

# 1.

On interpreting Haiman's monomial-character conjecture in terms of webs, also known as MOY graphs. Based on 2205\_05.

## 1.1.

Haiman conjectured a new positivity property of the Kazhdan–Lusztig bases of the Iwahori–Hecke algebras of the symmetric groups.

1.1. For any positive integer  $N$ , let  $S_N$  be the symmetric group on  $N$  letters. It is generated by the transpositions  $s_i = (i, i + 1)$  for  $1 \leq i \leq N - 1$ . We take the *Iwahori–Hecke algebra* of  $S_N$  to be the  $\mathbf{Z}[x^{\pm 1}]$ -algebra  $H_N(x)$  generated by elements  $\sigma_i$  for  $1 \leq i \leq N - 1$ , modulo the relations

$$\begin{aligned} \sigma_i \sigma_{i+1} \sigma_i &= \sigma_{i+1} \sigma_i \sigma_{i+1}, \\ \sigma_i \sigma_j &= \sigma_j \sigma_i && \text{for } |i - j| > 1, \\ \sigma_i^2 &= 1 + (x - x^{-1}) \sigma_i. \end{aligned}$$

The last relation is equivalent to requiring that  $\sigma_i$  be invertible with  $\sigma_i - \sigma_i^{-1} = x - x^{-1}$ . Hence, there is a ring anti-automorphism  $D : H_N(x) \rightarrow H_N(x)$  that sends  $x \mapsto x^{-1}$  and  $\sigma_i \mapsto \sigma_i^{-1}$  for all  $i$ .

1.2. Note that  $H_N(x)$  is a deformation of the group ring  $\mathbf{Z}S_N$ , in the sense that there is a ring isomorphism  $H_N(x)/(x - 1) \simeq \mathbf{Z}S_N$ .

Let  $\mathbf{K} = \mathbf{Q}(x)$ . It turns out that  $\mathbf{K}H_N(x) := \mathbf{K} \otimes_{\mathbf{Z}[x^{\pm 1}]} H_N(x)$  is split as a  $\mathbf{K}$ -algebra. Hence, by Tits deformation, the semisimplicity of  $\mathbf{Q}S_N$  implies the semisimplicity of  $\mathbf{K}H_N(x)$ , and moreover, there is a bijection between isomorphism classes of simple  $\mathbf{Q}S_N$ -modules and isomorphism classes of simple  $\mathbf{K}H_N(x)$ -modules. In particular, each character  $\chi : S_N \rightarrow \mathbf{Q}$  defines a  $\mathbf{K}$ -linear trace function  $\chi_x : \mathbf{K}H_N(x) \rightarrow \mathbf{K}$ .

Recall that the irreducible characters of  $S_N$  are indexed by integer partitions of  $N$ . We write  $\chi^\lambda$  for the irreducible character indexed by  $\lambda \vdash N$ .

1.3. Kazhdan–Lusztig discovered two remarkable  $D$ -invariant bases for  $H_N(x)$  as a free  $\mathbf{Z}[x^{\pm 1}]$ -module. To define them, view  $S_N$  as a Coxeter group, in which  $\{s_i\}_i$  is a fixed system of simple reflections. Let  $\ell_w$  denote the Bruhat length of  $w \in S_N$ , and let  $<$  be the Bruhat order on  $S_N$ . Then for all  $w \in S_N$ , there is a unique element  $b_w$  of  $H_N(x)$  such that:

- (1)  $D(b_w) = b_w$ .
- (2)  $b_w = \sum_{y \leq w} P_{y,w}(x^2) x^{\ell_y - \ell_w} \sigma_y$  for some  $P_{y,w}(q) \in \mathbf{Z}[q]$  such that

$$(1.1) \quad \begin{aligned} \deg P_{y,w}(q) &\leq \frac{1}{2}(\ell_w - \ell_y - 1), \\ P_{w,w}(q) &= 1 \end{aligned}$$

for all  $w, y$ .

