VARIATION THROUGH ENRICHMENT*

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Introduction

This paper continues the authors' various works [3, 4, 12, 14] on categories enriched in bicategories. We treat the elements of the theory again, here from a more algebraic (logical) and less geometric viewpoint. For a bicategory $\mathscr W$ we first develop $\mathscr W$ -matrices before passing on to $\mathscr W$ -modules, an approach which allows a simple proof of the cocompleteness of the 2-category $\mathscr W$ -Cat of $\mathscr W$ -categories. When $\mathscr W$ has precisely one object (and so is a monoidal category) the main results are in works of Bénabou [2], Lawvere [6], and Wolff [15], although a uniform treatment even in this case has not been published.

The second part of the paper relates variable categories with enriched categories. For the purposes of this paper a variable category is taken to mean a fibration over a fixed parameter category \mathcal{C} . We show that the domain of variation can be organized into a bicategory \mathcal{C} such that categories varying over \mathcal{C} and \mathcal{C} conriched categories appear on opposing sides of a biadjunction which tries very hard to be a biequivalence. In fact, if we impose the mild completeness condition of splitting idempotents on the fibres of the variable categories, the adjunction does restrict to a biequivalence with the "cauchy-complete" \mathcal{C} (\mathcal{C})-categories.

Our terminology for bicategories and 2-categories is that of [5] and [10].

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1. Matrices and graphs over a bicategory

Let Set denote the category of small sets.

A bicategory # is said to be *locally small-cocomplete* when each hom-category #(U, V) has small colimits and, for all arrows $f: U' \to U$, $g: V \to V'$ in #, the functor $\#(f, g): \#(U, V) \to \#(U', V')$ preserves small colimits.

Let \mathscr{U} denote a locally small-cocomplete bicategory with a small set \mathscr{U} of objects. The category Set/ \mathscr{U} has as objects families X of small sets X_U indexed by $U \in \mathscr{U}$; an element $x \in X_U$ is called an element of X over U.

The bicategory \mathscr{U} -Mat of \mathscr{U} -matrices is defined as follows. The objects are the objects of Set/ \mathscr{U} . An arrow $S: X \to Y$ assigns to each pair x, y of elements of X, Y over U, V, respectively, an arrow $S(y, x): U \to V$ in \mathscr{U} . A 2-cell $\sigma: S \to S'$ is a family of 2-cells $\sigma_{y,x}: S(y,x) \to S'(y,x)$ in \mathscr{U} . Composition of 2-cells $S \to S' \to S''$ is componentwise that of \mathscr{U} . Composition of arrows

$$X \xrightarrow{S} Y \xrightarrow{T} Z$$

is "matrix multiplication":

$$(TS)(z, x) = \sum_{y \in Y} T(z, y) S(y, x).$$

The latter composition is compatible with 2-cells; it is associative and has identities up to coherent natural isomorphisms.

Small colimits in \mathscr{U} -Mat(X, Y) are constructed componentwise in the hom-categories of \mathscr{U} . It follows that \mathscr{U} -Mat is *locally small-cocomplete*.

There is a homomorphism of bicategories

Set
$$/ // \rightarrow //$$
 -Mat

which is the identity on objects and takes an arrow $h: X \to Y$ in Set/ \mathscr{U} to the matrix $h_*: X \to Y$ given as follows

$$h_*(y,x) = \begin{cases} 1_U : U \to U & \text{when } y = hx, \\ 0 : U \to V & \text{otherwise,} \end{cases}$$

where x, y are elements over U, V and 0 denotes the initial object of the category #(U, V). Matrices of the form $h_*: X \to Y$ have right adjoints $h^*: Y \to X$ in #-Mat: the formula for h^* is the reverse of that for h_* . (In general, not all arrows with right adjoints in #-Mat are of the form h_* .) If h is monic then the unit $1_X \to h^*h_*$ is invertible. If h is epic the the counit $h_*h^*\to 1$ is a retraction. (The converses of the last two sentences are also true provided # has no objects whose identity arrows are initial.)

For each small set Y over \mathcal{U} there is a category $\mathcal{P}Y$ over \mathcal{U} whose objects over U are functions S which assign to each element y of Y an arrow $S(y): U \to V$ where y is over V, and whose arrows over U are families of 2-cells in \mathcal{U} . There is a pseudonatural equivalence of categories:

$$\mathcal{W}$$
-Mat $(X, Y) \simeq (CAT/\mathcal{U})(X, \mathcal{P}Y)$

where CAT is a suitably large 2-category of categories.

Proposition 1. The homomorphism of bicategories

$$Set/\mathscr{U} \rightarrow \mathscr{W}-Mat$$

preserves bicolimits. The initial object 0 of Set/ \mathcal{U} is biterminal in \mathcal{W} -Mat. For all objects X, Y of Set/ \mathcal{U} , the coproduct diagram

$$X \xrightarrow{i} X + Y \xleftarrow{j} Y$$

has the following properties:

- (a) $i*j_*, j*i_*$ are initial in \mathscr{W} -Mat(Y, X), \mathscr{W} -Mat(X, Y), and the units $1_X \to i*i_*$, $1_Y \to j*j_*$ are invertible.
 - (b) The 2-cell $i_*i^*+j_*j^*\rightarrow 1_{X+Y}$, induced by the counits, is invertible.
 - (c) The diagram

$$X \stackrel{i^*}{\longleftarrow} X + Y \stackrel{j^*}{\longrightarrow} Y$$

is a biproduct in \(\mathbf{H} \)-Mat.

Proof. The assignment $Y \mapsto \mathcal{P}Y$ provides a relative right biadjoint for Set/ $\mathcal{U} \to \mathcal{V}$ -Mat modulo a change of universe. This suffices for the first sentence of the Proposition. The second sentence is trivial.

The units in (a) are invertible since i and j are monic. The remainder of (a) follows from the fact that the pushout

$$\begin{array}{ccc}
0 & \longrightarrow & X \\
\downarrow & & \downarrow i \\
Y & \longrightarrow & X+Y
\end{array}$$

becomes a bipushout in #-Mat.

Since X + Y is a bicoproduct, the 2-cell of (b) is invertible if and only if its composites with both i_* and j_* are invertible. But the composite with i_* is the composite isomorphism:

$$(i_*i^*+j_*j^*)i_* \cong i_*i^*i_*+j_*j^*i_* \cong i_*1+j_*0 \cong i_*.$$

Similarly, the composite with j_* is invertible. This gives (b).

