# Public key cryptography (asymmetric cryptography)

## Review

- Secret-key cryptography (symmetric cryptography)
  - Shift cipher, substitution cipher, vigenere cipher, DES
  - $\Box$  Use the same key for both encryption & decryption (Z=Z')
  - Key must be kept secret
  - Weakness
    - Managing and distributing shared secret keys is so difficult in a model environment with too many parties and relationships
    - N parties  $\rightarrow$  n(n-1)/2 relationships  $\rightarrow$  each manages (n-1) keys
    - No way for digital signatures
      - □ No non-repudiation service

## Diffie-Hellman new ideas for PKC

- In principle, a PK cryptosystem is designed for a single user, not for a pair of communicating users
  - More uses other than just encryption
- Proposed in Diffie and Hellman (1976) "New Directions in Cryptography"
  - public-key encryption schemes
  - public key distribution systems
    - Diffie-Hellman key agreement protocol
  - digital signature

## Diffie-Hellman's proposal

- Each user creates 2 keys: a secret (private) key and a public key → published for everyone to know
  - □ The PK is for encryption and the SK for decryption X = D(z, E(Z, X))
  - □ The SK is for creating signatures and the PK for verifying these signatures
    - $X = E(Z, D(z, X)) \rightarrow D()$  for creating signatures,  $E() \rightarrow$  verifying
- Also, called asymmetric key cryptosystems
  - Knowing the public-key and the cipher, it is computationally infeasible to compute the private key

## Principles for creating a PKC

- A PKC can be created based on a one-way (1 chièu), which satisfies the following properties
  - for all X, it is easy to compute Y = f(X)
  - But, it is almost computationally impossible to inversely determine X given Y
- Example: Let  $p_1, p_2, ..., p_n$  be primes  $\rightarrow$ 

  - $\square$  But, given n, it is difficult to factorize n into the product of  $p_1 \times p_2 \times \cdots \times p_n$
- To build a PKC → we need a special one-way function, which has a so-called trap-door
  - if someone knows the trap-door, they can easily determine X given f(X)
  - ullet otherwise, it is impossible to determine X from f(X)
- How to build a PKC from a one-way function with having trap?
  - $\Box$  the encoding function  $E_z$  is the one-way function with the trap-door
  - □ Trap-door is the secret key
  - $\Box$  if one has the secret key  $\rightarrow$  can decrypt the cipher text

## PKC based on the Knapsack problem

- 1978, Merkle Hellman proposed a PKC based on the knapsack problem as follows
- knapsack problem:
  - $\Box$  given a set of  $a_i$ ,  $1 \le i \le n$ , and a positive number T.
  - $\Box$  find the indices  $S \subset \{1,2,...,n\}$  such that  $: \sum_{i \in S} a_i = T$
- → this is a one-way function
- for the inverse problem, we need to perform the brute-force with the complexity of exponentiation of
- ex:  $(a_1, a_2, a_3, a_4) = (2, 3, 5, 7)$  T = 7.  $\Rightarrow$  we have two solutions S = (1, 3) và S = (4).

## Merkle – Hellman PKC

• what if  $a_i$  satisfy  $a_i$  so-called super-increasing property?

$$a_{i+1} > a_1 + \dots + a_i$$

■ → the inverse problem can be easily done

ex: 
$$a=(1,2,4,8)$$
  
 $T=11$ ,  
 $T=T_0$   
 $X_4=1$   $T_0=T_0-X_4=3$   $\Rightarrow$   $(X_1 X_2 X_3 1)$   
 $X_3=0$   $T_2=T_1=3$   $\Rightarrow$   $(X_1 X_2 0 1)$   
 $X_2=1$   $T_3=T_2-2=1$   $\Rightarrow$   $(X_1 1 0 1)$   
 $X_1=1$   $\Rightarrow$   $(1 1 0 1)$ 

