

# Nonlinear Response

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**Today:** Nonlinear response

# Outline for Today:

- 1 The Nonlinear Polarization
- 2 The Longitudinal and Transverse Fields
- 3 The Rare Medium Approximation

# The Nonlinear Polarization

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In **nonlinear materials**, Maxwell's equations are *complicated*:

$$\nabla \cdot \mathbf{E} = -4\pi \nabla \cdot \mathbf{P}[\mathbf{E}]$$

$$\nabla \cdot \mathbf{B} = 0$$

$$\nabla \times \mathbf{E} + \frac{1}{c} \frac{\partial \mathbf{B}}{\partial t} = 0$$

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We need perturbative methods!

# Is this a good idea?

Roughly speaking:

$$\text{Probability of } n\text{-th order processes} \propto \frac{1}{n!} \left( \frac{\text{Rate of excitation}}{\text{Rate of de-excitation}} \right)^n$$

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In direct sunlight:

- Chlorophyll *a* gets excited 10 times/second
- Chlorophyll excited states live for 1 ns.

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**For most materials, nonlinear processes happen only at very high intensities!**

# The Nonlinear Polarization

To build a perturbation theory, define the *nonlinear polarization*

$$\mathbf{P}^{(\text{NL})}(\mathbf{x}, t) = \mathbf{P}(\mathbf{x}, t) - \mathbf{P}^{(1)}(\mathbf{x}, t).$$

# The Nonlinear Polarization

To build a perturbation theory, define the *nonlinear polarization*

$$\mathbf{P}^{(\text{NL})}(\mathbf{x}, t) = \mathbf{P}(\mathbf{x}, t) - \mathbf{P}^{(1)}(\mathbf{x}, t).$$

**Key Point:** We can solve the *linear* equations exactly. Exact knowledge of  $\mathbf{P}^{(1)}(\mathbf{x}, t)$  lets us study  $\mathbf{P}^{(\text{NL})}(\mathbf{x}, t)$  perturbatively.

# The Nonlinear Polarization

Maxwell's Equations now become:

$$\nabla \cdot (\mathbf{E} + 4\pi\mathbf{P}^{(1)}) = -4\pi\mathbf{P}^{(\text{NL})}$$

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$\Downarrow$

$$\nabla (\nabla \cdot \mathbf{E}) - \nabla^2 \mathbf{E} + \frac{1}{c^2} \frac{\partial^2}{\partial t^2} (\mathbf{E} + 4\pi\mathbf{P}^{(1)}) = -\frac{4\pi}{c^2} \frac{\partial^2}{\partial t^2} \mathbf{P}^{(\text{NL})}$$

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It looks (sort of) like the wave equation – but it's not!

# Take-Home Point

In **nonlinear media** We can't solve Maxwell's equations exactly – so we use a perturbation expansion!

The **nonlinear polarization**  $P^{(NL)}$  is the part of the total polarization *not* captured by  $P^{(1)}$ .

The equation governing **nonlinear processes** looks something like the wave equation, but with a nonlinear source on the right-hand side.

# The Longitudinal and Transverse Fields

# The Helmholtz Decomposition

As usual, solutions are easier in  $\mathbf{k}$ -space:

$$\mathbf{k} \left( \mathbf{k} \cdot \tilde{\mathbf{E}} \right) + k^2 \tilde{\mathbf{E}} - \frac{\omega^2}{c^2} \left( \tilde{\mathbf{E}} + 4\pi \tilde{\mathbf{P}}^{(1)} \right) = \frac{4\pi\omega^2}{c^2} \tilde{\mathbf{P}}^{(\text{NL})}.$$

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Now decompose the field as the sum  $\tilde{\mathbf{E}} = \tilde{\mathbf{E}}_{\parallel} + \tilde{\mathbf{E}}_{\perp}$  of two components

$$\tilde{\mathbf{E}}_{\parallel}(\mathbf{k}, \omega) = \mathbf{k} \frac{\mathbf{k} \cdot \tilde{\mathbf{E}}(\mathbf{k}, \omega)}{k^2} \quad \leftarrow \quad \text{Longitudinal Field}$$

$$\tilde{\mathbf{E}}_{\perp}(\mathbf{k}, \omega) = - \frac{\mathbf{k} \times \left( \mathbf{k} \times \tilde{\mathbf{E}}(\mathbf{k}, \omega) \right)}{k^2} \quad \leftarrow \quad \text{Transverse Field.}$$

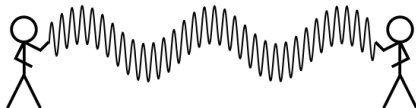
At any point in  $\mathbf{k}$ -space,  $\tilde{\mathbf{E}}_{\parallel}$  is parallel to  $\mathbf{k}$ , and  $\tilde{\mathbf{E}}_{\perp}$  is perpendicular!