Given $S: Z \to X$, $T: Z \to Y$ in \mathscr{U} -Mat, we obtain $i_*S + j_*T: Z \to X + Y$ with:

$$i^*(i_*S + j_*T) \cong i^*i_*S + i^*j_*T \cong S,$$

 $j^*(i_*S + j_*T) \cong j^*i_*S + j^*j_*T \cong T.$

The 2-cell condition is also easily checked, yielding (c). \Box

A \mathscr{W} -graph \mathscr{G} is a "square" matrix; that is, an endo-arrow in \mathscr{W} -Mat. The object of Set/ \mathscr{U} and the matrix from it to itself will both be denoted by \mathscr{G} . So, for each object U of \mathscr{W} , we have a small set \mathscr{G}_U of objects of \mathscr{G} over U; and, for objects A, B of \mathscr{G} over U, V, we have an arrow $\mathscr{G}(B, A): U \to V$ in \mathscr{W} . An arrow $H: \mathscr{G} \to \mathscr{G}'$ of \mathscr{W} -graphs consists of an arrow $H: \mathscr{G} \to \mathscr{G}'$ in Set/ \mathscr{U} together with a 2-cell

$$H: H_* \mathcal{G} H^* \to \mathcal{G}'$$

in \mathcal{U} -Mat. So, for each object A of \mathcal{G} over U, we have an object HA of \mathcal{G}' over U, and, for objects A, B of \mathcal{G} over U, V, we have a 2-cell

$$H_{RA}: \mathcal{G}(B,A) \rightarrow \mathcal{G}'(HB,HA)$$

in w. This defines a category w-Gph of w-graphs.

Proposition 2. The category #-Gph has small colimi ..

Proof. Suppose $D: \mathscr{C} \to \mathscr{U}$ -Gph is a functor from a small category \mathscr{C} . Let X denote the colimit of the composite of D with the forgetful functor \mathscr{U} -Gph \to Set $/\mathscr{U}$. There are coprojections $HC:DC\to X$ in Set $/\mathscr{U}$. There is a functor

$$\mathscr{C} \rightarrow (\mathscr{V} - \mathrm{Mat})(X, X)$$

which takes $n: C \rightarrow C'$ to the composite

$$(HC)_*(DC)(HC)^* \xrightarrow{\cong} (HC')_*(Dn)_*(DC)(Dn)^*(HC')$$

$$\xrightarrow{(HC')_*(Dn)(HC')^*} (HC')_*(DC')(HC')^*.$$

The colimit of the last functor gives an endo-arrow of X and hence determines a \mathscr{U} -graph \mathscr{U} . The coprojections HC together with the coprojections $(HC)_*(DC)(HC)^* \rightarrow \mathscr{U}$ determine arrows $HC:DC \rightarrow \mathscr{U}$ in \mathscr{U} -Gph which can be checked to provide the coprojections of a colimit for D. \square

2. Categories enriched over a bicategory

The following definitions occur in an equivalent, but more usual, form in [12]. A \mathscr{U} -category \mathscr{A} is a \mathscr{U} -graph \mathscr{A} together with 2-cells $\eta: 1 \to \mathscr{A}$, $\mu: \mathscr{A} \to \mathscr{A}$ in \mathscr{U} -Mat which satisfy the axioms for a monad in \mathscr{U} -Mat. Note that \mathscr{A}_U becomes the set of objects for a category whose arrows $f: A \to B$ are 2-cells $1_U \to \mathscr{A}(A, B)$ in \mathscr{U} and whose composition is determined by μ . It will be convenient to write \mathscr{A}_U for this category and not merely for the set of objects of \mathscr{A} over U.

A #-functor $F: \mathscr{A} \to \mathscr{B}$ between #-categories \mathscr{A} , \mathscr{B} is an arrow of #-graphs which respects η , μ . The arrow $F_*: \mathscr{A} \to \mathscr{B}$ and 2-cell $\tilde{F}: F_*\mathscr{A} \to \mathscr{B}F_*$ (corresponding

to $F: F_* \mathcal{A} F^* \to \mathcal{B}$ under $F_* \dashv F^*$) determine a "monad opfunctor" in \mathscr{H} -Mat (in the terminology of [9]).

For \mathscr{V} -functors $F, G: \mathscr{A} \to \mathscr{B}$, a \mathscr{V} -natural transformation $\theta: F \to G$ is a 2-cell $\theta: F_*\mathscr{A} \to \mathscr{B}G_*$ in \mathscr{V} -Mat such that the following diagram commutes.

Notice that there is a bijection between such θ and 2-cells $\bar{\theta}: F_* \to \mathscr{B}G_*$ satisfying

$$\mu G_{\bullet} \cdot \mathcal{B} \bar{\theta} \cdot \tilde{F} = \mu G_{\bullet} \cdot \mathcal{B} \tilde{G} \cdot \bar{\theta} \cdot \mathscr{A};$$

the bijection is given by the equations:

$$\bar{\theta} = \theta \cdot F_* \eta, \qquad \theta = \mu G_* \cdot \mathscr{B} \bar{\theta} \cdot \tilde{F}.$$

With obvious compositions, we have defined a 2-category \mathscr{U} -Cat of \mathscr{U} -categories, \mathscr{U} -functors and \mathscr{U} -natural transformations.

A monad $m: U \to U$ in the bicategory \mathscr{U} can be identified with a \mathscr{U} -category \mathscr{U} which has precisely one object A such that A is over U and $\mathscr{U}(A, A) = m$. In particular, each object U of \mathscr{U} determines a \mathscr{U} -category which we also denote by U corresponding to the identity monad on U. There is an obvious isomorphism of categories:

$$(\% - \operatorname{Cat})(U, \mathscr{A}) \cong \mathscr{A}_U.$$

Proposition 3. The forgetful functor from the category $| \mathscr{U} \text{-Cat} |$ of $\mathscr{U} \text{-categories}$ and $\mathscr{U} \text{-functors to the category } \mathscr{U} \text{-Gph has a left adjoint } \mathscr{T} \text{ whose value at a square matrix } \mathscr{U} : X \to X \text{ is the geometric series } \sum_{n \in \mathbb{N}} \mathscr{U}^n : X \to X.$

