

# Machine Learning – Lecture 15

## Convolutional Neural Networks III

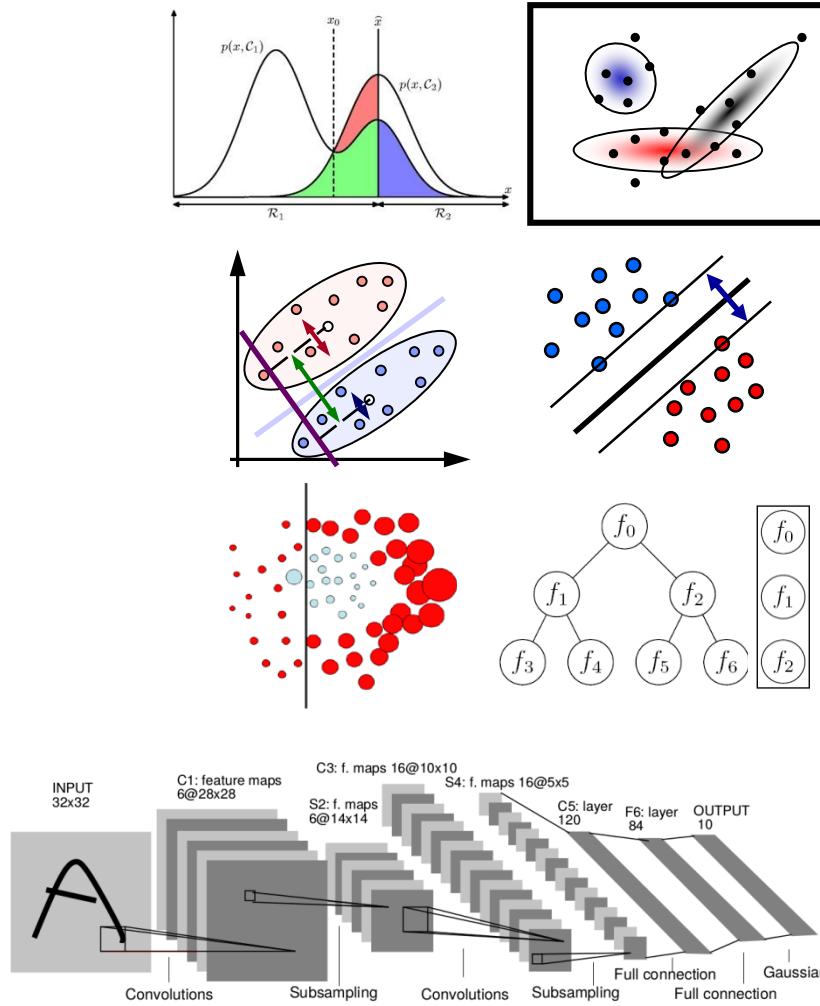
10.01.2019

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# Course Outline

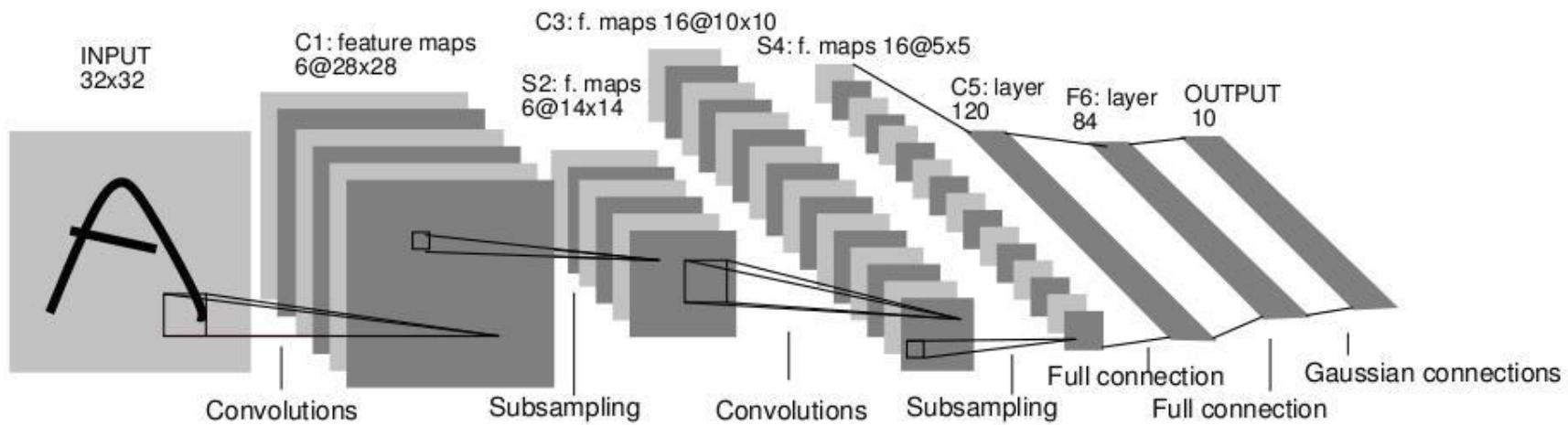
- Fundamentals
  - Bayes Decision Theory
  - Probability Density Estimation
- Classification Approaches
  - Linear Discriminants
  - Support Vector Machines
  - Ensemble Methods & Boosting
  - Random Forests
- Deep Learning
  - Foundations
  - Convolutional Neural Networks
  - Recurrent Neural Networks



# Topics of This Lecture

- Recap: CNN Architectures
- Residual Networks
  - Detailed analysis
  - ResNets as ensembles of shallow networks
- Applications of CNNs
  - Object detection
  - Semantic segmentation
  - Face identification

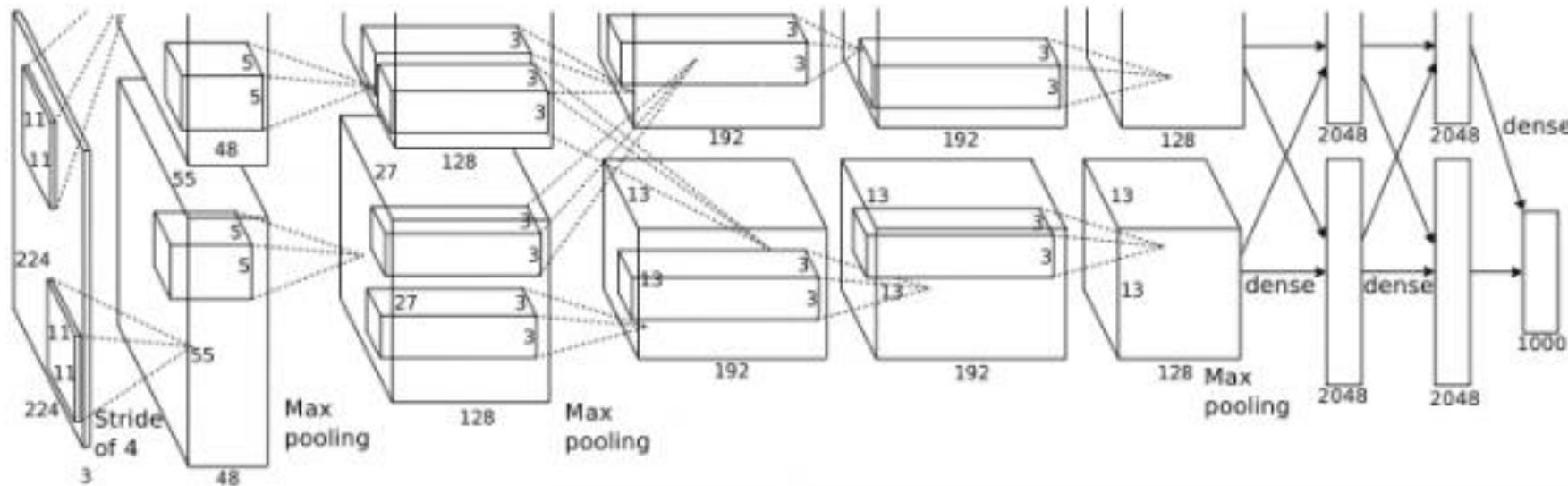
# Recap: Convolutional Neural Networks



- Neural network with specialized connectivity structure
  - Stack multiple stages of feature extractors
  - Higher stages compute more global, more invariant features
  - Classification layer at the end

Y. LeCun, L. Bottou, Y. Bengio, and P. Haffner, [Gradient-based learning applied to document recognition](#), Proceedings of the IEEE 86(11): 2278–2324, 1998.

# Recap: AlexNet (2012)



- Similar framework as LeNet, but
  - Bigger model (7 hidden layers, 650k units, 60M parameters)
  - More data ( $10^6$  images instead of  $10^3$ )
  - GPU implementation
  - Better regularization and up-to-date tricks for training (Dropout)

A. Krizhevsky, I. Sutskever, and G. Hinton, [ImageNet Classification with Deep Convolutional Neural Networks](#), NIPS 2012.

# Recap: VGGNet (2014/15)

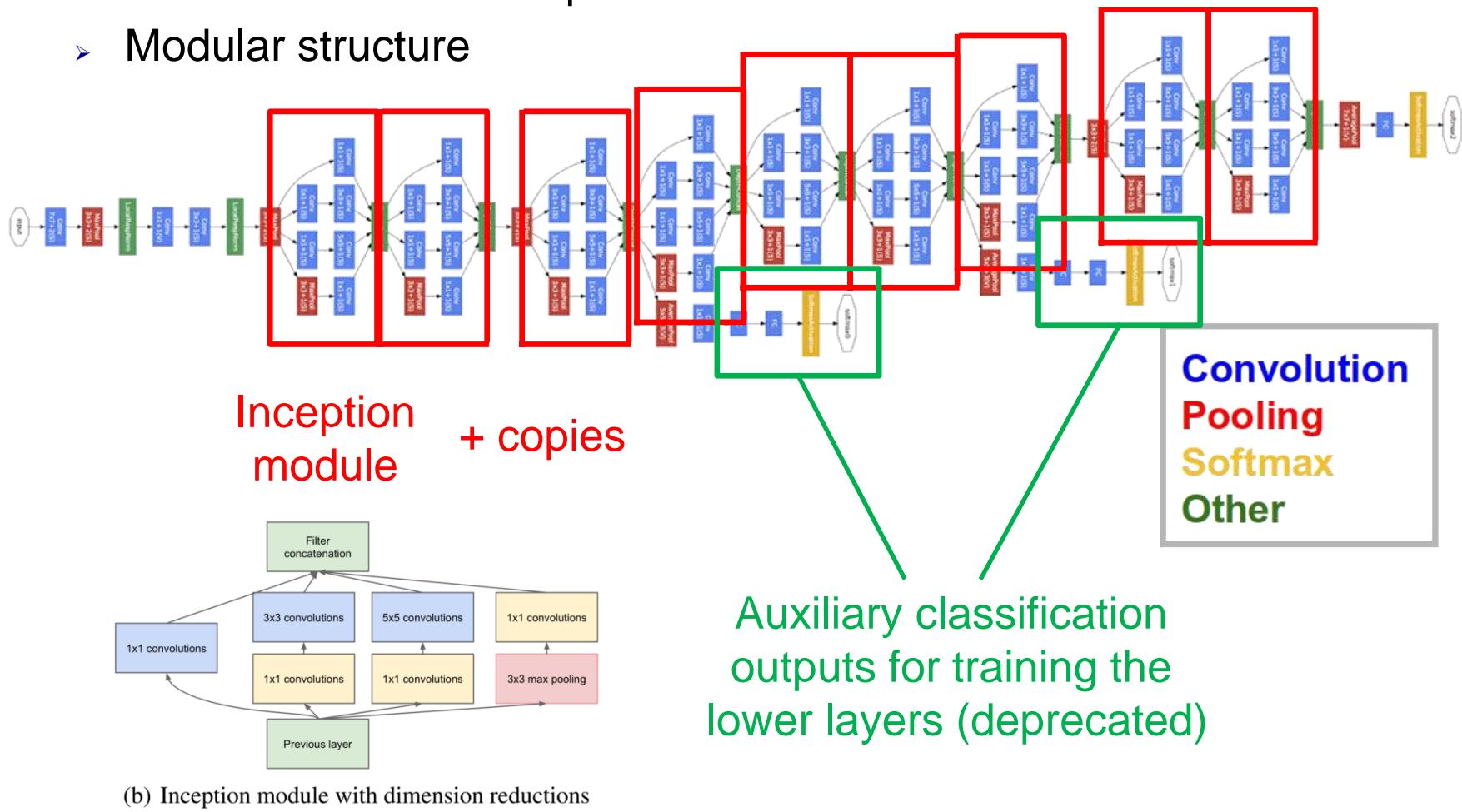
- Main ideas
  - Deeper network
  - Stacked convolutional layers with smaller filters (+ nonlinearity)
  - Detailed evaluation of all components
- Results
  - Improved ILSVRC top-5 error rate to 6.7%.

