

HA NOI UNIVERSITY OF SCIENCE AND TECHNOLOGY SCHOOL OF INFORMATION AND COMMUNICATION TECHNOLOGY

# Lesson 11: Deep learning for NLP

Word embedding

#### Outline

- Overview of natural language processing
- Word and text representation
- Pre-trained NLP models



Overview of natural language processing

#### What is natural language processing (NLP)

- Natural language processing is a branch of artificial intelligence that deals with the interaction between computers and human languages.
- The purpose of natural language processing is to enable computers to read, understand, and derive meaning from human language.





#### **NLP** tasks

- Morphology: how words are constructed, word prefixes and suffixes
- Syntax: the relationship of grammatical structure between words and phrases
- Semantics: meaning of words, phrases, and expressions
- Discourse: the relationship between expressions or sentences
- Pragmatic: utterance purposes, how to use language in communication
- World Knowledge: knowledge about the world, latent knowledge



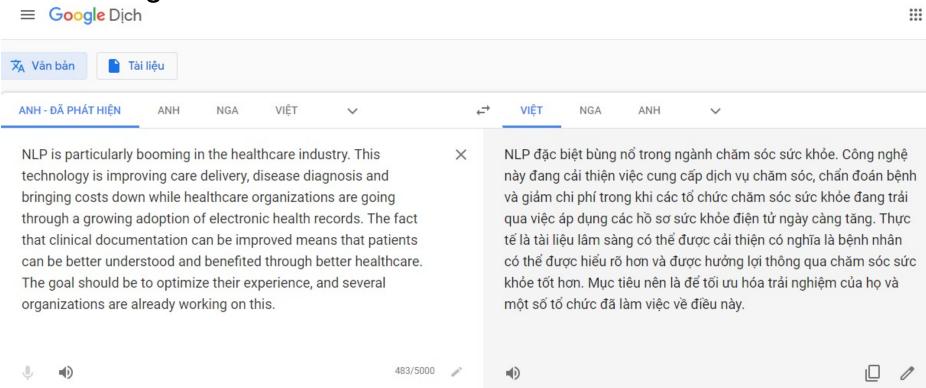
## **NLP** applications

- Speech recognition
- Text mining
  - Text clustering
  - Text classification
  - Text summarization
  - Topic modeling
  - Question answering
- Language tutoring
  - Grammar/Spelling Correction
- Machine translation



#### Machine translation

Google translate





## Conversation systems

Chatbot, virtual assistant, automatic Q&A





Apple's siri system

Google search



#### Information extraction

#### Interstellar (2014)



PG-13 · 2hr 49min · Science Fiction

IMDb

8.9/10 \*\*\*\*

Rotten Tomatoes

73%

In the near future around the American Midwest. Cooper an ex-science engineer and pilot, is tied to his farming land with his daughter Murph and son Tom. As devastating sandstorms ravage earths crops, the people of Earth realize their life here ... +

en.wikipedia.org

Boxoffice gross: \$779 million USD

Estimated budget: \$165 million USD

Release date: Nov 05, 2014 Director: Christopher Nolan

Screenwriters: Christopher Nolan - Jonathan Nolan

Music by: Hans Zimmer

Watch movie

Watch trailer on YouTube

Cast



Matthew McConaug... Cooper



Anne Hathaway Brand



Jessica Chastain Murph



Casey Affleck



Wes Bentley Doyle

See all (20+)



Type Public Flagship

Endowment US\$6.4 billion[1]

US\$2.7 billion (2013-Budget

excludes capital spending)

President Teresa A. Sullivan

Academic staff 2.102 Undergraduates 14,898[2] Postgraduates 6,340<sup>[2]</sup>

Location Charlottesville, Virginia,

United States

Campus Suburban

1,682 acres (6.81 km<sup>2</sup>)



Google Knowledge Graph

Wiki Info Box

#### **Tokenization**

Split text into sentences and words

There was an earthquake near D.C. I've even felt it in Philadelphia, New York, etc.

```
There + was + an + earthquake + near + D.C.
```

```
I + ve + even + felt + it + in +
Philadelphia, + New + York, + etc.
```

