
Efficient Attention

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Abstract

The transformer architecture revolutionized sequence transduction with its novel ‘‘Scaled Dot-Product Attention’’ by outperforming previous recurrent and convolutional models, while dispensing recurrence and convolutions entirely. However, these transformers are prohibitively slow for very long sequences due to their $\mathcal{O}(N^2)$ time complexity in the forward pass, where N is the sequence length. By expressing the self-attention in these transformers as a linear dot-product of kernel feature maps and making use of the associativity property of matrix products can reduce the complexity to $\mathcal{O}(N)$. In addition to these theoretical results, recent empirical results suggest that training of and inference from these models can be made faster and more scalable. One such technique, ‘Low-Rank Adaption’ or LoRA has proven to be particularly valuable in the scalable adaptation of pre-trained models to new tasks through fine-tuning. In this article, we will discuss the results mentioned above. Besides reproducing some of the experiments in these papers, our contributions include new experiments to explore these results.

1 Attention Architecture

The self-attention mechanism makes it possible to capture dependencies between different positions in a sequence by simultaneously attending to all positions. The ‘‘Scaled Dot-Product Attention’’ proposed by Vaswani et al. [5] for an input sequence $x \in \mathbb{R}^{N \times F}$ is computed as $\text{Attention}(x) = \text{softmax}(QK^T/\sqrt{D})V$ where $Q = xW_Q$ (queries), $K = xW_K$ (keys) and $V = xW_V$ (values). Note that $W_Q, W_K \in \mathbb{R}^{F \times D}$ and $W_V \in \mathbb{R}^{F \times M}$ are learned weights. Then the attention vector for the i -th position is given by the i -th row,

$$\text{Attention}(x)_i = \text{softmax}\left(\frac{(QK^T)_i}{\sqrt{D}}\right)V = \frac{\sum_{j=1}^N \exp(Q_i^T K_j / \sqrt{D}) V_j}{\sum_{j=1}^N \exp(Q_i^T K_j / \sqrt{D})}$$

Since $\exp(Q_i K_j^T / \sqrt{D})$ has to be determined and stored for every $i, j \in \{1, \dots, N\}$, this leads to $\mathcal{O}(N^2)$ time and memory complexity¹.

2 Towards Efficient Attention – Linearized Attention

The exponential similarity function above can be replaced with any map $\text{sim} : \mathbb{R}^D \times \mathbb{R}^D \rightarrow \mathbb{R}_+$. This includes all kernels between the two spaces. Katharopoulos et al. [3] show that given such a kernel with a feature representation ϕ , we can rewrite the attention computation as

$$\text{Attention}(x)_i = \frac{\sum_{j=1}^N \phi(Q_i)^T \phi(K_j) V_j}{\sum_{j=1}^N \phi(Q_i)^T \phi(K_j)} = \frac{\phi(Q_i)^T \sum_{j=1}^N \phi(K_j) V_j}{\phi(Q_i)^T \sum_{j=1}^N \phi(K_j)}$$

Since $\sum_{j=1}^N \phi(K_j) V_j^T$ and $\sum_{j=1}^N \phi(K_j)$ can be computed once reused for every query, this formulation has $\mathcal{O}(N)$ time and memory complexity².

2.1 Transofrmers are RNNs

The transformer architecture can further be used to efficiently train autoregressive models by masking the attention computation such that a position cannot be influenced by the subsequent positions. Then the attention for the i -th position

¹More precisely, the complexity is $\mathcal{O}(N^2 \max\{D, M\})$.

²More precisely, the complexity is $\mathcal{O}(NCM)$ where C is the dimension of the range space of the feature map.

can be written as given below. Note that we define $S_i = \sum_{j=1}^i \phi(K_j)V_j^T$ and $Z_i = \sum_{j=1}^i \phi(K_j)$.

$$\text{Attention}(x)_i = \frac{\phi(Q_i)^T \sum_{j=1}^i \phi(K_j)V_j^T}{\phi(Q_i)^T \sum_{j=1}^i \phi(K_j)} = \frac{\phi(Q_i)^T S_i}{\phi(Q_i)^T Z_i}$$

Note that, S_k and Z_k can be computed from S_{k-1} and Z_{k-1} in constant time. This enables the transformer to be formulated as an RNN using the following recurrence relations. We set $s_0 = 0$ and $z_0 = 0$, and for all $k \geq 1$,

$$\begin{aligned} s_k &= s_{k-1} + \phi(K_k)V_k^T = s_{k-1} + \phi(x_k W_K)(x_k W_V)^T \\ z_k &= z_{k-1} + \phi(K_k) = z_{k-1} + \phi(x_k W_K) \end{aligned}$$

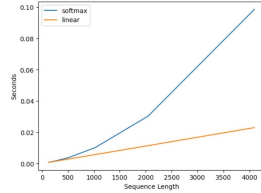
The resulting RNN thus has two hidden states – the attention memory s and the normalizer memory z . Then the output for the i -th transformer layer is given by

$$T_i(x) = f_i \left(\left[\frac{\phi(x_k W_Q)^T s_k}{\phi(x_k W_Q)^T z_k} + x_k \right]_{k=1}^N \right)$$

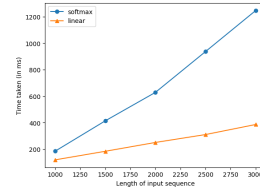
2.2 Experiments

2.2.1 Performance on random sequences

To test the claim made for time complexity, we experimented on various length of random sequences for forward pass and backward pass in both of the models. We also experimented with the time taken to measure the attention in each of the case on random sequences.