Proof. The monoidal category \mathscr{V} -Mat(X,X), whose tensor-product (that is, composition) preserves small colimits, is such that the free monoid on an object \mathscr{V} is $\Sigma \mathscr{V}^n = \mathscr{F}\mathscr{V}$. The identity of X together with the coprojection $\mathscr{V} \to \mathscr{F}\mathscr{V}$ for n=1 provide an arrow $N: \mathscr{V} \to \mathscr{F}\mathscr{V}$ of \mathscr{V} -graphs. Suppose $H: \mathscr{V} \to \mathscr{B}$ is an arrow of \mathscr{V} -graphs into a \mathscr{V} -category \mathscr{B} . Then $H^*\mathscr{B}H_*: X \to X$ is a monoid in \mathscr{V} -Mat(X,X), so the arrow $\mathscr{V} \to H^*\mathscr{B}H_*$ (arising from H) extends to a unique monoid arrow $\mathscr{V} \to H^*\mathscr{B}H_*$ which, together with H on objects, determines a unique \mathscr{V} -functor $H': \mathscr{F}\mathscr{V} \to \mathscr{B}$ with H'N = H. \square

Lemma 4. Suppose $F, G : \mathcal{A} \to \mathcal{B}$ are monoid arrows in \mathscr{U} -Mat(X, X) and let $H : \mathcal{B} \to \mathscr{C}$ be $t^{2} \circ coequalizer$ of F, G in \mathscr{U} -Mat(X, X). The \mathscr{U} -graph \mathscr{C} possesses a unique mono \dot{C} structure such that H becomes a monoid arrow if and only if

 $H \cdot \mu \cdot \mathcal{B}F = H \cdot \mu \cdot \mathcal{B}G$ and $H \cdot \mu \cdot F\mathcal{B} = H \cdot \mu \cdot G\mathcal{B}$. Furthermore, in this case, this monoid arrow is a coequalizer of F, G in $| \mathcal{H}$ -Cat $| \mathcal{H}$.

Proof. Composition in \mathcal{H} -Mat preserves coequalizers, so the rows and columns of the following diagram are all coequalizers.

The existence of a unique $\mu: \mathscr{C}\mathscr{C} \to \mathscr{C}$ such that the square

$$\begin{array}{ccc}
\mathcal{B}\mathcal{B} & \xrightarrow{HH} & \mathcal{C}\mathcal{C} \\
\downarrow \mu & & \downarrow \mu \\
\mathcal{B} & \xrightarrow{H} & \mathcal{C}
\end{array}$$

commutes is equivalent to the condition that the composite

$$\mathcal{B}.\mathcal{B} \xrightarrow{\mu} \mathcal{B} \xrightarrow{H} \mathcal{C}$$

should equalize both of the pairs

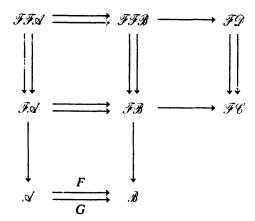
$$\mathcal{B} : I \xrightarrow{\mathcal{B} F} \mathcal{B} : \mathcal{B}, \qquad \mathcal{A} : \mathcal{B} \xrightarrow{F : \mathcal{B}} \mathcal{B} : \mathcal{B}.$$

As we must, define $\eta: 1 \to \ell$ to be $H\eta$. From the construction in Proposition 2 we see that H is the coequalizer of F, G in the category of \mathscr{U} -graphs. It is easy to see that $K: \ell \to \mathscr{D}$ is a \mathscr{U} -functor if and only if KH is, for any arrow K of \mathscr{U} -graphs into a \mathscr{U} -category \mathscr{D} . \square

Proposition 5. The category | #-Cat | has coequalizers.

Proof. Take two \mathscr{U} -functors $F, G: \mathscr{A} \to \mathscr{B}$ and form the coequalizer \mathscr{U} of the underlying arrows of \mathscr{U} -graphs (Proposition 2). Let \mathscr{L} be the coequalizer of the

 \mathscr{V} -graph arrows $\mathscr{F}F$, $\mathscr{F}G:\mathscr{F}\mathscr{A}\to\mathscr{F}\mathscr{B}$. Then we have the following diagram in \mathscr{V} -Cat:



The category of monoids in \mathscr{U} -Mat(X, X) is monadic over \mathscr{U} -Mat(X, X) (since tensoring with a fixed object on either side preserves countable coproducts). So the first two columns of the above diagrams are coequalizers which are absolute (split) at the underlying level. Since \mathscr{T} is a left adjoint, the first two rows are also coequalizers. Lemma 4 applies to the two arrows in the third column of the above diagram (since it applies to the first two columns) to yield the coequalizer of those two arrows in $|\mathscr{U}$ -Cat|. By commutativity, an arrow from \mathscr{B} into this coequalizer is induced. By the " 3×3 -diagram lemma" this arrow is then the coequalizer of F, G. \square

Theorem 6. The forgetful functor $|\mathcal{W}\text{-Cat}| \rightarrow \mathcal{W}\text{-Gph}$ is monadic.

Proof. Consider again the diagram in proof of Proposition 5, this time with F, G a split pair at the \mathscr{W} -graph level. Then the top two rows are split coequalizers. By Lemma 4 the columns are coequalizers at both the $|\mathscr{W}$ -Cat| and \mathscr{W} -Gph levels. By the " 3×3 -diagram lemma", the coequalizer of F, G is preserved by the forgetful functor. Since the forgetful functor reflects isomorphisms and in view of Proposition 3, the result follows from Beck's Theorem [8; p. 151 Ex. 6].

Theorem 7. The 2-category #-Cat admits all small colimits.

Proof. That the category | %-Cat | has all small colimits follows from Proposition 2, Theorem 6, Proposition 5, and Linton [7; p. 81].

A monad $\mathscr{A}: X \to X$ in \mathscr{Y} -Mat leads to a monad

$$\begin{pmatrix} .\checkmark & .\checkmark \\ 0 & .\checkmark \end{pmatrix} : X + X \to X + X$$

in #-Mat which is easily verified to have the property required of $2 \otimes \mathcal{A}$ in #-Cat;

$$(\#-Cat)(2\otimes \mathcal{A}, \mathcal{B}) \cong [2, \#-Cat(\mathcal{A}, \mathcal{B})].$$

It remains to prove that small colimits in $|\mathcal{W}$ -Cat| are preserved by the category-

valued representables %-Cat(-, \mathscr{A}) and hence are colimits in %-Cat. This will follow if we can prove that the functor

preserves small colimits. That it preserves small coproducts is trivial. That it preserves coequalizers of the type in Lemma 4 follows from the straightforward observation that the functor \mathscr{V} -Gph $\to \mathscr{V}$ -Gph which takes

$$\mathscr{G}: X \to X$$
 to $\begin{pmatrix} \mathscr{G} & \mathscr{G} \\ 0 & \mathscr{G} \end{pmatrix}: X + X \to X + X$

preserves coequalizers (see Proposition 2). Using the construction of Proposition 5 and these facts, we deduce that $2 \otimes -$ preserves all coequalizers.

