

# Chapter 14: Protection

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- Goals of Protection
- Principles of Protection
- Domain of Protection
- Access Matrix
- Implementation of Access Matrix
- Access Control

# Goals of Protection

- **Multiprogramming** environment
- Operating system consists of a collection of objects, hardware or software
- Each object has a unique name and can be accessed through a well-defined set of operations
- **Problem**: damage to integrity, reliability of interfaces
- **to resources** - ensure that each object is accessed correctly and only by those processes that are allowed to do so

# Goals of Protection

- How to protect?
  - Planning
  - Formulating access control policies
  - Mechanism to apply policy

# Principles of Protection

## ■ Guiding principle –

- **Simplify design decisions**

- 4 Reduce complexity

- **principle of least privilege**

- 4 Give only **just enough** privileges to perform their tasks using role/ACL.

- **Need to know Principle**

- 4 **Uses fine grained access control**

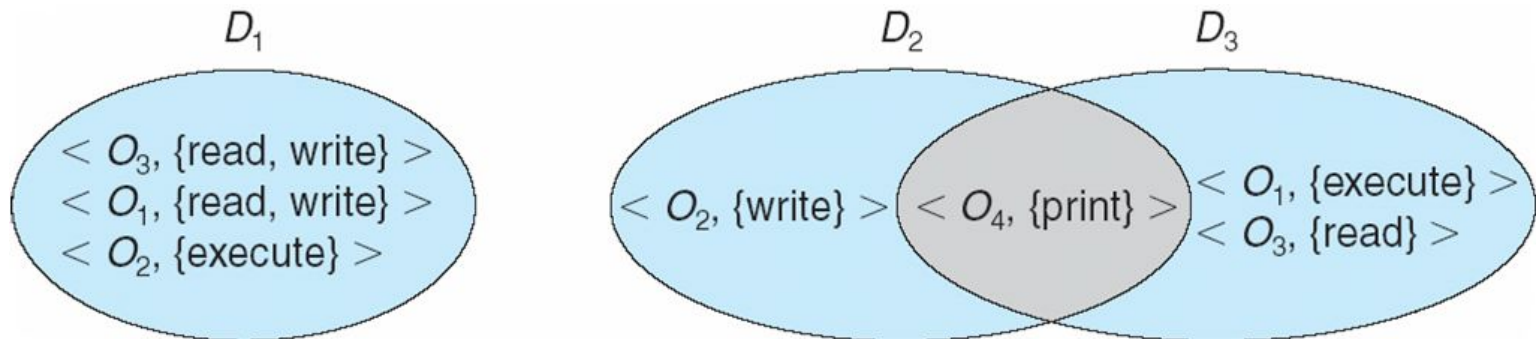
- Grant / Revoke privilege when required.

- » **Does minimum damage**

- » OS follows this principle.

# Domain Structure

- **Access-right** =  $\langle \text{object-name}, \text{rights-set} \rangle$   
where *rights-set* is a subset of all valid operations that can be performed on the object.
- Domain = set of access-rights



- Process operates within a protection domain.
- **Association between process and domain can be static or dynamic.**

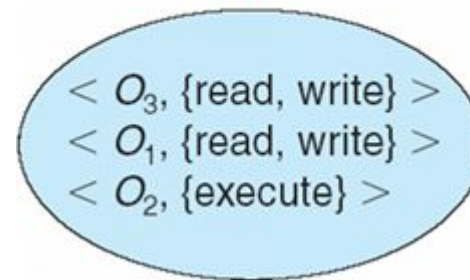
## ■ Static

- Set of resources will be fixed.

## ■ Dynamic

- Set of resources can be changed
- Process can switch domain

USER1



■ Domain can be visualized as :

## ■ User as domain

- Objects defined by user id
- **Domain switch occurs when user log out**

## ■ Process as domain

- Objects defined by pid
- **Domain switching occurs when process communicate to other process using IPC.**

## ■ Procedure as domain

- Objects are local variables
- **Domain switch occurs via procedure call**



# Access Matrix

- View protection as a matrix (*access matrix*)
- Is a mechanism to apply policies of protection
- Rows represent domains
- Columns represent objects
- ***Access( $i, j$ )*** is the set of operations that a process executing in Domain <sub>$i$</sub>  can invoke on Object <sub>$j$</sub>  (*access right*)
- We must know which process executes in which domain.
- Content of access matrix is decided by user while which process will be in which domain is decided by OS

# Use of Access Matrix (Cont)

- Access matrix design separates mechanism from policy
  - Policy
    - 4 User dictates policy
    - 4 Who can access what object and in what mode
  - Mechanism
    - 4 Operating system provides access-matrix + rules
    - 4 If ensures that the matrix is only manipulated by authorized agents and that rules are strictly enforced

# Access Matrix illustration

object \ domain	$F_1$	$F_2$	$F_3$	printer
$D_1$	read		read	
$D_2$				print
$D_3$		read	execute	
$D_4$	read write		read write	

4 domains and 4 objects  
(3 files and one printer). □

When a process executes in  $D_1$ ,  
it can read files  $F_1$  and  $F_3$ . □

A process executing in  $D_4$   
has the same privileges as  
it does in  $D_1$ , it can also  
write onto files  $F_1$  and  $F_3$ .  
□

Printer can be accessed by  
a process executing in  $D_2$ .

Figure A

**Process executing in domain  $D_4$   
can execute read/write operation on object  $F_1$**

# Access Matrix

- Users decide the contents of the access-matrix entries.
- Access matrix provides mechanism for **defining and implementing strict control for both static and dynamic association between processes and domains**
- Controls changing content of access-matrix entries
- Dynamic: Controls switching between domains.
  - This can be done by including domain as an object in access matrix and switch access right.