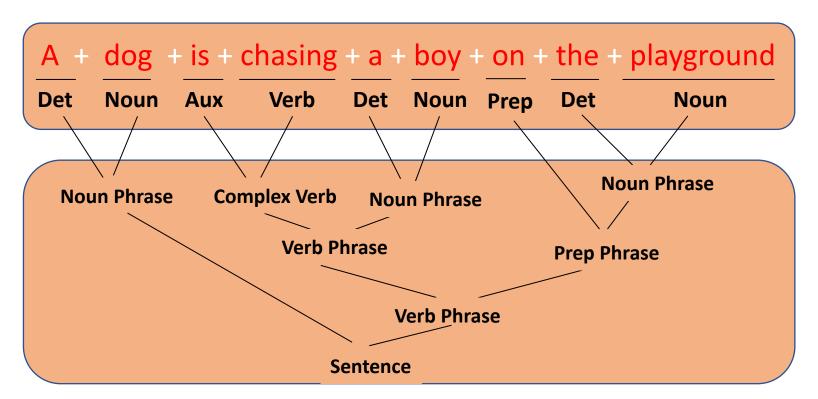
## Part-of-Speech tagging

Identify the word type of every word in the text



## Syntactic parsing

 Grammatical analysis of a given sentence according to grammar rules





# Named entity recognition (NER)

 Search and classify text elements into predefined categories such as names of people, organizations, places, times, quantities, monetary values, etc.

Its initial Board of Visitors included U.S. Presidents Thomas Jefferson, James Madison, and James Monroe.

Its initial Board of Visitors included Presidents Thomas Jefferson, James Madison, and James Monroe.

**Organization, Location, Person** 



#### Relation extraction

- Identify relationships between entities
  - Semantic analysis at a shallow level

Its initial Board of Visitors included Presidents Thomas Jefferson, James Madison, and James Monroe.

- 1. Thomas Jefferson Is\_Member\_Of Board of Visitors
- 2. Thomas Jefferson Is\_President\_Of



## Semantic parsing

Deep level semantic analysis

Its initial Board of Visitors included Presidents Thomas Jefferson, James Madison, and James Monroe.

 $\exists x \text{ (Is\_Person}(x) \& \text{Is\_President\_Of}(x,' \cup S') \\ \& \text{Is\_Member\_Of}(x,' \text{Board of Visitors}'))$ 



# Word and text representation

## Word and text representation

 WordNet: a dictionary containing lists of synonyms and hypernyms

e.g. synonym sets containing "good":

```
noun: good, goodness
noun: good, goodness
noun: commodity, trade_good, good
adj: good
adj (sat): estimable, good, honorable, respectable
adj (sat): beneficial, good
adj (sat): good
adj (sat): good
adj (sat): good
adj (sat): good, just, upright
...
adverb: well, good
adverb: thoroughly, soundly, good
```

e.g. hypernyms of "panda":

```
from nltk.corpus import wordnet as wn
panda = wn.synset("panda.n.01")
hyper = lambda s: s.hypernyms()
list(panda.closure(hyper))
```

```
[Synset('procyonid.n.01'),
Synset('carnivore.n.01'),
Synset('placental.n.01'),
Synset('mammal.n.01'),
Synset('vertebrate.n.01'),
Synset('chordate.n.01'),
Synset('animal.n.01'),
Synset('organism.n.01'),
Synset('living_thing.n.01'),
Synset('whole.n.02'),
Synset('object.n.01'),
Synset('physical_entity.n.01'),
Synset('entity.n.01')]
```

## Disadvantages of WordNet

- Lack of nuance
  - For example, "sacrifice" means "death"
- Missing meaning for
  - New words about technology, teen language...
- Wordnet depends on the subjective thoughts of the makers
- It takes a lot of labor to create and edit
- Can't calculate similarity between two words



## One-hot encoding

- Representing words as discrete symbols
- The length of the vector is equal to the number of words in the dictionary



## Problem of one-hot encoding

- Users searching for "Hanoi hotel", we will also want to show results for "Hanoi motel"
- But these two words are orthogonal, the similarity is zero!



