

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. I	2. Issuer Name and Ticker or Trading Symbol									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
GRIFFIN MICHAEL D					Ro	Rocket Lab USA, Inc. [ RKLB ]													
(Last) (First) (Middle)					3. I	3. Date of Earliest Transaction (MM/DD/YYYY)									X_ Director 10% Owner Officer (give title below) Other (specify below)				
						11/20/202								Officer (giv	Officer (give title below) Officer (specify below)				
C/O ROCKET LAB USA, INC., 3881						11/28/2022													
MCGOWEN STREET																			
(Street)					4. I	4. If Amendment, Date Original Filed (MM/DD/YYYY)								6. Individual o	6. Individual or Joint/Group Filing (Check Applicable Line)				
LONG BEACH, CA 90808															X _ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (State) (Zip)																			
			Table I	I - Noi	n-Der	ivati	ve Secu	rities Acq	uire	ed, Dis	sposed o	of, or	Be	eneficially Owne	d				
1. Title of Security (Instr. 3)				2. Trans. Date		te 2A. Deemed Execution Date, if any		3. Trans. Co. (Instr. 8)	de	4. Securities Acquire or Disposed of (D) (Instr. 3, 4 and 5)			A)	5. Amount of Securit Following Reported (Instr. 3 and 4)	ties Beneficially Owned Transaction(s)			7. Nature of Indirect Beneficial Ownership (Instr. 4)	
								Code	V	Amou	(A) or	r Pri	ice				(I) (Instr. 4)	(msu. 4)	
Common Stock 11/28				11/28/	2022	022		$\mathbf{J}^{(\underline{1})}$		50688	D	\$0.	00	139468		D			
Common Stock 11/2				11/28/	28/2022			<u>J<sup>(1)</sup></u>		50688	A	\$0.	00	50688		I	By LogiQ, Inc. (1)		
	Tabl	le II - Der	rivative	Secur	ities l	Bene	ficially	Owned (e	<b>.g.</b> ,	puts,	calls, wa	ırran	ıts,	options, conver	tible secu	ırities)			
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date			Trans. (nstr. 8)	Code	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date			Securities Un Derivative S (Instr. 3 and		and 4)	8. Price of Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported	10. Ownership Form of Derivative Security: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
		Code	V	(A)	(D)	Date Exer	cisable	Expiration Date	Title		mount or Number of nares		Transaction(s) (Instr. 4)	(I) (Instr. 4)					

#### **Explanation of Responses:**

(1) The reporting person transferred these shares to LogiQ, Inc., a corporation controlled by the reporting person and in which the reporting person holds a 50% economic interest. The reporting person disclaims beneficial ownership of any securities held by LogiQ, Inc. except to the extent of their pecuniary interest therein.

#### Reporting Owners

_ 1 8								
Panarting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
GRIFFIN MICHAEL D								
C/O ROCKET LAB USA, INC.	X							
3881 MCGOWEN STREET	Λ							
LONG BEACH, CA 90808								

### Signatures

/s/ Janine Wong as Attorney-in-Fact for Michael D. Griffin

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.