# Use of Access Matrix

- For static association:
- **For dynamic association: defining domain as object and switch access right.**

# Access Matrix (static association)

object domain	$F_1$	$F_2$	$F_3$	printer
$D_1$	read		read	
$D_2$				print
$D_3$		read	execute	
$D_4$	read write		read write	

**Process executing in domain D4  
can execute read/write operation on object F1**

# Domain Switching: Access Matrix with domains as objects

domain \ object	$F_1$	$F_2$	$F_3$	laser printer	$D_1$	$D_2$	$D_3$	$D_4$
$D_1$	read		read			switch		
$D_2$				print			switch	switch
$D_3$		read	execute					
$D_4$	read write		read write		switch			

**Figure B**

Process working in domain  $D_1$  can be switched to domain  $D_2$ .

# Access Matrix with Copy Rights

■ Allowing controlled change to the contents of the access-matrix entries requires **3 additional operations**:

- **Copy, Owner, and Control,,**

## ■ Copy

- The ability to copy an access right from one domain (row) to another is denoted by an **asterisk (\*) appended to the access right. (E.g read\*)**
- Copy right allows the copying of the access right only **within the column (that is, for the object) for which the right is defined.**





# Access Matrix with Copy Rights

- need to update access matrix
- Special access rights: (**can change column entries**)
  - *copy*:
    - *Simple copy*
    - *transfer*
    - *Limited Copy*:

# Access Matrix with Copy Rights

- *Simple copy* : copy access right from  $D_i$  to  $D_j$ .
- When the right  $\text{Read}^*$  is copied from  $\text{access}(i,j)$  to  $\text{access}(k,j)$ , the  $\text{Read}^*$  is created. So, a process executing in  $D_k$  can further copy the right  $\text{Read}^*$ .

object domain	$F_1$	$F_2$	$F_3$
$D_1$	execute		write*
$D_2$	execute	read*	execute
$D_3$	execute	read*	

# Access Matrix with Copy Rights

- **transfer** – A right is copied from  $\text{access}(i,j)$  to  $\text{access}(k,j)$ ; it is then removed from  $\text{access}(i,j)$ .

object \ domain	$F_1$	$F_2$	$F_3$
$D_1$	execute		write*
$D_2$	execute	read*	execute
$D_3$	execute		

(a)

object \ domain	$F_1$	$F_2$	$F_3$
$D_1$	execute		write*
$D_2$	execute		execute
$D_3$	execute	read*	

Transfer

# Access Matrix with Copy Rights

Propagation of copy right may be limited

- Limited Copy
- When the right Read\* is copied from access(i,j) to access(k,j), only the Read (not Read\*) is created. So, a process executing in  $D_k$  cannot further copy the right Read.

object domain	$F_1$	$F_2$	$F_3$
$D_1$	execute		write*
$D_2$	execute	read*	execute
$D_3$	execute		

(a)

object domain	$F_1$	$F_2$	$F_3$
$D_1$	execute		write*
$D_2$	execute	read*	execute
$D_3$	execute	read	

(b)

Limited Copy

# Access Matrix With Owner Rights

- We need a mechanism to allow **addition of new rights and removal of some rights**.
- The owner right controls these operations.
- If  $\text{access}(i,j)$  includes the owner right, then a process execution in  $D_i$  can add and remove any right in any entry in column  $j$ .
- „D1 is the owner of F1, and can add and delete any valid right in column F1.,,
- D2 is the owner of F2 and F3, and can add and delete any valid right within these 2 columns.

object domain	$F_1$	$F_2$	$F_3$
$D_1$	owner execute		write
$D_2$		read* owner	read* owner write
$D_3$	execute		
(a)			
object domain	$F_1$	$F_2$	$F_3$
$D_1$	owner execute		write
$D_2$		owner read* write*	read* owner write
$D_3$		write	write
(b)			

# Control Access Right

- The copy and owner rights allow a process to change the entries in a column.
- So, a mechanism is needed to **change the entries in a row.**
- The control right is applicable only to domain objects (rows).
- If  $\text{access}(i,j)$  includes the control right, then a process executing in  $D_i$  can remove any access right from row  $j$ .

object domain	$F_1$	$F_2$	$F_3$	laser printer	$D_1$	$D_2$	$D_3$	$D_4$
$D_1$	read		read			switch		
$D_2$				print			switch	switch control
$D_3$		read	execute					
$D_4$	write		write		switch			

object domain	$F_1$	$F_2$	$F_3$	laser printer	$D_1$	$D_2$	$D_3$	$D_4$
$D_1$	read		read			switch		
$D_2$				print			switch	switch
$D_3$		read	execute					
$D_4$	read write		read write		switch			

Modified Access Matrix

We include control right in  $\text{access}(D_2, D_4)$ .  $\square$   
 Then, a process executing in  $D_2$  (row) could modify  $D_4$  (row).

# Implementation of Access Matrix

- 1. Global Table: whenever operation  $M$  is to be performed on  $O_j$  within  $D_i$ , global table is searched for triple  $\langle D_i, O_j, R_k \rangle$  where  $M$  belongs to  $R_k$ .

object domain	$F_1$	$F_2$	$F_3$	printer
$D_1$	read		read	
$D_2$				print
$D_3$		read	execute	
$D_4$	read write		read write	



# Implementation of Access Matrix

- 2. Each column = Access-control list for one object  
Defines who can perform what operation.

## ACL for F1

Domain 1=Read  
Domain 4=Read,write

## ACL for F2

Domain 3=Read

## ACL for F3

Domain 1 = Read  
Domain 3 = Execute  
Domain 4 = Read,write

## ACL for Printer

Domain D2=Print

object domain	$F_1$	$F_2$	$F_3$	printer
$D_1$	read		read	
$D_2$				print
$D_3$		read	execute	
$D_4$	read write		read write	

- Each Row = Capability List (like a key)

For each domain, what operations allowed on what objects.

