

Nonlinear Response

Mike Reppert

September 16, 2019

Previously on CHM676...

Material response can be expanded in a perturbative series

$$P_{\alpha}(t) = \sum_{n=0}^{\infty} P_{\alpha}^{(n)}(t).$$

Linear response is the first-order term, characterized by the *susceptibility*

$$\chi^{(1)}(\omega) = \int d\tau R^{(1)}(\tau) e^{i\omega\tau}.$$

Absorption spectroscopy probes the imaginary part:

$$A(\omega) = \frac{4\pi\omega\ell}{cn(\omega) \ln 10} \text{Im}\chi(\omega).$$

Today: Nonlinear response

Outline for Today:

- 1 The Nonlinear Polarization
- 2 The Longitudinal and Transverse Fields
- 3 The Rare Medium Approximation

The Nonlinear Polarization

The Nonlinear Polarization

In **nonlinear materials**, Maxwell's equations are *complicated*:

$$\nabla \cdot \mathbf{E} = -4\pi \nabla \cdot \mathbf{P}[\mathbf{E}]$$

$$\nabla \cdot \mathbf{B} = 0$$

$$\nabla \times \mathbf{E} + \frac{1}{c} \frac{\partial \mathbf{B}}{\partial t} = 0$$

$$\nabla \times \mathbf{B} - \frac{1}{c} \frac{\partial \mathbf{E}}{\partial t} = \frac{4\pi}{c} \frac{\partial \mathbf{P}[\mathbf{E}]}{\partial t}$$

We need perturbative methods!

Is this a good idea?

Roughly speaking:

$$\text{Probability of } n\text{-th order processes} \propto \frac{1}{n!} \left(\frac{\text{Rate of excitation}}{\text{Rate of de-excitation}} \right)^n$$

In direct sunlight:

- Chlorophyll *a* gets excited 10 times/second
- Chlorophyll excited states live for 1 ns.

Q: What's the probability of a nonlinear event?

A: Roughly 10^{-16} (!)

For most materials, nonlinear processes happen only at very high intensities!

The Nonlinear Polarization

To build a perturbation theory, define the *nonlinear polarization*

$$\mathbf{P}^{(\text{NL})}(\mathbf{x}, t) = \mathbf{P}(\mathbf{x}, t) - \mathbf{P}^{(1)}(\mathbf{x}, t).$$

Key Point: We can solve the *linear* equations exactly. Exact knowledge of $\mathbf{P}^{(1)}(\mathbf{x}, t)$ lets us study $\mathbf{P}^{(\text{NL})}(\mathbf{x}, t)$ perturbatively.

The Nonlinear Polarization

Maxwell's Equations now become:

$$\nabla \cdot (\mathbf{E} + 4\pi\mathbf{P}^{(1)}) = -4\pi\mathbf{P}^{(\text{NL})}$$

$$\nabla \cdot \mathbf{B} = 0$$

$$\nabla \times \mathbf{E} + \frac{1}{c} \frac{\partial \mathbf{B}}{\partial t} = 0$$

$$\nabla \times \mathbf{B} - \frac{1}{c} \frac{\partial}{\partial t} (\mathbf{E} + 4\pi\mathbf{P}^{(1)}) = \frac{4\pi}{c} \frac{\partial \mathbf{P}^{(\text{NL})}}{\partial t}$$

$$\Downarrow$$

$$\nabla (\nabla \cdot \mathbf{E}) - \nabla^2 \mathbf{E} + \frac{1}{c^2} \frac{\partial^2}{\partial t^2} (\mathbf{E} + 4\pi\mathbf{P}^{(1)}) = -\frac{4\pi}{c^2} \frac{\partial^2}{\partial t^2} \mathbf{P}^{(\text{NL})}$$

It looks (sort of) like the wave equation – but it's not!

Take-Home Point

In **nonlinear media** We can't solve Maxwell's equations exactly – so we use a perturbation expansion!

The **nonlinear polarization** $P^{(\text{NL})}$ is the part of the total polarization *not* captured by $P^{(1)}$.

The equation governing **nonlinear processes** looks something like the wave equation, but with a nonlinear source on the right-hand side.