3. Modules

Suppose \mathscr{A} , \mathscr{B} are \mathscr{U} -categories; that is, monads $\mathscr{A}: X \to X$, $\mathscr{B}: Y \to Y$ in \mathscr{U} -Mat. Composition with \mathscr{A} , \mathscr{B} on the right, left (respectively) determines a monad \mathscr{U} -Mat(\mathscr{A} , \mathscr{B}) on the category \mathscr{U} -Mat(X, Y). The category of Eilenberg-Moore algebras for this monad is denoted by:

$$\mathcal{H}$$
-Mod(\mathcal{A} , \mathcal{B}).

An object Φ of \mathscr{U} -Mod(\mathscr{A} , \mathscr{B}) is called a \mathscr{U} -module from \mathscr{A} to \mathscr{B} ; it consists of a matrix $\Phi: X \to Y$ together with compatible actions $\varrho: \Phi \mathscr{A} \to \Phi$, $\lambda: \mathscr{B}\Phi \to \Phi$.

For \mathscr{U} -modules $\Phi: \mathscr{A} \to \mathscr{B}$, $\Psi: \mathscr{B} \to \mathscr{C}$, there is a *composite* \mathscr{U} -module $\Psi\Phi: \mathscr{A} \to \mathscr{C}$ defined in the familiar "tensor-product-like" manner; that is, it is made up of the coequalizer in \mathscr{U} -Mat(X, Z) of the pair

$$\Psi\lambda, \rho\Phi: \Psi\mathcal{B}\Phi \to \Psi\Phi$$
,

the ϱ induced by the ϱ of Φ , and the λ induced by the λ of Ψ .

This defines a bicategory \mathcal{H} -Mod whose objects are \mathcal{H} -categories and whose arrows are \mathcal{H} -modules.

The category \mathscr{U} -Mod $(\mathscr{A}, \mathscr{B})$ has small colimits since \mathscr{U} -Mat(X, Y) has small colimits and \mathscr{U} -Mat $(\mathscr{A}, \mathscr{B})$ preserves them. Composition with a \mathscr{U} -module preserves the small colimits since coequalizers commute with colimits. So \mathscr{U} -Mod is locally small-cocomplete.

Each \mathscr{U} -functor $F: \mathscr{A} \to \mathscr{B}$ determines a \mathscr{U} -module $F_*: \mathscr{A} \to \mathscr{B}$ whose underlying matrix is the composite

$$X \xrightarrow{F_{+}} Y \xrightarrow{g} Y$$
,

and whose actions ϱ , λ are the composites

$$\mathcal{B}F_* \xrightarrow{f} \mathcal{B}\mathcal{B}F_* \xrightarrow{\mu F_*} \mathcal{B}F_*, \qquad \mathcal{B}\mathcal{B}F_* \xrightarrow{\mu F_*} \mathcal{B}F_*.$$

Modules of the form $F_*: \mathscr{A} \to \mathscr{B}$ have right adjoints $F^*: \mathscr{B} \to \mathscr{A}$. The \mathscr{W} -functor F is *fully faithful* if and only if the unit $1_{\mathscr{A}} \to F^*F_*$ is invertible. If the \mathscr{W} -functor F is *bijective on objects*, then the counit gives a coequalizer diagram:

$$F_*F^*F_*F^* \Rightarrow F_*F^* \rightarrow 1_\mathscr{Q}$$

in \mathscr{W} -Mod(\mathscr{B} , \mathscr{B}); for this is now the Eilenberg-Moore category \mathscr{W} -Mod(\mathscr{A} , \mathscr{B}) F*F *. For \mathscr{W} -functors $F, G : \mathscr{A} \to \mathscr{B}$, there are natural bijections between 2-cells $F_* \to G_*$ in \mathscr{W} -Mod, 2-cells $G^* \to F^*$ in \mathscr{W} -Mod, and \mathscr{W} -natural transformations $F \to G$.

[We have extended the "hyperdocurine" Set/ $\mathscr{U} \to \mathscr{W}$ -Mat of Section 1 to a "hyperdoctrine" \mathscr{W} -Cat $\to \mathscr{W}$ -Mod.]

As remarked just before Proposition 3, objects A, B of \mathscr{A} over U, V can be regarded as \mathscr{U} -functors $A: U \to \mathscr{A}$, $B: V \to \mathscr{A}$. Observe further that $\mathscr{A}(A, B) \cong A * B_*$. Given a cospan:

$$\mathcal{B} \xrightarrow{G} \mathcal{C} \xrightarrow{F} \mathcal{A}$$

in \mathscr{V} -Cat, it is therefore consistent to denote the \mathscr{V} -module $G^*F_*: \mathscr{A} \to \mathscr{B}$ by $\mathscr{C}(G,F)$. We shall now see that every \mathscr{V} -module has this form.

The mapping cone $Cn(\Phi)$ of a \mathcal{W} -module $\Phi: \mathcal{A} \to \mathcal{B}$ is the \mathcal{W} -category defined as follows. Suppose \mathcal{A} , \mathcal{B} are monads on X, Y in \mathcal{W} -Mat. Then $Cn(\Phi)$ is the monad on Y + X made up of the matrix

$$\begin{pmatrix} \mathscr{B} & \Phi \\ 0 & \mathscr{A} \end{pmatrix} : Y + X \to Y + X,$$

with unit

$$\begin{pmatrix} \eta & 0 \\ 0 & \eta \end{pmatrix}$$

and multiplication

$$\begin{pmatrix} \mu & (\varrho, \lambda) \\ 0 & \mu \end{pmatrix}$$
.

In an obvious way we obtain a cospan

$$\mathscr{B} \xrightarrow{J} \operatorname{Cn}(\Phi) \xleftarrow{I} \mathscr{A}$$

in \mathscr{U} -Cat, and $Cn(\Phi)(J, I) = J*I_* \cong \Phi$.

