

# **Operating System**

An operating system (OS) is system software that manages computer hardware and software resources, and provides common services for computer programs.

# **Characteristics / Functions of Operating Systems**

- 1. Resource Management: Handles allocation and deallocation of resources.
- 2. Process Management: Controls the execution of programs (processes).
- 3. Memory Management: Allocates memory space to running programs and manages memory
- **4. File Management:** Provides mechanisms for creating, deleting, accessing, and modifying files.
- **5. Device Management:** Acts as an intermediary between software and hardware devices.
- **6. Security:** Controls access to system resources and protects against unauthorized access.
- 7. User Interface (UI): Provides a way for users to interact with the operating system. (CLI / GUI)

# **Types of Operating Systems**

- 1. Batch Operating System: Programs are submitted in batches and processed one after another.
- 2. Multiprogramming OS: Allows multiple programs to be loaded into memory.
- 3. Multiprocessing OS: Distributes tasks among multiple CPUs.
- 4. Multitasking OS: Allows users to run multiple programs concurrently.
- **5. Time-Sharing OS:** Multiple users on a single computer system.
- **6. Real-Time OS(RTOS):** Guarantees predictable response times for critical tasks.
- 7. Distributed OS: Distributes tasks and data across the network.
- 8. Network Operating System (NOS): Manages resources on a network.

# **Concept of System Calls**

System calls are the programmatic way a program requests services from the operating system's kernel, the core of the OS.

## Types of System Calls:

- **Process Control:** Manage the creation, termination, and scheduling of processes.
- File Management: Create, read, write, and delete files and directories.
- **Device Management:** Interact with hardware devices like printers, disks, and networks.
- Information Maintenance: Get information about the system, like memory usage.
- Communication: Allow processes to exchange data and synchronize their actions.

# **Process Management**

Fundamental function of an OS that deals with the creation, scheduling, and termination of processes. A process is essentially a program that's actively being executed.

**Process:** A process is an instance of a program that's currently being executed by an OS.

#### Process State:

- New: Just created, waiting for resources.
- Ready: Waiting for the CPU to be assigned.
- Running: Actively executing instructions.
- Waiting/Blocked: Needs a resource (like I/O) before continuing.
- Terminated: Finished execution.

# **Process Control Block (PCB)**

A data structure used by the Operating System (OS) to manage processes. Holds all relevant information about a process for the OS to track its state and manage resources.

#### Information stored in a PCB:

- **Process State:** Running, waiting, ready, terminated.
- Process ID (PID): Unique identifier for the process.
- **Program Counter (PC):** Memory address of the next instruction to be executed.
- CPU Registers: Values stored in CPU registers specific to the process.
- Memory Management Information: Memory allocated to the process.
- I/O Status Information: Open files and devices associated with the process.

# **Context Switching**

The process of saving the state of a running process and then switching to a different process.

- **Multitasking:** Enables a single CPU to appear to run multiple programs concurrently.
- Process management: Allows the OS to manage multiple processes and prioritize tasks.

# **CPU Scheduling**

The process of deciding the order in which processes will be allocated to the CPU for execution. This ensures efficient utilization of CPU resources in a multiprogramming environment.

- 1. Long-Term Scheduler (Job Scheduler): Controls the number of processes in ready state.
- 2. Short-Term Scheduler (CPU Scheduler): Selects a process from the queue for execution.
- 3. Medium-Term Scheduler: Swaps processes between main memory and secondary storage.

# **Scheduling Criteria**

- 1. CPU Utilization: Measures how busy the CPU is.
- 2. Throughput: Represents the number of processes completed per unit time.
- 3. Turnaround Time: The total time taken for a process to finish execution.
- **4. Waiting Time:** The time a process spends waiting in the ready queue for its turn on the CPU.
- **5. Response Time:** The time it takes for a process to start responding after submitting a request.

# **Scheduling Algorithms**

- 1. First Come First Served (FCFS): Processes are served in the order they arrive.
- 2. Shortest Job First (SJF): Prioritizes processes with the shortest execution time.
- 3. Longest Job First (LJF): Prioritizes processes with the longest execution time.
- **4. Priority Scheduling:** Assigns priorities to processes. Higher priority processes are served first.
- **5. Round Robin (RR):** Processes are allocated a short time slice (quantum).

## **Critical Section Problem**

A section of code in a multi-threaded program where shared resources are accessed. Concurrent execution in this section can lead to inconsistencies and errors (like race conditions).

## **Key Properties for Solutions:**

- Mutual Exclusion: Only one thread can be in the critical section at a time.
- Progress: If no thread is in the critical section, a waiting thread should eventually enter.
- **Bounded Waiting:** There should be a limit on how long a thread waits to enter.

