

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. Issuer Name and Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
GRIFFIN M	ICHAEL	D]	Rocke	et Lab	USA, I	nc.	[RKI	LB]						
(Last) (First) (Middle)				3	3. Date of Earliest Transaction (MM/DD/YYYY)							X_ Director	X_ Director 10% Owner Officer (give title below) Other (specify below)			
					3/1/2023							Officer (gr	ve title below	/)Oti	ier (specify t	below)
C/O ROCKE			C., 388	81			3/1	/20	23							
MCGOWEN	(Stree			,	IC A	1			-1 E:1-	lantor		n (I., 4;; 41	I - : t/C	Eili	/CI 1 1 1	
	(Silci	ci)			. II An	nename	nt, Date O	rıgın	ai File	ı (MM/DI)/YYY	r) 6. Individual	or Joint/G	roup Filing	(Check Appl	icable Line)
LONG BEACH, CA 90808												X Form filed b	X Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) (State) (Zip)				F	Rule 10b5-1(c) Transaction Indication											
					☐ Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan											
				t	hat is i	ntended	to satisfy	the a	ıffirma	tive defe	ense co	onditions of Rule	10b5-1(c)	. See Instruc	ction 10.	
			Table I	- Non-D	erivati	ive Secu	ırities Acc	_l uire	ed, Dis	posed of	f, or E	eneficially Owne	ed			
1. Title of Security (Instr. 3) 2. Trans. 1			2. Trans. Da	Date 2A. Deemed Execution		3. Trans. Co	de					5. Amount of Securities Beneficially Owned Following Reported Transaction(s)			7. Nature	
					ution , if any	(Instr. 8)		or Disposed of (D) (Instr. 3, 4 and 5))	(Instr. 3 and 4)	ransaction(s)			Beneficial	
												+			Direct (D) or Indirect	Ownership (Instr. 4)
							Code	V	Amoun	(A) or (D)	Price				(I) (Instr. 4)	
Common Stock				3/1/2023			J.(1)		50689	D	\$0.00		88779		D	
Common Stock				3/1/2023			J (<u>1)</u>		50689	A	\$0.00		50689		I	By LogiQ, Inc. (2)
Common Stock 5/17/				5/17/2023	:023		s		50689	D	\$4.40	0			I	By LogiQ, Inc. (2)
	Tab	le II - Der	ivative S	Securitie	s Bene	eficially	Owned (e.g.,	puts, c	alls, wa	rrant	s, options, conver	tible secu	ırities)		
1. Title of Derivate	2.	3. Trans.	3A. Deen		ns. Code				ate Exerc			and Amount of		9. Number of	10.	11. Nature
Security (Instr. 3)	Conversion or Exercise	Date	Execution Date, if an		Acquire Dispose			and .	D			ies Underlying tive Security	Security Security (Instr. 5)	derivative Securities	Ownership Form of	Beneficial
	Price of Derivative						d of (D) 4 and 5)				(Instr.	3 and 4)		Beneficially Owned	Derivative Security:	Ownership (Instr. 4)
	Security					(,								Following Reported	Direct (D) or Indirect	,
				Cod	e V	(A)	(D)	Date Exer	cisable	Expiration Date		Amount or Number of Shares		Transaction(s) (Instr. 4)		

Explanation of Responses:

- (1) The reporting person transferred these shares to LogiQ, Inc., a corporation controlled by the reporting person and in which the reporting person holds a 50% economic interest.
- (2) The reporting person disclaims beneficial ownership of any securities held by LogiQ, Inc. except to the extent of their pecuniary interest therein.

Reporting Owners

reporting Owners							
Paperting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	ips Officer	Other			
GRIFFIN MICHAEL D							
C/O ROCKET LAB USA, INC.	X						
3881 MCGOWEN STREET	Λ						
LONG BEACH, CA 90808							

Signatures

/s/ Arjun Kampani as Attorney-in-Fact for Michael D. Griffin

5/19/2023

**Signature of Reporting Person

Dat

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.