

# Character Formulas from Lusztig Varieties and Affine Springer Fibers

Minh-Tâm Quang Trinh

Yale University

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- 1 Braids
- 2 Lusztig Varieties
- 3 Springer Fibers
- 4 Affine Springer Fibers

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1 Braids The braid group  $Br_n =$ 

$$\left\langle \sigma_1, \dots, \sigma_{n-1}, \left| \begin{array}{c} \sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}, \\ \sigma_i \sigma_j = \sigma_j \sigma_i \text{ when } |i-j| > 1 \end{array} \right. \right\rangle$$

appears in knot theory and representation theory.

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A link is a collection of circles (tamely) embedded in  $\mathbb{R}^3$ . Knot theory is about isotopy invariants of links.

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Let  $G = SL_n$  and B its upper-triangular subgroup.

Let  $R(q) = \{Z\text{-valued functions on } G(\mathbf{F}_q)/B(\mathbf{F}_q)\}.$ 

(Iwahori) There is a surjective homomorphism

$$\mathbf{Z}Br_n \twoheadrightarrow H_n(q) := \operatorname{End}_{G(\mathbf{F}_q)}(R(q)).$$

To describe it, recall the Bruhat decomposition

$$G = \bigsqcup_{w \in S_n} B\dot{w}B.$$

Let  $h_{w} \curvearrowright R(q)$  be the Hecke operator

$$h_w(\mathbf{1}_{xB(\mathbf{F}_q)}) = \sum_{y^{-1}x \in B\dot{w}B} \mathbf{1}_{yB(\mathbf{F}_q)}.$$

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The Hecke algebra

$$H_n := rac{\mathbf{Z}[\mathsf{q}]Br_n}{\langle \sigma_i^2 - (\mathsf{q} - 1)\sigma_i - \mathsf{q} 
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is a generic version:  $H_n|_{q\to q} = H_n(q)$ .

Jones-Ocneanu used traces  $H_n \xrightarrow{\mu_n} \mathbf{Q}(\mathbf{q})[a^{\pm 1}]$  to construct the HOMFLYPT link invariant.

If  $L = \hat{\beta}$  for some n and  $\beta \in Br_n$ , then

$$HOMFLYPT(\hat{\beta}) = (-a)^{e(\beta)} \mu_n(\beta),$$

where  $e: Br_n \to \mathbf{Z}$  is the writhe map  $\sigma_i \mapsto 1$ .

Surprisingly, special values of HOMFLYPT are famous polynomials in combinatorics: q-Catalan numbers, q-Kirkman numbers, etc.

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## 2 Lusztig Varieties We can geometrize Iwahori.

Fix a positive braid  $\beta = \sigma_{i_1} \cdots \sigma_{i_\ell}$ . (Deligne) The variety

$$\frac{\mathcal{O}(\beta)}{\mathcal{O}(\beta)} = \left\{ (g_0 B, g_1 B, \dots, g_{\ell} B) \middle| \begin{array}{c} g_{j-1}^{-1} g_j \in B \dot{s}_{i_j} B \\ \text{for } j = 1, \dots, \ell \end{array} \right\}$$

only depends on  $\beta$ , not  $(i_1, i_2, \dots, i_{\ell})$ , up to isomorphisms that fix  $g_0 B$  and  $g_{\ell} B$ .

In fact, if we fix  $\bar{g}_0, \bar{g}_\ell$  such that  $\bar{g}_0^{-1}\bar{g}_\ell \in B\dot{w}B$ , then

$$\left| \left\{ \vec{g}B \in O(\beta)(\mathbf{F}_q) \middle| \begin{array}{c} g_0 B = \bar{g}_0 B, \\ g_\ell B = \bar{g}_\ell B \end{array} \right\} \right|$$

is the coefficient of  $h_w$  when we expand  $\beta$  in the Hecke operator basis of  $H_n(q)$ .

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For any  $x \in G(\mathbf{F}_q)$ , form the braid Lusztig variety

$$\mathcal{B}(\beta)_x = \{ \vec{g}B \in O(\beta) \mid g_\ell B = xg_0 B \}.$$

(Shende–Treumann–Zaslow) Up to a monomial in q,

$$\frac{|\mathcal{B}(\beta)_1(\mathbf{F}_q)|}{|\mathrm{PGL}_n(\mathbf{F}_q)|}$$

is the "highest" a-degree of HOMFLYPT ( $\hat{\beta})$  at  $\mathsf{q}\to q.$ 

Example Let n=2 and  $\beta=\sigma_1^3\in Br_2$ .

$$O(\beta) \simeq \{ \vec{g} \in (\mathbf{P}^1)^4 \mid g_0 \neq g_1 \neq g_2 \neq g_3 \},$$
  