Let  $j : H_N(x) \rightarrow H_N(x)$  be the ring automorphism that sends  $x \mapsto x^{-1}$  and  $\sigma_i \mapsto -\sigma_i$  for all  $i$ . Let  $c_w = j(b_w)$ . Then  $c_w$  is the unique element of  $H_N(x)$  such that:

- (1)  $D(c_w) = c_w$ .
- (2)  $c_w = -\sum_{y \leq w} P_{y,w}(x^{-2})(-x)^{\ell_w - \ell_y} \sigma_y$  for some  $P_{y,w}(q) \in \mathbf{Z}[q]$  satisfying (1.1).  
(They turn out to be the same polynomials as before.)

The  $P_{y,w}(q)$  are now called *Kazhdan–Lusztig polynomials*. Note that in Kazhdan–Lusztig’s notation, our  $b_w$  and  $c_w$  respectively correspond to their  $C'_w$  and  $-C_w$ . It will be convenient to write  $b_i, c_i$  in place of  $b_{s_i}, c_{s_i}$ . We can check that

$$\begin{aligned} b_i &= x^{-1} + \sigma_i = x + \sigma_i^{-1}, \\ c_i &= x - \sigma_i = x^{-1} - \sigma_i^{-1}. \end{aligned}$$

Thus,  $\{b_i\}_i$  and  $\{c_i\}_i$  form alternative generating sets for  $H_N(x)$  as a  $\mathbf{Z}[x^{\pm 1}]$ -algebra. At the same time, the sets  $\{b_w\}_{w \in S_N}$  and  $\{c_w\}_{w \in S_N}$  form bases for  $H_N(x)$  as a free  $\mathbf{Z}[x^{\pm 1}]$ -module.

1.4. There is a geometric interpretation of the Iwahori–Hecke algebra, in terms of mixed perverse sheaves on flag varieties. The standard basis  $\{\sigma_w\}_w$  corresponds to the sheaves obtained by extension-by-zero from constant sheaves on Bruhat orbits. The bases  $\{b_w\}_w$  and  $\{c_w\}_w$  respectively correspond to intersection cohomology (IC) sheaves and tilting complexes. This interpretation of the  $b_w$  shows that Kazhdan–Lusztig polynomials have nonnegative coefficients.

A similar argument, using the interpretation of the trace functions  $\chi_x^\lambda$  in terms of mixed perverse sheaves on the algebraic groups  $\mathrm{GL}_N$ , shows that  $\chi_x^\lambda(b_w)$ , a priori an element of  $\mathbf{K} = \mathbf{Q}(x)$ , has nonnegative, integral coefficients for all  $w \in S_N$  and  $\lambda \vdash N$ . That is,  $\chi_x^\lambda(b_w) \in \mathbf{Z}_{\geq 0}[x^{\pm 1}]$ . No analogous property holds for the values  $\chi_x^\lambda(c_w)$ .

1.5. Haiman’s conjecture is about a collection of trace functions  $\phi_x^\mu$  such that the transition matrix from the  $\phi_x^\lambda$  to the  $\chi_x^\lambda$  is nonnegative, but the inverse transition matrix can have negative entries.

Let  $\Lambda$  be the graded ring of symmetric functions in variables  $X_1, X_2, \dots$ . Recall that its degree- $N$  summand  $\Lambda_N \subseteq \Lambda$  admits the following bases as a  $\mathbf{Z}$ -module:

- $\{s_\lambda\}_{\lambda \vdash N}$  where the  $s_\lambda$  are Schur functions,
- $\{m_\lambda\}_{\lambda \vdash N}$  where the  $m_\lambda = m_{\lambda_1} m_{\lambda_2} \cdots$  are monomial symmetric functions,
- $\{h_\lambda\}_{\lambda \vdash N}$  where the  $h_\lambda = h_{\lambda_1} h_{\lambda_2} \cdots$  are complete homogeneous symmetric functions,
- $\{e_\lambda\}_{\lambda \vdash N}$  where the  $e_\lambda = e_{\lambda_1} e_{\lambda_2} \cdots$  are elementary symmetric functions.

We set aside the  $e_\lambda$  for now.