$$a_1 < a_2 < \dots < a_n$$

$$a_i > a_{i-1} + \cdots + a_1$$

$$T = \sum a_{k_1} + a_{k_2} + \dots + a_{k_m}$$

$$a_{q_1} \mid a_{q_1} < T < a_{q_1+1}$$

$$T = a_{q_1} + (T - a_{q_1})$$

$$T = a_q + \cdots$$

$$a_{q_2} < T - a_{q_1} < a_{q_2+1}$$

$$a_1 < a_2 < \dots < a_{q_2} < a_{q_2+1} < \dots < a_{q_1} < \dots$$

$$T = a_{q_1} + a_{q_2} + \cdots$$

## Merkle – Hellman PKC

- Idea of the Merkel-Hellman PKC
  - $\Box$  Choose a so-called cargo vector  $\mathbf{a} = (\mathbf{a}_1, \mathbf{a}_2, \dots, \mathbf{a}_n)$
  - encryption
    - for a plain text  $X = (X_1, X_2, X_3, ..., X_n) \rightarrow \text{encrypt by } T = \sum a_i X_i$  (\*)
  - decryption
    - for a cipher text T, and the cargo vector a, determine  $X_i$  satisfying (\*).
- → decryption is a one-way function → we need to design a trap-door

## Merkle – Hellman PKC

#### How to hide the trap-door

#### <u>key generation</u>:

Alice chooses a super-increasing vector:  $a' = (a_1', a_2', ..., a_n')$ a' is kept as a part of the secret key

- Alice chooses
  - $m > \sum a_i$ , named as the congruent modulothe
  - a random integer  $\omega$ , named as goi là multiplier, which is co-prime with m.
- $\Box$  public key is  $a = a' \cdot \omega$

$$a = (a_1, a_2,..., a_n)$$
  
 $a_i = \omega \times a_i$ ' (mod m);  $i = 1, 2, 3...n$ 

 $\Box$  secret key: (a', m,  $\omega$ )

## Merkle – Hellman PKC Details

#### Encryption:

□ When Bob wants to send a message X to Alice, he encrypted X :  $T = \sum a_i X_i$ 

#### Decryption:

- When Alice receives T, she decrypts as follows: she first calculates  $\omega^{-1}$  satisfying gaht  $\omega \times \omega^{-1} = 1 \pmod{m}$ , then determines  $T' = T \times \omega^{-1} \pmod{m}$
- Alice knows that  $T' = a' \cdot X$ , and because a' is a super-increasing vector, Alice can determine X given T' and a'

#### Note

$$T' = T \times \omega^{-1} = \sum a_i X_i \omega^{-1} = \sum a_i ' \omega X_i \omega^{-1}$$
$$= \sum (a_i ' \omega \omega^{-1}) X_i = \sum a_i ' X_i = a' . X$$

# RSA Algorithm

- Invented in 1978 by Ron Rivest, Adi Shamir and Leonard Adleman
  - Published as R L Rivest, A Shamir, L Adleman,
     "On Digital Signatures and Public Key
     Cryptosystems", Communications of the ACM, vol
     21 no 2, pp120-126, Feb 1978
  - Security relies on the difficulty of factoring large composite numbers

## Main idea

- Encryption and decryption functions are modulo exponential in the field  $Z_n = \{0,1,2,...n-1\}$ 
  - $\blacksquare \text{ Encryption} : Y \equiv X^e \pmod{n}$

  - □ The clue is that e & d must be selected such that
    - $X^{ed} \equiv X \pmod{n}$

## Main idea

- Euler theorem:  $X^{\varphi(n)} \equiv 1 \pmod{n}$ 
  - $\neg \varphi(n)$ : the number of k: 0 < k < n | gcd(k, n) = 1
  - □ If  $n = p \times q$  (p, q are primes)  $\rightarrow \varphi(n) = (p-1)(q-1)$
- First choose e then compute d s.t.  $ed \equiv 1 \pmod{\varphi(n)}$ 
  - $d \equiv e^{-1} (mod \varphi(n))$
- Note this works because we know n's factorization
  - □ From e we compute  $d \equiv e^{-1} \mod \varphi(n)$  since we know  $\varphi(n)$ , otherwise it is computational infeasible to compute d s.t.  $X^{ed} \equiv \mod n$

# RSA public key cryptography

#### Key generation:

- Select 2 large prime numbers of about the same size,
   p, q
- □ Select a random integer e, 1 < e < φ(n), s.t. gcd(e, φ(n)) = 1
- □ Compute d,  $1 < d < \varphi(n)$  s.t.  $ed \equiv 1 \mod \varphi(n)$
- $\Box$  Public key: (e, n) and Private key: d
  - Note: p and q must remain secret