ConvNet Configuration					
A	A-LRN	B	C	D	E
11 weight layers	11 weight layers	13 weight layers	16 weight layers	16 weight layers	19 weight layers
input ( $224 \times 224$ RGB image)					
conv3-64	conv3-64 LRN	conv3-64 <b>conv3-64</b>	conv3-64 conv3-64	conv3-64 conv3-64	conv3-64 conv3-64
maxpool					
conv3-128	conv3-128	conv3-128 <b>conv3-128</b>	conv3-128 conv3-128	conv3-128 conv3-128	conv3-128 conv3-128
maxpool					
conv3-256	conv3-256 conv3-256	conv3-256 conv3-256	conv3-256 conv3-256 <b>conv1-256</b>	conv3-256 conv3-256 <b>conv3-256</b>	conv3-256 conv3-256 <b>conv3-256</b> <b>conv3-256</b>
maxpool					
conv3-512	conv3-512 conv3-512	conv3-512 conv3-512	conv3-512 conv3-512 <b>conv1-512</b>	conv3-512 conv3-512 <b>conv3-512</b>	conv3-512 conv3-512 <b>conv3-512</b> <b>conv3-512</b>
maxpool					
conv3-512	conv3-512 conv3-512	conv3-512 conv3-512	conv3-512 conv3-512 <b>conv1-512</b>	conv3-512 conv3-512 <b>conv3-512</b>	conv3-512 conv3-512 <b>conv3-512</b> <b>conv3-512</b>
maxpool					
FC-4096					
FC-4096					
FC-1000					
soft-max					

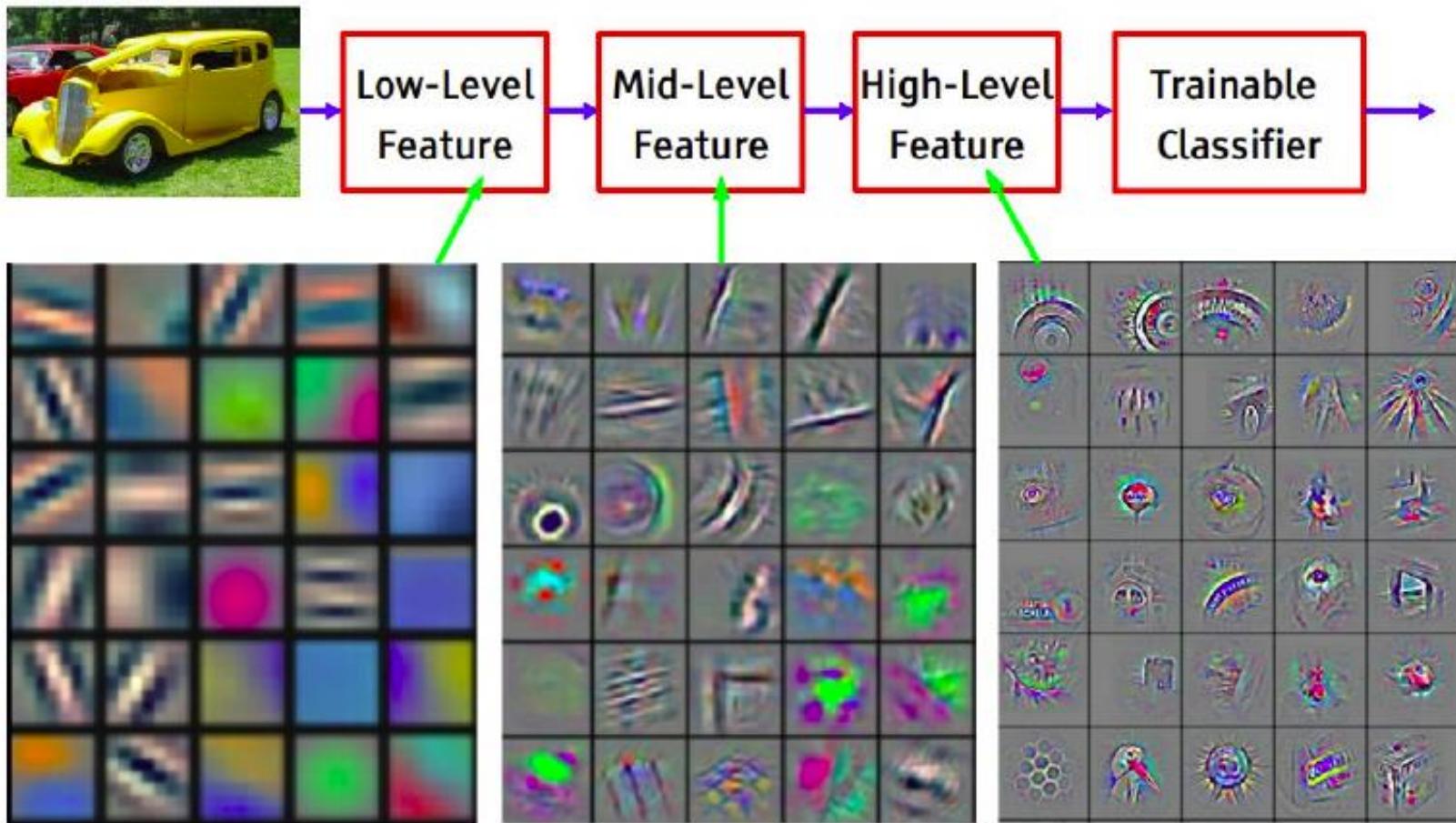
Mainly used

# Recap: GoogLeNet (2014)

- Ideas:
  - Learn features at multiple scales
  - Modular structure



# Recap: Visualizing CNNs



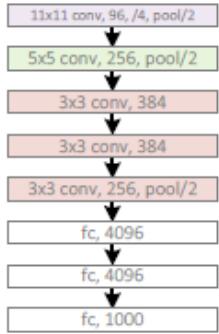
Feature visualization of convolutional net trained on ImageNet from [Zeiler & Fergus 2013]

# Topics of This Lecture

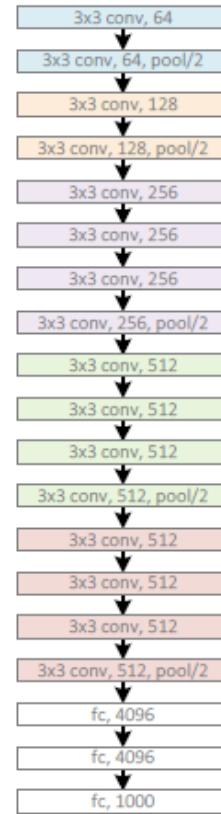
- Recap: CNN Architectures
- **Residual Networks**
  - Detailed analysis
  - ResNets as ensembles of shallow networks
- Applications of CNNs
  - Object detection
  - Semantic segmentation
  - Face identification

# Recap: Residual Networks

AlexNet, 8 layers  
(ILSVRC 2012)



VGG, 19 layers  
(ILSVRC 2014)



GoogleNet, 22 layers  
(ILSVRC 2014)



# Recap: Residual Networks

AlexNet, 8 layers  
(ILSVRC 2012)

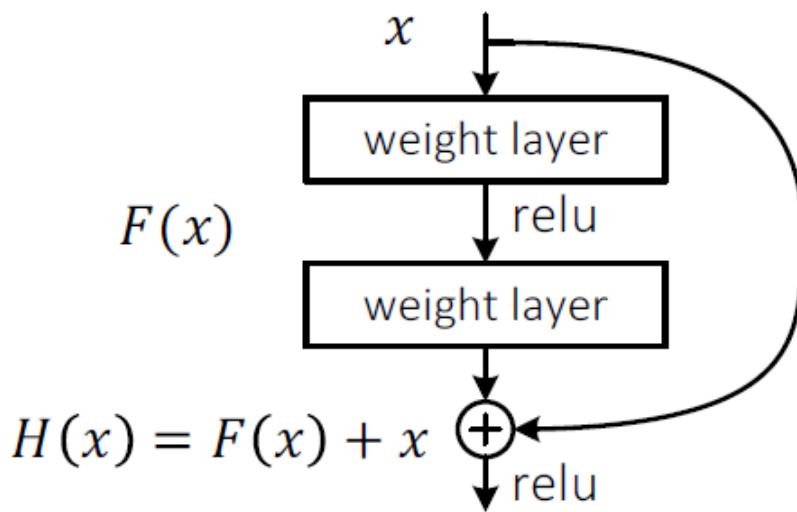


VGG, 19 layers  
(ILSVRC 2014)

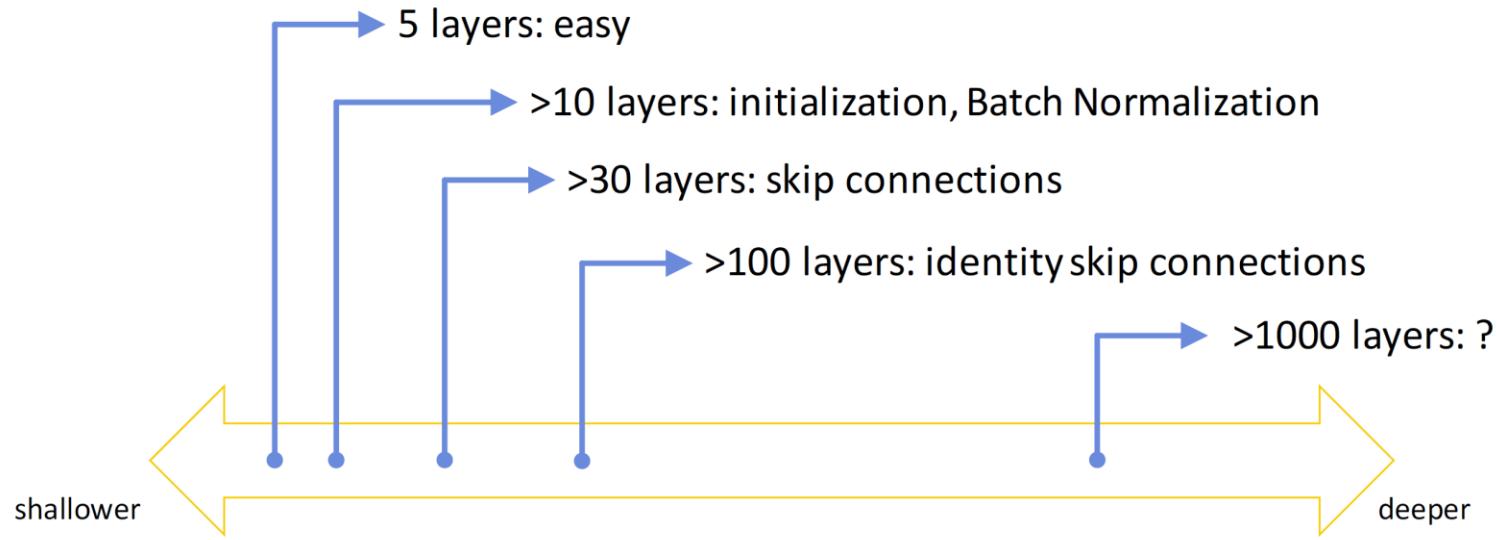


ResNet, 152 layers  
(ILSVRC 2015)

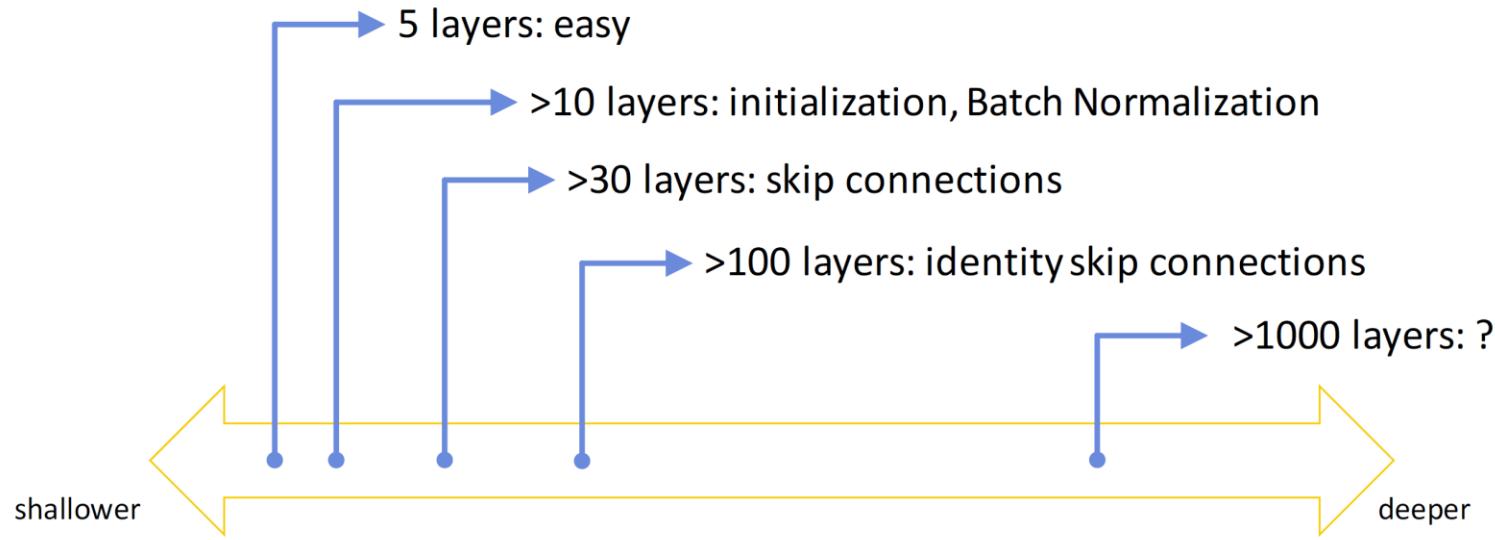
- Core component
  - Skip connections bypassing each layer
  - Better propagation of gradients to the deeper layers



# Spectrum of Depth



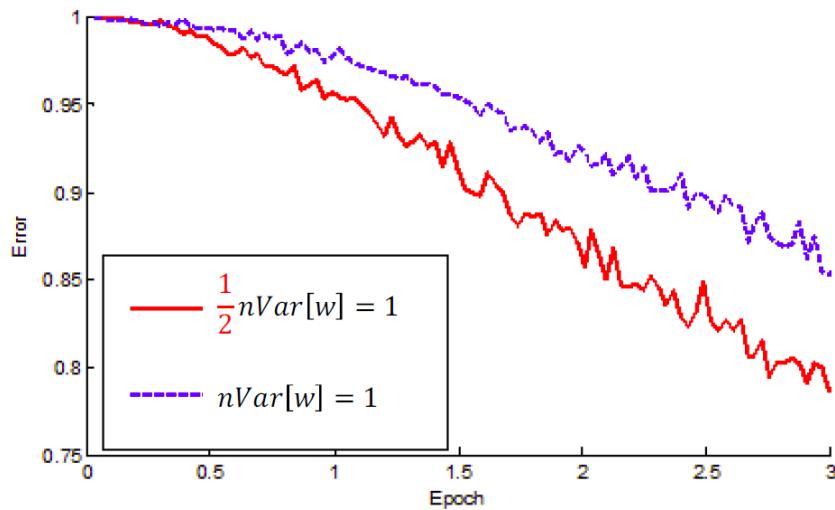
# Spectrum of Depth



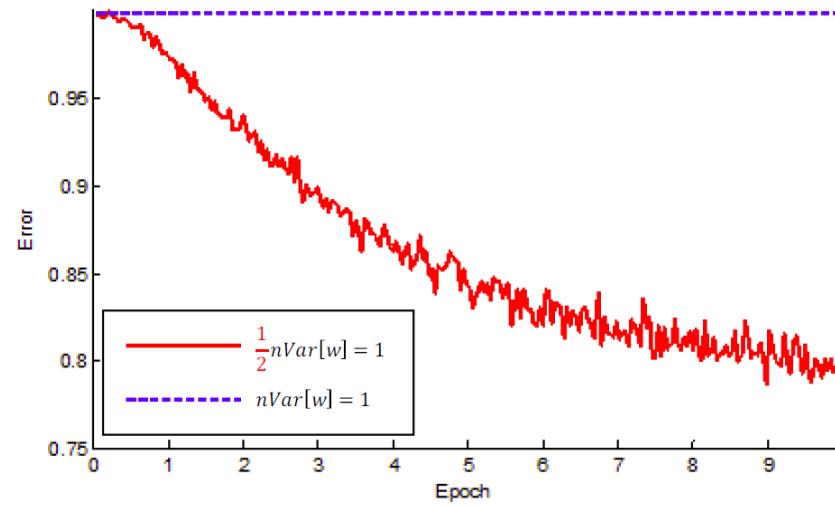
- Deeper models are more powerful
  - But training them is harder.
  - Main problem: getting the gradients back to the early layers
  - The deeper the network, the more effort is required for this.