There is a unipotent triangular matrix of integers  $K = \{K_{\lambda,\mu}\}_{\lambda \geq \mu}$  such that

$$(1.2) \quad s_\lambda = \sum_{\mu \leq \lambda} K_{\lambda,\mu} m_\mu \quad \text{and} \quad h_\mu = \sum_{\lambda \geq \mu} K_{\lambda,\mu} s_\lambda.$$

The integers  $K_{\lambda,\mu}$  are known as the *Kostka numbers*. They admit a purely combinatorial definition via Young diagrams.

For any  $\mathbf{K}$ -algebra  $H$ , let  $\mathcal{C}(H)$  denote the vector space of  $\mathbf{K}$ -valued trace functions on  $H$ . Then  $\mathcal{C}(H_N(x))$  is spanned by the deformed irreducible characters  $\chi_x^\lambda$ . Writing

$\Lambda_N(x) = \mathbf{Z}[x^{\pm 1}] \otimes_{\mathbf{Z}} \Lambda_N$ , we obtain an isomorphism of vector spaces

$$\text{ch} : \mathcal{C}(H_N(x)) \xrightarrow{\sim} \mathbf{K} \otimes_{\mathbf{Z}[x^{\pm 1}]} \Lambda_N(x) \quad \text{defined by } \text{ch}(\chi_x^\lambda) = s_\lambda,$$

known as the *deformed Frobenius characteristic*. Let  $\phi_x^\mu = \text{ch}^{-1}(m_\mu)$ , so that

$$(1.3) \quad \chi_x^\lambda = \sum_{\mu \leq \lambda} K_{\lambda, \mu} \phi_x^\mu.$$

Note that, since the matrix of integers  $K$  is unipotent triangular, its inverse also has integral entries. Hence the integrality of  $\chi_x^\lambda(b_w)$  for all  $\lambda$  implies the integrality of  $\phi_x^\mu(b_w)$  for all  $\mu$ . However, the inverse matrix to  $K$  will generally have negative entries, making the following expectation surprising:

**Conjecture 1.1** (Haiman).  $\phi_x^\mu(b_w)$  has nonnegative coefficients for all  $w$  and  $\mu$ .

1.2.

We claim that Conjecture 1.1 has an especially simple meaning in the web description of Iwahori–Hecke algebras.

1.6. Let  $\Lambda(x) = \bigoplus_N \Lambda_N(x)$ . The point is to interpret the  $\mathbf{Z}[x^{\pm 1}]$ -linear map

$$\text{tr} : \bigoplus_N H_N(x) \rightarrow \Lambda(x) \quad \text{defined by } \text{tr}(\beta) = \sum_{\lambda \vdash N} \chi_x^\lambda(\beta) s_\lambda \text{ for all } \beta \in H_N(x)$$

using webs. *Nota bene* that this is not a ring homomorphism. It should instead be viewed as a cocenter map for the direct sum of the Iwahori–Hecke algebras: that is, as a universal trace.

Let  $\langle -, - \rangle : \Lambda(x) \times \Lambda(x) \rightarrow \mathbf{Z}[x^{\pm 1}]$  be the *Hall pairing*: the  $\mathbf{Z}[x^{\pm 1}]$ -linear pairing under which the Schur functions  $s_\lambda$  form an orthonormal basis. It lets us write

$$\chi_x^\lambda(\beta) = \langle \text{tr}(\beta), s_\lambda \rangle \quad \text{for all } \beta \in H_N(x) \text{ and } \mu \vdash N.$$

By (1.3) and (1.2), we deduce:

$$(1.4) \quad \text{tr}(\beta) = \sum_{\mu \vdash N} \phi_x^\mu(\beta) h_\mu \quad \text{for all } \beta \in H_N(x).$$

1.7. We refer to Gorsky–Wedrich, “Evaluations. . .” for background on webs. Note that their  $q$  is our  $x$ .

Let  $H_N^{\text{MOY}}(x)$  be the free  $\mathbf{Z}[x^{\pm 1}]$ -module generated by strictly upward-oriented web diagrams in a rectangle, connecting  $N$  inputs with label 1 on the left to  $N$  outputs with label 1 on the right, modulo the relations of the MOY bracket. It forms a  $\mathbf{Z}[x^{\pm 1}]$ -algebra under concatenation of diagrams. The work of Murakami–Ohtsuki–Yamada (MOY) implies that this algebra is isomorphic to  $H_N(x)$ .

