

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2. I	2. Issuer Name <b>and</b> Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Slusky Alexa	nder R			Ro	ocke	t Lab	USA, Iı	ıc.	[ RKL	<b>В</b> ]			· <b>F</b> · · · · · · ·				
	· ·				3. Date of Earliest Transaction (MM/DD/YYYY)							X Director	X Director 10% Owner  Officer (give title below) Other (specify below)				
C/O ROCKET LAB USA, INC., 3881 MCGOWEN STREET					6/14/2023							Onicer (g	ive title belov		ier (speerry t	,ciow)	
(Street)				4. I	4. If Amendment, Date Original Filed (MM/DD/YYYY)							YY) 6. Individual	6. Individual or Joint/Group Filing (Check Applicable Line)				
LONG BEA	CH, CA 9	0808										_X _ Form filed Form filed b		rting Person One Reporting P	erson		
(City) (State) (Zip)					Rule 10b5-1(c) Transaction Indication  Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.												
			Table I - N	lon-Der	ivati	ve Secu	ırities Acq	uire	ed, Disp	osed of	f, or	Beneficially Own	ied				
1. Title of Security (Instr. 3)				ans. Date	2A. Deemed Execution Date, if any		3. Trans. Co. (Instr. 8)	de 4. Securities Acquir or Disposed of (D) (Instr. 3, 4 and 5)				Amount of Securities Beneficially Owned bllowing Reported Transaction(s) nstr. 3 and 4)		Ownership of Ind Form: Benefi Direct (D) Owner	Beneficial Ownership		
							Code	V	Amount	(A) or (D)	Pri	ce			or Indirect (I) (Instr. 4)	(Instr. 4)	
Common Stock 6/14/202				4/2023			A		39446 (1	) A	\$0.0	00	77536		D		
	Tab	le II - Der	ivative Sec	urities !	Bene	eficially	Owned (a	e.g.,	puts, ca	alls, wa	rran	ts, options, conve	ertible secu	urities)			
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deemed Execution Date, if any	4. Trans. (Instr. 8)	Code 5. Numb Derivativ Acquired Disposed (Instr. 3,		ve Securities I (A) or I of (D)	6. Date Exercisable and Expiration Date			Secur Deriv	le and Amount of ities Underlying ative Security 3 and 4)	8. Price of Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following	Ownership Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	(A)	(D)	Date		xpiration late	Title	Amount or Number of Shares	f	Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)		

#### **Explanation of Responses:**

(1) Represents restricted stock units ("RSUs") granted to Alexander R. Slusky under the Rocket Lab USA, Inc. Amended and Restated Non-Employee Director Compensation Policy. Such RSUs will vest in full on the earlier of (i) the one-year anniversary of the grant date or (ii) the next Annual Meeting of Stockholders. The RSUs were granted on June 14, 2023.

#### **Reporting Owners**

_ 1							
Paperting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Slusky Alexander R							
C/O ROCKET LAB USA, INC.	X						
3881 MCGOWEN STREET							
LONG BEACH, CA 90808							

### **Signatures**

/s/ Arjun Kampani as Attorney-in-Fact for Alexander R. Slusky

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