4. Right liftings and limits

Suppose now that \mathcal{H} satisfies the following further conditions: C1. Each hom-category $\mathcal{H}(U, V)$ has small limits. C2. Each pair of arrows $F: U \to W$, $g: V \to W$ admits a right lifting $g \cap f: U \to V$ of f through g:

$$\frac{h \to g \bigcap f}{gh \to f}$$

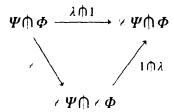
Theorem 8. The bicategories #-Mat and #-Mod both satisfy conditions C1 and C2.

Proof. Limits in \mathscr{V} -Mat(X, Y) can be constructed componentwise so that C1 for \mathscr{V} -Mat is easy. For matrices $S: X \to Z$, $T: Y \to Z$, the formula for $T \cap S: X \to Y$ is:

$$(T \cap S)(y, x) = \prod_{z} T(z, y) \cap S(z, x);$$

with this, C2 is easily checked.

Since \mathscr{U} -Mod(\mathscr{A} , \mathscr{B}) is monadic over \mathscr{U} -Mat(X, Y), limits are carried over; so C1 for \mathscr{U} -Mod follows. For modules $\Phi : \mathscr{A} \to \mathscr{C}$, $\Psi : \mathscr{B} \to \mathscr{C}$, the module $\Psi \cap \Phi : \mathscr{A} \to \mathscr{B}$ is made up of the equalizer in \mathscr{U} -Mat of the two arrows:



the ϱ induced by the ϱ of Φ , and the λ induced by the ϱ of Ψ . Condition C2 for π -Mod is easily checked. \square

For each #-category # based on the category Set of small sets, there is a #-category ## based on SET, defined as follows:

$$(\mathscr{P}\mathscr{B})_U = \mathscr{U}\operatorname{-Mod}(U,\mathscr{B}), \qquad (\mathscr{P}\mathscr{B})(\Psi,\Phi) = \Psi \cap \Phi.$$

There is a pseudo-natural equivalence:

$$\mathcal{H}$$
-Mod(\mathcal{A}, \mathcal{B}) $\simeq \mathcal{H}$ -CAT($\mathcal{A}, \mathcal{P}\mathcal{B}$).

Precisely the same arguments used in proving Proposition 1 now yield:

Proposition 9. The homomorphism \mathscr{U} -Cat $\to \mathscr{U}$ -Mod satisfies all the properties listed for the homomorphism Set/ $\mathscr{U} \to \mathscr{U}$ -Mat in Proposition 1. \square

Theorem 10. The 2-category #-Cat has all small limits.

Proof. Suppose $J: \land \to Cat$, $D: \land \to \#$ -Cat are functors from a small category \forall . Write D_U for the composite of D with #-Cat(U, -): #-Cat \to Cat. Define a #-

category \mathcal{L} as follows. An object of \mathcal{L} over U is a natural transformation $A: J \to D_U$. For objects A, B of \mathcal{L} over U, V, take $\mathcal{L}(B, A)$ to be the limit in $\mathcal{W}(U, V)$ of the diagram:

$$(DC')(B_Cj, A_Cj) \qquad (DC')(B_{C'}j', A_{C'}j')$$

$$(DC')(1, A_C\xi) \cdot D_Un \qquad (DC')(B_{C'}(Jn)j, A_{C'}j')$$

as n, ξ run over arrows $n: C \to C'$, $\xi: (Jn)_j \to j'$ in \mathscr{C} , JC', respectively. One may verify the isomorphism

$$(\mathscr{V}\text{-Cat})(\mathscr{X},\mathscr{L}) \cong [\mathscr{C},\text{Cat}](J,\mathscr{V}\text{-Cat}(\mathscr{X},D)).$$

5. Fibrations as enriched categories

Let C denote a small category whose set of objects is \mathcal{U} . Rather than the 2-category of fibrations over C, we prefer to deal with the equivalent 2-category

$$\mathcal{H}(\mathbf{C}) = \text{Hom}(\mathbf{C}^{\text{op}}, \text{Cat})$$

of homomorphisms from C^{op} to Cat and strong (=pseudo-natural) transformations between them. We identify the category $\hat{C} = [C^{op}, Set]$ of presheaves on C with a full sub-2-category of $\mathcal{H}(C)$ consisting of discrete objects. We also regard C as a full sub-2-category of $\mathcal{H}(C)$ consisting of representable objects.

Recall the construction of the bicategory Spn \mathcal{A} from a category \mathcal{A} with pullbacks (Bénabou [1, p. 22]). Our convention is to draw a span S from U to V as

$$V \longleftarrow S \longrightarrow U$$
.

and to identify an arrow $f: U \rightarrow V$ in \mathcal{A} with the span

$$V \stackrel{f}{\longleftarrow} U \stackrel{1}{\longrightarrow} U.$$

It is a straightforward calculation to verify the following assertion (the case $\mathcal{A} = Set$ suffices):

An arrow S in Spn \mathcal{A} has a right adjoint if and only if it is isomorphic to an arrow in \mathcal{A} .

Let $\mathscr{H}(\mathbb{C})$ denote the full subbicategory of Spn $\hat{\mathbb{C}}$ determined by the objects which are actually in \mathbb{C} . Arrows in $\mathscr{H}(\mathbb{C})$ are spans in $\hat{\mathbb{C}}$ between objects of \mathbb{C} .

An arrow in $\mathcal{H}(\mathbb{C})$ has a right adjoint if and only if it is isomorphic to an arrow in \mathbb{C} . (This follows from the above assertion about adjunctions in Spn \mathcal{A} and the Yoneda Lemma.)

The properties required of # in Section 1 and properties C1, C2 of Section 4 are satisfied by # (C) since $\hat{\mathbb{C}}$ is a Grothendieck topos.

Our purpose now is to study the relationship between $\mathscr{H}(C)$ and $\mathscr{H}(C)$ —Cat. This study begins with the 2-functor

$$L: \mathcal{H}(\mathbf{C}) \to \mathcal{H}(\mathbf{C})$$
-Cat

defined below.

