SHEAVES ON SITES AS CAUCHY-COMPLETE CATEGORIES

R.F.C. WALTERS

Pure Mathematics Department, University of Sydney, Sydney, N.S.W. 2006, Australia

Communicated by C.J. Mulvey Received 29 September 1980

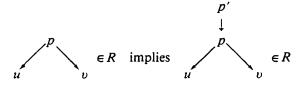
In [9] I showed that sheaves on a space H can be regarded as symmetric Cauchy-complete B-categories for a certain bicategory B formed from H. Here I extend this result to sheaves on a general site. More particularly, from a cite C, with pretopology P, I construct a bicategory of 'relations', Rel(C, P). Then the category of sheaves on C is biequivalent to the bicategory of symmetric Cauchy-complete Rel(C, P)-categories.

There are several ways of looking at this result. Firstly it extends, to general sites, the idea [4,3] (derived from Boolean-valued set theory) that sheaves on a space are sets with equality taking values in the open-set lattice of the space. Secondly, it places topos theory (and its logic) in the context of generalized logic [6], where equality exists as symmetric hom. Thirdly, it exhibits the sheaf condition as a further example of Cauchy-completeness for categories (which property was so named by Lawvere [6] since it includes the usual notion of Cauchy-completeness for metric spaces).

1. The bicategory of relations

Let C be a locally small (but not necessarily small) category with pullbacks, and P a pretopology on C (see, for example, p. 12 of [5]).

If u, v are objects in C then a *crible* R from u to v is a set of spans from u to v such that



for any arrow $p' \rightarrow p$ with codomain p.

Of course any span

0022-4049/82/0000-0000/\$02.75 © 1982 North-Holland



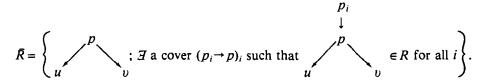
generates a principal crible denoted



Given a crible R from u to v, the reverse crible R^* from v to u is defined by

$$R^* = \{(\alpha, \beta); \ (\beta, \alpha) \in R \}.$$

We define a *closure operation* on the poset Cribles (u, v) (order is inclusion) as follows:



Call a closed crible a relation.

Examples. If C is a locale, or a Grothendieck topos (or even a lex-total category [8, 7]) and P is the canonical topology, then the relations are all principal – that is, generated as cribles by a single span. In fact, a relation R from u to v is generated by the union of those subobjects of $u \times v$ which are in R. Further a crible generated by a subobject of $u \times v$ is closed. Hence there is a bijection between relations from u to v and subobjects of $u \times v$.

Composition of relations. Given cribles R from u to v and S from v to w we define

$$S \circ R = \{(\alpha, \beta); \exists \gamma \text{ such that } (\alpha, \gamma) \in R, (\gamma, \beta) \in S\}.$$

It is straightforward to check the following properties:

- (i) $T \circ (S \circ R) = (T \circ S) \circ R$.
- (ii) $\langle (1_v, 1_v) \rangle \circ R = R = R \circ \langle (1_u, 1_u) \rangle$.
- (iii) If $S \subseteq S'$ and $R \subseteq R'$ then

$$S \circ R \subseteq S' \circ R$$
 and $S \circ R \subseteq S \circ R'$.

(iv)
$$(\bigcup_i S_i) \circ R = \bigcup_i (S_i \circ R), S \circ \bigcup_i R_i = \bigcup_i (S \circ R_i)$$

- (v) $(S \circ R)^* = R^* \circ S^*$.
- (vi) $\overline{S \circ R} = \overline{\overline{S} \circ \overline{R}}$.

Definition of B = Rel(C, P). The objects of B are the objects of C. The arrows from u to v are the relations. The 2-cells are inclusions.

Composition of relations R from u to v, S from v to w is given by

$$S \cdot R = \overline{S \circ R}$$
.

The identity of u is $\overline{\langle (1_u, 1_u) \rangle}$.

Using the properties listed above, it is easy to prove that B is a bicategory, locally a cocomplete poset (with $\bigvee R_i = \overline{\bigcup R_i}$), and that composition (on either side) preserves suprema. Further ()*: $B \rightarrow B$ is an involution which is the identity on objects.

