

# Gain driven breathers in $PT$ -symmetric metamaterials

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# Introduction: Left handed metamaterials

Maxwell equations

$$\nabla \cdot \mathbf{D} = \rho$$

$$\nabla \cdot \mathbf{B} = 0$$

$$\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t}$$

$$\nabla \times \mathbf{H} = \mathbf{J} + \frac{\partial \mathbf{D}}{\partial t}$$

Constitutive relations

$$\mathbf{D} = \varepsilon_0 \varepsilon(\omega) \mathbf{E}$$

$$\mathbf{B} = \mu_0 \mu(\omega) \mathbf{H}$$

Wave equations

$$\frac{\partial^2 \mathbf{E}}{\partial t^2} = \frac{c^2}{\varepsilon \mu} \nabla^2 \mathbf{E}, \quad \frac{\partial^2 \mathbf{B}}{\partial t^2} = \frac{c^2}{\varepsilon \mu} \nabla^2 \mathbf{B}$$

Plane waves

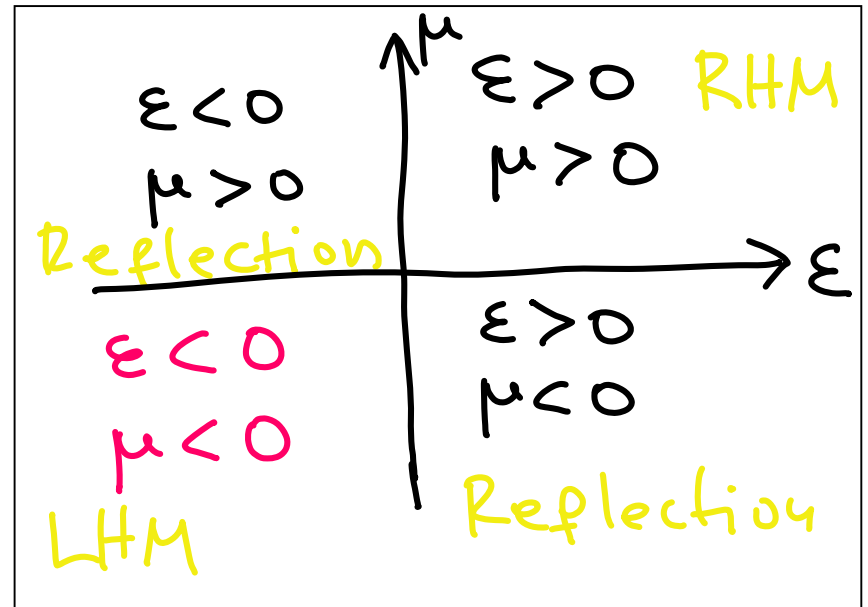
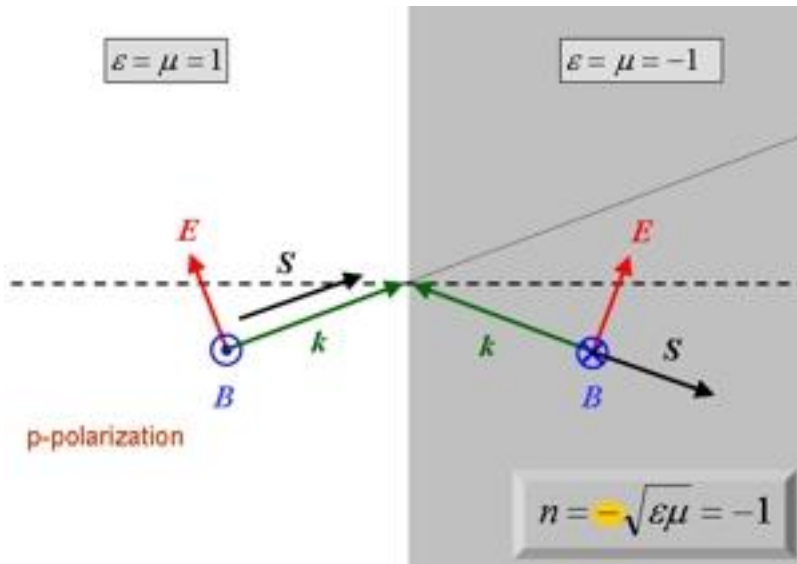
$$\mathbf{E} \approx e^{i(\mathbf{k} \cdot \mathbf{r} - \omega t)}$$

$$k^2 = \frac{\varepsilon \mu}{c^2} \omega^2$$

There is propagation for:  $\varepsilon > 0, \mu > 0$  and

$$\varepsilon < 0, \mu < 0$$

# Left handed metamaterials

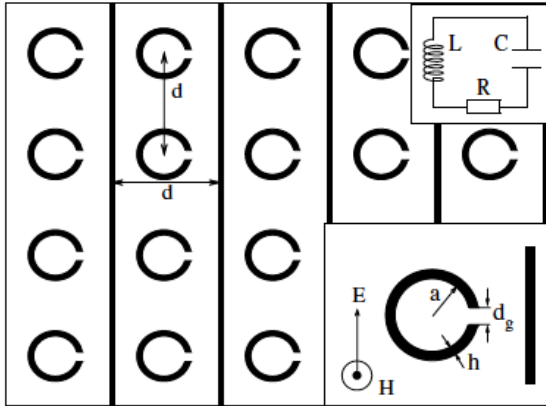


$$n = \sqrt{\epsilon\mu} = \sqrt{(\epsilon' + i\epsilon'')(\mu' + i\mu'')} \cong \sqrt{\epsilon'\mu' + i(\epsilon'\mu'' + \mu'\epsilon'')} \cong \pm\sqrt{\epsilon'\mu'}$$

The wave vector  $k$  is in opposite direction wrt the Poynting vector

# Nonlinearity

Nonlinearity across the gap of an SRR alters the electric field that in-turn affects self consistently the magnetic field and thus the magnetic permeability



$$\mu_{\text{eff}}(\mathbf{H}) = 1 + \frac{F \omega^2}{\omega_{0\text{NL}}^2(\mathbf{H}) - \omega^2 + i\Gamma\omega},$$

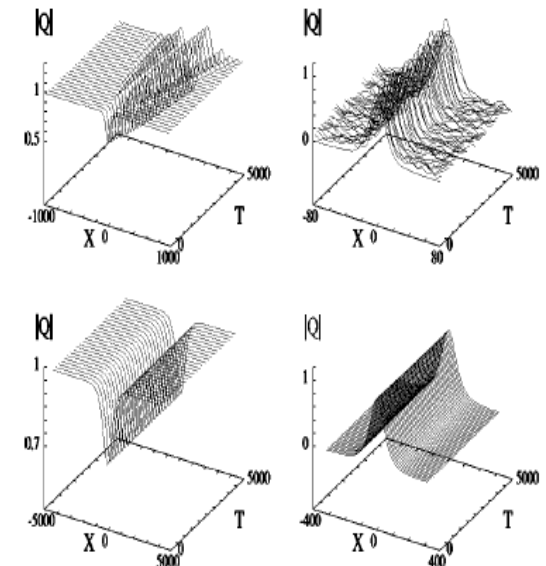
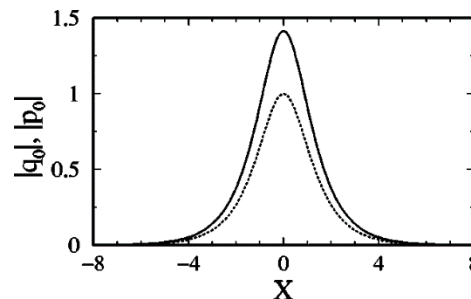
$$\omega_{0\text{NL}}^2(\mathbf{H}) = \left(\frac{c}{a}\right)^2 \frac{d_g}{\pi h \epsilon_D (|\mathbf{E}_g(\mathbf{H})|^2)}$$

