

Turing learning: a metric-free approach to inferring behavior and its application to swarms

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Abstract We propose *Turing Learning*, a novel system identification method for inferring the behavior of natural or artificial systems. *Turing Learning* simultaneously optimizes two populations of computer programs, one representing *models* of the behavior of the system under investigation, and the other representing *classifiers*. By observing the behavior of the system as well as the behaviors produced by the models, two sets of data samples are obtained. The classifiers are rewarded for discriminating between these two sets, that is, for correctly categorizing data samples as either genuine or counterfeit. Conversely, the models are rewarded for ‘tricking’ the classifiers into categorizing their data samples as genuine. Unlike other methods for system identification, *Turing Learning* does not require predefined metrics to quantify the difference between the system and its models. We present two case studies with swarms of simulated robots and prove that the underlying behaviors cannot be inferred by a metric-based system identification method. By contrast, *Turing Learning* infers the behaviors with high accuracy. It also produces a useful by-product—the classifiers—that can be used to detect abnormal behavior in the swarm. Moreover, we show that *Turing Learning* also successfully infers the behavior of physical robot swarms. The results show

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that collective behaviors can be directly inferred from motion trajectories of individuals in the swarm, which may have significant implications for the study of animal collectives. Furthermore, *Turing Learning* could prove useful whenever a behavior is not easily characterizable using metrics, making it suitable for a wide range of applications.

Keywords System identification · Turing test · Collective behavior · Swarm robotics · Coevolution · Machine learning

1 Introduction

System identification is the process of modeling natural or artificial systems through observed data. It has drawn a large interest among researchers for decades (Ljung 2010; Billings 2013). A limitation of current system identification methods is that they rely on predefined metrics, such as the sum of square errors, to measure the difference between the output of the models and that of the system under investigation. Model optimization then proceeds by minimizing the measured differences. However, for complex systems, defining a metric can be non-trivial and case-dependent. It may require prior information about the systems. Moreover, an unsuitable metric may not distinguish well between good and bad models, or even bias the identification process. This paper overcomes these problems by introducing a system identification method that does not rely on predefined metrics.

A promising application of such a metric-free method is the identification of collective behaviors, which are emergent behaviors that arise from the interactions of numerous simple individuals (Camazine et al. 2003). Inferring collective behaviors is particularly challenging, as the individuals not only interact with the environment but also with each other. Typically, their motion appears stochastic and is hard to predict (Helbing and Johansson 2011). For instance, given a swarm of simulated fish, one would have to evaluate how close its behavior is to that of a real fish swarm, or how close the individual behavior of a simulated fish is to that of a real fish. Characterizing the behavior at the level of the swarm is difficult (Harvey et al. 2015). Such a metric may require domain-specific knowledge; moreover, it may not be able to discriminate among distinct individual behaviors that lead to similar collective dynamics (Weitz et al. 2012). Characterizing the behavior at the level of individuals is also difficult, as even the same individual fish in the swarm is likely to exhibit a different trajectory every time it is being looked at.

In this paper, we propose *Turing Learning*, a novel system identification method that allows a machine to autonomously infer the behavior of a natural or artificial system. *Turing Learning* simultaneously optimizes two populations of computer programs, one representing *models* of the behavior, and the other representing *classifiers*. The purpose of the models is to imitate the behavior of the system under investigation. The purpose of the classifiers is to discriminate between the behaviors produced by the system and any of the models. In *Turing Learning*, all behaviors are observed for a period of time. This generates two sets of data samples. The first set consists of *genuine* data samples, which originate from the system. The second set consists of *counterfeit* data samples, which originate from the models. The classifiers are rewarded for discriminating between samples of these two sets: Ideally, they should recognize any data sample from the system as genuine, and any data sample from the models as counterfeit. Conversely, the models are rewarded for their ability to ‘trick’ the classifiers into categorizing their data samples as genuine.

Turing Learning does not rely on predefined metrics for measuring how close the models reproduce the behavior of the system under investigation; rather, the metrics (classifiers)

are produced as a by-product of the identification process. The method is inspired by the Turing test (Turing 1950; Saygin et al. 2000; Harnad 2000), which machines can pass if behaving indistinguishably from humans. Similarly, the models could pass the tests by the classifiers if behaving indistinguishably from the system under investigation. We hence call our method *Turing Learning*.

In the following, we examine the ability of *Turing Learning* to infer the behavioral rules of a swarm of mobile agents. The agents are either simulated or physical robots. They execute known behavioral rules. This allows us to compare the inferred models to the ground truth. To obtain the data samples, we record the motion trajectories of all the agents. In addition, we record the motion trajectories of an agent *replica*, which is mixed into the group of agents. The replica executes the rules defined by the models—one at a time. As will be shown, by observing the motion trajectories of agents and of the agent replica, *Turing Learning* automatically infers the behavioral rules of the agents. The behavioral rules examined here relate to two canonical problems in swarm robotics: self-organized aggregation (Gauci et al. 2014c) and object clustering (Gauci et al. 2014b). They are reactive; in other words, each agent maps its inputs (sensor readings) directly onto the outputs (actions). The problem of inferring the mapping is challenging, as the inputs are not known. Instead, *Turing Learning* has to infer the mapping indirectly, from the observed motion trajectories of the agents and of the replica.

We originally presented the basic idea of *Turing Learning*, along with preliminary simulations, in Li et al. (2013, 2014). This paper extends our prior work as follows:

- It presents an algorithmic description of *Turing Learning*;
- It shows that *Turing Learning* outperforms a metric-based system identification method in terms of model accuracy;
- It proves that the metric-based method is fundamentally flawed, as the globally optimal solution differs from the solution that should be inferred;
- It demonstrates, to the best of our knowledge for the first time, that system identification can infer the behavior of swarms of physical robots;
- It examines in detail the usefulness of the classifiers;
- It examines through simulation how *Turing Learning* can simultaneously infer the agent's brain (controller) and an aspect of its morphology that determines the agent's field of view;
- It demonstrates through simulation that *Turing Learning* can infer the behavior even if the agent's control system structure is unknown.

This paper is organized as follows. Section 2 discusses related work. Section 3 describes *Turing Learning* and the general methodology of the two case studies. Section 4 investigates the ability of *Turing Learning* to infer two behaviors of swarms of simulated robots. It also presents a mathematical analysis, proving that these behaviors cannot be inferred by a metric-based system identification method. Section 5 presents a real-world validation of *Turing Learning* with a swarm of physical robots. Section 6 concludes the paper.

2 Related work

This section is organized as follows. First, we outline our previous work on *Turing Learning* and review a similar line of research, which has appeared since its publication. As the *Turing Learning* implementation uses coevolutionary algorithms, we then overview work using

coevolutionary algorithms (but with predefined metrics), as well as work on the evolution of physical systems. Finally, works using replicas in ethological studies are presented.

Turing Learning is a system identification method that simultaneously optimizes a population of models and a population of classifiers. The objective for the models is to be indistinguishable from the system under investigation. The objective for the classifiers is to distinguish between the models and the system. The idea of *Turing Learning* was first proposed in Li et al. (2013); this work presented a coevolutionary approach for inferring the behavioral rules of a single agent. The agent moved in a simulated, one-dimensional environment. Classifiers were rewarded for distinguishing between the models and the agent. In addition, they were able to control the stimulus that influenced the behavior of the agent. This allowed the classifiers to interact with the agent during the learning process. *Turing Learning* was subsequently investigated with swarms of simulated robots (Li et al. 2014).

Goodfellow et al. (2014) proposed *generative adversarial nets* (GANs). GANs, while independently invented, are essentially based on the same idea as *Turing Learning*. The authors used GANs to train models for generating counterfeit images that resemble real images, for example, from the Toronto Face Database [for further examples, see (Radford et al. 2016)]. They simultaneously optimized a generative model (producing counterfeit images) and a discriminative model that estimates the probability of an image to be real. The optimization was done using a stochastic gradient descent method.

In a work reported in Herbert-Read et al. (2015), humans were asked to discriminate between the collective motion of real and simulated fish. The authors reported that the humans could do so even though the data from the model were consistent with the real data according to predefined metrics. Their results “highlight a limitation of fitting detailed models to real-world data.” The authors argued that “observational tests [...] could be used to cross-validate models” [see also Harel (2005)]. This is in line with *Turing Learning*. Our method, however, automatically generates both the models and the classifiers, and thus does not require human observers.

While *Turing Learning* can in principle be used with any optimization algorithm, our implementation relies on coevolutionary algorithms. Metric-based coevolutionary algorithms have already proven effective for system identification (Bongard and Lipson 2004a, b, 2005, 2007; Koos et al. 2009; Mirmomeni and Punch 2011; Le Ly and Lipson 2014). A range of work has been performed on simulated agents. Bongard and Lipson (2004a) proposed the *estimation–exploration algorithm*, a nonlinear system identification method to coevolve inputs and models in a way that minimizes the number of inputs to be tested on the system. In each generation, the input (test) that led, in simulation, to the highest disagreement between the models’ predicted outputs was carried out on the real system. The models’ predictions were then compared with the actual output of the system. The method was applied to evolve morphological parameters of a simulated quadrupedal robot after it had undergone physical damage. In a later work (Bongard and Lipson 2004b), the authors reported that “in many cases the simulated robot would exhibit wildly different behaviors even when it very closely approximated the damaged ‘physical’ robot. This result is not surprising due to the fact that the robot is a highly coupled, non-linear system: Thus similar initial conditions [...] are expected to rapidly diverge in behavior over time.” The authors addressed this problem by using a more refined comparison metric reported in Bongard and Lipson (2004b). In Koos et al. (2009), an algorithm which also coevolves models and inputs (tests) was presented to model a simulated quadrotor and improve the control quality. The tests were selected based on multiple criteria: to provide disagreement between models as in Bongard and Lipson (2004a), and to evaluate the control quality in a given task. Models were then refined by comparing the predicted trajectories with those of the real system. In these works, predefined

metrics were critical for evaluating the performance of models. Moreover, the algorithms are not applicable to the scenarios we consider here, as the system's inputs are assumed to be unknown (the same would typically also be the case for biological systems).

Some studies also investigated the implementation of evolution directly in physical environments, on either a single robot (Flozano and Mondada 1996; Zykov et al. 2004; Bongard et al. 2006; Koos et al. 2013; Cully et al. 2015) or multiple robots (Watson et al. 2002; O'Dowd et al. 2014; Heinerman et al. 2015). In Bongard et al. (2006), a four-legged robot was built to study how it can infer its own morphology through a process of continuous self-modeling. The robot ran a coevolutionary algorithm on its onboard processor. One population evolved models for the robot's morphology, while the other evolved actions (inputs) to be conducted on the robot for gauging the quality of these models. Note that this approach required knowledge of the robot's inputs (sensor data). O'Dowd et al. (2014) presented a distributed approach to coevolve onboard simulators and controllers for a swarm of ten robots. Each robot used its simulators to evolve controllers for performing foraging behavior. The best performing controller was then used to control the physical robot. The foraging performances of the robot and of its neighbors were then compared to inform the evolution of simulators. This physical/embodied evolution helped reduce the *reality gap* between the simulated and physical environments (Jakobi et al. 1995). In all these approaches, the model optimization was based on predefined metrics (explicit or implicit).

The use of replicas can be found in ethological studies in which researchers use robots that interact with animals (Vaughan et al. 2000; Halloy et al. 2007; Faria et al. 2010; Halloy et al. 2013; Schmickl et al. 2013). Robots can be created and systematically controlled in such a way that they are accepted as conspecifics or heterospecifics by the animals in the group (Krause et al. 2011). For example, in Faria et al. (2010), a replica fish, which resembled sticklebacks in appearance, was created to investigate two types of interaction: recruitment and leadership. In Halloy et al. (2007), autonomous robots, which executed a model, were mixed into a group of cockroaches to modulate their decision making of selecting a shelter. The robots behaved in a similar way to the cockroaches. Although the robots' appearance was different from that of the cockroaches, the robots released a specific odor such that the cockroaches would perceive them as conspecifics. In these works, the models were manually derived and the robots were only used for model validation. We believe that this robot–animal interaction framework could be enhanced through *Turing Learning*, which autonomously infers the collective behavior.