## Problem of one-hot encoding

- The vectors are too long
  - With daily language of about 20K words, machine translation 50K words, material science 500K words, google web crawl 13M words

$$motel = [0 0 0 0 0 0 0 0 0 0 1 0 0 0 0]$$
  
 $hotel = [0 0 0 0 0 0 0 1 0 0 0 0 0 0]$ 

- Solution
  - Relying on WordNet? but WordNet is not perfect and many disadvantages...
  - Learn to encode similarities in vector representations



#### Representing a word by its context

 Distributed semantics: The meaning of a word is determined by the words that often appear near it "You shall know a word by the company it keeps" (J. R. Firth 1957: 11)

- When a word appears in text, its context is the set of words that appear next to it (in a fixed-size window).
- Use different contexts of a word to build its meaning

```
...government debt problems turning into banking crises as happened in 2009...

...saying that Europe needs unified banking regulation to replace the hodgepodge...

...India has just given its banking system a shot in the arm...
```



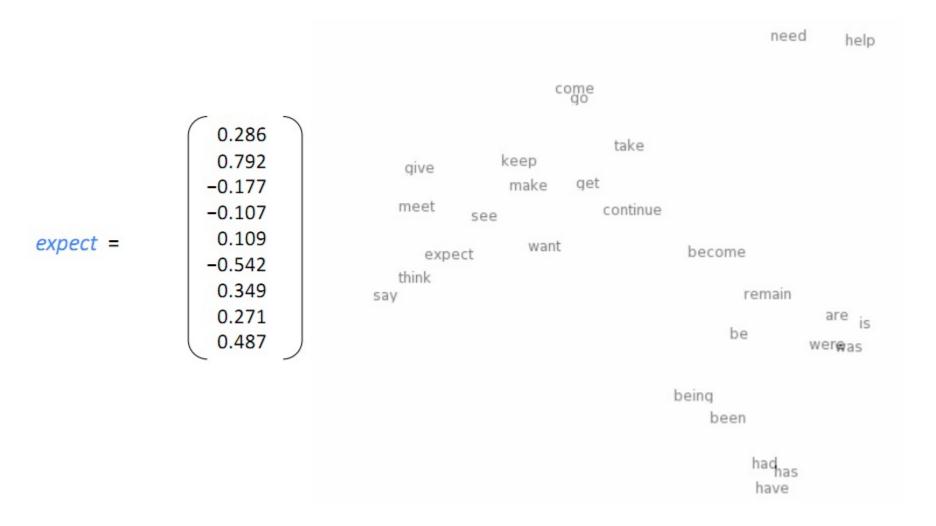
#### Word vector

- Each word is represented by a dense vector such that this vector is similar to the vectors representing other words that often appear in similar contexts.
- Word vectors are also known as word embeddings or word representations

banking = 0.286 0.792 -0.177 -0.107 0.109 -0.542 0.349 0.271



#### Word vector





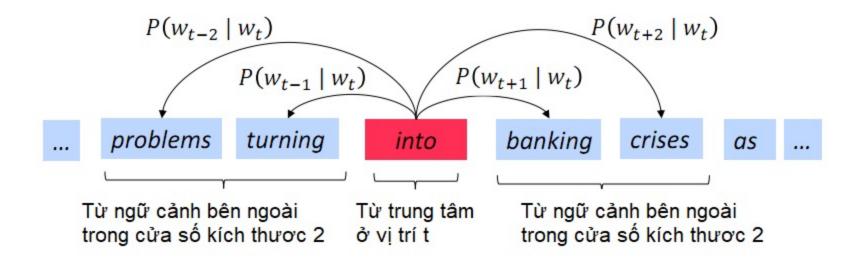
 Word2vec (Mikolov et al. 2013) is a method to learn the word representation

#### Idea

- Using a large set of documents (corpus)
- Each word in a fixed vocabulary is represented by a vector.
- Traverse each position t in the text, each containing the central word c and the outer context words o
- Use the similarity of the representation vectors c and o to calculate the probability that o occurs when c is present (or vice versa).
- Tweak the word vectors to maximize this probability

