induction on zipping relations

base case: before zipping, each triangle \*is\*  
a sphere with a single hole, hence ordinary

Claim every time we zip together ordinary  
components [possibly one to itself],  
the result is still ordinary

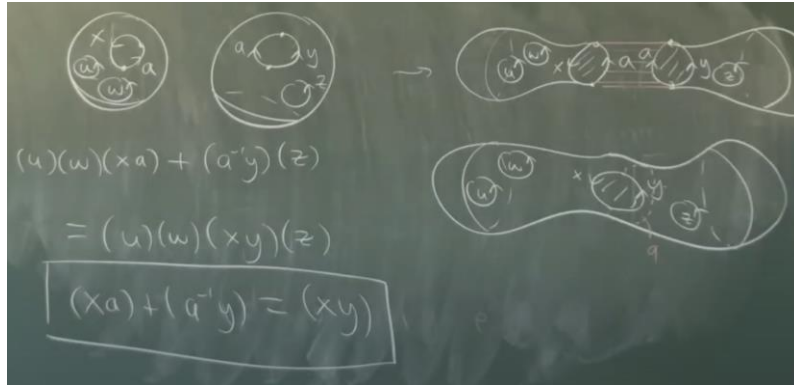
how to label holes with zippers?

(non-reduced) words like  $abc\dots$  up to

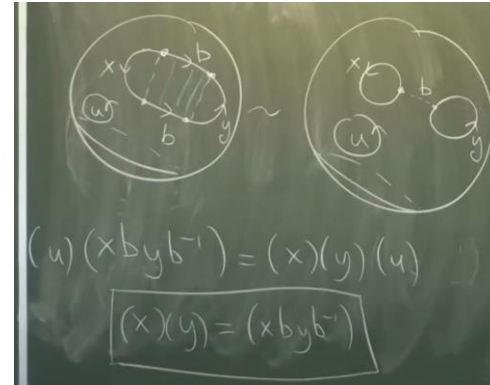
cyclic shift  $ab\dots yz = zab\dots y = \dots$

inversion  $ab\dots yz = z^{-1}y^{-1}\dots b^{-1}a^{-1}$

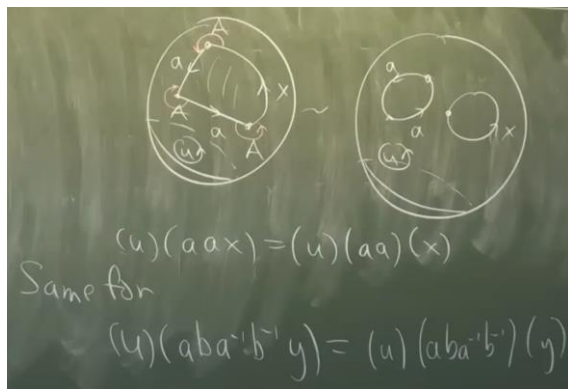
- 1) zipping “a” to “ $a^{-1}$ ” on separate holes:  
handle with possible extra hole



- 2) zipping “a” to “a” on separate holes:  
handle or cross-handle with possible hole
- 3) zipping “b” to “ $b^{-1}$ ” on the same hole:  
possible extra hole



- 4) zipping “b” to “b” on the same hole:  
cross-cap with possible hole



lastly:

Addendum a cross-handle can be replaced  
with two cross-caps

see Francis–Weeks, “Conway’s ZIP Proof”