3 Methodology

In this section, we present the *Turing Learning* method and show how it can be applied to two case studies in swarm robotics.

3.1 Turing learning

Turing Learning is a system identification method for inferring the behavior of natural or artificial systems. *Turing Learning* needs data samples of the behavior—we refer to these data samples as *genuine*. For example, if the behavior of interest were to shoal like fish, genuine data samples could be trajectory data from fish. If the behavior were to produce paintings in a particular style (e.g., Cubism), genuine data samples could be existing paintings in this style.

Algorithm 1 Turing Learning

```

1: procedure TURING LEARNING
2:   initialize population of  $M$  models and population of  $N$  classifiers
3:   while termination criterion not met do
4:     for all classifiers  $i \in \{1, 2, \dots, N\}$  do
5:       obtain genuine data samples (system, classifier  $i$ )
6:       for each sample, obtain and store output of classifier  $i$ 
7:       for all models  $j \in \{1, 2, \dots, M\}$  do
8:         obtain counterfeit data samples (model  $j$ , classifier  $i$ )
9:         for each sample, obtain and store output of classifier  $i$ 
10:      end for
11:    end for
12:    reward models ( $r_m$ ) for misleading classifiers (classifier outputs)
13:    reward classifiers ( $r_c$ ) for making correct judgements (classifier outputs)
14:    improve model and classifier populations based on  $r_m$  and  $r_c$ 
15:  end while
16: end procedure

```

Turing Learning simultaneously optimizes two populations of computer programs, one representing *models* of the behavior, and the other representing *classifiers*. The purpose of the models is to imitate the behavior of the system under investigation. The models are used to produce data samples—we refer to these data samples as *counterfeit*. There are thus two sets of data samples: one containing genuine data samples, and the other containing counterfeit ones. The purpose of the classifiers is to discriminate between these two sets. Given a data sample, the classifiers need to judge where it comes from. Is it genuine, and thus originating from the system under investigation? Or is it counterfeit, and thus originating from a model? This setup is akin of a Turing test; hence the name *Turing Learning*.

The models and classifiers are competing. The models are rewarded for ‘tricking’ the classifiers into categorizing their data samples as genuine, whereas the classifiers are rewarded for correctly categorizing data samples as either genuine or counterfeit. *Turing Learning* thus optimizes models for producing behaviors that are seemingly genuine, in other words, *indistinguishable* from the behavior of interest. This is in contrast to other system identification methods, which optimize models for producing behavior that is *as similar as possible* to the behavior of interest. The Turing test inspired setup allows for model generation irrespective of whether suitable similarity metrics are known.

The model can be any computer program that can be used to produce data samples. It must however be expressive enough to produce data samples that—from an observer’s perspective—are indistinguishable from those of the system.

The classifier can be any computer program that takes a sequence of data as input and produces a binary output. The classifier must be fed with sufficient information about the behavior of the system. If it has access to only a subset of the behavioral information, any system characteristic not influencing this subset cannot be learned. In principle, classifiers with non-binary outputs (e.g., probabilities or confidence levels) could also be considered.

Algorithm 1 provides a description of *Turing Learning*. We assume a population of $M > 1$ models and a population of $N > 1$ classifiers. After an initialization stage, *Turing Learning* proceeds in an iterative manner until a termination criterion is met.

In each iteration cycle, data samples are obtained from observations of both the system and the models. In the case studies considered here, the classifiers do not influence the sampling process. Therefore, the same set of data samples is provided to all classifiers of an iteration

cycle.¹ For simplicity, we assume that each of the N classifiers is provided with $K \geq 1$ data samples for the system and with one data sample for every model.

A model's quality is determined by its ability of misleading classifiers to judge its data samples as genuine. Let $m_{ij} = 1$ if classifier i wrongly classified the data sample of model j , and $m_{ij} = 0$ otherwise. The quality of model j is then given by:

$$r_m(j) = \frac{1}{N} \sum_{i=1}^N m_{ij}. \quad (1)$$

A classifier's quality is determined by how well it judges data samples from both the system and its models. The quality of classifier i is given by:

$$r_c(i) = \frac{1}{2} (\text{specificity}_i + \text{sensitivity}_i). \quad (2)$$

The *specificity* of a classifier (in statistics, also called the true-negative rate) denotes the percentage of genuine data samples that it correctly identified as such. Formally,

$$\text{specificity}_i = \frac{1}{K} \sum_{k=1}^K a_{ik}, \quad (3)$$

where $a_{ik} = 1$ if classifier i correctly classified the k th data sample of the system, and $a_{ik} = 0$ otherwise.

The *sensitivity* of a classifier (in statistics, also called the true-positive rate) denotes the percentage of counterfeit data samples that it correctly identified as such. Formally,

$$\text{sensitivity}_i = \frac{1}{M} \sum_{j=1}^M (1 - m_{ij}). \quad (4)$$

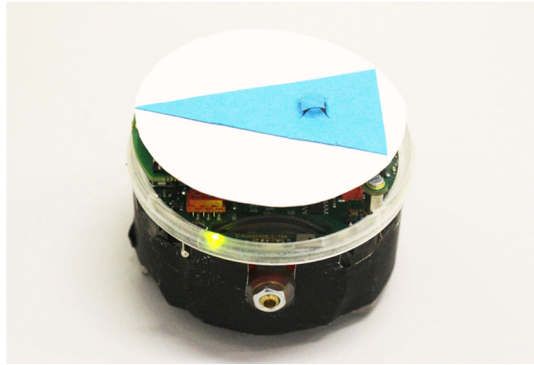
Using the solution qualities, r_m and r_c , the model and classifier populations are improved. In principle, any population-based optimization method can be used.

3.2 Case studies

In the following, we examine the ability of *Turing Learning* to infer the behavioral rules of swarming agents. The swarm is assumed to be homogeneous; it comprises a set of identical agents of known capabilities. The identification task thus reduces to inferring the behavior of a single agent. The agents are robots, either simulated or physical. The agents have inputs (corresponding to sensor reading values) and outputs (corresponding to motor commands). The input and output values are not known. However, the agents are observed and their motion trajectories are recorded. The trajectories are provided to *Turing Learning* using a reactive control architecture (Brooks 1991). Evidence indicates that reactive behavioral rules are sufficient to produce a range of complex collective behaviors in both groups of natural and artificial agents (Braitenberg 1984; Arkin 1998; Camazine et al. 2003). Note that although reactive architectures are conceptually simple, learning their parameters is not trivial if the agent's inputs are not available, as is the case in our problem setup. In fact, as shown in Sect. 4.5, a conventional (metric-based) system identification method fails in this respect.

¹ In general, the classifiers may influence the sampling process. In this case, independent data samples should be generated for each classifier. In particular, the classifiers could change the stimuli that influence the behavior of the system under investigation. This would enable a classifier to interact with the system by choosing the conditions under which the behavior is observed (Li et al. 2013). The classifier could then extract hidden information about the system, which may not be revealed through passive observation alone (Li 2016).

Fig. 1 An e-puck robot fitted with a black 'skirt' and a top marker for motion tracking



3.2.1 Agents

The agents move in a two-dimensional, continuous space. They are differential-wheeled robots. The speed of each wheel can be independently set to $[-1, 1]$, where -1 and 1 correspond to the wheel rotating backwards and forwards, respectively, with maximum speed. Figure 1 shows the agent platform, the e-puck (Mondada et al. 2009), which is used in the experiments.

Each agent is equipped with a line-of-sight sensor that detects the type of item in front of it. We assume that there are n types [e.g., background, other agent, object (Gauci et al. 2014c, b)]. The state of the sensor is denoted by $I \in \{0, 1, \dots, n-1\}$.

Each agent implements a reactive behavior by mapping the input (I) onto the outputs, that is, a pair of predefined speeds for the left and right wheels, $(v_{\ell I}, v_{r I})$, $v_{\ell I}, v_{r I} \in [-1, 1]$. Given n sensor states, the mapping can be represented using $2n$ system parameters, which we denote as:

$$\mathbf{p} = (v_{\ell 0}, v_{r 0}, v_{\ell 1}, v_{r 1}, \dots, v_{\ell(n-1)}, v_{r(n-1)}). \quad (5)$$

Using \mathbf{p} , any reactive behavior for the above agent can be expressed. In the following, we consider two example behaviors in detail.

Aggregation: In this behavior, the sensor is binary, that is, $n = 2$. It gives a reading of $I = 1$ if there is an agent in the line of sight, and $I = 0$ otherwise. The environment is free of obstacles. The objective of the agents is to aggregate into a single compact cluster as fast as possible. Further details, including a validation with 40 physical e-puck robots, are reported in Gauci et al. (2014c).

The aggregation controller was found by performing a grid search over the space of possible controllers (Gauci et al. 2014c). The controller exhibiting the highest performance was:

$$\mathbf{p} = (-0.7, -1.0, 1.0, -1.0). \quad (6)$$

When $I = 0$, an agent moves backwards along a clockwise circular trajectory ($v_{\ell 0} = -0.7$ and $v_{r 0} = -1.0$). When $I = 1$, an agent rotates clockwise on the spot with maximum angular speed ($v_{\ell 1} = 1.0$ and $v_{r 1} = -1.0$). Note that, rather counterintuitively, an agent never moves forward, regardless of I . With this controller, an agent provably aggregates with another agent or with a quasi-static cluster of agents (Gauci et al. 2014c). Figure 2 shows snapshots from a simulation trial with 50 agents.

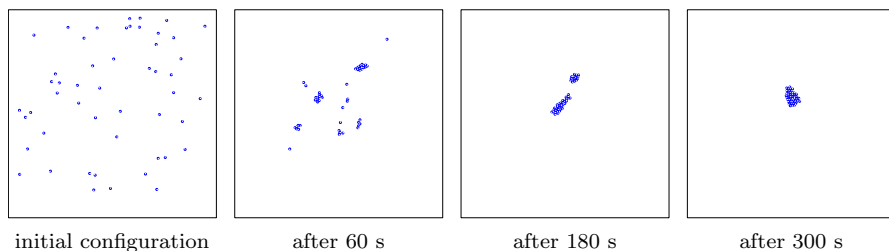


Fig. 2 Snapshots of the aggregation behavior of 50 agents in simulation

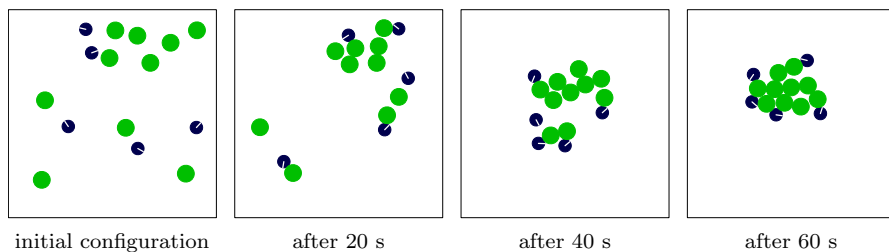


Fig. 3 Snapshots of the object clustering behavior in simulation. There are 5 agents (*dark blue*) and 10 objects (*green*) (Color figure online)

Object Clustering: In this behavior, the sensor is ternary, that is, $n = 3$. It gives a reading of $I = 2$ if there is an agent in the line of sight, $I = 1$ if there is an object in the line of sight, and $I = 0$ otherwise. The objective of the agents is to arrange the objects into a single compact cluster as fast as possible. Details of this behavior, including a validation using 5 physical e-puck robots and 20 cylindrical objects, are presented in [Gauci et al. \(2014b\)](#).