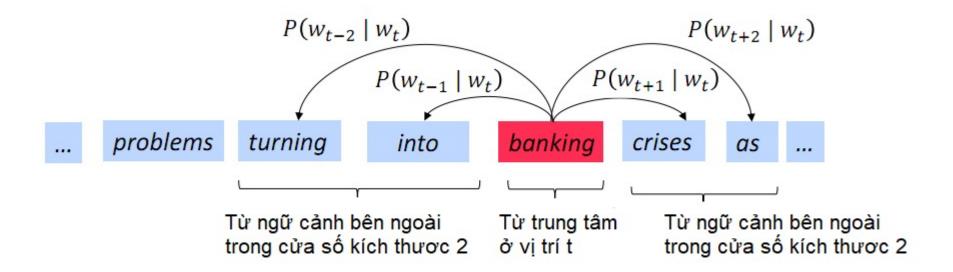


• Example,  $P(w_{t+j} | w_t)$  in a window of size 2





• Example,  $P(w_{t+j} | w_t)$  in a window of size 2



## Word2vec: objective function

Likelihood:

Likelihood = 
$$L(\theta) = \prod_{t=1}^{T} \prod_{-m \le j \le m} P(w_{t+j} \mid w_t; \theta)$$

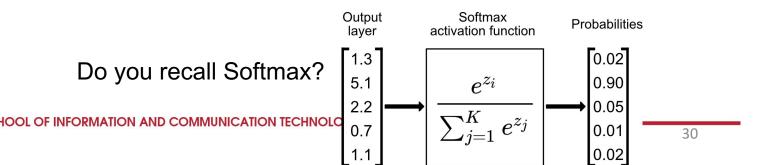
Loss function:

$$J(\theta) = -\frac{1}{T} \log L(\theta) = -\frac{1}{T} \sum_{t=1}^{T} \sum_{-m \le j \le m} \log P(w_{t+j} \mid w_t; \theta)$$



- How to calculate  $P(w_{t+j} | w_t; \theta)$ ?
- We will use two vectors for each word w:
  - v<sub>w</sub> when w is the central word
  - u<sub>w</sub> when w is the outer context word
- Then with the central word c and the outer context word o we have:

$$P(o|c) = \frac{\exp(u_o^T v_c)}{\sum_{w \in V} \exp(u_w^T v_c)}$$





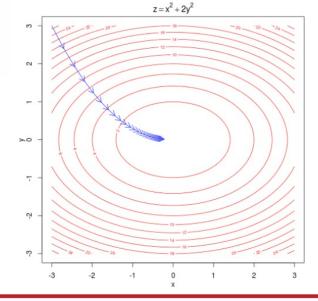
Model parameters

$$\theta = \begin{bmatrix} v_{aardvark} \\ v_{a} \\ \vdots \\ v_{zebra} \\ u_{aardvark} \\ u_{a} \\ \vdots \\ u_{zebra} \end{bmatrix}$$

 $\in \mathbb{R}^{2dV}$ 

• Training by SGD:

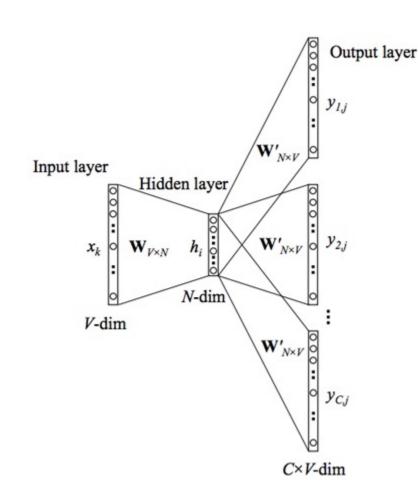
$$\theta^{new} = \theta^{old} - \alpha \nabla_{\theta} J(\theta)$$





## Word2vec: The skip-gram model

- Dictionary size: V
- Input layer: one-hot encoding of the central word
- k<sup>th</sup> row of W<sub>VxN</sub> is the central vector of the k<sup>th</sup> word.
- The k<sup>th</sup> column of W'<sub>NxV</sub> is the context vector fo the k<sup>th</sup> in V.
- Notice that each word is represented by two vectors, both randomly initialized.





