

# 1 Bottcher's Coordinates and Extension

## 1.1 Bottcher's Coordinates

A fixed point  $z_0$  is called a super-attracting fixed point of  $f$  if  $f'(z_0) = 0$ .

If  $z_0$  is a super-attracting fixed point for  $f$ , we can conjugate the map such that  $z = 0$  becomes our super-attracting fixed point.

Thus, our map takes the form  $f(z) = a_n z^n + a_{n+1} z^{n+1} + \dots$  in a neighbourhood of 0, with  $n \geq 2$  and  $a_n \neq 0$ , where the integer  $n$  is called the *local degree*.

**Theorem 1.1.1 (Bottcher's Theorem).** *With  $f$  as above,  $\exists$  a local holomorphic change of coordinates  $w = \phi(z)$ , with  $\phi(0) = 0$ , which conjugates  $f$  to  $w \mapsto w^n$  through-out some neighbourhood of 0.*

*Furthermore,  $\phi$  is unique upto multiplication by an  $(n-1)$ th root of unity.*

**Proof. Existence.** Let  $c \in \mathbb{C}$  be such that  $c^{n-1} = a_n$ . Then, the linearly conjugate map  $cf(z/c)$  will have leading coefficient +1. Thus, without loss of generality, we will assume that our map  $f$  has the form  $f(z) = z^n(1 + b_1 z + b_2 z^2 + \dots) = z^n(1 + \eta(z))$ , where  $\eta(z) = (1 + b_1 z + b_2 z^2 + \dots)$ .

Choose  $r \in (0, \frac{1}{2})$  such that  $|\eta(z)| < \frac{1}{2} \forall z \in \mathbb{D}_r$ . This can be done since  $\eta(0) = 0$  and  $\eta$  is continuous.

On this disc, we have two properties of  $f$ :

1.  $f$  maps this disc into itself:

We have,  $|f(z)| = |z^n| |1 + \eta(z)| \leq |z|^n (1 + |\eta(z)|) < \frac{3}{2} |z|^n \leq \frac{3}{2^n} |z| \leq \frac{3}{4} |z| \forall z \in \mathbb{D}_r$ .  
Here we are using the fact that  $n \geq 2$ ,  $|z| < \frac{1}{2}$  and  $|\eta(z)| < \frac{1}{2}$  on  $\mathbb{D}_r$ .

2.  $f(z) \neq 0 \forall z \in \mathbb{D}_r \setminus \{0\}$ :

This is simply because  $|f(z)| = |z|^n |1 + \eta(z)|$  and since  $|\eta(z)| < \frac{1}{2}$  on  $\mathbb{D}_r$ , we can't have  $\eta(z) = -1$ .

The  $k$ -th iterate of  $f$  i.e.  $f^{\circ k}$  also maps the  $\mathbb{D}_r$  into itself and  $f^{\circ k}(z) \neq 0$  on  $\mathbb{D}_r \setminus \{0\}$ . Inductively, it can be shown that it has the form  $f^{\circ k}(z) = z^{n^k} (1 + n^{k-1} b_1 z + \dots)$ .

The idea of the proof is to set,

$$\phi_k(z) = (f^{\circ k}(z))^{\frac{1}{n^k}} = z(1 + n^{k-1} b_1 z + \dots)^{\frac{1}{n^k}}.$$

We choose  $z$  as our branch of holomorphic  $n^k$ th root of  $z^{n^k}$ .

Now, we can choose a holomorphic branch of  $(1 + n^{k-1} b_1 z + \dots)^{\frac{1}{n^k}}$  on  $\mathbb{D}_r$  since  $\mathbb{D}_r$  is simply connected and  $(1 + n^{k-1} b_1 z + \dots) \neq 0$  on  $\mathbb{D}_r$  since  $f^{\circ k}(z) \neq 0$  on  $\mathbb{D}_r \setminus \{0\}$ .

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Therefore we set,

$$\phi_k(z) = z(1 + n^{k-1}b_1z + \dots)^{\frac{1}{n^k}} = z \left( 1 + \frac{b_1}{n}z + \dots \right),$$

where the expression on the right provides us an explicit choice of  $n^k$ th root.