# **Inter-Process Communication (IPC)**

Mechanisms that allow processes to communicate and exchange data with each other. **Types of IPC:** 

- Shared Memory: Processes share a designated memory region to exchange data directly.
- Message Passing: Processes send and receive messages through queues or mailboxes.
- Pipes: Processes communicate through unidirectional data streams.
- Semaphores: Ensures that only one process can access a shared resource at a time.

# **Semaphores**

Synchronization tools used to control access to shared resources between processes. Semaphores don't transfer data, they coordinate access to prevent conflicts.

## Operations:

- **P(wait):** Decrements the semaphore value. Process blocks until another process signals.
- **V(signal):** Increments value. If any processes are blocked waiting, one is woken up.

## Types:

- **Binary Semaphores:** Only two values (0 or 1) ensures only one process accesses the resource.
- Counting Semaphores: Value range beyond 1 controls resources with multiple instances.

<u>UNIT - 2</u>

# **Memory Management**

Memory management efficiently allocates RAM and prevents programs from interfering with each other's memory space. It ensures smooth program execution and effective use of this resource.

# **Logical and Physical Address Space**

**Logical / Virtual Address Space:** Set of all logical addresses generated by the CPU for a program.

Physical Address Space: Set of all physical addresses in the main memory (RAM).

## **Key Differences:**

- Visibility: Users work with logical addresses, while physical addresses are hidden MMU.
- Mapping: MMU translates logical addresses to physical addresses before memory access.
- Portability: Logical addresses are portable across different systems.

# **Swapping in Memory Management**

Temporarily moves inactive processes to disk to free RAM for active ones, enabling more programs to run concurrently than physical RAM allows.

# **Contiguous Memory Allocation**

The operating system allocates a single, continuous block of memory to a process.

- Fixed Partitioning: Memory is divided into equal-sized blocks beforehand.
- Variable Partitioning: Blocks can be of varying sizes, allowing for a better fit.

## Advantages / Disadvantages:

- + Faster memory access due to contiguous data storage.
- - Memory wastage due to fragmentation.
- Not suitable for systems with dynamic memory requirements.

# **Multiple Partitions**

Divides main memory into fixed-size chunks called partitions. Each partition holds a single process at a time.

## Advantages / Disadvantages:

- + Easy to protect memory space for the operating system.
- - Fragmentation: Wasted memory due to fragmentation.

# Fragmentation

**1. External Fragmentation:** Occurs when there's enough total free memory to fit a new process, but it's divided into unusable small blocks.

**2. Internal Fragmentation:** Occurs when allocated memory has unused space within it due to memory allocation strategies.

# Compaction

It is a technique used to address external fragmentation. It involves shuffling the allocated memory blocks in the main memory to create one larger contiguous block of free space.

# **Paging**

Divides both physical memory and processes into fixed-size blocks called pages and frames, respectively. Pages can be stored anywhere in physical memory as long as a frame is available.

## Logical vs. Physical Address:

- The CPU generates logical addresses for memory access within a process.
- A Memory Management Unit (MMU) translates the logical address into a physical address using a page table.

**Page Table:** The page table is a data structure maintained by the OS. It maps logical page numbers to physical frame numbers.

## Benefits of Paging:

- Non-contiguous Allocation: Processes don't require contiguous memory blocks.
- Simplified Memory Management: can load only required pages of a process to memory.
- **Protection:** Page tables can define access permissions for each page.

# **Virtual Memory Management**

It utilizes secondary storage (hard disk) to extend the capacity of primary memory (RAM).

### Benefits:

- 1. Run Larger Programs: Allows programs exceeding RAM size to run by loading parts as needed.
- 2. Increased Multiprogramming: Enables running multiple processes simultaneously.
- 3. Memory Protection: Isolates processes, preventing them from interfering with each other.

## Implementation:

- **Division:** Divides virtual memory and physical memory into fixed-size blocks.
- **Translation:** A MMU in the CPU translates virtual addresses used by programs to physical addresses in RAM.
- Demand Paging: Loads only the required program pages into RAM when accessed, reducing initial load time. Triggers a page fault to swap data between RAM and disk.

# **Demand Paging**

Technique where a program's sections (pages) are loaded into RAM only when needed, triggered by a page fault. This optimizes RAM usage by deferring loading of unused pages.

## **Benefits / Drawbacks:**

- + Runs larger programs on limited RAM.
- + Enables efficient multitasking by allowing more processes in memory.
- Page Faults: Can slow down program execution if they occur frequently.
- **Overhead:** Managing page tables and swapping pages adds some processing overhead.

# **Page Replacement Algorithms**

Algorithms to decide which memory pages to swap out to secondary storage (disk) when a page fault occurs. Page fault happens when a requested page isn't present in main memory.

- 1. First In, First Out (FIFO): The oldest page (at the queue's front) gets replaced.
- 2. Least Recently Used (LRU): Replaces the page that hasn't been used for the longest time.
- 3. Optimal Page Replacement: Replaces the page that won't be used for the longest time.



