### Storm on Multi-core

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### **Abstract**

This is the abstract.

## Acknowledgements

Acknowledgements go here.

# Table of Contents

Ι	Intr	oduction	3
	I.I	Motivation	3
	I.2	Main Idea	4
	1.3	Project Contributions	4
	I.4	Structure of the Report	4
2	Lite	rature Review	3
	2.I	Data Stream	3
		2.1.1 Comparison to a Database Management System	5
		2.1.2 Querying a Data Stream	6
	2.2	Multi-core	6
		2.2.1 Advantages Over Clusters	6
		2.2.2 Disadvantages Over Clusters	7
	2.3	Apache Storm	7
		2.3.1 Dependencies	8
		2.3.2 Usage of Apache Storm	8
	2.4	Similar Efforts	ç
	2.5	Summary	9
3	Bac	kground	IJ
	3.I	Storm Overview	Ι1
	3.2	Storm Concepts	Ι1
		3.2.I Core Concepts	11
		44 4 2	Ι2
	3.3		Ι3
	3.4		Ι4
			15
			16
			16
	3.5	1. · · · · · · · · · · · · · · · · · ·	17
4	Brir	nging Storm to Multi-core	19
•	<b>4.</b> I		19
	•		19
			20
	4.2		22

A	Listi	ings	43
6	Con 6.1	1	<b>39</b> 39
	5.4	Challenges	37
		1 0,	36
		0 1 07	34
			33
		1 07	3 I
	5.3		3 I
			30
		5.2.2 Hardware Setup	30
		~	29
	5.2	System Configuration	29
	5.I	Evaluation Metrics	29
5	Eval	luation	29
	4.4	Differences between Apache Storm and Storm-MC	27
		1 3 3	26
			26
			25
	4.3	1	25
		4.2.5 Removing Serialisation	25
			25
		_ 1	24
		11	23
		4.2.1 Porting Nimbus	22

# List of Figures

2.I	Stream Querying	6
3.I	WordCount topology	Ι3
3.2	Apache Storm Architecture	Ι4
<b>4.</b> I	Tuple processing in Apache Storm	19
4.2	Thread state distribution over time	22
4.3	Comparison of a worker in Storm and Storm-MC	23
4.4	Tuple processing in Storm-MC	24
5.I	Tuples processed by CountBolt in Storm-MC and Apache Storm .	32
5.2	Number of threads used by Storm and Storm-MC	33
5.3	Number of threads used by Storm and Storm-MC	33
5.4	Global Email Throughput over time	35

## List of Tables

4.I	Breakdown of threads used by Storm to execute a 3-component	
	topology	21
4.2	Average number of recorded thread states over a three minute period.	21
4.3	Feature comparison of Apache Storm and Storm-MC	27
5.I	Storm-MC: Tuples processed per component in WordCount Topol-	
	ogy	32
5.2	Apache Storm: Tuples processed per component in WordCount	
	Topology	32
5.3	Storm-MC: Email Throughput in Enron Topology	34
5.4	Apache Storm: Email Throughput in Enron Topology	34
5.5	Storm-MC: Tuples processed per component in RollingSort Topol-	
	ogy	36
5.6	Apache Storm: Tuples processed per component in RollingSort Topol-	
	ogy	36
5.7	Storm-MC: Tuples processed per component in Twitter Topology.	37
5.8	Apache Storm: Tuples processed per component in Twitter Topology.	37

# List of listings

I	WordCountTopology.java	4
2	RandomSentenceSpout.java	-4
3	SplitSentence.java	.5
4	splitsentence.py	.5
	WordCount.java	

## Chapter 1

### Introduction

#### 1.1 Motivation

In recent years, there has been an explosion of cloud computing software. After Google published their paper on MapReduce [1], many new open-source frameworks for distributed computation have emerged, most notably Apache Hadoop [2] for batch processing and Apache Storm [3] for real-time data stream processing.

These frameworks split the work that needs to be carried out and distribute it across nodes of a cluster. Commercial companies and researchers have been able to utilise these frameworks and create distributed systems which can accomplish things that would not be otherwise possible. This has mostly been allowed by the low price and good horizontal scaling properties of commodity hardware.

At the same time, chip makers have been increasing the number of cores in processors and now we are at a point where servers with 10-core processors are standard. Moreover, most high-end servers support multiple processor sockets thus furthering the parallelisation possible with a single machine even more.

The price of multi-core servers has been going down as well. In 2008, a typical Hadoop node had two dual-core processors and 4 GB of random access memory (RAM). Nowadays, a server with two eight-core processors and 256 GB of RAM can be purchased for roughly \$10,000 USD [4]. Hence a single server today might have better processing power than a small cluster from a few years ago [4]. If this trend continues there will be processors with even more cores in the near future.

Moreover, tiled processors have emerged as competitors to traditional processors in throughput-based computations [5]. These processors use a large number of tiles connected by an on-chip network and even though the single-thread performance of each tile is lower than the performance of a conventional core, the increased parallelism yields higher per-server throughput [6].

It is generally believed that writing parallel software is hard. The traditional techniques of message passing and shared memory require the programmer to manage the concurrency at a fairly low level. Apache Storm has become the de facto tool

used in stream processing on a cluster and according to their "Powered By" page there are tens of companies already using Storm to process their real-time data streams [7]. We believe there is a place for a real-time data stream processing system on multi-core and Storm's popularity and simple application programming interface (API) makes it the ideal candidate for porting.

### 1.2 Main Idea

The main idea of this report is to take the existing Apache Storm project and port it to multi-core. This is implemented in Storm-MC - a library with an API compatible with Apache Storm. Porting the Storm API allows programmers to take an existing application written with Apache Storm in mind and run it on a multi-core server. This way, we can avoid network latency and enjoy the significant performance improvements of a shared-memory environment.

### 1.3 Project Contributions

The primary contribution presented in this report is a port of Apache Storm that is tailored to multi-core environments.

### 1.4 Structure of the Report

The remainder of the report is structured as follows:

- Chapter 2 presents an overview of related literature and gives background on data stream processing and multi-core architectures.
- Chapter 3 explains the concepts used in Apache Storm as well as the architecture of a Storm cluster.
- Chapter 4 describes how Apache Storm was ported to multi-core and explains the design of Storm-MC.
- Chapter 5 discusses the evaluation results of Storm-MC.
- **Chapter 6** presents conclusions of this report.

## Chapter 2

### Literature Review

The following chapter explains the concept of a data stream (2.1), discusses advantages and disadvantages of multi-core (2.2), gives an overview of previous work on Apache Storm (2.3), and discusses other effort of porting distributed systems to multi-core (2.4).

#### 2.1 Data Stream

Golab and Özsu [8] define data stream as as "a real-time, continuous, ordered (implicitly by arrival time or explicitly by timestamp) sequence of items. It is impossible to control the order in which items arrive, nor is it feasible to locally store a stream in its entirety."

### 2.1.1 Comparison to a Database Management System

Historically, data has been stored into database management systems (DBMS) where it was later analysed the assumption being that there would be enough disk space to contain the data. This approach fits many purposes but real-time applications have recently started feeling the need to analyse rapidly changing data on-the-fly.