Capability List for D1

F1-read  
F3-read

Capability List for D2

Printer-print

Capability List for D3

F2-read  
F3-execute

Capability List for D4

F1-read,write  
F3-read,write

domain \ object	$F_1$	$F_2$	$F_3$	printer
$D_1$	read		read	
$D_2$				print
$D_3$		read	execute	
$D_4$	read write		read write	

# Implementation of Access Matrix

## ■ Lock-Key mechanism

- Each **object** has unique bit pattern called **lock**
- Each **domain** has unique bit pattern called **key**
- Process executing in a domain can access object if it has key that matches one of the lock of an object

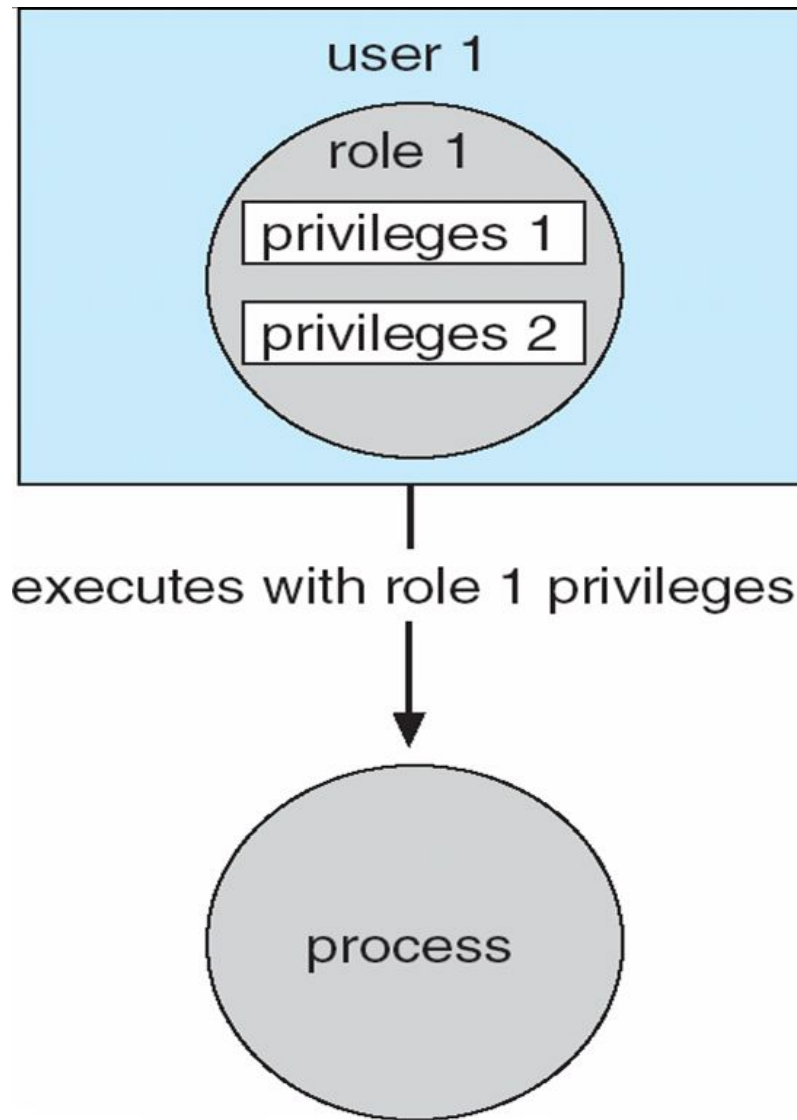
# Access Control

- Privilege can be assigned to owner, group, or other users for a file or directory.
- Role based access control.

# Access Control ( RBAC in Solaris 10)

- Protection can be applied to non-file resources
- Solaris 10 provides **role-based access control (RBAC)** to implement least privilege
  - Privilege is right to execute system call or use an option within a system call
  - Can be assigned to processes
  - Users assigned roles granting access to privileges and programs

# Role-based Access Control in Solaris 10



# Revocation of Privileges & issues

- Removing privileges from the process:
- Types:
- **Immediate / delayed**
  - If delayed when to be revoked?
- **Selective / general**
  - Revoked from selected / from all?
- **Total / partial**
  - Revoked all / some privileges?
- **Permanent / temporary**
  - Revocation permanent / temporary ?

# Revocation for ACL / capability

- **Access control list:** Revocation or deletion of privilege is easy
- But difficult in **capability list**
  - Problem of **reacquisition**
  - Some systems use
    - 4 **back pointers** (pointers to capability is checked while revocation)
    - 4 **Indirect method:** pointer to capability via global table
    - 4 **Key:** Assigning master key to object and generating key while creating capability . If capability key matched with master key access is allowed.



# Chapter 15: Security

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- The Security Problem
- Program Threats
- System and Network Threats
- Cryptography as a Security Tool
- User Authentication
- Implementing Security Defenses
- Firewalling to Protect Systems and Networks
- Computer-Security Classifications
- An Example: Windows XP

# Objectives

- To discuss security threats and attacks
- To explain the fundamentals of encryption, authentication, and hashing
- To examine the uses of cryptography in computing
- To describe the various countermeasures to security attacks

# The Security Problem

- Security must consider **external environment** of the system, and protect the system resources
- **Threat** is potential **security violation**
- **Attack** is attempt to breach security
- Attack can be accidental or malicious
- Easier to protect against accidental than malicious misuse

