

# Algebraic number theory

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July 2016

## 1 Day 1

**Definition.** A *number field* is a finite extension of  $\mathbb{Q}$ .

Some examples of number fields are:

1.  $\mathbb{Q}$
2.  $\mathbb{Q}(i)$
3.  $\mathbb{Q}(\sqrt{2})$
4.  $\mathbb{Q}(\sqrt{2}, \sqrt{3})$

Note that the last example is not a primitive extension.

**Theorem** (Primitive element theorem). If  $K/\mathbb{Q}$  is a finite extension, then  $\exists \alpha \in K$  with  $K = \mathbb{Q}(\alpha)$ .

### 1.1 Invariants of a number field

1.  $\text{Gal}(K/\mathbb{Q})$
2.  $[K : \mathbb{Q}]$
3. ???

Note that our extensions will not always be Galois. We get separability for free since we are working over  $\mathbb{Q}$ , which has characteristic zero, but we might not have normality.

### 1.2 The ring of integers

**Definition.** If  $\alpha \in K$ , we say that  $\alpha$  is an *algebraic integer* if it satisfies a monic polynomial with integer coefficients.

**Definition.** The (a priori) set of algebraic integers in  $K$  is denoted  $\mathcal{O}_K$ .

**Definition.** The *discriminant* of a number field is an invariant associated to  $\mathcal{O}_K$  that measures how “complicated” or “big”  $K$  is.

### 1.3 Traces and norms

Let  $L/K$  be a field extension, and  $\beta \in L$ . We have a natural map

$$\begin{aligned} m_\beta : L &\rightarrow L \\ x &\mapsto \beta x \end{aligned}$$

which is linear, and hence we have maps

$$\text{Tr}_{L/K} : L \rightarrow K, \text{Nm}_{L/K} : L \rightarrow K$$

corresponding to the trace and the determinant respectively.

Fact:  $\text{Nm}_{L/K}(\alpha\beta) = \text{Nm}_{L/K}(\alpha)\text{Nm}_{L/K}(\beta)$

Choose a basis  $\beta_i$  for  $\mathcal{O}_K$  (as a  $\mathbb{Z}$ -module.) The discriminant  $\Delta_K = \det(\text{Tr}_{K/\mathbb{Q}}(\beta_i\beta_j))$  is another invariant.

**Theorem.** Given  $n, M$  positive integers, there are finitely many number fields  $K$  with  $[K : \mathbb{Q}] = n$  with  $\Delta_K = M$ .

**Theorem.** If  $B \subseteq \mathcal{O}_K$  is a subring, define  $\Delta_B$  similarly.  $\Delta_B \neq 0 \implies B$  has finite index in  $\mathcal{O}_K$ . Also,

$$\Delta_B = [\mathcal{O}_K : B]^2 \cdot \Delta_K$$

so we can see whether we've "found" the whole ring of integers by looking at whether  $\Delta_B$  is squarefree, and, if not, we know what index the subgroup we're looking for has.

**Theorem** (Dirichlet's unit theorem). If  $K$  is a number field of signature  $(r, s)$ , we have that

$$\mathcal{O}_K^\times \cong \mu(K) \times \mathbb{Z}^{r+s-1}$$

where the signature is defined by letting  $r$  be

## 2 Day 2

### 2.1 The trace pairing

The map

$$\begin{aligned} \text{Tr} : L \times L &\rightarrow K \\ (x, y) &\mapsto \text{Tr}_{L/K}(xy) \end{aligned}$$

is bilinear.

Recall that there is a natural map  $V \times V^* \rightarrow K$  for  $V$  a vector space.

Now, with the trace map in hand, we get a map

$$\begin{aligned} V &\rightarrow V^* \\ v &\mapsto \text{Tr}(v, -) \end{aligned}$$

### 2.2 A legit non-noetherian ring

$\overline{\mathbb{Z}}$  is not noetherian: consider

$$(\sqrt{2}) \subset (\sqrt[4]{2}) \subset (\sqrt[8]{2}) \subset \dots$$

### 2.3 Brief digression

**Definition.** A *Dedekind domain* is an integral domain  $R$  such that

1.  $R$  is noetherian
2.  $R$  is integrally closed (in its field of fractions)
3. every nonzero prime ideal is maximal

**Theorem.** A discrete valuation ring (henceforth DVR) is a PID with a unique maximal ideal.

Facts:

1. DVRs are Dedekind domains.
2. Guess: You have a valuation from the power of the generator of the maximal ideal dividing an element.

