

# Computer Science in Ocean and Climate Research

## Lecture 8: Data Formats and Data Processing

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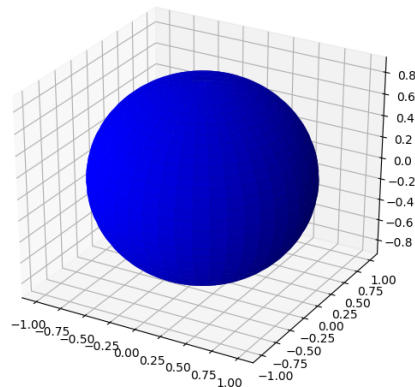
- 1 Data Formats and Data Processing
  - Geometry
  - Coordinate Systems
  - Discretization and Grids
  - Data and Metadata
  - NetCDF Data Format
  - Visualization and Post-processing Tools

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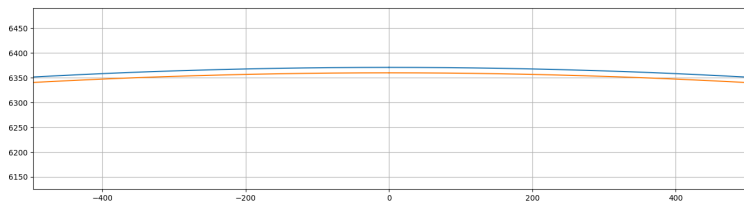
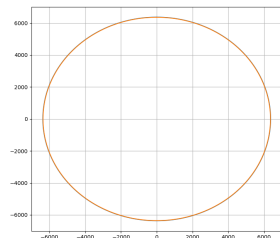
# Geometry

- Spatially resolved climate models (have to) take into account the Earth's special geometry.
- Earth's geometry is approximated by a sphere.
- Radius  $r = 6371\text{km}$ .
- Earth is not a perfect sphere.



# Geometry

- Processes in atmosphere and ocean take place in a spherical shell.
  - Radius  $r = 6371\text{km}$ .
  - Ocean depth max.  $\approx 11\text{km}$ .
- ~> **Very thin** spherical shell.
- ~> Different scales in horizontal and vertical coordinates.



Visualization of the max. depth of ocean layer: part of spheres with  $r = 6360$ ,  $r = 6371$ .

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- 1 Data Formats and Data Processing
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  - Discretization and Grids
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# Coordinate system

- An appropriate coordinate system is obtained by using the spherical coordinates

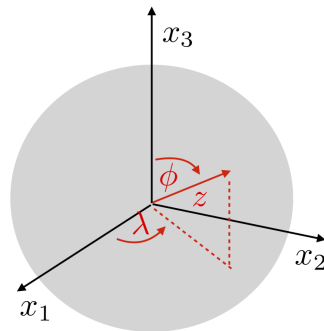
$$(\lambda, \phi, z) \in [0, 2\pi) \times \left[-\frac{\pi}{2}, \frac{\pi}{2}\right] \times [r_{min}, r_{max}],$$

with **longitude**  $\lambda$ , **latitude**  $\phi$  and vertical coordinate  $z$ .

- The cartesian coordinates have the representation

$$x(\lambda, \phi, z) := \begin{pmatrix} x_1(\lambda, \phi, z) \\ x_2(\lambda, \phi, z) \\ x_3(\lambda, \phi, z) \end{pmatrix} := \begin{pmatrix} z \sin \lambda \cos \phi \\ z \sin \lambda \sin \phi \\ z \cos \lambda \end{pmatrix}.$$

- The transformation is not unique at the poles ( $\lambda = \pm \frac{\pi}{2}$ ).
- Poles might be shifted over land (e.g., for ocean model).
- Sometimes, other symbols for the coordinates are used.
  - Sometimes, the coordinate  $\phi$  is defined in  $[0, \pi]$ .



# Consequences of a coordinate transformation

- Climate models are discretized versions of differential equations.

~> The models include spatial derivatives.

