

## Affine Springer Fibers and Level-Rank Duality

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- 1 Springer Theory
- 2 Deligne-Lusztig Theory
- 3 Level-Rank Duality

Mainly about joint work with Ting Xue:

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See also the extended abstract on my website, which we have submitted to FPSAC '25.

Springer Theory Work over C.

G connected reductive group

A maximal torus

W Weyl group

The rational Cherednik algebra  $\mathcal{D}_{\mathbf{c}}^{\mathbf{rat}}$  is a deformation of  $\mathbf{C}W \ltimes \mathcal{D}(\mathbf{a})$  depending on a parameter  $c \in \mathbf{C}$ .

$$egin{aligned} D_c^{ ext{rat}} & & ext{Ug} \ \mathbf{C}[\mathbf{a}] \otimes \mathbf{C}W \otimes \mathbf{C}[\mathbf{a}^*] & & ext{U}\mathbf{n}_- \otimes ext{U}\mathbf{a} \otimes ext{U}\mathbf{n}_+ \ & & \Delta_c(\chi) & & \Delta(\lambda) \ & & L_c(\chi) & & L(\lambda) \end{aligned}$$

For  $c\ rational,\ D_c^{\rm rat}$  can fail to be semisimple. This is the most interesting case.

For c rational and  $positive, D_c^{\rm rat}$ -modules from the geometry of  $affine\ Springer\ fibers.$ 

$${f B}$$
 Borel containing  ${f A}$   ${f I} \subset {f G}[\![z]\!]$  Iwahori lifting  ${f B} \subset {f G}$ 

The affine Springer fiber over  $\gamma \in \mathbf{g}((z))$  is

$$\mathcal{F}l_{\gamma} = \{g\mathbf{I} \in \mathbf{G}((z))/\mathbf{I} \mid \gamma \in \mathrm{Lie}(g\mathbf{I}g^{-1})\}.$$

Note that  $\mathbf{G}((z))/\mathbf{I}$  is infinite-dimensional.

We say that  $\gamma$  is regular semisimple iff  $\mathbf{G}((z))^{\circ}_{\gamma}$  is a maximal torus.

Here  $\mathcal{F}l_{\gamma}$  is finite-dimensional!

But it varies wildly over  $\mathbf{g}((z))^{rs} \subseteq \mathbf{g}((z))$ .

Fix rational  $c = \frac{d}{m} > 0$  in lowest terms.

Let  $\mathbf{C}^{\times} \curvearrowright \mathbf{G}((z))$  according to

$$c \cdot g(z) = \operatorname{Ad}(c^{d\rho^{\vee}})g(c^m z).$$
  $\left(\rho^{\vee} = \sum_{\alpha} \omega_{\alpha}^{\vee}\right)$ 

(Oblomkov-Yun)  $\mathcal{F}l_{\gamma}$  is locally constant over

$$\mathbf{g}_{d/m}^{\mathrm{rs}} = \{ \gamma \in \mathbf{g}((z))^{\mathrm{rs}} \mid c \cdot \gamma = c^d \gamma \},$$

and  $\mathbf{C}^{\times} \curvearrowright \mathcal{F}l_{\gamma}$  for such  $\gamma$ .

We say that  $\gamma$  is homogeneous of slope  $\frac{d}{m}$ .

Example Take  $G = SL_2$  and B upper-triangular.

Then 
$$\left(\begin{smallmatrix}1&\\&-1\end{smallmatrix}\right), \left(\begin{smallmatrix}1&\\z\end{smallmatrix}\right), \left(\begin{smallmatrix}z&\\&-z\end{smallmatrix}\right)$$
 have slopes  $0,\frac{1}{2},1.$ 

(Oblomkov–Yun) Take G simply-connected, simple. For  $\gamma \in \mathbf{g}_{d/m}^{\mathrm{rs}}$  such that  $\mathcal{F}l_{\gamma}$  is proper:

- A perverse filtration  $P_{\leq *}$  on  $H^*_{\mathbf{C}^{\times}}(\mathcal{F}l_{\gamma})$ . It arises from a Ngô-type global model.
- An action of  $D_{d/m}^{\text{rat}}$  on

$$\mathcal{E}_{\gamma} := \operatorname{gr}^{\mathsf{P}}_{*} \operatorname{H}^{*}_{\mathbf{C}^{\times}} (\mathcal{F} l_{\gamma})^{\pi_{0}(\mathbf{G}_{0,\gamma})}|_{\epsilon \to 1},$$

where  $\mathbf{G}_0 = (\mathbf{G}((z))^{\mathbf{C}^{\times}})^{\circ}$  and  $\epsilon \in \mathrm{H}^2_{\mathbf{C}^{\times}}(point)$ .