Each object T of $\mathcal{H}(\mathbb{C})$ determines a $\mathcal{H}(\mathbb{C})$ -category LT defined as follows. An object of LT over U is an object of TU which we can also view as an arrow $U \to T$ in $\mathcal{H}(\mathbb{C})$ (using the bicategorical Yoneda lemma). For objects x, y of TU, TV, the arrow $(LT)(x, y): V \to U$ in $\mathcal{H}(\mathbb{C})$ is the span from V to U obtained as the comma object of $x: U \to T$, $y: V \to T$ in $\mathcal{H}(\mathbb{C})$:

$$(LT)(x, y) \xrightarrow{d_1} V$$

$$\downarrow d_0 \qquad \qquad \downarrow y$$

$$U \xrightarrow{x} T$$

Since U, V have values in Set, so does (LT)(x, y). More explicitly,

$$(LT)(x, y)S = \{(u, \theta, v) \mid u : S \to U, v : S \to V \text{ in } \mathbb{C} \text{ and}$$
$$\theta : (Tu)x \to (Tv)y \text{ in } TS\}.$$

Composition for LT is given by:

$$((LT)(x, y) \circ (LT)(y, z))S \to (LT)(x, z)S,$$

$$((u, \theta, v), (v, \phi, w)) \mapsto (u, \phi\theta, w).$$

For each arrow $\sigma: T \to T'$ in $\mathscr{H}(\mathbb{C})$, there is a $\mathscr{H}(\mathbb{C})$ -functor $L\sigma: LT \to LT'$. The object x of LT over U is taken to $(L\sigma)x = \sigma_U x$, and the function

$$(L\sigma)_{xy}S:(LT)(x,y)S\rightarrow(LT')(\sigma_Ux,\sigma_Vy)S$$

takes (u, θ, v) to (u, θ', v) , where θ' is the composite

$$(T'u)(\sigma_U x) \cong \sigma_S(Tu)x \xrightarrow{\sigma_S(\theta)} \sigma_S(Tv)y \cong (T'v)(\sigma_V y).$$

Theorem 11. The 2-functor $L: \mathcal{H}(\mathbb{C}) \to \mathcal{H}(\mathbb{C})$ -Cat has a right adjoint with fully faithful unit.

Proof. Since C is a small full dense sub-2-category of $\mathcal{H}(\mathbb{C})$ and $\mathcal{H}(\mathbb{C})$ -Cat is small cocomplete (Theorem 7), a right adjoint Γ for L must have the form:

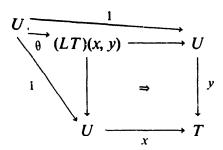
$$\Gamma \mathcal{A} = \mathcal{H}(\mathbb{C})\text{-}\mathrm{Cat}(L-,\mathcal{A}): \mathbb{C}^{\mathrm{op}} \to \mathrm{Cat}.$$

The unit $\eta: 1 \rightarrow \Gamma L$ has component at T given by the composite:

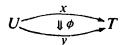
$$T-\simeq \mathscr{H}(\mathbb{C})(-,T) \xrightarrow{L} \mathscr{H}(\mathbb{C})\text{-Cat}(L-,LT) = \Gamma(LT)-.$$

There is a \mathcal{W} -functor $i_U: U \to LU$ for each object U of \mathbb{C} which takes the one object of U to 1_U as an object of LU over U. (The objects of LU over V are arrows $V \to U$ in \mathbb{C} .)

To see that $\eta_T: T \to \Gamma LT$ is fully faithful, take $x, y: U \to T$ in $\mathcal{H}(\mathbb{C})$ and $\theta: Lx \Rightarrow Ly$ in $\mathcal{H}(\mathbb{C})$ -Cat. This gives $\theta i_U: x = (Lx)i_U \to (Ly)i_U = y$ in $(LT)_U$, which means a map of spans $1_U \to (LT)(x, y)$ from U to U:



Thus we obtain a unique 2-cell



in $\mathscr{H}(\mathbb{C})$ with $L\phi = \theta$. This completes the proof that η_T is fully faithful.

The $\mathscr{U}(\mathbb{C})$ -functor $\varepsilon_{\mathscr{A}}: L\Gamma \mathscr{A} \to \mathscr{A}$ takes an object $A: LU \to \mathscr{A}$ over U to the object of \mathscr{A} over U corresponding to $Ai_U: U \to \mathscr{A}$. Given $A: LU \to \mathscr{A}$, $B: LV \to \mathscr{B}$ in $\mathscr{U}(\mathbb{C})$ -Cat, we must describe an arrow of spans

$$(L\Gamma \mathscr{A})(A,B) \rightarrow \mathscr{A}(Ai_U,Bi_V)$$

from V to U in $\hat{\mathbb{C}}$. Elements of $(L\Gamma \mathscr{A})(A,B)S$ are triples (u,θ,v) where u,v make S into a span in \mathbb{C} from V to U and $\theta:A\cdot Lu\Rightarrow B\cdot Lv$ is a $\mathscr{V}(\mathbb{C})$ -natural transformation. Composing with $i_S:S\to LS$, we obtain a 2-cell $(A\cdot i_U)_*u\Rightarrow (B\cdot i_V)_*v$ in $\mathscr{V}(\mathbb{C})$ -Mod. This gives a 2-cell $uv^*\Rightarrow (Ai_U)^*(Bi_V)_*$ in $\mathscr{V}(\mathbb{C})$ -Mod between arrows from V to U. But $\mathscr{V}(\mathbb{C})$ -Mod $(V,U)=\mathscr{V}(\mathbb{C})(V,U)$. So we have an element of $\mathscr{A}(Ai_U,Bi_V)S\cong (Ai_U)^*(Bi_V)_*S$.

The adjunction identities can be routinely checked. \Box

Theorem 12. The 2-functor $L: \mathcal{H}(\mathbb{C}) \to \mathcal{H}(\mathbb{C})$ -Cat preserves small limits.

Proof. Since the construction of L involves comma objects which are themselves limits in $\mathcal{H}(\mathbb{C})$, the verification is routine. \square

6. Cofibrations and cauchy completeness

For any small bicategory \mathcal{H} , fibrations in $\text{Hom}(\mathcal{H}^{\text{op}}, \text{Cat})$ were extensively studied in [11]. A bicategory DFib($\text{Hom}(\mathcal{H}^{\text{op}}, \text{Cat})$) was constructed having the

same objects as $\operatorname{Hom}(\mathscr{H}^{\operatorname{op}},\operatorname{Cat})$ and having the bidiscrete fibrations as arrows. To each homomorphism $T:\mathscr{H}^{\operatorname{op}}\to\operatorname{Cat}$ was associated its cooperative homomorphism $\#T:\mathscr{H}^{\operatorname{co}}\to\operatorname{Cat}$ which provided the following representation of bidiscrete fibrations:

DFib(Hom(
$$\mathcal{H}^{op}$$
, Cat))(S, T) = Hom(\mathcal{H}^{op} , CAT)(S, [(#T) op , Set]).

