

# Two ways to the focused sequent calculi

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*Parsifal* working group,  
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# In this talk

My two encounters with focusing while studying the  
Curry-Howard isomorphism

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1. From Natural deduction to LJ
2. From the CPS transformation to LJQ

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## Goal

Trigger discussions on term assignments for LKF

# 1. From Natural Deduction to LJT

*Proofs, upside down* [[Puech, 2013](#)]

## 2. From the CPS transformation to LJQ

*Typeful CPS transformations* [Danvy & Puech, 201?]

**Warning:** raw material

# Continuation-passing styles

A CPS transformation is

- a programming technique
- an intermediate language in compilers  
(complex language  $\rightarrow$  simpler language)
- a semantic artifact  
( $\simeq$  operational/denotational/process/... semantics)
- a proof transformation  
(classical  $\rightarrow$  intuitionistic)
- ...

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Many variants, long, **long** history



# My interrogations

- How can it be so many things at the same time?
- What does it correspond to as a proof system?
- What is this thing anyway?

$$\llbracket x \rrbracket = \lambda k. k \ x$$

$$\llbracket \lambda x. M \rrbracket = \lambda k. k \ (\lambda x. \llbracket M \rrbracket)$$

$$\llbracket M \ N \rrbracket = \lambda k. \llbracket M \rrbracket \ (\lambda m. \llbracket N \rrbracket \ (\lambda n. m \ n \ k))$$

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## The motivation

Understand CPS through syntax and typing

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We are going to engineer a *one-pass*,  $\beta$ -*normal* CPS thanks to:

- gradual analysis and optimization
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A type system for the  $\beta$ -normal forms of CPS terms

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here: *call-by-value*      (exercise: *call-by-name*)

# Outline

1. Fischer & Plotkin's original CPS transformation
2. *One-pass CPS* (through Control-Flow Analysis)
3. The syntax of CPS terms (through syntax aggregation)
4. Proper transformation of  $\beta$ -redexes



## Fischer & Plotkin's original transformation

$$M ::= \lambda x. M \mid M M \mid x \mid \mathbf{let} \ x = M \ \mathbf{in} \ M \qquad \in Exp$$

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### Properties

**Simulation**  $\llbracket eval_v(M) \rrbracket \simeq eval_v(\llbracket M \rrbracket \ (\lambda x. x))$

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$$\llbracket A \rrbracket = (\llbracket A \rrbracket \rightarrow o) \rightarrow o$$

$$\llbracket A \rightarrow B \rrbracket = \llbracket A \rrbracket \rightarrow \llbracket B \rrbracket$$

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**Indifference**  $eval_v(\llbracket M \rrbracket (\lambda x. x)) \simeq eval_n(\llbracket M \rrbracket \ (\lambda x. x))$

**Preservation of typing** If  $\Gamma \vdash M : A$  then  $\Gamma \vdash \llbracket M \rrbracket : \llbracket A \rrbracket$

## Problem “administrative redexes”

$$\llbracket x \rrbracket = \lambda k. k \ x$$

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- $\llbracket \lambda x. x \rrbracket = \lambda k. k \ (\lambda x k. k \ x)$
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### Proposition

Translate, then reduce administrative redexes (two passes).



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### Proposition

Translate, then reduce administrative redexes (two passes).  
But how to distinguish administrative/source redexes?

## Analysis    Control flow in the CPS

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## Analysis    Control flow in the CPS

$$\llbracket x \rrbracket = \lambda K. K[x]$$

$$\llbracket \lambda x. M \rrbracket = \lambda K. K[\lambda x k. \llbracket M \rrbracket [\lambda M. k \ M]]$$

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3. where do these  $k$  occur?
4. what are the static abs.  $\lambda X. T$  and app.  $T[U]$ ?
5. are there variable mismatches?

## Result The *one-pass* CPS transform

(Danvy & Filinski, *Representing Control*, 1991)

$$\llbracket x \rrbracket [K] = K[x]$$

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$$\llbracket M \rrbracket = \llbracket M \rrbracket [?]$$

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$$\llbracket \cdot \rrbracket : M \rightarrow M$$

$$\llbracket M \rrbracket = \lambda k. \llbracket M \rrbracket [\lambda M. k M]$$

### Examples

- $\llbracket \lambda x. x \rrbracket = \lambda k. k (\lambda x k. k x)$

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### Examples

- $\llbracket \lambda x. x \rrbracket = \lambda k. k (\lambda x k. k x)$
- $\llbracket \lambda x. x x \rrbracket = \lambda k. k (\lambda x k. x x (\lambda v. k v))$