The controller's parameters, found using an evolutionary algorithm ([Gauci et al. 2014b](#)), are:

$$\mathbf{p} = (0.5, 1.0, 1.0, 0.5, 0.1, 0.5). \quad (7)$$

When $I = 0$ and $I = 2$, the agent moves forward along a counterclockwise circular trajectory, but with different linear and angular speeds. When $I = 1$, it moves forward along a clockwise circular trajectory. Figure 3 shows snapshots from a simulation trial with 5 agents and 10 objects.

3.2.2 Models and replicas

We assume the availability of *replicas*, which must have the potential to produce data samples that—to an external observer (classifier)—are indistinguishable from those of the agent. In our case, the replicas have the same morphology as the agent, including identical line-of-sight sensors and differential drive mechanisms.²

The replicas execute behavioral rules defined by the model. We adopt two model representations: gray box and black box. In both cases, note that the classifiers, which determine the quality of the models, have no knowledge about the agent/model representation or the agent/model inputs.

² In Sect. 4.6.1, we show that this assumption can be relaxed by also inferring some aspect of the agent's morphology.

- In a gray box representation, the agent's control system structure is assumed to be known. In other words, the model and the agent share the same control system structure, as defined in Eq. (5). This representation reduces the complexity of the identification process, in the sense that only the parameters of Eq. (5) need to be inferred. Additionally, this allows for an objective evaluation of how well the identification process performs, because one can compare the inferred parameters directly with the ground truth.
- In a black box representation, the agent's control system structure is assumed to be unknown, and the model has to be represented in a general way. In particular, we use a control system structure with memory, in the form of a neural network with recurrent connections (see Sect. 4.6.2).

The replicas can be mixed into a group of agents or separated from them. By default, we consider the situation that one or multiple replicas are mixed into a group of agents. The case of studying groups of agents and groups of replicas in isolation is investigated in Sect. 4.6.3.

3.2.3 Classifiers

The classifiers need to discriminate between data samples originating from the agents and ones originating from the replicas. We use the term *individual* to refer to either the agent or a replica executing a model.

A data sample comes from the motion trajectory of an individual observed for the duration of a trial. We assume that it is possible to track both the individual's position and orientation. The sample comprises the linear speed (s) and angular speed (ω).³ Full details (e.g., trial duration) are provided in Sects. 4.2 and 5.3 for the cases of simulation and physical experiments, respectively.

The classifier is represented as an Elman neural network (Elman 1990). The network has $i = 2$ inputs (s and ω), $h = 5$ hidden neurons and one output neuron. Each neuron of the hidden and output layers has a bias. The network thus has a total of $(i+1)h + h^2 + (h+1) = 46$ parameters, which all assume values in \mathbb{R} . The activation function used in the hidden and the output neurons is the logistic sigmoid function, which has the range $(0, 1)$ and is defined as:

$$\text{sig}(x) = \frac{1}{1 + e^{-x}}, \quad \forall x \in \mathbb{R}. \quad (8)$$

The data sample consists of a time series, which is fed sequentially into the classifier neural network. The final value of the output neuron is used to make the judgment: model, if its value is less than 0.5, and agent otherwise. The network's memory (hidden neurons) is reset after each judgment.

3.2.4 Optimization algorithm

The optimization of models and classifiers is realized using an evolutionary algorithm. We use a $(\mu + \lambda)$ evolution strategy with self-adaptive mutation strengths (Eiben and Smith 2003) to optimize either population. As a consequence, the optimization consists of two processes, one for the model population, and another for the classifier population. The two processes synchronize whenever the solution qualities described in Sect. 3.1 are computed. The implementation of the evolutionary algorithm is detailed in Li et al. (2013).

³ We define the linear speed to be positive when the angle between the individual's orientation and its direction of motion is smaller than $\pi/2$ rad, and negative otherwise.

For the remainder of this paper, we adopt terminology used in evolutionary computing and refer to the quality of solutions as their *fitness* and to iteration cycles as *generations*. Note that in coevolutionary algorithms, each population's fitness depends on the performance of the other populations and is hence referred to as the *subjective* fitness. By contrast, the fitness measure as used in conventional evolutionary algorithms is referred to as the *objective* fitness.

3.2.5 Termination criterion

The algorithm stops after running for a fixed number of iterations.

4 Simulation experiments

In this section, we present the simulation experiments for the two case studies. Sections 4.1 and 4.2, respectively, describe the simulation platform and setups. Sections 4.3 and 4.4, respectively, analyze the inferred models and classifiers. Section 4.5 compares *Turing Learning* with a metric-based identification method and mathematically analyzes this latter method. Section 4.6 presents further results of testing the generality of *Turing Learning* through exploring different scenarios, which include: (i) simultaneously inferring the control of the agent and an aspect of its morphology; (ii) using artificial neural networks as a model representation, thereby removing the assumption of a known agent control system structure; (iii) separating the replicas and the agents, thereby allowing for a potentially simpler experimental setup; and (iv) inferring arbitrary reactive behaviors.

4.1 Simulation platform

We use the open-source Enki library (Magnenat et al. 2011), which models the kinematics and dynamics of rigid objects, and handles collisions. Enki has a built-in 2D model of the e-puck. The robot is represented as a disk of diameter 7.0 cm and mass 150 g. The inter-wheel distance is 5.1 cm. The speed of each wheel can be set independently. Enki induces noise on each wheel speed by multiplying the set value by a number in the range (0.95, 1.05) chosen randomly with uniform distribution. The maximum speed of the e-puck is 12.8 cm/s, forwards or backwards. The line-of-sight sensor is simulated by casting a ray from the e-puck's front and checking the first item with which it intersects (if any). The range of this sensor is unlimited in simulation.

In the object clustering case study, we model objects as disks of diameter 10 cm with mass 35 g and a coefficient of static friction with the ground of 0.58, which makes it movable by a single e-puck.

The robot's control cycle is updated every 0.1 s, and the physics is updated every 0.01 s.

4.2 Simulation setups

In all simulations, we use an unbounded environment. For the aggregation case study, we use groups of 11 individuals—10 agents and 1 replica that executes a model. The initial positions of individuals are generated randomly in a square region of sides 331.66 cm, following a uniform distribution (average area per individual = 10000 cm²). For the object clustering case study, we use groups of 5 individuals—4 agents and 1 replica that executes a model—and 10 cylindrical objects. The initial positions of individuals and objects are generated randomly in a square region of sides 100 cm, following a uniform distribution (average area per object

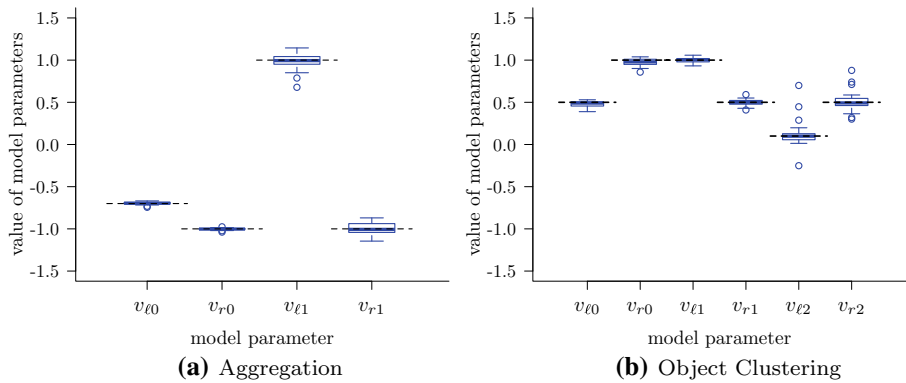


Fig. 4 Model parameters *Turing Learning* inferred from swarms of simulated agents performing (a) aggregation and (b) object clustering. Each box corresponds to the models with the highest subjective fitness in the 1000th generation of 30 runs. The dashed black lines correspond to the values of the parameters that the system is expected to learn (i.e., those of the agent) (Color figure online)

= 1000 cm²). In both case studies, individual starting orientations are chosen randomly in $[-\pi, \pi)$ with uniform distribution.

We performed 30 runs of *Turing Learning* for each case study. Each run lasted 1000 generations. The model and classifier populations each consisted of 100 solutions ($\mu = 50$, $\lambda = 50$). In each trial, classifiers observed individuals for 10 s at 0.1 s intervals (100 data points). In both setups, the total number of samples for the agents in each generation was equal to $n_t \times n_a$, where n_t is the number of trials performed (one per model) and n_a is the number of agents in each trial.

4.3 Analysis of inferred models

In order to objectively measure the quality of the models obtained through *Turing Learning*, we define two metrics. Given a candidate model (candidate controller) \mathbf{x} and the agent (original controller) \mathbf{p} , where $\mathbf{x} \in \mathbb{R}^{2n}$ and $\mathbf{p} \in [-1, 1]^{2n}$, we define the absolute error (AE) in a particular parameter $i \in \{1, 2, \dots, 2n\}$ as:

$$AE_i = |x_i - p_i|. \quad (9)$$

We define the mean absolute error (MAE) over all parameters as:

$$MAE = \frac{1}{2n} \sum_{i=1}^{2n} AE_i. \quad (10)$$

Figure 4 shows a box plot⁴ of the parameters of the inferred models with the highest subjective fitness value in the final generation. It can be seen that *Turing Learning* identifies the parameters for both behaviors with good accuracy (dashed black lines represent the ground truth, that is, the parameters of the observed swarming agents). In the case of aggregation, the means (standard deviations) of the AEs in the parameters are (from left to right in Fig. 4a):

⁴ The box plots presented here are all as follows. The line inside the box represents the median of the data. The edges of the box represent the lower and the upper quartiles of the data, whereas the whiskers represent the lowest and the highest data points that are within 1.5 times the range from the lower and the upper quartiles, respectively. Circles represent outliers.

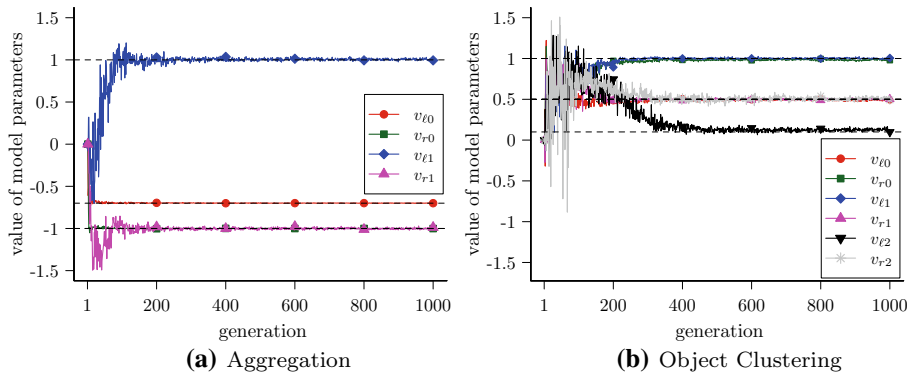


Fig. 5 Evolutionary dynamics of model parameters for the (a) aggregation and (b) object clustering case studies. Curves represent median parameter values of the models with the highest subjective fitness across 30 runs of *Turing Learning*. Dashed black lines indicate the ground truth (Color figure online)

0.01 (0.01), 0.01 (0.01), 0.07 (0.07), and 0.06 (0.04). In the case of object clustering, these values are as follows: 0.03 (0.03), 0.04 (0.03), 0.02 (0.02), 0.03 (0.03), 0.08 (0.13), and 0.08 (0.09).

We also investigate the evolutionary dynamics. Figure 5 shows how the model parameters converge over generations. In the aggregation case study (see Fig. 5a), the parameters corresponding to $I = 0$ are learned first. After around 50 generations, both v_{l0} and v_{r0} closely approximate their true values (-0.7 and -1.0). For $I = 1$, it takes about 200 generations for both v_{l1} and v_{r1} to converge. A likely reason for this effect is that an agent spends a larger proportion of its time seeing nothing ($I = 0$) than seeing other agents ($I = 1$)—simulations revealed these percentages to be 91.2 and 8.8 % respectively (mean values over 100 trials).