# Longitudinal vs. Transverse fields

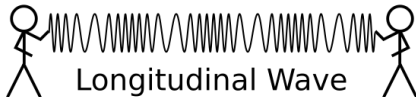
Loosely speaking:

- Longitudinal fields are polarized along their propagation axis
- Transverse fields are polarized perpendicular to propagation axis

Transverse Wave



Longitudinal Wave

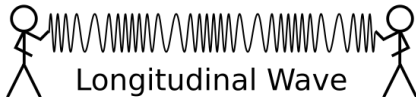
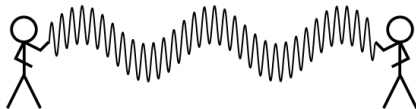


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# Vacuum Waves: Longitudinal Or Transverse?

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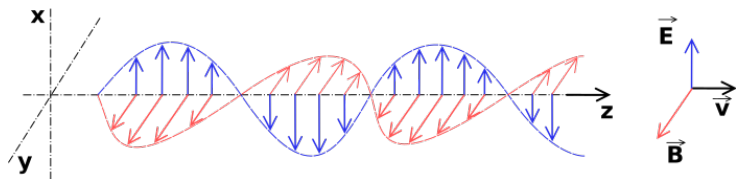
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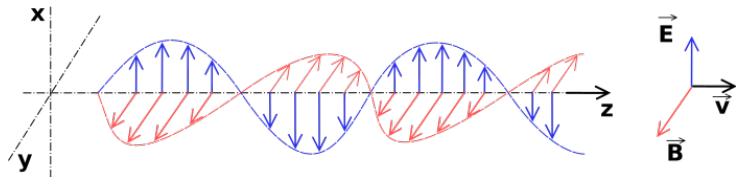


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Longitudinal fields can exist only in matter!  
 $\Rightarrow$  not usually relevant to spectroscopy.

# The Longitudinal and Transverse Fields

The HD splits one equation into two:

$$\begin{aligned}
 k \left( k \cdot \tilde{\mathbf{E}} \right) + k^2 \tilde{\mathbf{E}} - \frac{\omega^2}{c^2} \left( \tilde{\mathbf{E}} + 4\pi \tilde{\mathbf{P}}^{(1)} \right) &= \frac{4\pi\omega^2}{c^2} \tilde{\mathbf{P}}^{(\text{NL})} \\
 \Downarrow \\
 -\tilde{\mathbf{E}}_{\parallel} + 4\pi \tilde{\mathbf{P}}_{\parallel}^{(1)} &= -4\pi \tilde{\mathbf{P}}_{\parallel}^{(\text{NL})} \\
 \left( k^2 - \frac{\omega^2}{c^2} \right) \tilde{\mathbf{E}}_{\perp} + \frac{\omega^2}{c^2} 4\pi \tilde{\mathbf{P}}_{\perp}^{(1)} &= \frac{4\pi\omega^2}{c^2} \tilde{\mathbf{P}}_{\perp}^{(\text{NL})}.
 \end{aligned}$$

Looks like we could *almost* solve this. **But:**  $\tilde{\mathbf{P}}_{\parallel}^{(\text{NL})}$  and  $\tilde{\mathbf{P}}_{\perp}^{(\text{NL})}$  depend on the *total field*!

- Both equations are nonlinear.
- The equations are coupled.

# Take-Home Points

The **Helmholz Decomposition** splits the EM field into *longitudinal* and *transverse* components.

The **longitudinal field**  $E_{\parallel}$  is polarized *along* its propagation axis.

The **transverse field**  $E_{\perp}$  is polarized *perpendicular* to its propagation axis.

*In vacuum* MEs support *only transverse fields*.

*In matter* ME + HD gives a pair of coupled nonlinear equations that we cannot solve directly...