# Security Violations: Threat

## ■ Categories

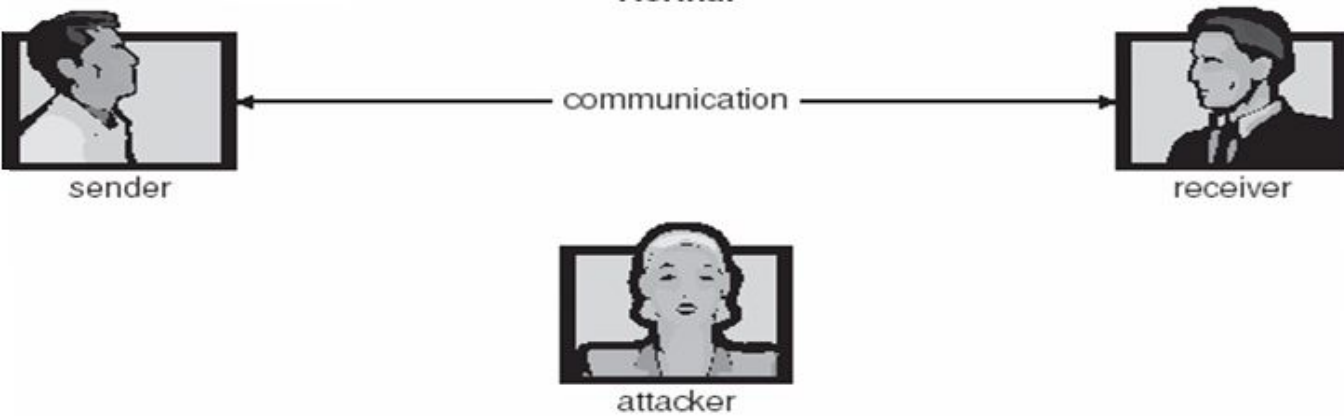
- **Breach of confidentiality:** unauthorized access of data
- **Breach of integrity:** unauthorized modification of data
- **Breach of availability:** unauthorized destruction of data
- **Theft of service:** unauthorized use of system
- **Denial of service (DOS):** prevent legitimate use of service.

# Security Violations: Threat

## ■ Methods to break security:

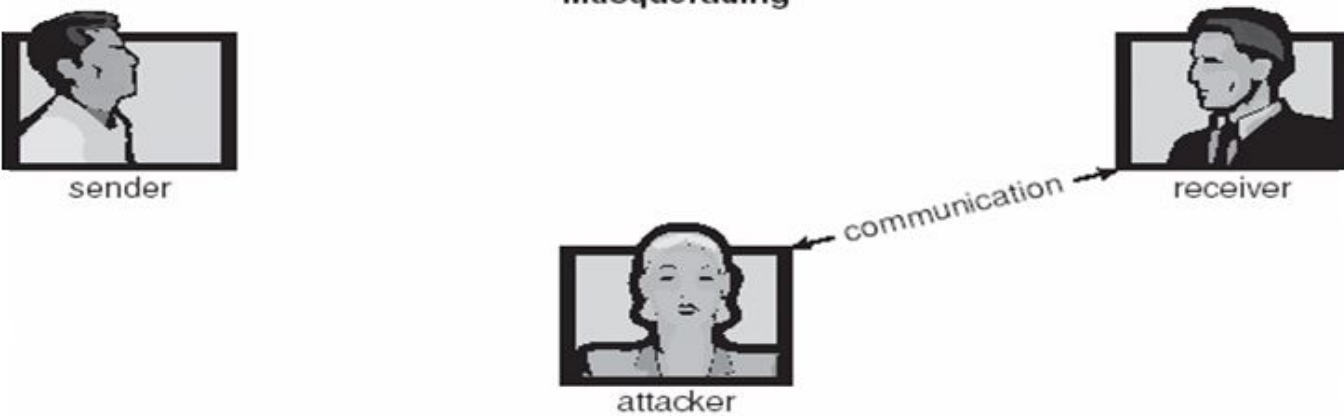
- **Masquerading (breach authentication)**
  - 4 **One of the person hide identification**
  - 4 **Obtain privileges to which they are not entitled.**
- **Replay attack**
  - 4 **Message modification(transfer of money,authentication)**
- **Man-in-the-middle attack**
- **Session hijacking**

### Normal

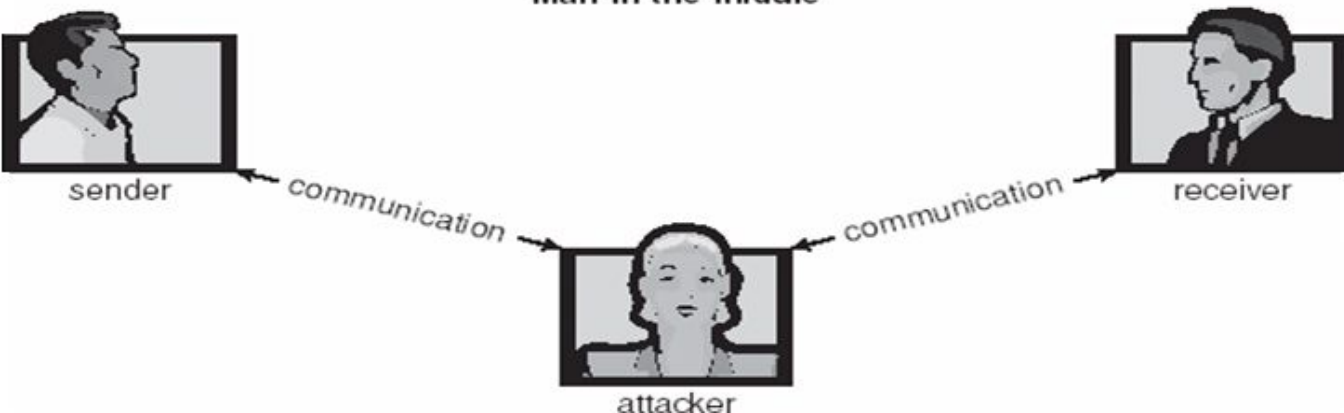


### Standard Security Attacks

### Masquerading



### Man-in-the-middle



# Security Measure Levels

- Security must occur at four levels to be effective:
  - **Physical : alarm system, lock & key**
  - **Human**
    - 4 Avoid **social engineering**
      - **Phishing**: access to mail web page
      - **Dumpster diving**: gathering info from trash, notes
  - **Operating System**
    - 4 Password
    - 4 Antivirus
    - 4 Password to requested service, stack overflow
    - 4 Require physical, human security.
    - 4 Need of protection
  - **Network: leased line / shared / wifi**
- Security is as weak as the **weakest link** in the chain