This has brought on an advent of data stream processing. Several stream-processing frameworks have emerged such as Apache Storm [3], Apache Spark [9], and Yahoo S4 [10]. These frameworks, usually ran on a cluster, provide the user with abstractions which greatly simplify writing a real-time data stream processing application.

Whereas DBMSs excel at getting an exact answer to a query, data streams usually provide an approximate answer. The answer is approximate because it is usually correct only within a certain window of time, the query is simplified because it can only be ran in one pass, or because it is used with a sampling rate which does not include all events. A typical data stream analysis using windows and sampling is shown in Figure 2.1.

### 2.1.2 Querying a Data Stream

The assumption behind using a time window is that users of the real-time system are most likely interested in the most recent events. Sampling, on the other hand, is used to reduce the number of events used for a query [11].

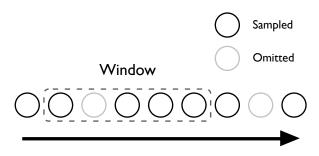


Figure 2.1: Stream Querying.

Even though the answer might only be approximate it can have great value because the query is answered at the right time. Furthermore, even though the query may run only on a subset of data it is still possible to detect trends or system failures. For example, Twitter are using Apache Storm to run real-time analysis on millions of events per second for their analytics product Answers [12].

In research, several techniques have been developed to enable real-time data stream mining. For example, the MOA environment created by Bifet, Holmes, Kirkby, *et al.* [13] enables running online machine learning methods using the WEKA machine learning workbench [14].

### 2.2 Multi-core

Akhter and Roberts [15] define multi-core processor as a processor that has two or more independent execution cores. This means that every thread has a hardware execution environment entirely to itself which enables threads to run in a truly parallel manner.

Running an application on multi-core can in the best case produce a speedup equivalent to the number of cores. The best case is when an application is embarrassingly parallel i.e. there is no inter-thread communication. Even though data stream processing is not an embarrassingly parallel problem, running a producer-consumer application on multiple cores can produce significant speedup as shown in [16].

### 2.2.1 Advantages Over Clusters

There are several reasons why someone might prefer to deploy their data stream application to a single multi-core machine over a cluster:

#### Communication Overhead

The latency of over-the-network communication is significantly higher than of two cores communicating on a single machine.

#### Lower Cost than a Data Centre

To run a distributed system on a cluster one would usually need to own a data centre. This comes with a high capital cost and increased maintenance costs than owning a single server.

#### More Control than with a Cloud Provider

Alternatively, one could rent out nodes on cloud computing services such as Amazon EC2 or Rackspace. While the cost of such services is acceptable the user does not have full control over their system.

### 2.2.2 Disadvantages Over Clusters

On the other hand, there are certain disadvantages in running a computation on a single multi-core machine rather than a cluster:

#### **Horizontal Scaling**

Commodity hardware clusters offer better horizontal scaling than a single multicore server. If one needs to add nodes to the cluster it is as easy as purchasing more commodity hardware. On a multi-core machine it is not that simple. For example, a top of the line Intel<sup>®</sup> Xeon<sup>®</sup> Processor E<sub>5</sub>-2699 has support for 36 threads. Beyond that one would need to add another socket which essentially doubles the price. Moreover, the server would need to support multiple processor sockets.

#### Higher Short-term Cost than with a Cloud Provider

In short-term the cost of purchasing a server may be significantly higher than renting out a cluster from a cloud computing service. Thus, it makes most sense to run an application on multi-core as a medium- to long-term investment.

#### More Maintenance than with a Cloud Provider

Owning a server requires more maintenance than simply renting it out from a cloud provider. A cloud provider usually does all the necessary maintenance and can provision a new machine very easily. Hence it is advantageous to use multi-core only if one can afford to maintain them as well.

### 2.3 Apache Storm

Apache Storm is an open source distributed real-time computation system. Storm was Originally created by Nathan Marz while working at BackType. [17] BackType was later acquired by Twitter which is when Storm became open source.

Storm was incubated into Apache with version 0.9.1 and became a top-level Apache project in September 2014.

Storm was developed to run on top of a cluster where nodes execute components of a computation in parallel. Running Storm on a cluster of commodity hardware gives it good horizontal scaling properties. Running separate components in parallel allows the system to execute in real time.

### 2.3.1 Dependencies

Storm has five major dependencies:

#### Apache Zookeeper

Apache Zookeeper [18] is an open source server that allows reliable distributed coordination. Storm uses Apache Zookeeper to maintain state which is then read and written to by nodes of a Storm cluster. More detail on how Storm uses Zookeeper is given in section 3.4.3.

#### Apache Thrift

Apache Thrift [19] is a cross-language framework for developing services. It allows you to write a definition file for services and data types required by your application and automatically generates interface code which supports remote procedure calls and serialisation of the data types.

#### Kryo

Kryo [20] is a serialisation library. It is used by Storm to serialise objects when sent over the network between nodes of a cluster.

#### Netty

Netty [21] is an asynchronous event-driven network application framework. Storm utilises Netty to send intra-cluster messages. Thus when a node produces a result to be consumed by another node of the cluster it sends a message over the network using the TCP protocol implemented in Netty.

#### **LMAX** Disruptor

LMAX Disruptor [22] is a high-performance data structure used to exchange data between concurrent threads. It uses a lock-free implementation of a ring buffer which components of a Storm program running on the same node a cluster use to exchange messages.

### 2.3.2 Usage of Apache Storm

Storm works particularly well with sister Apache projects such as Apache Kafka [23] and Apache HBase [24]. Apache Kafka is a messaging broker that is often used as the missing link between producers and consumers of a cluster. Apache HBase is a big data-store that allows real-time random reads and writes modelled after Google's Bigtable project [25].

Storm is reportedly used by 81 companies listed on their website [7] and many others. Storm's popularity is one of the reasons why it was chosen for this project. Furthermore, we believe that the concepts used in Storm (explained further in section 3.2) apply to many different situations and many applications can be easily adapter to work on top of Storm.

There has been significant research in optimising computations running on Apache Storm. For example, [26] looked at how to reconfigure a Storm job by reallocating component tasks to minimise communication cost. A domain specific language for defining Storm jobs was proposed in [27]. Finally, [28] ported Storm to Haskell and looked at how to provide exactly once semantics.

### 2.4 Similar Efforts

Currently data stream processing is a province of distributed systems such as the ones mentioned in the previous section. Most languages support parallel execution and there are many libraries that ease the process of writing parallel programs on a single multi-core machine. However, they are not tailored to data stream processing and usually require the programmer to do the heavy lifting rather than abstract it away.

There has been effort to port Hadoop to multi-core in [4] (Hone) as well as port of Google's MapReduce in [29] (Phoenix). However, to our knowledge there has not been effort to port Storm or any other distributed real-time data stream system to multi-core.

### 2.5 Summary

Distributed real-time computation systems such as Apache Storm provide programmers with abstractions that make it very easy to implement a data stream processing applications on top of a cluster. However, in case of single multi-core machines there are not any obvious software choices. While several frameworks that allow the programmer to parallelise the computation exist, they are not really tailored to data stream processing.

The following chapters provide a closer analysis of Apache Storm as well as a port of Apache Storm for a single multi-core machine.