In the object clustering case study (see Fig. 5b), the parameters corresponding to $I = 0$ and $I = 1$ are learned faster than the parameters corresponding to $I = 2$. After about 200 generations, v_{l0} , v_{r0} , v_{l1} , and v_{r1} start to converge; however, it takes about 400 generations for v_{l2} and v_{r2} to approximate their true values. Note that an agent spends the highest proportion of its time seeing nothing ($I = 0$), followed by seeing objects ($I = 1$) and seeing other agents ($I = 2$)—simulations revealed these proportions to be 53.2, 34.2, and 12.6 %, respectively (mean values over 100 trials).

Although the inferred models approximate the agents well in terms of parameters, it is not uncommon in swarm systems that small changes in individual behavior lead to vastly different emergent behaviors, especially when using large numbers of agents (Levi and Kernbach 2010). For this reason, we evaluate the quality of the emergent behaviors that the models give rise to. In the case of aggregation, we measure dispersion of the swarm after some elapsed time as defined in Gauci et al. (2014c).⁵ For each of the 30 models with the highest subjective fitness in the final generation, we performed 30 trials with 50 replicas executing the model. For comparison, we also performed 30 trials using the agent [see Eq. (6)]. The set of initial configurations was the same for the replicas and the agents. Figure 6a shows the dispersion of agents and replicas after 400 s. All models led to aggregation. We performed a statistical test⁶ on the final dispersion of the individuals between the agents and replicas for

⁵ The measure of dispersion is based on the robots'/objects' distances from their centroid. For a formal definition, see Eq. (5) of Gauci et al. (2014c), Eq. (2) of Gauci et al. (2014b) and Graham and Sloane (1990).

⁶ Throughout this paper, the statistical test used is a two-sided Mann–Whitney test with a 5% significance level.

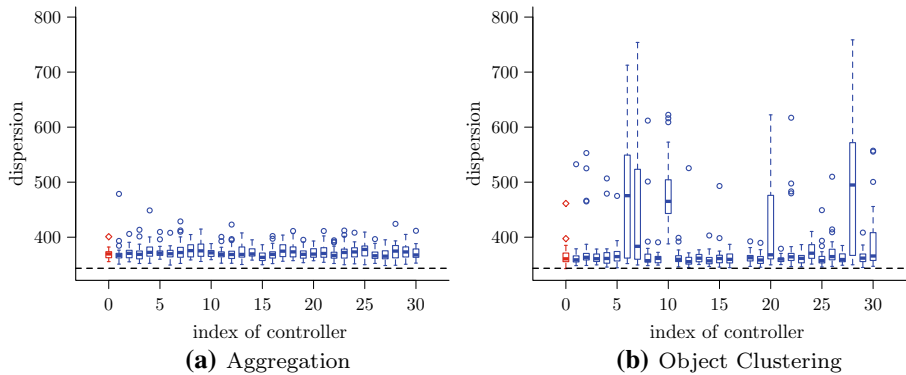


Fig. 6 **a** Dispersion of 50 simulated agents (red box) or replicas (blue boxes), executing one of the 30 inferred models in the aggregation case study. **b** Dispersion of 50 objects when using a swarm of 25 simulated agents (red box) or replicas (blue boxes), executing one of the 30 inferred models in the object clustering case study. In both (a) and (b), boxes show the distributions obtained after 400 s over 30 trials. The models are from the 1000th generation. The dashed black lines indicate the minimum dispersion that 50 individuals/objects can possibly achieve (Graham and Sloane 1990). See Sect. 4.3 for details (Color figure online)

each model. There is no statistically significant difference in 30 out of 30 cases (tests with Bonferroni correction).

In the case of object clustering, we use the dispersion of the objects after 400 s as a measure of the emergent behavior. We performed 30 trials with 25 individuals and 50 objects for the agent and each model. The results are shown in Fig. 6b. In the final dispersion of objects by the agent or any of the models (replicas), there is no statistically significant difference in 26 out of 30 cases (tests with Bonferroni correction).

4.4 Analysis of generated classifiers

The primary outcome of the *Turing Learning* method (and of any system identification method) is the model, which has been discussed in the previous section. However, the generated classifiers can also be considered as a useful by-product. For instance they could be used to detect abnormal agents in a swarm. We now analyze the performance of the classifiers. For the remainder of this paper, we consider only the aggregation case study.

To assess the performance of the classifiers, we measure the percentage of correct judgments over agents and a wide range of models. The models are uniformly distributed over the four-dimensional parameter space of the agents [see Eqs. (5) and (6)]; each element takes a value in $[-1, 1]$. To keep the analysis of classifiers within a reasonable computation time, we discretize this space using 11 settings per parameter; thus, each parameter is chosen from the set $\{-1.0, -0.8, \dots, 0.8, 1.0\}$. This discretized space is a grid consisting of $|\mathcal{X}| = 11^4 = 14641$ points (i.e., models). The model is executed by a replica mixed into a group of 10 agents (as in the *Turing Learning* runs). The motion data are fed to each classifier, which makes 10 judgments per individual. If the classifier consistently judges the individual as a model (i.e., not an agent) in 10 out of 10 trials, it outputs a “model” decision. Otherwise, it outputs “agent”. This conservative approach is used to minimize the risk of false-positive detection of abnormal behavior. The classifier’s performance (i.e., decision accuracy) is computed by combining the percentage of correct judgments about models (50 % weight) with the percentage of correct judgments about agents (50 % weight), analogous to the solution quality definition in Eq. (2).

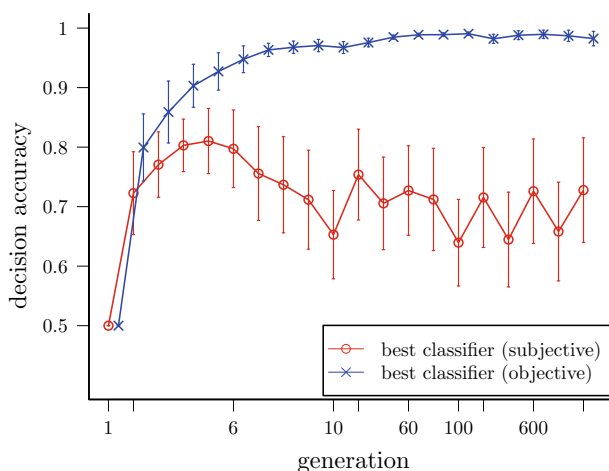


Fig. 7 Average decision accuracy of the best classifiers over 1000 generations (nonlinear scale) in 30 runs of *Turing Learning*. The error bars show standard deviations. See text for details

We performed 10 trials using a set of initial configurations common to all classifiers. Figure 7 shows the average decision accuracy of the classifier with the highest subjective fitness during the evolution (*best classifier (subjective)*) in 30 runs of *Turing Learning*. The accuracy of the classifier increases in the first 5 generations, then drops, and fluctuates within range 62–80 %. For a comparison, we also plot the highest decision accuracy that a single classifier achieved during the post-evaluation for each generation. This classifier is referred to *best classifier (objective)*. Interestingly, the accuracy of the *best classifier (objective)* increases almost monotonically, reaching a level above 95 %. To select the *best classifier (objective)*, all the classifiers were post-evaluated using the aforementioned 14641 models.

At first sight, it seems counterintuitive that the *best classifier (subjective)* has a low decision accuracy. This phenomenon, however, can be explained when considering the model population. We have shown in the previous section (see Fig. 5a) that the models converge rapidly at the beginning of the coevolutions. As a result, when classifiers are evaluated in later generations, the trials are likely to include models very similar to each other. Classifiers that become overspecialized to this small set of models (the ones dominating the later generations) have a higher chance of being selected during the evolutionary process. These classifiers may however have a low performance when evaluated across the entire model space.

Note that our analysis does not exclude the potential existence of models for which the performance of the classifiers degenerates substantially. As reported by [Nguyen et al. \(2015\)](#), well-trained classifiers, which in their case are represented by deep neural networks, can be easily fooled. For instance, the classifiers may label a random-looking image as a guitar with high confidence. However, in this degenerate case, the image was obtained through evolutionary learning, while the classifiers remained static. By contrast, in *Turing Learning*, the classifiers are coevolving with the models, and hence have the opportunity to adapt to such a situation.

4.5 A metric-based system identification method: mathematical analysis and comparison with *Turing Learning*

In order to compare *Turing Learning* against a metric-based method, we employ the commonly used least-square approach. The objective is to minimize the differences between the

observed outputs of the agents and of the models, respectively. Two outputs are considered—an individual's linear and angular speed. Both outputs are considered over the whole duration of a trial. Formally,

$$e_m = \sum_{i=1}^{n_a} \sum_{t=1}^T \left\{ \left(s_m^{(t)} - s_i^{(t)} \right)^2 + \left(\omega_m^{(t)} - \omega_i^{(t)} \right)^2 \right\}, \quad (11)$$

where $s_m^{(t)}$ and $s_i^{(t)}$ are the linear speed of the model and of agent i , respectively, at time step t ; $\omega_m^{(t)}$ and $\omega_i^{(t)}$ are the angular speed of the model and of the agent i , respectively, at time step t ; n_a is the number of agents in the group; T is the total number of time steps in a trial.

4.5.1 Mathematical analysis

We begin our analysis by first analyzing an abstract version of the problem.

Theorem 1 Consider two binary random variables X and Y . Variable X takes value x_1 with probability p , and value x_2 , otherwise. Variable Y takes value y_1 with the same probability p , and value y_2 , otherwise. Variables X and Y are assumed to be independent of each other. Assuming y_1 and y_2 are given, then the metric $D = \mathbb{E}\{(X - Y)^2\}$ has a global minimum at X^* with $x_1^* = x_2^* = \mathbb{E}\{Y\}$. If $p \in (0, 1)$, the solution is unique.

Proof The probability of (i) both x_1 and y_1 being observed is p^2 ; (ii) both x_1 and y_2 being observed is $p(1 - p)$; (iii) both x_2 and y_1 being observed is $(1 - p)p$; (iv) both x_2 and y_2 being observed is $(1 - p)^2$. The expected error value, D , is then given as

$$D = p^2 (x_1 - y_1)^2 + p(1 - p) (x_1 - y_2)^2 + (1 - p)p (x_2 - y_1)^2 + (1 - p)^2 (x_2 - y_2)^2. \quad (12)$$

To find the minimum expected error value, we set the partial derivatives w.r.t. x_1 and x_2 to 0. For x_1 , we have:

$$\frac{\partial D}{\partial x_1} = 2p^2 (x_1 - y_1) + 2p(1 - p) (x_1 - y_2) = 0, \quad (13)$$

from which we obtain $x_1^* = py_1 + (1 - p)y_2 = \mathbb{E}\{Y\}$. Similarly, setting $\frac{\partial D}{\partial x_2} = 0$, we obtain $x_2^* = py_1 + (1 - p)y_2 = \mathbb{E}\{Y\}$. Note that at these values of x_1 and x_2 , the second-order partial derivatives are both positive [assuming $p \in (0, 1)$]. Therefore, the (global) minimum of D is at this stationary point. \square

Corollary 1 If $p \in (0, 1)$ and $y_1 \neq y_2$, then $X^* \neq Y$.

Proof As $p \in (0, 1)$, the only global minimum exists at X^* . As $x_1^* = x_2^*$ and $y_1 \neq y_2$, it follows that $X^* \neq Y$. \square

Corollary 2 Consider two discrete random variables X and Y with values x_1, x_2, \dots, x_n , and y_1, y_2, \dots, y_n , respectively, $n > 1$. Variable X takes value x_i with probability p_i and variable Y takes value y_i with the same probability p_i , $i = 1, 2, \dots, n$, where $\sum_{i=1}^n p_i = 1$ and $\exists i, j : y_i \neq y_j$. Variables X and Y are assumed to be independent of each other. Metric D has a global minimum at $X^* \neq Y$ with $x_1^* = x_2^* = \dots = x_n^* = \mathbb{E}\{Y\}$. If all $p_i \in (0, 1)$, then X^* is unique.

