

# LOGIC AND THEORETICAL FOUNDATION OF COMPUTER SCIENCE

LATFoCS

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Kiel University  
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## LIMITATIONS OF FINITE AUTOMATA

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- NFA/DFA **do not** know where they come from
- if an NFA/DFA could recognize  $L$  it would have infinitely many states (see whiteboard)





# Formalisation of Non-Regularity

## Theorem (Pumping Lemma for Regular Sets)

$L \subseteq \Sigma^*$  regular set  $\Rightarrow \exists p \in \mathbb{N} \forall w \in L^{\geq p} \exists x, y, z \in \Sigma^*$ :

1.  $w = xyz$
2.  $|y| \geq 1$
3.  $|xy| \leq p$
4.  $\forall i \in \mathbb{N}_0 : xy^iz \in L$



# Explanations: Pumping Lemma

- DO NOT (NEVER EVER) use the Pumping Lemma for proving regularity!
- Contradiction for proving that a language is NOT REGULAR
- idea: word longer than number of states  $\Rightarrow$  loop



## USING THE PUMPING LEMMA

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  - $|w| = 2p > p$  since  $p > 0$



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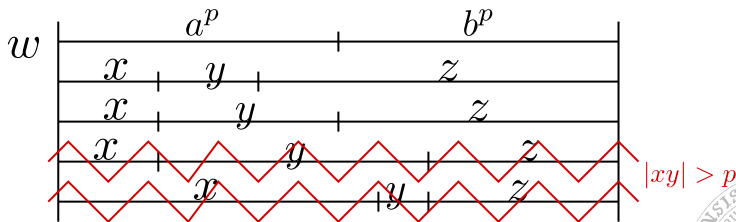
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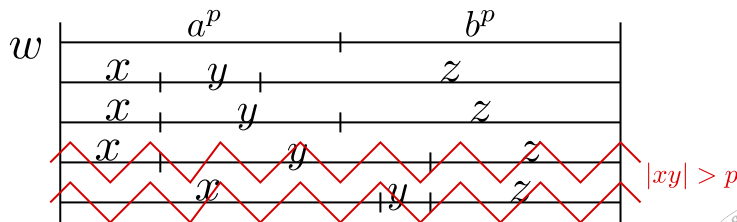
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(1)-(3) fulfilled in the two cases  $\Rightarrow$  we need contradiction to (4)



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Case 2:  $|xy| = p$  analogously



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- we only know that  $w$  can be decomposed in  $xyz$  - we do not know anything how  $x, y, z$  look specifically!
- the difficulty is to find a *nice*  $w$  such that the cases for  $x, y, z$  are not so horrible



# More non-regular languages

Every language in which data have to be stored (e.g. for counting) are not regular, e.g.

- $L_1 = \{a^n b^n + k \subseteq \{a, b\}^* | n \in \mathbb{N}_0\}$  for a fixed  $k \in \mathbb{N}_0$



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What do the last three languages tell us? We cannot build automata for calculating the factorial, the prime numbers, or the Fibonacci-numbers.



## MYHILL-NERODE

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Myhill-Nerode offer another option to prove not only unregularity but also regularity.



# Myhill-Nerode-Relations

## Definition

$A \subseteq \Sigma^*$  regular,  $\mathcal{A} = (Q, \Sigma, \delta, q_0, F)$  with  $L(\mathcal{A}) = A$ :

$\equiv_{\mathcal{A}}$  Myhill-Nerode-Relation iff

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- $|A/\equiv_{\mathcal{A}}| < \infty$



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## Theorem

$A \subseteq \Sigma^*$  regular:  $L(\mathcal{A}_{\equiv}) = A$



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*The following statements are equivalent*

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(we omit the proof)





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  - $\forall k \in \mathbb{N}_0 : [a^k], [a^{n+k} b^n]$  are different classes
    - append  $a^n b^{n+k}$  for all  $n \in \mathbb{N}_0$  resp.  $b^k$



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  - $\forall k \in \mathbb{N}_0 : [a^k], [a^{n+k} b^n]$  are different classes
    - append  $a^n b^{n+k}$  for all  $n \in \mathbb{N}_0$  resp.  $b^k$
  - $\Rightarrow$  infinitely many classes
  - $L$  not regular





# Proving Regularity with Myhill-Nerode

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- only two classes
- $L$  is regular  $\square$



## TWO-WAY FINITE AUTOMATA

---

# Last Try Constructing a more powerful Finite Automaton

- Status: DFA equivalent to regular expression/sets



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- Tries:
  1. Non-Determinism



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  1. Non-Determinism ☹(equivalent to DFA)
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- What if we don't read the word linearly?
- Idea: We reach in  $a^n b^n$  somehow the middle and read afterwards from left and right



# Ideas for 2DFA/2NFA

- reading head that moves over the word
- start marker  $\vdash$  and end marker  $\dashv$
- automaton can accept or reject (special states)