# Initialization

22-layer ReLU net:  
good init converges faster

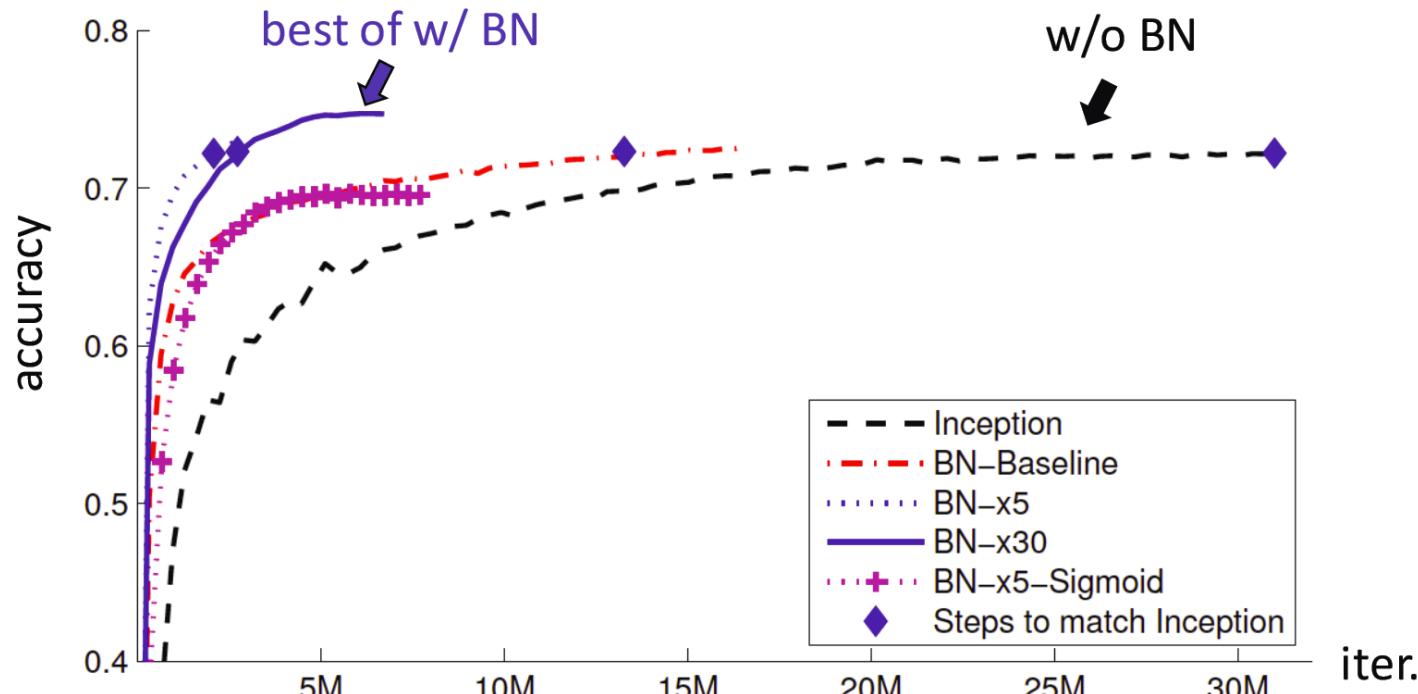


30-layer ReLU net:  
good init is able to converge



- Importance of proper initialization (Recall Lecture 12)
    - Glorot initialization for tanh nonlinearities
    - He initialization for ReLU nonlinearities
- ⇒ For deep networks, this really makes a difference!

# Batch Normalization

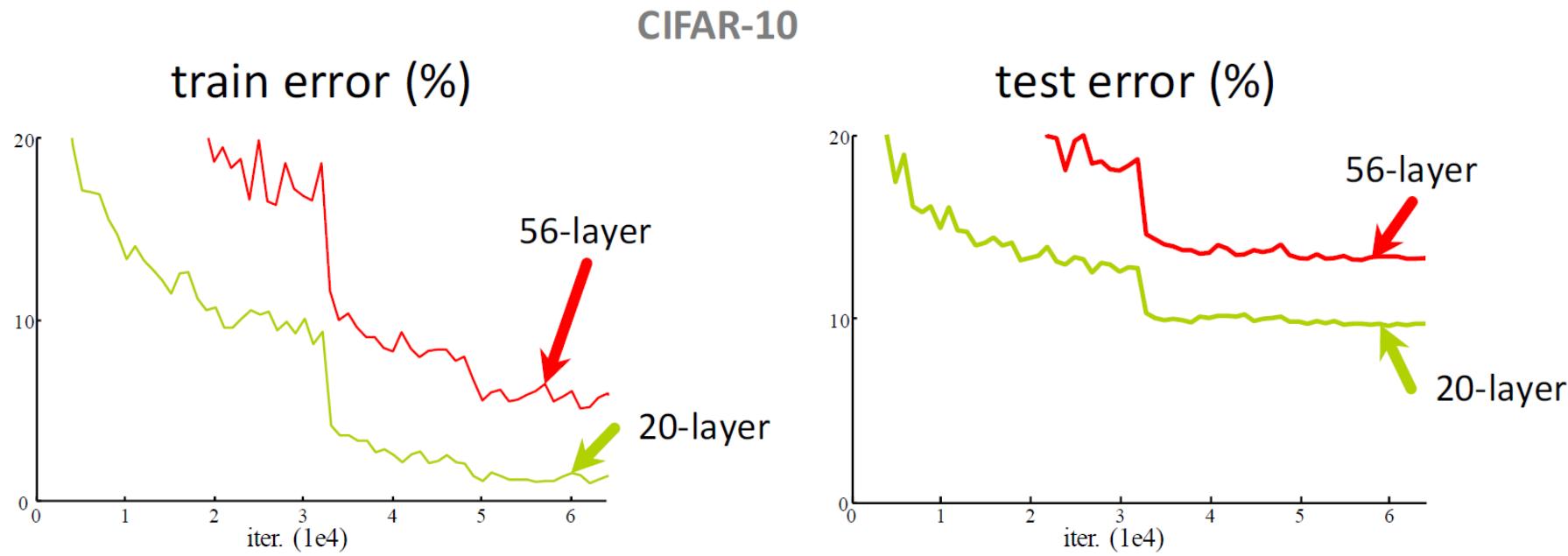


- Effect of batch normalization
  - Greatly improved speed of convergence
  - Often better accuracy achievable

# Going Deeper

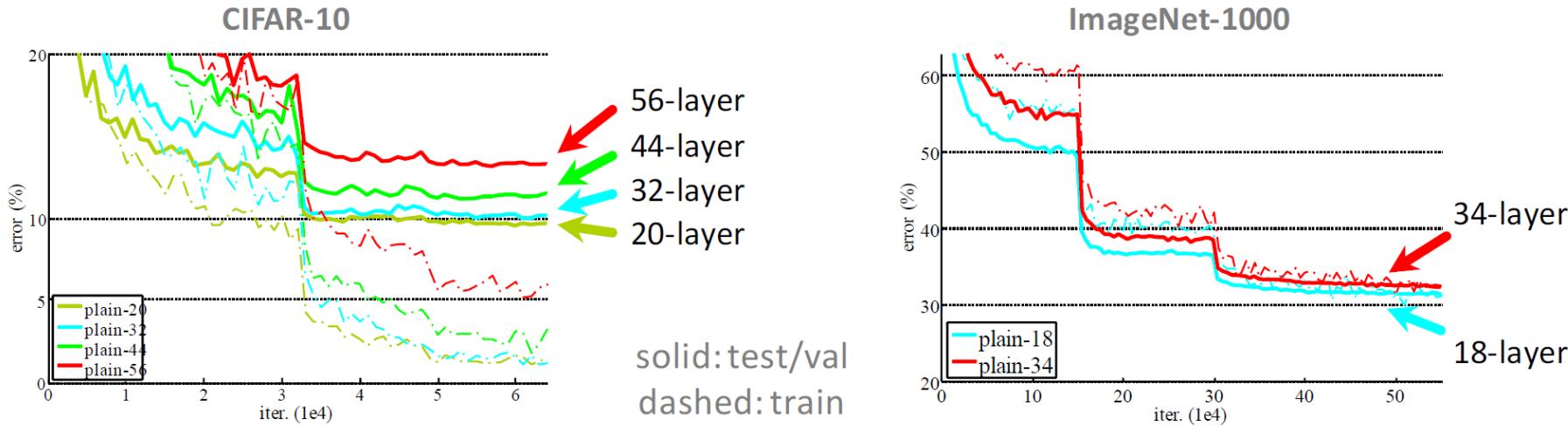
- Checklist
  - Initialization ok
  - Batch normalization ok
  - Are we now set?
    - Is learning better networks now as simple as stacking more layers?

# Simply Stacking Layers?



- Experiment going deeper
  - Plain nets: stacking  $3 \times 3$  convolution layers
  - ⇒ 56-layer net has **higher training error** than 20-layer net

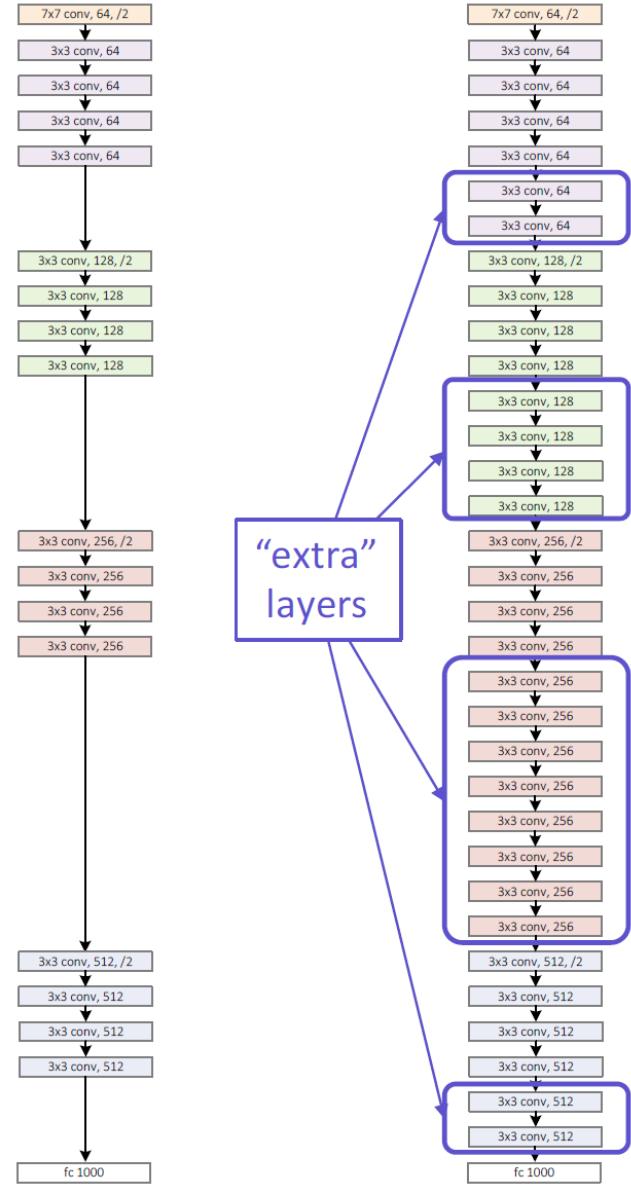
# Simply Stacking Layers?



- General observation
  - Overly deep networks have higher training error
  - A general phenomenon, observed in many training sets

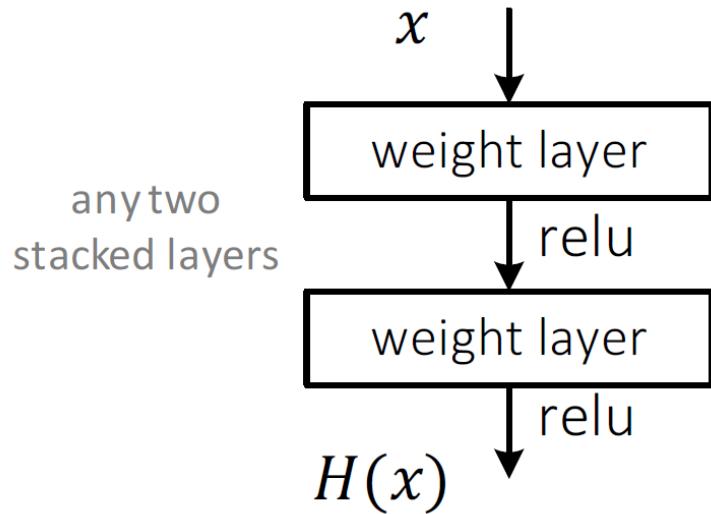
# Why Is That???

- A deeper model should not have higher training error!
  - Richer solution space should allow it to find better solutions
- Solution by construction
  - Copy the original layers from a learned shallower model
  - Set the extra layers as identity
  - Such a network should achieve at least the same low training error.
- Reason: Optimization difficulties
  - Solvers cannot find the solution when going deeper...



# Deep Residual Learning

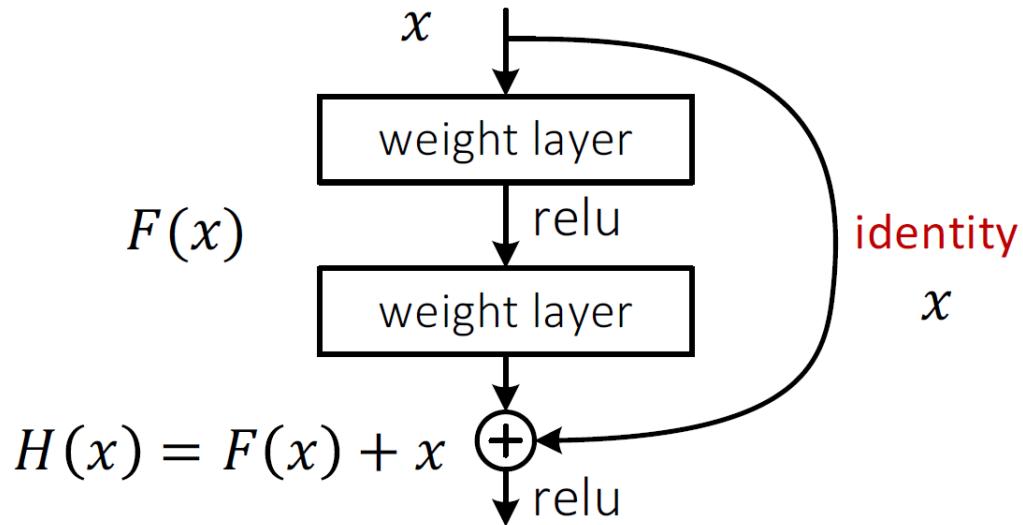
- Plain net



- $H(x)$  is any desired mapping
- Hope the 2 weight layers fit  $H(x)$

# Deep Residual Learning

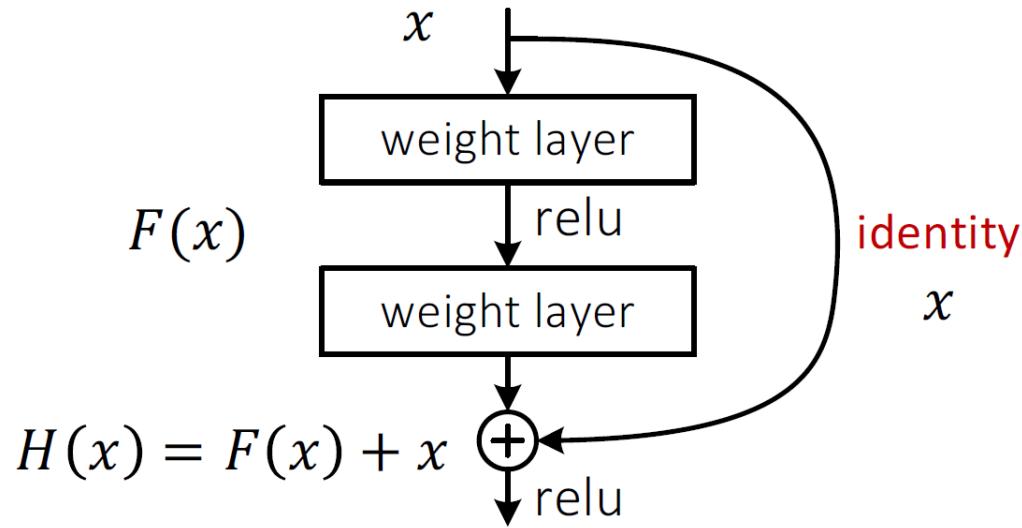
- Residual net



- $H(x)$  is any desired mapping
- ~~Hope the 2 weight layers fit  $H(x)$~~
- Hope the 2 weight layers fit  $F(x)$   
Let  $H(x) = F(x) + x$