We will show that the functions  $\phi_k$  converge uniformly to a limit function  $\phi$  on  $\mathbb{D}_r$ . To prove the convergence, we make the substitution  $z = e^u$  where  $u$  ranges over the left half plane  $\mathbb{H}_r := \{u : \operatorname{Re}(u) < \log r\}$ . The exponential map maps  $\mathbb{H}_r$  onto  $\mathbb{D}_r \setminus \{0\}$ .

The map  $f$  from  $\mathbb{D}_r$  into itself corresponds to a map from  $\mathbb{H}_r$  into itself given by  $F(u) = \log f(e^u)$ . We can select a holomorphic branch of the logarithm of  $f(e^u)$  because  $\mathbb{H}_r$  is simply connected and  $f(e^u) \neq 0$  on  $\mathbb{H}_r$ .

Set  $\eta = \eta(e^u) = b_1e^u + b_2e^{2u} + \dots$ , then since  $|\eta| < \frac{1}{2}$ , we see that  $F$  can be written as

$$F(u) = \log(e^{nu}(1 + \eta)) = nu + \log(1 + \eta) = nu + \left( \eta - \frac{\eta^2}{2} + \frac{\eta^3}{3} - \dots \right),$$

where the final expression provides us an explicit choice of which branch of logarithm we are using. Clearly,  $F : \mathbb{H}_r \rightarrow \mathbb{H}_r$  is a well-defined holomorphic function.

Similarly, the map  $\phi_k$  corresponds to a map,  $\Phi_k(u) = \log \phi_k(e^u)$ .

$$\Phi_k(u) = \log \phi_k(e^u) = \log f^{\circ k}(e^u)^{\frac{1}{n^k}} = \frac{1}{n^k} \log f^{\circ k}(e^u).$$

Since we have already fixed the branch of logarithm that we are using, we see that,

$$\log f^{\circ k}(e^u) = \log f(f^{\circ k-1}(e^u)) = \log f(e^{\log f^{\circ k-1}(e^u)}) = F(\log f^{\circ k-1}(e^u)).$$

Hence, inductively we can see that  $\log f^{\circ k}(e^u) = F^{\circ k}(u)$ .

Therefore,  $\Phi_k(u) = F^{\circ k}(u)/n^k$ . It is clear from this expression that  $\Phi_k : \mathbb{H}_r \rightarrow \mathbb{H}$ .

Now since  $|\eta| < \frac{1}{2}$ , we have

$$|F(u) - nu| = |\log(1 + \eta)| < \log 2 < 1.$$

Hence,

$$|\Phi_{k+1}(u) - \Phi_k(u)| = \frac{1}{n^{k+1}} |F^{\circ k+1}(u) - nF^{\circ k}(u)| < \frac{1}{n^{k+1}},$$

by the above inequality.

We have,  $\phi_k(e^u) = e^{\Phi_k(u)}$ . Since, the exponential map,  $e^\square : \mathbb{H} \rightarrow \mathbb{D}$  from the left half plane to the unit disc decreases distance, we have

$$|\phi_{k+1}(z) - \phi_k(z)| < \frac{1}{n^{k+1}} \forall z \in \mathbb{D}_r \setminus \{0\}.$$

Since  $\phi_k(0) = 0$  for all  $k$ , we have

$$|\phi_{k+1}(z) - \phi_k(z)| < \frac{1}{n^{k+1}} \forall z \in \mathbb{D}_r.$$

Hence, the maps  $\phi_k$  converge uniformly to some limit function  $\phi$  on  $\mathbb{D}_r$  by the Cauchy criterion for uniform convergence.

Clearly,  $\phi(0) = 0$  and  $\phi$  is holomorphic on  $\mathbb{D}_r$  by Weierstrass convergence theorem.

It is clear that each  $\phi_k : \mathbb{D}_r \rightarrow \mathbb{D}$ . This is because  $\phi_k(e^u) = e^{\Phi_k(u)}$  and  $\Phi_k : \mathbb{H}_r \rightarrow \mathbb{H}$  and  $e^\square : \mathbb{H} \rightarrow \mathbb{D} \setminus \{0\}$ . Hence,  $\phi : \mathbb{D}_r \rightarrow \mathbb{D}$ . (Clearly  $\text{Im}(\phi)$  cannot contain points from  $\partial\mathbb{D}$  because  $\phi$  is holomorphic, hence it is an open map).