The Longitudinal and Transverse Fields

The Helmholtz Decomposition

As usual, solutions are easier in \mathbf{k} -space:

$$\mathbf{k} \left(\mathbf{k} \cdot \tilde{\mathbf{E}} \right) + k^2 \tilde{\mathbf{E}} - \frac{\omega^2}{c^2} \left(\tilde{\mathbf{E}} + 4\pi \tilde{\mathbf{P}}^{(1)} \right) = \frac{4\pi\omega^2}{c^2} \tilde{\mathbf{P}}^{(\text{NL})}.$$

Now decompose the field as the sum $\tilde{\mathbf{E}} = \tilde{\mathbf{E}}_{\parallel} + \tilde{\mathbf{E}}_{\perp}$ of two components

$$\tilde{\mathbf{E}}_{\parallel}(\mathbf{k}, \omega) = \mathbf{k} \frac{\mathbf{k} \cdot \tilde{\mathbf{E}}(\mathbf{k}, \omega)}{k^2} \quad \leftarrow \quad \text{Longitudinal Field}$$

$$\tilde{\mathbf{E}}_{\perp}(\mathbf{k}, \omega) = - \frac{\mathbf{k} \times \left(\mathbf{k} \times \tilde{\mathbf{E}}(\mathbf{k}, \omega) \right)}{k^2} \quad \leftarrow \quad \text{Transverse Field.}$$

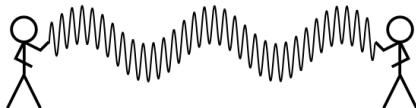
At any point in \mathbf{k} -space, $\tilde{\mathbf{E}}_{\parallel}$ is parallel to \mathbf{k} , and $\tilde{\mathbf{E}}_{\perp}$ is perpendicular!

Longitudinal vs. Transverse fields

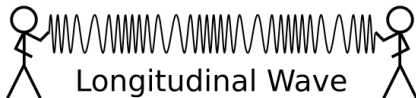
Loosely speaking:

- Longitudinal fields are polarized along their propagation axis
- Transverse fields are polarized perpendicular to propagation axis

Transverse Wave



Longitudinal Wave

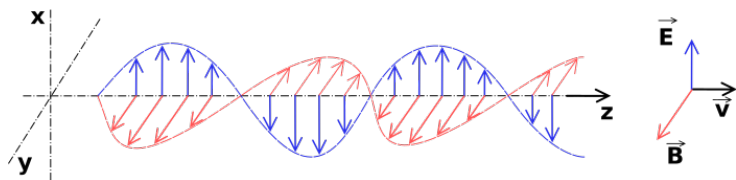


Vacuum Waves: Longitudinal Or Transverse?

In vacuum MEs support only **transverse** fields.

$$\nabla \cdot \mathbf{E} = 0 \quad \Leftrightarrow \quad \mathbf{k} \cdot \tilde{\mathbf{E}} = 0$$

$$\nabla \cdot \mathbf{B} = 0 \quad \Leftrightarrow \quad \mathbf{k} \cdot \tilde{\mathbf{B}} = 0$$



Longitudinal fields can exist only in matter!

\Rightarrow not usually relevant to spectroscopy.

The Longitudinal and Transverse Fields

The HD splits one equation into two:

$$\begin{aligned}
 k \left(k \cdot \tilde{\mathbf{E}} \right) + k^2 \tilde{\mathbf{E}} - \frac{\omega^2}{c^2} \left(\tilde{\mathbf{E}} + 4\pi \tilde{\mathbf{P}}^{(1)} \right) &= \frac{4\pi\omega^2}{c^2} \tilde{\mathbf{P}}^{(\text{NL})} \\
 \Downarrow \\
 -\tilde{\mathbf{E}}_{\parallel} + 4\pi \tilde{\mathbf{P}}_{\parallel}^{(1)} &= -4\pi \tilde{\mathbf{P}}_{\parallel}^{(\text{NL})} \\
 \left(k^2 - \frac{\omega^2}{c^2} \right) \tilde{\mathbf{E}}_{\perp} + \frac{\omega^2}{c^2} 4\pi \tilde{\mathbf{P}}_{\perp}^{(1)} &= \frac{4\pi\omega^2}{c^2} \tilde{\mathbf{P}}_{\perp}^{(\text{NL})}.
 \end{aligned}$$

Looks like we could *almost* solve this. **But:** $\tilde{\mathbf{P}}_{\parallel}^{(\text{NL})}$ and $\tilde{\mathbf{P}}_{\perp}^{(\text{NL})}$ depend on the *total field*!