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In **isotropic materials**, the problem is solved definitively by the *rare medium approximation*. Let

$$\mathbf{E} = \mathbf{E}_{\text{ext}} + \mathbf{E}^{(1)} + \mathbf{E}^{(\text{NL})},$$

where

- $\mathbf{E}$  is the total field
- $\mathbf{E}_{\text{ext}}$  is the field *without the material*
- $\mathbf{E}_{\text{ext}} + \mathbf{E}^{(1)}$  is the solution to Maxwell's equations *under linear response*.

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**Key Point:** The linear field  $\mathbf{E}_{\text{ext}} + \mathbf{E}^{(1)}$  is *exactly solvable* and, for most systems, dominates the response.

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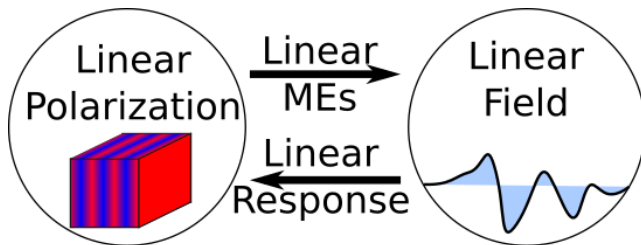
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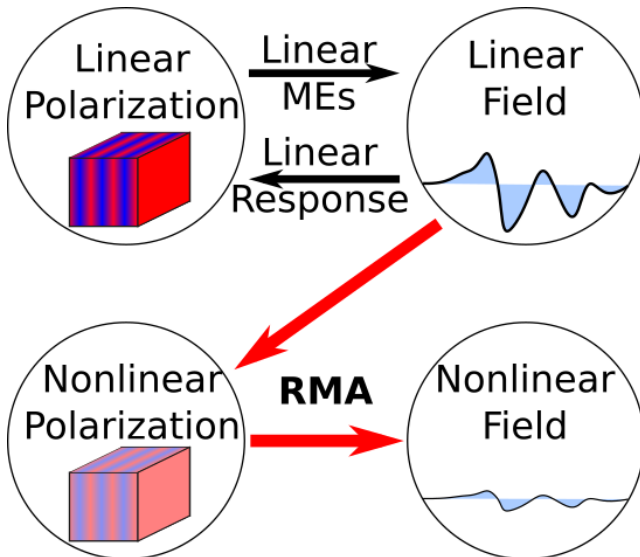
$$\mathbf{P}^{(\text{NL})}[\mathbf{E}] \approx \mathbf{P}^{(\text{NL})} \left[ \mathbf{E}_{\text{ext}} + \mathbf{E}^{(1)} \right],$$

Now the nonlinear response is simply a *knowable* functional of a *known* quantity – this can be solved exactly!

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# The Longitudinal Field

**This makes life much better.**

Under the RMA, the equation for  $\tilde{\mathbf{E}}_{\parallel}$  is *algebraic*:

$$\tilde{\mathbf{E}}_{\parallel}^{(\text{NL})} = -4\pi\tilde{\mathbf{P}}_{\parallel}^{(\text{NL})} \left[ \tilde{\mathbf{E}}_{\text{ext}} + \tilde{\mathbf{E}}^{(1)} \right].$$

The field is non-zero only where the polarization is non-zero.

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The field is non-zero only where the polarization is non-zero.

- $\Rightarrow$  The longitudinal field vanishes outside the sample.
- $\Rightarrow$  **The longitudinal polarization does not radiate!**

# The Transverse Field

The **transverse field** follows the inhomogeneous wave equation, with the nonlinear polarization as a source:

$$\left(k^2 - \frac{\omega^2}{c^2}\varepsilon(\omega)\right) \tilde{\mathbf{E}}_{\perp}^{(\text{NL})} = \frac{4\pi\omega^2}{c^2} \tilde{\mathbf{P}}_{\perp}^{(\text{NL})} \left[\tilde{\mathbf{E}}_{\text{ext}} + \tilde{\mathbf{E}}^{(1)}\right].$$

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**The transverse field radiates!** In isotropic media, *the transverse field drives all nonlinear processes!*

# Take-Home Points

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Under the **rare medium approximation**:

- The linear equations are solved exactly
- The *linear* field induces a *nonlinear* polarization
- The *transverse nonlinear polarization* acts as a source for the radiated *transverse nonlinear field*