As a module,  $\mathcal{E}_{\gamma}$  contains  $L_{d/m}(\chi_{\mathsf{triv}})$ . Equality holds when m is the Coxeter number. Problem Give a formula for  $D_{d/m}^{\rm rat} \curvearrowright \mathcal{E}_{\gamma}$  in general. In practice, too hard. Replace with

$$\underline{E}_{\gamma} := \sum_{i} (-1)^{i} \operatorname{gr}_{*}^{\mathsf{P}} \operatorname{H}_{\mathbf{C}^{\times}}^{i} (\mathcal{F} l_{\gamma})^{\pi_{0}(\mathbf{G}_{0,\gamma})}|_{\epsilon \to 1}.$$

Idea  $D_{d/m}^{\mathrm{rat}}$  commutes with monodromy of  $\mathcal{E}_{\gamma}$  over

$$\mathbf{c}_{d/m}^{\mathrm{rs}} \subseteq \mathbf{g}_{d/m}^{\mathrm{rs}},$$

a Kostant-type transverse slice to  $\mathbf{G}_0 \curvearrowright \mathbf{g}_{d/m}^{\mathrm{rs}}$ .

The monodromy seems to factor through an algebra from *Deligne-Lusztig theory*.

Deligne–Lusztig studied groups over finite fields. But up to Tate twist.

$$\operatorname{Gal}(\bar{\mathbf{F}}_q|\mathbf{F}_q) \simeq \hat{\mathbf{Z}} \simeq \operatorname{Gal}(\overline{\mathbf{C}(\!(z)\!)}|\mathbf{C}(\!(z)\!)).$$

Forms of **G** are classified by Dynkin automorphisms in the same way over  $\mathbf{F}_q$  as over  $\mathbf{C}((z))$ .

Much of Oblomkov–Yun's setup generalizes from  ${\bf G}$  to any of its forms  ${\bf G}_{{\bf C}((z))}.$ 

The tori  $\mathbf{A}, \mathbf{G}_{\gamma}$  generalize to forms  $\mathbf{A}_{\mathbf{C}((z))}, \mathbf{G}_{\mathbf{C}((z)),\gamma}$ . These have corresponding forms  $\mathbf{A}_{\mathbf{F}_{q}}, \mathbf{T}_{\mathbf{F}_{q}}$ . 2 Deligne–Lusztig Theory Work over  $\bar{\mathbf{F}}_q$  for good q.

$$\{\text{forms of }\mathbf{G} \text{ over } \mathbf{F}_q\} \quad \leftrightarrow \quad \{\text{Frobenii } {\color{red} F} \curvearrowright \mathbf{G}\}$$

We say that  $G = \mathbf{G}^F$  is a finite group of Lie type. F-stable Levis  $\mathbf{L} \subseteq \mathbf{G}$  correspond to Levis  $\mathbf{L} \subseteq G$ .

Deligne–Lusztig introduced varieties  
† 
$$Y_{\rm L}^{\bf G}$$
 such that

$$G \quad \curvearrowright \quad \mathrm{H}^*_c(Y^\mathbf{G}_\mathbf{L}) \quad \curvearrowleft \quad L.$$

Induction map  $R_L^G: \mathrm{K}_0(L) \to \mathrm{K}_0(G)$ :

$$R_L^G(\lambda) = \sum\nolimits_i {( - 1)^i {\bf{H}}_c^i(Y_{\bf{L}}^{\bf{G}})[\lambda]}.$$

 $^{\dagger}$  Actually,  $Y_{\mathbf{L}}^{\mathbf{G}}$  depends on a parabolic  $\mathbf{P}\supseteq\mathbf{L}.$ 

(Broué-Malle) For m-regular maximal tori  $\mathbf{T}$ , a specific algebra  $H_T^G(\mathbf{q})$  such that

$$H_T^G(\zeta_m) = \bar{\mathbf{Q}}W_T^G$$
, where  $W_T^G = N_G(T)/T$ .

They conjecture:

- 1  $H_T^G(q) \otimes \bar{\mathbf{Q}}_{\ell} \simeq \operatorname{End}_G(\mathrm{H}_c^*(Y_{\mathbf{T}}^{\mathbf{G}})[1_T]).$
- 2 As a virtual  $(G, H_T^G(q))$ -bimodule,

$$R_T^G(1_T) = \sum_{\substack{\rho \in \text{Irr}(G) \\ (\rho, R_T^G(1_T)) \neq 0}} \varepsilon_{T, \rho}(\rho \otimes \chi_{T, \rho, q})$$

where  $\varepsilon_{T,\rho} \in \{\pm 1\}$  and  $\chi_{T,\rho} \in \operatorname{Irr}(W_T^G)$ . (And  $\chi_{T,\rho,q} \in K_0(H_T^G(q))$  corresponds to  $\chi_{T,\rho}$ .)  $\text{Back to Springer.} \hspace{0.5cm} (\mathbf{A}_{\mathbf{F}_q}, \mathbf{T}_{\mathbf{F}_q} \leftrightarrow \mathbf{A}_{\mathbf{C}(\!(z)\!)}, \mathbf{G}_{\mathbf{C}(\!(z)\!),\gamma})$ 

It turns out that **A** and **T** are 1- and m-regular. Moreover,  $\pi_1(\mathbf{c}_{d/m}^{\mathrm{rs}})$  is the braid group of  $W_T^G$ .

