

LOGICAL AND THEORETICAL FOUNDATIONS OF COMPUTER SCIENCE

LATFoCS

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FIRST-ORDER LOGIC: RESOLUTION

Expectations in Predicate Logic

Propositional Logic:

- resolution is sound and complete (formula unsatisfiable iff algo outputs UNSAT)
- decision procedure (terminates always)



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- resolution will be shown to be sound and complete



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Predicate Logic:

- resolution will be shown to be sound and complete
- but it is not a decision procedure



2-Stage Resolution

Generalisation of resolution has two stages

- ground resolution: works on ground literals (generalisation of literals)
- unification: works on non-ground literals



Definition

- **ground term**: term without variables
- **ground atomic (FO-)formula**: atomic formula (only 1. from the formula definition) with only ground terms
- **ground (FO-)literal**: ground atomic formula or its negation
- **ground (FO)-formula**: quantifier-free formula with only ground atomic formulae



Ground Resolution Rule

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- C_1, C_2 **parent clause** of C



Satisfiability of parents and resolvent

Theorem

A resolvent is satisfiable iff the parents are both satisfiable.



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- \leadsto set of ground terms infinite
- \leadsto ground resolution not a useful refutation procedure
- Robinson (1965): substitutions causing clashes
 - substitution maps variables to terms
 - empty substitution does not map anything (sets as point of view)



Definition

- E **expression**: term, literal, clause, or set of clauses
- $\beta(E)$ **instance** of E : replace simultaneously all occurrences of x_i by $\beta(x_i)$ for substitution β and variables x_i
- **composition** of substitutions $\beta_1 \circ \beta_2$ for all $x \in \text{dom}(\beta_1) \cup \text{dom}(\beta_2)$

$$(\beta_1 \circ \beta_2)(x) = \begin{cases} \beta_2(\beta_1(x)) & \text{if } x \in \text{dom}(\beta_1) \wedge x \neq \beta_2(\beta_1(x)) \\ \beta_2(x) & \text{if } x \notin \text{dom}(\beta_1) \wedge x \in \text{dom}(\beta_2) \\ \text{undef} & \text{otherwise.} \end{cases}$$



Properties of Instances

Lemma

E substitution, $\beta_1, \beta_2, \beta_3$ substitutions

- $(\beta_1 \circ \beta_2)(E) = \beta_2(\beta_1(E))$
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Proof. Etudes.



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$P(f(x), g(y))$ not clashing with $\neg P(f(f(a)), g(z))$



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- \leadsto they clash



Definition

Let $\varphi_1, \dots, \varphi_n$ be predicate logic formulae.

- A substitution σ is a **unifier** for $\varphi_1, \dots, \varphi_n$ if $\sigma(\varphi_i) = \sigma(\varphi_j)$ for all $i, j \in [n]$.



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- A substitution σ is a **unifier** for $\varphi_1, \dots, \varphi_n$ if $\sigma(\varphi_i) = \sigma(\varphi_j)$ for all $i, j \in [n]$.
- A unifier σ is called **most general unifier (mgu)** such that for every unifier σ' there exists a substitution σ'' with $\sigma' = \sigma \circ \sigma''$.



Example

$\sigma(x) = f(a)$, $\sigma(y) = g(b)$, and $\sigma(z) = g(b)$ is a unifier for $P(f(x), g(y))$ and $\neg P(f(f(a)), g(z))$ but it is not mgu:

- $\mu(x) = f(a), \mu(z) = \mu(y) = b$



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- $\nu(y) = g(g(b)) = \nu(z)$
- $\sigma = \mu \circ \nu$



Preparations for a Unification Algorithm

Definition

A set of term equations is in **solved form** iff

- all equations are of the form $x_i = t_i$ for variables x_i and terms t_i
- each variable x_i that appears on the left-hand side of an equation does not appear elsewhere in the set



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A set of equation in solved form defines a substitution.



Unification Algorithm

Input: Set of term equations

While a rule is applicable

1. Transform $t = x$ into $x = t$.
2. Delete $x = x$.
3. For each $t = t'$: if the left-most symbols are not identical, stop with *not unifiable*
For each $t = t'$ with $t = f(t_1, \dots, t_k)$ and $t' = f(t'_1, \dots, t'_k)$
 - delete $t = t'$
 - insert $t_i = t'_i$ for all $i \in [k]$
4. For $x = t$ with other occurrences of x in the set:
 - If x occurs in t and differs from t , stop with *not unifiable*
 - Otherwise replace each x by t .



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- What is the complexity?
- In the worst case exponential!
- Consider the set of equations $\{x_i = f(x_{i-1}, x_{i-1})\} \dots$
- Notice that the algorithm is non-deterministic!
- In practice: omit occurs-check and choose heuristic



Correctness and Soundness of the Unification Algorithm

Theorem

1. *The algorithm terminates with the set of equations in solved form or it reports not unifiable.*



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1. *The algorithm terminates with the set of equations in solved form or it reports not unifiable.*
2. *If the algorithm reports not unifiable, there is no unifier for the set of equations.*
3. *If the algorithm terminates successfully, the mgu is given by the equations $x_i = t_i$.*



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- \leadsto there cannot be a unifier
- otherwise the substitution is given by the equations $x_i = t_i$



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- \leadsto Rule 3. is equivalence transformation



- for proving that Rule 4. is an equivalence transformation
consider $t_1 = t_2$ is transformed by 4. into $u_1 = u_2$ on $x = t$



Proof Cont.

- for proving that Rule 4. is an equivalence transformation consider $t_1 = t_2$ is transformed by 4. into $u_1 = u_2$ on $x = t$
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Proof Cont.

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- all unifiers are preserved and the output is the most general one \square