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$$\llbracket \cdot \rrbracket [\cdot] : M^A \rightarrow (M^A \rightarrow M^{\llbracket A \rrbracket}) \rightarrow M^{\llbracket A \rrbracket}$$

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### Examples

- $\llbracket \lambda x. x \rrbracket = \lambda k. k (\lambda x k. k x)$
- $\llbracket \lambda x. x x \rrbracket = \lambda k. k (\lambda x k. x x (\lambda v. k v))$

## Problem What is the structure of CPS terms?

$$\llbracket x \rrbracket K = K[x]$$

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## Quiz

Is there  $M$  s.t.  $\llbracket M \rrbracket = \lambda k. k (\lambda x k. x)$ ?

## Problem What is the structure of CPS terms?

$$\llbracket x \rrbracket K = K[x]$$

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## Quiz

Is there  $M$  s.t.  $\llbracket M \rrbracket = \lambda k. k (\lambda x k. x)$ ?

What is the image of the one-pass CPS transform?

## Problem What is the structure of CPS terms?

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## Quiz

Is there  $M$  s.t.  $\llbracket M \rrbracket = \lambda k. k (\lambda x k. x)$ ?

What is the image of the one-pass CPS transform?

## Motivation

A precise syntax for CPS terms?

## Analysis    Output syntax of the one-pass CPS

$$\llbracket \cdot \rrbracket \cdot : M \rightarrow (M \rightarrow M) \rightarrow M$$

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$$\llbracket \cdot \rrbracket : M \rightarrow M$$

$$\llbracket M \rrbracket = \lambda k. \llbracket M \rrbracket [\lambda M. k M]$$

## Analysis    Output syntax of the one-pass CPS

$$\llbracket \cdot \rrbracket \cdot : M \rightarrow (T \rightarrow S) \rightarrow U$$

$$\llbracket x \rrbracket K = K[x]$$

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$$\llbracket \cdot \rrbracket : M \rightarrow P$$

$$\llbracket M \rrbracket = \lambda k. \llbracket M \rrbracket [\lambda M. k M]$$

$S ::=$

$T ::=$

$P ::=$



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$S ::= k T \mid T T (\lambda v. S) \mid \text{let } x = T \text{ in } S$       Serious terms

$T ::= \lambda x k. S \mid x \mid v$       Trivial terms

$P ::= \lambda k. S$       Programs

## Result The syntax of CPS terms

$S ::= k\ T \mid T\ T\ (\lambda v. S) \mid \mathbf{let}\ x = T\ \mathbf{in}\ S$	Serious terms
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### Notes

- distinguished  $x$  (source),  $v$  (value),  $k$  (continuation) var.
- $(\lambda v. S)$  is a *continuation*
- programs await the *initial* continuation

## Result The syntax of CPS terms

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### Notes

- distinguished  $x$  (source),  $v$  (value),  $k$  (continuation) var.
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- programs await the *initial* continuation
- monadic operations

## Result The typing of CPS terms

$$\boxed{\Gamma \vdash S \mid \Delta}$$

DECIDE

$$\frac{\Gamma \vdash T : A \mid \Delta}{\Gamma \vdash k \ T \mid \Delta, k : A}$$

...

CUT

$$\frac{\Gamma \vdash T : A \mid \Delta \quad \Gamma, x : A \vdash S \mid \Delta}{\Gamma \vdash \mathbf{let} \ x = T \ \mathbf{in} \ S \mid \Delta}$$

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IMPLR

$$\frac{\Gamma, x : A \vdash S \mid \Delta, k : B}{\Gamma \vdash \lambda x k. S : A \rightarrow B \mid \Delta}$$

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INIT

$$\frac{}{\Gamma, x : A \vdash x : A \mid \Delta}$$

- $\Gamma$  contains values,  $\Delta$  contains continuations
- Focused and unfocused judgments
- Classical reasoning

## Problem $\beta$ -redexes or lets?

$$\llbracket (\lambda xy.x) a b \rrbracket = \\ \lambda k. (\lambda xk.k (\lambda yk.k x)) a (\lambda v.v b (\lambda w.k w))$$



## Problem $\beta$ -redexes or **lets**?

$$\llbracket (\mathbf{let} \ x = a \ \mathbf{in} \ \lambda y. x) \ b \rrbracket = \\ \lambda k. \mathbf{let} \ x = a \ \mathbf{in} \ (\lambda y. x) \ b \ (\lambda v. kv)$$

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### Remarks

- two representations for redexes in CPS terms ( $\beta$  redexes and **let**)
- **let** gives more compact CPS terms
- let's turn *nested*  $\beta$ -redexes into **lets**!