## Chapter 3

## Background

In this chapter we give background information necessary to understand the design of Storm-MC. We give a quick overview of Apache Storm (3.1), explain the concepts used in Storm (3.2), show an example Storm program (3.3), give details about the underlying architecture of Storm (3.4), and finally describe the serialisation used by Storm (3.5).

### 3.1 Storm Overview

Apache Storm was developed in a mix of Java and Clojure. As mentioned by the author of Storm in [30], writing the Storm interfaces in Java ensured large potential user-base while writing the implementation in Clojure increased productivity.

To ensure API compatibility with Storm, Storm-MC was developed using the same set of languages. This allowed for code reuse and not having to re-implement functionality already present in Storm. Hence, in the following sections we describe Storm in greater detail in hope that this will later clarify design choices made for Storm-MC.

### 3.2 Storm Concepts

### 3.2.1 Core Concepts

There are several core concepts used by Storm and hence by extension Storm-MC as well. These concepts are put together to form a simple API that allows the programmer to break down a computation into separate components and define how these components interact with each other. The three core concepts of Storm are:

#### Spout

A spout is a component that represents the source of a data-stream. Typically, a spout reads from a message broker such as RabbitMQ [31] or Apache Kaf ka but can also generate its own stream or read from somewhere like the Twitter streaming API [32].

#### **Bolt**

A bolt is a component that transforms tuples from its input data stream and emits them to its output data stream. A bolt can perform a range of functions e.g. filter out tuples based on some criteria or perform a join of two different input streams.

#### Topology

The programmer connects spouts and bolts in a directed graph called topology which describes how the components interact with each other. The topology is then submitted to Storm for execution.

### 3.2.2 Additional Concepts

#### Stream

A stream is defined as an unbounded sequence of tuples. Streams can be thought of as edges of a topology connecting bolts and spouts (vertices).

#### Tuple

A tuple wraps named fields and their values. The values of the fields can be of different types. When a component emits a tuple to a stream it sends that tuple to every bolt subscribed to the stream.

#### **Stream Grouping**

Every bolt needs to have a type of stream grouping associated with it. This grouping decides the means of distributing the tuples coming from the bolt's input streams amongst the instances of the bolt task. Following are the possible types of stream grouping:

Shuffle Randomly partition the tuples among all the bolt tasks.

Fields Hash on a subset of the tuple fields.

All Replicate the entire stream to all the bolt tasks.

**Direct** The producer of the tuple decides which task of the bolt will receive this tuple.

Global Send the entire stream to a single bolt task.

Local or Shuffle Prefer sending to executors in the same worker process, if that is not possible use same strategy as Shuffle.

Users are also able to specify their own custom grouping by implementing the CustomStreamGrouping interface.

All the components of a Storm topology execute in parallel. The user can specify how much parallelism he wants associated with every component and Storm spawns the necessary number of threads. This is done through a configuration file, defined in YAML, which is submitted along with the topology.

There are two additional bolts running for every topology:

#### Acker

The Acker bolt guarantees fault tolerance for the topology. It tracks every tuple that was produced and ensures that the tuple has been acknowledged by every bolt of the stream.

### System Bolt

The System bolt is useful in two ways:

Metrics System bolt collects metrics on the local Java Virtual Machine (JVM). Other components can subscribe to these metrics and receive their values at regular intervals.

**Ticks** Components of a topology can subscribe to receive tick tuples in regular intervals. These tuples can be used to trigger some event of a component.

### 3.3 Example Topology

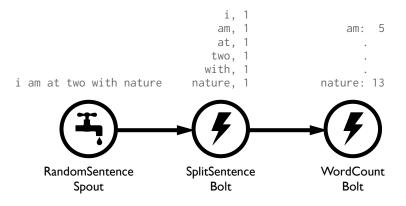


Figure 3.1: WordCount topology.

A classic example used to explain Storm topologies is the WordCount topology. In this topology, there is a spout generating random sentences, a bolt splitting the sentences on white space, and a bolt counting occurrences of every word. Figure 3.1 shows how we could represent this topology graphically.

This may seem as a simplistic example but it is useful when demonstrating how easy it is to implement a working topology using the Storm API.

Listing I shows how the topology is put together in Storm to form a graph of components. Storm uses the Builder design pattern [33] to build up the topology

which is then submitted to Storm for execution. The last argument to the set-Bolt/setSpout method is the number of parallel tasks we want Storm to execute for the respective component. For implementation of the spout and bolts used in this topology, refer to appendix A.

Listing 1: WordCountTopology.java

### 3.4 Storm Architecture

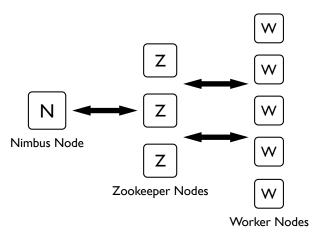


Figure 3.2: Apache Storm Architecture.

A Storm cluster adopts the Master-Worker pattern. To set up a Storm topology, the user launches daemon processes on nodes of the cluster and submits the topology to the master node, also called Nimbus. The worker nodes receive task assignments from the master and execute the tasks assigned to them. The coordination between the master node and the worker nodes is handled by nodes running Apache Zookeeper. Figure 3.2 shows a graphical representation of Storm Architecture.

### 3.4.1 Nimbus Node

The master node runs a server daemon called Nimbus. The main role of Nimbus is to receive topology submissions from clients. Upon receiving a topology submission, Nimbus takes the following steps:

#### Validate the topology

The topology is validated using a validator to ensure that the submitted topology is valid before trying to execute it. The user can use his own validator by implementing the ITopologyValidator interface or use the default validator provided by Storm.

#### Distribute the topology source code

Nimbus ensures that the workers involved in the topology computation have the source code by sending it to all nodes of the cluster.

#### Schedule the topology

Nimbus runs a scheduler that distributes the work among workers of the cluster. Similarly to validation, the user can use his own scheduler by implementing the IScheduler interface or use the default scheduler provided by Storm. The default scheduler uses a simple Round-robin strategy [34].

#### Activate the topology

Nimbus transitions the topology to active state which tells the worker nodes to start executing it.

### Monitor the topology

Nimbus continues to monitor the topology by reading heartbeats sent by the worker nodes to ensure that the topology is executing as expected and worker nodes have not failed.

Nimbus is an Apache Thrift [19] service (more on Thrift in section 3.5) that listens to commands submitted by clients and modifies the state of a cluster accordingly. Following are the commands supported by Nimbus:

### Submit a topology

Clients can submit a topology defined in a Java Archive (JAR) file. The Nimbus service then ensures that the topology configuration and resources are distributed across the cluster and starts executing the topology as previously described.

### Kill a topology

Nimbus can stop running a topology and remove it from the cluster. The cluster can still continue executing other topologies.

### Activate/deactivate a topology

Topologies can be deactivated and reactivated by Nimbus. This could be useful if the spout temporarily cannot produce a stream and the user does not want the cluster to execute idly.

### Rebalance a topology

Nimbus can rebalance a topology across more nodes. Thus if the number of nodes in the cluster ever changes the user can increase or decrease the number of nodes involved in the topology computation.

#### 3.4.2 Worker Nodes

The worker nodes run a daemon called Supervisor. There are 4 layers of abstraction which control the parallelism of a worker node.