# Threat Types

## ■ Program Threats

- Trojan horse
- Trap door
- Logic bomb
- Stack and buffer overflow
- viruses

## ■ System and Network threats

- Worms
- Port scanning
- Denial of service attack

# Program Threats

## ■ Trojan Horse

- Code segment that **misuses user environment**
- **programs written by users are executed by other users, thus misusing access privileges.**
- **Spyware in free s/w, pop-up browser windows, login emulator**

## ■ Trap Door

- Program designer intentionally include a code in users system that only he will be capable of using.
- Specific user identifier or password that circumvents normal security procedures
- Could be included in a compiler

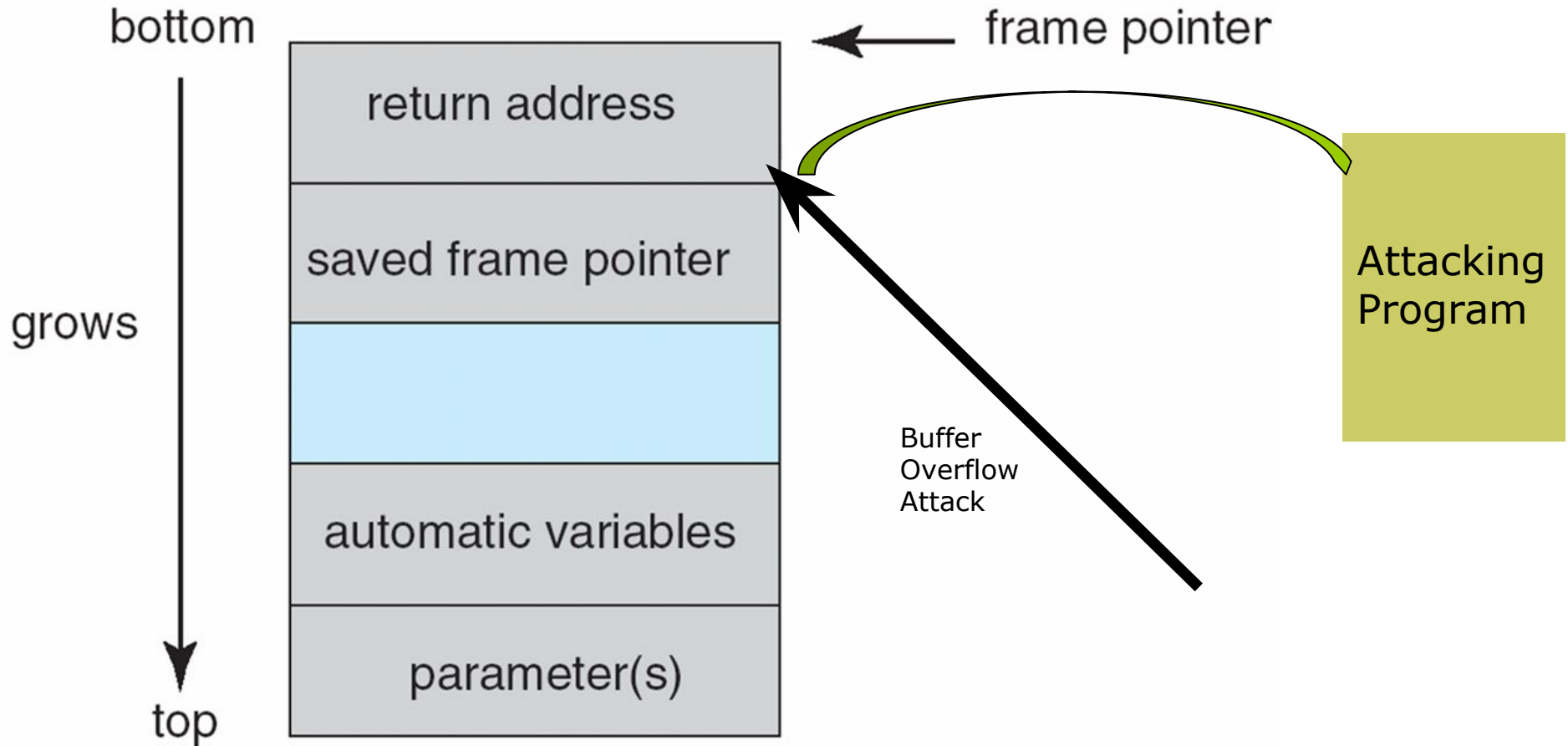
## ■ Logic Bomb

- Program that creates security hole under certain circumstances

## ■ **Stack and Buffer Overflow**

- **Poor programming. E.g. program without data validation.**
- **Attacker sends more data than program was expecting.**
- **Exploits a bug in a program (overflow either the stack or memory buffers)**

# Layout of Typical Stack Frame



# C Program with Buffer-overflow Condition

```
#include <stdio.h>
#define BUFFER SIZE 256
int main(int argc, char *argv[])
{
    char buffer[BUFFER SIZE];
    if (argc < 2)
        return -1;
    else {
        strcpy(buffer, argv[1]) ;
        return 0;
    }
}
```

**No validation.**

# Modified Shell Code

Attacker take advantage of extra permissions given to others and group users.