2. B-categories and modules

I recall here briefly some of the theory of categories based on a bicategory B [1, 2, 9]. I assume that B satisfies the conditions of the last paragraph.

A *B-category* X is a set X with functions $e: X \rightarrow \text{obj } B$ and $d: X \times X \rightarrow \text{morph } B$ satisfying:

- (i) $d(x_1, x_2) : e(x_1) \rightarrow e(x_2)$,
- (ii) $1_{e(x)} \leq d(x,x)$,
- (iii) $d(x_2, x_3) \cdot d(x_1, x_2) \le d(x_1, x_3)$.

We say that X is small if $e^{-1}(u)$ is small for all $u \in B$.

A B-functor, $f: X \rightarrow Y$, is a function $f: X \rightarrow Y$ satisfying

- (i) e(x) = e(f(x)),
- (ii) $d(x_1, x_2) \le d(fx_1, fx_2)$.

A B-transformation exists from f to $g: X \to Y$ (and we write $f \le g$) if $1_{e(x)} \le d(fx, gx)$ all $x \in X$.

So *B*-categories form a bicategory *B*-Cat, and it makes sense to talk about *B*-equivalent *B*-categories. A *B*-category *X* is called *skeletal* if, whenever e(x) = e(x') = u and $1_u \le d(x, x')$, $1_u \le d(x', x)$, then x = x'. As usual we have that every *B*-category is *B*-equivalent to a skeletal *B*-category.

A B-category X is symmetric if

$$d(x_1, x_2) = (d(x_2, x_1))^*$$
 all x_1, x_2 in X .

To each object u of B there is a special B-category denoted \hat{u} defined by $\hat{u} = \{*\}$, e(*) = u, $d(*, *) = 1_u$.

Now to describe Cauchy-completeness I need to define the notion 'adjoint pair of modules' (see [6]) between B-categories. For brevity I will look only at the special case when one of the B-categories is of the form \hat{u} . An adjoint pair of modules from \hat{u} to X is a pair of functions, $\phi, \psi: X \rightarrow \text{morph } B$, satisfying

- AM(i) $\phi(x): u \rightarrow e(x), \ \psi(x): e(x) \rightarrow u$.
- AM(ii) $d(x, x') \cdot \phi(x) \le \phi(x')$, $\psi(x) \cdot d(x', x) \le \psi(x')$.
- AM(iii) $1_{\nu} \leq \bigvee_{x} \psi(x) \cdot \phi(x)$.
- AM(iv) $\phi(x') \cdot \psi(x) \le d(x, x')$.

A B-category X is Cauchy-complete if for each $u \in B$ and each adjoint pair of bimodules ϕ , ψ from \hat{u} to X there is an element $x \in X$ such that e(x) = u and

$$\phi(y) = d(x, y), \quad \psi(y) = d(y, x) \quad (\text{all } y \in X).$$

3. Sheaves

We define a functor

$$L: Shv(C, P) \rightarrow B-Cat \quad (B = Rel(C, P))$$

as follows: If F is a sheaf then

$$L(F) = \coprod_{u \in C} F(u).$$

Further, if $s \in F(u)$, $t \in F(v)$ then

$$e(s) = u,$$
 $d(s,t) = \left\{ \begin{array}{c} \alpha \\ \nu \end{array}; F\alpha(s) = F\beta(t) \right\}.$

It is straightforward to show that d(s,t) is a relation, and in fact that L(F) is a B-category. The extension of L to morphisms is immediate and it is easy to see that L is a fully-faithful functor. Notice that $d(t,s)=(d(s,t))^*$; and that if s and t have e(s)=e(t)=u, $1_u \le d(s,t)$ then s=t. Hence L lands on symmetric skeletal B-categories.

Proposition 1. L(F) is Cauchy-complete.

