## **Abstract Interpretation**

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Acknowledgement: this lecture used slides from Profs Alex Aiken, David Schmidt

### Outline

- ▶ What is abstract intepretation?
- Abstract domain
- Galois connection
- ▶ Design an abstract interpretation system
- Certify neural networks: abstract interpretation for artificial intelligence (ai<sup>2</sup>, ai4ai)

## History: Patrick Cousot, Radhia Cousot 1977

- ► Abstract Interpretation: A Unified Lattice Model for Static Analysis of Programs by Construction or Approximation of Fixpoints, 1977
- ▶ Methods and Logics for Proving Programs, 1990
- ► Completeness in Abstract Interpretation, 1995
- ▶ Directions for Research in Approximate System Analysis, 1999
- ▶ Probabilistic Abstract Interpretation, 2012
- An abstract interpretation framework for termination, 2012
- Abstract interpretation: past, present and future, 2014

### What is an abstract intepretation?

#### Abstract interpretation: interpret using abstract values

Purpose: using abstract interpretation to prove program property. Here are the steps:

- Create an abstract domain and the mapping from concrete domain to abstract domain: e.g., abstract domain — positive int, 0, negative int
- 2. Create abstract semantics: how each type of statements perform computation on abstract domain, e.g., how to compute + among positive int, 0 and negative int
- 3. Use abstract semantics to perform computations on abstract domain for the program to get the *abstract value*
- 4. We design abstract interpretation in such a way that the abstract value computed is the property we want to prove in the concrete system

## What is an abstract interpretation

#### An abstract interpretation consists of:

- ▶ An abstract domain A (+,-,0) and concrete domain D (Int)
- ▶ Concretization  $\gamma$  and abstraction functions  $\sigma$ , forming a *Galois* connection
- ► (sound) abstract semantic function (s)

## What is Abstract Interretation?

- ► A theoretical framework to formalize *approximation*
- A sound approximation: the conclusion proved in the abstract domain will be held in the conrete domain
- ► Abstract intepretation can lose information, meaning some conclusions that can be reached by the concrete executions but cannot be reached by abstract intepretation

# An Example

See Prof. Alex Aiken's slide

#### Abstract Domain

- Partition: how to partition and create abstract sets of concrete inputs (D)
- Abstract domain construction: there are many ways of partition. what are the properties about D that I wish to calculate?
- ▶ Using math formula to specify constraints on input X, Y, Z ..
  - Interval domain: upper and lower bound
  - ► Congruence domain: measure density of its values
    - Intervals (nonrelational):

$$x \Rightarrow [a, b], y \Rightarrow [a', b'], ...$$

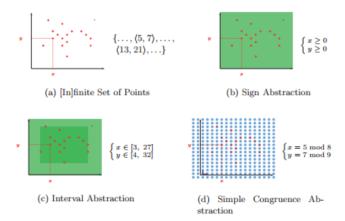
· Polyhedra (relational):

$$x + y - 2z \le 10, ...$$

Difference-bound matrices (weakly relational):

$$y - x \le 5, z - y \le 10, ...$$

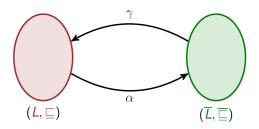
### **Abstract Domain**





(b) Polyhedral Abstraction

### Galois Connection: intuition



#### Concretization

 $\gamma$  is the concretization function.

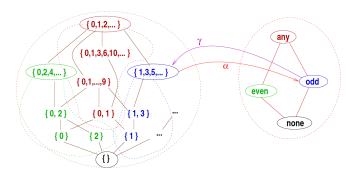
 $\gamma(\overline{y})$  is the concrete value in L that is represented by  $\overline{y}$ .

### Abstraction

 $\alpha$  is the abstraction function.

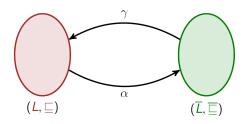
 $\alpha(x)$  is the most precise abstract value in  $\overline{L}$  whose concretization approximates x.

