

## 2.

On Macdonald polynomials, following Haglund–Haiman–Loehr and Guo–Ram.

2.1. For any positive integer  $m$ , we set  $[m] = \{1, \dots, m\}$ . Fix a positive integer  $n$ . For all  $u = (i, j) \in [n] \times \mathbf{Z}$ , we define the *cylindrical coordinate* of  $u$  to be

$$\mathbf{c}(u) = i + nj.$$

For all  $k \in \mathbf{Z}$ , we define  $u + k \in [n] \times \mathbf{Z}$  by the identity  $\mathbf{c}(u + k) = \mathbf{c}(u) + k$ .

2.2. Suppose that  $S \subset [n] \times \mathbf{Z}$  is a subset uniformly bounded above in the second coordinate. We picture  $S$  as a set of lattice points in the  $xy$ -plane, bounded in the positive  $y$ -direction. For all  $u \in S$ , let

$$\begin{aligned} \text{attk}_S(u) &= S \cap (u - [n] + 1), \\ \text{leg}_S(u) &= S \cap (u + n\mathbf{Z}_{>0}), & \ell_S(u) &= |\text{leg}_S(u)|, \\ \text{arm}_S(u) &= \{v \in \text{attk}_S(u) \mid \ell_S(v) \leq \ell_S(u)\}, & a_S(u) &= |\text{arm}_S(u)|. \end{aligned}$$

2.3. A *filling* of  $S$  is a function  $f : S \rightarrow [m]$ . We say that  $u \in S$  is a *descent*, *resp.* *ascent*, of such  $f$  if and only if

$$u - n \in S \quad \text{and} \quad f(u) > f(u - n), \text{ resp., } f(u) < f(u - n).$$

Let  $\text{Des}(f)$ , *resp.*  $\text{Asc}(f)$ , be the collection of descents, *resp.* ascents of  $f$ . Let

$$\begin{aligned} \text{maj}(f) &= \sum_{u \in \text{Des}(f)} (\ell_S(u) + 1), \\ a(f) &= \sum_{u \in \text{Des}(f)} a_S(u). \end{aligned}$$

In the literature, pairs  $(u, v) \in S^2$  with  $v \in \text{attk}_S(u)$  and  $f(u) > f(v)$  are called *inversions* of  $f$ . For all  $u \in S$ , let

$$\text{Inv}_f(u) = \{v \in \text{attk}_S(u) \mid f(u) > f(v)\}.$$

2.4. Let  $\mathbf{K} = \mathbf{C}(q, t)$ . Fix a positive integer  $N$ , and let  $\mathbf{K}[X] = \mathbf{K}[X_1, \dots, X_N]$ . For any finite subset  $S \subseteq [n] \times \mathbf{Z}$  and filling  $f : S \rightarrow [N]$ , we set

$$X^f = \prod_i X_i^{|f^{-1}(i)|} \in \mathbf{K}[X].$$

Fix a tuple  $\mu = (\mu_1, \dots, \mu_n) \in \mathbf{Z}_{\geq 0}^n$ . Let  $|\mu| = \sum_i \mu_i$ . We write  $\tilde{H}_\mu^{(N)} \in \mathbf{K}[X]^{S_N}$  for the modified (symmetric) Macdonald polynomial of the underlying partition of  $\mu$ , after truncation to  $N$  variables. In the case where  $N = n$ , we write  $E_\mu \in \mathbf{K}[X]$  for the nonsymmetric Macdonald polynomial of  $\mu$ . Moreover, for all  $z \in S_n$ , we write  $E_\mu^z$  for the relative generalization of  $E_\mu \in \mathbf{K}[X]$  depending on  $z$ , so that  $E_\mu^{\text{id}} = E_\mu$ .