Proof This proof, which is omitted here, can be obtained by examining the first and second derivatives of a generalized version of Eq. (12). Rather than four (2^2) cases, there are n^2 cases to be considered. \square

Corollary 3 Consider a sequence of pairs of binary random variables $(X_t, Y_t), t = 1, \dots, T$. Variable X_t takes value x_1 with probability p_t , and value x_2 , otherwise. Variable Y_t takes value y_1 with the same probability p_t , and value $y_2 \neq y_1$, otherwise. For all t , variables X_t and Y_t are assumed to be independent of each other. If all $p_t \in (0, 1)$, then the metric $D = \mathbb{E} \left\{ \sum_{t=1}^T (X_t - Y_t)^2 \right\}$ has one global minimum at $X^* \neq Y$.

Proof The case $T = 1$ has already been considered (Theorem 1 and Corollary 1). For the case $T = 2$, we extend Eq. (13) to take into account p_1 and p_2 , and obtain

$$x_1 (p_1^2 + p_1 - p_1^2 + p_2^2 + p_2 - p_2^2) = y_1 (p_1^2 + p_2^2) + y_2 (p_1 - p_1^2 + p_2 - p_2^2). \quad (14)$$

This can be rewritten as:

$$x_1 = \frac{p_1^2 + p_2^2}{p_1 + p_2} y_1 + \frac{p_1(1 - p_1) + p_2(1 - p_2)}{p_1 + p_2} y_2. \quad (15)$$

As $y_2 \neq y_1$, x_1 can only be equal to y_1 if $p_1^2 + p_2^2 = p_1 + p_2$, which is equivalent to $p_1(1 - p_1) + p_2(1 - p_2) = 0$. This is however not possible for any $p_1, p_2 \in (0, 1)$. Therefore, $X^* \neq Y$.⁷

For the general case, $T \geq 1$, the following equation can be obtained (proof omitted).

$$x_1 = \frac{\sum_{t=1}^T p_t^2}{\sum_{t=1}^T p_t} y_1 + \frac{\sum_{t=1}^T p_t(1 - p_t)}{\sum_{t=1}^T p_t} y_2. \quad (16)$$

The same argument applies— x_1^* cannot be equal to y_1 . Therefore, $X^* \neq Y$. \square

Implications for our scenario: The metric-based approach considered in this paper is unable to infer the correct behavior of the agent. In particular, the model that is globally optimal w.r.t. the expected value for the error function defined by Eq. (11) is different from the agent. This observation follows from Corollary 1 for the aggregation case study (two sensor states), and from Corollary 2 for the object clustering case study (three sensor states). It exploits the fact that the error function is of the same structure as the metric in Corollary 3—a sum of square error terms. The summation over time is not of concern—as was shown in Corollary 3, the distributions of sensor reading values (inputs) of the agent and of the model do not need to be stationary. However, we need to assume that for any control cycle, the actual inputs of agents and models are not correlated with each other. Note that the sum in Eq. (11) comprises two square error terms: one for the linear speed of the agent, and the other for the angular speed. As our simulated agents employ a differential drive with unconstrained motor speeds, the linear and angular speeds are decoupled. In other words, the linear and angular speeds can be chosen independently of each other, and optimized separately. This means that Eq. (11) can be thought of as two separate error functions: one pertaining to the linear speeds, and the other to the angular speeds.

⁷ Note that in the case of $p_1 = p_2$, Eq. (15) simplifies to $x_1^* = p y_1 + (1 - p) y_2$, which is consistent with Theorem 1. For $p_1 \neq p_2$, it can be shown that x_1^* and x_2^* are not necessarily equal to $\mathbb{E}\{Y\}$.

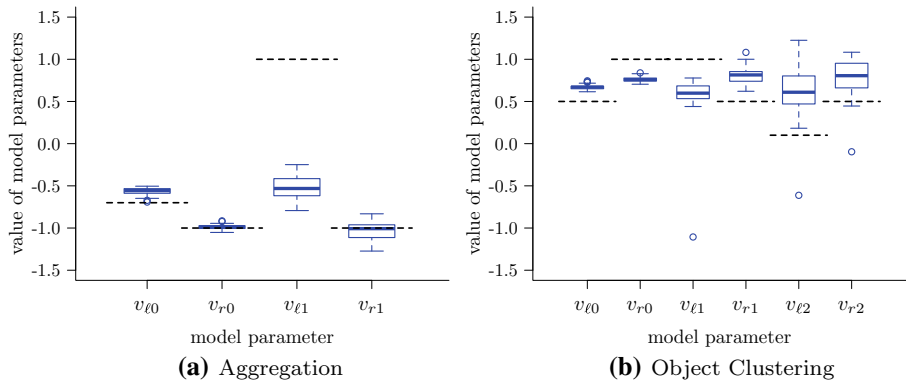


Fig. 8 Model parameters a metric-based evolutionary method inferred from swarms of simulated agents performing (a) aggregation and (b) object clustering. Each box corresponds to the models with the highest fitness in the 1000th generation of 30 runs. The dashed black lines correspond to the values of the parameters that the system is expected to learn (i.e., those of the agent) (Color figure online)

4.5.2 Comparison with Turing Learning

To verify whether the theoretical result (and its assumptions) holds in practice, we used an evolutionary algorithm with a single population of models. The algorithm was identical to the model optimization sub-algorithm in *Turing Learning* except for the fitness calculation, where the metric of Eq. (11) was employed. We performed 30 evolutionary runs for each case study. Each evolutionary run lasted 1000 generations. The simulation setup and number of fitness evaluations for the models were kept the same as in *Turing Learning*.

Figure 8a shows the parameter distribution of the evolved models with highest fitness in the last generation over 30 runs. The distributions of the evolved parameters corresponding to $I = 0$ and $I = 1$ are similar. This phenomenon can be explained as follows. In the identification problem that we consider, the method has no knowledge of the input, that is, whether the agent perceives another agent ($I = 1$) or not ($I = 0$). This is consistent with *Turing Learning* as the classifiers that are used to optimize the models also do not have any knowledge of the inputs. The metric-based algorithm seems to construct controllers that do not respond differently to either input, but work as good as it gets on average, that is, for the particular distribution of inputs, 0 and 1. For the left wheel speed, both parameters are approximately -0.54 . This is almost identical to the weighted mean ($-0.7 \cdot 0.912 + 1.0 \cdot 0.088 = -0.5504$), which takes into account that parameter $v_{\ell 0} = -0.7$ is observed around 91.2 % of the time, whereas parameter $v_{\ell 1} = 1$ is observed around 8.8 % of the time (see also Sect. 4.3). The parameters related to $I = 1$ evolved well as the agent's parameters are identical regardless of the input ($v_{r 0} = v_{r 1} = -1.0$). For both $I = 0$ and $I = 1$, the evolved parameters show good agreement with Theorem 1. As the model and the agents are only observed for 10 s in the simulation trials, the probabilities of seeing a 0 or a 1 are nearly constant throughout the trial. Hence, this scenario approximates very well the conditions of Theorem 1, and the effects of non-stationary probabilities on the optimal point (Corollary 3) are minimal. Similar results were found when inferring the object clustering behavior (see Fig. 8b).

By comparing Figs. 4 and 8, one can see that *Turing Learning* outperforms the metric-based evolutionary algorithm in terms of model accuracy in both case studies. As argued before, due to the unpredictable interactions in swarms, the traditional metric-based method is not suited for inferring the behaviors.

4.6 Generality of Turing Learning

In the following, we present four orthogonal studies testing the generality of *Turing Learning*. The experimental setup in each section is identical to that described previously (see Sect. 4.2), except for the modifications discussed within each section.

4.6.1 Simultaneously inferring control and morphology

In the previous sections, we assumed that we fully knew the agents' morphology, and only their behavior (controller) was to be identified. We now present a variation where one aspect of the morphology is also unknown. The replica, in addition to the four controller parameters, takes a parameter $\theta \in [0, 2\pi]$ rad, which determines the horizontal field of view of its sensor, as shown in Fig. 9 (the sensor is still binary). Note that the agents' line-of-sight sensors of the previous sections can be considered as sensors with a field of view of 0 rad.

The models now have five parameters. As before, we let *Turing Learning* run in an unbounded search space (i.e., now, \mathbb{R}^5). However, as θ is necessarily bounded, before a model is executed on a replica, the parameter corresponding to θ is mapped to the range $(0, 2\pi)$ using an appropriately scaled logistic sigmoid function. The controller parameters are directly passed to the replica. In this setup, the classifiers observe the individuals for 100 s in each trial (preliminary results indicated that this setup required a longer observation time).

Figure 10a shows the parameters of the subjectively best models in the last (1000th) generations of 30 runs. The means (standard deviations) of the AEs in each model parameter

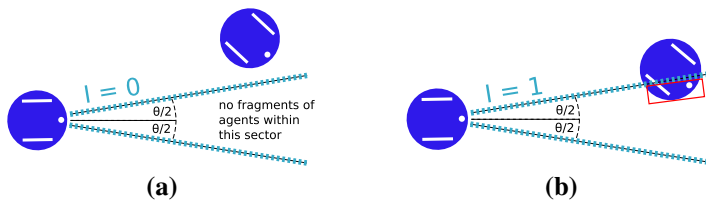


Fig. 9 A diagram showing the angle of view of the agent's sensor investigated in Sect. 4.6.1

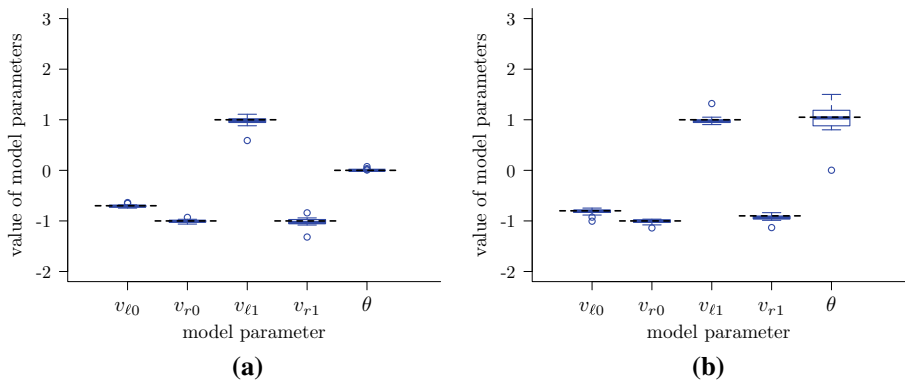


Fig. 10 *Turing Learning* simultaneously inferring control and morphological parameters (field of view). The agents' field of view is (a) 0 rad and (b) $\pi/3$ rad. Boxes show distributions for the models with the highest subjective fitness in the 1000th generation over 30 runs. Dashed black lines indicate the ground truth

are as follows: 0.02 (0.01), 0.02 (0.02), 0.05 (0.07), 0.06 (0.06), and 0.01 (0.01). All parameters including θ are still learned with high accuracy.

The case where the true value of θ is 0 rad is an edge case, because given an arbitrarily small $\epsilon > 0$, the logistic sigmoid function maps an unbounded domain of values onto $(0, \epsilon)$. This makes it simpler for *Turing Learning* to infer this parameter. For this reason, we also consider another scenario where the agents' angle of view is $\pi/3$ rad rather than 0 rad. The controller parameters for achieving aggregation in this case are different from those in Eq. (6). They were found by rerunning a grid search with the modified sensor. Figure 10b shows the results from 30 runs with this setup. The means (standard deviations) of the AEs in each parameter are as follows: 0.04 (0.04), 0.03 (0.03), 0.05 (0.06), 0.05 (0.05), and 0.20 (0.19). The controller parameters are still learned with good accuracy. The accuracy in the angle of view is noticeably lower, but still reasonable.