Let  $\mathcal{C}^{\text{MOY}}(x)$  be the free  $\mathbf{Z}[x^{\pm 1}]$ -module generated by positively-oriented web diagrams in an annulus. It forms a commutative  $\mathbf{Z}[x^{\pm 1}]$ -algebra under nesting of diagrams. By the work of Turaev, this algebra is isomorphic to  $\Lambda(x)$ .

As in the work of Morton *et al.* on skein algebras, there is a  $\mathbf{Z}[x^{\pm 1}]$ -linear map

$$\text{ann} : \bigoplus_N H_N^{\text{MOY}}(x) \rightarrow \mathcal{C}^{\text{MOY}}(x)$$

called *annular closure*. It is defined graphically, by embedding a rectangle into an annulus as a sector, so that the upward orientation in the rectangle becomes the positive orientation in the annulus, then wrapping the  $n$  outputs of the rectangle around the annulus, without crossing, back to the  $n$  inputs.

1.8. For  $1 \leq i \leq N-1$ , let  $\text{can}_i \in H_N^{\text{MOY}}(x)$  denote the  $i$ th merge-split web. The notation  $\text{can}$  is intended to suggest *canonical*.

The precise statement proved in [MOY], up to sign and up to use of Schur–Weyl duality, is that there is an isomorphism of  $\mathbf{Z}[x^{\pm 1}]$ -algebras

$$\Theta_b : H_N(x) \rightarrow H_N^{\text{MOY}}(x) \quad \text{defined by } \Theta_b(b_i) = \text{can}_i.$$

We claim that similarly, there is an isomorphism of  $\mathbf{Z}[x^{\pm 1}]$ -algebras

$$\Theta_c : H_N(x) \rightarrow H_N^{\text{MOY}}(x) \quad \text{defined by } \Theta_c(c_i) = \text{can}_i.$$

Indeed, the structure constants of multiplication in  $H_N(x)$  with respect to the bases  $\{b_w\}_w$  and  $\{c_w\}_w$  are the same.

Recall that we can identify  $H_N(x)$  with the skein algebra of the rectangle, by sending  $\sigma_i$  to the  $i$ th simple twist. Formulas (16) and (17) in [GW] implicitly define two homomorphisms from this skein algebra to  $H_N^{\text{MOY}}(x)$ , upon their decategorification. The map  $\Theta_b$  corresponds to the *framed* map (16), while the map  $\Theta_c$  almost but does not quite correspond to the *unframed* map (17).

For any  $N > 0$  and  $\mu \vdash N$ , let  $o^\mu \in \mathcal{C}^{\text{MOY}}(x)$  be the diagram consisting of concentric essential circles with labels  $\mu_1, \mu_2, \dots$ . Note that by the commutativity of  $\mathcal{C}^{\text{MOY}}(x)$ , the order of these circles does not matter. Queffelec–Rose proved that the set  $\{o_\mu\}_\mu$  forms a basis for  $\mathcal{C}^{\text{MOY}}(x)$  as a free  $\mathbf{Z}[x^{\pm 1}]$ -module. Gorsky–Wedrich implicitly prove the following statements in [GW, §4.7]:

**Theorem 1.2.** (1) *There is an isomorphism  $\Xi_e : \Lambda(x) \rightarrow \mathcal{C}^{\text{MOY}}(x)$  defined by  $\Xi_e(e_\mu) = o_\mu$ . The following diagram commutes:*

$$\begin{array}{ccc} \bigoplus_N H_N(x) & \xrightarrow{\text{tr}} & \Lambda(x) \\ \Theta_c \downarrow & & \downarrow \Xi_e \\ \bigoplus_N H_N^{\text{MOY}}(x) & \xrightarrow{\text{ann}} & \mathcal{C}^{\text{MOY}}(x) \end{array}$$