## **Deadlock**

A state where a set of processes are **blocked**, waiting for resources held by each other.

#### Conditions/Characterization of Deadlock:

- **Mutual Exclusion:** Resources are exclusive (one process at a time).
- Hold and Wait: Processes hold resources while waiting for others.
- No Preemption: Resources cannot be forcibly taken away.
- Circular Wait: A circular chain of processes waiting for resources.

# **Preventing Deadlock**

- Mutual exclusion: Not realistic for most resources.
- Hold and wait: Complex to implement, can lead to under-utilization.
- No preemption: Risky, can cause data inconsistency.
- **Resource ordering:** Assign priorities to resources, ensuring processes only request resources in a specific order.

## **Deadlock Avoidance**

Deadlock avoidance proactively prevents deadlocks. The OS anticipates resource needs and approves requests only if the future state is guaranteed to be safe.

# **Deadlock Detection and Recovery**

• **Detection:** The system employs techniques like resource-allocation graphs or the banker's algorithm to detect deadlocks.

• **Recovery:** Once a deadlock is identified, the system takes steps like process termination or resource preemption to break the deadlock and restore normal operation.

# **File System**

A file system is a method used by an operating system to organize, store, and manage files and folders on a storage device like a hard drive, solid state drive (SSD), or USB flash drive.

## **Common File Systems:**

- NTFS (New Technology File System): Offers features like file permissions, encryption etc.
- FAT (File Allocation Table): Used in older MS-DOS systems and some digital cameras.
- HFS+ (Hierarchical File System): Used by Apple Macintosh computers.
- ext4 (Fourth Extended Filesystem): Used in Linux. Supports large files and partitions.

# **File Concept**

A file is a digital container that stores information on a computer.

Files reside on secondary storage devices for persistent storage, unlike RAM which is volatile.

**Identification:** Each file has a unique name to distinguish it from others.

Organization: Files are organized within directories (also called folders) to create a hierarchy.

## File Access Methods

- 1. Sequential Access: Processes data one record at a time, starting from the beginning.
- 2. Direct Access: Allows jumping directly to any specific location in the file.
- 3. Indexed Sequential Access: Uses an index to locate specific records quickly.

# **Directory Structure**

- Organization System: Organizes files using a hierarchy of folders.
- Tree Structure: Directories branch out like a tree, with a main directory at the top.

### Benefits:

- Easier to find files: Files grouped by category make them easier to locate.
- Improved Organization: Reduces clutter and keeps things tidy.
- Efficient Management: Simplifies file management tasks like backup and access control.

# **Types of Directory Structures**

- 1. Single-Level Directory Structure: All files reside directly in the root directory.
- 2. Two-Level Directory Structure: Each user gets a dedicated directory for their files.
- **3. Tree-Structured Directory Structure:** Files and subdirectories organized hierarchically, resembling an upside-down tree.
- 4. Acyclic-Graph Directory Structure: Similar to tree structure but allows for some looping.

**5. General-Graph Directory Structure:** Most complex structure with unrestricted loops and connections.

# **File System Protection**

- 1. Permissions: Defines who can access a file and what they can do (read, write, execute).
- **2. Access Control Lists (ACLs):** Granular control specifying access permissions for individual users or groups.
- 3. Encryption: Scrambles file content using a key, making it unreadable without authorization.

# File System Structure

Organizes files and folders on a storage device for efficient storage, retrieval and access.

- Directories (Folders): Contain files and other subdirectories, creating a hierarchy
- Files: Store actual data like text, images, videos etc.
- File Metadata: Additional information about a file like size, creation date, permissions etc.

## **Logical vs Physical Structure:**

- Logical Structure: The tree-like directory structure users see.
- Physical Structure: The way data is actually stored on the storage device.

# **Directory Implementation**

- 1. Linear List: Stores filenames with pointers to their data blocks in a sequential order.
- 2. Hash Table: Uses a hash function to quickly locate files based on their filenames.
- **3. B-Trees:** Offer efficient searching, insertion, and deletion operations for very large directories.
- 4. Self-Balancing Trees: automatically maintain a balanced structure for search performance.

## File Allocation Methods

- 1. Contiguous Allocation: Stores files in a continuous block of disk space.
- 2. Linked Allocation: Stores files in non-contiguous blocks, linked together by pointers.
- 3. Indexed Allocation: Stores a table (index) containing block addresses for the file.

## Free Space Management

Free space management is a crucial part of file systems in operating systems. It tracks and allocates unused storage space on a disk drive for efficient storage of files.

- 1. Bit Vector: Uses a bit for each block on the disk.
- 2. Linked List: Links all free blocks together in a chain. Requires searching the list to find a block.
- **3. Grouping:** Allocates fixed-size blocks and keeps track of free groups.
- **4. Counting:** Maintains a counter for the number of free blocks.