Now, it can be easily seen that,  $\phi_k(f(z)) = \phi_{k+1}(z)^n$ .

Hence,  $\lim_{k \rightarrow \infty} \phi_k(f(z)) = \lim_{k \rightarrow \infty} \phi_{k+1}(z)^n \implies \phi(f(z)) = \phi(z)^n$  by continuity of  $n$ th power map.

Also, since  $\phi'_k(0) = 1 \forall k \in \mathbb{N}$  (from the power series of  $\phi_k$ ), we have  $\phi'(0) = 1$ . Hence,  $\phi$  is invertible in some neighbourhood of 0.

Therefore, we have a holomorphic change of coordinates in some neighbourhood of 0 which conjugates  $f$  to the  $n$ th power map. In this neighbourhood,  $\phi$  is one-to-one,  $f(z) \neq 0$  for  $z \neq 0$  (i.e. no other point maps to the super-attracting fixed point via  $f$ ) and  $f$  maps this neighbourhood into itself.

**Uniqueness.** It suffices to study the special case  $f(z) = z^n$ . If we can prove that any map which conjugates  $z \mapsto z^n$  to itself is just multiplication by  $(n-1)$ th root of unity, then for any general map  $f(z) = a_n z^n + a_{n+1} z^{n+1} + \dots$ , if we have two maps  $\phi$  and  $\psi$  which conjugate it to  $z \mapsto z^n$ , then  $\phi \circ \psi^{-1}$  is a map which conjugates  $z \mapsto z^n$  to itself. Hence,  $\phi \circ \psi^{-1} = cz$ , where  $c^{n-1} = 1$ . Therefore,  $\phi = c\psi$ , where  $c$  is a  $(n-1)$ th root of unity.

So, let  $\phi(z) = c_1 z + c_k z^k + \dots$ , ( $c_1 \neq 0$ ) be a map which conjugates  $z \mapsto z^n$  to itself. Then, we should have  $\phi(z^n) = \phi(z)^n$ . Now,

$$\phi(z^n) = c_1 z^n + c_k z^{nk} + \dots,$$

and

$$\phi(z)^n = c_1^n z^n + n c_1^{n-1} c_k z^{n+k-1} + \dots$$

Comparing coefficients, we get  $c_1^n = c_1$  and  $n c_1^{n-1} c_k = 0$  since  $nk > n+k-1$  for  $k \geq 2$ . Therefore, we get  $c_1^{n-1} = 1$  and  $c_k = 0$ . The form  $\phi(z) = c_1 z + c_k z^k + \dots$  can be modified to any  $k \geq 2$  to get  $c_k = 0$  by the same process.

Therefore,  $\phi(z) = cz$ , where  $c$  is a  $(n-1)$ th root of unity. □

## 1.2 Extension of Bottcher's coordinates

We might hope to extend the Bottcher's coordinates to the whole of the immediate basin of attraction of the super-attracting fixed point. But this is not always possible. This is because, it requires computing expressions of the form  $z \mapsto (\phi(f^{\circ k}(z)))^{\frac{1}{n^k}}$ , which is not always possible. For example, the basin may not be simply connected, or some point may map directly onto the super-attracting fixed point, in which case we can't take  $n^k$ -th roots, because  $\phi(f^{\circ k}(z))$  must be zero at those points.

**Theorem 1.2.1** (Extension of  $|\phi|$ ). *If  $f$  has a super-attracting fixed point  $p$ , with immediate basin of attraction  $\mathcal{A}$ , then the function  $z \mapsto |\phi(z)|$  of the above theorem extends*

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uniquely to a continuous map  $|\phi| : \mathcal{A} \rightarrow [0, 1)$  which satisfies  $|\phi|(f(z)) = |\phi|(z)^n$ . Furthermore,  $|\phi|$  is real analytic except at the iterated preimages of  $p$ , where it takes the value 0.