4.6.2 Inferring behavior without assuming a known control system structure

In the previous sections, we assumed the agent's control system structure to be known and only inferred its parameters. To further investigate the generality of *Turing Learning*, we now represent the model in a more general form, namely a (recurrent) Elman neural network (Elman 1990). The network inputs and outputs are identical to those used for our reactive models. In other words, the Elman network has one input (I) and two outputs representing the left and right wheel speed of the robot. A bias is connected to the input and hidden layers of the network, respectively. We consider three network structures with one, three, and five hidden neurons, which correspond, respectively, to 7, 23, and 47 weights to be optimized. Except for a different number of parameters to be optimized, the experimental setup is equivalent in all aspects to that of Sect. 4.2.

We first analyze the steady-state behavior of the inferred network models. To obtain their steady-state outputs, we fed them with a constant input ($I = 0$ or $I = 1$ depending on the parameters) for 20 time steps. Figure 11 shows the outputs in the final time step of the inferred models with the highest subjective fitness in the last generation in 30 runs for the three cases. In all cases, the parameters of the swarming agent can be inferred correctly with reasonable accuracy. More hidden neurons lead to worse results, probably due to the larger search space.

We now analyze the dynamic behavior of the inferred network models. Figure 12 shows the dynamic output of 1 of the 30 neural networks. The chosen neural network is the one

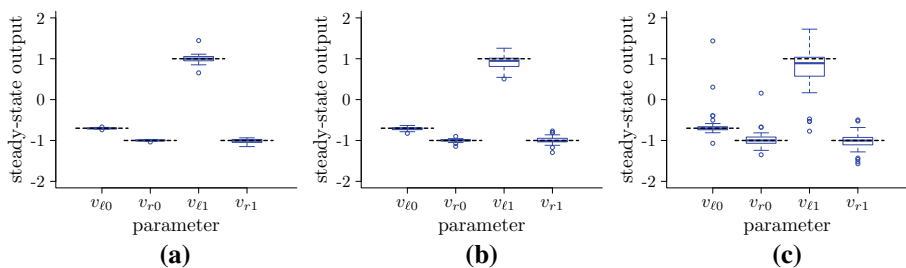


Fig. 11 *Turing Learning* can infer an agent's behavior without assuming its control system structure to be known. These plots show the steady-state outputs (in the 20th time step) of the inferred neural networks with the highest subjective fitness in the 1000th generation of 30 simulation runs. Two outliers in (c) are not shown. **a** One hidden neuron, **b** Three hidden neurons, **c** Five hidden neurons

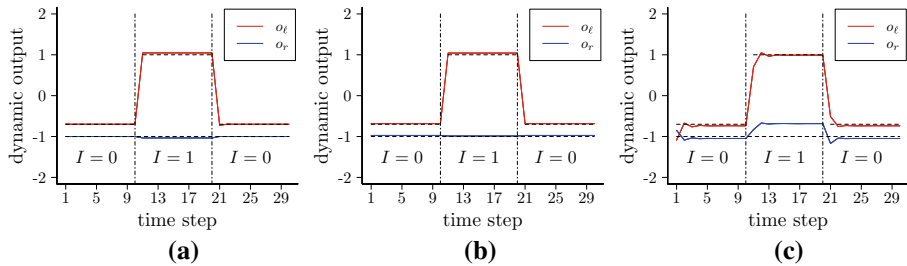


Fig. 12 Dynamic outputs of the inferred neural network with median performance. The network's input in each case was $I = 0$ (time steps 1–10), $I = 1$ (time steps 11–20), and $I = 0$ (time steps 21–30). See text for details. **a** One hidden neuron, **b** Three hidden neurons, **c** Five hidden neurons

exhibiting the median performance according to metric $\sum_{i=1}^4 \sum_{t=1}^{20} (o_{it} - p_i)^2$, where p_i denotes the i th parameter in Eq. (6), and o_{it} denotes the output of the neural network in the t th time step corresponding to the i th parameter in Eq. (6). The inferred networks react to the inputs rapidly and maintain a steady-state output (with little disturbance). The results show that *Turing Learning* can infer the behavior without assuming the agent's control system structure to be known.

4.6.3 Separating the replicas and the agents

In our two case studies, the replica was mixed into a group of agents. In the context of animal behavior studies, a robot replica may be introduced into a group of animals and recognized as a conspecific (Halloy et al. 2007; Faria et al. 2010). However, if behaving abnormally, the replica may disrupt the behavior of the swarm (Bjerknes and Winfield 2013). For the same reason, the insertion of a replica that exhibits different behavior or is not recognized as conspecific may disrupt the behavior of the swarm and hence the models obtained may be biased. In this case, an alternative method would be to isolate the influence of the replica(s). We performed an additional simulation study where agents and replicas were never mixed. Instead, each trial focused on either a group of agents, or of replicas. All replicas in a trial executed the same model. The group size was identical in both cases. The tracking data of the agents and the replicas from each sample were then fed into the classifiers for making judgments.

The distribution of the inferred model parameters is shown in Fig. 13. The results show that *Turing Learning* can still identify the model parameters well. There is no significant difference between either approach in the case studies considered in this paper. The method of separating replicas and agents is recommended if potential biases are suspected.

4.6.4 Inferring other reactive behaviors

The aggregation controller that agents used in our case study was originally synthesized by searching over the parameter space defined in Eq. (5) with $n = 2$, using a metric to assess the swarm's global performance (Gauci et al. 2014c). Each of these points produces a global behavior. Some of these behaviors are particularly interesting, such as the circle formation behavior reported in Gauci et al. (2014a).

We now investigate whether *Turing Learning* can infer arbitrary controllers in this space, irrespective of the global behaviors they lead to. We generated 1000 controllers randomly

Fig. 13 Model parameters inferred by a variant of *Turing Learning* that observes swarms of aggregating agents and swarms of replicas in isolation, thereby avoiding potential bias. Each box corresponds to the models with the highest subjective fitness in the 1000th generation of 30 simulation runs

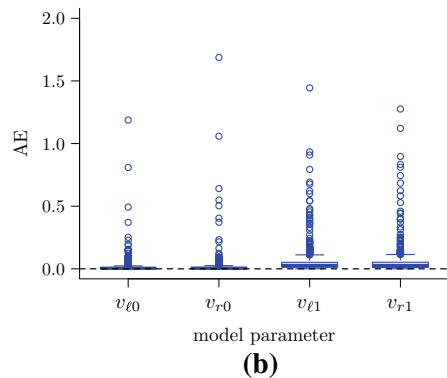
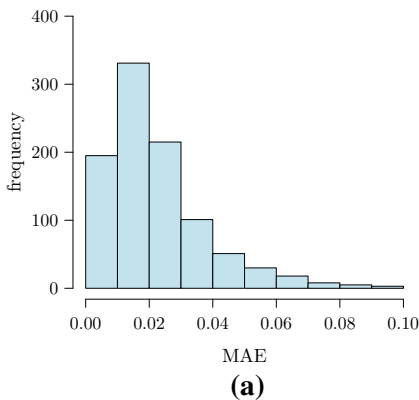
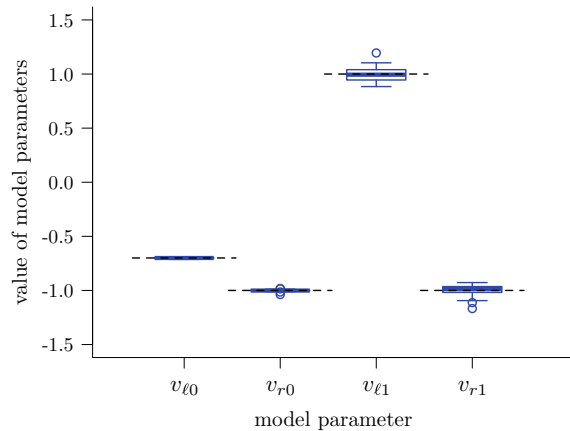


Fig. 14 *Turing Learning* inferring the models for 1000 randomly generated agent behaviors. For each behavior, one run of *Turing Learning* was performed and the model with the highest subjective fitness after 1000 generations was considered. **a** Histogram of the models' MAE [(defined in Eq. (10); 43 points that have an MAE larger than 0.1 are not shown]; and **b** AEs [(defined in Eq. (9))] for each model parameter

in the parameter space defined in Eq. (5), with uniform distribution. For each controller, we performed one run, and selected the subjectively best model in the last (1000th) generation.

Figure 14a shows a histogram of the MAE of the inferred models. The distribution has a single mode close to zero and decays rapidly for increasing values. Over 89 % of the 1000 cases have an error below 0.05. This suggests that the accuracy of *Turing Learning* is not highly sensitive to the particular behavior under investigation (i.e., most behaviors are learned equally well). Figure 14b shows the AEs of each model parameter. The means (standard deviations) of the AEs in each parameter are as follows: 0.01 (0.05), 0.02 (0.07), 0.07 (0.6), and 0.05 (0.2). We performed a statistical test on the AEs between the model parameters corresponding to $I = 0$ ($v_{\ell 0}$ and $v_{r 0}$) and $I = 1$ ($v_{\ell 1}$ and $v_{r 1}$). The AEs of the inferred $v_{\ell 0}$ and $v_{r 0}$ are significantly lower than those of $v_{\ell 1}$ and $v_{r 1}$. This is likely due to the reason reported in Sect. 4.3, that is, an agent is likely to spend more time seeing nothing ($I = 0$) than seeing other agents ($I = 1$) in each trial.

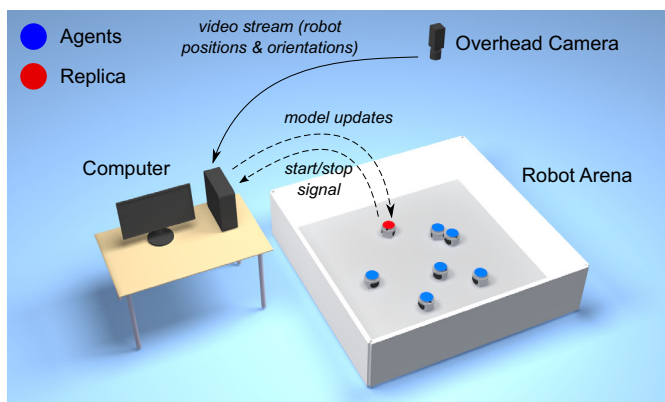


Fig. 15 Illustration of the general setup for inferring the behavior of physical agents—e-puck robots (not to scale). The computer runs the *Turing Learning* algorithm, which produces models and classifiers. The models are uploaded and executed on the replica. The classifiers run on the computer. They are provided with the agents' and replica's motion data, extracted from the video stream of the overhead camera

5 Physical experiments

In this section, we present a real-world validation of *Turing Learning*. We explain how it can be used to infer the behavior of a swarm of real agents. The agents and replicas are represented by physical robots. We use the same type of robot (e-puck) as in simulation. The agents execute the aggregation behavior described in Sect. 3.2.1. The replicas execute the candidate models. We use two replicas to speed up the identification process, as will be explained in Sect. 5.3.

5.1 Physical platform

The physical setup, shown in Fig. 15, consists of an arena with robots (representing agents or replicas), a personal computer (PC), and an overhead camera. The PC runs the *Turing Learning* algorithm. It communicates with the replicas, providing them models to be executed, but does not exert any control over the agents. The overhead camera supplies the PC with a video stream of the swarm. The PC performs video processing to obtain motion data about individual robots. We now describe the physical platform in more detail.

5.1.1 Robot arena

The robot arena is rectangular with sides $200\text{ cm} \times 225\text{ cm}$ and bounded by walls of 50 cm high. The floor has a light gray color, and the walls are painted white.