Proof. Consider an adjoint pair of modules ϕ , ψ from \hat{u} to L(F). Condition AM(iii) says that

$$(1_u,1_u)\in \overline{\bigcup_{s\in L(F)}\psi(s)\circ \phi(s)}.$$

Hence there is a cover

$$(u_i \xrightarrow{\alpha_i} u)_{i \in I}$$

such that for each $i \in I$ there is an $s_i \in L(F)$ with

$$(\alpha_i, \alpha_i) \in \psi(s_i) \circ \phi(s_i).$$

This means that for these s_i there are arrows λ_i such that $(\alpha_i, \lambda_i) \in \phi(s_i)$ and $(\lambda_i, \alpha_i) \in \psi(s_i)$.

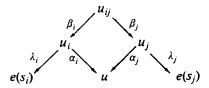
Make a choice of this data: the cover, the s_i and the λ_i . Define sections σ_i over u_i by

$$\sigma_i = F(\lambda_i)(s_i)$$
.

I claim that these σ_i are a compatible family of sections. First note that σ_i does not depend on the choice of λ_i . Suppose μ_i is another arrow with $(\alpha_i, \mu_i) \in \phi(s_i)$, $(\mu_i, \alpha_i) \in \psi(s_i)$. Then

$$(\lambda_i, \mu_i) \in \phi(s_i) \circ \psi(s_i) \le d(s_i, s_i)$$
 (by AM(iv)).

Hence $F\lambda_i(s_i) = F\mu_i(s_i)$. Now to prove the compatibility of the σ_i consider the diagram



where the diamond commutes. We need to show that $F\beta_i(\sigma_i) = F\beta_j(\sigma_j)$. But clearly

$$(\lambda_i \beta_i, \lambda_i \beta_i) \in \phi(s_i) \circ \psi(s_i) \le d(s_i, s_i)$$
 (by AM(iv)).

Hence

$$F\beta_i(\sigma_i) = F(\lambda_i\beta_i)(s_i) = F(\lambda_j\beta_j)(s_j) = F\beta_j(\sigma_j).$$

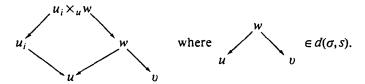
Since F is a sheaf we get the existence of a section σ over u such that $F\alpha_i(\sigma) = \sigma_i$. I will now prove that

$$\phi(s) = d(\sigma, s)$$
 and $\psi(s) = d(s, \sigma)$.

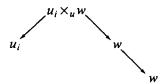
The first step is to show that

$$d(\sigma,s) = \overline{\bigcup_{i \in I} d(\sigma_i,s) \circ \langle (\alpha_i,1_{u_i}) \rangle}.$$

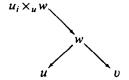
The inclusion of the right-hand-side in the left is easy. To see the opposite inclusion consider the diagram



Clearly,



is in $d(\sigma_i, s)$ and hence



belongs to $d(\sigma_i, s) \circ \langle (\alpha_i, 1_u) \rangle$. But $(u_i \times_u w \to w)_i$ is a cover and so



is in the right-hand-side.

Next, notice that $\langle (\alpha_i, 1_{u_i}) \rangle \leq \phi(\sigma_i)$ (by AM(ii)) and so

$$d(\sigma, s) \le \overline{\bigcup d(\sigma_i, s) \cdot \phi(\sigma_i)} \le \phi(s)$$
 (by AM(ii)).

Similarly

$$d(s,\sigma) \leq \psi(s)$$
.

Finally, notice that

$$\phi(s) = \phi(s) \circ \langle (1_{u}, 1_{u}) \rangle$$

$$\leq \phi(s) \circ \overline{\bigcup_{i} (d(\sigma_{i}, \sigma) \circ \langle (\alpha_{i}, 1_{u_{i}}) \rangle)}$$

$$\leq \overline{\bigcup_{i} \phi(s) \circ d(\sigma_{i}, \sigma) \circ \langle (\alpha_{i}, 1_{u_{i}}) \rangle}$$

$$\leq \overline{\bigcup_{i} \phi(s) \circ \psi(\sigma_{i}) \circ \langle (\alpha_{i}, 1_{u_{i}}) \rangle} \quad \text{(just proved)}$$

$$\leq \overline{\bigcup_{i} d(\sigma_{i}, s) \circ \langle (\alpha_{i}, 1_{u_{i}}) \rangle} \quad \text{(AM(iv))}$$

$$= d(\sigma, s). \quad \Box$$

Proposition 2. If X is a Cauchy-complete, skeletal, symmetric B-category then X is L(F) for some sheaf F.