**Theorem.** If  $P$  is a prime ideal and  $R$  is a Dedekind domain,  $R_P$  is a DVR.

$\mathcal{O}_K$  is a Dedekind domain. Dedekind domains have unique factorization of ideals.

### 3 Day 3

#### 3.1 Norms of ideals

We want a definition for  $\text{Nm}(I)$  for  $I$  an ideal of  $\mathcal{O}_K$ , satisfying the following “common-sense” properties:

1.  $\text{Nm}(IJ) = \text{Nm}(I)\text{Nm}(J)$
2.  $\text{Nm}(\mathcal{O}_K) = 1$
3. If  $\beta \in K$  then  $\text{Nm}(\beta) = \text{Nm}((\beta))$

If  $p\mathcal{O}_K = P_1^{e_1} \cdots P_g^{e_g}$ , we just need to define  $\text{Nm}(P_i)$ . Note that, with  $p \in K$  and  $m = [K : \mathbb{Q}]$ ,

$$\text{Nm}(p) = p^m$$

(the matrix associated to  $p$  is just  $pI_m$ ).

We need  $\prod_{i=1}^g \text{Nm}(P_i)^{e_i} = p^m$ . Recall Swapnil’s postulate

$$\sum_{i=1}^g e_i f_i = m$$

which suggests the definition

$$\text{Nm}(P_i) = p^{f_i}$$

or, alternatively,

$$\text{Nm}(I) = [\mathcal{O}_K : I]$$

#### 3.2 Fractional ideals

**Definition.** A *fractional ideal* in  $\mathcal{O}_K$  is a nonzero, finitely generated  $\mathcal{O}_K$ -submodule of  $K$ .

Fact/claim: any fractional ideal has the form  $\frac{1}{d}I$  for some  $d \in \mathcal{O}_K$  and  $I \subseteq \mathcal{O}_K$  an ideal.  
For example,  $\mathbb{Z} \supset J := \frac{1}{3}(5)$  is fractional, with  $J \cdot (3) = (5)$ .

**Definition** (and theorem). The set  $\text{Id}(\mathcal{O}_K)$  of fractional ideals of  $\mathcal{O}_K$  is a group, freely generated by the prime ideals of  $\mathcal{O}_K$ .

**Definition.** A *principal fractional ideal* is one of the form  $\alpha\mathcal{O}_K$  for  $\alpha \in K^\times$ .

Let  $\text{Prin}(\mathcal{O}_K)$  denote the subgroup of principal fractional ideals. If  $I$  is a fractional ideal, define

$$I^{-1} = \{\alpha \in K : \alpha I \subseteq \mathcal{O}_K\}$$

**Definition.** The class group of  $\mathcal{O}_K$  is

$$\text{Cl}(\mathcal{O}_K) := \text{Id}(\mathcal{O}_K) / \text{Prin}(\mathcal{O}_K).$$

**Theorem** (Minkowski). There is a set of representatives for the ideal class group consisting of integral ideals  $I$  with

$$\text{Nm}(I) \leq \frac{n!}{n^n} \left(\frac{4}{\pi}\right)^s |\Delta_K|^{1/2}$$

**Example.**  $K = \mathbb{Q}(\sqrt{-14})$ . The Minkowski bound is

$$\frac{2!}{2^2} \frac{4}{\pi} \sqrt{56} \approx 4.8 < 5$$

## 4 Day 5

### 4.1 Hilbert class fields

Let  $K$  be a number field with class group  $\text{Cl}(K)$ , satisfying the following:

- $\text{Gal}(L/K)$  is abelian.
- Every prime  $\mathfrak{p}$  in  $K$  is unramified in  $L$ .

For such an *unramified abelian* extension, we have

$$\text{Gal}(L/K) \cong \text{Cl}(K).$$

Moreover, if  $H \subseteq \text{Cl}(K)$ , then the subfield of  $L$  corresponding to  $H$  under the Galois correspondence is the one where every ideal in  $H$  splits completely.

**Example.**  $K = \mathbb{Q}(\sqrt{-5})$ ,  $L = \mathbb{Q}(\sqrt{-5}, \sqrt{-1})$ . First note that  $\Delta_K = -20$ , and (with some more work) that  $\Delta_L = -400$ . We have that

- $(2) = (2, 1 + \sqrt{-5}) = (\alpha^3 + 2\alpha + 1)^2$
- $(5) = (\sqrt{-5}) = (-\alpha^3 + \alpha^2 - 2\alpha + 2)^2(\alpha^3 + \alpha^2 + 2\alpha + 2)^2$

Notice that we made both ideals principal. This always happens:

**Theorem.** If  $I \subset \mathcal{O}_K$  is an ideal, then  $I\mathcal{O}_L$  is principal.

Start with a number field  $K$ , and take its Hilbert class field  $L_0$ . Then pass to the class field of *that*,  $L_1$ . Does this tower ever stabilize?