# RSA public key cryptography

#### Encryption

- $\Box$  Given a message M, 0 < M < n
- Use public key (e, n) compute :

$$C = M^e \pmod{n}$$

#### Decryption

- $\Box$  Given a ciphertext C, use private key (d) và compute:
  - $M = C^d \pmod{n}$
- Why work?
  - $\square$   $C^d \pmod{n} \equiv M^{ed} \pmod{n} \equiv M \pmod{n}$

## Example

- Parameters:
  - $\Box$  Select p = 11 và q = 13
  - n = 11 \* 13 = 143; m = (p-1)(q-1) = 10 \* 12 = 120
  - □ Choose  $e = 37 \rightarrow \gcd(37,120) = 1$
  - □ Find d such that:  $e \times d \equiv 1 \pmod{120}$  →  $d = 13 (e \times d = 481)$
- To encrypt a binary string
  - □ Split it into segments of u bits,  $2^u \le 142 \implies u = 7$ 
    - each segment presents a number from 1 to 127

  - E.g.: for X = (0000010) = 2, we have  $Y \equiv X^{37} \equiv 12 \pmod{143} \rightarrow Y = (00001100)$
- Decryption:  $X \equiv 12^{13} \pmod{143} = 2 \rightarrow X = 00000010$

## RSA implementation

#### $\blacksquare$ n, p, q

- The security of RSA depends on how large n is, which is often measured in the number of bits for n. Current recommendation is 1024 bits for n.
- □ p and q should have the same bit length, so for 1024 bits RSA, p and q should be about 512 bits.
- p q should not be small
- $\Box$  Way to select p and q
  - In general, select large numbers (some special forms), then test for primality
  - Many implementations use the Rabin-Mille test, (probabilistic test)

- Bézout lemma:
  - Let a and b be integers with greatest common divisor d. Then, there exist integers x and y such that ax + by = d. More generally, the integers of the form ax + by are exactly the multiples of d
- Diophantine equation: ax+by=c
  - □ This equation has solution if and only if c : gcd(a, b)
- If  $1 = GCD(e, n) \rightarrow 1 = xe + yn \rightarrow xe \equiv 1 \pmod{n} \rightarrow x \equiv e^{-1} \pmod{n}$

• Euclidean algorithm for determining  $GCD(r_0, r_1)$ 

$$r_0 = q_1r_1 + r_2, \qquad 0 < r_2 < r_1$$
 $r_1 = q_2r_2 + r_3, \qquad 0 < r_3 < r_2$ 
 $\vdots$ 
 $r_{m-2} = q_{m-1}r_{m-1} + r_m, \quad 0 < r_m < r_{m-1}$ 
 $r_{m-1} = q_mr_m.$ 

□ It can be proved that:  $gcd(r_0, r_1) = gcd(r_1, r_2) = \cdots = gcd(r_{m-1}, r_m) = r_m$ 

- Example
  - $\Box$  Determine gcd(252, 198)