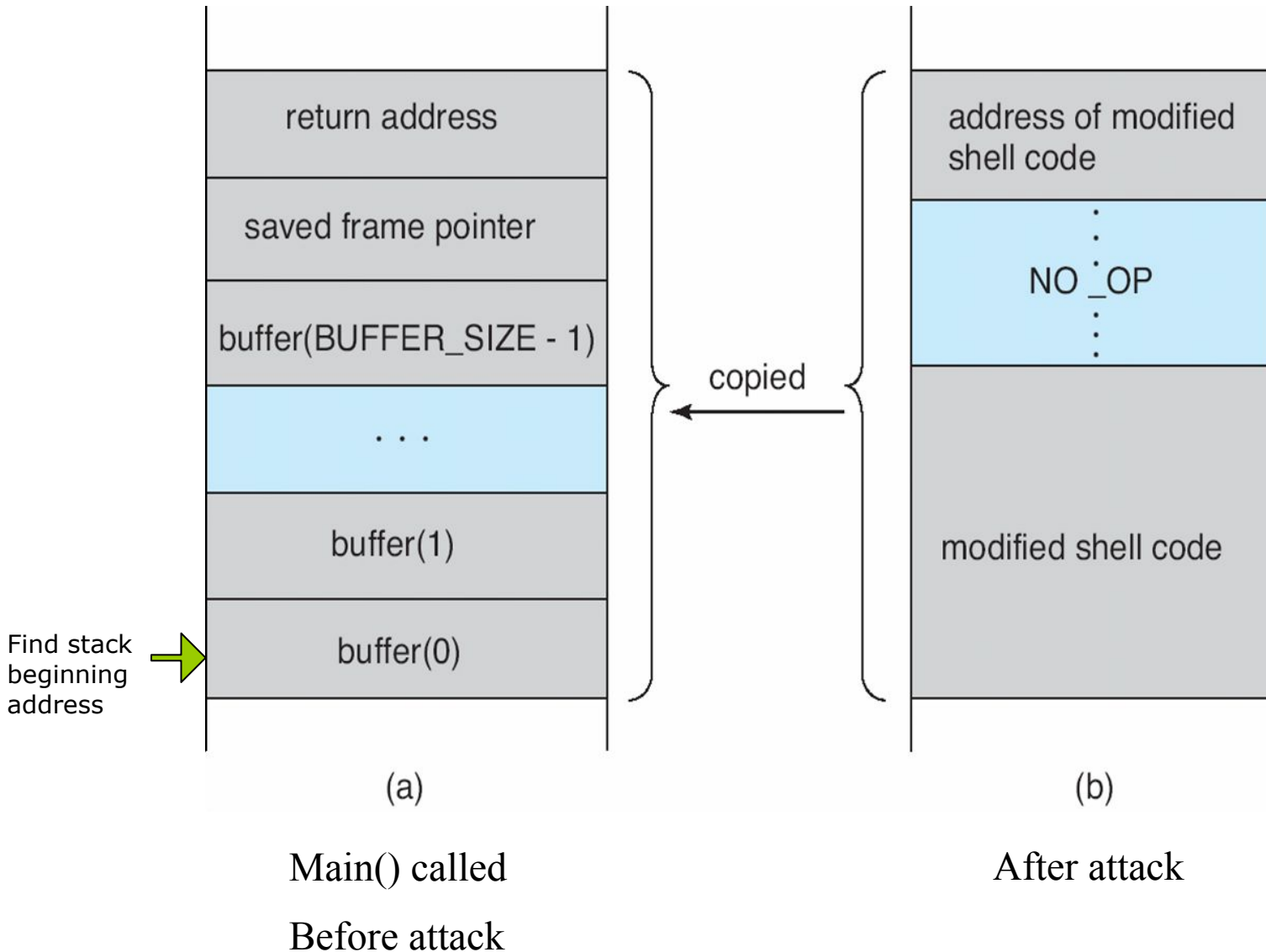
Find out loopholes in a program, such as not using data validations

```
#include <stdio.h>
// creation of new shell process
int main(int argc, char *argv[])
{
    execvp(``\bin\sh'', ``\bin \sh'', NULL);
    return 0;
}
```

New shell created by attacker

- Create a new shell
- Reduce the size of code so that it can be accommodated in a stack.
- identify stack frame pointer, using debugger.
- Change return address of the code.
- Compile and create binary file
- This changed file is then given as i/p to process.

# Hypothetical Stack Frame





## Program Threats (Cont.)

### ■ Viruses

- Code fragment **embedded in legitimate program**
- **Written in VB**
- **Very specific to CPU architecture, operating system, applications**
- Usually borne **via email or as a macro**