 $\mathcal{B}(\beta)_1 \simeq \{ \vec{g} \in (\mathbf{P}^1)^3 \mid g_1 \neq g_2 \neq g_3 \}.$ 

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Indeed, HOMFLYPT(
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3 Springer Fibers How to access other a-degrees? One way uses Springer theory. Observe that

$$\mathcal{B}_x := \mathcal{B}(1)_x = \{gB \mid gB = xgB\}$$

is the usual Springer fiber over z, whose cohomology defines a character of  $S_n$ : namely,

$$Q_x(w) := \sum_i q^i \operatorname{tr}(w \mid H^{2i}(\mathcal{B}_x)).$$

Most interesting over the unipotent variety  $\mathcal{U} \subseteq G$ . Thm 1 (T) Let

$$Q_{\beta}(w) = \frac{1}{|\operatorname{PGL}_n(\mathbf{F}_q)|} \sum_{u \in \mathcal{U}(\mathbf{F}_q)} |\mathcal{B}(\beta)_u(\mathbf{F}_q)| Q_u(w).$$

Then  $(\chi_{(n-k,1,\ldots,1)}, Q_{\beta})_{S_n}$  sees the kth a-degree.

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Moreover,  $PGL_2(\mathbf{F}_q) \curvearrowright \mathcal{U}(\mathbf{F}_q) - \{1\}$  transitively, with stabilizer of size q.

$$\begin{split} Q_{\beta} &= \frac{|\mathrm{PGL}_2|}{|\mathrm{PGL}_2|} \cdot (1 + q \, \mathrm{sgn}) + \frac{q^3}{q} \cdot 1 \\ &= 1 + q^2 + q \, \mathrm{sgn}. \end{split}$$

Thm 2 (T) The cohomology of  $\mathcal{U}(\beta) \times_{\mathcal{U}} \mathcal{U}(1)$  sees finer invariants of  $\hat{\beta}$ , where

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$$|\mathcal{B}(\beta)_u| = \begin{cases} |PGL_2| & u = 1, \\ q^3 & u \neq 1, \end{cases}$$
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The full twist  $\pi = (\sigma_1 \cdots \sigma_{n-1})^n$  generates  $Z(Br_n)$ .



Thm 3 (T) Suppose  $\beta^m = \pi^d$  for some d, m > 0. Then up to a monomial,  $Q_{\beta}(w)$  equals

$$\frac{\operatorname{sgn}(w)}{\det(1-qw\mid \mathfrak{h})} \sum_{\lambda \vdash n} q^{c(\lambda)d/m} D_{\lambda}(e^{2\pi id/m}) \chi_{\lambda}(w)$$

#### where:

- h is the reflection representation.
- $c(\lambda)$  is the sum of *contents* of  $\lambda$ .
- $D_{\lambda}(t) = K_{\lambda,(1^n)}(t)$  is the fake degree of  $\lambda$ .

Proof uses the character theory of  $S_n$  and  $H_n$ .

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Thm 3 generalizes to any reductive G.

Replace  $S_n$  with the Weyl group W.

Replace c with  $c(\chi)=\sum_{t \text{ refl.}} \frac{\chi(t)}{\chi(1)}$  and fake degrees with generic degrees:

$$\label{eq:definition} \frac{\mathcal{D}_\chi(t) \in \mathbf{Q}[t] \quad \text{such that } R(q) = \bigoplus_{\chi \in \mathrm{Irr}(W)} \chi_q^{\oplus D_\chi(q)}.$$

When m = n and gcd(d, n) = 1, the formula simplifies:

$$(\text{monomial}) \cdot \left| \frac{\det(1 - q^d w \mid \mathfrak{h})}{\det(1 - q w \mid \mathfrak{h})} \right| =: \Pi_q^{(d)}.$$

 $\Pi_q^{(d)}$  is the character of a rational parking space. (triv,  $\Pi_q^{(d)})_W$  is a rational q-Catalan number.

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### 4 Affine Springer Fibers Now work over C.

Rational parking spaces form modules over rational Cherednik algebras = rational DAHAs:

$$\mathfrak{H}_W = \frac{\mathbf{C}W \ltimes (\mathrm{Sym}(\mathfrak{h}) \otimes \mathrm{Sym}(\mathfrak{h}^{\vee}))}{\langle \operatorname{relations} \rangle}.$$

finite Springer	affine Springer
G	$G(\!(z)\!)$
G/B	$G(\!(z)\!)/I$
W	$\widetilde{W} = W \ltimes X^{\vee}$
$\mathbf{C}W \ltimes \mathrm{Sym}(\mathfrak{h})$	$\mathbf{C}\widetilde{W} \ltimes \mathrm{Sym}(\mathfrak{h})$ or $\mathfrak{H}_W$

#### Above:

- G((z)) is the loop group G((z))(R) := G(R((z))).
- I is the preimage of B in G[[z]].
- $X^{\vee}$  is the cocharacter lattice of B.