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### Motivation

More compact CPS terms [Sabry & Felleisen, 1993; Danvy 2004]

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### Proposition

Nested redexes  $\rightarrow$  **lets**, then CPS-transformation (2-pass)?

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### Motivation

More compact CPS terms [Sabry & Felleisen, 1993; Danvy 2004]

### Proposition

Nested redexes  $\rightarrow$  **lets**, then CPS-transformation (2-pass)?

How to distinguish original and transformed **lets**?

## Analysis The syntax of $\beta$ -normal CPS terms

$S ::= k\ T \mid T\ T\ (\lambda v. S) \mid \mathbf{let}\ x = T\ \mathbf{in}\ S$  Serious terms

$T ::= \lambda x k. S \mid x \mid v$  Trivial terms

$P ::= \lambda k. S$  Programs



## Analysis The syntax of $\beta$ -normal CPS terms

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## Analysis The syntax of $\beta$ -normal CPS terms

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$T ::= \lambda x k. S \mid \textcolor{red}{I}$  Trivial terms

$I ::= \textcolor{red}{x} \mid \textcolor{red}{v}$  Identifiers

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### Remarks

- identifiers = “atomic terms”

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### Remarks

- identifiers = “atomic terms”
- CPS is now context-sensitive

## Analysis The syntax of $\beta$ -normal CPS terms

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$I ::= x \mid v$  Identifiers

$P ::= \lambda k. S$  Programs

### Remarks

- identifiers = “atomic terms”
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- Monadic/Administrative Normal Forms [Flanagan et al., 1993]

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### Remarks

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### Example

$$\begin{aligned} \llbracket g \ (f \ x) \rrbracket &= \lambda k. \mathbf{bind} \ v_1 = f \ x \ \mathbf{in} \\ &\quad \mathbf{bind} \ v_2 = g \ v_1 \ \mathbf{in} \\ &\quad \mathbf{ret}_k \ v_2 \end{aligned}$$

## Result CPS transformation of $\beta$ -redexes (Danvy, 2004)

$$\llbracket x \rrbracket K = K[x]$$

$$\llbracket \lambda x. M \rrbracket K = K[\lambda x k. \llbracket M \rrbracket [\lambda M. k M]]$$

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## Result CPS transformation of $\beta$ -redexes (Danvy, 2004)

$$\llbracket x \rrbracket_l K = K[\psi_l(x)]$$

$$\llbracket \lambda x. M \rrbracket_0 K = K[\lambda x k. \llbracket M \rrbracket_0[\lambda T. k \ T]]$$

$$\llbracket M \ N \rrbracket_l K = \llbracket M \rrbracket_{s(l)}[\lambda T. \llbracket N \rrbracket_l[\lambda U. T[U][\lambda V. K[V]]]]$$

$$\llbracket \text{let } x = M \text{ in } N \rrbracket_l K = K[\lambda TK. \text{let } x = T \text{ in } \llbracket M \rrbracket_l[\lambda M. K[M]]]$$

$$\psi_0(I) = i$$



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$$\llbracket x \rrbracket_l K = K[\psi_l(x)]$$

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$$\psi_{S(l)} = \lambda TK. IT(\lambda v. K[\psi_l(v)])$$

## Result CPS transformation of $\beta$ -redexes (Danvy, 2004)

$$\llbracket \cdot \rrbracket_l . : \forall l : \mathbb{N}, M \rightarrow (\tau_l \rightarrow S) \rightarrow S$$

$$\llbracket x \rrbracket_l K = K[\psi_l(x)]$$

$$\llbracket \lambda x. M \rrbracket_0 K = K[\lambda x k. \llbracket M \rrbracket_0[\lambda T. k T]]$$

$$\llbracket \lambda x. M \rrbracket_{S(l)} K = K[\lambda TK. \text{let } x = T \text{ in } \llbracket M \rrbracket_l[\lambda M. K[M]]]$$

$$\llbracket M N \rrbracket_l K = \llbracket M \rrbracket_{S(l)}[\lambda T. \llbracket N \rrbracket_l[\lambda U. T[U][\lambda V. K[V]]]]$$

$$\llbracket \text{let } x = M \text{ in } N \rrbracket_l K = K[\lambda TK. \text{let } x = T \text{ in } \llbracket M \rrbracket_l[\lambda M. K[M]]]$$