#### Supervisor

A supervisor is a daemon process the user runs on a worker node to make it part of the cluster. It launches worker processes and assigns them a port they can receive messages on. Furthermore, it monitors the worker processes and restarts them if they fail. A worker node runs only one supervisor process.

#### Worker

A worker process is assigned a port and listens to tuple messages on a socket associated with the port. A worker launches executor threads as required by the topology. Whenever it receives a tuple, it puts it on a receive queue of the target executor.

Furthermore, the worker has a transfer queue where its executors enqueue tuples ready to be sent downstream. There can be multiple worker processes running inside one supervisor.

#### Executor

An executor controls the parallelism within a worker process. Every executor runs in a separate thread. An executor's job is to pick up tuples from its receive queue, perform the task of a component it represents, and put the transformed tuples on the transfer queue of the worker. There can be many executors running inside one worker and an executor performs one (the usual case) or more tasks.

#### Task

A task represents the actual tuple processing function. However, within an executor thread all the tasks are executed sequentially. The main reason for having tasks is that the number of tasks stays the same throughout the lifetime of a topology but the number of executors can change (by rebalancing). Thus if some worker nodes in the cluster go down, the topology can continue executing with the same number of tasks as before.

### 3.4.3 Zookeeper Nodes

The Storm cluster contains a number of Zookeeper nodes which coordinate the communication between Nimbus and the worker nodes. Storm does this by storing the state of the cluster on the Zookeper nodes where both Nimbus and worker nodes can access it.

3.5. Serialisation 17

The cluster state contains worker assignments, information about topologies, and heartbeats sent by the worker nodes to be read by Nimbus. Apart from the cluster state, Storm is completely stateless. Hence, if the master node or a worker node fail the cluster continues executing and the node will get restarted if possible. The only time the cluster stops executing completely is if all the Zookeper nodes die.

### 3.5 Serialisation

Since Storm topologies execute on a cluster all components need to be serialisable. This is achieved with Apache Thrift. Components are defined as Thrift objects and Thrift generates all the Java serialisation code automatically.

Furthermore, since Nimbus is a Thrift service Thrift generates all the code required for remote procedure call (RPC) support. This allows defining topologies in any of the languages supported by Thrift and easy cross-language communication with the Nimbus service.

## Chapter 4

## Bringing Storm to Multi-core

The following chapter explains the design of Storm-MC. We describe how Apache Storm behaves on multi-core machines (4.1), how the Storm architecture was ported over to multi-core (4.2), and we list feature differences between Apache Storm and Storm-MC (4.4).

### 4.1 Apache Storm on Multi-core

To begin, we discuss why Apache Storm does not perform optimally on a single multi-core machine. Storm can be ran in local mode where it emulates execution on a cluster. This mode exists so that it is possible to debug and develop topologies without needing access to a cluster. However, there are several reasons why the local mode is not as performant as it could be.

### 4.1.1 Tuple Processing Overhead

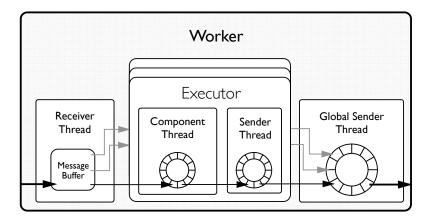


Figure 4.1: Tuple processing in Apache Storm.

Figure 4.1 shows how tuple processing is implemented inside a Storm worker process. The tuple is read from a message buffer by the receiver thread of the worker and put on a receive queue of the target executor. The tuple is then picked up by the component thread of the executor for task execution.

After the component thread has executed the task it puts the tuple on the executor send queue. There, it is picked up by the executor sender thread which puts the tuple on the global send queue of the worker. Finally, the global sender thread of the worker serialises the tuple and sends it downstream.

Alternatively, if the tuple is forwarded to an executor in the same worker process it is put on the receive queue of the corresponding executor directly after task execution.

The queues used in Storm are implemented as ring buffers using the LMAX Disruptor library [22]. Detailed background on how Disruptor works and its performance benchmarks can be found in [35].

There is significant overhead required to simulate sending tuples to executors in other worker nodes. For one, there is the overhead from the tuple passing through the three queues of a worker. The authors of LMAX Disruptor showed that a three step pipeline has half the throughput of a single consumer-producer pipeline [36].

Furthermore, to emulate over-the-network messages Storm uses a Hashmap of Linked-BlockingQueues which according to [35] has several orders of magnitude lower performance than the Disruptor. Due to less write contention, lower concurrency overhead, and being more cache-friendly the Disruptor pattern can offer latency of inter-thread messages lower than 50 nanoseconds and a throughput of over 25 million messages per second.

### 4.1.2 Thread Overhead

#### Acker Bolt

The Acker is included in every topology. The Acker bolt can be disabled via the configuration file. In such a case it is mostly idle since it does not receive any messages but it can still use up resources especially if it waits for messages using a busy waiting strategy.

#### Heartbeats & Timers

Every worker has a heartbeat thread that simulates sending heartbeat messages to the Nimbus node. It does this by writing to a local cache which is persisted to a file by a write on every heartbeat. Since the write is implemented using the java. io package the write is blocking - the thread cannot continue until the write is completed. While heartbeats are essential in cluster mode to signal the node being alive, there is no need for them in local mode.

#### Zookeeper Emulation

More overhead is produced by a local Zookeper server which emulates the Zookeper nodes of a cluster. Running the Zookeper server is a massive

addition to the list of overheads as shown in the following paragraphs. The purpose of Zookeeper is to maintain states of running topologies and nodes of the cluster. As we will show in the following sections maintaining this state on multi-core is not necessary.

During profiling we found that a topology with one worker and three executors was being executed with 55 threads (not including system JVM threads and threads created by the profiler). Table 4.1 shows a breakdown of what the individual threads were used for.

Spout Parallelism	# of Threads
Main Thread	I
Worker Sender & Receiver Threads	2
Acker & System Component Threads	2
Executor Component Threads	3
Executor Sender Threads	5
Various Timers & Event Loops	14
Zookeper Server	28

Table 4.1: Breakdown of threads used by Storm to execute a 3-component topology.

To find out what state the threads were actually in at any given time the topology was executed for three minutes and a JVM thread dump was recorded every second. The average results of this experiment can be observed in table 4.2 and the state distribution over time can be seen in Figure 4.2.

Spout Parallelism	# of Threads
RUNNABLE	8
TIMED WAITING	22
WAITING	25

Table 4.2: Average number of recorded thread states over a three minute period.

Even though three minutes may seem to be a very short amount of time the fact that there is almost no variation shows that it is sufficient. As can be seen from the table, most of the threads were either in state WAITING or TIMED WAITING. According to the Java documentation on thread states [37] these two states are used for threads that are waiting for an action from a different thread and cannot be scheduled by the scheduler until that action is executed.

On average there were eight threads in state RUNNABLE which JVM uses to mark threads which are executing on the JVM and are possibly waiting for resources from the operating system (OS) such as processor [37]. Hence, these are threads directly competing to be scheduled by the OS. This means that for three components running in parallel there are five threads doing potentially unnecessary work.