No:  $K = \mathbb{Q}(\sqrt{-2 \cdot 3 \cdot 5 \cdot 7 \cdot 11 \cdot 13})$  is a counterexample. (Somehow.)

### 4.2 Moduli

Define

$$\mathfrak{m} = \prod_{\mathfrak{p}} \mathfrak{p}^{m(\mathfrak{p})}$$

where we think of real and complex embeddings as “infinite primes”, and let

$$m(\mathfrak{p}) \geq 0$$

$$m(\text{real embedding}) = 0 \text{ or } 1$$

$$m(\text{complex embedding}) = 0$$

with finitely many exponents nonzero. Now, given  $\mathfrak{m}$ , let  $S(\mathfrak{m}) = \{\mathfrak{p} : m(\mathfrak{p}) > 0\}$ .

Let  $I^S$  be the product of the ideals not in  $S$ .

$$K_{\mathfrak{m},1} = \{\alpha \in K^\times : \alpha \equiv 1 \pmod{\mathfrak{p}^{m(\mathfrak{p})}}, \text{ finite } \mathfrak{p}\}$$

where  $\alpha_{\mathfrak{p}} > 0$  for infinite  $\mathfrak{p} \in \mathfrak{m}$  or something.

**Definition.** The *ray class group* is defined to be

$$C_{\mathfrak{m}} = I^{S(\mathfrak{m})} / K_{\mathfrak{m},1}.$$

We make another definition.

**Definition.** The *ray class field* is a maximal abelian extension  $L_{\mathfrak{m}}/K$  unramified except at places in  $S(\mathfrak{m})$ .

$K = \mathbb{Q}(\sqrt{6})$ . We have an exact sequence

$$0 \rightarrow U/U_+ \rightarrow K^\times/K_+ \rightarrow C_{\mathfrak{m}} \rightarrow \text{Cl}(K) \rightarrow 0.$$

some stuff here that I don't understand about  $K$  having two infinite places and a fundamental unit and  $\mathbb{Q}(\sqrt{-2}, \sqrt{-3})$  being the “narrow class field” or something

### 4.3 Completions

If  $\mathfrak{p}$  is a prime ideal, define the completion  $K_{\mathfrak{p}}$  to be the set of Cauchy sequences in  $K$  under the  $\mathfrak{p}$ -adic metric

$$|\alpha|_{\mathfrak{p}} = \text{Nm}_{K/\mathbb{Q}}(\mathfrak{p})^{-v_{\mathfrak{p}}(\alpha)}$$

#### 4.3.1 Directed systems, inverse limits

Let  $I$  be a poset and  $A$  be a directed  $I$ -indexed directed set, with maps  $f_{ij} : A_j \rightarrow A_i$  (we had a vote on which way the arrow should go).

- $\cdots \rightarrow \mathbb{Z}/p^4 \rightarrow \mathbb{Z}/p^3 \rightarrow \mathbb{Z}/p^2 \rightarrow \mathbb{Z}/p$ .
- $A_n = \mathbb{Z}/n\mathbb{Z}$ ,  $I = \mathbb{N}_{>0}$  with the partial order being induced by divisibility.
- Let  $K$  be an algebraically non-closed field that is not  $\overline{K}$ . If  $M \subseteq L \subseteq \mathbb{Q}$ , then  $\text{Gal}(L/K)$  includes into  $\text{Gal}(M/K)$ . In fact, there is an exact sequence

$$0 \rightarrow \text{Gal}(L/M) \rightarrow \text{Gal}(L/K) \rightarrow \text{Gal}(M/K) \rightarrow 0.$$

Define the projective limit to be

$$\{(a_i)_{i \in I} : f_{ij}(a_j) = a_i \text{ for } i \leq j\}.$$

We have

- $\varprojlim \mathbb{Z}/p^n = \mathbb{Z}_p$ .
- $\varprojlim \mathbb{Z}/n = \hat{\mathbb{Z}}$ .
- We know that  $\text{Gal}(\mathbb{F}_{p^n}/\mathbb{F}_p) \cong \mathbb{Z}/n$  (with the Frobenius as the generator). We get

$$\varprojlim \text{Gal}(\overline{\mathbb{F}_p}/\mathbb{F}_p) = \hat{\mathbb{Z}}.$$

Also note that  $K_{\mathfrak{p}} = \text{Frac}(\varprojlim \mathcal{O}_K/\mathfrak{p}^n)$ .