*Proof.* Set  $|\phi|(z) = |\phi(f^{\circ k}(z))|^{\frac{1}{n^k}}$  for large enough  $k$  for each  $z \in \mathcal{A}$ .  $\phi$  is only defined in a some small neighbourhood of  $p$ . But since,  $f^{\circ k} \rightarrow p$  locally uniformly in  $\mathcal{A}$ , after  $k$  many iterates for some large  $k$ ,  $f^{\circ k}(z)$  belongs to the domain of definition of  $\phi$ , which we shall call  $\hat{U}$ .

It is independent of the value of  $k$  (if  $k$  is large enough). Note that, if  $f^{\circ k}(z) \in \hat{U}$ , then so does  $f^{\circ k+1}(z)$ , since  $f$  maps  $\hat{U}$  into itself.

Suppose we choose  $k$  minimal such that  $f^{\circ k}(z) \in \hat{U}$ . Then,

$$|\phi(f^{\circ k+1}(z))|^{\frac{1}{n^{k+1}}} = |\phi(f(f^{\circ k}(z)))|^{\frac{1}{n^{k+1}}} = |\phi(f^{\circ k}(z))^n|^{\frac{1}{n^{k+1}}} = |\phi(f^{\circ k}(z))|^{\frac{1}{n^k}} = |\phi|(z).$$

In the proof of the Bottcher's theorem, we saw that  $\phi(z) \in \mathbb{D} \forall z \in \hat{U}$ . Hence,  $|\phi|(z) = |\phi(f^{\circ k}(z))| < 1 \forall z \in \mathcal{A}$ . Therefore,  $|\phi| : \mathcal{A} \rightarrow [0, 1)$ .

Also,

$$\begin{aligned} |\phi|(f(z)) &= |\phi(f^{\circ k}(f(z)))|^{\frac{1}{n^k}} \\ &= |\phi(f(f^{\circ k}(z)))|^{\frac{1}{n^k}} \\ &= |\phi(f^{\circ k}(z))^n|^{\frac{1}{n^k}} \\ &= |\phi(f^{\circ k}(z))|^{\frac{n}{n^k}} \\ &= |\phi|(z)^n. \end{aligned}$$

It is also clear that  $|\phi| = 0$  only at  $p$  and its iterated preimages.

If  $q$  is an iterated preimage of  $p$ , say  $f^{\circ k}(q) = p$ , then we have  $|\phi|(q) = |\phi(f^{\circ k}(q))|^{\frac{1}{n^k}} = |\phi(p)|^{\frac{1}{n^k}} = 0$ .

Now, Suppose  $|\phi|(z) = 0$  for some  $z$ . Then,  $|\phi|(z)^{n^k} = 0 \forall k \implies |\phi|(f^{\circ k}(z)) = 0 \forall k$ . But for some large  $k$ ,  $f^{\circ k}(z)$  belongs to the domain of definition of  $\phi$ . But that means,  $f^{\circ k}(z) = p$ , since no other point in that domain is mapped to zero by  $\phi$ . Hence,  $z$  is an iterated preimage of  $p$ .

Now, since  $f^{\circ k} \rightarrow p$  locally uniformly in  $\mathcal{A}$ , for each  $a \in \mathcal{A}$ , we have a neighbourhood  $W_a$  and a constant  $k \in \mathbb{N}$  such that  $f^{\circ k}(z) \in \hat{U} \forall z \in W_a$ .

Hence, for  $z \in W_a$ , we can define  $|\phi|(z) = |\phi(f^{\circ k}(z))| = |g(z)|$ , where  $g = \phi \circ f^{\circ k}|_{W_a}$ . Therefore,  $|\phi|_{W_a} = |g|$ , where  $g$  is some holomorphic function defined on  $W_a$ .

It is clear from this that  $|\phi|$  is continuous in  $\mathcal{A}$ .

Now, if  $h$  is any holomorphic function, then  $|h(z)|$  is real-analytic everywhere in its domain except at those  $z$ , where  $h(z) = 0$ .

Since,  $|g| = |\phi|_{W_a}$  is zero only at the iterated preimages of  $f$  in  $W_a$ ,  $|\phi|_{W_a}$  is real analytic everywhere in  $W_a$  except at the iterated preimages of  $p$ .