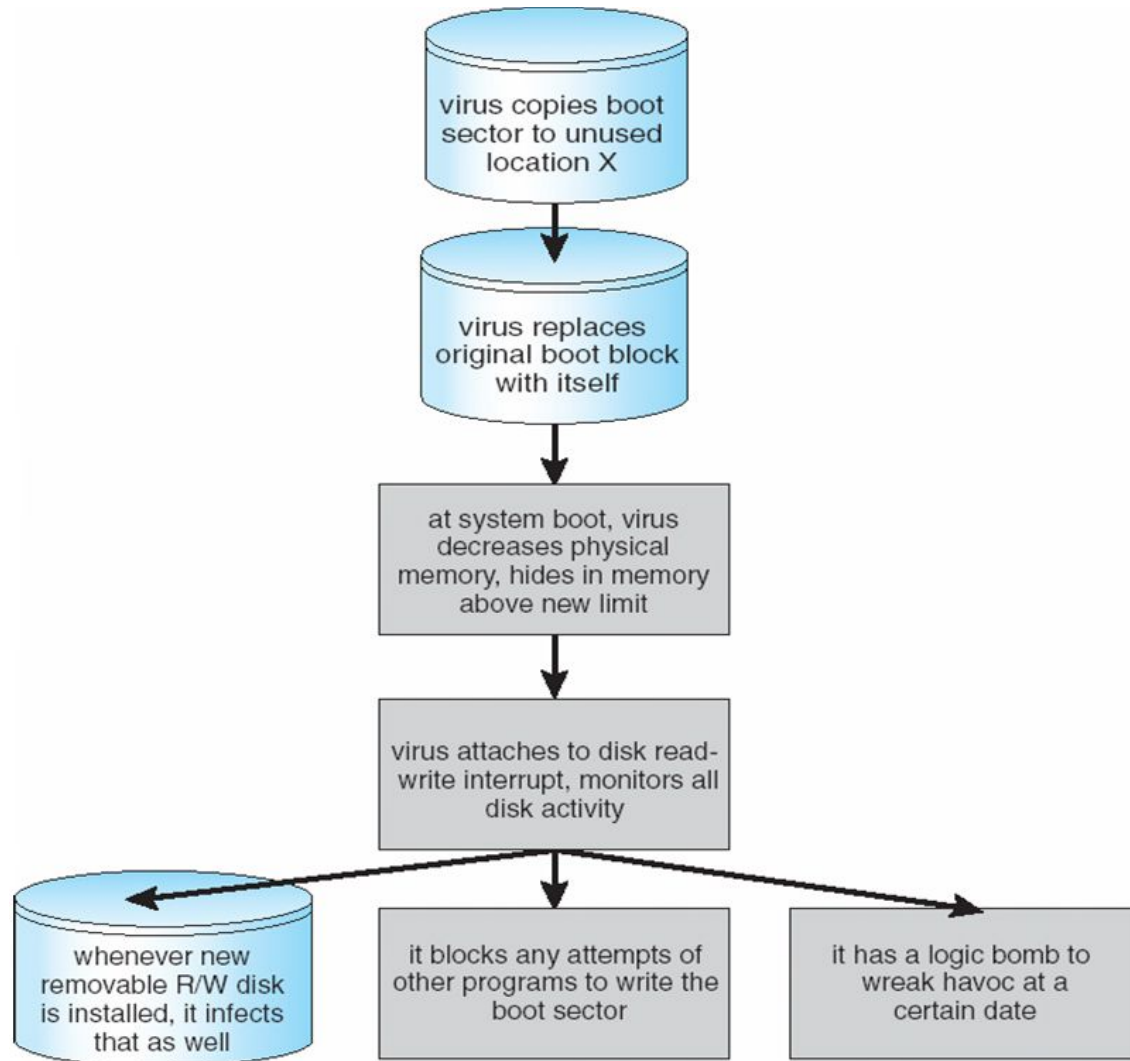
# Program Threats (Cont.)

- **Virus dropper** inserts virus onto the system
- Many categories of viruses, literally many thousands of viruses
  - File
    - 4 Parasitic virus
    - 4 Appended to a program file
    - 4 Change execution startup
    - 4 After execution control returns to main program
  - Boot (memory virus)
    - 4 Found in boot sector
    - 4 Executed at every booting process
    - 4 Attack on bootable devices

- Macro
  - 4 Written in VB
  - 4 Executed while executing macro
  - 4 Found in word, excel files
- Source code
  - 4 Search for source program
  - 4 **Modifies the code**
- Polymorphic
  - 4 Follow different steps while installation
  - 4 So difficult to detect
- Encrypted
  - 4 Found in encrypted form
  - 4 Virus code itself contain decrypted code.

- Stealth
  - 4 Attack on **parts of system that are used for virus detection**
  - 4 E.g read system call
- Tunneling
  - 4 Inject itself in interrupt-handlers chain
  - 4 Thus **bypass by antivirus**
- Multipartite
  - 4 Affect **multiple parts of system**
- Armored
  - 4 Can be found in encrypted form
  - 4 Virus dropper, related files are hidden
- Browser virus
  - 4 Uses keystroke logger.