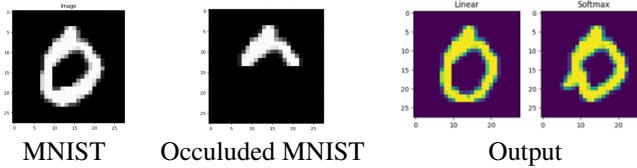
Time taken for forward and backward pass vs sequential length



Time taken for attention measure vs sequential length

2.2.2 Occluded Image Completion

We experimented the linear transformer over image generation tasks. We evaluate the model on image generation with autoregressive transformers on the widely used MNIST dataset. The architecture for this experiment is same to Katharopoulos et. al. Thanks to Katharopoulos et. al., we had pretrained model for MNIST. We test the model on random image generation and measured the time, image completion task and quality measurement and time difference.



Linear model was able to achieve faster and better image completion for given occluded input in comparison to softmax based transformer

S.N	Number of Images generated	Linear	Softmax
1	100	33.45 s	367.91 s
2	200	67.46 s	811.99 s
3	300	76.46 s	1248.15 s

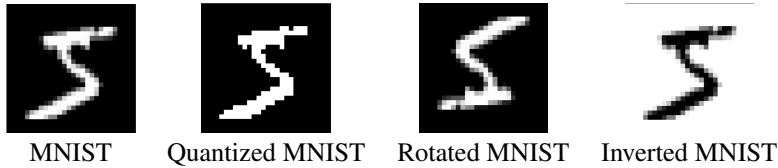
3 Low-Rank Adaptation (LoRA) of Large Language Models

Due to the compute requirements of large-scale pre-training of Large Language Models (LLMs), fine-tuning is a widely adopted paradigm for adapting models pre-trained on general domain data to particular tasks or domains. However, the

large number of parameters in such models can make even fine-tuning prohibitively expensive. Work from Li et al. [4] and Aghajanyan et al. [1] has demonstrated that models may be over-parameterized and may reside on a low intrinsic dimension. Taking inspiration from these results, Hu et al. [2] showed empirically that the change in weights during model adaptation also has a low intrinsic rank. This not only makes it possible to achieve comparable accuracies with faster fine-tuning (due to fewer trainable parameters) but also makes it possible to easily switch different adaptors in and out during deployment. A matrix $M \in \mathbb{R}^{n \times m}$ can be rank decomposed as $M = UV$ where $U \in \mathbb{R}^{n \times r}$, $V \in \mathbb{R}^{r \times m}$ and r is the rank of M . This reduces the number of trainable parameters from mn to $r(n + m)$. Note that $r(n + m) \ll nm$ for small r . This decomposition of the update matrices during fine-tuning lies at the heart of LoRA. Note that r is not known *a priori* and one may have to try several small values of r .

3.1 Experiments

We train a base neural network on an image classification task on the MNIST dataset. Our base model was composed of three linear layers which together had 55.1K trainable parameters. This model has a test accuracy of approximately 93.2%. We then created our variants of MNIST, namely Quantized MNIST, Rotated MNIST, and Inverted MNIST. These are illustrated below through an example.



The accuracies of our base model on these modified datasets were approximately 85.58%, 12.38%, and 5.52% respectively.

3.1.1 Full Fine-Tuning

We first fine-tuned our base model on the three datasets by modifying all 55.1K parameters. Our fine-tuned models achieved accuracies of approximately 93.57%, 91.97%, and 76.41% on Quantized MNIST, Rotated MNIST, and Inverted MNIST respectively. These form our baselines for the fine-tuned models.

3.1.2 Fine-Tuning with LoRA

We then fine-tuned our base model on the three datasets using LoRA, with different values of r . We found that our fine-tuned models achieved accuracies comparable to their full fine-tuned counterparts with fewer trainable parameters. However due to the small size of our models, we could not observe any time improvements in training. The accuracies of our fine-tuned models in each domain and for each value of r are given below.

r	Trainable Parameters	Quantized MNIST	Rotated MNIST	Inverted MNIST
1	1.1K	91.20%	37.53%	16.23%
2	2.1K	91.30%	49.01%	17.92%
4	4.2K	91.42%	69.10%	16.32%
8	8.4K	91.39%	77.49%	32.19%
16	16.8K	91.72%	86.95%	62.26%
32	33.6K	92.31%	89.50%	68.06%
64	67.2K	93.19%	90.41%	71.88%

3.1.3 Full Training with LoRA

We further explore whether LoRA can be used to train models from scratch, to observe if models have intrinsically low ranks. We trained separate models on each of our three modified MNIST datasets. The accuracies of these models are given below along with the respective r values chosen.

r	Trainable Parameters	Quantized MNIST	Rotated MNIST	Inverted MNIST
1	1.1K	23.50%	25.91%	22.21%
2	2.1K	37.48%	43.96%	45.81%
4	4.2K	64.87%	62.60%	69.67%
8	8.4K	77.96%	82.39%	83.11%
16	16.8K	88.2%	86.76%	87.38%
32	33.6K	90.13%	90.62%	90.25%
64	67.2K	91.66%	91.85%	86.01%

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