Zharov et al. , PRL 91, 037401 2003

For Kerr nonlinearity and to lowest nonlinear order in the magnetic field compound bright/dark E/M (Manakov) solitons may be generated

$$\epsilon = \epsilon_{0D} + \alpha |\mathbf{E}|^2$$

$$\mu = \mu_{0D} + \beta |\mathbf{H}|^2$$



N. Lazarides and GPT, PRE 71, 036614 (2005)

# Discreteness and nonlinearity

Weak coupling of nonlinear elements leads to modulational instability that generates Intrinsic localized modes (discrete breathers)

$$\frac{dQ_n}{dt} = I_n \quad (2)$$

$$L \frac{dI_n}{dt} + RI_n + f(Q_n) = M \left( \frac{dI_{n-1}}{dt} + \frac{dI_{n+1}}{dt} \right) + \mathcal{E}. \quad (3)$$

RLC model with nonlinear capacitance and weak inductive coupling

$$f(Q_n) = U_n$$

$$Q_n = C_\ell \left( 1 + \alpha \frac{U_n^2}{3\epsilon_\ell U_c^2} \right) U_n$$

$$Q_n, I_n, U_n$$

Charge, current, voltage at the n-th SRR

In dimensionless units

$$\frac{d^2 q_n}{d\tau^2} + \gamma \frac{dq_n}{d\tau} + f(q_n) = \lambda \left( \frac{dq_{n+1}}{d\tau} + \frac{dq_{n-1}}{d\tau} \right) + \varepsilon(\tau)$$

Linear spectrum

$$f(q_n) \simeq q_n - \frac{\alpha}{3\epsilon_\ell} q_n^3 + 3 \left( \frac{\alpha}{3\epsilon_\ell} \right)^2 q_n^5 + \mathcal{O}(q_n^7)$$

$$\Omega_k = [1 - 2\lambda \cos(kD)]^{-1/2},$$

# Localization in translationally invariant systems

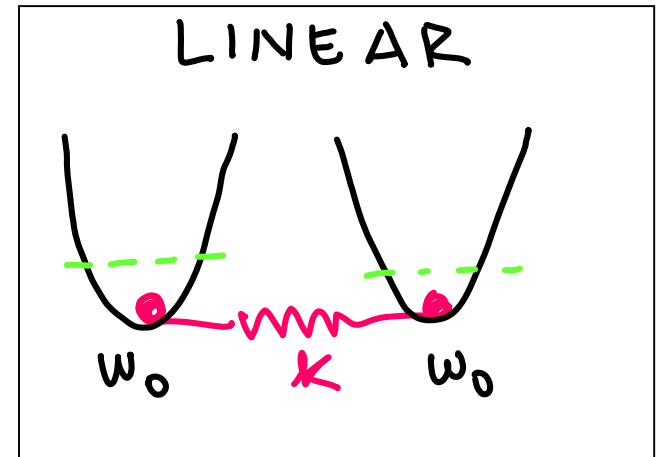
Two identical oscillators

$$\begin{aligned}\ddot{x}_1 + V'(x_1) &= kx_2 \\ \ddot{x}_2 + V'(x_2) &= kx_1\end{aligned}$$

I. Linear

$$V(x) = \frac{1}{2} \omega_0^2 x^2$$

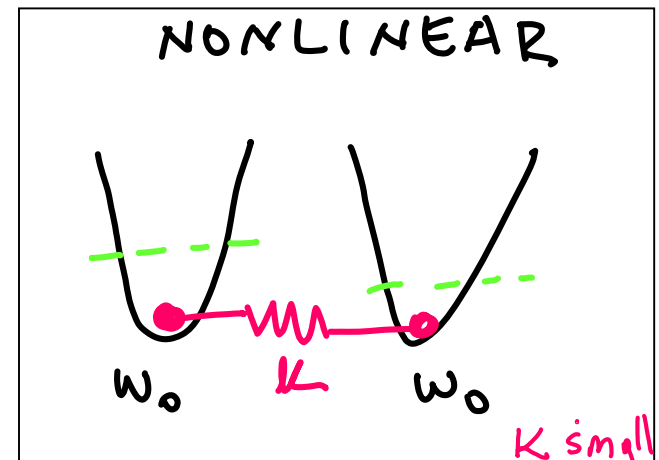
The individual oscillators are always on resonance and thus there is complete energy exchange



II. Nonlinear

$$V(x) = \frac{1}{2} \omega_0^2 x^2 + \frac{1}{4} \beta x^4$$

The frequency of oscillation depends on the initial energy of each oscillator and thus may be completely out of resonance

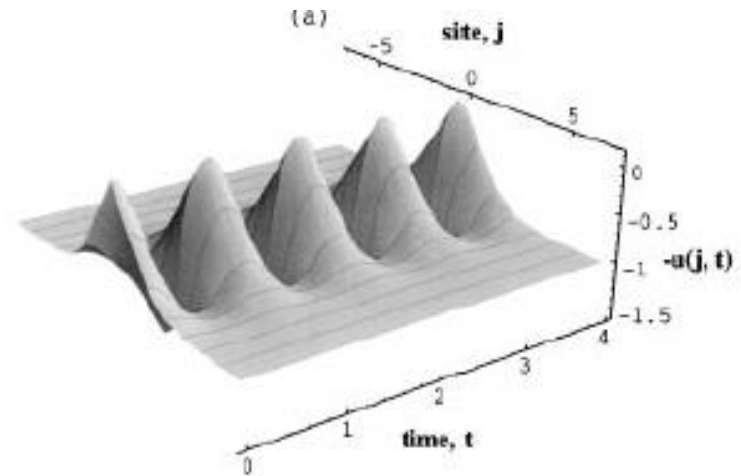
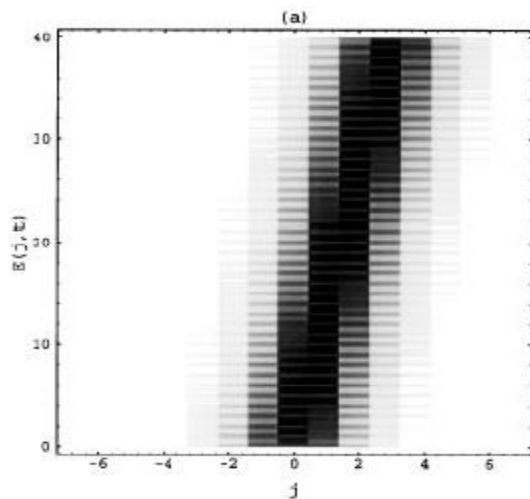


# Nonlinearity

Time periodic, localized modes in extended discrete systems of coupled nonlinear oscillators

$$H = \sum_n \left[ \frac{1}{2} \dot{u}_n^2 + \frac{k}{2} (u_{n+1} - u_n)^2 + V(u_n) \right],$$