5.1.2 Robot platform and sensor implementations

A schematic top view of the e-puck is shown in Fig. 16. We implement the line-of-sight sensor using the e-puck's directional camera, located at its front. For this purpose, we wrap the robots in black 'skirts' (see Fig. 1) to make them distinguishable against the light-colored arena. While in principle the sensor could be implemented using one pixel, we use a column of pixels from a subsampled image to compensate for misalignment in the camera's vertical

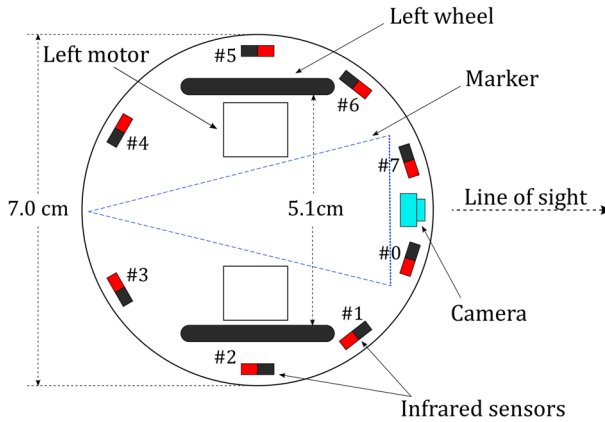


Fig. 16 Schematic top view of an e-puck, indicating the locations of its motors, wheels, camera, and infrared sensors. Note that the marker is pointing toward the robot's back

orientation. The gray values from these pixels are used to distinguish robots ($I = 1$) against the arena ($I = 0$). For more details about this sensor realization, see (Gauci et al. 2014c).

We also use the e-puck's infrared sensors, in two cases. Firstly, before each trial, the robots disperse themselves within the arena. In this case, they use the infrared sensors to avoid both robots and walls, making the dispersion process more efficient. Secondly, we observe that using only the line-of-sight sensor can lead to robots becoming stuck against the walls of the arena, hindering the identification process. We therefore use the infrared sensors for wall avoidance, but in such a way as to not affect inter-robot interactions.⁸ Details of these two collision avoidance behaviors are provided in the online supplementary materials (Li et al. 2016).

5.1.3 Motion capture

To facilitate motion data extraction, we fit robots with markers on their tops, consisting of a colored isosceles triangle on a circular white background (see Fig. 1). The triangle's color allows for distinction between robots; we use blue triangles for all agents, and orange and purple triangles for the two replicas. The triangle's shape eases extraction of robots' orientations.

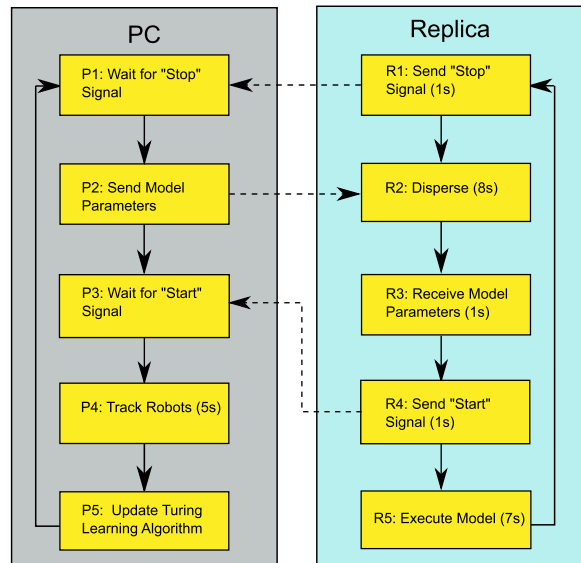
The robots' motion is captured using a camera mounted around 270 cm above the arena floor. The camera's frame rate is set to 10 fps. The video stream is fed to the PC, which performs video processing to extract motion data about individual robots (position and orientation). The video processing software is written using OpenCV (Bradski and Kaehler 2008).

5.2 Turing Learning with physical robots

Our objective is to infer the agent's aggregation behavior. We do not wish to infer the agent's dispersion behavior, which is periodically executed to distribute already-aggregated agents. To separate these two behaviors, the robots (agents and replicas) and the system are implicitly synchronized. This is realized by making each robot execute a fixed behavioral loop of

⁸ To do so, the e-pucks determine whether a perceived object is a wall or another robot.

Fig. 17 Flow diagram of the programs run by the PC and a replica in the physical experiments. *Dashed arrows* represent communication between the two units. See Sect. 5.2 for details. The PC does not exert any control over the agents



constant duration. The PC also executes a fixed behavioral loop, but the timing is determined by the signals received from the replicas. Therefore, the PC is synchronized with the swarm. The PC communicates with the replicas via Bluetooth. At the start of a run, or after a human intervention (see Sect. 5.3), robots are initially synchronized using an infrared signal from a remote control.

Figure 17 shows a flow diagram of the programs run by the PC and the replicas, respectively. Dashed arrows indicate communication between the units.

The program running on the PC has the following states:

- *P1. Wait for "Stop" Signal.* The program is paused until "Stop" signals are received from both replicas. These signals indicate that a trial has finished.
- *P2. Send Model Parameters.* The PC sends new model parameters to the buffer of each replica.
- *P3. Wait for "Start" Signal.* The program is paused until "Start" signals are received from both replicas, indicating that a trial is starting.
- *P4. Track Robots.* The PC waits 1 s and then tracks the robots using the overhead camera for 5 s. The tracking data contain the positions and orientations of the agents and replicas.
- *P5. Update Turing Learning Algorithm.* The PC uses the motion data from the trial observed in *P4* to update the solution quality (fitness values) of the corresponding two models and all classifiers. Once all models in the current iteration cycle (generation) have been evaluated, the PC also generates new model and classifier populations. The method for calculating the qualities of solutions and the optimization algorithm are described in Sects. 3.1 and 3.2.4, respectively. The PC then goes back to *P1*.

The program running on the replicas has the following states:

- *R1. Send "Stop" Signal.* After a trial stops, the replica informs the PC by sending a "Stop" signal. The replica waits 1 s before proceeding with *R2*, so that all robots remain synchronized. Waiting 1 s in other states serves the same purpose.
- *R2. Disperse.* The replica disperses in the environment, while avoiding collisions with other robots and the walls. This behavior lasts 8 s.

- *R3. Receive Model Parameters.* The replica reads new model parameters from its buffer (sent earlier by the PC). It waits 1 s before proceeding with *R4*.
- *R4. Send “Start” Signal.* The replica sends a start signal to the PC to inform it that a trial is about to start. The replica waits 1 s before proceeding with *R5*.
- *R5. Execute Model.* The replica moves within the swarm according to its model. This behavior lasts 7 s (the tracking data corresponds to the middle 5 s, see *P4*). The replica then goes back to *R1*.

The program running on the agents has the same structure as the replica program. However, in the states analogous to *R1*, *R3*, and *R4*, they simply wait 1 s rather than communicate with the PC. In the state corresponding to *R2*, they also execute the *Disperse* behavior. In the state corresponding to *R5*, they execute the agent’s aggregation controller, rather than a model.

Each iteration (loop) of the program for the PC, replicas, and agents lasts 18 s.

5.3 Experimental setup

As in simulation, we use a population size of 100 for classifiers ($\mu = 50$, $\lambda = 50$). However, the model population size is reduced from 100 to 20 ($\mu = 10$, $\lambda = 10$) to shorten the experimentation time. We use 10 robots: 8 representing agents executing the original aggregation controller [Eq. (6)], and 2 representing replicas that execute models. This means that in each trial, 2 models from the population could be simultaneously evaluated; consequently, each generation consists of $20/2 = 10$ trials.

The *Turing Learning* algorithm is implemented without any modification to the code used in simulation (except for model population size and observation time in each trial). We still let the model parameters evolve unboundedly (i.e., in \mathbb{R}^4). However, as the speed of the physical robots is naturally bounded, we apply the hyperbolic tangent function ($\tanh x$) on each model parameter, before sending a model to a replica. This bounds the parameters to $(-1, 1)^4$, with -1 and 1 representing the maximum backwards and forwards wheel speeds, respectively.

The *Turing Learning* runs proceed autonomously. In the following cases, however, there is intervention:

- The robots have been running continuously for 25 generations. All batteries are replaced.
- Hardware failure has occurred on a robot, for example because of a lost battery connection or because the robot has become stuck on the floor. Appropriate action is taken for the affected robot to restore its functionality.
- A replica has lost its Bluetooth connection with the PC. The connection with both replicas is restarted.
- A robot indicates a low battery status through its LED after running for only a short time. That robot’s battery is changed.

After an intervention, the ongoing generation is restarted, to limit the impact on the *identification* process.

We conducted 10 runs of *Turing Learning* using the physical system. Each run lasted 100 generations, corresponding to 5 hours (excluding human intervention time). Video recordings of all runs can be found in the online supplementary materials (Li et al. 2016).

5.4 Analysis of inferred models

We first investigate the quality of the models obtained. To select the ‘best’ model from each run, we post-evaluated all models of the final generation 5 times using all classifiers of

Fig. 18 Model parameters *Turing Learning* inferred from swarms of physical robots performing aggregation. The models are those with the highest subjective fitness in the 100th generation of 10 runs. *Dashed black lines* indicate the ground truth, that is, the values of the parameters that the system is expected to learn (Color figure online)

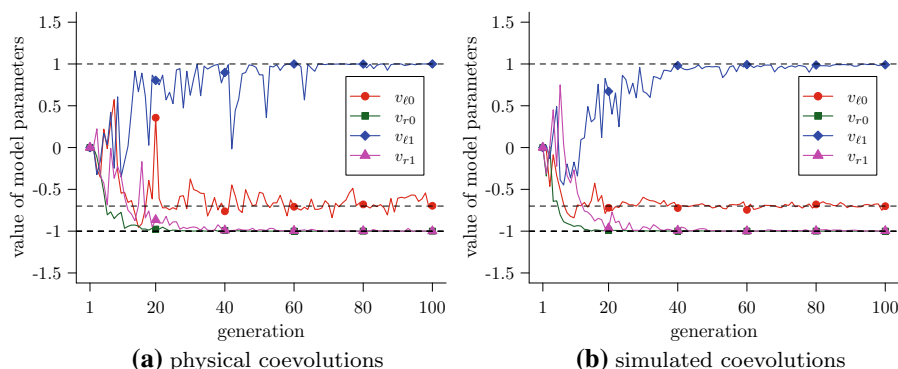
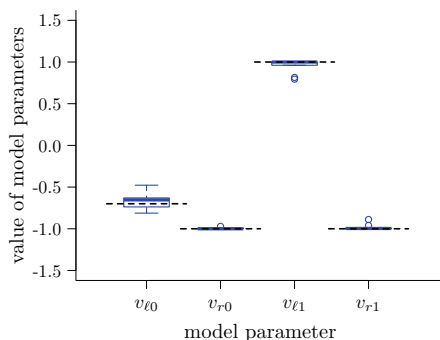


Fig. 19 Evolutionary dynamics of model parameters in (a) 10 physical and (b) 10 simulated runs of *Turing Learning* (in both cases, equivalent setups were used). *Curves* represent median parameter values of the models with the highest subjective fitness across the 10 runs. *Dashed black lines* indicate the ground truth

that generation. The parameters of these models are shown in Fig. 18. The means (standard deviations) of the AEs in each parameter are as follows: 0.08 (0.06), 0.01 (0.01), 0.05 (0.08), and 0.02 (0.04).

To investigate the effects of real-world conditions on the identification process, we performed 10 simulated runs of *Turing Learning* with the same setup as in the physical runs. Figure 19 shows the evolutionary dynamics of the parameters of the inferred models (with the highest subjective fitness) in the physical and simulated runs. The dynamics show good correspondence. However, the convergence in the physical runs is somewhat less smooth than that in the simulated ones (e.g., see spikes in $v_{\ell 0}$ and $v_{\ell 1}$). In each generation of every run (physical and simulated), we computed the MAE of each model. We compared the error of the model with the highest subjective fitness with the average and lowest errors. The results are shown in Fig. 20. For both the physical and simulated runs, the subjectively best model (green) has an error in between the lowest error (blue) and the average error (red) in the majority of generations.