## Word2vec: The skip-gram model

#### Samples quick brown fox jumps over the lazy dog. $\Longrightarrow$ (the, quick) (the, brown) The quick brown fox jumps over the lazy dog. (quick, the) (quick, brown) (quick, fox) The quick brown fox jumps over the lazy dog. (brown, the) (brown, quick) (brown, fox) (brown, jumps) jumps quick brown fox the lazy dog. The over (fox, quick) (fox, brown) (fox, jumps) (fox, over)



Source Text

Training

## Word2vec: The skip-gram model

 Problem: The denominator takes a long time to calculate!

$$P(o|c) = \frac{\exp(u_o^T v_c)}{\sum_{w \in V} \exp(u_w^T v_c)}$$

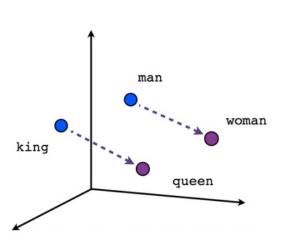
Use negative sampling:

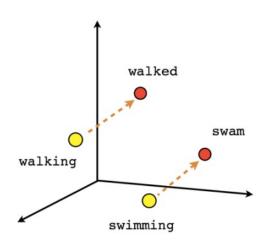
$$J(\theta) = \frac{1}{T} \sum_{t=1}^{T} J_t(\theta)$$
$$J_t(\theta) = \log \sigma \left( u_o^T v_c \right) + \sum_{i=1}^{\kappa} \mathbb{E}_{j \sim P(w)} \left[ \log \sigma \left( -u_j^T v_c \right) \right]$$

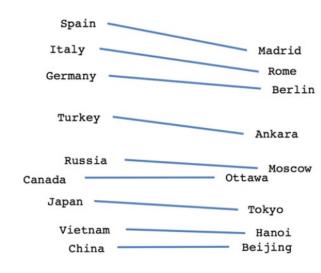
•  $p(w)=U(w)^{3/4}/Z$ , where U(w) is a 1-gram distribution