$$V(u_n) = \frac{1}{4} (1 - u_n^2)^2$$



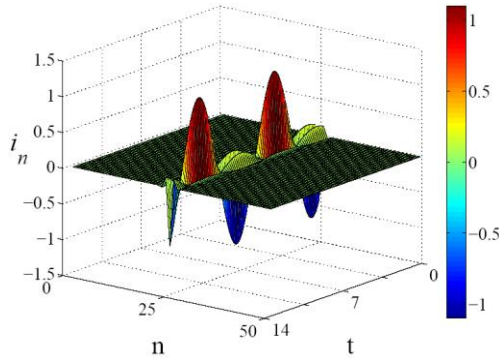
Discrete breathers may be mobile



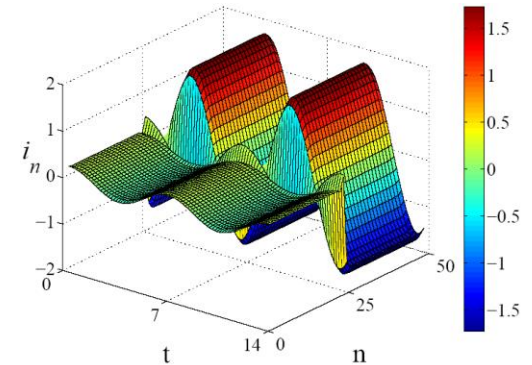
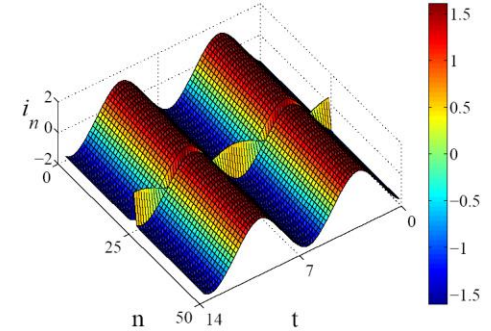
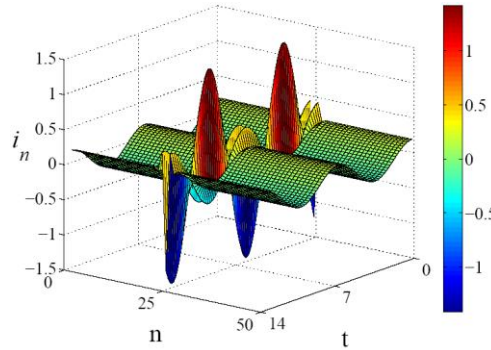


# Discrete breathers in nonlinear metamaterials

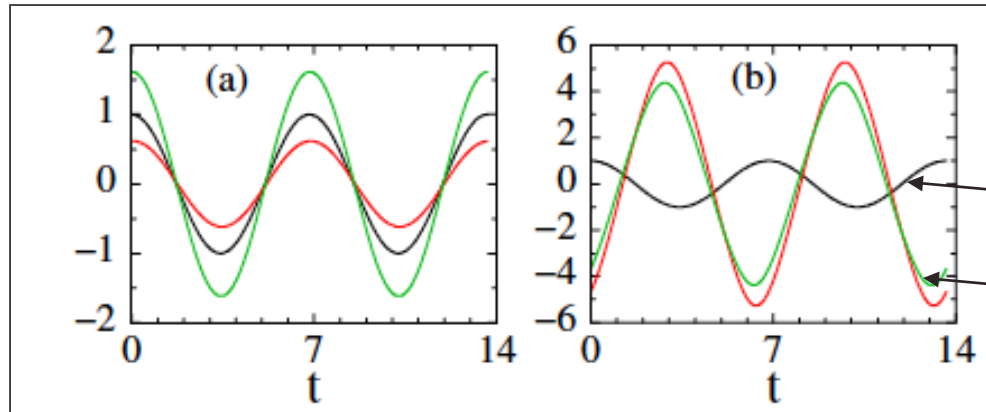
Hamiltonian breather



Dissipative breathers



Left-handedness below RLC resonance



in-phase response

Out-of-phase response

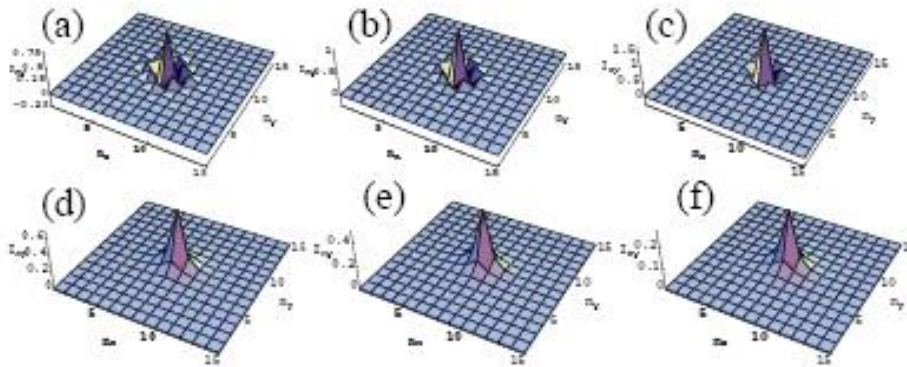
Driver

Induced local field

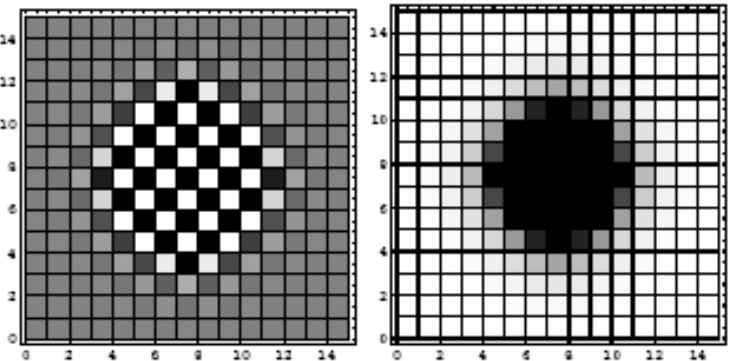
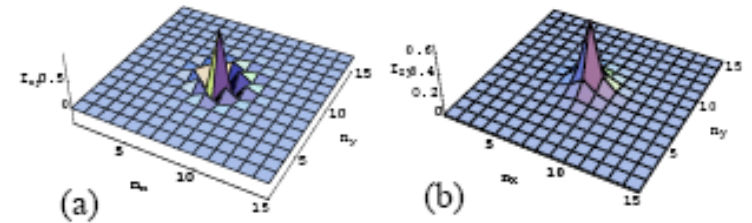
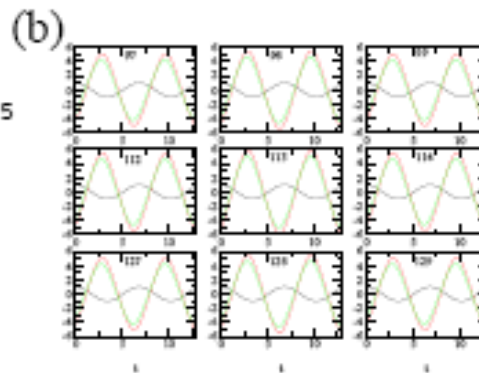
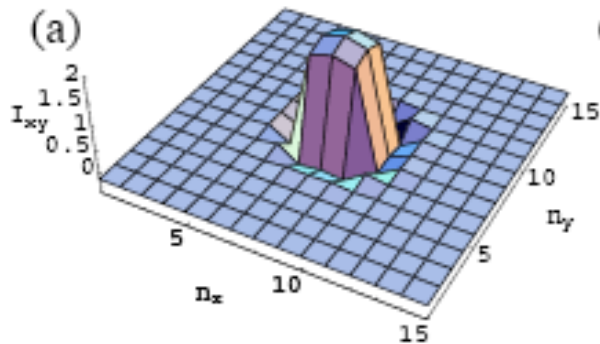
Lazarides, et al. PRL **97**, 157406 (2006)

# Nonlinear localization in 2D

## Hamiltonian breathers



$\alpha=+1$



$\alpha=-1$

Left-handed  
dissipative  
multibreather

# $\mathcal{PT}$ – symmetric Quantum Mechanics

Should a Hamiltonian be Hermitian in order to have real eigenvalues?

$$\hat{P} \equiv \begin{cases} \hat{p} \rightarrow -\hat{p} \\ \hat{x} \rightarrow -\hat{x} \end{cases} \quad \hat{T} \equiv \begin{cases} \hat{p} \rightarrow -\hat{p} \\ \hat{x} \rightarrow \hat{x} \\ i \rightarrow -i \end{cases}$$

**Parity and  
time operators**

$$PT - \text{Hamiltonian} \quad \Leftrightarrow \quad V^*(x) = V(-x)$$

$\mathcal{PT}$  symmetric Hamiltonian share common eigenfunctions with the  $\mathcal{PT}$  operator. As a result they can exhibit entirely real spectra.