# Deep Residual Learning

- $F(x)$  is a residual mapping w.r.t. identity

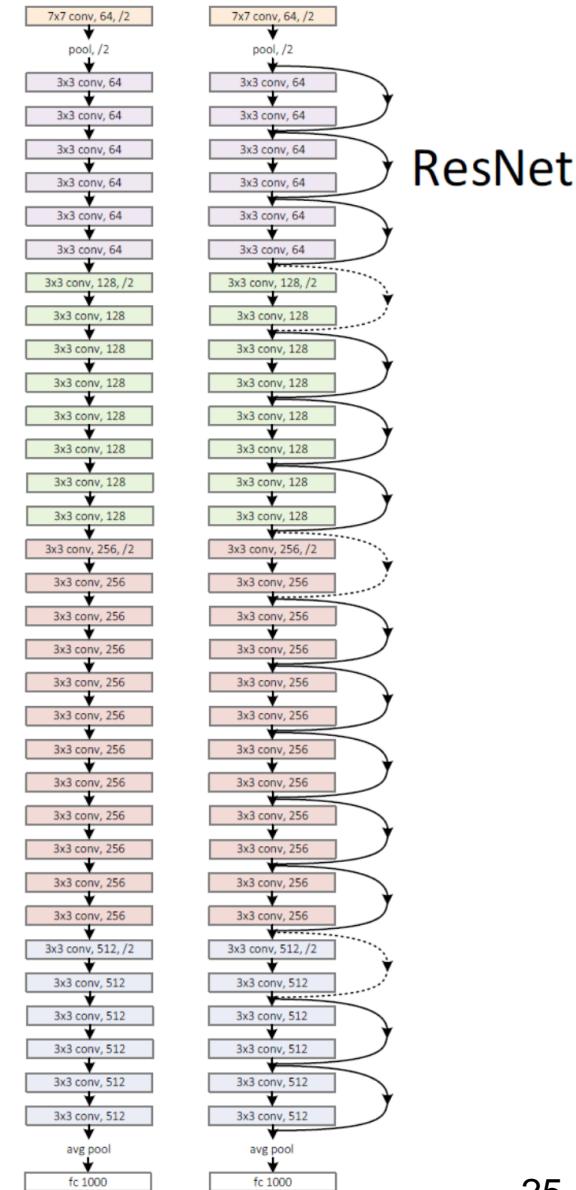


- If identity were optimal, it is easy to set weights as 0
- If optimal mapping is closer to identity, it is easier to find small fluctuations
- Further advantage: direct path for the gradient to flow to the previous stages

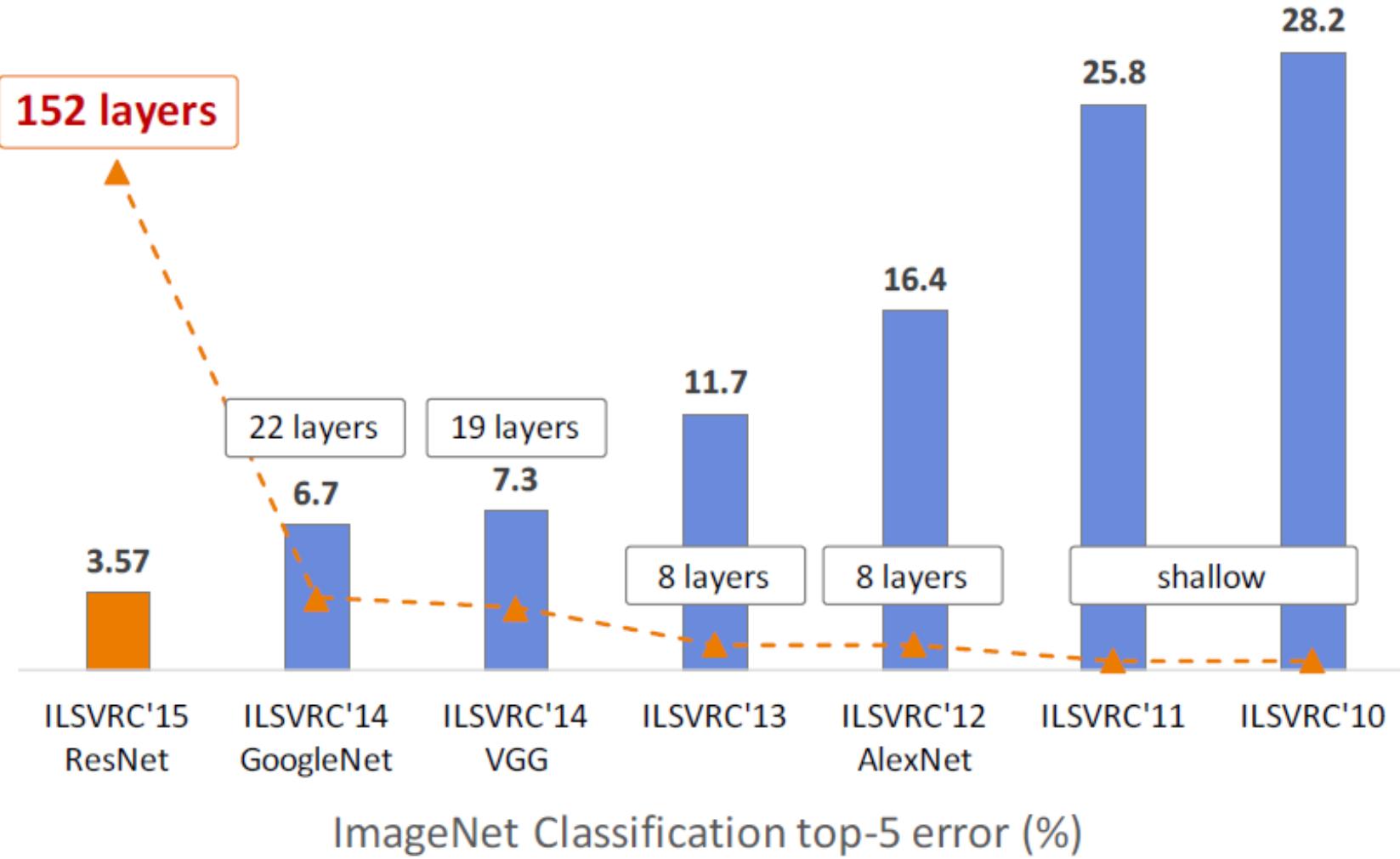
# Network Design

- Simple, VGG-style design
  - (Almost) all  $3 \times 3$  convolutions
  - Spatial size  $/2 \Rightarrow \#filters \cdot 2$  (same complexity per layer)
  - Batch normalization
- ⇒ Simple design, just deep.

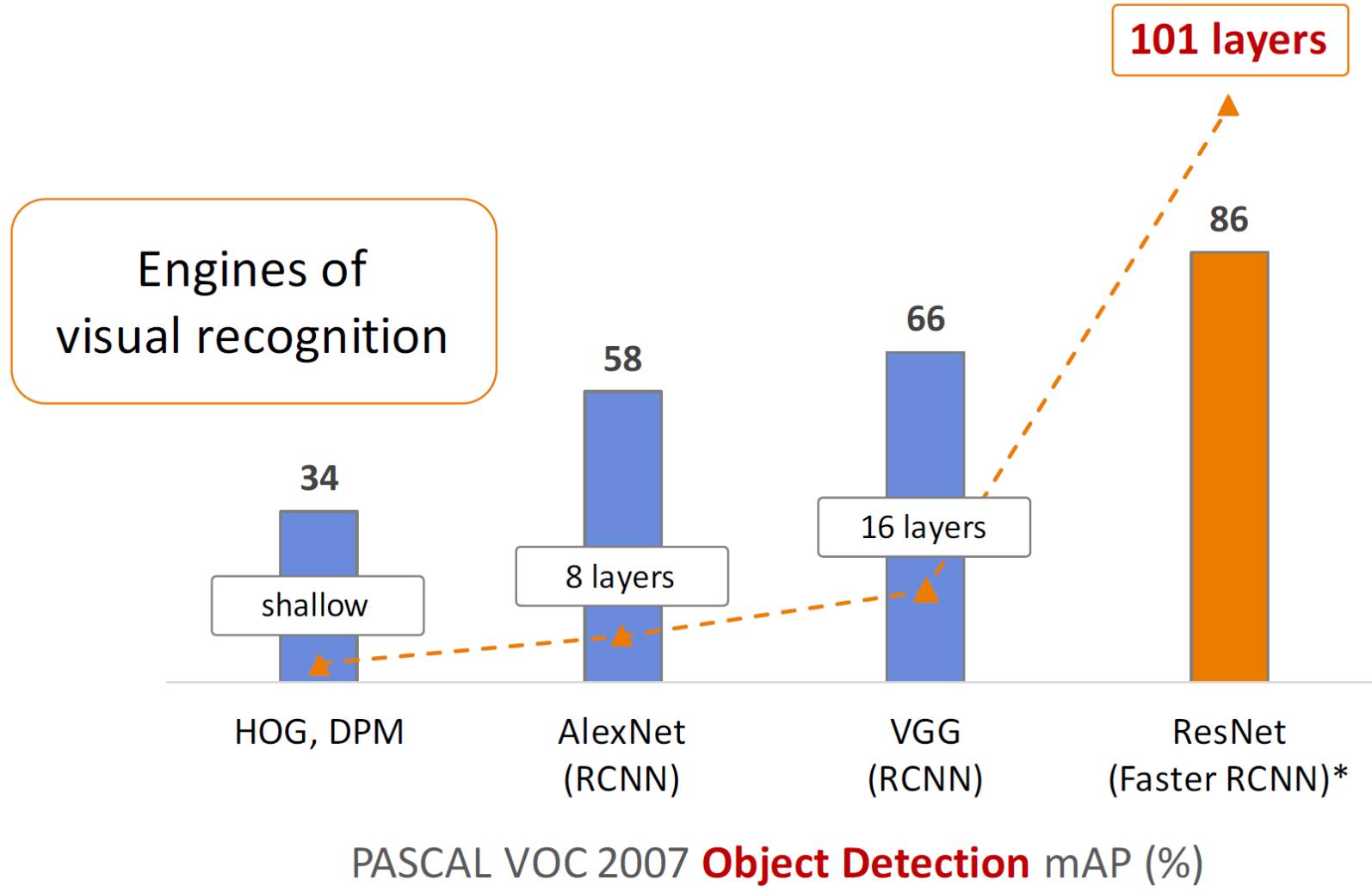
plain net



# ImageNet Performance



# PASCAL VOC Object Detection Performance



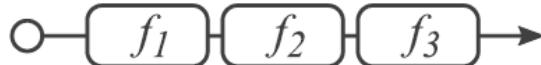
# Topics of This Lecture

- Recap: CNN Architectures
- **Residual Networks**
  - Detailed analysis
  - ResNets as ensembles of shallow networks
- Applications of CNNs
  - Object detection
  - Semantic segmentation
  - Face identification

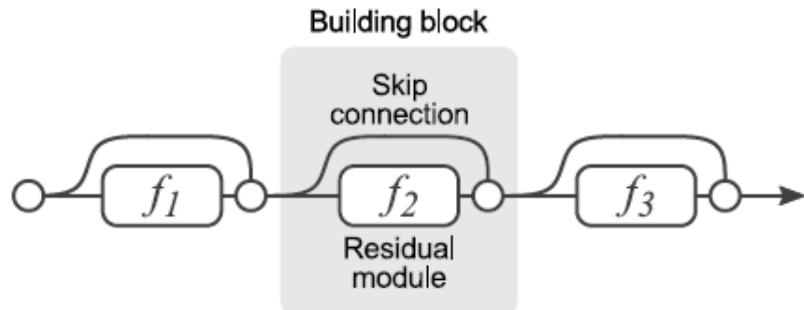
# What Is The Secret Behind ResNets?