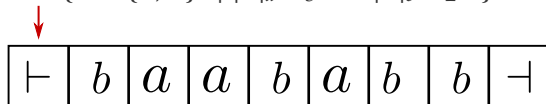
# Example

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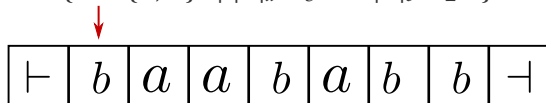


$q_0$



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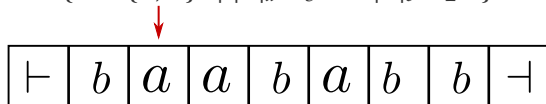


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$q_{1a}$



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$\vdash$	$b$	$a$	$a$	$b$	$a$	$b$	$b$	$\dashv$
----------	-----	-----	-----	-----	-----	-----	-----	----------

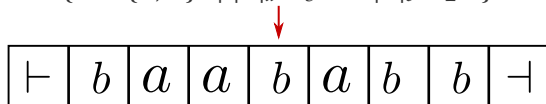
$q_{2a}$





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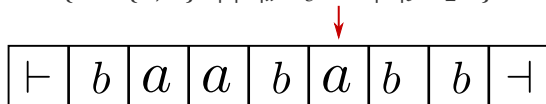


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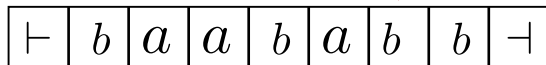


$q_{3a}$



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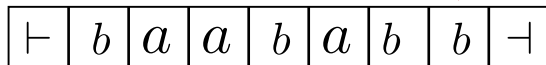


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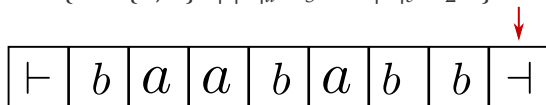


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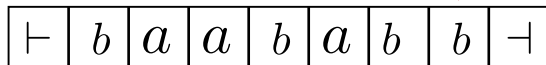


$q_{fa}$



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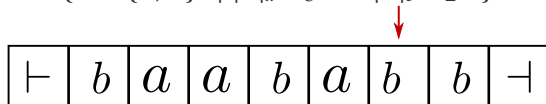


$q_{1b}$



# Example

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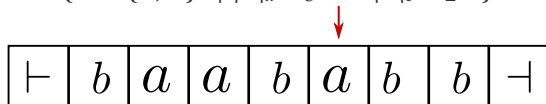


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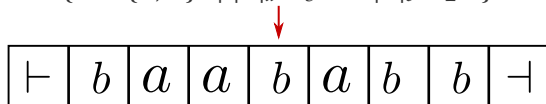
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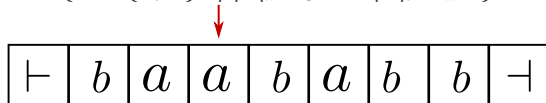


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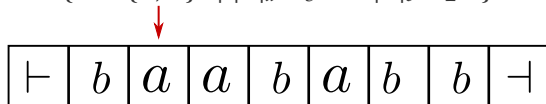


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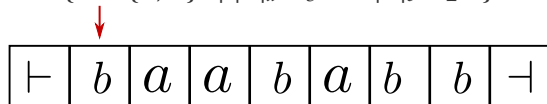


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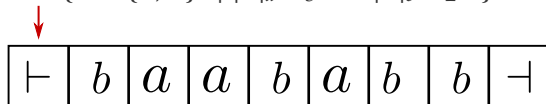


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# Example

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$q_{fb}$



$$L = \{x \in \{a, b\}^* \mid |x|_a \equiv_3 0 \wedge |x|_b \equiv_2 0\}$$



## Definition (2DFA)

$\mathcal{A} = (Q, \Sigma, \vdash, \dashv, \delta, q_0, q_a, q_r)$  2DFA with

- $Q, \Sigma, q_0$  as usual



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# Configuration and Acceptance

Input:  $a_0 \dots a_{n+1} \models x \dashv$

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- next configuration:

$$(p, i) \xrightarrow[x]{i} \begin{cases} (q, i - 1) & \text{if } \delta(p, a_i) = (q, L), \\ (q, i + 1) & \text{if } \delta(p, a_i) = (q, R). \end{cases}$$



## Definition

○ extension:

$$\circ (p, i) \xrightarrow[x]{0} (p, i)$$



## Definition

○ extension:

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- $(p, i) \xrightarrow[x]{n+1} (r, k)$  if

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○  $\mathcal{A}$  accepts  $x$ :  $(q_0, 0) \xrightarrow[x]{*} (q_a, i)$  for some  $i \in \mathbb{N}$



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○  $\mathcal{A}$  loops on  $x$ :  $\forall i \in \mathbb{N} : (q_0, 0) \xrightarrow[x]{*} (q, i) \Rightarrow q \notin \{q_a, q_f\}$



## 2DFAS AND REGULAR SETS

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- this following state is independent from  $z$  resp. from  $x$ !



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  - only finitely many mappings  $\Rightarrow$  index is finite
  - $L(\mathcal{A})$  is regular