- Both equations are nonlinear.
- The equations are coupled.

Take-Home Points

The **Helmholz Decomposition** splits the EM field into *longitudinal* and *transverse* components.

The **longitudinal field** E_{\parallel} is polarized *along* its propagation axis.

The **transverse field** E_{\perp} is polarized *perpendicular* to its propagation axis.

In vacuum MEs support *only transverse fields*.

In matter ME + HD gives a pair of coupled nonlinear equations that we cannot solve directly...

The Rare Medium Approximation

The Rare Medium Approximation

In **isotropic materials**, the problem is solved definitively by the *rare medium approximation*. Let

$$\mathbf{E} = \mathbf{E}_{\text{ext}} + \mathbf{E}^{(1)} + \mathbf{E}^{(\text{NL})},$$

where

- \mathbf{E} is the total field
- \mathbf{E}_{ext} is the field *without the material*
- $\mathbf{E}_{\text{ext}} + \mathbf{E}^{(1)}$ is the solution to Maxwell's equations *under linear response* .

The Rare Medium Approximation

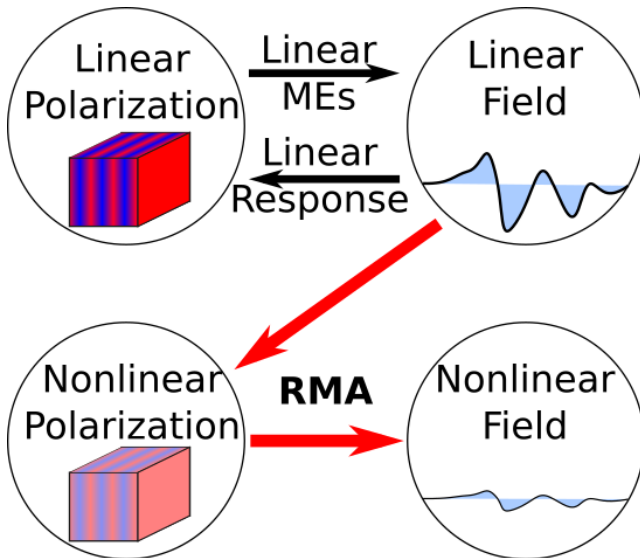
Key Point: The linear field $\mathbf{E}_{\text{ext}} + \mathbf{E}^{(1)}$ is *exactly solvable* and, for most systems, dominates the response.

This suggests an approximation:

$$\mathbf{P}^{(\text{NL})}[\mathbf{E}] \approx \mathbf{P}^{(\text{NL})} \left[\mathbf{E}_{\text{ext}} + \mathbf{E}^{(1)} \right],$$

Now the nonlinear response is simply a *knowable* functional of a *known* quantity – this can be solved exactly!

The Rare Medium Approximation



The Longitudinal Field

This makes life much better.

Under the RMA, the equation for $\tilde{\mathbf{E}}_{\parallel}$ is *algebraic*:

$$\tilde{\mathbf{E}}_{\parallel}^{(\text{NL})} = -4\pi\tilde{\mathbf{P}}_{\parallel}^{(\text{NL})} \left[\tilde{\mathbf{E}}_{\text{ext}} + \tilde{\mathbf{E}}^{(1)} \right].$$

The field is non-zero only where the polarization is non-zero.

- \Rightarrow The longitudinal field vanishes outside the sample.
- \Rightarrow **The longitudinal polarization does not radiate!**

The Transverse Field

The **transverse field** follows the inhomogeneous wave equation, with the nonlinear polarization as a source:

$$\left(k^2 - \frac{\omega^2}{c^2}\varepsilon(\omega)\right) \tilde{\mathbf{E}}_{\perp}^{(\text{NL})} = \frac{4\pi\omega^2}{c^2} \tilde{\mathbf{P}}_{\perp}^{(\text{NL})} \left[\tilde{\mathbf{E}}_{\text{ext}} + \tilde{\mathbf{E}}^{(1)}\right].$$

The transverse field radiates! In isotropic media, *the transverse field drives all nonlinear processes!*

Take-Home Points

In most materials, the **nonlinear response** is much weaker than the *linear response*.

Under the **rare medium approximation**:

- The linear equations are solved exactly
- The *linear* field induces a *nonlinear* polarization
- The *transverse nonlinear polarization* acts as a source for the radiated *transverse nonlinear field*