## Conjecture (T-Xue)

- 1  $\pi_1(\mathbf{c}_{d/m}^{\mathrm{rs}}) \curvearrowright \mathcal{E}_{\gamma}$  factors through  $H_T^G(1)$ .
- 2 As a virtual  $(D_{d/m}^{\mathrm{rat}}, H_T^G(1))$ -bimodule,<sup>†</sup>

$$E_{\gamma} = \sum_{\substack{\rho \in \operatorname{Irr}(G) \\ (\rho, R_A^G(1_A)) \neq 0 \\ (\rho, R_T^G(1_T)) \neq 0}} \varepsilon_{T\rho}(\Delta_{d/m}(\chi_{A,\rho}) \otimes \chi_{T,\rho,1}).$$

 $^{\dagger}$  In general,  $D_{d/m}^{\mathrm{rat}}$  is defined using  $W_{A}^{G}.$ 

Theorem (T-Xue) True in these cases:

- m is the (twisted) Coxeter number of  $\mathbf{G}_{\mathbf{C}(\!(z)\!)}$ .
- $(\mathbf{G}_{\mathbf{C}((z))}, m) = (^2A_2, 2), (C_2, 2), (G_2, 3), (G_2, 2).$ Under a conjecture of OY, true in further cases.

Example Take  $G_{\mathbf{C}((z))}$  split, m its Coxeter number.  $\chi_{A,\rho}$  runs over characters  $\chi_{\wedge k(z)}$  of  $W_A^G$ .

 $\chi_{T,\rho}$  runs over all characters of  $W_T^G = \mathbf{Z}/m\mathbf{Z}$ . In  $K_0(D_{d/m}^{\mathrm{rat}})$ ,

$$\begin{split} [E_{\gamma}] &= \sum_{k} (-1)^{k} [\Delta_{d/m}(\chi_{\wedge^{k}(\mathbf{a})})] \\ &= [L_{d/m}(\chi_{\mathsf{triv}})]. \end{split}$$

 ${\it Cf.}$  the BGG resolution of Berest–Etingof–Ginzburg.

3 Level-Rank Duality Compare  $E_{\gamma}$  given by

$$\sum_{\rho} \varepsilon_{T,\rho}(\Delta_{d/m}(\chi_{A,\rho}) \otimes \chi_{T,\rho,1})$$

with  $R_A^G(1_A) \otimes_{\bar{\mathbf{Q}}_{\ell}G} R_T^G(1_T)$  given by

$$\sum_{\rho} \varepsilon_{T,\rho}(\chi_{A,\rho,q} \otimes \chi_{T,\rho,q}).$$

The Knizhnik–Zamolodchik functor

$$\mathsf{KZ} : \mathsf{Rep}(D^{\mathrm{rat}}_{d/m}) \to \mathsf{Rep}(H^G_A(\zeta_m))$$

sends  $\mathsf{KZ}(\Delta_{d/m}(\chi)) = \chi_{\zeta_m}$ . Thus an analogy:

$$\mathbf{F}_q : (q,q) :: \mathbf{C}((z)) : (\zeta_m, 1)$$

The symmetry between A and T led us to new discoveries about the Harish–Chandra theory of G.

Let Uch(G) be the set of *unipotent* irreps of G, which occur in  $R_T^G(1_T)$  for some maximal torus  $\mathbf{T}$ .

(Broué–Malle–Michel) Fix a positive integer l.

•  $\mathbf{L} \subseteq \mathbf{G}$  is l-split iff  $\mathbf{L} = Z_{\mathbf{G}}(\mathbf{S})^{\circ}$ , where

**S** is a torus with |S| a power of  $\Phi_l(q)$ .

•  $\lambda \in \text{Uch}(L)$  is l-cuspidal iff  $(\lambda, R_M^G(\mu)) = 0$  for any l-split  $M \neq L$ .