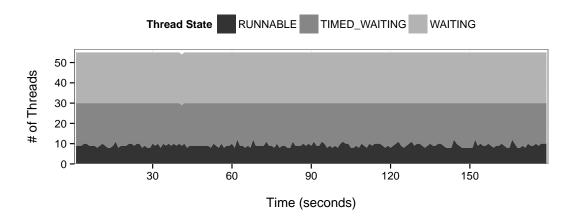


Figure 4.2: Thread state distribution over time.

In the subsequent sections we will show that these threads were in fact unnecessary and we will discuss how the number of threads was reduced. In fact, to execute the same topology on Storm-MC requires only 5 threads.

### 4.2 Storm-MC Design

The design we adopted for porting worker nodes is to only have one worker executing all the executors of a topology. This design simplified the communication model and removed unnecessary abstractions.

Additionally, the code for the Nimbus service was merged with the worker. This was done because there is no need to run Nimbus and worker specific code in parallel. Once Nimbus sets up the topology, all the work is done by the worker. Hence they can be executed serially.

### 4.2.1 Porting Nimbus

The role of Nimbus in Storm-MC has effectively been reduced to validating the topology and its configuration and passing the topology along to the worker source code which handles topology execution.

Unlike Storm executing on a cluster, Storm-MC does not support running multiple topologies at the same time. However, to do that one only needs to run the topology in a separate process. This is because unlike when executing on the cluster different topologies do not need to share any state and it is more natural to execute them as separate processes.

This has the added benefit of each process having its own part of main memory thus reducing cache conflicts as shown in [38] and providing higher security by

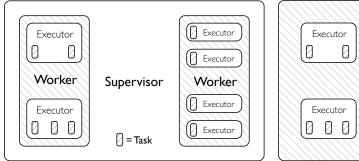
not having different topologies share memory space. Additionally, if a single thread of one topology is blocking it does not block other topologies.

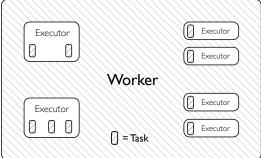
### 4.2.2 Porting Worker Nodes

In Apache Storm, a worker node runs the supervisor daemon, which in turns launches worker processes which run executors which execute tasks. In Storm-MC, however, there is only one worker process which runs all the executors and their tasks. This design has several benefits:

- All the inter-thread communication occurs within one worker process.
- The supervisor daemon can be removed as there is no need to synchronise or monitor workers.
- There is no need to simulate over-the-network message passing.
- Message passing between executor threads within a worker stays the same as in Apache Storm.

A comparison of an Apache Storm worker node and its Storm-MC equivalent is shown in Figure 4.3.





- (a) Worker node in Apache Storm.
- (b) Worker node equivalent in Storm-MC.

Figure 4.3: Comparison of a worker in Storm and Storm-MC

The role of the worker is to launch executors and provide them with a shared context through which they can communicate. This is done with a map of executor identifiers to Disruptor queues which the executors use to pass tuples between each other.

Moreover, the worker contains a map of components and streams. This map specifies which bolts subscribe to a stream component produces. Executors use this map to figure out who they should send tuples to.

Additionally, a worker has a timer which components can use to get tick tuples at regular intervals. As mentioned before, bolts can use tick tuples to trigger events at regular intervals. For example, one might want to sort a window of tuples based on some criteria every five minutes.

Finally, a worker contains a configurable-size thread pool ExecutorService. Storm-MC executors can use this service via the topology context to launch background tasks on a shared thread pool.

### 4.2.3 Tuple Processing

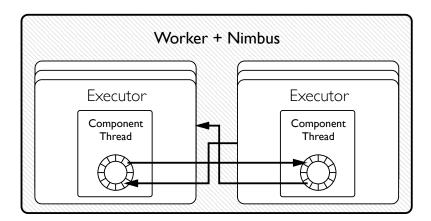


Figure 4.4: Tuple processing in Storm-MC.

The implementation of tuple processing in Storm-MC is depicted in Figure 4.4. As can be seen from the figure, the queues used for remote message sending in Storm were stripped away and there is only one Disruptor queue for every executor. Once an executor is done processing a tuple it simply puts it on the receive queue of its downstream bolts.

In Apache Storm, every executor runs two threads, one for tuple processing and one for tuple sending. In Storm-MC, however, there is only one thread per executor. After the executor processes a tuple it puts it directly on the receive queue of executors downstream.

Thus tuple processing in Storm-MC is a variant of multiple producer single consumer problem. In general, multiple producer single consumer problems are hard to optimise since there needs to be some form of synchronisation between multiple producers trying to produce an entry at the same time. In Disruptor queues this is implemented using the atomic Compare-and-Swap operation. This operation ensures that even if multiple threads attempt to modify a variable only one of them succeeds and all threads involved are able to tell whether it was them that succeeded. Hence, one thread will succeed at claiming the next entry of a Disruptor queue and others will have to retry. There are other primitives that can be used to synchronise access but Compare-and-Swap is considered to be one of the most optimal ones.

### Find quote for above.

We investigated other data structures besides Disruptor queues that could be used for tuple exchange in Storm-MC but Disruptor queues are considered state of the art in low-latency parallel systems. This is one area that Apache Storm did really well and we were not able to further optimise.

Other options we considered were ArrayBlockingQueue and LinkedBlockingQueue both of which are in the Java standard library. However, the Disruptor shows superior throughput and latency compared to these options as shown in [36].

### 4.2.4 Removing State

Storm-MC is completely stateless. The cluster state that was managed by Zookeeper in Apache Storm was completely stripped away. Workers used the Zookeeper cluster state to communicate with Nimbus and vice versa. For example, when Nimbus created topology assignments it would inform workers via the cluster state. In Storm-MC, we adopted a more functional approach where worker is just a function invoked by the Nimbus part of the source code.

While this is not something that is visible to a user of Storm-MC, it required a great effort as all the code that used Zookeeper had to be refactored.

### 4.2.5 Removing Serialisation

Similarly to removing the Zookeeper state, great amount of work was put into removing the dependency of Storm-MC on Apache Thrift. This was mostly done to reduce code bloat and remove an unnecessary dependency since there is no serialisation required in a multi-core environment. Moreover, code generated by Thrift does not use standard Java camelCase naming conventions but instead uses underscore\_case. For example, Thrift generates method names such as get\_component\_common.

This required refactoring all the data types generated automatically by Thrift. This also significantly reduced the size of the codebase and made the code more readable and self-documenting than the code generated by Thrift.

### 4.3 Implementation Details

### 4.3.1 Topology Submission

Topologies are built using an instance of the TopologyBuilder class which uses the builder pattern - same as in Apache Storm. While the basis of this class was reused from Storm, the internals had to be refactored so they work with data types used by Storm-MC. Once a topology is build it is submitted to an instance of LocalCluster class. This class is used in Storm for emulating the cluster on a local machine. Storm-MC adapted the class for backwards compatibility. This way, code created

for Storm needs minimal adaptation to work on Storm-MC. A topology is submitted for execution via the submitTopology method which takes three arguments: the name of the topology, a Java Map with configuration, and a topology built by TopologyBuilder.

Users can submit a configuration file written in YAML. This is done by setting a JVM property called storm.conf.file. This file can for example define how many entries a Disruptor ring buffer should contain, what waiting strategy should the components employ when there are no tuples to pick up, and what hooks they want executed every time a tuple is processed. Furthermore, users can define their own topology validator by implementing the ITopologyValidator interface.