$$\psi_l(\cdot) : \forall l : \mathbb{N}, I \rightarrow \tau_l$$

$$\psi_0(I) = i$$

$$\psi_{S(l)} = \lambda TK. IT(\lambda v. K[\psi_l(v)])$$

## Result CPS transformation of $\beta$ -redexes (Danvy, 2004)

$$\tau_0 = T$$

$$\tau_{S(l)} = T \rightarrow (\tau_l \rightarrow S) \rightarrow S$$

$$\llbracket \cdot \rrbracket. \cdot : \forall l : \mathbb{N}, M \rightarrow (\tau_l \rightarrow S) \rightarrow S$$

$$\llbracket x \rrbracket_l K = K[\psi_l(x)]$$

$$\llbracket \lambda x. M \rrbracket_0 K = K[\lambda x k. \llbracket M \rrbracket_0[\lambda T. k T]]$$

$$\llbracket \lambda x. M \rrbracket_{S(l)} K = K[\lambda TK. \mathbf{let} \ x = T \ \mathbf{in} \ \llbracket M \rrbracket_l[\lambda M. K[M]]]$$

$$\llbracket M \ N \rrbracket_l K = \llbracket M \rrbracket_{S(l)}[\lambda T. \llbracket N \rrbracket_l[\lambda U. T[U][\lambda V. K[V]]]]$$

$$\llbracket \mathbf{let} \ x = M \ \mathbf{in} \ N \rrbracket_l K = K[\lambda TK. \mathbf{let} \ x = T \ \mathbf{in} \ \llbracket M \rrbracket_l[\lambda M. K[M]]]$$

$$\psi.(\cdot) : \forall l : \mathbb{N}, I \rightarrow \tau_l$$

$$\psi_0(I) = i$$

$$\psi_{S(l)} = \lambda TK. IT(\lambda v. K[\psi_l(v)])$$

## Result The typing of $\beta$ -normal CPS terms

$$\boxed{\Gamma \vdash S \mid \Delta}$$

$$\frac{\text{DECIDE} \quad \Gamma \vdash T : A \mid \Delta}{\Gamma \vdash k T \mid \Delta, k : A}$$

$$\frac{\text{IMPLL} \quad \Gamma \vdash U : A \mid \Delta \quad \Gamma, v : B \vdash S \mid \Delta}{\Gamma, I : A \rightarrow B \vdash I U (\lambda v. S) \mid \Delta}$$

$$\frac{\text{CUT} \quad \Gamma \vdash T : A \mid \Delta \quad \Gamma, x : A \vdash S \mid \Delta}{\Gamma \vdash \text{let } x = T \text{ in } S \mid \Delta}$$

$$\boxed{\Gamma \vdash T : A \mid \Delta}$$

$$\frac{\text{IMPLR} \quad \Gamma, x : A \vdash S \mid \Delta, k : B}{\Gamma \vdash \lambda x k. S : A \rightarrow B \mid \Delta}$$

$$\frac{\text{INIT}}{\Gamma, I : A \vdash I : A \mid \Delta}$$

**End result** The LKQ focused sequent calculus [DJS, 1993]

$$\boxed{\Gamma \vdash S \mid \Delta}$$

$$\frac{\text{DECIDE} \quad \Gamma \vdash A \mid \Delta}{\Gamma \vdash \Delta, A}$$

$$\frac{\text{IMPLL} \quad \Gamma \vdash A \mid \Delta \quad \Gamma, B \vdash \Delta}{\Gamma, A \rightarrow B \vdash \Delta}$$

$$\frac{\text{CUT} \quad \Gamma \vdash A \mid \Delta \quad \Gamma, A \vdash \Delta}{\Gamma \vdash \Delta}$$

$$\boxed{\Gamma \vdash T : A \mid \Delta}$$

$$\frac{\text{IMPLR} \quad \Gamma, A \vdash \Delta, B}{\Gamma \vdash A \rightarrow B \mid \Delta}$$

$$\frac{\text{INIT}}{\Gamma, A \vdash A \mid \Delta}$$

## And finally From classical to intuitionistic: LJQ

**Remark** [Danvy & Pfenning, 1999]

Without control operators (`call/cc`), only one continuation variable  $k$  is ever needed.

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# Conclusion

## To sum up

We reconstructed LJQ out of a fine analysis of CPS:

- control flow  $\rightsquigarrow$  *one-pass* CPS
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## The future article

- *one-pass*,  $\beta$ -normal, *tail-recursive* CPS in a dedicated syntax.
- methodology to infer typing rules using OCaml/GADTs

# Overall conclusion

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Understand *focusing* through *program transformation*



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## Lifetime goal

Understand *proof theory* through *compilation*