Proof. It is clear how to define F on objects:

$$Fu=e^{-1}(u).$$

Given an arrow $y: u \to v$ in C, and an element $x \in X$ over v we want to define Fy(x) over u. To construct this element we consider the adjoint pair of bimodules from \hat{u} to X

$$\phi(y) = \{(\alpha, \beta); (y\alpha, \beta) \in d(x, y)\}, \qquad \psi(y) = \phi^*(y).$$

Then $F\gamma(x)$ is the element guaranteed by the Cauchy-completeness of X. Since X is skeletal $F\gamma(x)$ is uniquely determined by

$$d(F_{\mathcal{V}}(x), y) = \phi(y)$$
 all $y \in X$.

Functoriality of F follows easily.

Notice that if $\delta: u \to w$ and x' lies over w then $F\gamma(x) = F\delta(x')$ iff $(\gamma, \delta) \in d(x, x')$; so L(F) = X.

Now let's check that F is a sheaf. Suppose $(x_i)_{i \in I}$ is a compatible family of sections $(x_i | \text{lying over } u_i)$, where

$$(u_i \xrightarrow{\alpha_i} u)_{i \in I}$$

is a cover. To produce a section over u consider the adjoint pair of modules from \hat{u} to X:

$$\phi(y) = \overline{\bigcup d(x_i, y) \circ \langle (\alpha_i, 1_{u_i}) \rangle}, \qquad \psi(y) = \phi^*(y).$$

It is not hard to check that the resulting section, x say, is unique with the property that $F\alpha_i(x) = x_i$ ($i \in I$). \square

As a consequence of these two propositions we have:

Theorem. Shv(C, P) is biequivalent to the bicategory of symmetric Cauchy-complete Rel(C, P)-categories.

Proof. The functor L defined earlier is in fact a homorphism of bicategories. The fully-faithfulness of L, together with the scarcity of 2-cells involving skeletal B-categories, imply that L is always an equivalence of hom-categories. Since every B-category is B equivalent to a skeletal B-category, Propositions 1 and 2 imply that the B-categories of the form L(F) are exactly those which are B-equivalent to symmetric Cauchy-complete B-categories. \square

Corollary. If E is a Grothendieck topos (or even a lex-total category) then E is biequivalent to the bicategory of small symmetric Cauchy-complete **Rel** E-categories.

Proof. Complete the identification of Rel(E, P) (P the canonical topology), begun in Section 1, as the usual bicategory of relations Rel E. Then $E \simeq Shv(E, P)$ (see [7] for the lex-total case). \square

Acknowledgement

I wish to thank Ross Street for helpful conversations during the preparation of this paper.

References

- [1] J. Bénabou, Introduction to Bicategories, Lecture Notes in Mathematics No. 47 (Springer, Berlin-New York, 1967).
- [2] R. Betti and R.F.C. Walters, Categories based on a bicategory, in preparation.
- [3] M.P. Fourman and D.S. Scott, Sheaves and Logic, Lecture Notes in Mathematics No. 753 (Springer, Berlin-New York, 1979).

- [4] Denis Higgs, A category approach to boolean-valued set theory, Lecture Notes, University of Water-loo (1973).
- [5] P.T. Johnstone, Topos Theory (Academic Press, New York, 1977).
- [6] F.W. Lawvere, Metric Spaces, generalized logic, and closed categories, Rend. Semi. Mat. Fis. Milano 43 (1974) 135-166.
- [7] R.H. Street, Notions of topos, Bull. Austral. Math. Soc. 23 (1981) 199-208.
- [8] R.H. Street and R.F.C. Walters, Yoneda Structures on 2-categories, J. Algebra 50 (1978) 350-379.
- [9] R.F.C. Walters, Sheaves and Cauchy-complete categories, Cahiers Top. et Géom. Diff. 22 (1981) 283-286.