**Pseudo-Hermitian quantum mechanics?**

$$PT - \text{Potential} \Leftrightarrow V^*(x) = V(-x) \longrightarrow \begin{array}{l} \text{Real part: even} \\ \text{Imaginary part: odd} \end{array}$$

**A complex  $\mathcal{PT}$ -potential, below threshold, has real eigenvalues**

\*C. M.Bender et al, Phys. Rev. Lett., 80, 5243 (1998); C. M.Bender et al, Phys. Rev. Lett., 89, 270401 (2002)  
C. M.Bender et al, Phys. Rev. Lett., 98, 040403 (2007); C. M.Bender, Contemporary Physics, 46, 277 (2005)

# $\mathcal{PT}$ symmetric two level system

$$H = \begin{pmatrix} i\gamma & V \\ V & -i\gamma \end{pmatrix}$$

$$\gamma = 0$$

$$\lambda_{\pm} = \pm V$$

$$\gamma \neq 0$$

$$\lambda_{\pm} = \pm \sqrt{V^2 - \gamma^2}$$

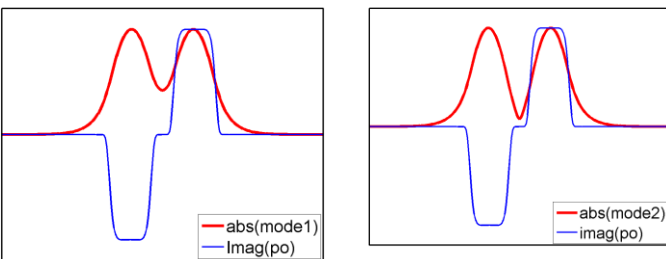
## Solution

$$\psi_{1,2}(t) = A_{1,2}e^{\lambda_+ t} + B_{1,2}e^{\lambda_- t}$$

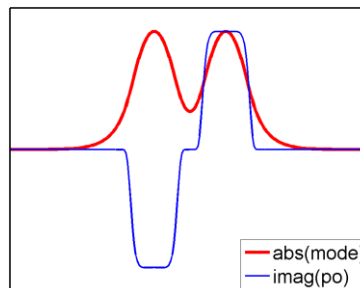
## Eigenvalues

When the eigenvalues become imaginary, the solutions explode/decay respectively

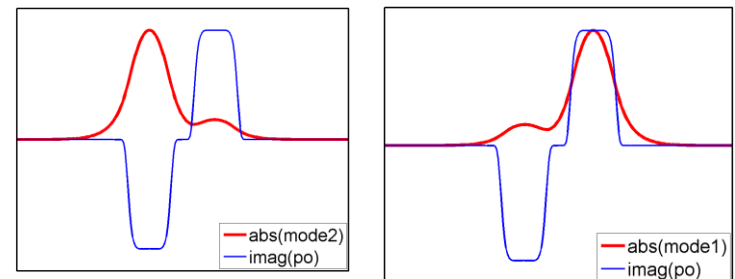
## Below transition



## Transition



## Above transition



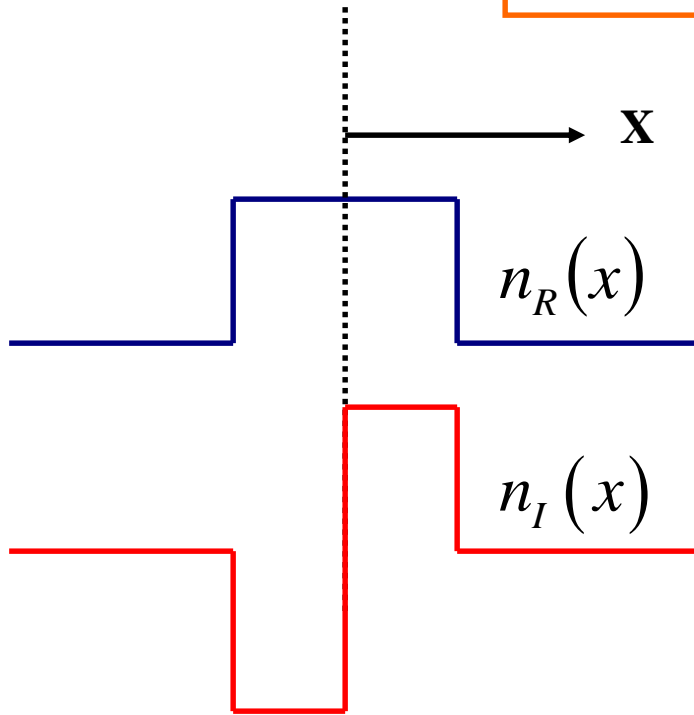
Bender C.M. et al, Phys. Rev. Lett. 98 040403 (2007).

# $\mathcal{PT}$ symmetry in Optics

Normalized  
Paraxial Wave  
Equation

$$i \frac{\partial \Psi}{\partial z} + \frac{\partial^2 \Psi}{\partial x^2} + V(x) \Psi = 0$$

$$V(x) = V^*(-x)$$



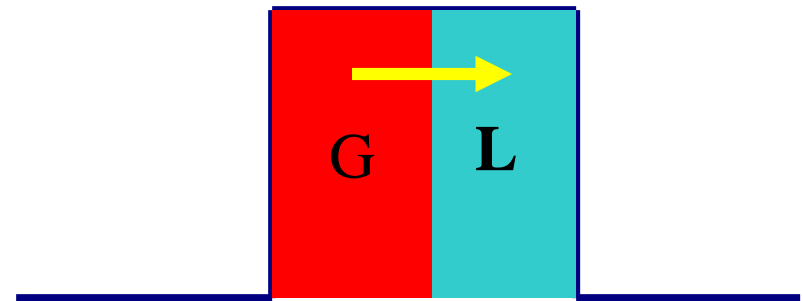
*Typical parameters*

$$0.5 \mu m < \lambda_0 < 1.6 \mu m$$

$$\Delta n_R^{\max} \approx 10^{-3}$$

$$g = -a \approx 30 cm^{-1}$$

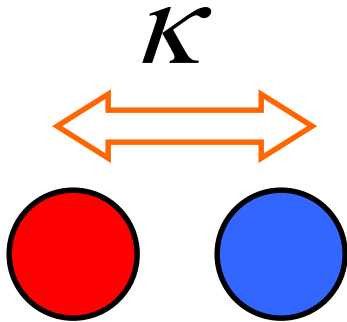
$$\Delta n_I^{\max} \approx 5 \times 10^{-4}$$



R. El-Ganainy, K.G.Makris, D. N. Christodoulides, and Z. H. Musslimani, Opt. Lett. 32, 2632 (2007).

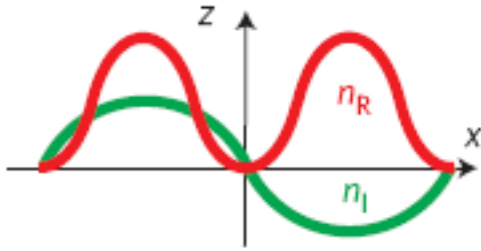
K. G. Makris, R. El-Ganainy, D. N. Christodoulides, and Z. H. Musslimani, Phys. Rev. Lett. 100, 103904 (2008).