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- I is the preimage of B in G[[z]].

finite Springer

•  $X^{\vee}$  is the cocharacter lattice of B.

4 Affine Springer Fibers Now work over C.

Rational parking spaces form modules over rational Cherednik algebras = rational DAHAs:

$$\mathfrak{H}_W = \frac{\mathbf{C}W \ltimes (\mathrm{Sym}(\mathfrak{h}) \otimes \mathrm{Sym}(\mathfrak{h}^{\vee}))}{\langle \operatorname{relations} \rangle}.$$

 $\begin{array}{ll} \text{finite Springer} & \text{affine Springer} \\ G & G(\!(z)\!) \\ G/B & G(\!(z)\!)/I \\ W & \widetilde{W} = W \ltimes X^\vee \\ \mathbf{C}W \ltimes \mathrm{Sym}(\mathfrak{h}) & \mathbf{C}\widetilde{W} \ltimes \mathrm{Sym}(\mathfrak{h}) \text{ or } \mathfrak{H}_W \end{array}$ 

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Henceforth, we consider Springer fibers over the Lie algebras  ${\mathfrak g}$  and  ${\mathfrak g}(\!(z)\!),$  not the groups.

$$x: \quad \mathcal{B}_x = \{ gB \in G/B \mid g^{-1}xg \in \mathfrak{b} \},$$
  
$$\gamma = \gamma(z): \quad \mathcal{B}_{\gamma}^{\text{aff}} = \{ gI \in G((z))/I \mid g^{-1}\gamma g \in \mathfrak{I} \}.$$

The table hides some key differences:

In the finite case,  $\mathcal{B}_x$  is interesting for x nilpotent, and eas(ier) for x regular semisimple.

In the affine case,  $\mathcal{B}_{\gamma}^{\text{aff}}$  is terribly infinite for  $\gamma = \gamma(z)$  nilpotent, but interesting for  $\gamma(z)$  regular semisimple.

Example If 
$$G = \operatorname{SL}_2$$
 and  $\gamma(z) = \begin{pmatrix} 0 & 1 \\ z^3 & 0 \end{pmatrix}$ , then  $\mathcal{B}_{\gamma}^{\operatorname{aff}} \simeq \mathbf{P}^1 \sqcup_{\operatorname{pt}} \mathbf{P}^1$ .

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Fixing  $\nu = d/m > 0$  in lowest terms,  $\mathbf{C}^{\times} \curvearrowright \mathfrak{g}(\!(z)\!)$ :

$$c_{\nu} \gamma(z) = c^{2d\rho^{\vee}} \gamma(c^{2m} z) c^{-2d\rho^{\vee}},$$

where  $2\rho^{\vee} = \sum_{\alpha \in \Phi^+} \alpha^{\vee}$ .

Let  $\mathfrak{g}((z))_{\nu,k}$  be the weight-2k eigenspace.

Lemma If  $\gamma$  is an eigenvector for  $\cdot_{\nu}$ , then the induced action on  $G(\!(z)\!)/I$  fixes  $\mathcal{B}_{\gamma}^{\mathrm{aff}}$ .

Lemma  $\mathfrak{g}((z))_{\nu,0}$  is the Lie algebra of a connected reductive group  $\underline{L}_{\nu}$ . Moreover,

$$(G((z))/I)^{\mathbf{C}^{\times}} = \bigsqcup_{w \in W_{\nu} \setminus \widetilde{W}} L_{\nu} w I/I,$$

where  $W_{\nu}$  is the Weyl group of  $L_{\nu}$ .

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Henceforth,  $\gamma \in \mathfrak{g}((z))_{\nu,d}$ .

By Springer,  $\widetilde{W} \cap \mathrm{H}_{c}^{*}(\mathcal{B}_{\gamma}^{\mathrm{aff}}), \mathrm{H}_{c,\mathbf{C}^{\times}}^{*}(\mathcal{B}_{\gamma}^{\mathrm{aff}}).$ 

(Sommers) If m is the Coxeter number of W, then:

