

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2.	2. Issuer Name and Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Kampani Arj	jun			R	ocke	et Lak	USA, l	Inc.	[ <b>RK</b> ]	L <b>B</b> ]							
(Last) (First) (Middle)				3.	3. Date of Earliest Transaction (MM/DD/YYYY)							Director10% Owner  X Officer (give title below) Other (specify below)					
C/O ROCKET LAB USA, INC., 3881 MCGOWEN STREET					5/26/2023							See Rem			v)Ou	ici (specify	ociow)
				4.	4. If Amendment, Date Original Filed (MM/DD/YYYY)							Y) 6. Individ	6. Individual or Joint/Group Filing (Check Applicable Line)				
LONG BEACH, CA 90808												_X _ Form : Form fi	X _ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (State) (Zip)				Rı	Rule 10b5-1(c) Transaction Indication												
					Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.												
		ı	Table I	- Non-De	rivati	ive Sec	urities Ac	qui	red, Dis	posed o	f, or l	Beneficially (	)wne	d			
1. Title of Security (Instr. 3) 2. Trans. Da			. Trans. Date	Execu	Deemed attion if any 3. Trans. Code (Instr. 8)		de	Disposed of (D)			Following Re	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)			Ownership of Indire Form: Benefici Direct (D) Ownersh	Beneficial Ownership	
							Code	v	Amount	(A) or (D)	Price					or Indirect (I) (Instr. 4)	(Instr. 4)
Common Stock 5/26/2023				5/26/2023			s		22428 (1)	D	\$4.496	(2)	546065			D	
	Tabl	le II - Deri	ivative	Securities	Bene	eficially	Owned	(e.g.	, puts, c	alls, wa	rrant	s, options, co	nver	tible secu	ırities)		
Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Date Exec	3A. Deen Execution Date, if a	n (Instr. 8	Deriv Acqu Dispo		mber of ative Securities red (A) or sed of (D) 3, 4 and 5)		6. Date Exercisable and Expiration Date			e and Amount of ties Underlying tive Security 3 and 4)	nderlying Derivative Security (Instr. 5)		9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form of Derivative Security: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Da Ex	te ercisable	Expiration Date		Amount or Numb Shares	per of		Transaction(s) (Instr. 4)		

#### **Explanation of Responses:**

- (1) Represents the sale of Common Shares pursuant to a "sell-to-cover" transaction in order to satisfy applicable tax withholding obligations in connection with the vesting and settlement of restricted stock units previously granted to the reporting person.
- (2) The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$4.39 to \$4.515, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of Common Shares sold at each separate price within the range set forth in this footnote (2) to this Form 4.

#### Remarks:

Senior Vice President, General Counsel and Secretary

**Reporting Owners** 

Panarting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	nships Officer See Remarks	Other			
Kampani Arjun							
C/O ROCKET LAB USA, INC.			Caa Damaulza				
3881 MCGOWEN STREET			See Kemarks				
LONG BEACH, CA 90808							

### **Signatures**

/s/ Arjun Kampani 5/30/2023 \*\*Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.