2.5. Let  $\text{dg}(\mu) \subset [n] \times \mathbf{Z}$  be defined by

$$\text{dg}(\mu) = \{(i, j) \mid 1 \leq j \leq \mu_i\}.$$

Following Theorem 5.1.1 of [HHL08], the Haglund–Haiman–Loehr (HHL) formula for  $\tilde{H}_\mu$  states:

**Theorem 2.1** (HHL). *For all  $\mu$ , we have*

$$\tilde{H}_\mu^{(N)} = \sum_{\sigma: \text{dg}(\mu) \rightarrow [N]} X^\sigma q^{\text{maj}(\sigma)} t^{-a(\sigma)} \prod_{u \in \text{dg}(\mu)} t^{|\text{Inv}_\sigma(u)|}.$$

2.6. For a general filling  $f : S \rightarrow [m]$ , we see that  $\text{maj}(f), a(f)$  depend only on  $S$  and  $\text{Des}(f)$ , not on  $f$  itself. So for a fixed subset  $D \subseteq \text{dg}(\mu)$ , let

$$\begin{aligned} \text{maj}_\mu(D) &= \sum_{u \in D} (\ell_{\text{dg}(\mu)}(u) + 1), \\ a_\mu(D) &= \sum_{u \in D} a_{\text{dg}(\mu)}(u). \end{aligned}$$

It turns out that the formula

$$F_{\mu, D}^{(N)} := \sum_{\substack{\sigma: \text{dg}(\mu) \rightarrow [N] \\ \text{Des}(\sigma) = D}} X^\sigma \prod_{u \in \text{dg}(\mu)} t^{|\text{Inv}_\sigma(u)|}$$

defines a Lascoux–Leclerc–Thibon (LLT) polynomial, hence an element of  $\mathbf{K}[X]^{S_N}$ . The HHL formula can be regrouped:

**Corollary 2.2.** *For all  $\mu$ , we have*

$$\tilde{H}_\mu^{(N)} = \sum_{D \subseteq \text{dg}(\mu)} q^{\text{maj}_\mu(D)} t^{-a_\mu(D)} F_{\mu, D}^{(N)}.$$

*Remark 2.3.* Note that  $F_{\mu, D}^{(N)} = 0$  whenever  $D$  contains elements of  $\text{dg}(\mu)$  of the form  $(i, 1)$ : i.e., elements in the first row of  $\text{dg}(\mu)$ .

2.7. Below we consider the examples where  $n = 2$  and  $N = |\mu| = 4$ .

To describe the possible subsets  $D \subseteq \text{dg}(\mu)$ , we will list the corresponding sets  $\mathbf{c}(D) := \{\mathbf{c}(u) \mid u \in D\}$ .

To compute the LLT polynomials, we will first compute their Hall pairings with the homogeneous symmetric functions  $h_\nu$ : that is, their expansions into monomial symmetric functions  $\{m_\nu\}_\nu$ . To obtain their expansions into Schur functions, we will use the following table of inverse Kostka numbers:

	$s_4$	$s_{3,1}$	$s_{2^2}$	$s_{2,1^2}$	$s_{1^4}$
$m_4$	1	-1		1	-1
$m_{3,1}$		1	-1	-1	2
$m_{2^2}$			1	-1	1
$m_{2,1^2}$				1	-3
$m_{1^4}$					1

**Example 2.4.** Take  $\mu = (2, 2)$ . There are four subsets  $D \subseteq \text{dg}(\mu)$  disjoint from the first row of  $\text{dg}(\mu)$ . Below, we list them alongside the expansions of the corresponding LLT polynomials into monomial symmetric functions.

$\mathbf{c}(D)$	$m_4$	$m_{3,1}$	$m_{2^2}$	$m_{2,1^2}$	$m_{1^4}$
$\emptyset$	1	$1+t$	$1+t+t^2$	$1+2t+t^2$	$1+3t+2t^2$
$\{3\}$		$t$	$t$	$2t+t^2$	$3t+3t^2$
$\{4\}$		$t$	$t$	$2t+t^2$	$3t+3t^2$
$\{3,4\}$			$t$	$t+t^2$	$2t+3t^2+t^3$

Next, the values  $\text{maj}(D), a(D)$  alongside the Schur expansions of the LLT polynomials:

$\mathbf{c}(D)$	$\text{maj}(D)$	$a(D)$	$s_4$	$s_{3,1}$	$s_{2^2}$	$s_{2,1^2}$	$s_{1^4}$
$\emptyset$	0	0	1	$t$	$t^2$		
$\{3\}$	1	0		$t$		$t^2$	
$\{4\}$	1	1		$t$		$t^2$	
$\{3,4\}$	2	1			$t$	$t^2$	$t^3$

Thus,  $\tilde{H}_{2,2}^{(4)} = s_4 + (t + qt + q)s_{3,1} + (t^2 + q^2)s_{2^2} + (qt^2 + qt + q^2t)s_{2,1^2} + q^2t^2s_{1^4}$ .

