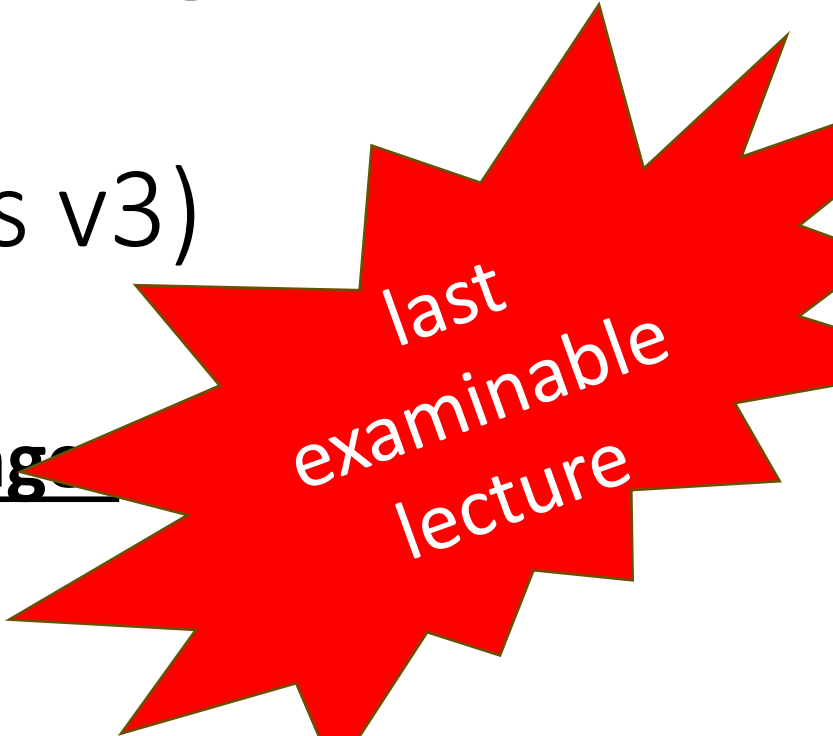


# Functional Programming (H)

## Lecture 17: Lambda Calculus & Equational Reasoning

Thu 28 Nov 2024 (slides v3)

Simon Fowler and Jeremy Singer

A red starburst graphic with multiple points, containing white text.

last  
examinable  
lecture

# News Update

- Next week - non-examinable (but very exciting) lectures
- Coursework deadline - due Friday 6 December
- Lab tomorrow - focus on Solitaire coursework
- Today - (FP)<sup>2</sup> - Functional Programming Fashion Parade - hats and jumpers
- Evasys online questionnaires (?)

# Today's learning objectives

- appreciate the differences between **C-like** and **Haskell-like** approaches to program evaluation
- recognize the *benefits* of **referential transparency**
- construct and calculate simple expressions in the **untyped lambda calculus**
- understand the motivation for **static analysis & reasoning** about programs in Haskell
- perform simple **structural induction** for functions that operate on lists

# Referential Transparency

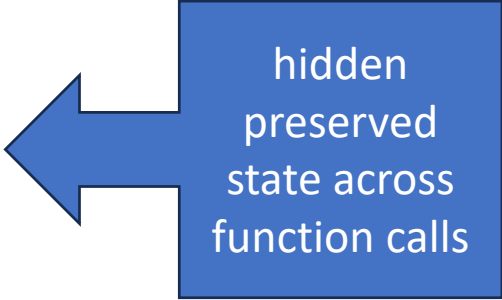
# What is referential transparency?

- An expression is said to be *referentially transparent* if that expression can be replaced with its corresponding value without modifying the program behaviour.
- i.e. expression *value* is always independent of expression *context*
- cf. pure functions in Python / Java / ... / Haskell!
- expressions always evaluate the same way

# non-referential transparency

- e.g. side-effecting code in C ...

```
int f(int x) {  
    static int secret = 0;  
    secret++;  
    return x+secret;  
}
```



hidden  
preserved  
state across  
function calls

```
printf("%d\n", f(1) + f(1));  
// compare with ...  
int tmp = f(1);  
printf("%d\n", tmp + tmp);
```

**prints 5**

**prints 4**

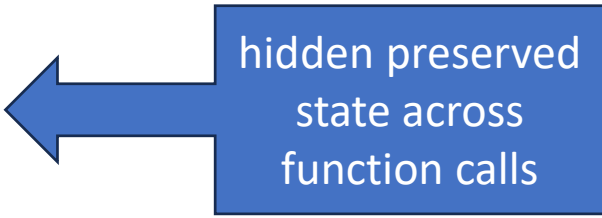
# Haskell example of Referentially Transparent Code

- `let f x = x+1`
- `let tmp = (f 1) in print $ tmp + tmp`
- `cf`
- `print $ (f 1) + (f 1)`
- these evaluate in precisely the same way!
- If we wanted to preserve state across calls to `f`, we would need to use the State monad.