# Two-level $\mathcal{PT}$ systems-supermodes



Gain

loss



$$i \frac{da}{dz} - i \frac{g}{2} a + \kappa b = 0, \quad i \frac{db}{dz} + i \frac{g}{2} b + \kappa a = 0$$

$$Z = \kappa z$$

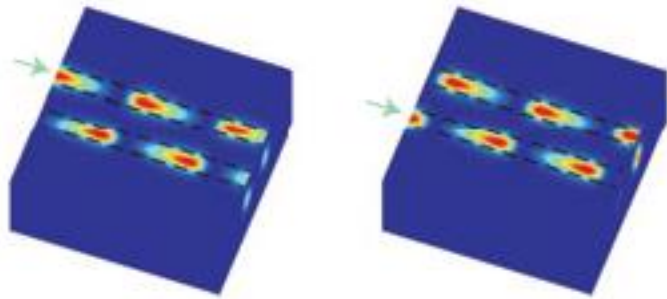
$$i \frac{d}{dz} \begin{pmatrix} a \\ b \end{pmatrix} + \begin{pmatrix} -i g/2 & \kappa \\ \kappa & i g/2 \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} = 0$$

$\mathcal{PT}$ -symmetric Hamiltonian

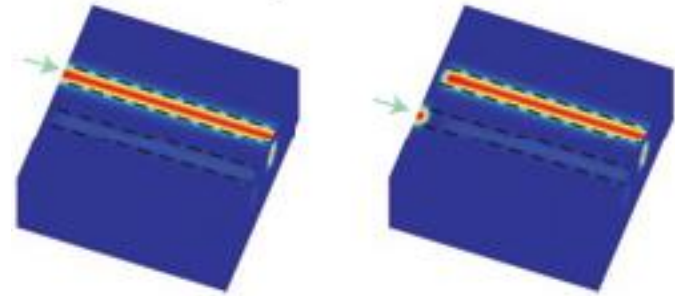
$$\lambda = \pm \sqrt{\kappa^2 - (g/2)^2} \Rightarrow \lambda = \begin{cases} \pm \sqrt{\kappa^2 - (g/2)^2}, & g < 2\kappa \\ 0, & g = 2\kappa \\ \pm i \sqrt{(g/2)^2 - \kappa^2}, & g > 2\kappa \end{cases}$$

## Theory

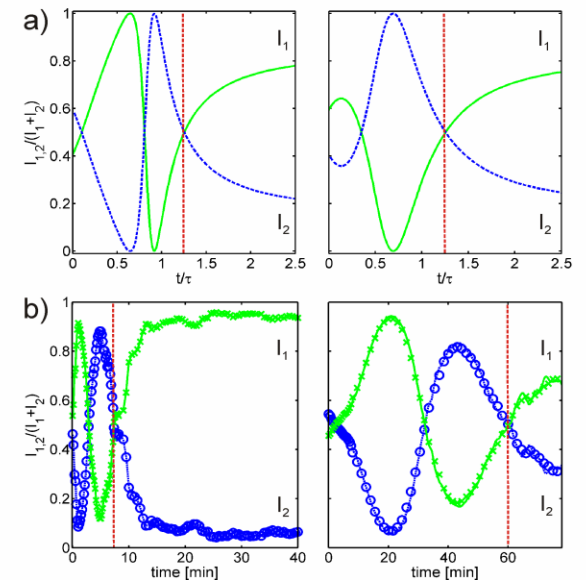
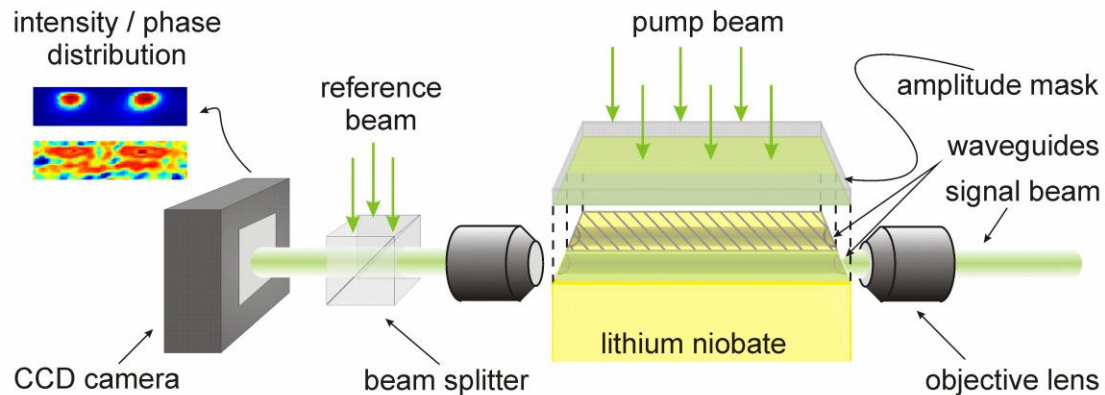
*PT*-symmetric system below threshold



*PT*-symmetric system above threshold



## Experiment

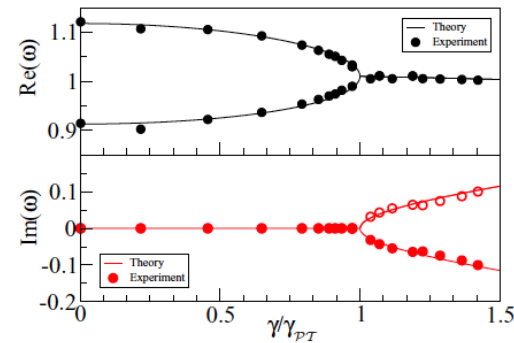
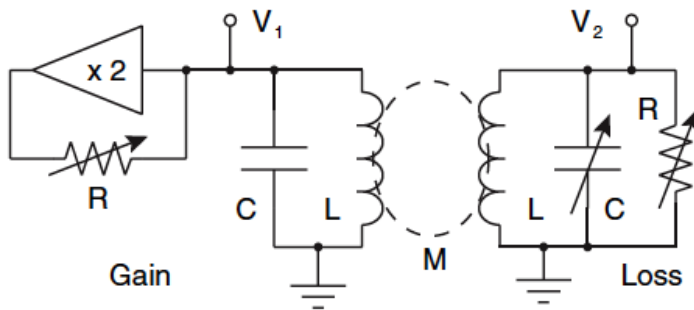


**C.E. Ruter, K.G. Makris, R. El-Ganainy, D.N. Christodoulides, M. Segev, D. Kip, Nature Physics 6, 192 (2010).**

# $\mathcal{PT}$ -symmetric metamaterials

Metamaterials are lossy and to overcome losses we can introduce gain

Active RLC electronic circuit with  $\mathcal{PT}$ -symmetries



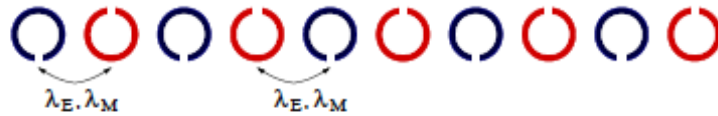
$$\Omega_0 = 200 \text{ KHZ}$$

Schindler et al., PRA 84 040101 (2011)

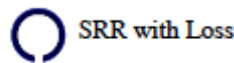


# Coupled split ring resonators with gain and loss

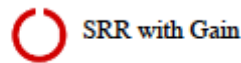
equidistant



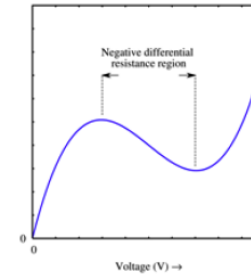
dimerized



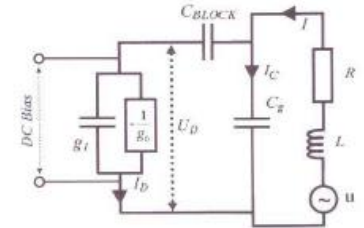
SRR with Loss



SRR with Gain



Esaki diode



Boardman et al. (2007)