A fibration in \mathscr{H} is a span in \mathscr{H} which is taken to a fibration by $\mathscr{H} \to \operatorname{Hom}(\mathscr{H}^{op}, \operatorname{Cat})$. This agrees with the definition in [10] where the fibration property is expressed in terms of finite bilimits in \mathscr{H} . A finitely bicomplete and finitely bicocomplete bicategory \mathscr{H} was called *fibrational* when bipullback along a leg of a fibration preserved the bicolimit involved in the definition of fibrational composition. Under these conditions one obtained a bicategory DFib(\mathscr{H}) with the same objects as \mathscr{H} and with bidiscrete fibrations in \mathscr{H} as arrows.

By a change of universe, the construction of DFib(\mathscr{H}) can be made even when \mathscr{H} is not small and agrees with that of the first paragraph of this section when applied to Hom(\mathscr{H}^{op} , Cat).

Fibrations in \mathscr{H}^{op} are called *cofibrations in* \mathscr{H} , and bidiscrete fibrations in \mathscr{H}^{op} will be called *modules in* \mathscr{H} . When \mathscr{H}^{op} is fibrational, we obtain a bicategory DFib(\mathscr{H}^{op}); set

$$Mod(\mathscr{H}) = DFib(\mathscr{H}^{op})^{co}$$
.

If both # and # op and fibrational, there is a homomorphism

$$Mod(\mathscr{H}) \rightarrow DFib(\mathscr{H})$$

which is the identity on objects and which takes each module to the bicomma object of its underlying cospan. The dual construction gives a left biadjoint for this homomorphism.

Theorem 13. For any small category \mathbb{C} , the bicategories $\mathscr{H}(\mathbb{C})$, $\mathscr{H}(\mathbb{C})^{op}$ are both fibrational and the homomorphism of the last paragraph provides a biequivalence:

$$Mod(\mathscr{H}(\mathbf{C})) \sim DFib(\mathscr{H}(\mathbf{C})).$$

Proof. It was proved in [10] that Cat and Cat^{op} are both fibrational. Every module in Cat is the cocomma object of its comma object. This gives the result for "constant categories" (C = 1). The "variable" case is then straightforward after [11; 3.8].

Theorem 14. Suppose # is a locally small-cocomplete bicategory with a small set of objects that satisfies C1, C2 of Section 4. Then (#-Cat)^{op} is a fibrational bicategory and there is a biequivalence

$$^{\text{H}}$$
-Mod \sim Mod($^{\text{H}}$ -Cat)

which is the identity on objects and takes each #-module to its mapping cone.

Proof. The case where \mathcal{W} has one object was dealt with in [10; §6]. The generalization here provides no difficulties. \square

A module from A to B in a bicategory \mathscr{H} is called *cauchy* when it has a right adjoint in $Mod(\mathscr{H})$. A module from A to B in \mathscr{H} is called *convergent* when there exists an arrow $f: A \rightarrow B$ in \mathscr{H} such that the module is equivalent to the bicocomma object of the span

$$B \stackrel{f}{\longleftarrow} A \stackrel{1_A}{\longrightarrow} A$$
.

Every convergent module is cauchy. Call an object B of \mathcal{H} cauchy-complete when every cauchy module into B is convergent. Write \mathcal{H}_{cc} for the full subbicategory of \mathcal{H} consisting of the cauchy-complete objects.

Corollary 15. The 2-functor $L: \mathcal{H}(\mathbb{C}) \to \mathcal{H}(\mathbb{C})$ -Cat induces a homomorphism of bicategories

$$L: \operatorname{Mod} \mathscr{H}(\mathbb{C}) \to \mathscr{W}(\mathbb{C})$$
-Mod.

Proof. Since $Mod(\mathcal{H})$ is constructed from \mathcal{H} using finite bilimits and finite bicolimits, the result follows from Theorems 11, 12, 14. \square

Proposition 16. (a) An object T of $\mathcal{H}(\mathbb{C})$ is cauchy-complete if and only if, for all objects W of \mathbb{C} , idempotents split in the category TW.

(b) An object \mathcal{A} of \mathcal{U} -Cat is cauchy-complete if and only if, for all objects W of \mathcal{U} , each cauchy \mathcal{U} -module $W \to \mathcal{A}$ is convergent.

Proof. Part (b) follows from the fact that the objects W of W-Cat can be used to detect convergence of modules; as a special case, an object of Cat is cauchy-complete if and only if each module from 1 into it is convergent. It can be calculated from this (as is well known) that cauchy-complete categories are those in which idempotents split.

To prove part (a), take $T \in \mathcal{H}(\mathbb{C})$. Suppose idempotents split in each TW. For each object W of \mathbb{C} , the evaluation homomorphism $\operatorname{ev}_W : \mathcal{H}(\mathbb{C}) \to \mathbb{C}$ at preserves finite limits and colimits, and so an arrow $E: X \to T$ with a right adjoint E^* in $\operatorname{Mod}(\mathcal{H}(\mathbb{C}))$ gives an arrow $E_W: XW \to TW$ with a right adjoint in $\operatorname{Mod}(\mathbb{C}$ at). Since TW is a cauchy-complete category, there exists a functor $f_W: XW \to TW$ such that E_W, E_W^* are isomorphic to the discrete fibrations associated with the comma categories $TW/f_W, f_W/TW$, respectively. Since E, E^* are homomorphisms, it follows that the functors f_W are the components of a strong transformation $f: X \to T$. Clearly E converges to F. So F is cauchy-complete.