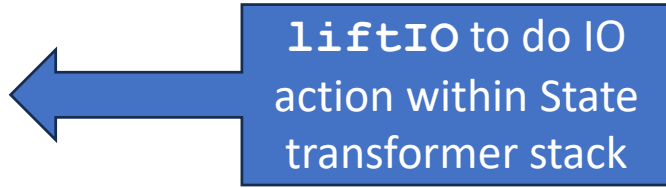
# Using State in Haskell

```
import Control.Monad.State
import Control.Monad.IO.Class (liftIO)
```

```
f :: Int -> StateT Int IO ()
f x = do
    secret <- get
    let result = x+secret+1
    liftIO $ putStrLn (show result)
    put (secret+1)
```



hidden preserved  
state across  
function calls



`liftIO` to do IO  
action within State  
transformer stack

```
main :: IO()
main = do
    ((), state') <- runStateT (f 1) 0
    ((), state'') <- runStateT (f 1) state'
    return ()
```



# why is Referential Transparency good?

- good for **reasoning** about programs
- whether manually, or automated ... whether informally or formally
- good for **parallelism** - no side-effects / inter-thread dependencies
- evaluation is simply ***term rewriting***
  - cf evaluation of lambda terms

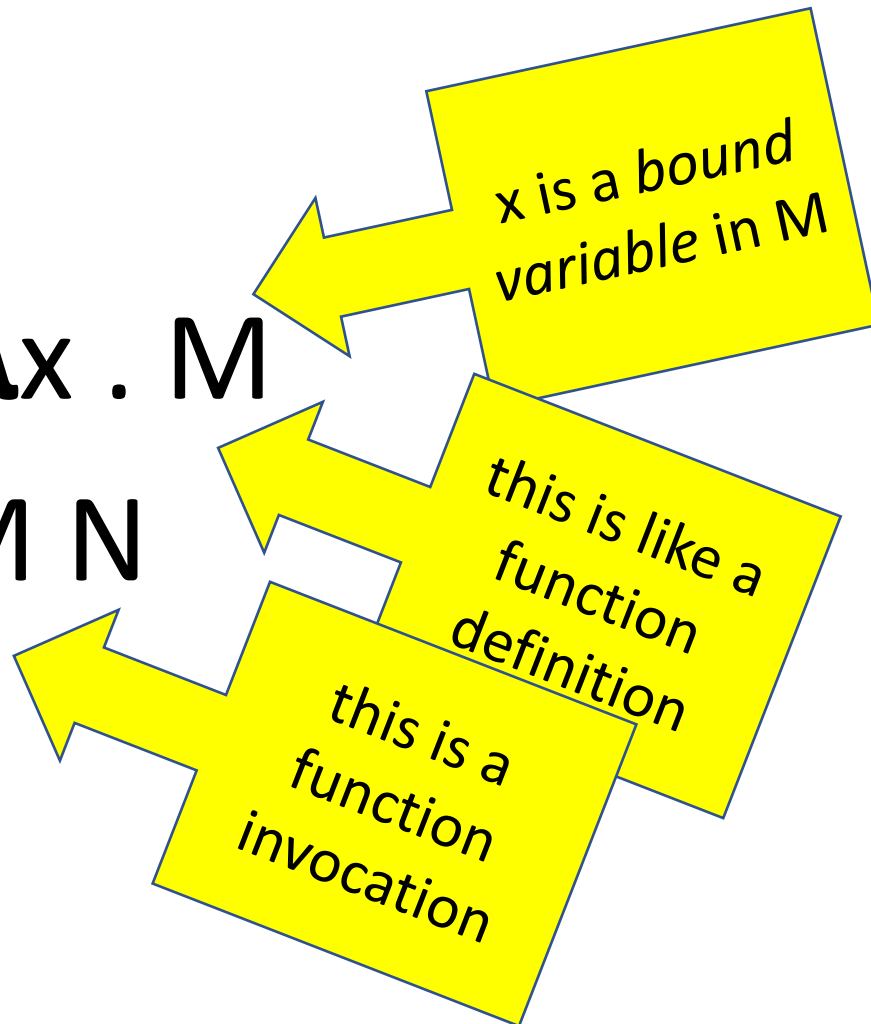
# Lambda Calculus

# Untyped Lambda Calculus

- invented by Alonzo Church in 1930s
- a theoretical model for computation
  - universal computation can be represented in lambda calculus!
- equivalent to Turing machines
  - Church-Turing thesis
- basis of functional programming
- evaluation involves term rewriting