#### Some results of word2vec







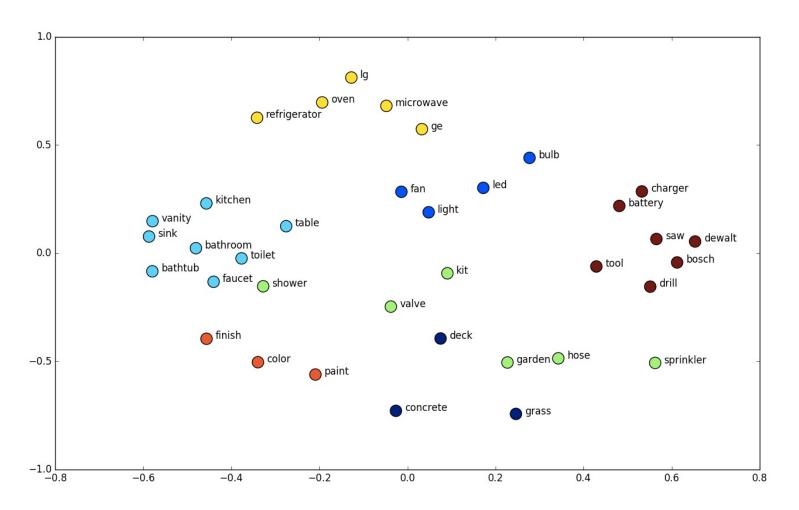
Male-Female

Verb tense

Country-Capital

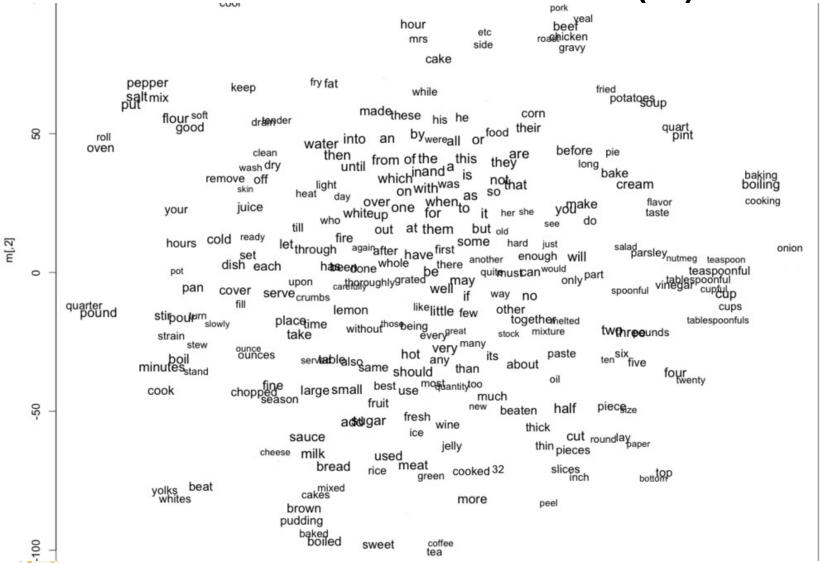


## Some results of word2vec (2)





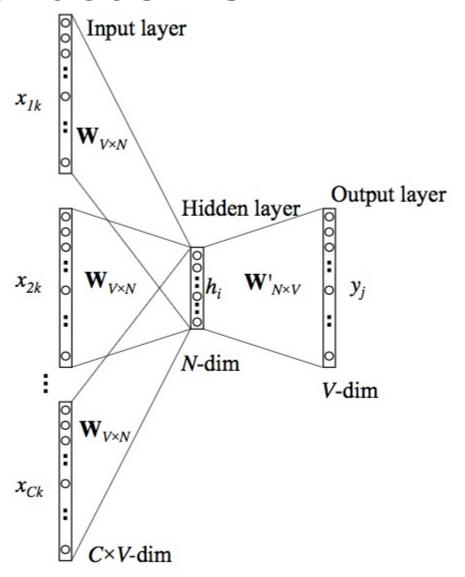
# Some results of word2vec (3)





# Word2vec: Continuous BOW

 Use context words to guess the central word





# Words and co-occurrence vectors



## Co-occurrence Matrices

- We represent how often a word occurs in a document
  - Term-document matrix
- Or how often a word occurs with another
  - Term-term matrix
  - (or word-word co-occurrence matrix or word-context matrix)



## Term-document matrix

- Each cell: count of word w in a document d:
- Each document is a count vector in N<sup>v</sup>: a column below
- Two documents are similar if their vectors are similar

|         | As You Lik | e It | Twelfth Night | Julius Caesar | Henry V |
|---------|------------|------|---------------|---------------|---------|
| battle  |            | 1    | 1             | 8             | 15      |
| soldier |            | 2    | 2             | 12            | 36      |
| fool    |            | 37   | 58            | 1             | 5       |
| clown   |            | 6    | 117           | 0             | 0       |



#### The words in a term-document matrix

- Each word is a count vector in N<sup>D</sup>: a row below
- Two words are similar if their vectors are similar

|         | As You Like It | Twelfth Night | Julius Caesar | Henry V |
|---------|----------------|---------------|---------------|---------|
| battle  | 1              | 1             | 8             | 15      |
| soldier | 2              | 2             | 12            | 36      |
| fool    | 37             | 58            | 1             | 5       |
| clown   | 6              | 117           | 0             | 0       |



#### Window based co-occurrence matrix

- Or the word-word or word-context matrix
- Instead of entire documents, use smaller contexts
  - Paragraph
  - Window of ± 4 words
- Symmetrical (regardless of left and right)
- Corpus example:
  - I like deep learning.
  - I like NLP.
  - I enjoy flying.



#### Window based co-occurrence matrix

- Window size = 1 (normaly 5-10)
- Symmetrical (regardless of left and right)
- Corpus example:
  - I like deep learning.
  - I like NLP.
  - I enjoy flying.

| counts   | 1 | like | enjoy | deep | learning | NLP | flying |   |
|----------|---|------|-------|------|----------|-----|--------|---|
| T.       | 0 | 2    | 1     | 0    | 0        | 0   | 0      | 0 |
| like     | 2 | 0    | 0     | 1    | 0        | 1   | 0      | 0 |
| enjoy    | 1 | 0    | 0     | 0    | 0        | 0   | 1      | 0 |
| deep     | 0 | 1    | 0     | 0    | 1        | 0   | 0      | 0 |
| learning | 0 | 0    | 0     | 1    | 0        | 0   | 0      | 1 |
| NLP      | 0 | 1    | 0     | 0    | 0        | 0   | 0      | 1 |
| flying   | 0 | 0    | 1     | 0    | 0        | 0   | 0      | 1 |
|          | 0 | 0    | 0     | 0    | 1        | 1   | 1      | 0 |