(2) *There is an isomorphism  $\Xi_h : \Lambda(x) \rightarrow \mathcal{C}^{\text{MOY}}(x)$  defined by  $\Xi_h(h_\mu) = o_\mu$ . The following diagram commutes:*

$$\begin{array}{ccc} \bigoplus_N H_N(x) & \xrightarrow{\text{tr}} & \Lambda(x) \\ \Theta_b \downarrow & & \downarrow \Xi_h \\ \bigoplus_N H_N^{\text{MOY}}(x) & \xrightarrow{\text{ann}} & \mathcal{C}^{\text{MOY}}(x) \end{array}$$

We deduce from (1.4) that for any  $\beta \in H_N(x)$  and  $\mu \vdash N$ , the value of  $\phi_x^\mu(\beta)$  is the coefficient of  $o_\mu$  when we expand  $\text{ann}(\Theta_b(\beta))$  in the basis  $\{o_\mu\}_\mu$ . Now let

$$\text{can}_w = \Theta_b(b_w) = \Theta_c(c_w) \quad \text{for all } N \text{ and } w \in S_N.$$

Taking  $\beta = b_w$ , we conclude:

**Corollary 1.3.** *For any  $N$  and  $w \in S_N$ , Conjecture 1.1 for  $w$  is equivalent to claiming that the expansion of  $\text{ann}(\text{can}_w)$  in the basis  $\{o_\mu\}_\mu$  has nonnegative coefficients.*

1.3.

We would like to prove Conjecture 1.1 for nice  $w$ : namely, for  $w$  such that  $\text{can}_w$  can be written as a single web. Below, we write  $w = [w_1 w_2 \cdots w_N]$  to mean that  $w$  sends  $i$  to  $w_i$  for  $1 \leq i \leq N$ .

1.9. Fix  $2 \leq M \leq N$  and  $v = [v_1 v_2 \cdots v_M] \in S_M$ . We say that  $w = [w_1 w_2 \cdots w_N] \in S_N$  is  $v_1 v_2 \cdots v_M$ -*containing* if and only if there exist indices  $1 \leq p_1 < \cdots < p_M \leq N$  such that for all  $i < j$  with  $v_i < v_j$ , we have  $w_{p_i} < w_{p_j}$ . Informally:  $w$  is  $v_1 v_2 \cdots v_M$ -containing if and only if the sequence  $(w_1, \dots, w_N)$  contains a subsequence of size  $M$  whose elements have the same relative order as  $(v_1, \dots, v_M)$ .

Otherwise, we say that  $w$  is  $v_1 v_2 \cdots v_M$ -*avoiding*. We write  $S_N^{v_1 v_2 \cdots v_M} \subseteq S_N$  for the subset of  $v_1 v_2 \cdots v_M$ -avoiding elements. It turns out that

$$w \in S_N^{312} \implies w \in S_N^{3412} \cap S_n^{4231} \iff P_{1,w}(q) = 1.$$

The biconditional statement is a 1990 result of Lakshmibai–Sandhya.

1.10. Following Billey–Warrington, we say that  $w \in S_N$  is *321-hexagon-avoiding* if and only if it belongs to

$$S_N^{321\text{hex}} := S_N^{321} \cap S_N^{46718235} \cap S_N^{46781235} \cap S_N^{56718234} \cap S_N^{56781234}.$$

Billey–Warrington prove that the following conditions are equivalent:

- (1)  $w \in S_N^{321\text{hex}}$ .
- (2)  $b_w = b_{s_{i_1}} \cdots b_{s_{i_\ell}}$  whenever  $(s_{i_1}, \dots, s_{i_\ell})$  is a reduced expression for  $w$ .
- (3) The Bott–Samelson resolution of the Schubert variety attached to  $w$  is a small morphism of varieties.

It seems that Remark 4.21 of [GW], building on [QR], proves the following.

**Theorem 1.4.** *For any sequence of indices  $i_1, i_2, \dots, i_\ell$ , the expansion of*

$$\text{ann}(\text{can}_{i_1} \text{can}_{i_2} \cdots \text{can}_{i_\ell})$$

*in the basis  $\{o_\mu\}_\mu$  has nonnegative coefficients. Hence, Conjecture 1.1 holds in the cases where  $w$  is 321-hexagon-avoiding.*