# Components (terms) of Lambda Calculus

- **variables:** like  $x, y$
- **function abstraction:**  $\lambda x . M$
- **function application:**  $M N$



$x$  is a bound variable in  $M$

this is like a function definition

this is a function invocation

# Rewrite Rules for Lambda Calculus (1)

- *$\alpha$  conversion is bound-variable renaming*

$$\lambda x . M \xrightarrow{\alpha} \lambda y . M [y/x]$$

$y$  is a **fresh**  
variable  
name

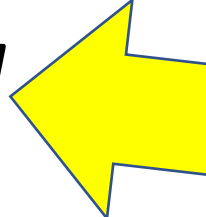
- example:

$$\lambda x . x \xrightarrow{\alpha} \lambda z . z$$

identity function is the  
same, no matter what  
name we give to its  
parameter

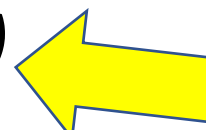
# Rewrite Rules for Lambda Calculus (2)

- $\beta$  reduction is function call evaluation

$$(\lambda x . M) A \xrightarrow{\beta} M [A/x]$$


parameter  $x$   
is replaced  
by lambda-term  
 $A$   
(argument  
value)

- example:

$$(\lambda x . \lambda y . y x) (\lambda z . z) \xrightarrow{\beta} \lambda y . y (\lambda z . z)$$


replace  $x$  by  
 $\lambda z . z$  in  $(\lambda y . y x)$

# Computability

Any computable function can be represented in Lambda calculus

Church numerals - encoding of numbers:

A Church numeral is a function that takes two parameters:

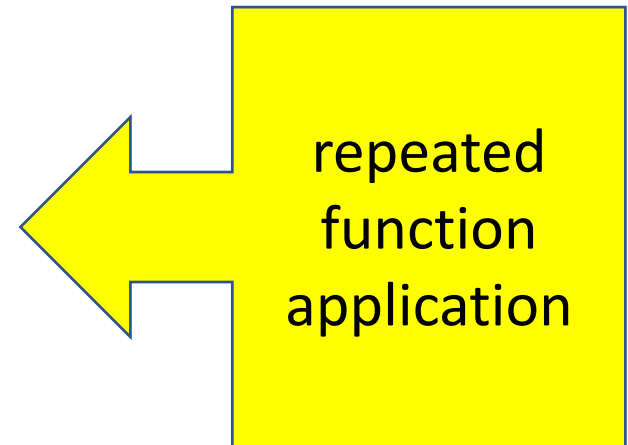
$\lambda f \rightarrow \lambda x \rightarrow \dots$

0:  $\lambda f \rightarrow \lambda x \rightarrow x$

1:  $\lambda f \rightarrow \lambda x \rightarrow f\ x$

2:  $\lambda f \rightarrow \lambda x \rightarrow f\ (f\ x)$

n:  $\lambda f \rightarrow \lambda x \rightarrow f^n\ x$  -- n applications of f  
-- (iterate f x) !! n



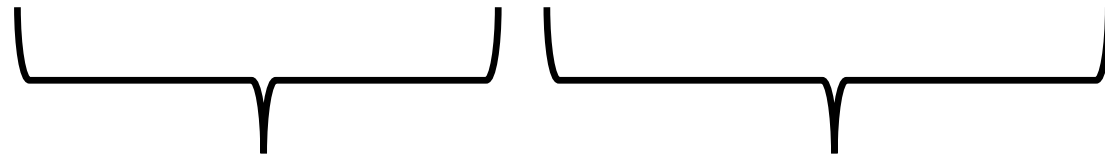
# Adding Church numerals

- add - take two Church numerals M and N and use M as the 'zero' parameter for N

- `add = \ M N f x -> N f (M f x)`

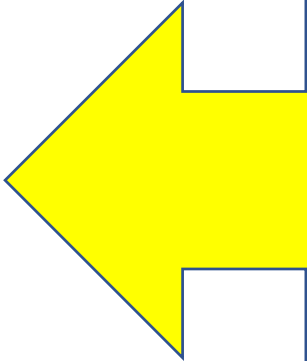
- this will evaluate to:

- `f ( f ( f ... ( f ... (f x) ) ) )`



N applications of f

M applications of f



N applications of f,  
applied to M  
applications of f,  
applied to x



# Decode Church numerals in Haskell

- `unchurch :: (a -> a) -> a -> a -> Int`
- `unchurch n = n (+1) 0`

# Note about lambda calculus

- It's more of a theoretical representation, not actually used for computation in 'the real world'
- It shows the smallest 'programming language' that we can build to represent any computable function - such a programming language only needs function abstraction and function application
- Of course, although the programming language is trivially simple, the corresponding programs will be very verbose.

# Extra (non-examinable) info about lambda calculus

- [https://www.youtube.com/watch?v=eis11j\\_iGMs](https://www.youtube.com/watch?v=eis11j_iGMs) - Intro to Lambda calculus from Computerphile
- <https://www.cse.chalmers.se/research/group/logic/TypesSS05/Extra/geuvers.pdf> - Textbook on Lambda calculus

# Equational Reasoning

# program properties

- previously we used *QuickCheck* to do property based testing
- this was **dynamic testing** - use random input values to test specified invariants hold, for a fixed number of tests.
- Now - we want to perform **static verification** - prove properties of functions generally, that hold for all inputs ...

# A simple example

- Given a function definition:

example :: Int -> Int -> Int -> Int

example x y z = x\*(y+z)

- Prove that  $\text{example } a \ b \ c == \text{example } a \ c \ b$

example a b c

= a \* (b+c)

{ example function def }

= a \* (c + b)