## Problem of co-occurrence matrix

- Size increases as word count increases
- Large number of dimensions, requires a lot of storage memory
- Solution
  - Dimension reduction
  - Usually 25-1000 dimensions (equivalent to word2vec)

$$\begin{bmatrix} X \\ X \end{bmatrix} = \begin{bmatrix} W \\ W \end{bmatrix} \begin{bmatrix} \sigma_1 & 0 & 0 & \dots & 0 \\ 0 & \sigma_2 & 0 & \dots & 0 \\ 0 & 0 & \sigma_3 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & \sigma_k \end{bmatrix} \begin{bmatrix} C \\ k \times |V| \end{bmatrix}$$

$$|V| \times |V| \qquad |V| \times k \qquad k \times k$$

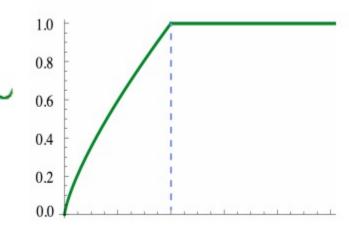


## GloVe

Combining word2vec and co-occurrence matrix

$$J = \sum_{i,j=1}^{V} f(X_{ij}) \left(w_i^T \tilde{w}_j + b_i + \tilde{b}_j - \log X_{ij}\right)^2$$

- Quick training
- Scalable for large corpus f
- Good performance even with small corpus and small vectors



 Learn word vectors such that their dot product equals the logarithm of the words' probability of co-occurrence



# Pre-trained NLP models

#### Gensim

pip install gensim

```
from gensim.models.word2vec import Word2Vec
from multiprocessing import cpu count
import gensim.downloader as api
# DownLoad dataset
dataset = api.load("text8")
data = [d for d in dataset]
# Split the data into 2 parts. Part 2 will be used later to update the model
data part1 = data[:1000]
data part2 = data[1000:]
# Train Word2Vec model. Defaults result vector size = 100
model = Word2Vec(data_part1, min_count = 0, workers=cpu_count())
# Get the word vector for given word
model['topic']
#> array([ 0.0512, 0.2555, 0.9393, ..., -0.5669, 0.6737], dtype=float32)
```



## Gensim

```
model.most similar('topic')
#> [('discussion', 0.7590423822402954),
#> ('consensus', 0.7253159284591675),
#> ('discussions', 0.7252693176269531),
#> ('interpretation', 0.7196053266525269),
#> ('viewpoint', 0.7053568959236145),
#> ('speculation', 0.7021505832672119),
#> ('discourse', 0.7001898884773254),
#> ('opinions', 0.6993060111999512),
#> ('focus', 0.6959210634231567),
#> ('scholarly', 0.6884037256240845)]
# Save and Load Model
model.save('newmodel')
model = Word2Vec.load('newmodel')
# Update the model with new data.
model.build vocab(data part2, update=True)
model.train(data part2, total examples=model.corpus count, epochs=model.iter)
model['topic']
# array([-0.6482, -0.5468, 1.0688, 0.82 , ..., -0.8411, 0.3974], dtype=float32)
```



#### Gensim

Use pretrained models in Gensim

```
import gensim.downloader as api
# DownLoad the models
fasttext model300 = api.load('fasttext-wiki-news-subwords-300')
word2vec model300 = api.load('word2vec-google-news-300')
glove model300 = api.load('glove-wiki-gigaword-300')
# Get word embeddings
word2vec_model300.most_similar('support')
# [('supporting', 0.6251285076141357),
 ('backing', 0.6007589101791382),
  ('supports', 0.5269277691841125),
  ('assistance', 0.520713746547699),
  ('supportive', 0.5110025405883789)]
```



## Pretrained models

#### **BERT:**

- Github: <a href="https://github.com/google-research/bert">https://github.com/google-research/bert</a>
- Paper: <u>Bidirectional Encoder Representations from Transformers</u>

#### **XLNet:**

- Github: <a href="https://github.com/zihangdai/xlnet">https://github.com/zihangdai/xlnet</a>
- Paper: XLNet: Generalized Autoregressive Pretraining for Language Understanding



# References

1. Stanford cs244n

https://web.stanford.edu/class/archive/cs/cs224n/cs224n .1194/





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Thank you for your attention!!!