Therefore,  $|\phi|$  is real analytic everywhere in  $\mathcal{A}$  except at the iterated preimages of  $p$ .  $\square$

Let  $f : \mathbb{C}_\infty \rightarrow \mathbb{C}_\infty$  be a rational map with a super-attracting fixed point  $p$ . Then the associated Bottcher map  $\phi$  carries a neighbourhood of  $p$  biholomorphically onto a neighbourhood of zero, conjugating  $f$  to the  $n$ th power map, where  $n$  is the local degree of  $f$  near  $p$ .  $\phi$  has a local inverse  $\psi_\epsilon$  which maps the  $\epsilon$ -disc around zero to a neighbourhood of  $p$ .

**Theorem 1.2.2** (Extending  $\psi_\epsilon$ ). *There exists a unique open disc of maximal radius  $0 < r \leq 1$  such that  $\psi_\epsilon$  extends holomorphically to a map  $\psi : \mathbb{D}_r \rightarrow \mathcal{A}$ , where  $\mathcal{A}$  is the immediate basin of attraction of  $p$ .*

1. If  $r = 1$ , then  $\psi$  maps the open unit disc  $\mathbb{D}$  onto  $\mathcal{A}$  biholomorphically.
2. If  $0 < r < 1$ , then  $\psi$  maps  $\mathbb{D}_r$  onto its image biholomorphically and there exists atleast one other critical point in  $\mathcal{A}$  on the boundary of  $\psi(\mathbb{D}_r)$ .

If  $\psi_\epsilon$  is extended biholomorphically in this way to the map  $\psi$  defined on  $\mathbb{D}_r$ , then the inverse map  $\psi^{-1} : \psi(\mathbb{D}_r) \rightarrow \mathbb{D}_r$  must be the extension of  $\phi$  from some neighbourhood of  $p$  to  $\psi(\mathbb{D}_r)$  (since  $\psi^{-1}$  agrees with  $\phi$  on some neighbourhood of  $p$ ).

*Proof.* Let us try to extend  $\psi_\epsilon$  along radial lines by analytic continuation. Then, we can't extend it indefinitely as it would yeild a holomorphic map  $\psi$  from the entire complex plane onto an open set  $\psi(\mathbb{C}) \subset \mathcal{A} \subsetneq \mathbb{C}_\infty$ . ( $\mathcal{A}$  cannot be the whole of  $\mathbb{C}_\infty$  since the Julia set of  $f$  cannot be empty as  $\deg(f) \geq 2$ ). We can conjugate  $f$  such that  $\infty \notin \mathcal{A}$ . Then the corresponding map  $\psi$  will map the whole of the complex plane into  $\mathcal{A} \subset \mathbb{C}$ . By Louiville's theorem, since the map  $\psi$  cannot be a constant,  $\psi(\mathbb{C}) = \mathbb{C} = \mathcal{A}$ . Therefore,  $\mathbb{C}_\infty \setminus \mathcal{A} = \{\infty\}$ . This too is not possible since the Julia set of  $f$  must be an infinite set since  $\deg(f) \geq 2$ .

Thus, there must be some largest radius  $r$  so that  $\psi_\epsilon$  extends analytically throughout the open disc  $\mathbb{D}_r$ .

Also,  $|\phi|(\psi(w)) = |\phi(\psi(w))| = |w|$  near 0, hence for all  $w \in \mathbb{D}_r$  by analytic continuation.???

Since,  $|\phi| : \mathcal{A} \rightarrow [0, 1)$ , this proves that for any  $w \in \mathbb{D}_r$ ,  $|\phi|(\psi(w)) = |w| < 1$ . Therefore,  $\psi$  can be defined only on  $\mathbb{D}_r$  for  $r \leq 1$ .

We will now show that  $\psi$  is actually one-to-one on  $\mathbb{D}_r$ . Suppose  $\psi(w_1) = \psi(w_2)$ . Applying  $|\phi|$ , we see that  $|w_1| = |w_2|$ . Choose such a pair such that  $\psi(w_1) = \psi(w_2)$  ( $w_1 \neq w_2$ ) with  $|w_1| = |w_2|$  minimal. A minimal pair exists because for  $|w| < \epsilon$ ,  $\psi = \psi_\epsilon$  which is one-to-one as it is invertible.