Conversely, suppose T is cauchy-complete in $\mathscr{H}(\mathbb{C})$. An idempotent in TW amounts to an idempotent in $\mathscr{H}(\mathbb{C})(W,T)$. This gives an idempotent between convergent modules whose splitting gives a cauchy module $W \to T$. Since T is cauchy-

complete, this splitting converges giving a splitting of the idempotent in TW. \Box

7. The main biequivalence

For each object T of $\mathcal{H}(\mathbb{C})$, there is a homomorphism of bicategories $\mathcal{P}T: \mathbb{C}^{op} \to \mathbb{C}AT$ whose value at W is given by

$$(\mathscr{P}T)W = \text{Mod } \mathscr{H}(\mathbb{C})(W,T) \simeq [(W \# T)^{\text{op}}, \text{Set}].$$

This determines a homomorphism

$$\mathscr{P}: \mathscr{H}(\mathbf{C})^{\operatorname{coop}} \to \operatorname{Hom}(\mathbf{C}^{\operatorname{op}}, \operatorname{CAT})$$

which is part of a Yoneda structure [11; §6]. Recall also the definition of \mathscr{P} for enriched categories given earlier (Section 4). For each $T \in \mathscr{H}(\mathbb{C})$, there is a comparison $\mathscr{H}(\mathbb{C})$ -functor

$$L \mathcal{P} T \rightarrow \mathcal{P} L T$$

determined using the fact that both \mathscr{P} 's represent modules and using Corollary 15. For the next result it is helpful to use the explicit description of $\#T: \mathbb{C} \to \mathbb{C}$ at for $T \in \mathscr{H}(\mathbb{C})$. The value of #T at $W \in \mathbb{C}$ is the category W # T whose objects are pairs (f, x) where $f: U \to W$ is an arrow in \mathbb{C} and $x \in TU$, and whose arrows $(h, \xi): (f, x) \to (f', x')$ consist of $h: U \to U'$ in $\mathbb{C}, \xi: x \to (Th)x'$ in TU with f = f'h.

Proposition 17. The 2-functor

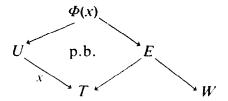
$$L: \text{Hom}(\mathbb{C}^{\text{op}}, \text{CAT}) \rightarrow \#(\mathbb{C})\text{-CAT}$$

is a logical morphism of Yoneda structures; in other words, the comparison arrow is an equivalence

$$L \mathcal{P} T \simeq \mathcal{P} L T$$
.

It follows that L takes cauchy-complete objects of $\mathscr{V}(\mathbb{C})$ into cauchy-complete $\mathscr{V}(\mathbb{C})$ -categories.

Proof. The comparison arrow $(L \mathcal{P}T)_W \to (\mathcal{P}LT)_W$ takes a bidiscrete fibration E from W to T to the $\mathcal{H}(\mathbb{C})$ -module Φ from W to LT given by $\Phi(x) = x^*E$:



On the other hand, a $\mathscr{W}(\mathbb{C})$ -module Φ from W to LT determines a functor $E: (W\#T)^{\mathrm{op}} \to \mathrm{Set}$ whose value at (f,x) is given by

$$E(f,x) = \mathscr{H}(\mathbb{C})(W,U)(f^*,\Phi(x)).$$

Clearly the bidiscrete fibration corresponding to this E (under the representation theorem) is taken to an isomorph of Φ under the comparison arrow. The remaining details are easily checked. \square

Proposition 18. The right adjoint Γ of L preserves cauchy completeness.

Proof. Let \mathcal{A} be a cauchy-complete $\mathscr{W}(\mathbb{C})$ -category. Then

$$(\Gamma \checkmark)U = \%(\mathbb{C})\text{-Cat}(LU, \checkmark) = \%(\mathbb{C})\text{-Cat}(U, \checkmark)$$

(since LU is the cauchy-completion of U), which is equivalent to the full subcategory of $\#(\mathbb{C})$ -Mod (U, \mathscr{A}) consisting of the cauchy modules. Now $\#(\mathbb{C})$ -Mod (U, \mathscr{A}) is small cocomplete, so certainly idempotents split therein. Suppose $\Phi: U \to \mathscr{A}$ is cauchy and $\varrho: \Phi \to \Phi$ is an idempotent. Then we have a corresponding idempotent $\varrho^*: \Phi^* \to \Phi^*$ on the right adjoint of Φ . A splitting for ϱ^* gives a right adjoint for a splitting of ϱ . \square

Theorem 19. The 2-functor $L: \mathcal{H}(\mathbb{C}) \to \mathcal{H}(\mathbb{C})$ -Cat restricts to a biequivalence

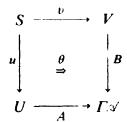
$$\mathscr{H}(\mathbf{C})_{cc} \simeq (\mathscr{H}(\mathbf{C})\text{-}\mathbf{Cat})_{cc}.$$

Proof. The unit $\eta: 1 \to \Gamma L$ is fully faithful (Theorem 11). If T is cauchy-complete in $\mathcal{H}(\mathbb{C})$ then LT is cauchy complete. Since LU is the cauchy-completion of U, we have:

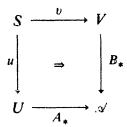
$$\mathscr{W}(\mathbb{C})$$
-Cat(LU, LT) $\simeq \mathscr{W}(\mathbb{C})$ -Cat(U, LT)

which has the same objects as TU. It follows that η_T is surjective on objects up to isomorphism.

Suppose \mathscr{A} is a cauchy-complete $\mathscr{H}(\mathbb{C})$ -category. Objects of $L\Gamma\mathscr{A}$ are $\mathscr{H}(\mathbb{C})$ -functors $U \to \mathscr{A}$, which amounts to objects of \mathscr{A} . Take two objects A, B of \mathscr{A} over U, V, respectively. To give a 2-cell



in $\mathcal{H}(\mathbb{C})$ is precisely to give a 2-cell



in $\mathscr{H}(\mathbb{C})$ -Mod. But a 2-cell $A_*u \Rightarrow B_*v$ amounts to a 2-cell $uv^* \Rightarrow A^*B_*$ in $\mathscr{H}(\mathbb{C})$ -Mod. This is the same as a map of spans $S \to \mathscr{A}(A, B)$. So $(L\Gamma \mathscr{A})(A, B) \cong \mathscr{A}(A, B)$. Thus ε_* is an equivalence. \square

Let Rel(C) denote the bicategory whose objects are the objects of C, whose arrows are relations in \hat{C} between the representables, whose 2-cells are inclusions, and whose composition is the usual composition of relations. There is a homomorphism of bicategories

$$\mathscr{V}(\mathbf{C}) \to \mathrm{Rel}(\mathbf{C})$$

which is the identity on objects and is given on hom-categories by the reflection of spans into relations.

Corollary 20. The 2-functor L induces a biequivalence of 2-categories

$$[C^{op}, Poset] \sim (Rel(C)-Cat)_{cc}$$

where Poset denotes the 2-category of small ordered sets. \square

The result of Walters [14] characterizing presheaves on **C** as *symmetric* cauchy-complete Rel(C)-categories is obtained on restriction of the biequivalence of Corollary 20.

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