## Galois connection: example



Element: potential values we know about the program.

### Galois Connection: Definition



#### Definition

A Galois connection between a lattice  $(L, \sqsubseteq)$  and a lattice  $(\overline{L}, \overline{\sqsubseteq})$  is a pair of functions  $(\alpha, \gamma)$ , with  $\alpha : L \to \overline{L}$  and  $\gamma : \overline{L} \to L$ , satisfying:

$$\alpha(\textbf{\textit{x}}) \ \overline{\sqsubseteq} \ \overline{\textbf{\textit{y}}} \quad \text{iff} \quad \textbf{\textit{x}} \ \underline{\sqsubseteq} \ \gamma(\overline{\textbf{\textit{y}}}) \qquad \qquad \text{(for all } \textbf{\textit{x}} \in \textbf{\textit{L}}, \overline{\textbf{\textit{y}}} \in \overline{\textbf{\textit{L}}})$$

Notation for Galois connections:  $(\underline{L}, \sqsubseteq) \stackrel{\gamma}{\longleftarrow} (\overline{L}, \overline{\sqsubseteq})$ 

The order is preserved. You do not lose too much information during approximation

## Designing an abstract interpretation system

Property: what is the parity of succ(n):

Example: We have concrete domain, Nat, and concrete operation,

 $succ : Nat \rightarrow Nat$ , defined as succ(n) = n + 1.

We have abstract domain, Parity, and abstract operation, succ<sup>#</sup>: Parity → Parity, defined as

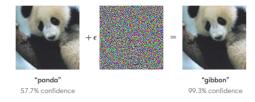
 $succ^{\#}(even) = odd$ ,  $succ^{\#}(odd) = even$  $succ^{\#}(anu) = anu$ ,  $succ^{\#}(none) = none$ 

succ<sup>#</sup> must be consistent (sound) with respect to succ: if  $n \mathcal{R}_{Nat} a$ , then  $succ(n) \mathcal{R}_{Nat} succ^{\#}(a)$ 

where  $\mathcal{R} \subseteq Nat \times Parity$  relates numbers to their parities (e.g.,  $2 \mathcal{R}_{Nat}$  even,  $5 \mathcal{R}_{Nat}$  odd, etc.).

# Abstract interretation for robust neural networks (optional)

What does it mean to prove the robustness of a neural network?



Attack	Original	Perturbed	Diff
FGSM [12], $\epsilon = 0.3$	0	O	0
Brightening, $\delta = 0.085$	8	8	8

Fig. 1: Attacks applied to MNIST images [25].

Why can we use abstract intepretation?

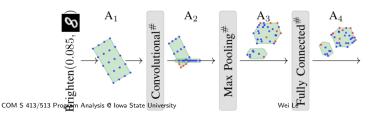
- ▶ Deep Neural Nets: Concrete semantics Affine transforms + Restricted nonlinearity
- ► Abstract Interpretation: Scalable and precise numerical domains
- Abstract semantics should be defined on: Affine transformation (multiplictaion and addition), ReLu

What is the abstract domain?

- ▶ Abstract domain: shapes expressible as a set of logical constraints
- ➤ Zonotope: a center-symmetric convex closed polyhedron [CAV09]

#### High level ideas:

- abstract element: A1 is an abstract element (represent a group of inputs) that captured all perbuted inputs
- abstract layer: process abstract element
- ► abstract transformer: design abstract semantics for each concrete transformation available in the neural network
- ▶ A4 is an overappoximation computed from A1
- verify A4 will generate the same classification
- \* In particular, we can capture the entire set of brightening perturbations exactly with a single zonotope. However, in general, this step may result in an abstract element that contains additional inputs (that is, red points).



Further reading:

Al2: Safety and Robustness Certification of Neural Networks with Abstract Interpretation