Now,  $\psi$  is an open mapping. Choose a sufficiently small neighbourhood  $U_{w_2}$  of  $w_2$ . Then,  $\psi(U_{w_2})$  is a small neighbourhood of  $\psi(w_1) = \psi(w_2)$ . Hence, for any  $w'_1$  sufficiently close to  $w_1$ ,  $\psi(w'_1) \in \psi(U_{w_2})$ . Hence, we can find  $w'_2$  sufficiently close to  $w_2$  such that  $\psi(w'_1) = \psi(w'_2)$ . Choosing  $|w'_1| < |w_1|$ , we get a contradiction.

Hence,  $\psi$  maps  $\mathbb{D}_r$  onto its image biholomorphically.

In case when  $r = 1$ ,  $U = \psi(\mathbb{D}) = \mathcal{A}$ . If not then we would have some boundary point of  $U$ , say  $z_0 \in \mathcal{A}$ . We can approximate  $z_0$  by points of  $\psi(w_j)$ , where  $|w_j| \rightarrow 1$ .

Now,  $\lim_{j \rightarrow \infty} \psi(w_j) = z_0$ . Hence,

$$\lim_{j \rightarrow \infty} |\phi|(\psi(w_j)) = |\phi|(z_0) \implies \lim_{j \rightarrow \infty} |w_j| = |\phi|(z_0) \implies |\phi|(z_0) = 1,$$

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which is impossible.

Now, let  $0 < r < 1$ . We need to prove that  $\partial U$ , where  $U = \psi(\mathbb{D}_r)$  must contain a critical point of  $f$ . Suppose,  $w_0 \in \partial \mathbb{D}_r$  and let  $(w_j)_{j=1}^\infty \subset \mathbb{D}_r$  such that  $w_j \rightarrow w_0$ . Let  $\psi(w_j) \rightarrow z_0$ . Then  $z_0 \in \partial U$  because  $\psi$  maps  $\mathbb{D}_r$  onto  $U$  biholomorphically.

If  $z_0$  is not a critical point of  $f$ , then  $f$  maps a neighbourhood of  $z_0$ , say  $A$  onto a neighbourhood of  $f(z_0)$ , say  $B$  biholomorphically.

It should be noted that  $B$  can be chosen such that  $B \subset U$ . This is because  $f(z_0) \in U$ . We have,  $\lim_{j \rightarrow \infty} \psi(w_j) = z_0 \implies \lim_{j \rightarrow \infty} f(\psi(w_j)) = f(z_0) \implies \lim_{j \rightarrow \infty} \psi(w_j^n) = f(z_0) \implies \psi(w_0^n) = f(z_0)$ . Since,  $|w_0| = r < 1$ ,  $|w_0|^n < r^n < r$ . Hence,  $w_0 \in \mathbb{D}_r$ . Therefore,  $\psi(w_0^n) = f(z_0) \in U$ .

Let  $g$  be the local inverse of  $f$  near  $f(z_0)$ . Then,  $\psi$  can be extended throughout a neighbourhood of  $w_0$  by

$$w \mapsto g(\psi(w^n)).$$

We have,  $\psi(w_0^n) = f(z_0) \implies w_0^n = \phi(f(z_0))$ . Since,  $\phi(B)$  is a neighbourhood of  $\phi(f(z_0))$  lying inside  $\mathbb{D}_r$ , choose a small enough neighbourhood of  $w_0$ , say  $C$  such that  $w^n \in \phi(B)$ , for all  $w \in C$ . In this neighbourhood,  $C$  our newly defined map agrees with  $\psi$  on  $C \cap \mathbb{D}_r$ . This is because, for  $w \in C \cap \mathbb{D}_r$ ,  $f(\psi(w)) = \psi(w^n) \in B$ . Therefore,  $g(\psi(w^n))$  can be defined and  $\psi(w) = g(\psi(w^n)) \in A$ . Hence, our new map is an analytic continuation of  $\psi$  on the neighbourhood  $C$ .

Now, if none of the  $z_0 \in \partial U$  are critical points, we can extend  $\psi$  to a neighbourhood of  $w_0 \forall w_0 \in \partial \mathbb{D}_r$ . Clearly, these continuations would patch together to define  $\psi$  in a strictly greater disc than  $\mathbb{D}_r$ , which is a contradiction.  $\square$