- Empirically, they perform very well, but why is that?
- He's original explanation [He, 2016]
  - ResNets allow gradients to pass through the skip connections in unchanged form.
  - This makes it possible to effectively train deeper networks.  
⇒ Secret of success: **depth is good**
- More recent explanation [Veit, 2016]
  - ResNets actually do not use deep network paths.
  - Instead, they effectively implement an ensemble of shallow network paths.  
⇒ Secret of success: **ensembles are good**

# Idea of the Analysis

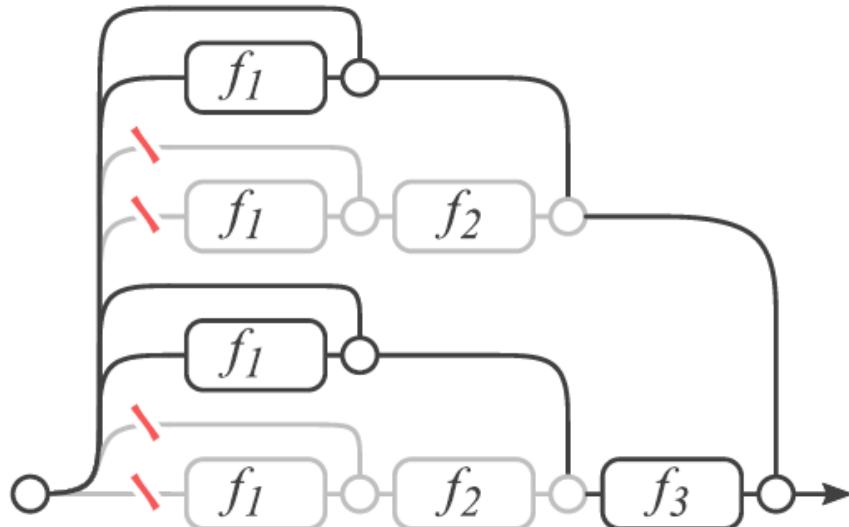


Ordinary feedforward network



Residual network

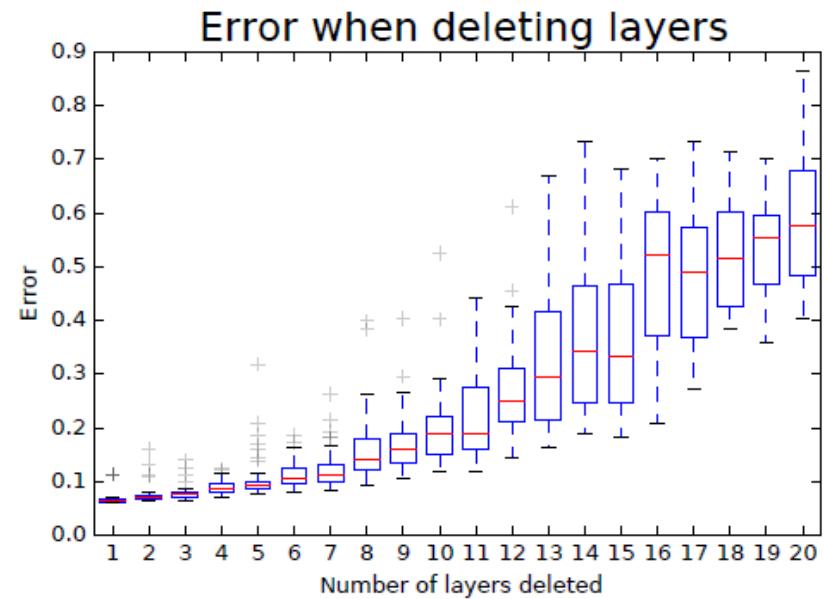
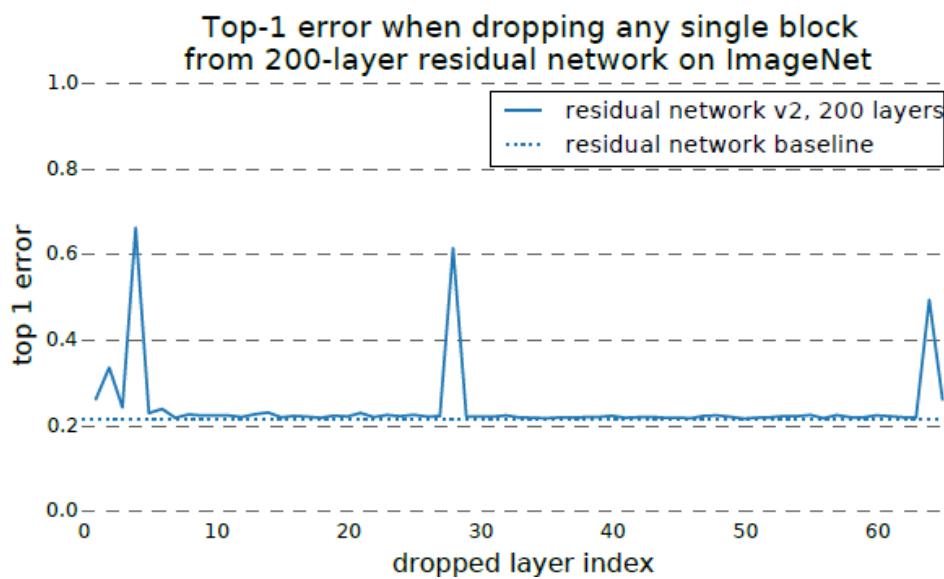
Effect of deleting layer  $f_2$



Unraveled view

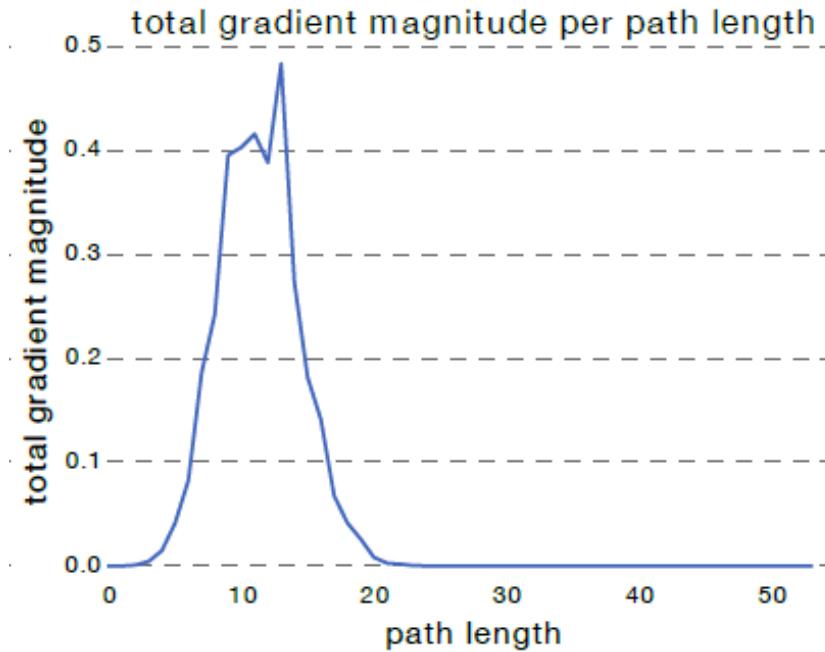
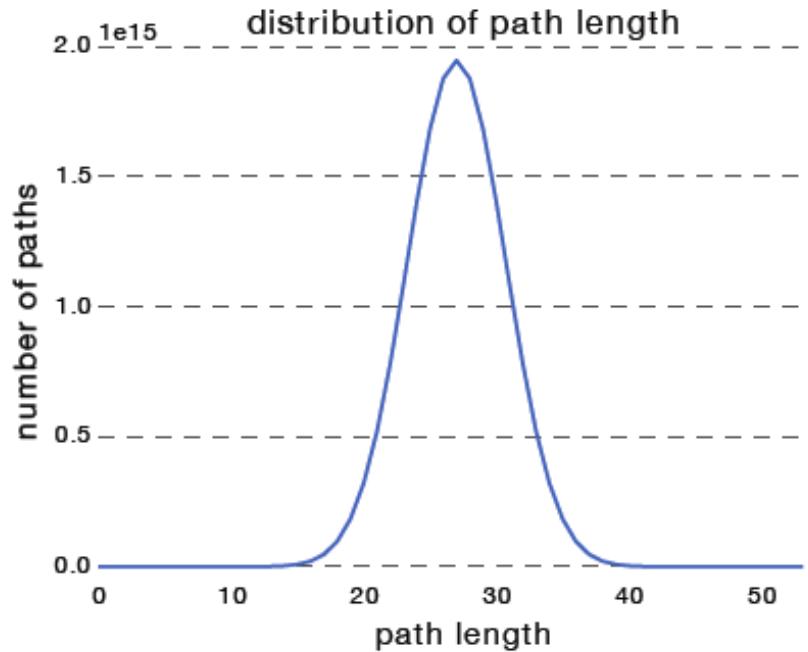
- Unraveling ResNets
  - ResNets can be viewed as a collection of shorter paths through different subsets of the layers.
  - Deleting a layer corresponds to removing only some of those paths

# Effect of Deleting Layers at Test Time



- Experiments on ImageNet classification
  - When deleting a layer in VGG-Net, it breaks down completely.
  - In ResNets, deleting a single layer has almost no effect (except for the pooling layers)
  - Deleting an increasing number of layers increases the error smoothly  
⇒ *Paths in a ResNet do not strongly depend on each other.*

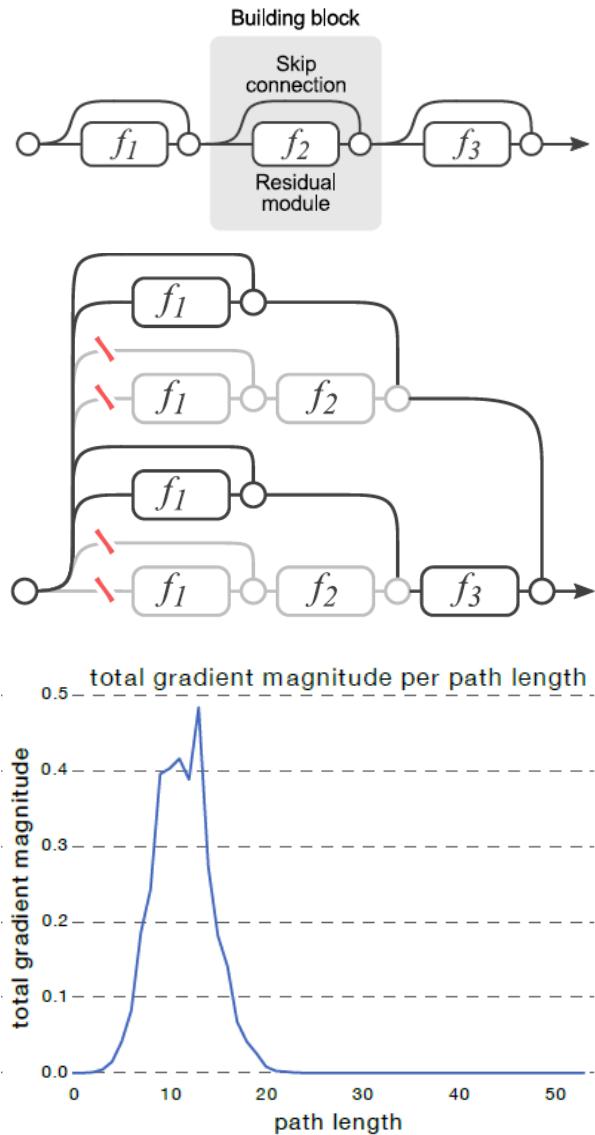
# Which Paths Are Important?



- How much does each of the paths contribute?
  - Distribution of path lengths follows a Binomial distribution
  - Sample individual paths and measure their gradient magnitude
  - ⇒ Effectively, only shallow paths with 5-17 modules are used!
  - ⇒ This corresponds to only 0.45% of the available paths here.

# Summary

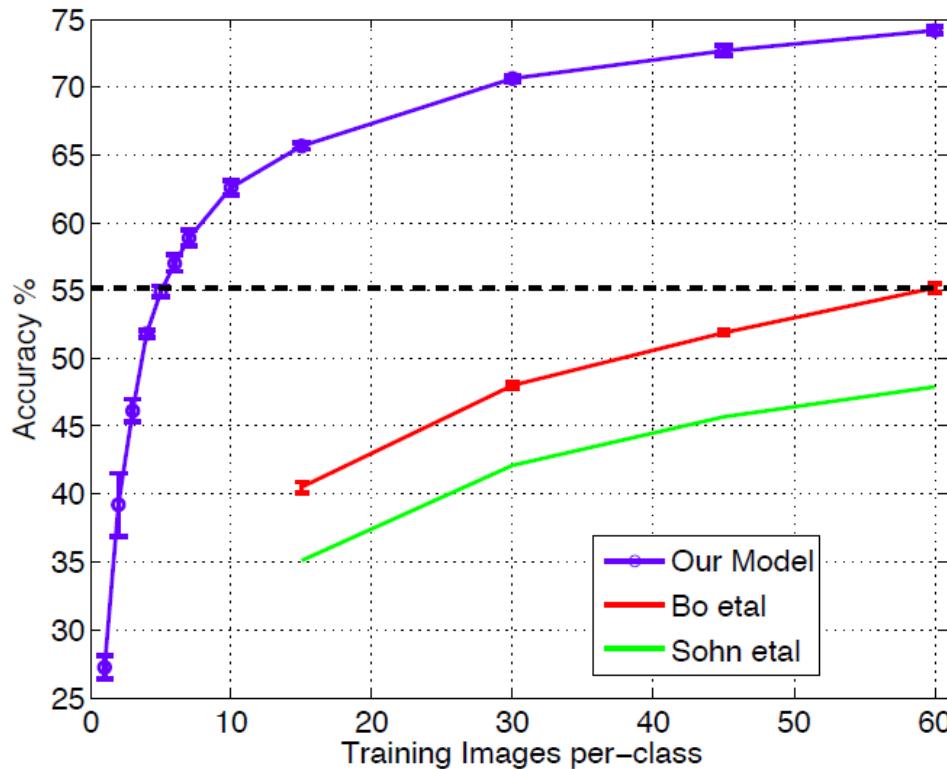
- The effective paths in ResNets are relatively shallow
  - Effectively only 5-17 active modules
- This explains the resilience to deletion
  - Deleting any single layer only affects a subset of paths (and the shorter ones less than the longer ones).
- New interpretation of ResNets
  - ResNets work by creating an ensemble of relatively shallow paths
  - Making ResNets deeper increases the size of this ensemble
  - Excluding longer paths from training does not negatively affect the results.



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# The Learned Features are Generic



state of the art  
level (pre-CNN)

- Experiment: feature transfer
    - Train AlexNet-like network on ImageNet
    - Chop off last layer and train classification layer on CalTech256
- ⇒ State of the art accuracy already with only 6 training images!

# Transfer Learning with CNNs



1. Train on  
ImageNet



2. If small dataset: fix all  
weights (treat CNN as  
fixed feature extrac-  
tor), retrain only the  
classifier

i.e., swap the Softmax  
layer at the end

# Transfer Learning with CNNs



1. Train on  
ImageNet

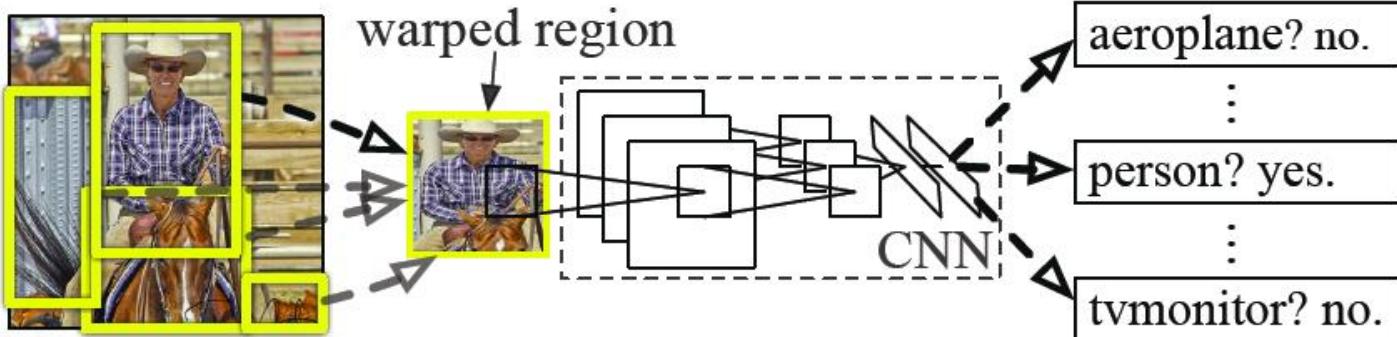


3. If you have medium sized dataset,  
“[finetune](#)” instead: use the old weights as initialization, train the full network or only some of the higher layers.