**Example 2.5.** If we instead take  $\mu = (1, 3)$ , then the  $D$ 's and  $m_\nu$ -coefficients are:

$\mathbf{c}(D)$	$m_4$	$m_{3,1}$	$m_{2^2}$	$m_{2,1^2}$	$m_{1^4}$
$\emptyset$	1	$1+t$	$1+t$	$1+2t$	$1+3t$
$\{6\}$		1	$1+t$	$2+2t$	$3+5t$
$\{4\}$		1	2	$3+t$	$5+3t$
$\{4,6\}$				1	$3+t$

The values  $\text{maj}(D), a(D)$  and Schur coefficients are:

$\mathbf{c}(D)$	$\text{maj}(D)$	$a(D)$	$s_4$	$s_{3,1}$	$s_{2^2}$	$s_{2,1^2}$	$s_{1^4}$
$\emptyset$	0	0	1	$t$			
$\{6\}$	1	0		1	$t$	$t$	
$\{4\}$	2	0		1	1	$t$	
$\{4,6\}$	3	0				1	$t$

Thus,  $\tilde{H}_{1,3}^{(4)} = s_4 + (t + q + q^2)s_{3,1} + (qt + q^2)s_{2^2} + (qt + q^2t + q^3)s_{2,1^2} + q^3ts_{1^4}$ .

**Example 2.6.** If we instead take  $\mu = (3, 1)$ , then the data is almost the same as for  $\mu = (1, 3)$ . We just list the final table:

$\mathbf{c}(D)$	$\text{maj}(D)$	$a(D)$	$s_4$	$s_{3,1}$	$s_{2^2}$	$s_{2,1^2}$	$s_{1^4}$
$\emptyset$	0	0	1	$t$			
$\{5\}$	1	0		1	$t$	$t$	
$\{3\}$	2	1		$t$	$t$	$t^2$	
$\{3,5\}$	3	1				$t$	$t^2$

Thus,  $\tilde{H}_{3,1}^{(4)} = \tilde{H}_{1,3}^{(4)}$ , as expected.

2.8. For any filling  $f : S \rightarrow [m]$ , we say that  $f$  is *non-attacking* if and only if

$$f(u) \neq f(v) \quad \text{whenever } u \in S \text{ and } v \in \text{attk}(u).$$

For all  $u \in \text{Des}(f) \cup \text{Asc}(f)$ , we set

$$\text{Coinv}_f(u) = \left\{ v \in \text{arm}_S(u) \left| \begin{array}{ll} f(u) \leq f(v) \text{ or } f(u-n) \geq f(v) & \text{if } u \in \text{Des}(f), \\ f(u) < f(v) < f(u-n) & \text{if } u \in \text{Asc}(f). \end{array} \right. \right\}.$$

Let  $\text{dg}_0(\mu) \subset [n] \times \mathbf{Z}$  be defined by

$$\text{dg}_0(\mu) = \{(i, j) \mid 0 \leq j \leq \mu_i\}.$$

For any filling  $\sigma : \text{dg}(\mu) \rightarrow [m]$  and  $z \in S_n$ , let  $\sigma^z : \text{dg}_0(\mu) \rightarrow [m]$  be defined by

$$\sigma^z|_{\text{dg}(\mu)} = \sigma \quad \text{and} \quad \sigma^z(i, 0) = z(i) \text{ for all } i.$$

Suppose that  $N = n$  in our preceding setup. Following Theorem 3.5.1 of [HHL08] and Theorem 1.1(b) of [GR], Guo–Ram’s generalization of the HHL formula for  $E_\mu$  states:

**Theorem 2.7** (Guo–Ram–HHL). *For all  $\mu$  and  $z$ , we have*

$$E_\mu^z = \sum_{\substack{\sigma : \text{dg}(\mu) \rightarrow [n] \\ \sigma^z \text{ non-attacking}}} X^\sigma q^{\text{maj}(\sigma^z)} \prod_{u \in \text{Des}(\sigma^z) \cup \text{Asc}(\sigma^z)} \frac{(1-t)t^{\text{Coinv}_{\sigma^z}(u)}}{1-q^{\ell(u)+1}t^{a(u)+1}},$$

where  $\ell(u) = \ell_{\text{dg}_0(\mu)}(u) = \ell_{\text{dg}(\mu)}(u)$  and  $a(u) = a_{\text{dg}_0(\mu)}(u)$  in the product.