### 4.3.2 Executor Receive Queues

Worker stores a map of executor ids to receive queues. These are then used by executors to pass tuples between each other. As discussed in the previous chapter, the queues are implemented as Disruptor ring buffers. The way this works is as follows:

The target executor waits at for the next entry of the ring buffer to become available. There are four different waiting strategies an executor can employ:

### **BlockingWaitStrategy**

This strategy uses a lock and a condition variable.

#### Yielding Wait Strategy

This strategy initially spins for hundred iterations and then uses Thread.yield().

### Sleeping Wait Strategy

This strategy initially spins for hundred iterations, then uses Thread.yield(), and finally uses LockSupport.parkNanos(1L) to sleep.

#### BusySpinWaitStrategy

In this strategy the thread is in a so-called tight loop, where it checks whether a new entry is available, breaks out if it is. Otherwise it continues looping.

### 4.3.3 Executor

An executor is implemented as a single thread of execution. This thread consumes a batch of tuples from the Disruptor queue when it becomes available, performs the tasks of a component it represents, and finally places the newly produced tuples on the Disruptor queue of other executors.

In Apache Storm, an executor runs two threads in parallel. One that picks up new tuples from the receive queue

Describe how executor is implemented - Thread vs Executor

# 4.4 Differences between Apache Storm and Storm-MC

The codebase of Apache Storm is fairly large - 54,985 lines of code as reported by cloc [39]. Thus we had to prioritise features that were ported over to Storm-MC. Table 4.3 presents a list of Storm features and shows which were ported over to Storm-MC and which were not.

Feature	Apache Storm	Storm-MC
Multi-language Topologies	✓	✓
Hooks	✓	✓
Metrics	✓	✓
Tick Tuples	✓	✓
Multiple Topologies	✓	×
Trident API	✓	×
System Bolt	✓	×
Built-in Metrics	✓	×
Nimbus as a Server	✓	X

Table 4.3: Feature comparison of Apache Storm and Storm-MC.

Storm-MC does not support topology scheduling. Since within one process there is always only one topology running at a time and the hardware configuration of the machine does not change, the parallelism is clearly defined by the number of executors per component specified in the topology configuration.

One way to implement scheduling could be to pin threads to specific cores. Unfortunately, Java does not provide support for CPU affinity, the assignments are handled automatically by the JVM. Potentially, this could be achieved by using C or C++, both of which support CPU affinity, but this was not implemented in Storm-MC.

The role of Nimbus in Storm-MC has effectively been reduced to validating the topology and passing it along to the worker source code which handles topology execution.

## Chapter 5

## Evaluation

In this chapter we evaluate Storm-MC. We describe the metrics used to evaluate performance of Storm-MC (5.1), list the configuration used for benchmarking (5.2), compare Storm-MC to Apache Storm executing in local mode on a set of different topologies (5.3), and finally talk about challenges encountered while designing Storm-MC (5.4).

#### 5.1 Evaluation Metrics

The system was evaluated on the following metrics:

#### Throughput

The number of tuples processed by every component in the given time of the topology is recorded.

#### CPU Utilisation

Usage of CPU is recorded every x seconds throughout execution and the average is computed.

#### Memory Usage

Main memory usage is recorded every x seconds throughout execution and the average is computed.

## 5.2 System Configuration

#### 5.2.1 Software Setup

All performance benchmarks were ran using the following software packages:

- Apache Storm version 0.9.2
- Storm-MC version 0.1.6

- A fork of IBM Storm Email Benchmarks version 0.1.10
- Storm-benchmark version 0.1.0

The Apache Storm source code had to be adapted to include a workaround for a deadlock bug present in version 0.9.2. This bug caused a topology to exit with threads left in Zombie state under certain conditions. This prevented Storm from logging the benchmark metrics after execution. Hence a workaround was added so the results were logged.

Version 0.1.6 is the latest version of Storm-MC as of this moment. The first release was version 0.1.0 which was production-ready but since then there were 6 minor versions fixing bugs as they were discovered during testing.

IBM open sourced a suite of benchmarks which they used to compare Apache Storm to their real-time stream system IBM Infosphere Streams [40]. These benchmarks were adapted and used to benchmark Storm-MC against Apache Storm.

Finally, a number of spout and bolt components were used from the storm-benchmark project which Apache Storm developers use to benchmark Storm.

Since Storm-MC reuses package names from Apache Storm, the same benchmark is directly executable by both libraries. This saved a lot of time and furthermore there is no need to maintain two benchmarks suites.

Go into more detail which components were re-used and where?

#### 5.2.2 Hardware Setup

The machine used for benchmarking is the Informatics Student Compute server (student.compute.inf.ed.ac.uk). The server has the following hardware components:

#### Processor: Intel<sup>®</sup> Xeon<sup>®</sup> E5-2690 v2 @ 3.00 GHz

The machine has two sockets with the same processor each. The processor has 10 physical cores with Hyper-Threading Technology which means it can handle up to 20 threads in parallel. Thus with two sockets, there is potential to execute up to 40 threads in parallel.

#### **Main Memory**

The machine has 378 GB of main memory. Since data stream processing uses windows to store only up to a certain amount of memory this was more than enough to conduct the benchmarks.

#### 5.2.3 Storm Configuration

As mentioned before, when submitting a topology the programmer needs to submit a configuration file as well. To ensure that the performance difference between

5.3. Results

Apache Storm and Storm-MC was not caused by different configuration, the default configuration file from Storm 0.9.2 was used to benchmark both projects.

#### 5.3 Results

To assess performance of Storm-MC, 4 different benchmarks were executed, each with a different focus. The benchmarks were executed for a constant period of time (five minutes) after which the system was killed and metrics were collected. To avoid any performance differences caused by varying amounts of heap memory required by the tested systems, the programs were run with the following flag: - Xmx10240M. This flag sets the maximum amount of heap memory used by the JVM to 10 GB which was more than enough for all benchmarks.

The parallelism of components was varied from one to six and average CPU utilisation and resident memory size were recorded by the Unix top program [41]. Maximum CPU utilisation with 40 threads is 4,000%. Resident memory size is the amount of non-swapped physical memory a task has used. This metric can be deceiving as it depends on how OS manages memory but it is the only fairly reliable memory metric reported by top that can be used for Java programs.

#### 5.3.1 WordCount Topology

The first topology tested for performance is a variant of the aforementioned Word-Count topology. This topology has a spout FileReadSpout generating random sentences, which sends messages to a SplitSentenceBolt bolt which splits the sentences on whitespace and sends individual words to a CountBolt which counts word frequencies. Recall, that this topology is shown graphically in Figure 3.1. Since the components do not store any data in memory or make any I/O calls this topology is mostly CPU-bound.

The number of tuples processed by each component in Storm-MC and Apache Storm is shown in tables 5.1 and 5.2, respectively. As can be seen from the tables, not only was CPU utilisation in Storm-MC lower, Storm-MC often processed more than twice as many tuples per component than Apache Storm. The number of tuples processed by CountBolt, the last component of the topology, is also show in Figure 5.1. Since this topology is serial, the number of tuples processed by CountBolt is a good indicator of total throughput.

