

The Gen and Cogen Formulas for Torus Knot Homology

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(results joint with Oscar Kivinen)

triply-graded Khovanov–Rozansky homology:

$$\mathrm{HHH} : \{\text{links}\} / \text{isotopy} \rightarrow \mathrm{Vect}_{3\text{-gr}}$$

$$\text{KhR polynomial: } \mathbf{P}_L(a, q, t) = \sum_{i,j,k} a^i q^j t^k \mathrm{HHH}^{i,j,k}(L)$$

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(Elias–Hogancamp–Mellit) computed $\mathbf{P}_{m,n} := \mathbf{P}_{\text{torus}(m,n)}$

for all $m, n > 0$

$$\text{torus}(m, n) = \widehat{\beta_n^m}, \quad \text{where } \beta_n = \sigma_1 \sigma_2 \cdots \sigma_{n-1} \in \mathrm{Br}_n.$$

$$\underline{\text{Ex}} \quad \mathbf{P}_{2,1} = \mathbf{P}_{\text{unknot}} = 1 \quad \underline{\text{Ex}} \quad \mathbf{P}_{2,2} = 1 + \frac{qt}{1-q} + \frac{at}{1-q}$$

$$\underline{\text{Ex}} \quad \mathbf{P}_{2,3} = 1 + qt + at$$

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$$\underline{\text{Thm}} \quad \sum_{\Delta \in D_{m,n}} q^{g_\Delta} t^{h_\Delta} f_\Delta^{\text{Gen}} \stackrel{\text{KT}}{=} \mathbf{P}_{m,n} \stackrel{\text{GMV}}{=} \sum_{\Delta \in D_{m,n}} q^{g_\Delta} t^{h_\Delta} f_\Delta^{\text{Cogen}}$$

where the LHS requires m, n coprime

Ex $\mathbf{P}_{4,3}$ has 11 terms:

$q^{g_\Delta} t^{h_\Delta}$	Gen ⁺		Cogen	
$q^3 t^3$	\emptyset	1	$\{5\}$	$1 + aq^{-1}$
$q^2 t^2$	$\{5\}$	$1 + at$	$\{1, 2\}$	$(1 + aq^{-1})(1 + aq^{-1}t)$
qt^2	$\{1\}$	$1 + at$	$\{2\}$	$1 + aq^{-1}$
qt	$\{2\}$	$1 + at$	$\{1\}$	$1 + aq^{-1}$
1	$\{1, 2\}$	$(1 + at)(1 + at^2)$	\emptyset	1

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$$\mathbf{N}_0 = \{0, 1, 2, \dots\}$$

$$D_{m,n} = \{\Delta \subseteq \mathbf{N}_0 \mid \Delta + m, \Delta + n \subseteq \Delta \text{ and } 0 \in \Delta\}.$$

$$g_\Delta = |\mathbf{N}_0 - \Delta|$$

$$h_\Delta = \sum_{k \in \text{Gen}_n(\Delta)} |\{k < j < k + n \mid j \notin \Delta\}|, \text{ where}$$

$$\text{Gen}_n(\Delta) = \{k \in \Delta \mid k - n \notin \Delta\}.$$

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$$\text{Gen}(\Delta) = \{k \in \Delta \mid k - m, k - n \notin \Delta\}$$

$$\text{Gen}^+(\Delta) = \text{Gen}(\Delta) - \{0\}$$

$$\text{Cogen}(\Delta) = \{k \in \mathbf{N}_0 - \Delta \mid k + m, k + n \in \Delta\}$$

$$f_\Delta^{\text{Gen}} = \prod_{k \in \text{Gen}^+} (1 + at^{|\{j \in \text{Gen}_n \mid k - m < j < k\}|}),$$

$$f_\Delta^{\text{Cogen}} = \prod_{k \in \text{Cogen}} (1 + aq^{-1}t^{|\{j \in \text{Gen}_n \mid k + n < j < k + n + m\}|})$$