As we run over pairs  $(\mathbf{L}, \lambda)$  up to conjugacy,

$$Uch(G) = \coprod Uch(G)_{\mathbf{L},\lambda},$$

where  $Uch(G)_{\mathbf{L},\lambda} = \{ \rho \mid (\rho, R_L^G(\lambda)) \neq 0 \}.$ 

For l=1, these are classical Harish-Chandra series.

Generalizing our discussion for maximal tori:

Broué–Malle define a Hecke algebra  $H^G_{L,\lambda}(\mathsf{q})$  such that

$$H_{L,\lambda}^G(\zeta_l) = \bar{\mathbf{Q}}W_{L,\lambda}^G$$
, where  $W_{L,\lambda}^G = N_G(L,\lambda)/L$ .

They conjecture:

- 1  $H_{L,\lambda}^G(q) \otimes \bar{\mathbf{Q}}_{\ell} = \operatorname{End}_G(\mathrm{H}_c^*(Y_{\mathbf{L}}^{\mathbf{G}})[\lambda]).$
- 2 As a virtual  $(G, H_{L,\lambda}^G(q))$ -bimodule,

$$R_L^G(\lambda) = \sum_{\rho \in \mathrm{Uch}(G)_{\mathbf{L},\lambda}} \varepsilon_{L,\lambda,\rho}(\rho \otimes \chi_{L,\lambda,\rho,q})$$

where  $\varepsilon_{L,\lambda,\rho} \in \{\pm 1\}$  and  $\chi_{L,\lambda,\rho} \in \operatorname{Irr}(W_{L,\lambda}^G)$ .

7

Via the decomposition map

$$\chi \mapsto \chi_{\zeta_m} : \operatorname{Irr}(W_{L,\lambda}^G) \to \operatorname{K}_0(H_{L,\lambda}^G(\zeta_m)),$$

we partition  $\operatorname{Irr}(W_{L,\lambda}^G)$  into *blocks*, describing how  $H_{L,\lambda}^G(\zeta_m)$  fails to be semisimple.

Conjecture (T–Xue) Fix l, m.

Fix an l-cuspidal  $(\mathbf{L}, \lambda)$  and m-cuspidal  $(\mathbf{M}, \mu)$ .

1 The set

$$\begin{split} \{\chi_{L,\lambda,\rho} \mid \rho \in \operatorname{Uch}(G)_{\mathbf{L},\lambda} \cap \operatorname{Uch}(G)_{\mathbf{M},\mu}\}, \\ resp. \quad \{\chi_{M,\mu,\rho} \mid \rho \in \operatorname{Uch}(G)_{\mathbf{L},\lambda} \cap \operatorname{Uch}(G)_{\mathbf{M},\mu}\}, \\ \text{is a union of } H^G_{L,\lambda}(\zeta_m)\text{--}, \ resp. \ H^G_{M,\mu}(\zeta_l)\text{--blocks}. \end{split}$$

2 The indexing induces a matching of blocks.

Theorem (T–Xue) (1), (2) are compatible with block sizes for essentially all G, l, m with G exceptional.

Conjecture (T–Xue) In the preceding setup:

3 Via KZ functors, the bijection in (2) lifts to a derived equivalence between category-O blocks of appropriate rational Cherednik algebras.

Theorem (T–Xue) (1), (2), (3) hold for  $G = GL_n$  when l, m are coprime.

8

Note that  $W_{L,\lambda}^{\mathrm{GL}_n} \simeq S_N \ltimes \mathbf{Z}_l^N$  for some N, etc.

$$\operatorname{\mathsf{Rep}}(H_{L,\lambda}^{\operatorname{GL}_n}(\zeta_m))$$
 and  $\operatorname{\mathsf{Rep}}(H_{M\mu}^{\operatorname{GL}_n}(\zeta_l))$ 

can be interpreted in terms of higher-level Fock spaces

$$\bigoplus_{\substack{\vec{s} \in \mathbf{Z}^l \\ |\vec{s}| = s}} \Lambda_{\mathsf{q}}^{\vec{s}} \overset{\sim}{\longleftarrow} \Lambda_{\mathsf{q}}^s \overset{\sim}{\longrightarrow} \bigoplus_{\substack{\vec{r} \in \mathbf{Z}^m \\ |\vec{r}| = s}} \Lambda_{\mathsf{q}}^{\vec{r}}.$$

Above,  $\Lambda_{\mathsf{q}}^{\vec{s}} \simeq \bigoplus_{N} \mathrm{K}_{0}(S_{N} \ltimes \mathbf{Z}_{l}^{N}) \otimes \mathbf{Q}(\mathsf{q}), \ etc.$ 

Level-rank duality of Frenkel, Uglov, Chuang-Miyachi, Rouquier-Shan-Varagnolo-Vasserot...

Our conjectures generalize level-rank duality from  $GL_n$  to arbitrary G.

Thank you for listening.