- In a coordinate transformation, these derivatives are transformed using the chain rule.
- Let a quantity be given in cartesian coordinates:

$$q = q(x_1, x_2, x_3)$$

- We write it in spherical coordinates as

$$\tilde{q} = \tilde{q}(\lambda, \phi, z) := q(x_1(\lambda, \phi, z), x_2(\lambda, \phi, z), x_3(\lambda, \phi, z)).$$

- For the derivatives, we get the following type of relations (omitting the arguments):

$$\begin{aligned} \frac{\partial \tilde{q}}{\partial \lambda}(\lambda, \phi, z) &= \frac{\partial q}{\partial x_1} \frac{\partial x_1}{\partial \lambda} + \frac{\partial q}{\partial x_2} \frac{\partial x_2}{\partial \lambda} + \frac{\partial q}{\partial x_3} \frac{\partial x_3}{\partial \lambda} \\ &= \frac{\partial q}{\partial x_1} z \cos \lambda \cos \phi + \frac{\partial q}{\partial x_2} z \cos \lambda \sin \phi - \frac{\partial q}{\partial x_3} z \sin \lambda. \end{aligned}$$

~> Model equations are re-written in new coordinates.



# Transformation of vector-valued quantities

- Vector-valued quantities: velocity (water, air) and forces.
- Representation in cartesian coordinates:

$$\vec{v} = v_1 \vec{e}_1 + v_2 \vec{e}_2 + v_3 \vec{e}_3$$

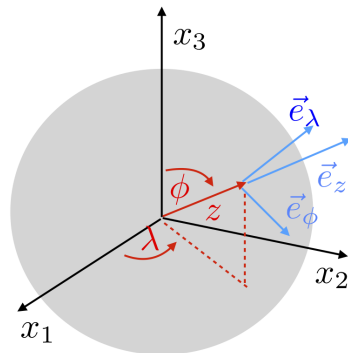
with orthogonal unit coordinate vectors  $\vec{e}_i, i = 1, 2, 3$ .

- These basis vectors are the same at every point  $x = (x_1, x_2, x_3)$  of the coordinate system.
- In spherical coordinates, we write the velocity as

$$\vec{v} = v_\lambda \vec{e}_\lambda + v_\phi \vec{e}_\phi + v_z \vec{e}_z,$$

also with orthogonal unit coordinate vectors  $\vec{e}_\lambda, \vec{e}_\phi, \vec{e}_z$ .

- But these coordinate vectors now depend on the point  $(\lambda, \phi, z)$ .
- ~> When differentiating, also the coordinate vectors have to be differentiated w.r.t. space.
- ~> Additional terms appear in some model equations.



# Special vertical coordinates

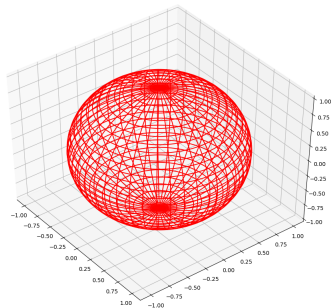
- Different types of vertical coordinates are used, e.g., in ocean models:
- Zero level  $z = 0$  at surface, negative values for depth,  $z \in [-h, 0]$ .
- Inverted coordinate direction: zero level  $z = 0$  at surface,  $z \in [0, h]$  with  $h$  being maximal depth.
- Ocean surface varies with time: free surface,  $z = 0$  refers to reference level (steady state),  $\eta$  deviation from it.
- Isopycnic coordinates: ocean is (approximately) stratified in layers of identical density  
~> use these layers as coordinates.
- Atmosphere: pressure-layer coordinates.
- $\sigma$ -coordinates, following the terrain (orography).
- $\sigma$ -hybrid coordinates, following the terrain in lower part, almost pressure coordinates in higher part.

# Contents

- 1 Data Formats and Data Processing
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# Discretization and grids

- Most models have rectangular grids.
- Horizontal: equally spaced in the spherical coordinates  
~> not equally spaced in cartesian space (smaller boxes at poles).
- Vertical: smaller grid-size close to ocean surface.
- Some atmosphere models use spectral methods for some processes (and grid-based methods for others):
  - No grid, but representation of variables as truncated series of special ansatz functions (Legendre polynomials, orthogonal functions on the sphere).
- Then the truncation level determines also horizontal resolution.
- Requires transformation of both formulations (Fast Fourier Transform FFT) in every step.