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$$\underline{\text{Ex}} \quad \text{take } \Delta = \{0, 3, 4, 5, 6, \dots\} = \mathbf{N}_0 - \{1, 2\} \quad \in D_{4,3}$$

$$\text{Gen}_3(\Delta) = \{0, 4, 5\}, \quad \text{Gen}^+(\Delta) = \{5\}, \quad \text{Cogen}(\Delta) = \{1, 2\},$$

$$\begin{aligned} f_\Delta^{\text{Gen}} &= 1 + at^{|\{j \in \text{Gen}_3 \mid 5 - 4 < j < 5\}|} \\ &= 1 + at \end{aligned}$$

$$\begin{aligned} f_\Delta^{\text{Cogen}} &= (1 + aq^{-1}t^{|\{j \in \text{Gen}_3 \mid 1 + 3 < j < 1 + 7\}|}) \\ &\quad \cdot (1 + aq^{-1}t^{|\{j \in \text{Gen}_3 \mid 2 + 3 < j < 2 + 7\}|}) \\ &= (1 + aq^{-1}t)(1 + aq^{-1}) \end{aligned}$$

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Gen formula from Mellit, [G&T](#) (2022)

Cogen formula from Hogancamp–Mellit, arXiv:1909.00418,
via Gorsky–Mazin–Vazirani (2020)

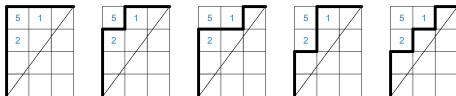
Both rely on recursions from Elias–Hogancamp (2019)

In turn starts from Khovanov (2008):

$$\text{braid } \beta \rightsquigarrow \text{bimodule complex } F_\beta \rightsquigarrow \text{HHH}(\hat{\beta})$$

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Mellit’s recursion decomposes β_n^m into a sum indexed by m/n Dyck paths:



bijection $D_{m,n} \xrightarrow{\sim} \{m/n \text{ Dyck paths } \pi\}$

role of Dyck paths predicted by Gorsky–Neguț (2016)

start with diagonal and “sweep up” applying local rules
from Mellit, [Duke](#) (2021)

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$$\nu_*(\pi) = \{\text{bottom right corners of squares } \searrow \pi\}$$

$$\nu^*(\pi) = \{\text{top left corners of squares } \swarrow \pi\}$$

Lem if $\Delta \mapsto \pi$, then:

$$(1) \quad \{j \in \text{Gen}_n(\Delta) \mid k - m < j < k\} \xrightarrow{\sim} \nu_*(\pi)$$

$$(2) \quad f_\Delta^{\text{Gen}} = \prod_{p \in \nu_*(\pi)} (1 + at^{|\kappa_\pi(p)|}) = \frac{1}{1+a} \prod_{p \in \nu^*(\pi)} (1 + at^{|\kappa_\pi(p)|})$$

where $\kappa_\pi(p) = \{\text{horizontal steps of } \pi \text{ meeting } l_{m/n}(p)\}$

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we used the Gen formula to show:

Thm for fixed $n > 0$,

$$\frac{1}{1-q} \lim_{\substack{m \rightarrow \infty \\ m, n \text{ coprime}}} \mathbf{P}_{m,n}(a, q, t) = \prod_{1 \leq j \leq n} \frac{1 + at^{j-1}}{1 - qt^{j-1}}$$

$\frac{1}{1-q} \mathbf{P}_{m,n}(a, q, t)$ is determined by its expansion up to q -degree $\frac{(m-1)(n-1)}{2}$, by palindromicity of $\mathbf{P}_{m,n}$

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Cor formula for $\mathbf{P}_{m,n}$ when $n \leq 3$ and m, n coprime

Pf $\frac{1}{1-q} \mathbf{P}_{m,n}$ matches $\frac{1}{1-q} \mathbf{P}_{\infty,n}$ up to q -degree m

Cor the ORS conjecture for $y^n = x^m$:

$$\frac{\mathbf{P}_{m,n}(a, q, qt^2)}{1-q} = \sum_{k, \ell} a^k q^\ell t^{k^2-k} \chi(t, \underbrace{\text{Hilb}_{k\text{-nest}}^\ell(y^n = x^m)}_{\subseteq \text{Hilb}^\ell \times \text{Hilb}^{\ell+k}})$$

Pf ORS established a matching formula on the Hilb side