If  $L/K$  is an extension of number fields, we have an inclusion of groups  $L^{\times} \supset (L^{\text{ab}})^{\times} \supset K^{\times}$ . As subgroups of  $K^{\times}$ ,

$$\text{Nm}_{L/K}(L^{\times}) = \text{Nm}_{L^{\text{ab}}/K}((L^{\text{ab}})^{\times})$$

so “norms cannot see nonabelian behavior”.

### 4.4 Local class field theory

**Theorem** (Some kind of “main theorem”?). Let  $K$  be a  $p$ -adic field, that is, a finite extension of  $\mathbb{Q}_p$ . We have

$$\text{Gal}(K^{\text{ab}}/K) = \text{Gal}(\overline{K}/K)^{\text{ab}} \supset W_K^{\text{ab}} \cong K^{\times}.$$

Now we switch to  $K$  for a number field and  $K_{\mathfrak{p}}$  its completion at  $\mathfrak{p}$ . (Why is this okay? Because we have

**Theorem** (Krasner’s lemma). Every  $p$ -adic field arises in this way.

so it’s fine.)

There is an exact sequence

$$0 \rightarrow I_{\mathbb{Q}_p} \rightarrow \text{Gal}(\overline{\mathbb{Q}_p}/\mathbb{Q}_p) \rightarrow \text{Gal}(\overline{\mathbb{F}_p}/\mathbb{F}_p) \rightarrow 0$$

corresponding to the tower of fields

$$\overline{K}_{\mathfrak{p}}/K_{\mathfrak{p}}^{\text{ab}}/K_{\mathfrak{p}}.$$

Now,  $W_{\mathbb{Q}_p}$  is the preimage of  $\mathbb{Z} \subset \hat{\mathbb{Z}}$  in  $\text{Gal}(\overline{\mathbb{Q}_p}/\mathbb{Q}_p)$ .

Abelian extensions of  $K_{\mathfrak{p}}$  are in bijection with finite index subgroups of  $K_{\mathfrak{p}}^{\times}$ , where the map is

$$L \mapsto \text{Nm}_{L/K_{\mathfrak{p}}}(L^{\times})$$

and we have  $\text{Gal}(L/K_{\mathfrak{p}}) \cong K_{\mathfrak{p}}^{\times}/\text{Nm}_{L/K_{\mathfrak{p}}}(L^{\times})$ .

#### 4.4.1 What are the degree 2 extensions of $\mathbb{Q}_p$ ?

Using the norm map  $\mathbb{Q}_p^\times \rightarrow \mathbb{Z}$ , we have an exact sequence

$$0 \rightarrow \mathbb{Z}_p^\times \rightarrow \mathbb{Q}_p^\times \rightarrow \mathbb{Z} \rightarrow 0.$$

There are at least three:

- The image of  $(\mathbb{Z}_p^\times)^2$  in  $\mathbb{Q}_p^\times$  gives us one.
- The preimage of  $2\mathbb{Z}$  gives us another.
- ...

### 4.5 Galois cohomology

Let  $G = \text{Gal}(L/K)$ ,  $M$  be a  $G$ -module, that is, a  $\mathbb{Z}[G]$ -module (so an abelian group with a “sensible”  $G$ -action).

We define a sequence of functors (?)  $H^r$ ,  $r$  is a nonnegative integer.

$$H^0(G, M) = M^G = \{m \in M : gm = m \forall g \in G\}.$$

$$H^0(G, L) = K$$

$$H^0(G, L^\times) = K^\times$$

Now suppose that  $M = \text{“automorphisms of an } L\text{-structure”}$ .

Morally,  $H^1(G, M)$  measures how many “ $K$ -structures” there are that become isomorphic to  $M$  after base change from  $K$  to  $L$ . (Tensor with  $L$ ?)

$$H^1(G, L) = 0$$

$$H^1(G, L^\times) = 0$$

(The second generalizes to  $GL_n(L)$ .) What does  $H^2(G, M)$  measure?

$$H^2(G, L) = 0$$

$$H^2(G, L^\times) = \mathbb{Z}/[L : K]$$

### 4.6 The Brauer group

In general, we call

$$H^2(\text{Gal}(\overline{K}/K), \overline{K}^\times)$$

the Brauer group of  $K$ , and there is a bijection between the Brauer group and the set of central simple  $K$ -algebras up to equivalence.