# A Boot-sector Computer Virus

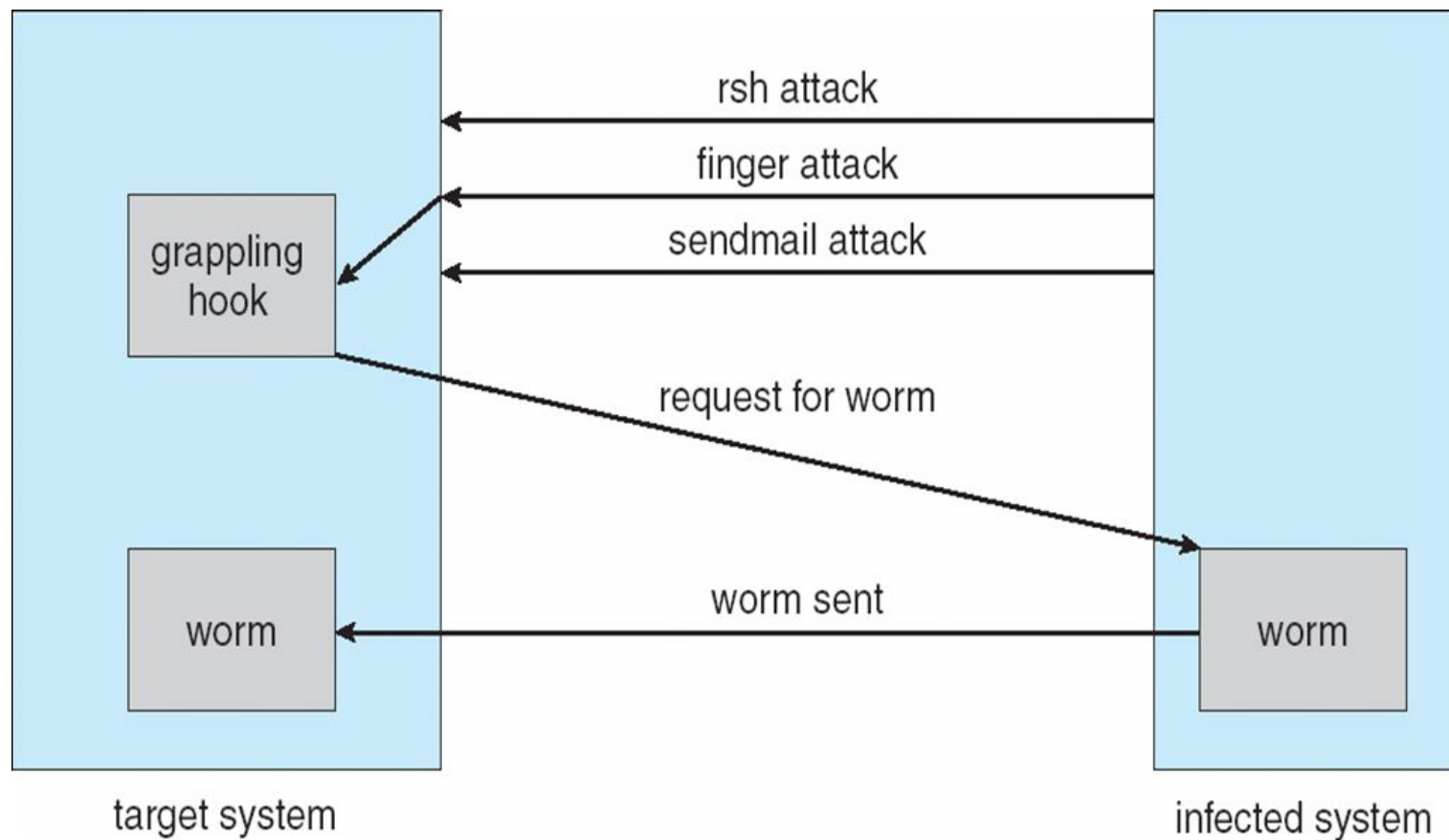


# System and Network Threats

- **Worms** – standalone program
  - use **spawn (reproduction)** mechanism, use system resources and lock out all other processes to damage system;
  - Mainly attack on network thus shut down an entire network.
  - Robert Morris used this attack

- **Attack on remote access feature and bugs in *finger* and *sendmail* programs.**
- **It is made up of two programs**
  - 4 **Grappling hook (also called bootstrap or vector)**
  - 4 **Main program (*l1.c*)**

# The Morris Internet Worm





- **rsh** : user can omit entering a password each time they access remote account by configuring some special files. Worm search these files for sites that allow remote execution without password.
- **Finger** : users can provide their personal information. Attacker uses buffer overflow attack on finger.
- **Sendmail** : worm discover user passwords.

## ■ Port scanning

- Automated attempt to connect to a range of ports on one or a range of IP addresses to identify holes in a system

## ■ Denial of Service

- Network based attack
- Disrupting legitimate use of service.
- Attack categories
  - 4 Attack uses maximum resources so that no work can be done.
  - 4 E.g web site click download java applet, uses all CPU time, or pop up window indefinitely.
  - 4 Abuse of TCP/IP connection
  - 4 Request is send to target machine
  - 4 Connection completed
  - 4 But no connection standard followed.
- Distributed denial-of-service (DDOS) come from multiple sites at once

# User Authentication

- Checking validity of user
- Can be done using three things
  - User's possession of something (key / card)
  - Users knowledge of something (id / password)
  - User's attribute (finger print / face / retina pattern / signature)

# User Authentication : Passwords

- User identity most often established through *passwords*.
- *Password can be also given to each resource. E.f. file*
- *Different passwords may be associated with different access rights. (read / write / read-write)*
- Password Vulnerabilities
  - Password guessing
    - 4 User selected password
      - Personal information
      - Trying enumerations of characters (use long password)
  - Shoulder surfing
  - Monitoring network (n/w sniffing)
  - Password exposure
    - 4 System selected password is hard to guess.
  - Sharing user id
- Passwords must be kept secret

# Password

- Some systems accept only **strong password**
- Some systems forces to **change password regularly** / at the end of each session.
- Users can toggle password. **System records N passwords and do not allow user to use that password again.**

# Encrypted Password (e.g Unix)

- User created simple password or system generated hard password can be theft easily.
- Password can be secured using encryption. e.g Unix.
- In Unix a function  $f(x)$  uses an encryption algorithm to encode password  $x$ . But identifying  $x$  from  $f(x)$  is extremely difficult.
- Random numbers are used to generate password.
- Even if password is same the “salt” generates different password.
- stored encrypted password file is under control of super-user only.

# Implementing Security Defenses

- **Defense in depth** is most common security theory – multiple layers of security
- **Security policy describes what is being secured**
- Vulnerability assessment compares real state of system / network compared to security policy
- Intrusion detection endeavors to detect attempted or successful intrusions
  - **Signature-based** detection spots known bad patterns
  - **Anomaly detection** spots differences from normal behavior
    - 4 Can detect **zero-day** attacks
  - **False-positives** and **false-negatives** a problem
- Virus protection
- Auditing, accounting, and logging of all or specific system or network activities

# Firewalling to Protect Systems and Networks

- A network firewall is placed between trusted and untrusted hosts
  - The firewall limits network access between these two security domains
- Can be tunneled or spoofed
  - Tunneling allows disallowed protocol to travel within allowed protocol (i.e., telnet inside of HTTP)
  - Firewall rules typically based on host name or IP address which can be spoofed
- **Personal firewall** is software layer on given host
  - Can monitor / limit traffic to and from the host
- **Application proxy firewall** understands application protocol and can control them (i.e., SMTP)
- **System-call firewall** monitors all important system calls and apply rules to them (i.e., this program can execute that system call)