

# LOGIC AND THEORETICAL FOUNDATION OF COMPUTER SCIENCE

LATFoCS

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## SEMANTIC TABLEAUX

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Truth tables are not an efficient decision procedure for satisfiability and by duality for validity!

- we need something better
- idea: decompose an arbitrary formula into smaller formulae



# Literals and Complements

## Definition

A **literal** is an atom or its negation. The atom is called **positive literal** and the negation is called **negative literal**. The set  $\{p, \neg p\}$  for an atom  $p$  is called **complementary pair of literals**. Analogously  $\{\varphi, \neg\varphi\}$  is called a complementary pair of the formula  $\varphi$ .



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- By definition of  $\vee$  we know  $\beta(\neg q) = \text{true}$  or  $\beta(\neg p) = \text{true}$ .
- Combining both yields  $\{p, \neg q\}$  needs to be satisfiable or  $\{p, \neg p\}$  needs to be satisfiable



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The proof is postponed since we need to talk about contradiction proofs.



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- if we want to prove that a statement is true we have basically two options
  1. starting with our axiom and deducing true smaller things step by step until we reach our claim
  2. Contradiction: suppose that the negation of the statement is true, deduce whatever you can until you find a contradiction (something excluded by the axioms or the definition etc.)



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- in math we have a convention:
  - **assume** if you don't want to get a contradiction
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- advantage: the reader knows immediately in which direction the proof is going



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- there does not exist an atom  $p$  with  $p, \neg p \in \Psi$



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- $\Psi$  is satisfiable



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## Lemma

*For each formula  $\varphi \in \Phi$  exists an equivalent formula in NNF.*



# Algorithm for Constructing a Semantic Tableau

**Input:** formula  $\varphi \in \Phi$  in NNF Let  $\mathcal{T}$  be a tree with one unmarked node labeled with  $\{\varphi\}$ .

Repeat the following steps as long as possible:

1. Choose an unmarked leaf  $\ell$  with the label  $\Psi(\ell)$
2. Apply one of the following rules
  - 2.1 If  $\Psi(\ell)$  only contains literals, mark it closed ( $\times$ ) if it contains a complementary pair of literals and open ( $\circ$ ) otherwise.
  - 2.2 If  $\Psi(\ell)$  is not a set of literals, choose a formula  $\psi \in \Psi$  that is not a literal and
    - 2.2.1 If  $\psi = \chi_1 \wedge \chi_2$  then create a child  $\ell'$  of  $\ell$  and label it with  $\Psi(\ell) \setminus \{\psi\} \cup \{\chi_1, \chi_2\}$
    - 2.2.2 If  $\psi = \chi_1 \vee \chi_2$  then create two children  $\ell'$  and  $\ell''$  of  $\ell$  labeled with  $\Psi(\ell) \setminus \{\psi\} \cup \{\chi_1\}$  and  $\Psi(\ell) \setminus \{\psi\} \cup \{\chi_2\}$  resp.



# Open and Closed Tableaux

## Definition

A tableau whose construction has terminated is called a **completed tableau**. A completed tableau is **closed** if all leaves are closed and **open** otherwise.



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  - Termination
  - Completeness: if the input is correct, the algo returns a correct output
  - Soundness: if the algo returns a correct output, the input have been correct
  - Complexity: time and space

Correctness means sound and complete.



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Sketch of Proof:

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- $\leadsto$  termination

□



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we are going to prove something more general:

## Lemma

*If the subtree  $\mathcal{T}_n$  rooted at node  $n$  is closed, then the label  $U(n)$  at  $n$  is unsatisfiable.*



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- $n$  not a leaf  $\leadsto n$  has one child or two children



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  - $\rightsquigarrow \varphi_1 \vee \varphi_2$  unsatisfiable  $\rightsquigarrow \Psi_0 \cup \{\varphi_1 \vee \varphi_2\}$  unsatisfiable  $\square$



# Completeness

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## Lemma

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Before we can prove this lemma, we have to talk about contraposition.



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- Consider  $A \rightarrow B$ .
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- thus we can also prove  $\neg B \rightarrow \neg A$  instead of proving  $A \rightarrow B$





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- Contraposition is a proving technique for implications.
- Consider  $A \rightarrow B$ .
- We know by truth table:  $A \rightarrow B \equiv \neg B \rightarrow \neg A$
- thus we can also prove  $\neg B \rightarrow \neg A$  instead of proving  $A \rightarrow B$
- this is often easier especially if we have to deal with general statements



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We are going to prove

## Lemma

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- we do this by induction on the length of the branch



# Proof of Completeness (Cont)

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1. Define a property of sets of formulae.
2. Show that the union of the formula labeling nodes in an open branch has this property.
3. Prove that any set having this property is satisfiable.
4. Note that the formula labeling the root is in the set.



# Proof of Completeness (Cont)

## Definition

A set  $\Psi \subseteq \Phi$  is a **Hintikka set** iff

1. for each atom  $p$  in a formula in  $\Psi$ , either  $p \notin \Psi$  or  $\neg p \notin \Psi$
2. If  $\varphi_1 \wedge \varphi_2 \in \Psi$  implies  $\varphi_1, \varphi_2 \in \Psi$  and if  $\neg\neg\varphi_1 \in \Psi$  then  $\varphi_1 \in \Psi$
3. If  $\varphi_1 \vee \varphi_2 \in \Psi$  implies  $\varphi_1 \in \Psi$  or  $\varphi_2 \in \Psi$ .



# Proof of Completeness (Cont)

## Lemma

*Let  $\ell$  be an open leaf in a tableau for  $\varphi$ . Set  $\Psi = \bigcup_i \Psi(i)$  where  $i$  runs over the set of nodes on the branch from the root to  $\ell$ . Then  $\Psi$  is a Hintikka set.*



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- if one  $\Psi(i)$  contains a literal, all labels of the children contain this literal



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- by the definition of the semantic tableau condition 2. and 3. hold





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## Lemma (Hintikka)

*Every Hintikka set is satisfiable.*



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- $\Psi$  Hintikka set,  $A_\Psi$  set of all atoms occurring in a formula of  $\Psi$



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- define  $\beta$  by  $\beta(p) = \begin{cases} \text{true} & \text{if } p \in \Psi \vee (p \notin \Psi \wedge \neg p \notin \Psi) \\ \text{false} & \text{if } \neg p \in \Psi. \end{cases}$



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- we have to prove by structural induction  $\hat{\beta}(\varphi) = \text{true}$



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○  $\varphi \text{ atom} \leadsto \hat{\beta}(\varphi) = \text{true}$



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- $\varphi$  satisfiable

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# Consequences from Correctness

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*$\varphi$  is satisfiable iff  $\mathcal{T}$  is open.*



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*Semantic Tableaux is a decision procedure for validity in propositional logic.*