- $L_{\nu}$  is a torus, so  $(\mathcal{B}_{\gamma}^{\mathrm{aff}})^{\mathbf{C}^{\times}} \hookrightarrow \widetilde{W}$ .
- Writing  $H^*_{\mathbf{C}^{\times}}(pt) = \mathbf{C}[\epsilon]$ , we have

$$\mathrm{H}^*_{\mathrm{c}}(\mathcal{B}^{\mathrm{aff}}_{\gamma}) \simeq \mathrm{H}^*_{\mathrm{c},\mathbf{C}^{\times}}(\mathcal{B}^{\mathrm{aff}}_{\gamma})|_{\varepsilon \to 1} \simeq \mathrm{H}^0((\mathcal{B}^{\mathrm{aff}}_{\gamma})^{\mathbf{C}^{\times}}).$$

• For  $w \in W$ , we have

$$\operatorname{tr}(w \mid \operatorname{H}_c^*(\mathcal{B}_{\gamma}^{\operatorname{aff}})) = \lim_{q \to 1} \frac{\det(1 - q^d w \mid \mathfrak{h})}{\det(1 - q w \mid \mathfrak{h})} \ .$$

Example In the previous  $SL_2$  example,  $\gamma \in \mathfrak{g}((z))_{\nu,3}$ . Recall  $\mathcal{B}^{aff}_{\alpha} = \mathbf{P}^1 \sqcup_{\mathrm{nt}} \mathbf{P}^1$ . It turns out  $|(\mathcal{B}^{aff}_{\alpha})^{\mathbf{C}^{\times}}| = 3$ .

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(Goresky–Kottwitz–MacPherson) For general  $\nu$ ,

$$(\mathcal{B}_{\gamma}^{\mathrm{aff}})^{\mathbf{C}^{\times}} = \bigsqcup_{w \in W_{\nu} \setminus \widetilde{W}} \mathrm{Hess}_{\gamma, w},$$

a disjoint union of partial Hessenberg varieties

$$\operatorname{Hess}_{\gamma,w} = \{ g P_{\nu,w} \in L_{\nu} / P_{\nu,w} \mid g^{-1} \gamma g \in \mathfrak{P}_{\nu,w} \},$$

where 
$$P_{\nu,w} := L_{\nu} \cap \dot{w} I \dot{w}^{-1}$$
 and  $\mathfrak{P}_{\nu,w} = \text{Lie}(P_{\nu,w})$ .

They are smooth. They can be empty.

If  $\operatorname{Hess}_{\gamma,w} \neq \emptyset$ , then its codim in  $L_{\nu}/P_{\nu,w}$  is

$$\left\{ \begin{array}{l} \text{hyperplanes } H \\ \text{in } X^{\vee} \otimes \mathbf{R} \text{ between} \\ \nu \rho^{\vee} \text{ and } w \cdot \frac{1}{n} \rho^{\vee} \\ \end{array} \right. \left. \begin{array}{l} H(\xi) = \langle \alpha, \xi \rangle + k, \\ \langle \alpha, \nu \rho^{\vee} \rangle = \nu, \\ \alpha \in \Phi, \ k \in \mathbf{Z} \\ \end{array} \right\} \right].$$

Proof uses Moy-Prasad theory.

Henceforth,  $\gamma \in \mathfrak{g}((z))_{\nu,d}$ .

By Springer,  $\widetilde{W} \curvearrowright \mathrm{H}^*_c(\mathcal{B}^{\mathrm{aff}}_{\gamma}), \mathrm{H}^*_{c,\mathbf{C}^{\times}}(\mathcal{B}^{\mathrm{aff}}_{\gamma}).$ 

(Sommers) If m is the Coxeter number of W, then:

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- For  $w \in W$ , we have

$$\operatorname{tr}(w \mid \operatorname{H}_{c}^{*}(\mathcal{B}_{\gamma}^{\operatorname{aff}})) = \lim_{q \to 1} \frac{\det(1 - q^{d}w \mid \mathfrak{h})}{\det(1 - qw \mid \mathfrak{h})}.$$

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Proof uses Moy-Prasad theory.

Conj (T) For general  $\nu$ , the representation

$$W \curvearrowright \mathrm{H}^*_{c,\mathbf{C}^{\times}}(\mathcal{B}^{\mathrm{aff}}_{\gamma})|_{\epsilon \to 1}$$

contains a summand whose character is the  $q \to 1$  limit of our earlier formula:

$$\frac{\operatorname{sgn}(w)}{\det(1-qw\mid\mathfrak{h})}\sum_{\chi\in\operatorname{Irr}(W)}q^{c(\chi)\nu}D_{\chi}(e^{2\pi i\nu})\chi(w).$$

Dream For certain choices  $\gamma \iff \beta$ ,

$$\mathcal{B}_{\gamma}^{\mathrm{aff}}$$
 and  $[(\mathcal{U}(\beta) \times_{\mathcal{U}} \tilde{\mathcal{U}})/G]$ 

have the "same" Springer theory.

Thank you for listening.