# Discretization and grids

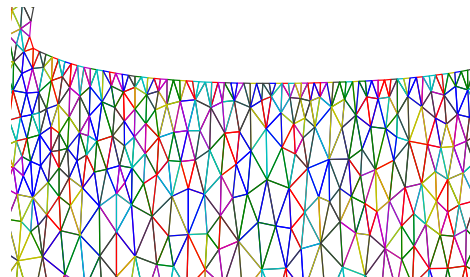
- Examples for resolutions in the atmosphere model ECHAM:

Version	truncation	spatial resolution	horizontal points	vertical levels	time step
CR	T031	3.8 deg	$96 \times 48$	47	20 min
LR	T063	1.9 deg	$96 \times 192$	47	10 min
MR	T063	1.9 deg	$96 \times 192$	95	10 min
HR	T127	0.94 deg	$384 \times 192$	95	5 min
XR	T255	0.5 deg	$768 \times 384$	95	2 min

- Also used: Nested grids, higher resolution in relevant parts, embedded into grid with coarser resolution for the whole domain (e.g., ocean).

## Newer development: unstructured grids

- Standard in applied mathematics in finite element/finite volume methods.
- + Better resolution of complicated-shaped geometry.
- + Local refinement possible.
- Used either in horizontal direction only, vertically still layers, ...
- ... or for full 3-D discretizations (tetrahedra, icosahedra)



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# Configuration files

- Used to specify parameters of the model and the simulation.
- Model parameters: physical, biological etc. constants.
- Parameters of the simulation setting: length.
- Numerical parameters: time-steps, numerical options.
- Parallelization options.
- Names and location of input data files ...
- ... for initial values and forcing domain and boundary data.
- Names and location of output files.
- Frequency of output.



## Example: simple text file

- Metos3D option file:

# geometry	
-Metos3DGeometryType	Profile
-Metos3DProfileInputDirectory	data/TMM/2.8/Geometry/
-Metos3DProfileMaskFile	landSeaMask.petsc
-Metos3DProfileVolumeFile	volumes.petsc
 # bgc tracer	
-Metos3DTracerCount	2
-Metos3DTracerName	N,D0P
-Metos3DTracerInitValue	2.17e+0,1.e-4
-Metos3DTracerOutputDirectory	work/
-Metos3DTracerOutputFile	N.petsc,D0P.petsc
# weight with volumes and sum up	
-Metos3DTracerMonitor	
 # diagnostic variables	
-Metos3DDiagnosticCount	0
# weight with volumes and sum up	
-Metos3DDiagnosticMonitor	
 # bgc parameter	
-Metos3DParameterCount	7
-Metos3DParameterValue	0.02,2.0,0.5,30.0,0.67,0.5,0.858

## Example: Fortran namelist file

- Fortran has the special namelist construct:

```
namelist/ namelist-group-name/ variable1-name ...  
read(unit,nml=namelist-group-name)
```

- Namelist file:

```
! namelist for numerical simulation of the predator prey model  
.....  
&model_parameters  
npar = 6  
.....  
alpha = 2.0  
beta = 3.0  
gamma = 1.0  
delta = 3.0  
lambda = 0.0  
mu = 0.0  
/  
&spatial_parameters  
kappa = 1.0d-3
```

## Example: YAML-file

- “Yet Another Markup Language/YAML Ain’t Markup Language”
- Easily readable (better than XML).
- Nested list with pairs of properties and values.
- Example: Metos3D model description file:

```
model:
  name:  N-DOP
  ny:    2
  nx:    52749
  nt:    2880
  y0:    2.17e+0,1.e-4
  yout:  N.petsc,DOP,petsc

parameter:
  nu:    7
  u0:    [0.04, 3.5, 0.3, 25.0, 0.4, 0.8, 0.78]
  ud:    [0.02, 2.0, 0.5, 30.0, 0.67, 0.5, 0.858] # not used, info only
  lb:    [0.01, 1.0, 0.25, 15.0, 0.05, 0.25, 0.7]
  ub:    [0.05, 4.0, 1.0, 60.0, 0.95, 1.0, 1.5]
```

# Input and output files with physical, biological etc. data

- When storing a variable or quantity, we also need the corresponding meta-data:
  - Variable name.
  - Most physical, biological quantities have units.
  - They might be different, e.g., for length: m, km ...
  - Dimension: 3-D variables, or 2-D on surfaces/layers
  - Time-dependent?
  - Spatial grid.
- ~> NetCDF data format and library.