As we argued before (Sect. 4.3), in swarm systems, good agreement between local behaviors (e.g., controller parameters) may not guarantee similar global behaviors. For this reason, we investigate both the original controller [Eq. (6)] and a controller obtained from the phys-

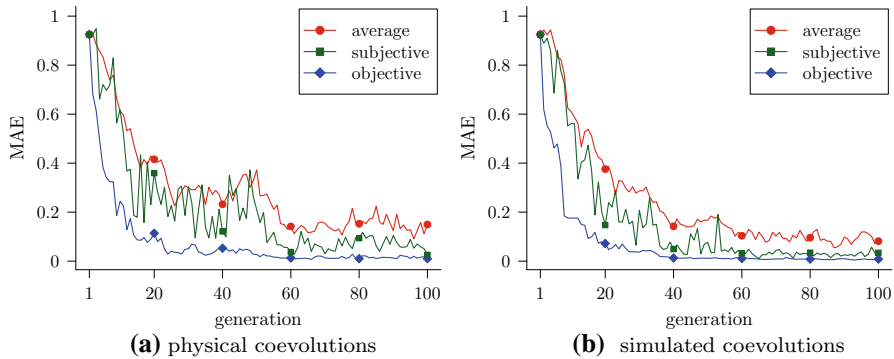


Fig. 20 Evolutionary dynamics of MAE [defined in Eq. (10)] for the candidate models in (a) 10 physical and (b) 10 simulated runs of *Turing Learning*. Curves represent median values across 10 runs. The red curve represents the average error of all models in a generation. The green and blue curves show, respectively, the errors of the models with the highest subjective and the highest objective fitness in a generation (Color figure online)

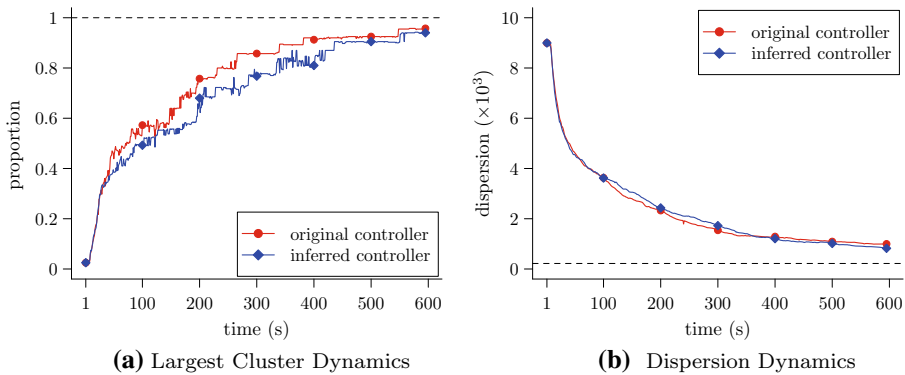


Fig. 21 Average aggregation dynamics in 10 physical trials with 40 physical e-puck robots executing the original agent controller (red) and the model controller (blue) inferred through observation of the physical system. In (a), the vertical axis shows the proportion of robots in the largest cluster; in (b), it shows the robots' dispersion (see Sect. 4.3). Dashed lines in (a) and (b), respectively, represent the maximum proportion and minimum dispersion that 40 robots can achieve (Color figure online)

ical runs. This latter controller is constructed by taking the median values of the parameters over the 10 runs, which are:

$$\mathbf{p} = (-0.65, -0.99, 0.99, -0.99).$$

The set of initial configurations of the robots is common to both controllers. As it is not necessary to extract the orientation of the robots, a red circular marker is attached to each robot so that its position can be extracted with higher accuracy in the offline analysis (Gauci et al. 2014c).

For each controllers, we performed 10 trials using 40 physical e-pucks. Each trial lasted 10 minutes. Figure 21a shows the proportion of robots in the largest cluster⁹ over time with the agent and model controllers. Figure 21b shows the dispersion (as defined in Sect. 4.3) of

⁹ A cluster of robots is defined as a maximal connected subgraph of the graph defined by the robots' positions, where two robots are considered to be adjacent if another robot cannot fit between them (Gauci et al. 2014c).

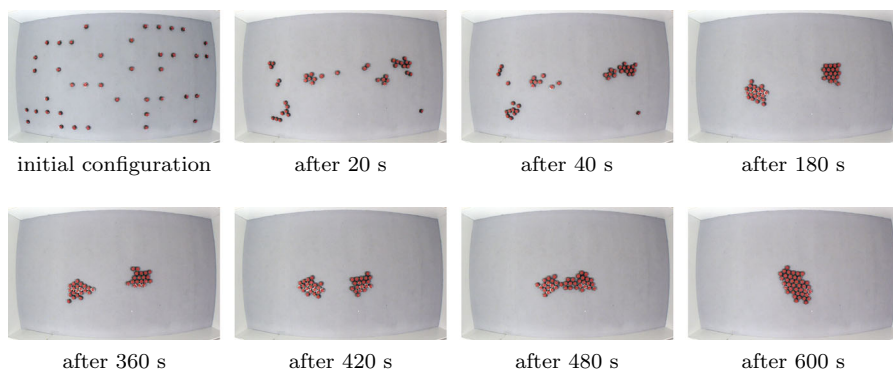


Fig. 22 Example of collective behavior produced by a model that was inferred by *Turing Learning* through the observation of swarms of physical e-puck robots. A swarm of 40 physical e-puck robots, each executing the inferred model, aggregates in a single spot

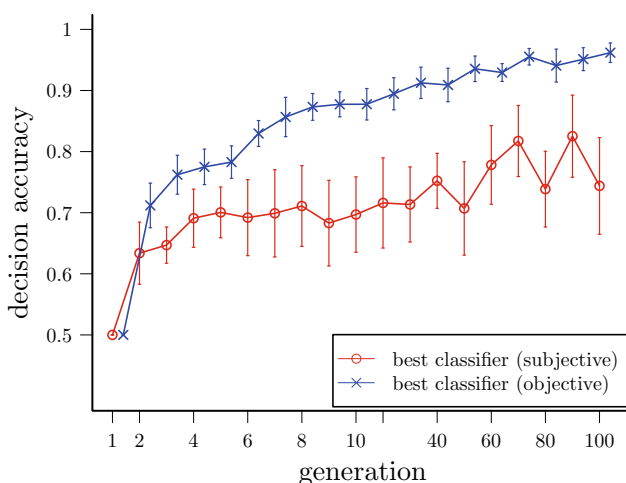


Fig. 23 Average decision accuracy of the best classifiers over 100 generations (nonlinear scale) in 10 runs of *Turing Learning* with swarms of physical robots. Average over 100 data samples from a post-evaluation with physical robots executing random models. The error bars show standard deviations. See text for details

the robots over time with the two controllers. The aggregation dynamics of the agents and the models show good correspondence. Figure 22 shows a sequence of snapshots from a trial with 40 e-pucks executing the inferred model controller.

A video accompanying this paper shows the *Turing Learning* identification process of the models (in a particular run) both in simulation and on the physical system. Additionally, videos of all 20 post-evaluation trials with 40 e-pucks are provided in the online supplementary materials (Li et al. 2016).

5.5 Analysis of generated classifiers

When post-evaluating the classifiers generated in the physical runs of *Turing Learning*, we limited the number of candidate models to 100, in order to reduce the physical experimentation time. Each candidate model was chosen randomly, with uniform distribution, from the

parameter space defined in Eq. (5). Figure 23 shows the average decision accuracy of the best classifiers over the 10 runs. Similar to the results in simulation, the *best classifier (objective)* still has a high decision accuracy. However, in contrast to simulation, the decision accuracy of the *best classifier (subjective)* does not drop within 100 generations. This could be due to the noise present in the physical runs, which may have prevented the classifiers from getting over-specialized in the comparatively short time provided (100 generations).

6 Conclusions

This paper presented a new system identification method—*Turing Learning*—that can autonomously infer the behavior of a system from observations. To the best of our knowledge, *Turing Learning* is the first system identification method that does not rely on any predefined metric to quantitatively gauge the difference between the system and the inferred models. This eliminates the need to choose a suitable metric and the bias that such metric may have on the identification process.

Through competitive and successive generation of models and classifiers, the system successfully learned two behaviors: self-organized aggregation and object clustering in swarms of mobile agents. Both the model parameters, which were automatically inferred, and emergent global behaviors closely matched those of the original swarm system.

We also examined a conventional system identification method, which used a least-square error metric rather than classifiers. We proved that the metric-based method was fundamentally flawed for the case studies considered here. In particular, as the inputs to the agents and to the models were not correlated, the model solution that was globally optimal with respect to the metric was not identical to the agent solution. In other words, according to the metric, the parameter set of the agent itself scored worse than a different—and hence incorrect—parameter set. Simulation results were in good agreement with these theoretical findings.

The classifiers generated by *Turing Learning* can be a useful by-product. Given a data sample (motion trajectory), they can tell whether it is genuine, in other words, whether it originates from the reference system. Such classifiers could be used for detecting abnormal behavior—for example when faults occur in some members of the swarm—and are obtained without the need to define a priori what constitutes abnormal behavior.

In this paper, we presented the main results using a gray box model representation; in other words, the model structure was assumed to be known. Consequently, the inferred model parameters could be compared against the ground truth, enabling us to objectively gauge the quality of the identification process. Note that even though the search space for the models is small, identifying the parameters is challenging as the input values are unknown (consequently, the metric-based system identification method did not succeed in this respect).

The *Turing Learning* method was further validated using a physical system. We applied it to automatically infer the aggregation behavior of an observed swarm of e-puck robots. The behavior was learned successfully, and the results obtained in the physical experiments showed good correspondence with those obtained in simulation. This shows the robustness of our method with respect to noise and uncertainties typical of real-world experiments. To the best of our knowledge, this is also the first time that a system identification method was used to infer the behavior of physical robots in a swarm.

We conducted further simulation experiments to test the generality of *Turing Learning*. First, we showed that *Turing Learning* can simultaneously infer the agent's brain (controller) as well as an aspect of the agent's morphology that determines its field of view. Second, we showed that *Turing Learning* can infer the behavior without assuming the agent's control

system structure to be known. The models were represented as fixed-structure recurrent neural networks, and the behavior could still be successfully inferred. For more complex behaviors, one could adopt other optimization algorithms such as NEAT (Stanley and Miikkulainen 2002), which gradually increases the complexity of the neural networks being evolved. Third, we assessed an alternative setup of *Turing Learning*, in which the replica—the robot used to test the models—is not in the same environment as the swarm of agents. While this requires a swarm of replicas, it has the advantage of ensuring that the agents are not affected by the replica's presence. In addition, it opens up the possibility of the replica not being a physical agent, but rather residing in a simulated world, which may lead to a less costly implementation. On the other hand, the advantage of using a physical replica is that it may help to address the reality gap issue. As the replica shares the same physics as the agent, its evolved behavior will rely on the same physics. This is not necessarily true for a simulated replica—for instance, when evolving a simulated fish, it is hard (and computationally expensive) to fully capture the hydrodynamics of the real environment. As a final experiment, we showed that *Turing Learning* is able to infer a wide range of randomly generated reactive behaviors.

In the future, we intend to use *Turing Learning* to infer the complex behaviors exhibited in natural swarms, such as in shoals of fish or herds of land mammals. We are interested in both reactive and non-reactive behaviors. As shown in Li et al. (2013, 2016), it can be beneficial if the classifiers are not restricted to observing the system passively. Rather, they could influence the process by which data samples are obtained, effectively choosing the conditions under which the system is to be observed.

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