$K$   $p$ -adic,

$$H^2(\text{Gal}(\overline{K}/K), \overline{K}^\times) \cong \mathbb{Q}/\mathbb{Z}$$

For  $K$  a number field,

$$0 \rightarrow H^2(\text{Gal}(\overline{K}/K), \overline{K}^\times) \rightarrow \bigoplus_{\mathfrak{p} \text{ prime}} H^2(\text{Gal}(\overline{K}_{\mathfrak{p}}/K_{\mathfrak{p}}), \overline{K}_{\mathfrak{p}}^\times) \rightarrow \mathbb{Q}/\mathbb{Z} \rightarrow 0$$

A *quaternion algebra* over a number field  $K$  looks like  $\mathbb{H}_{a,b}$ .

## 4.7 Cohomology!

Define

$$C^r(G, M) = \{\text{maps } G^r \rightarrow M\}$$

and boundary maps

$$d : C^r(G, M) \rightarrow C^{r+1}(G, M)$$

defined in such a way that  $d^2 = 0$ .

**Example.** a

We get a chain complex

$$\cdots \rightarrow C^{r-1}(G, M) \rightarrow C^r(G, M) \rightarrow C^{r+1}(G, M) \rightarrow \cdots$$

and the *group homology* is defined to be

$$H^r(G, M) = \ker d / \text{im } d$$

where we choose  $d$  “sensibly”, to quote Alfonso.

### 4.7.1 Another perspective

Let

$$0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$$

be an exact sequence of  $G$ -modules. Applying the “fixed-points” functor, we get

$$0 \rightarrow A^G \rightarrow B^G \rightarrow C^G$$

and this sequence continues to the left using the right derived functors of  $_G$ , which are precisely group cohomology!

$$0 \rightarrow A^G \rightarrow B^G \rightarrow C^G \rightarrow H^1(G, A) \rightarrow H^1(G, B) \rightarrow H^1(G, C) \rightarrow H^2(G, A) \rightarrow \cdots$$

We also have

$$H^2(G, M) \cong \text{Ext}^1(G, M)$$

so  $H^2$  classifies all  $E$ s that fit into exact sequences  $0 \rightarrow M \rightarrow E \rightarrow G \rightarrow 0$ .

There is a cup product map

$$H_T^r(G, M) \times H_T^s(G, N) \rightarrow H_T^{r+s}(G, M \otimes N)$$

such that

## 5 The “difficult” integral

Define

$$\begin{aligned} \zeta_K(s) &= \sum_{I \neq 0} \frac{1}{N(I)^s} = \prod_{\text{prime } P} \frac{1}{1 - N(P)^{-s}} \\ L(\chi, s) &= \sum_{I \neq 0} \frac{\chi(I)}{N(I)^s} = \prod_{\text{prime } P} \frac{1}{1 - \chi(P)N(P)^{-s}} \\ \chi_\infty(\alpha) &= \begin{cases} 1 & \text{if } N(\alpha) > 0 \\ -1 & \text{if } N(\alpha) < 0 \end{cases} \end{aligned}$$

## 6 Attempt #1

Let

$$F(a) = \int_0^1 \frac{\log(1+x^a)}{1+x} dx$$

Let's try parts:

$$\begin{aligned} F(a) &= \log(1+x^a) \log(1+x) \Big|_0^1 - \int_0^1 \frac{\log(1+x)}{1+x^a} ax^{a-1} dx \\ &= (\log 2)^2 - F(1/a) \end{aligned}$$

so

$$F(a) + F(1/a) = (\log 2)^2$$

Now we expand the integrand of  $F$  as a series:

$$\begin{aligned} F(a) &= \int_0^1 \left( \sum_{k=1}^{\infty} (-1)^{k-1} x^{k-1} \right) \left( \sum_{n=1}^{\infty} \frac{(-1)^n x^{na}}{n} \right) dx \\ &= \int_0^1 \sum_{n,k=1}^{\infty} (-1)^{n+k} \frac{x^{an+k-1}}{n} dx \\ &= \sum_{n,k=1}^{\infty} (-1)^{n+k} \frac{x^{an+k}}{n(an+k)} dx \\ &= \sum_{n,k=1}^{\infty} \frac{(-1)^{n+k}}{n(an+k)} dx \end{aligned}$$

Our integral is  $F(w) = F(2 + \sqrt{3})$ . Note that  $\bar{w} = 1/w$ , so that

$$F(w) - f(\bar{w}) = \sum_{n,k=1}^{\infty} (-1)^{n+k} \frac{-2\sqrt{3}}{n^2 + 4nk + k^2}$$