## Loading required package: methods

Furthermore, it can be seen that after the parallelism is increased beyond three the throughput of Apache Storm tails off and starts going down. This can be attributed to the number of threads ran by Apache Storm. For Storm-MC this tailing off occurs with parallelism of six where the overhead of multiple producers possibly trying to publish to the same queue becomes apparent. Moreover, with parallelism set

Parallelism	FileReadSpout	SplitSentenceBolt	CountBolt	CPU Utilisation	Resident Size
1	25,767,502	25,767,502	225,815,174	217.9%	690.8M
2	34,403,678	34,403,127	301,493,247	414.6%	759.IM
3	45,731,188	45,732,988	400,767,999	611.5%	798.4M
4	52,285,327	52,283,540	458,187,555	805.5%	804.IM
5	55,326,941	55,325,167	484,844,652	998.7%	806.0M
6	56,747,319	56,744,629	497,285,149	1,195.3%	824.8M
10	40,341,798	40,336,962	353,490,567	1,967.4%	2.7G

Table 5.1: Storm-MC: Tuples processed per component in WordCount Topology.

Parallelism	FileReadSpout	SplitSentenceBolt	CountBolt	CPU Utilisation	Resident Size
1	12,583,377	12,579,132	110,233,966	294.5%	2.2G
2	16,800,475	16,796,695	147,194,709	481.7%	2.8G
3	22,120,695	22,107,696	193,735,106	687.1%	2.6G
4	20,720,637	20,711,756	181,500,586	895.3%	2.6G
5	17,177,688	17,164,209	150,412,037	1,129.3%	2.5G
6	17,402,418	17,388,691	152,374,303	1,342.1%	2.3G
10	12,229,523	12,211,100	107,002,632	2,136.7%	2.8G

Table 5.2: Apache Storm: Tuples processed per component in WordCount Topology.

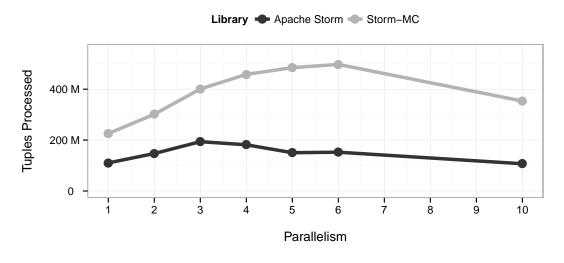


Figure 5.1: Tuples processed by CountBolt in Storm-MC and Apache Storm

to 6, Storm-MC executes with 20 threads which is close to the number of physical cores of the machine. However, it should be noted that even with parallelism equal to 10, Storm-MC still processes more than three times as many tuples as Storm.

5.3. Results

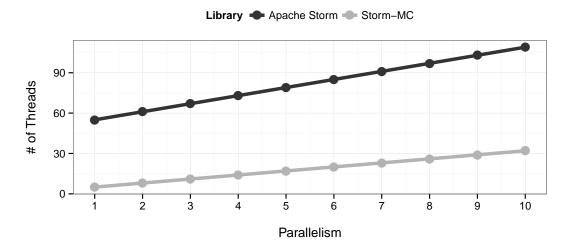


Figure 5.2: Number of threads used by Storm and Storm-MC

The number of threads required to execute a topology is a linear function of the parallelism for both Storm and Storm-MC. However, as shown in Figure 5.2, the number of threads required by Storm increases more rapidly than Storm-MC. For example, with parallelism set to 10, Storm creates 109 threads whereas Storm-MC creates only 32. More formally, the number of threads required by both systems can be expressed as:

$$49 + 2 \times \sum_{c}^{components} parallelism(c)$$
 for Apache Storm.  $2 + \sum_{c}^{components} parallelism(c)$  for Storm-MC.

Figure 5.3: Number of threads used by Storm and Storm-MC.

**N.B.**: This is a general formula that applies to all topologies, not just WordCount. Of note, the resident size used by Storm-MC is also less than half of the resident size used by Storm for cases with parallelism less than 10.

#### 5.3.2 Enron Topology

Next, Enron topology from the IBM benchmarks was tested for performance. In this topology, serialised emails from the Enron email dataset are read from a file by a ReadEmailsDecompressSpout spout. They are then deserialised by AvroDeserializeBolt bolt, filtered by NewFilterBolt bolt, modified by ModifyBolt bolt, and finally metrics are recorded by a NewMetricsBolt bolt. Additionally, the NewMetricsBolt bolt sends its local average email throughput to a global (excluded from

the parallelism setting) GlobalMetricsBolt bolt every four seconds. This bolt then records the global average email throughput.

Similarly to the WordCount topology, this topology is serial in nature. However, whereas the spout in WordCount topology keeps a small number of sentences in memory, the Enron topology has a spout that produces tuples by reading from a file. Thus, this benchmark is mostly I/O-bound. The average email throughput in Storm-MC and Apache Storm is shown in tables 5.3 and 5.4, respectively.

Parallelism	<b>Emails Processed</b>	CPU Utilisation	Resident Size
1	3,285,742	297.7%	806.8M
2	6,696,612	482.1%	756.1M
3	8,493,772	729.5%	341.4M
4	11,102,969	1036.9%	326.0M
5	12,630,475	1311.0%	260.8M
6	14,082,501	1590.3%	334.0M

Table 5.3: Storm-MC: Email Throughput in Enron Topology.

Parallelism	<b>Emails Processed</b>	<b>CPU</b> Utilisation	Resident Size
1	2,943,709	406.6%	1.94G
2	4,832,874	945.1%	2.93G
3	5,623,028	1,427.4%	3.32G
4	6,238,395	1,891.2%	3.56G
5	6,105,155	2167.4%	3.65G
6	7,242,298	2388.6%	4.09G

Table 5.4: Apache Storm: Email Throughput in Enron Topology.

As can be seen from the tables, the difference in throughput in Enron Topology is less staggering than in WordCount. This is due to the fact that the throughput is limited by the file reads of the spout. However, as the parallelism increases the improvement in throughput of Storm-MC becomes more apparent as shown in Figure 5.4. This figure also shows that the throughput is fairly volatile. This is due to the fact that the file is loaded into main memory in chunks and hence the throughput drops when the spout is trying to read from a file in between the loads. As before, the resident size used by Storm-MC is significantly lower than that of Storm.

#### 5.3.3 RollingSort Topology

The RollingSort topology was ported over from the aforementioned storm-benchmark project. This topology only includes one spout sending tuples to one bolt. The Ran-

5.3. Results

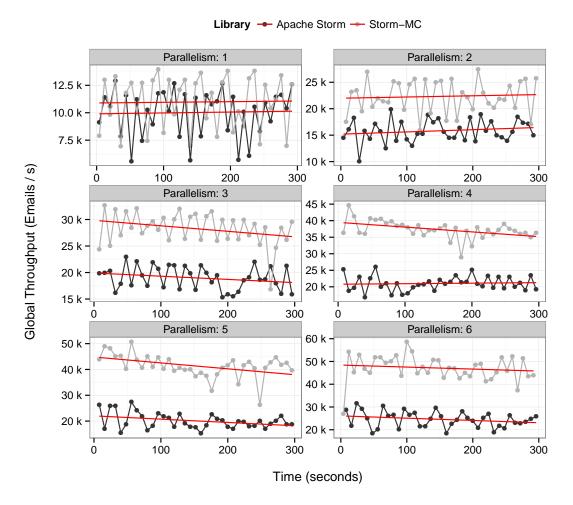


Figure 5.4: Global Email Throughput over time

domMessageSpout spout produces hundred character-long strings of random digits from zero to eight. The SortBolt bolt then stores a rolling window of hundred of such messages and sorts them every 10 seconds. This benchmark is considered to be memory-bound: the bolt stores a window of tuples in memory and performs a non-linear time sort. The results of running this benchmark on Storm-MC and Apache Storm can be seen in tables 5.5 and 5.6, respectively.