# **UNIT - 4**

# **Introduction to Linux Operating System**

Free and open-source operating system (OS) based on the Linux kernel.

## **Key Features of Linux:**

- Free & Open-Source: Free to use and modify, with a large community.
- Stable and secure: Known for reliability and strong security features.
- Cost-effective: Free to use and modify, reducing software licensing costs.
- Flexible: Highly customizable to fit specific needs.
- Command Line: Powerful command line interface for efficiency.
- Multitasking & Multi-user: Multiple users and programs can run simultaneously.
- Hardware Compatibility / Versatile: Runs on a wide range of devices.
- Package Management: Easy software installation and removal with package managers.

## **Basic Utilities in Linux**

## File Manipulation:

- Is: Lists files and directories in the current directory.
- cd: Changes directory.
- mkdir: Creates a new directory.
- cp: Copies files or directories.
- mv: Moves or renames files or directories.
- rm: Removes files or directories.

## File Viewing and Editing:

- cat: Displays the contents of a file.
- more/less: View files one screen at a time (useful for long files).
- nano/vim: Text editors for creating and modifying files.

## **System Information:**

- pwd: Shows your current working directory (where you are in the file system).
- uname: Displays information about the system kernel.
- whoami: Tells you the name of the user you are currently logged in as.
- hostname: Shows the hostname of the machine.
- df: Displays information about disk usage.

#### **Network Utilities:**

- ping: Checks connectivity to another host by sending packets.
- wget: Downloads files from the internet.

## Other Useful Utilities:

- sudo: Allows you to run commands as another user, typically the root user.
- man: Provides detailed information (manual pages) about other commands.
- clear: Clears the terminal screen.
- **help:** Provides basic help for some built-in shell commands.

## **Working with Files in Linux**

## File Types:

- Regular Files: These are the most common files containing data.
- Directories: Folders that organize other files.
- **Special Files:** Provide access to devices like hard drives or printers.
- Symbolic Links (Symlinks): Shortcuts pointing to other files.
- Named Pipes (FIFOs): Allow data exchange between processes.
- Socket Files: Facilitate network communication.

## **File Management Commands:**

- touch: Creates an empty file
- mkdir: Creates a new directory
- cp: Copies a file
- mv: Moves or renames a file
- rm: Deletes files
- rmdir: Deletes empty directories
- find: Locates files based on criteria
- cat: Displays file contents
- less / more: View contents a page at a time
- chmod / chown: Control access to files

## **Shells in Linux**

A shell is a program that acts as an interface between the user and the Linux operating system.

## **Key Functions of Shell:**

- Interprets user-typed commands.
- Executes programs and utilities.
- Provides a way to manage files and directories.
- Allows for automation through shell scripting.
- More control over the system compared to a graphical interface.

# Types of Shells in Linux

- 1. Bourne Shell (sh): The original Unix shell, known for its simplicity.
- 2. C Shell (csh): Similar to C. Offers features like filename completion and command history.
- 3. Bourne Again Shell (bash): Offers a good balance of features and ease of use.

- **4. Z Shell (zsh)**: Powerful shell with a lot of customization options and plugins.
- 5. Friendly Interactive Shell (fish): Designed to be user-friendly and easy to learn.

# **Shell Programming**

Shell programming involves writing scripts that automate tasks in Unix-based systems using CLI.

## **Shell Scripts:**

- Programs written in a shell's scripting language.
- Contain a series of shell commands.
- Executed line by line by the shell.
- Saved as plain text files with a .sh extension (e.g., myscript.sh).

## **Text Editors in Linux**

- 1. Vim/Vi: Powerful and highly customizable, Popular among programmers for its efficiency.
- 2. Nano: Simpler and user-friendly alternative to Vim.
- 3. gedit (GNOME): Default editor for GNOME desktops. Offers basic functionalities.
- 4. Kwrite (KDE): Default editor for KDE desktops. Similar to gedit with a focus on KDE integration.
- **5. Sublime Text:** Feature-rich editor with a clean interface and powerful plugins.
- **6. Emacs:** Another powerful editor with extensive customization options.
- 7. Atom/VSCode: Modern editors with a focus on extensibility and collaboration.

# **Key Features of Vim**

- **1. Modal Editing:** Vim operates in different modes for specific tasks:
  - Normal Mode (default): Use keyboard shortcuts for navigation, deletion, copying, etc.
  - Insert Mode: Enter text like a regular editor.
  - Visual Mode: Select text visually for editing.
  - Command-Line Mode: Enter commands for saving, quitting, searching, etc.
- 2. Efficient Text Manipulation: Powerful motions to select text quickly.
- 3. Highly Customizable: Extensive configuration options through a simple text file.
- 4. Lightweight and Versatile: Runs efficiently on any system with minimal resources.