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# NetCDF: Network Common Data Form

- Mostly used and standard data format in climate research/geoscience ...
- ... but not restricted to the field.
- File suffix `.nc`.
- Binary file.
- Contains data and metadata.
- Read- and writeable with libraries available in programming languages and in python, octave etc.
- Provider: *Unidata*: community of education and research institutions
- Webpage:

<https://www.unidata.ucar.edu/software/netcdf/>

# NetCDF: Design principles

Taken from <https://www.unidata.ucar.edu/software/netcdf/>:

- Self-Describing. A netCDF file includes information about the data it contains.
- Portable. A netCDF file can be accessed by computers with different ways of storing integers, characters, and floating-point numbers.
- Scalable. Small subsets of large datasets in various formats may be accessed efficiently through netCDF interfaces, even from remote servers.
- Appendable. Data may be appended to a properly structured netCDF file without copying the dataset or redefining its structure.
- Sharable. One writer and multiple readers may simultaneously access the same netCDF file.
- Archivable. Access to all earlier forms of netCDF data will be supported by current and future versions of the software.

## Example: Content of a NetCDF file

- Meta information:

```
<class 'netCDF4._netCDF4.Dataset'>  
root group (NETCDF3_64BIT_OFFSET data model, file format NETCDF3):  
CDI: Climate Data Interface version 1.9.7.1 (http://mpimet.mpg.de/cdi)  
Conventions: CF-1.6  
history: Tue Nov 19 11:22:03 2019: cdo -f nc copy file1 file2.nc  
institution: Max-Planck-Institute for Meteorology  
CDO: Climate Data Operators version 1.9.7.1 (http://mpimet.mpg.de/cdo)
```

- Dimensions:

```
dimensions(sizes): time(516), lon(96), lat(48)
```

- Grid:

```
variables(dimensions):  
float64 time(time), float64 lon(lon), float64 lat(lat)
```

- Actual data:

```
float32 varname(time,lat,lon) ...
```



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# Tools for post-processing, data analysis and visualization

- In general: every software with visualization functionality can be used, e.g., Python, Octave, R ...
- There are special programs designed by/for climate researchers:
  - Ferret: National Oceanic and Atmospheric Administration  
<https://ferret.pmel.noaa.gov/Ferret/>
  - Ncview: Scripps Institution of Oceanography  
[http://meteora.ucsd.edu/~pierce/ncview\\_home\\_page.html](http://meteora.ucsd.edu/~pierce/ncview_home_page.html)
  - CDO (Climate Data Operators): MPI Hamburg  
<https://code.mpimet.mpg.de/projects/cdo>
- Often data analysis and visualization is combined.
- Different approaches: command line tools vs. GUIs
- On an HPC cluster with remote login, sometimes command line tools are helpful.

## Example: working with the cdos

- Command line tools, available via conda.
- Working on NetCDF files: simple operations, statistics, generation of graphical output
- Store results in NetCDF file again.
- Example:

```
# select variable by name:
```

```
cdo selvar,var169 in.nc out.nc
```

```
# subtract two fields:
```

```
cdo sub in1.nc in2.nc out.nc
```

```
# select years:
```

```
cdo selyear,1981/2010 in.nc out.nc
```

```
# average over time:
```

```
cdo timmean in.nc out.nc
```

```
# std deviation of annual means:
```

```
cdo timstd1 -yearmean in.nc out.nc
```

```
# plot as pdf:
```

```
cdo shaded,device=pdf,colour_min=violet,colour_max=red,colour_triad=cw in.nc out
```

# What is important

- (Global) climate models have to take account the special, spherical geometry of the Earth.
- The physical model equations are transformed into this coordinate system.
- There is a big difference in the vertical and the horizontal scales of the computational domain.
- The standard grid of a climate model is a rectangular and regular, equally-spaced grid in horizontal direction.
- For the vertical coordinate, there exist different options, usually not equally-spaced.
- Parts of some models are computed with spectral methods that do not have a grid.
- The horizontal, vertical and temporal resolutions are interdependent.
- Data files are used to specify model and simulation parameters.
- NetCDF is the standard data format for in- and output data fields.
- There are several, specific data analysis and visualization tools designed for climate model output.