While Storm-MC still outperforms Apache Storm, the difference in performance is marginal. This is due to the fact that the topology only has two components and the application is mostly memory bound. Storm-MC provides speed improvements for topologies that are mostly CPU-bound and have several components working serially such as WordCount. Furthermore, Storm-MC beats Storm significantly when the parallelism is high, as in previous topologies.

Parallelism	Random Message Spout	SortBolt	CPU Utilisation	Memory Usage
1	249,143,444	249,142,400	186.2%	504.3M
2	444,261,351	444,259,400	352.0%	401.7M
3	350,861,061	350,859,800	514.7%	382.9M
4	412,429,850	412,428,600	675.2%	314.2M
5	470,813,184	470,811,300	835.8%	423.2M
6	498,957,255	498,954,600	989.6%	235.1M
7	519,744,352	519,741,700	1,149.0%	637.9M
8	532,285,376	532,283,800	1,302.9%	618.1M
9	501,519,539	501,517,700	1,430.4%	579.4M
10	555,468,830	555,467,000	1,651.6%	564.7M

Table 5.5: Storm-MC: Tuples processed per component in RollingSort Topology.

Parallelism	Random Message Spout	SortBolt	CPU Utilisation	Memory Usage
1	173,906,935	173,900,300	267.3%	3.0G
2	226,583,924	226,579,200	468.3%	3.0G
3	310,949,455	310,943,000	634.6%	2.9G
4	362,675,336	362,663,600	815.2%	2.8G
5	409,470,032	409,462,100	969.4%	2.7G
6	435,471,042	435,459,600	1,139.6%	2.6G
7	395,386,336	395,309,900	1,327.7%	2.6G
8	366,680,402	366,553,300	1,509.1%	2.7G
9	359,091,633	358,942,200	1,689.5%	2.7G
10	313,912,451	313,811,300	1,889.5%	2.7G

Table 5.6: Apache Storm: Tuples processed per component in RollingSort Topology.

#### 5.3.4 Twitter Topology

The last benchmark that was executed is Twitter topology. It has a TwitterSpout spout generating a group of Twitter hashtags each with a different probability. The hashtags are sent to a WordCountBolt bolt which counts hashtag frequency, similarly to WordCount topology. The hashtag is then sent along with the current frequency to the RankObjects bolt which keeps the ten most popular hashtags in memory. This bolt sends the ten most popular hashtags to a global MergeObjects bolt at a regular interval (two seconds) which merges results from all RankObjects bolts. The results of running this benchmark on Storm-MC and Apache Storm can be seen in tables 5.7 and 5.8, respectively.

Parallelism	TwitterSpout	WordCountBolt	RankObjects	CPU Utilisation	Memory Usage
1	51,698,434	51,697,410	51,696,914	%	
2	38,115,722	38,114,090	38,113,874	%	
3				%	
4				%	
5				%	
6				%	

Table 5.7: Storm-MC: Tuples processed per component in Twitter Topology.

Parallelism	TwitterSpout	Word Count Bolt	RankObjects	CPU Utilisation	Memory Usage
1	37,705,615	37,705,435	37,705,523	%	
2	30,978,183	30,978,180	30,978,221	%	
3	14,468,426	14,468,425	14,468,478	%	
4	12,742,123	12,742,159	12,742,219	%	
5	12,318,329	12,318,373	12,318,431	%	
6	11,843,872	11,843,898	11,843,983	%	

Table 5.8: Apache Storm: Tuples processed per component in Twitter Topology.

### 5.4 Challenges

In this section we are going to discuss challenges we encountered while porting Apache Storm to multi-core machines.

#### Unfamiliarity with Clojure

One of the main challenges while working on this project was learning a new programming language - Clojure. Since most of the implementation of Apache Storm is written in Clojure, this language had to be studied and its concepts well understood for us to be able to write code that worked with the existing codebase. By the end of the project writing Clojure became as simple as writing Java.

#### Lack of Documentation

Even though Apache Storm is a popular project documentation is available only for the high level concepts used within Storm. The implementation details are often obscured away in hard to understand functions. Since the documentation is lacking our knowledge of Storm had to be obtained by reading the source code of an initially unfamiliar language.

# Chapter 6

## Conclusion

### 6.1 Future Work

Add Trident.

Make Storm-MC a server.

# Appendices

# Appendix A

## Listings

Listing 2 shows the definition of a spout that emits a randomly chosen sentence from a predefined collection of sentences.

Listings 3 and 4 show how a bolt defined in Python can be part of this Java-defined topology.

Finally, listing 5 shows how a bolt that counts the number of word occurrences can be implemented.

```
public class RandomSentenceSpout extends BaseRichSpout {
    SpoutOutputCollector _collector;
    Random _rand;
    public void open(Map conf, TopologyContext context,
            SpoutOutputCollector collector) {
        _collector = collector;
        _rand = new Random();
    }
    public void nextTuple() {
        Utils.sleep(100);
        String[] sentences = new String[]{
            "the cow jumped over the moon",
            "an apple a day keeps the doctor away",
            "four score and seven years ago",
            "snow white and the seven dwarfs",
            "i am at two with nature" };
        String sentence = sentences[_rand.nextInt(sentences.length)];
        _collector.emit(new Values(sentence));
    }
    public void ack(Object id) {}
    public void fail(Object id) {}
    public void declareOutputFields(OutputFieldsDeclarer declarer) {
        declarer.declare(new Fields("word"));
    }
}
```

Listing 2: RandomSentenceSpout.java

```
public static class SplitSentence extends ShellBolt implements IRichBolt {
    public SplitSentence() {
        super("python", "splitsentence.py");
    }
    public void declareOutputFields(OutputFieldsDeclarer declarer) {
        declarer.declare(new Fields("word"));
    }
   public Map<String, Object> getComponentConfiguration() {
        return null;
    }
}
                       Listing 3: SplitSentence.java
import storm
class SplitSentenceBolt(storm.BasicBolt):
    def process(self, tup):
        words = tup.values[0].split(" ")
```

Listing 4: splitsentence.py

for word in words:

SplitSentenceBolt().run()

storm.emit([word])

```
public static class WordCount extends BaseBasicBolt {
    Map<String, Integer> counts = new HashMap<String, Integer>();

public void execute(Tuple tuple, BasicOutputCollector collector) {
    String word = tuple.getString(0);
    Integer count = counts.get(word);
    if (count == null)
        count = 0;
    count++;
    counts.put(word, count);
    collector.emit(new Values(word, count));
}

public void declareOutputFields(OutputFieldsDeclarer declarer) {
    declarer.declare(new Fields("word", "count"));
}
```

Listing 5: WordCount.java

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