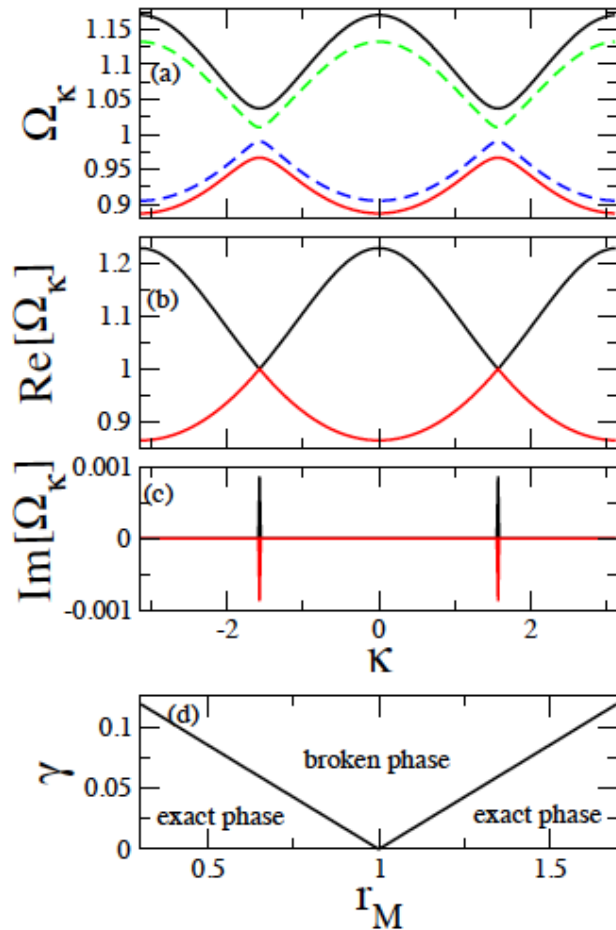
$$\begin{aligned} \lambda'_M \ddot{q}_{2n} + \ddot{q}_{2n+1} + \lambda_M \ddot{q}_{2n+2} + \lambda'_E q_{2n} + q_{2n+1} + \lambda_E q_{2n+2} \\ = \varepsilon_0 \sin(\Omega\tau) - \alpha q_{2n+1}^2 - \beta q_{2n+1}^3 - \gamma \dot{q}_{2n+1} \end{aligned} \quad (1)$$

$$\begin{aligned} \lambda_M \ddot{q}_{2n-1} + \ddot{q}_{2n} + \lambda'_M \ddot{q}_{2n+1} + \lambda_E q_{2n-1} + q_{2n} + \lambda'_E q_{2n+1} \\ = \varepsilon_0 \sin(\Omega\tau) - \alpha q_{2n}^2 - \beta q_{2n}^3 + \gamma \dot{q}_{2n} \end{aligned} \quad (2)$$

$\lambda_E, \lambda'_E$  electric coupling

$\lambda_M, \lambda'_M$  inductive coupling

# Linear Band picture



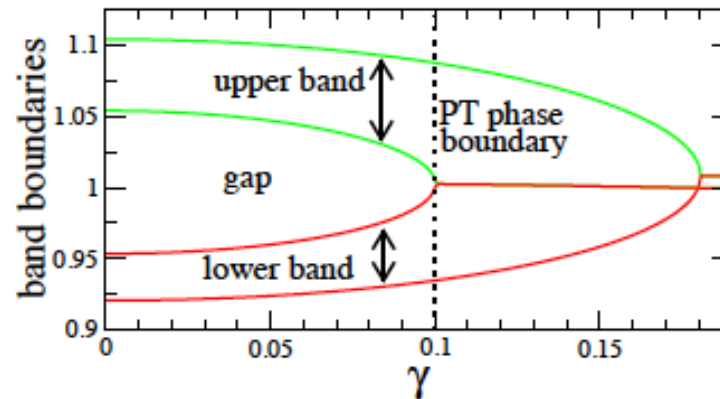
$$r_M = \lambda'_M / \lambda_M,$$

For  $\lambda_E = \lambda'_E = 0$

$$\Omega_\kappa^2 = \frac{2 - \gamma^2 \pm \sqrt{\gamma^4 - 2\gamma^2 + (\lambda_M - \lambda'_M)^2 + \mu_\kappa \mu'_\kappa}}{2(1 - (\lambda_M - \lambda'_M)^2 - \mu_\kappa \mu'_\kappa)}.$$

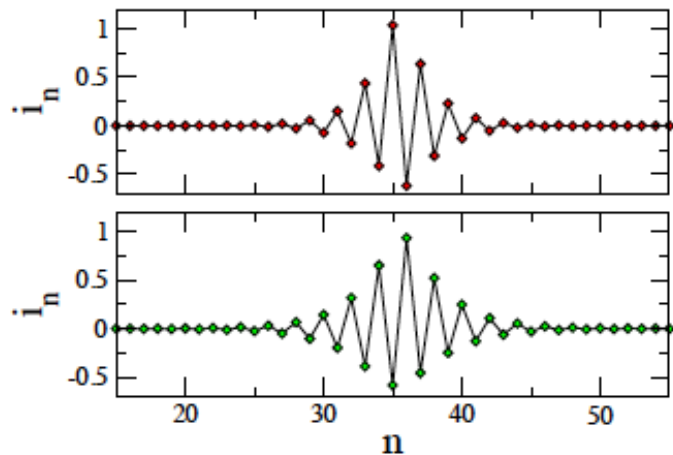
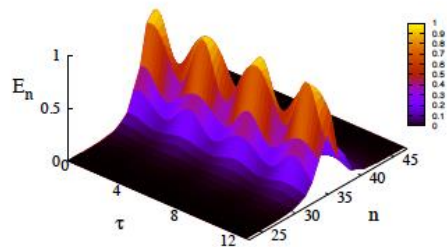
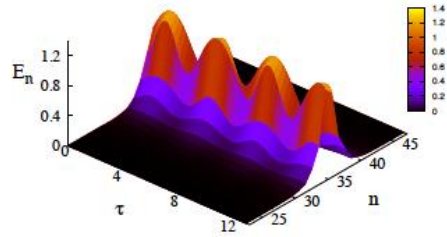
$$\mu_\kappa = 2\lambda_M \cos(\kappa), \mu'_\kappa = 2\lambda'_M \cos(\kappa)$$

For  $\lambda_M = \lambda'_M$  the lattice is always in the broken phase: only the dimerized PT-metamaterial has propagating modes

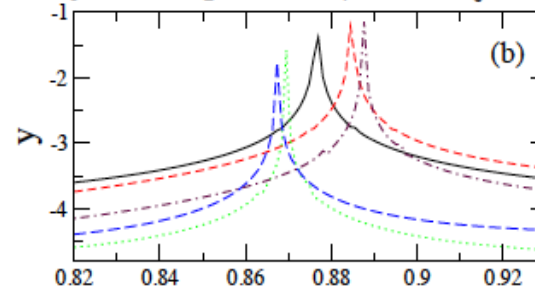
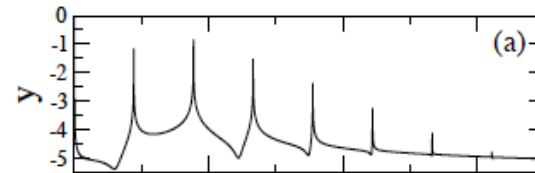


$$\gamma_c \approx |\lambda_M - \lambda'_M|$$

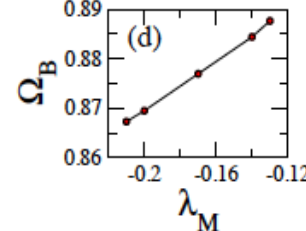
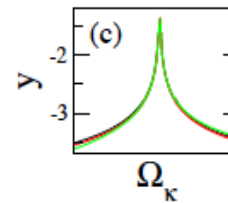
# Localized nonlinear modes



