

Warmup suppose V, W are finite-dim'l

$$\text{Hom}(V, W) = \{\text{linear maps } V \text{ to } W\}$$

Q1 $\dim \text{Hom}(V, W)$ in terms of $\dim V, \dim W$?

A1 $\dim \text{Hom}(V, W) = (\dim V)(\dim W)$

Q2 basis for Hom in terms of bases for V, W ?

A2 pick ordered bases (v_1, \dots, v_n) for V
 (w_1, \dots, w_m) for W

linear iso $\text{Hom}(V, W)$ to $\text{Mat}_{\{m \times n\}}(F)$:

$$T \text{ mapsto } M \quad \text{s.t.} \quad Tv_i = \sum_j M_{\{j, i\}} w_j$$

for all k, ℓ , have a matrix M s.t.

$$M_{\{\ell, k\}} = 1$$

$$M_{\{j, i\}} = 0 \text{ for all } (j, i) \neq (\ell, k)$$

[draw matrix]

[what is the corresponding elt of $\text{Hom}(V, W)$?]

let $\theta_{\{\ell, k\}} : V \text{ to } W$ be def by

$$\theta_{\{\ell, k\}}(v_k) = w_\ell$$

$$\theta_{\{\ell, k\}}(v_i) = 0 \text{ for all } i \neq k$$

then $(\theta_{\{\ell, k\}})_{\{(\ell, k)\}}$ is a basis for Hom

Q3 take $V = F$

how does everything above simplify?

A3 take the basis for V to be (v_1)

where $v_1 = 1$ in F

then the basis for Hom is $\theta_{\{1, 1\}}, \dots, \theta_{\{m, 1\}}$
where $\theta_{\{\ell, 1\}}(1) = w_{\ell}$

in particular, $\dim \text{Hom}(F, W) = m = \dim W$
so $\text{Hom}(F, W)$ and W are linearly isomorphic
in fact, we have an explicit iso: [what is it?]

$\theta_{\{\ell, 1\}}$ mapsto w_{ℓ}

Claim this iso is the same for any basis for W
i.e., a coord-indep iso $\text{Hom}(F, W)$ to W

Proof it can be rewritten as:

θ mapsto $\theta(1)$ for all θ in $\text{Hom}(F, W)$

Q4 similarly, $\dim V = n = \dim \text{Hom}(V, F)$
so V and $\text{Hom}(V, F)$ are linearly isomorphic
we have the explicit iso

v_k mapsto $\theta_{\{1, k\}}$

is this iso the same for any basis for V ?

A4 no in general
take $n = 2$
let $(v'_1, v'_2) = (v_1, v_1 + v_2)$

if coord-indep, then v'_k mapsto $\theta'_{\{1, k\}}$ where
 $\theta'_2(v'_1) = 0$
 $\theta'_2(v'_2) = 1$

but we need $\theta'_{\{1, 2\}} = \theta_{\{1, 1\}} + \theta_{\{1, 2\}}$

but $\theta_{\{1, 1\}}(v'_2) + \theta_{\{1, 2\}}(v'_2)$

$$\begin{aligned} &= \theta_{\{1, 1\}}(v_1) + \theta_{\{1, 1\}}(v_2) \\ &\quad + \theta_{\{1, 2\}}(v_1) + \theta_{\{1, 2\}}(v_2) \\ &= 1 + 0 + 0 + 1 = 2, \text{ contradiction} \end{aligned}$$

[so let's treat $\text{Hom}(V, F)$ as different from V]

(Axler §3F)

Df the dual vector space to V is
 $V^\vee := \text{Hom}(V, F)$
 $= \{\text{linear maps } \theta : V \text{ to } F\}$
under $(\theta + \theta')(v) = \theta v + \theta' v$
 $(a \cdot \theta)v = a \theta v$

its elements are called F-linear functionals

Def-Lem if V is finite dim'l, then $\dim V^\vee = \dim V$

in fact:

a basis for V defines a dual basis for V

Def-Pf if v_1, v_2, \dots, v_n is a basis for V
then for all k , define v^\vee_k in V^\vee by

$$v^\vee_k(e_k) = 1$$

$$v^\vee_k(e_j) = 0 \text{ for all } j \neq k$$

[earlier, v^\vee_k was called $\theta_{\{1, k\}}$]