Retrain bigger portion  
of the network

# Other Tasks: Detection

## R-CNN: *Regions with CNN features*



1. Input image
2. Extract region proposals (~2k)
3. Compute CNN features
4. Classify regions

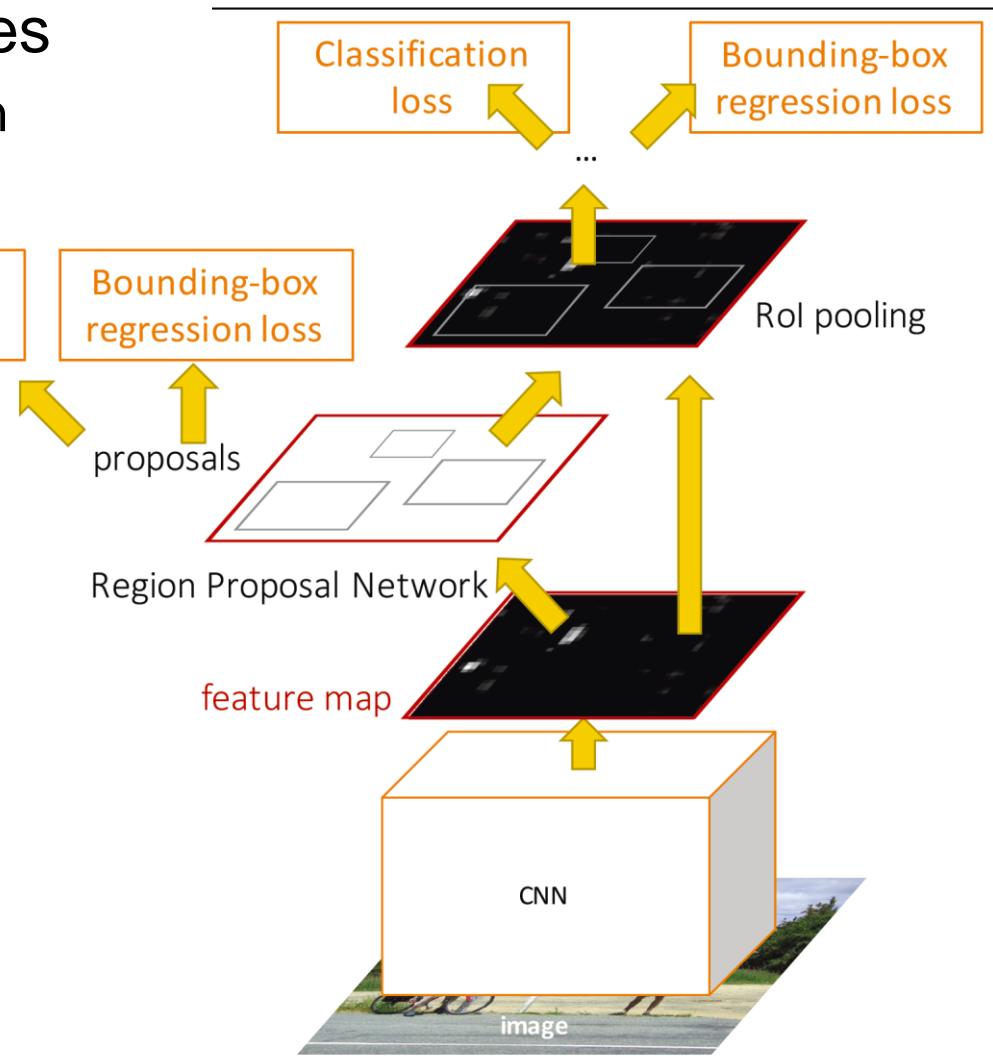
- Results on PASCAL VOC Detection benchmark

- Pre-CNN state of the art: 35.1% mAP [Uijlings et al., 2013]  
33.4% mAP DPM
- R-CNN: 53.7% mAP

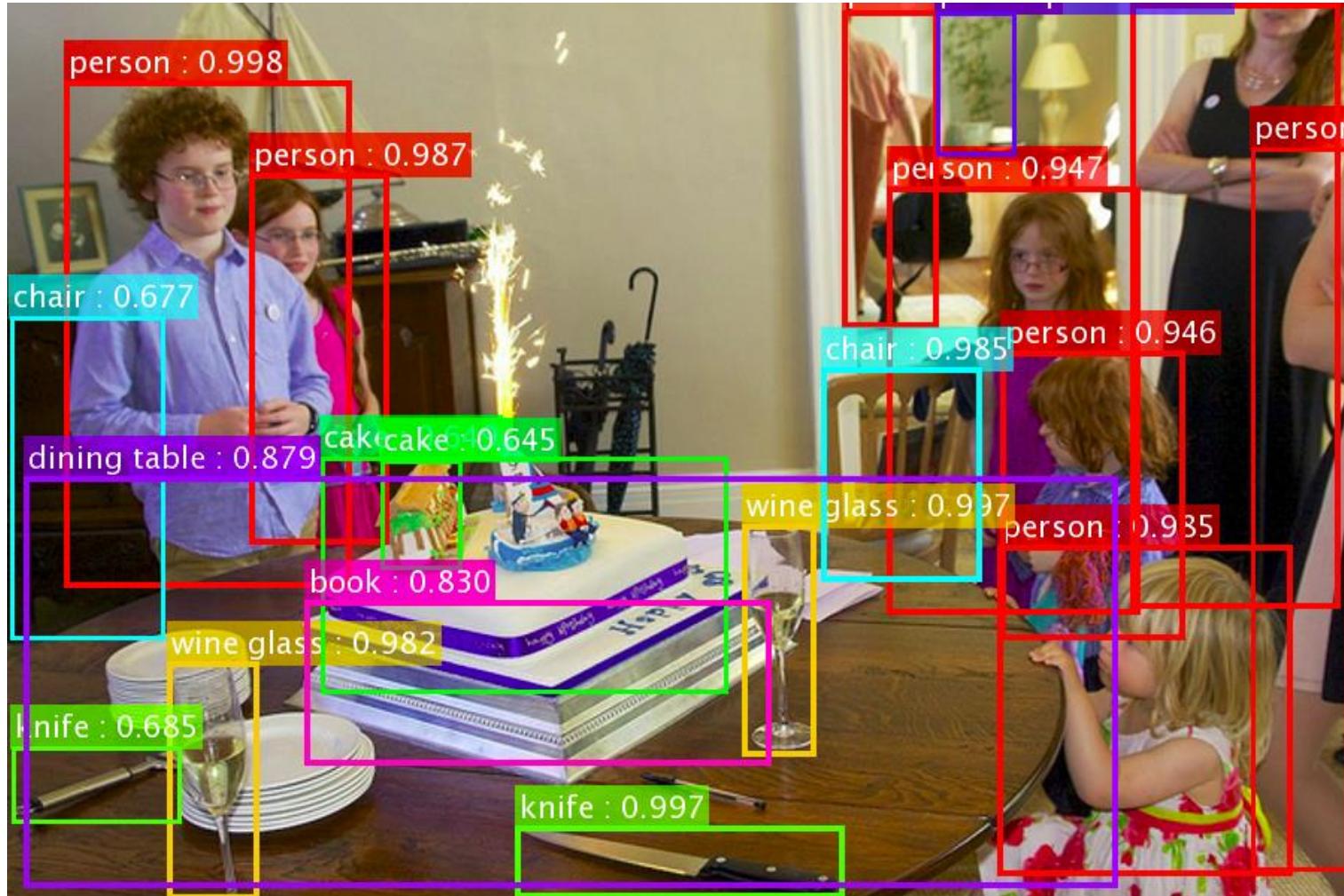
R. Girshick, J. Donahue, T. Darrell, and J. Malik, [Rich Feature Hierarchies for Accurate Object Detection and Semantic Segmentation](#), CVPR 2014

# More Recent Version: Faster R-CNN

- One network, four losses
    - Remove dependence on external region proposal algorithm.
    - Instead, infer region proposals from same CNN.
    - Feature sharing
    - Joint training
- ⇒ Object detection in a single pass becomes possible.

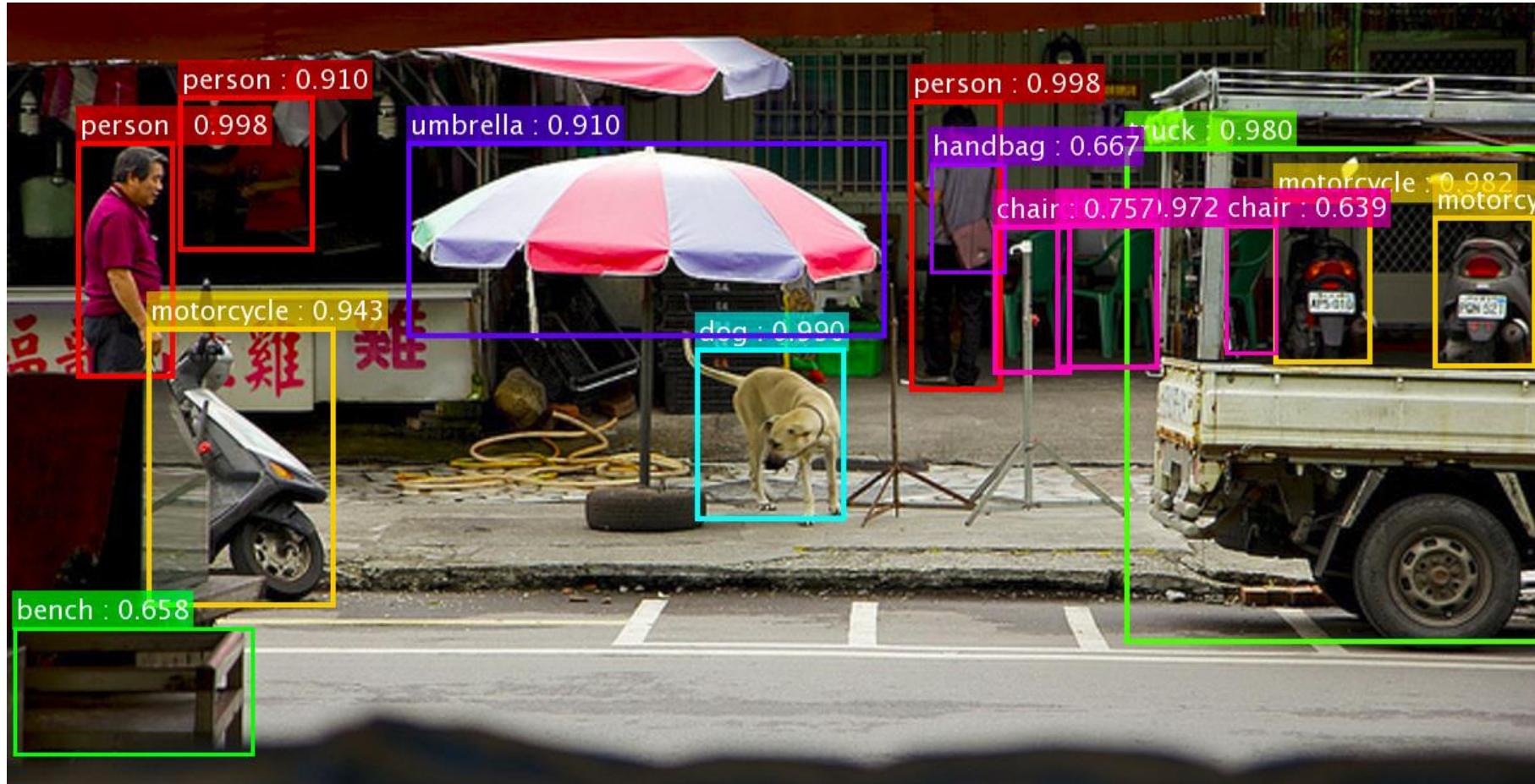


# Faster R-CNN (based on ResNets)



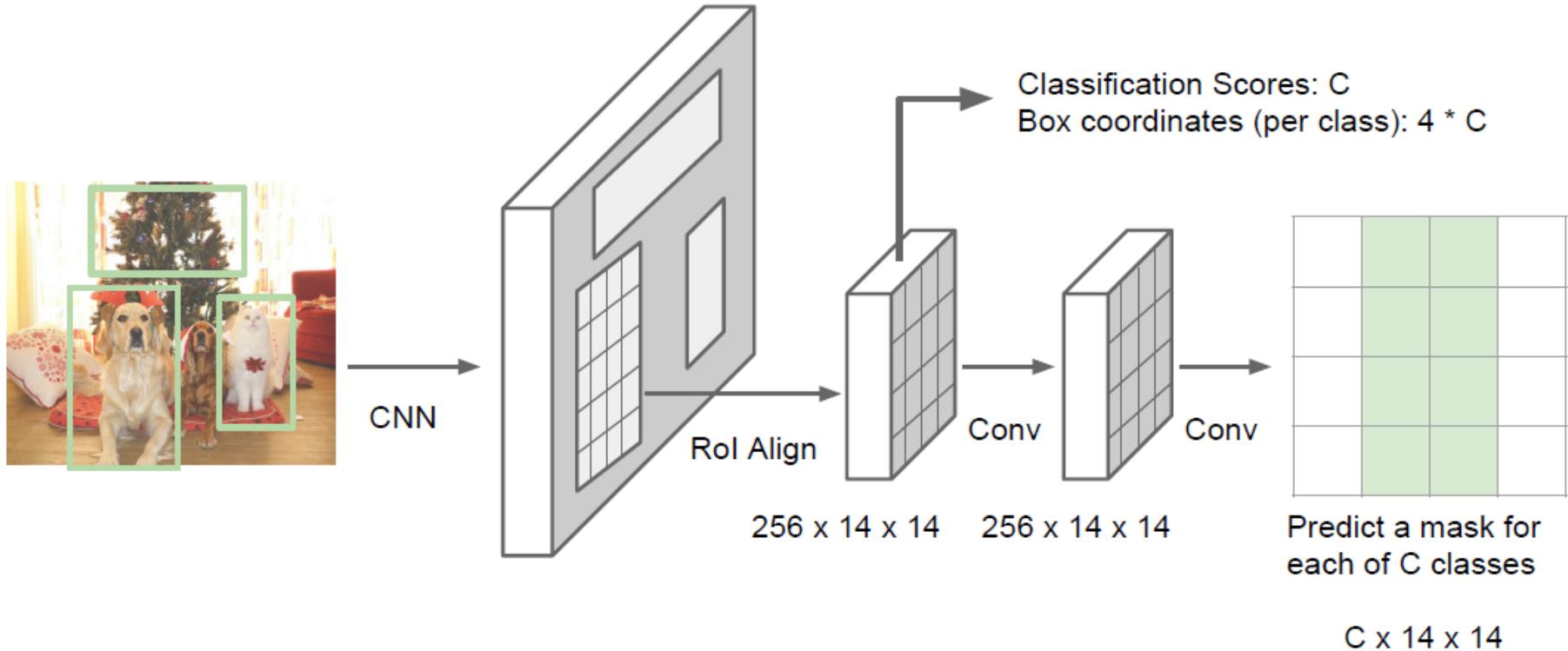
K. He, X. Zhang, S. Ren, J. Sun, [Deep Residual Learning for Image Recognition](#),  
CVPR 2016.