$$252 = 198 \times 1 + 54$$

$$198 = 54 \times 3 + 36$$

$$54 = 36 \times 1 + 18$$

$$36 = 18 \times 2 + 0$$



Gcd(252, 198) = 18

#### Example

 $\square$  Solve: 252x+198y=18

$$252 = 198 \times 1 + 54$$
  
 $198 = 54 \times 3 + 36$   
 $54 = 36 \times 1 + 18$   
 $36 = 18 \times 2 + 0$ 



$$18 = 54-36$$

$$18 = 54-(198-54\times3)$$

$$18 = 54\times4-198$$

$$18 = (252-198)\times4-198$$

$$18 = 252-198\times5$$



$$(x, y) = 1, -5$$

#### Example

 $\square$  Solve: 252x+198y=18

$$252 = 198 \times 1 + 54$$
  
 $198 = 54 \times 3 + 36$   
 $54 = 36 \times 1 + 18$   
 $36 = 18 \times 2 + 0$ 



$$18 = 54-36$$

$$18 = 54-(198-54\times3)$$

$$18 = 54\times4-198$$

$$18 = (252-198)\times4-198$$

$$18 = 252-198\times5$$



$$(x, y) = 1, -5$$

- Example
  - $\Box$  Determine  $28^{-1} \mod 75$



 $\Box$  Correspond to solving equation 28x + 75y = 1

$$75 = 28 \times 2 + 19$$
  
 $28 = 19 \times 1 + 9$   
 $19 = 9 \times 2 + 1$ 



$$1 = 19 - 9 \times 2$$

$$1 = 19 - (28 - 19 \times 1) \times 2 = -28 \times 2 + 19 \times 3$$

$$1 = -28 \times 2 + (75 - 28 \times 2) \times 3 = 75 \times 3 - 28 \times 8$$



$$28^{-1} \mod 75 = -8 \mod 75 = 75 - 8 = 67$$

## Modular exponentiation

- compute  $x^a \pmod{n}$
- Naïve method:
  - $x^a \pmod{n} = x \pmod{n} \times x \pmod{n} \times ... \times x \pmod{n}$
  - $\neg$  repeating modular multiplication for a times
- Square and multiply algorithm

# Square and multiply algorithm

Representing a in binary notation :  $a = \sum_{i=0}^{l} a_i 2^i$ 

```
z \leftarrow 1
For i = l down to 0
z \leftarrow z^2 \mod n
if a_i = 1 then
z \leftarrow (z \times x) \pmod n
end if
End for
Return z
```

```
E.g. Compute x^{19} \mod n

19 = 16 + 2 + 1 = 2^4 + 2^1 + 2^0 = 10011

z \leftarrow 1

i = 4: a_4 = 1; z \leftarrow z^2 \times x \equiv 1^2 \times x \equiv x

i = 3; a_3 = 0; z \leftarrow z^2 \equiv x^2

i = 2; a_2 = 0; z \leftarrow z^2 \equiv x^4

i = 1; a_1 = 1; z \leftarrow z^2 \times x \equiv x^8 \times x \equiv x^9

i = 0; a_1 = 1; z \leftarrow z^2 \equiv x^{18} \times x \equiv x^{19}
```

```
E.g. Compute 3^{19} \mod 5

19 = 10011

z \leftarrow 1

i = 4: a_4 = 1; z \leftarrow 1^2 \times 3 \equiv 3

i = 3; a_3 = 0; z \leftarrow 3^2 \equiv -1

i = 2; a_2 = 0; z \leftarrow (-1)^2 \equiv 1

i = 1; a_1 = 1; z \leftarrow 1^2 \times 3 \equiv 3

i = 0; a_1 = 1; z \leftarrow 3^2 \times 3 \equiv -3 \equiv 2
```

## Exercises

#### 1. Compute

- $1. 17^{-1} \mod 101$
- $2. 357^{-1} \bmod 1234$
- $3125^{-1} \mod 9987$
- 4. 9726<sup>3533</sup> (mod 11413)
- 5. 127296<sup>907</sup> (mod 186101)
- 2. Given p = 61, q = 53
  - create a RSA system with these values
  - 2. Suppose the value of plaintext is 123 -> find the cipher text
  - 3. Decrypt the cipher text to obtain the plain text
- Prove that:  $X^{(p-1)(q-1)} \equiv 1 \pmod{pq} p$ , q are primes
- 4. Write pseudo code for Extended Euclidean algorithm
  - 1. The ones for computing modular multiplicative inverse
- 5. Prove the correctness of square and multiply algorithm

# Projects

- 1. Cryptanalysis for substitution cipher
- 2. Cryptanalysis for vigenere cipher
- A program for encryption and cryptanalysis of RSA as follows.
  - 1. Encryption:
    - Input: plain text, and public key (e, n)
    - 2. Output: cipher text
    - 3. Encryption flow
      - The plaintext is an English document. Each word of the plaintext is encoded as follows
        - DOG  $\rightarrow 3 \times 26^2 + 14 \times 26 + 6 = 2398$
        - CAT  $\rightarrow$  2×26<sup>2</sup> + 0×26 + 6 = 19
      - Each encoded word then is encrypted using RSA with the public key (e, n)
        - Applying square and multiply for determining modular exponent
  - 2. Cryptanalysis
    - Input: cipher text, and public key (e, n)
    - 2. Output: plaintext
    - 3. Hint:
      - Determine primes p, q, s.t.  $n = p \times q$
      - 2. Calculate  $\varphi(n)$
      - 3. Determine private key d
        - By using extended Euclidean algorithm
      - 4. Decrypt with private key d
        - Applying square and multiply for determining modular exponent