Lem if V is infinite-dim'l
then V^\vee has greater cardinality than V

Pf when $V = F[x]$ [assuming $F = \mathbb{R}$ or \mathbb{C}]

$F[x] = \{\text{const's}\} \cup \bigcup_{n > 0} \{p \mid \deg(p) = n\}$

so (cardinality of $F[x]$)

$\leq (\text{cardinality of } F \sqcup F^2 \sqcup \dots)$

$= (\text{cardinality of } F)$ because F is infinite

claim: $(\text{cardinality of } F^{\mathbb{N}}) \leq (\text{cardinality of } F[x]^{\vee})$

for any f in $F^{\mathbb{N}}$, define θ_f in $F[x]^{\vee}$ by

$$\theta_f(x^n) = f(n)$$

then $f \mapsto \theta_f$ is an injective map $F^{\mathbb{N}}$ to $F[x]^{\vee}$

by Cantor, $(\text{cardinality of } F) < (\text{cardinality of } F^{\mathbb{N}})$

Rem inj. map $F^{\mathbb{N}}$ to $F[x]^{\vee}$ is actually an iso

Df suppose $T : V \rightarrow W$ is a linear map
its dual $T^{\vee} : W^{\vee} \rightarrow V^{\vee}$ is the map def by

$$T^{\vee}(\psi) = \psi \circ T \text{ (as a map from } V \text{ to } F)$$

Lem T^{\vee} is also linear

picture:

$$\begin{array}{ccccc} & T & & \psi, \psi' & \\ & \downarrow & & \downarrow & \\ V & \xrightarrow{\quad} & V & \xrightarrow{\quad} & V \end{array}$$

Pf want $T^{\vee}(\psi + \psi') = T^{\vee}(\psi) + T^{\vee}(\psi')$:

$$\begin{aligned} ((\psi + \psi') \circ T)v &= (\psi + \psi')(Tv) = \psi(Tv) + \psi'(Tv) \\ &= (\psi \circ T)v + (\psi' \circ T)v \end{aligned}$$

the proof that $T^{\vee}(a \cdot \theta) = a \cdot T^{\vee}(\theta)$ is similar

Summary

- 1) taking duals of vector spaces and lin maps
“reverses” the direction of other constructions
[“contravariance”]
- 2) a basis for V defines a dual basis for V^\vee
- 3) if we view elts of F^n as cols,
then we also view elts of $(F^n)^\vee$ as rows:
 $(F^n)^\vee = \text{Hom}(F^n, F) = \text{Mat}_{\{1 \times n\}}(F)$

An Application recall:

Thm if $F = \mathbb{C}$ and V is fin. dim.
then any lin. op on V has
an upper-triangular matrix

earlier, proved via induction on $n = \dim V$
base case $n = 0$

earlier, used eigenline = T -stable subsp. of dim 1
this time, will use:

Thm' there's a T -stable subsp. of dim $n - 1$

Thm' implies Thm:

pick ordered basis (e_1, \dots, e_{n-1}) for W s.t.
matrix of $T|_W$ wrt e_i is triangular
extend to ordered basis (e_1, \dots, e_n) for V
matrix of T is wrt e_i is again triangular

[draw matrix]

Pf of Thm' since V^\vee is also finite-dim'l,
 $T^\vee : V^\vee$ to V^\vee has an eigenvector θ
 say, with eigenval λ

$\ker(\theta)$ is T -stable:

if v in $\ker(\theta)$
then $\theta(Tv) = (T^\vee(\theta))v = (\lambda\theta)v = \lambda(\theta v) = \lambda \mathbf{0} = \mathbf{0}$
so Tv in $\ker(\theta)$

claim $\dim \ker(\theta) = n - 1$

know $\dim \ker(\theta) = n - \dim \operatorname{im}(\theta)$

but $\theta \neq 0$, so $\operatorname{im}(\theta) = F$, so $\dim \operatorname{im}(\theta) = 1$ \square

key step?

($F\theta$ T^\vee -stable in V^\vee) implies ($\ker(\theta)$ T -stable in V)

more generally:

Df for any linear subspace U sub V
 the annihilator of U is

$$\operatorname{Ann}_{\{V^\vee\}}(U) = \{\theta \text{ in } V^\vee \mid \theta(u) = 0 \text{ for all } u \text{ in } U\}$$

next time: $\operatorname{Ann}_{\{V^\vee\}}(U)$ is a linear subspace of V^\vee