{ symmetry of + }

= example a c b

{ example function def }

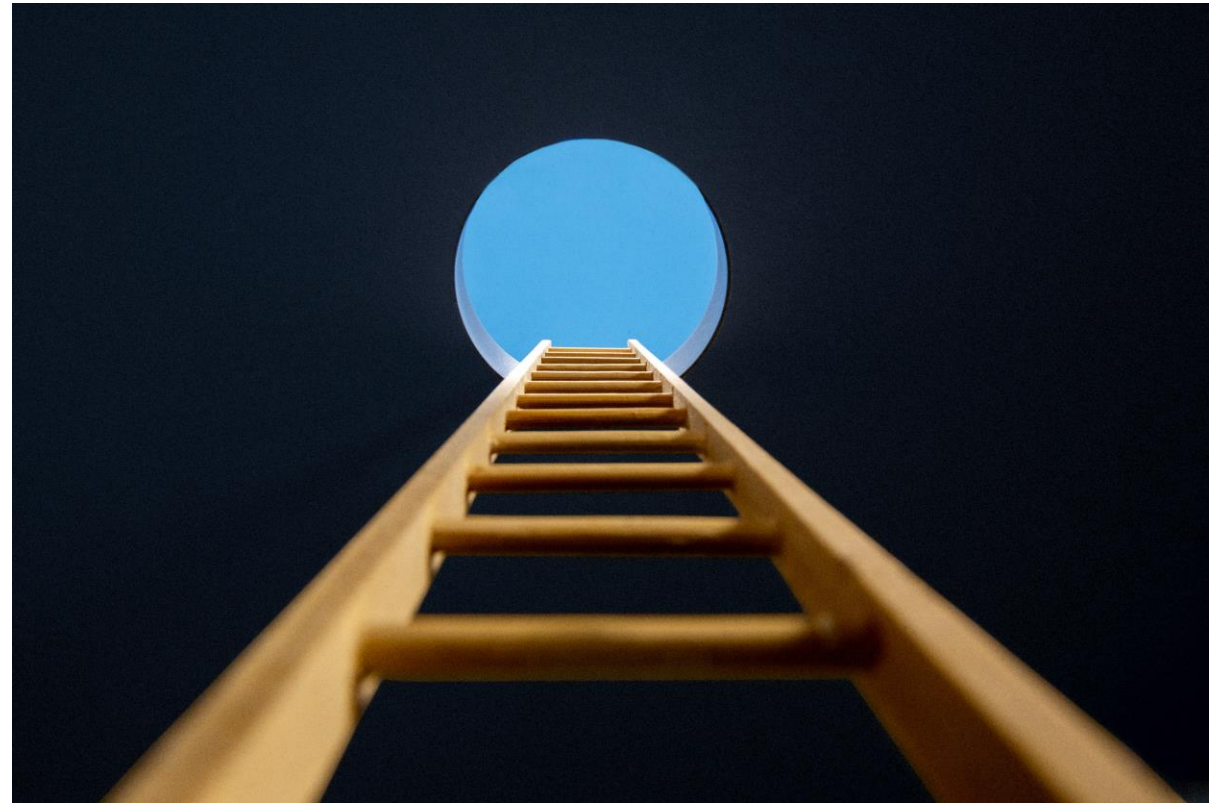
rewrites

justification for rewrites

**only using function  
definition and simple  
properties of arithmetic**

# proofs by induction

- do you remember these from Algorithmic Foundations 2 course?
- or from high school maths?



# structural induction

- given a property  $p(x)$  where  $x$  is a list
- prove  $p( [] )$ 
  - i.e. property holds for empty list (base case)
- prove that  $p( \mathbf{xs} ) \rightarrow p( \mathbf{x : xs} )$ 
  - i.e. if property holds for list  $xs$  of length  $n$ , then we can show it holds for list  $(x:xs)$  of length  $(n+1)$  (inductive step)
- then by structural induction,  $p$  holds for arbitrary lists



# Some rewrites we might need to make ...

*-- library definition of (++)*

`[] ++ ys = ys` -- base case

`(x:xs) ++ ys = x:(xs++ys)` -- recursive case

*-- library definition of length*

`length [] = 0`

`length (x:xs) = 1 + length xs`

# example of structural induction

- We want to prove that, for all lists `xs` and `ys`:
  - `length (xs ++ ys) = (length xs) + (length ys)`
  - base case, `xs` is `[]`

$$\begin{aligned} \text{length } ([] ++ \text{ys}) &= (\text{length } []) + (\text{length } \text{ys}) \\ \text{\textcolor{red}{\{definition of append\}}} & \quad \text{\textcolor{red}{\{definition of length\}}} \\ \text{length } (\text{ys}) &= 0 + (\text{length } \text{ys}) \\ & \quad \text{\textcolor{red}{\{arithmetic\}}} \\ \text{length } \text{ys} &= \text{length } \text{ys} \end{aligned}$$

**true**

# inductive step

- assume for list `xs` of length `n` ...
  - `length (xs ++ ys) = (length xs) + (length ys)`
- now, given list `(x:xs)` of length `(n+1)`

$$\begin{aligned} \text{length } ((x:xs) ++ ys) &= (\text{length } (x:xs)) + (\text{length } ys) \\ \text{\textcolor{red}{\{definition of length\}}} & \quad \text{\textcolor{red}{\{definition of length\}}} \\ 1 + \text{length } (xs ++ ys) &= (1 + \text{length } xs) + (\text{length } ys) \\ \text{\textcolor{red}{\{inductive hypothesis\}}} & \quad \text{\textcolor{red}{\{associativity of (+)\}}} \\ 1 + ( (\text{length } xs) + (\text{length } ys) ) &= 1 + ( (\text{length } xs) + (\text{length } ys) ) \end{aligned}$$

**true**

# A second example of structural induction

- We want to prove that, for all lists `xs`

$$\text{length } xs \geq 0$$

i.e. length of arbitrary list `xs` is non-negative

base case, xs is []

length [] == 0 { definition of length function }

0 >= 0 BASE CASE is proven

# inductive step

- assume for list  $xs$ 
  - $\text{length } (xs) \geq 0$
- Now need to prove that for list  $x:xs$ 
  - $\text{length}(x:xs) \geq 0$

$\text{length}(x:xs) == 1 + \text{length } (xs)$  {definition of length}

We are assuming  $\text{length } xs \geq 0$ , so

$1 + \text{length } xs \geq 0$  { laws of arithmetic }

**INDUCTIVE STEP IS PROVEN**

# Examples to try at home

- list append function  $(++)$  is associative
- Can also do structural induction over other recursive data types e.g. binary trees
- Further info online:  
<https://www.youtube.com/watch?v=cQ1iziWpt8Q>

# Takeaways

- **Lambda calculus** is a powerful formalism to model computing
- *'All you need is lambda'*
- **Equational reasoning** enables us to prove program properties
- **Next week** - non-examinable (but very exciting) lectures