## Breather power spectrum



Frequency tuning with  $\lambda_M$



Weak dependence on  $\gamma$

# Breather generation through frequency chirping

Spatiotemporal local energy density landscape

Time=0 to point A

The lattice is not driven

Point A to point B

Frequency chirping from  
 $1.01 \Omega_0$  to  $0.997 \Omega_0$

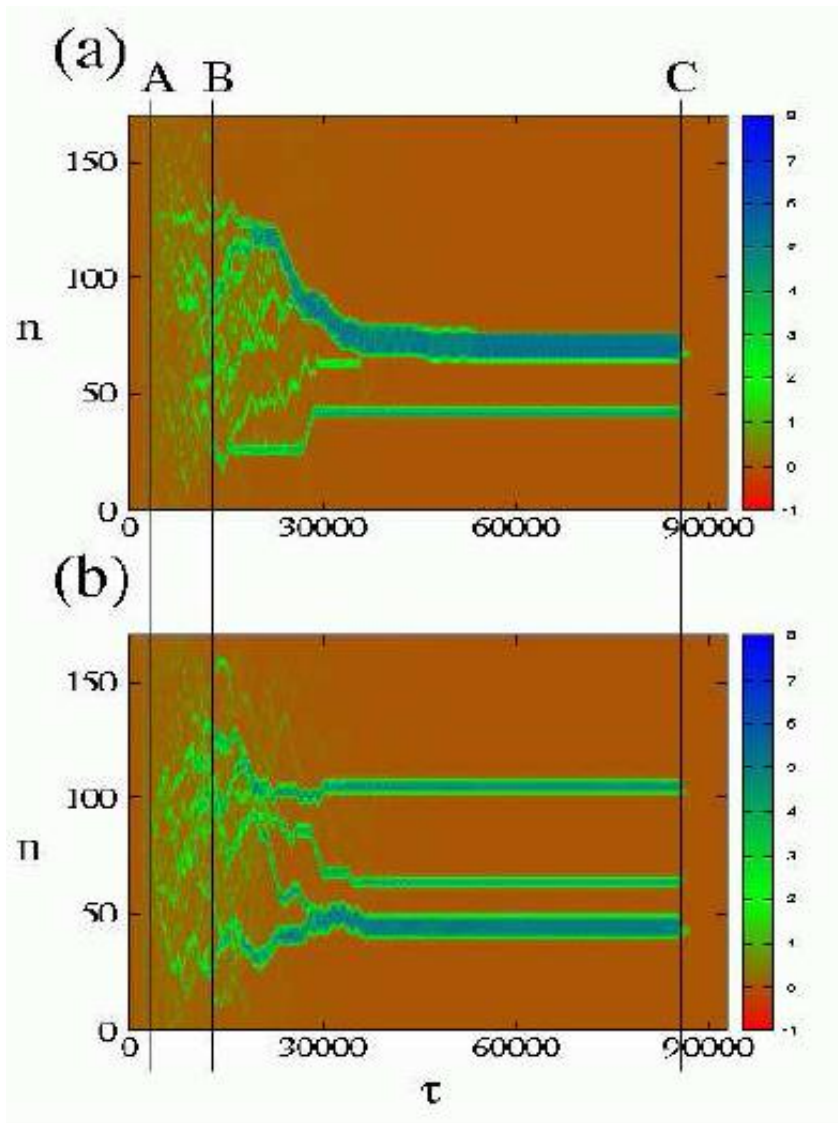
Point B to C

No external driving

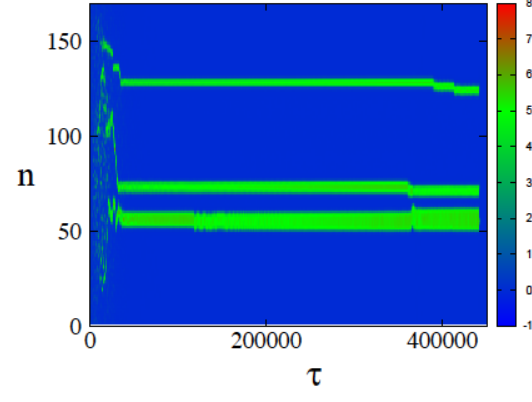
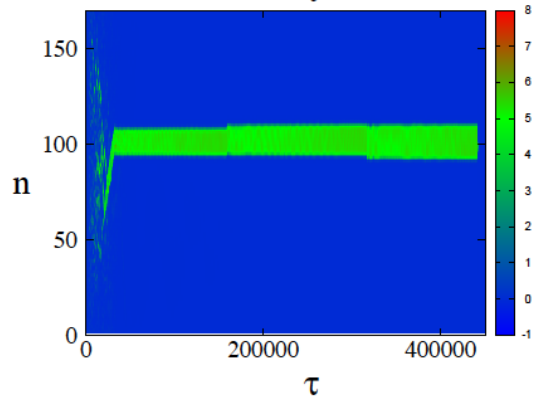
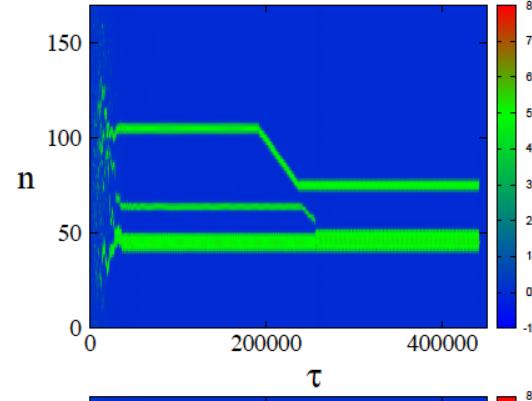
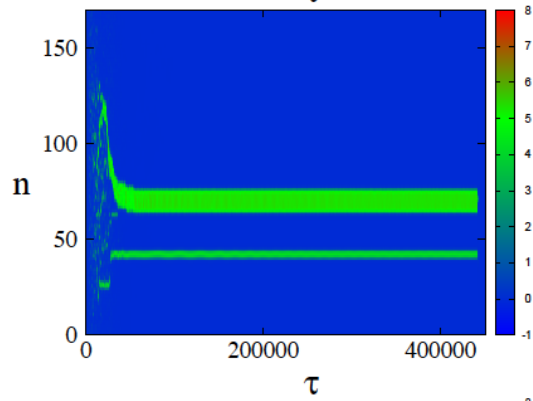
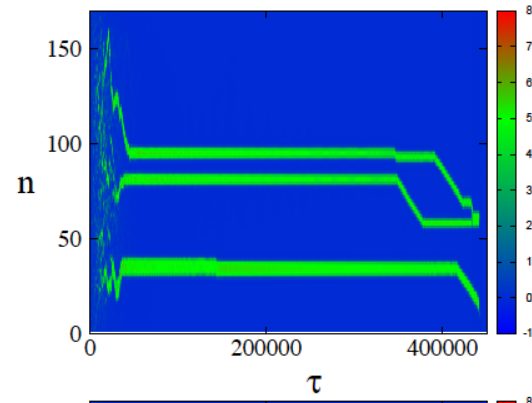
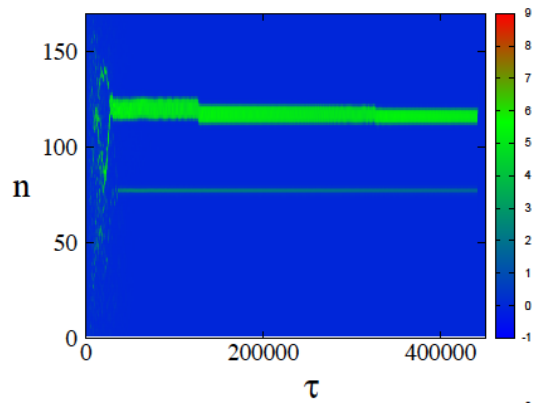
Point C to end