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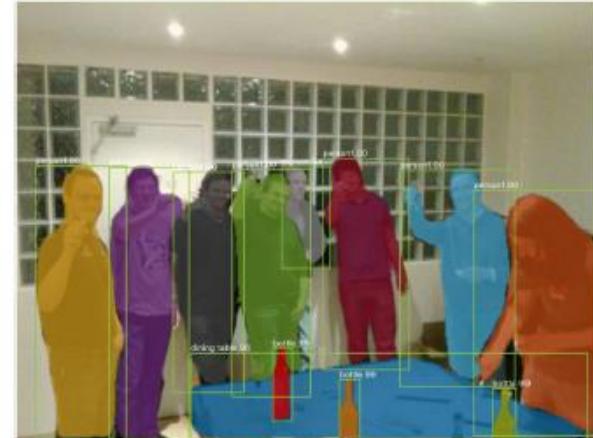
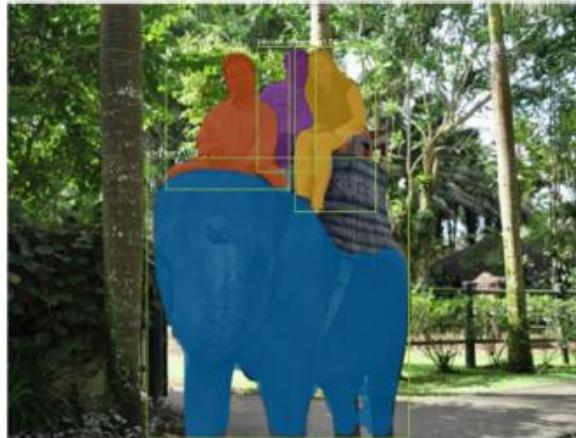
# Most Recent Version: Mask R-CNN



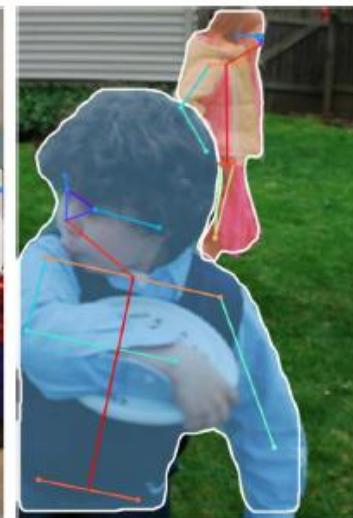
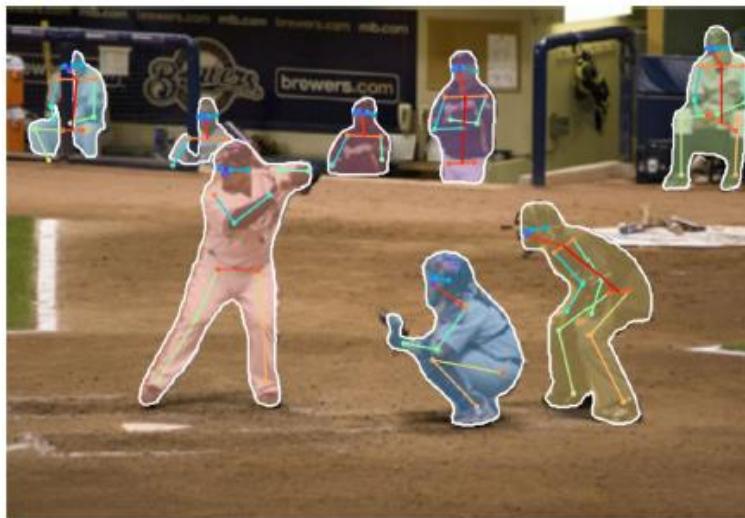
K. He, G. Gkioxari, P. Dollar, R. Girshick, [Mask R-CNN](#), arXiv 1703.06870.

# Mask R-CNN Results

- Detection + Instance segmentation



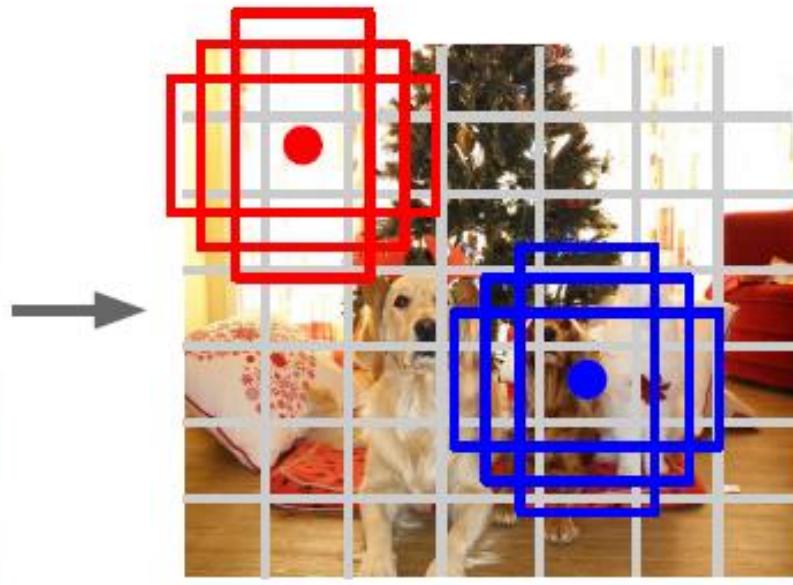
- Detection + Pose estimation



# YOLO / SSD



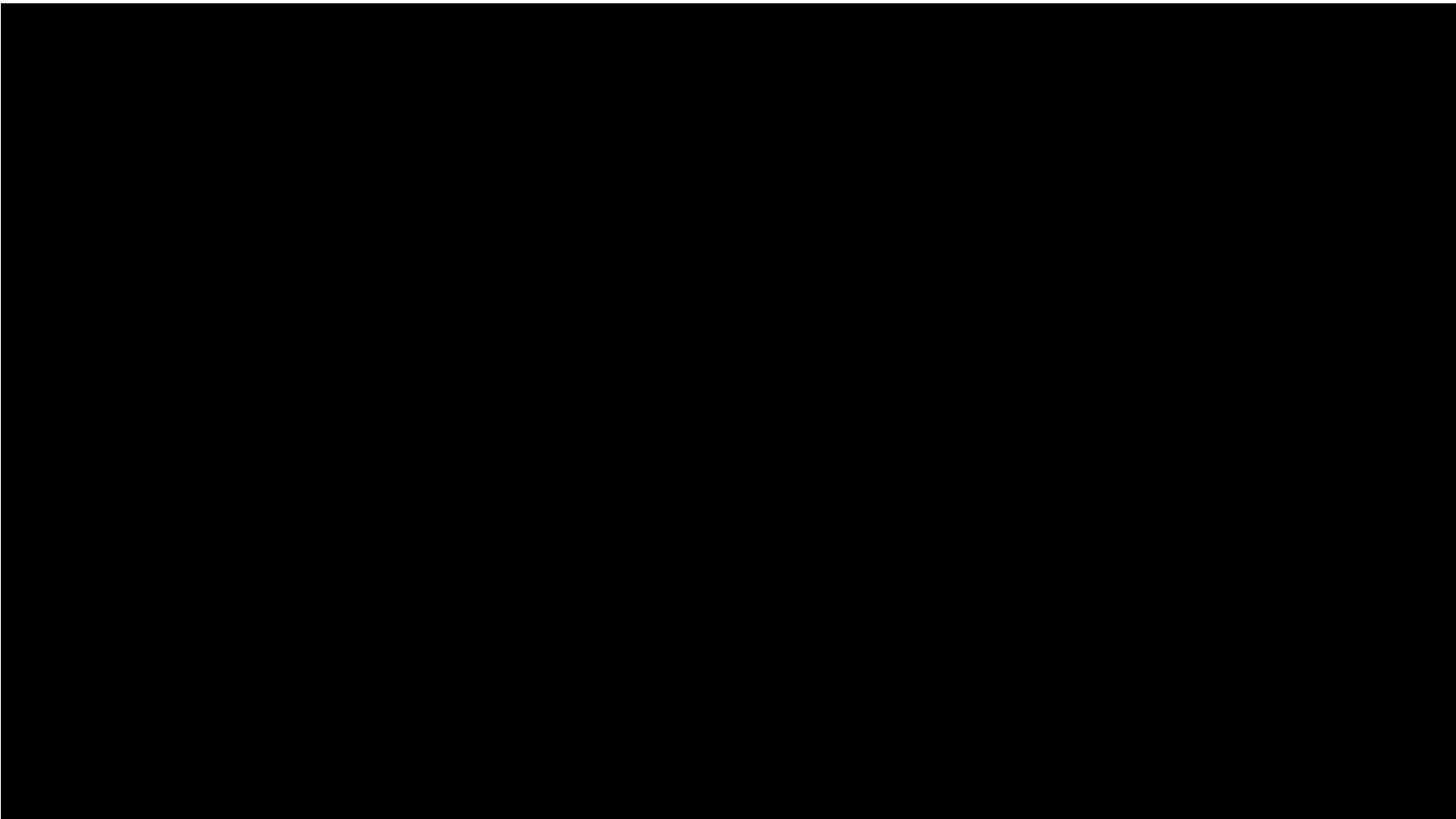
Input image  
 $3 \times H \times W$



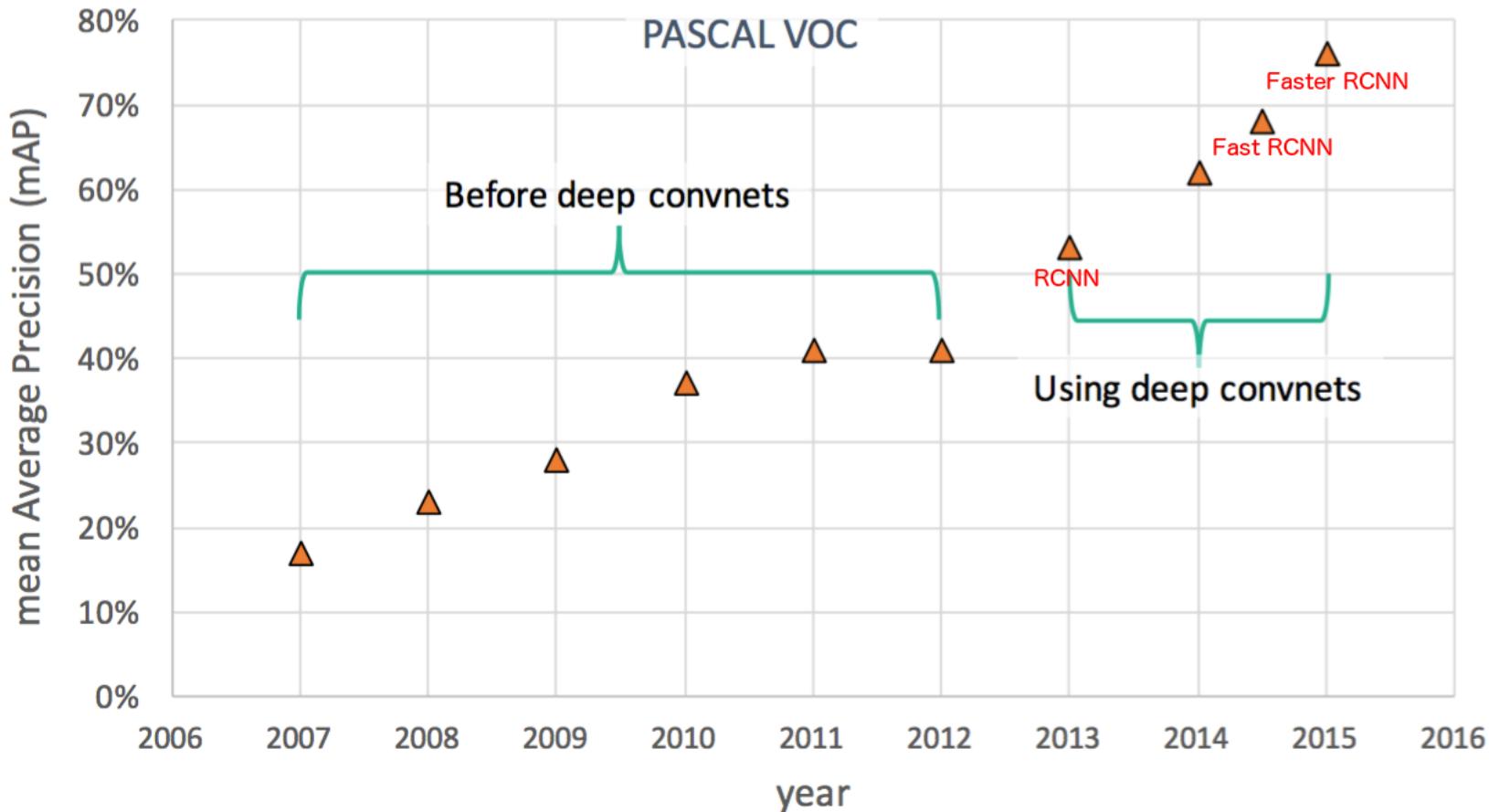
Divide image into grid  
 $7 \times 7$

- Idea: Directly go from image to detection scores
- Within each grid cell
  - Start from a set of anchor boxes
  - Regress from each of the  $B$  anchor boxes to a final box
  - Predict scores for each of  $C$  classes (including background)