Turn off gain

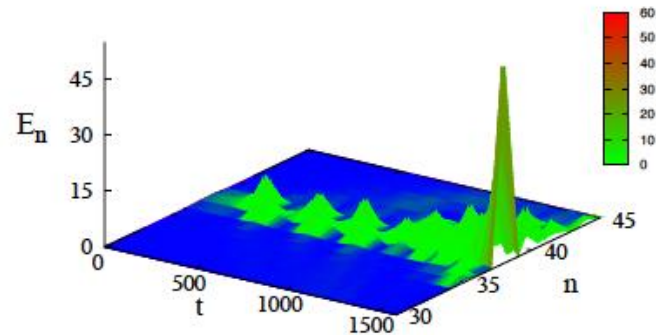
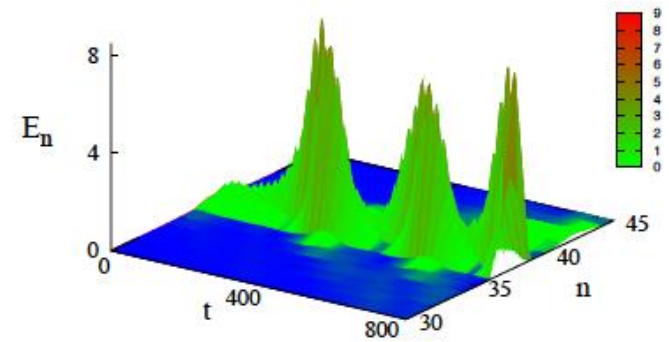
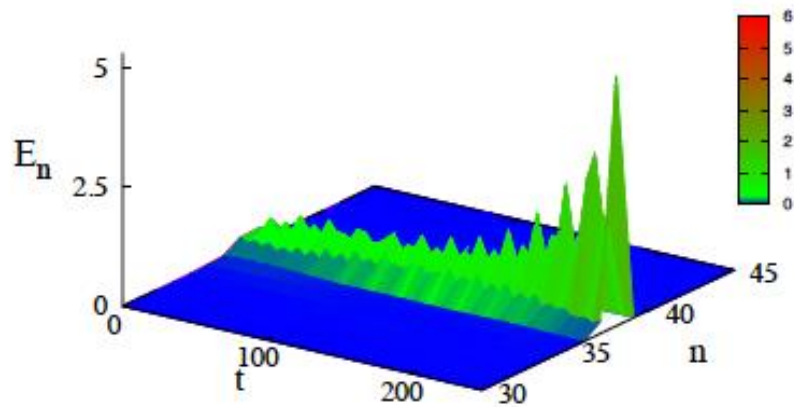
$\Omega_0$  freq. at the bottom of lower band



## With Gain/loss mismatch 0.1%



# Breather instability



The breather becomes unstable for gamma values smaller than the critical one

## Classical PT symmetry in zero dimensions

$$\ddot{x} + 2\theta(t)\dot{x} + \omega_0^2 x = 0,$$

$$\theta(t) = \begin{cases} +\gamma & \text{if } 0 \leq t < \tau_1; \\ -\gamma & \text{if } \tau_1 \leq t < \tau_2. \end{cases} \quad \begin{pmatrix} x \\ \dot{x} \end{pmatrix} = M_{G/L}(\tau) \begin{pmatrix} x_0 \\ \dot{x}_0 \end{pmatrix}$$

$$\theta(t + T) = \theta(t)$$

$$M(T) = \frac{1}{\delta^2} \begin{pmatrix} M_{11} & M_{12} \\ M_{21} & M_{22} \end{pmatrix}$$

$$M_{11} = -\gamma^2 + \omega_0^2 \cos(2\phi),$$

$$M_{12} = +2 \sin \phi (\delta \cos \phi + \gamma \sin \phi)$$

$$M_{21} = -2\omega_0^2 \sin \phi (\delta \cos \phi - \gamma \sin \phi)$$

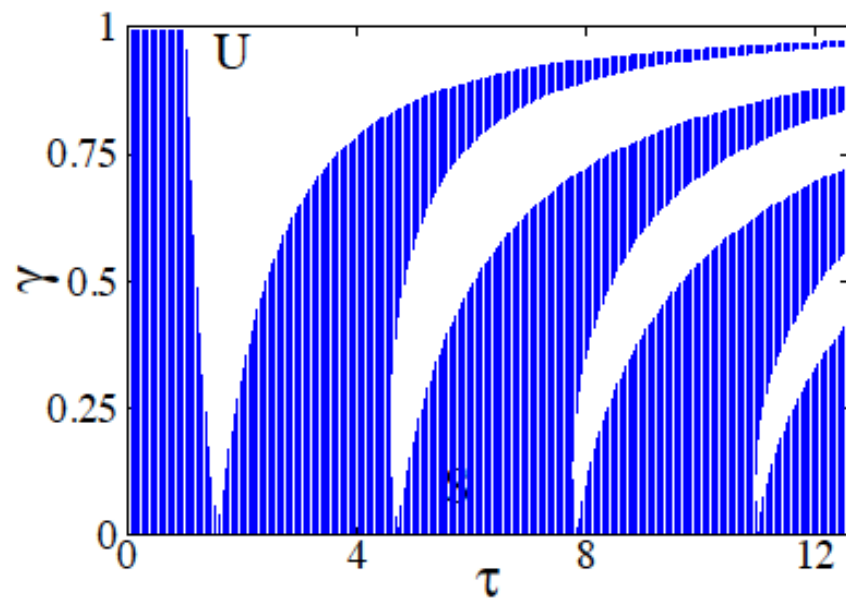
$$M_{22} = -\gamma^2 + \omega_0^2 \cos(2\phi)$$

$$\delta = \sqrt{\omega_0^2 - \gamma^2}. \quad \phi = \delta\tau \equiv \delta T/2.$$

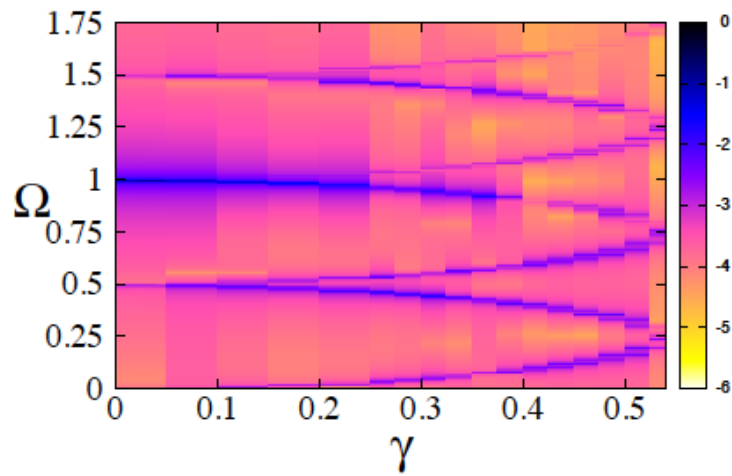
Stability equation

$$\left| \cos \left[ \frac{\pi \sqrt{1 - \gamma^2}}{\Omega} \right] \right| = \gamma,$$

$$\Omega = \omega/\omega_0$$



Blue: stable region



GPT and N. Lazarides, arXiv:1304.0556



## Conclusions

- Localized nonlinear modes may appear in metamaterials
- PT-symmetric metamaterials balance loss with gain and experience a transition in propagation properties
- Nonlinear modes may appear in PT-metamaterials
- May operate in microwave frequencies with tunnel diodes or higher

N. Lazarides and GPT, PRL **110**, 053901 (2013)



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EDUCATION AND LIFELONG LEARNING  
*investing in knowledge society*

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CAPACITIES



*Thank you for your attention*



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