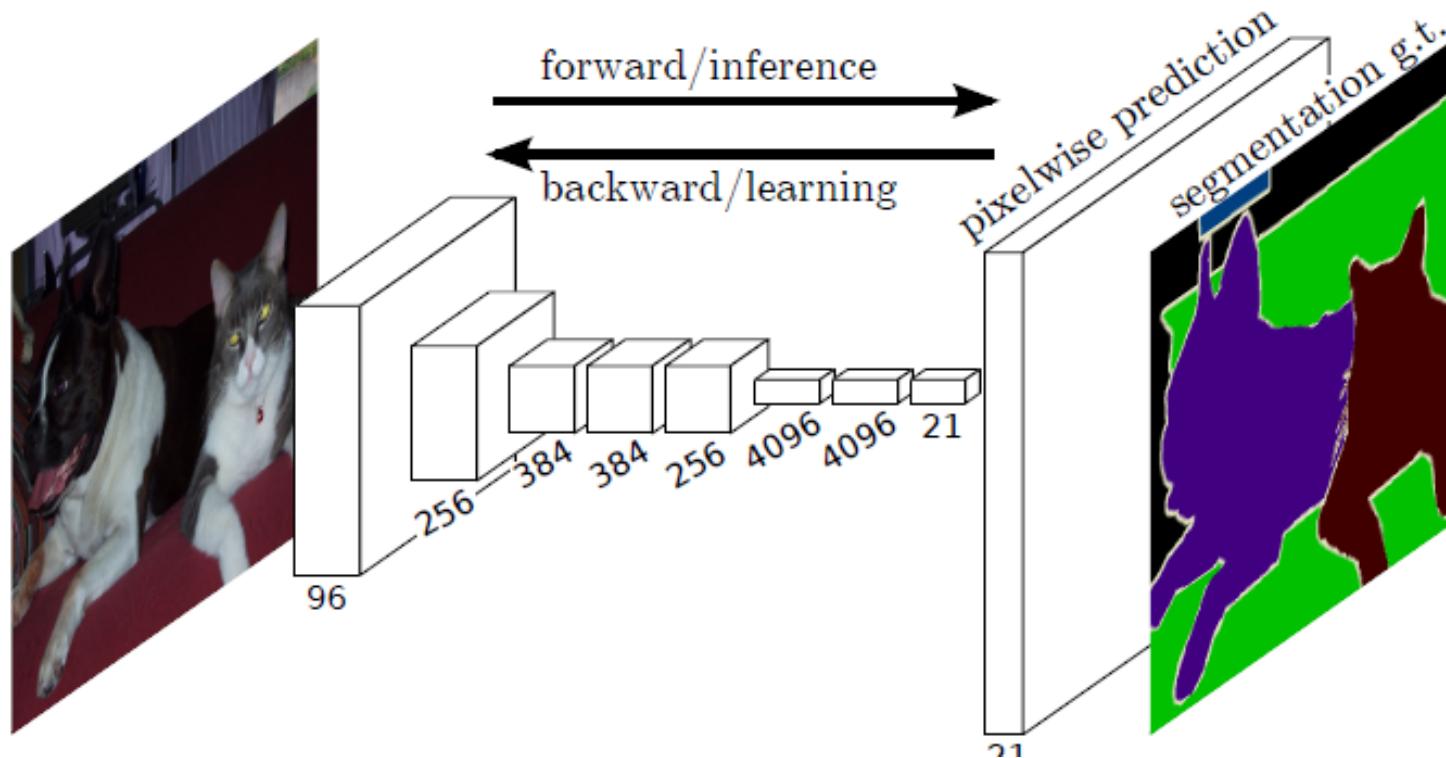
# YOLO



# Object Detection Performance



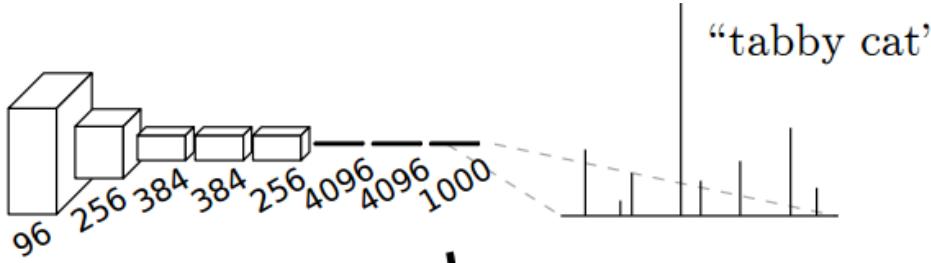
# Semantic Image Segmentation



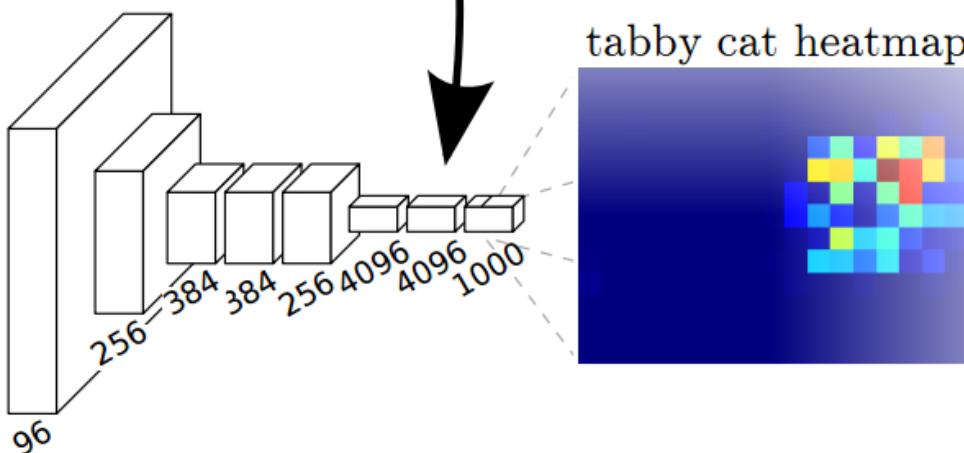
- Perform pixel-wise prediction task
  - Usually done using **Fully Convolutional Networks** (FCNs)
    - All operations formulated as convolutions
    - Advantage: can process arbitrarily sized images

# CNNs vs. FCNs

- CNN



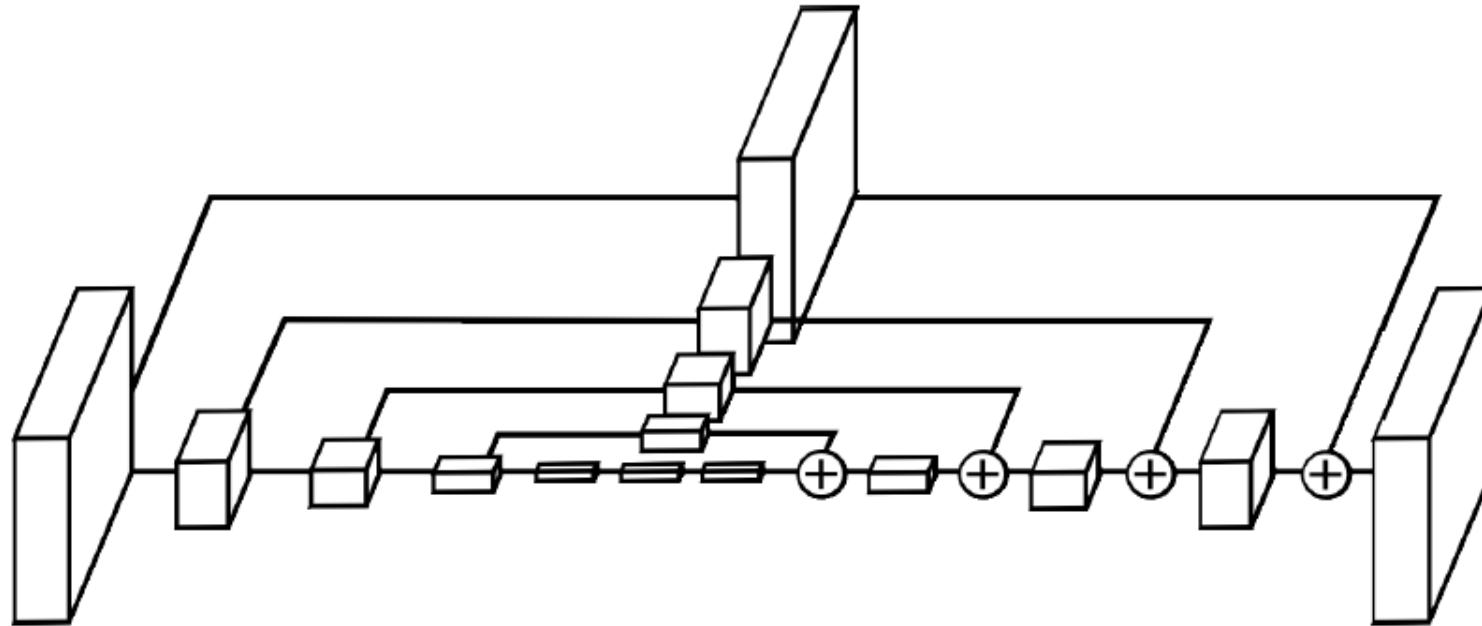
- FCN



- Intuition

- Think of FCNs as performing a sliding-window classification, producing a heatmap of output scores for each class

# Semantic Image Segmentation



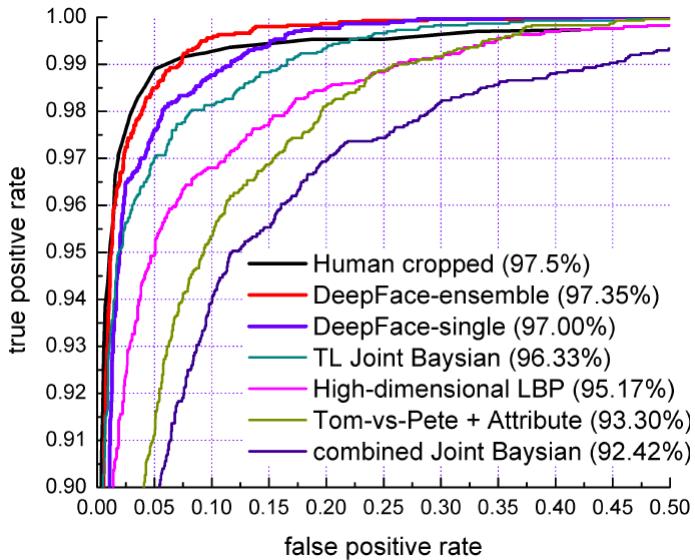
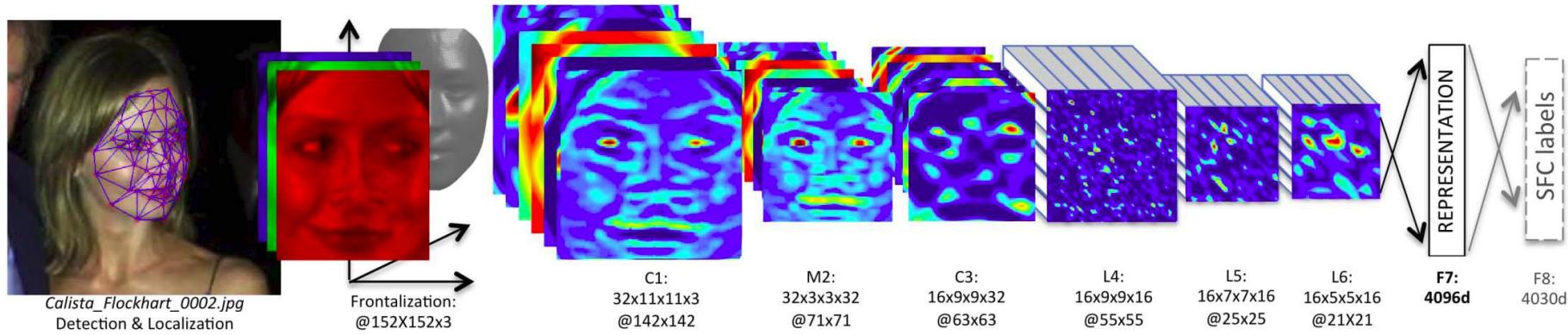
- Encoder-Decoder Architecture
  - Problem: FCN output has low resolution
  - Solution: perform upsampling to get back to desired resolution
  - Use skip connections to preserve higher-resolution information

# Semantic Segmentation



- Current state-of-the-art
  - Based on an extension of ResNets

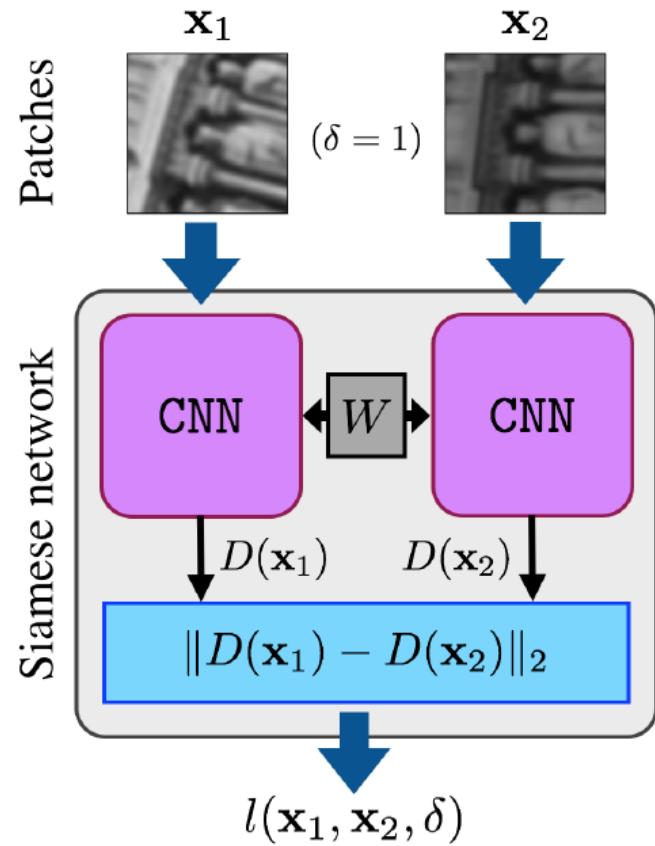
# Other Tasks: Face Identification



Y. Taigman, M. Yang, M. Ranzato, L. Wolf, [DeepFace: Closing the Gap to Human-Level Performance in Face Verification](#), CVPR 2014

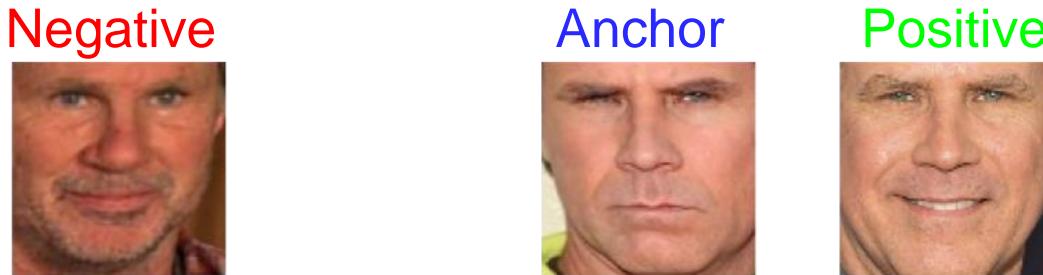
# Learning Similarity Functions

- Siamese Network
  - Present the two stimuli to two identical copies of a network (with shared parameters)
  - Train them to output similar values if the inputs are (semantically) similar.
- Used for many matching tasks
  - Face identification
  - Stereo estimation
  - Optical flow
  - ...



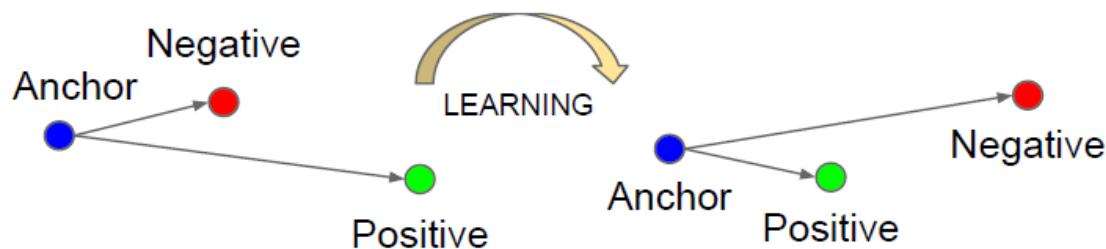
# Extension: Triplet Loss Networks

- Learning a discriminative embedding
  - Present the network with triplets of examples



- Apply triplet loss to learn an embedding  $f(\cdot)$  that groups the positive example closer to the anchor than the negative one.

$$\|f(x_i^a) - f(x_i^p)\|_2^2 < \|f(x_i^a) - f(x_i^n)\|_2^2$$



⇒ Used with great success in Google's FaceNet face identification

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