

Abstract

The LO-TO splitting in the vibrational properties of polar materials results from the long-range nature of Coulomb interaction. The state-of-the-art neural network interatomic potentials (NNIP) are usually constructed based on the local chemical environment descriptors that are truncated at a finite spherical cutoff. This approach, by construction, neglects the electrostatic interactions whose long-wavelength limit contains the ingredients to account for the so-called non-analytic correction in the interatomic force constants. Here, we apply our recently developed incorporation of long-range electrostatics in NNIP to describe the LO-TO splitting in polar materials and, as a first example, compute the full phonon dispersion of NaCl.

Theory

This work focuses on phonon dispersion with long range correction. In order to implement the long range correction in NN potentials, an analogy between DFT and NN energies can be considered[1]:

$$E^{\text{DFT}}(\{\mathbf{R}\}) = \min_{\rho} [T_s[\rho] + \int V_{\text{ext}}(\mathbf{r}|\{\mathbf{R}\})\rho(\mathbf{r})d\mathbf{r} + \frac{e^2}{2} \int \frac{\rho(\mathbf{r})\rho(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} d^3r d^3r' + E_{\text{xc}}[\rho] + E_{\text{ion}}(\{\mathbf{R}\})]$$

$$E^{\text{VNN}}(\{\mathbf{R}\}) = \min_{\{q\}} [\sum_I E_I^0(\{\mathbf{R}\}) + \sum_I E_I^1(\{\mathbf{R}\}) q_I + \frac{1}{2} \sum_{IJ} q_I (E_I^2(\{\mathbf{R}\}) \delta_{IJ} + V_{IJ}(|\mathbf{R}_I - \mathbf{R}_J|)) q_J],$$

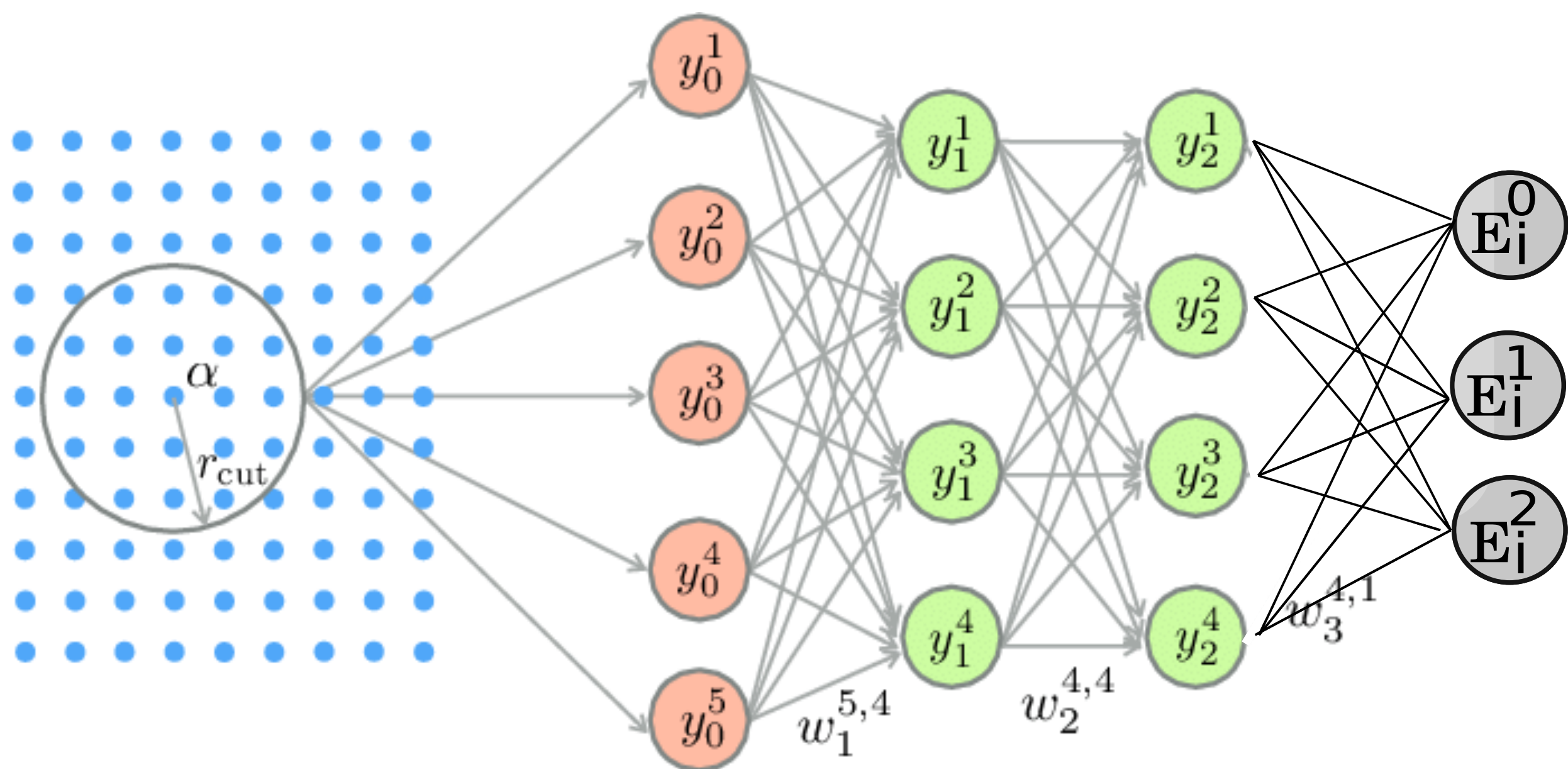


Figure 1. Schimatic neural network configuration to implement long range correction in NN potentials. Output has divided to 3 facors.

To distinguish the long-range and short-range parts of the NN total energy, it can be written as follows:

$$E_{\text{tot}} = \eta_{\text{SR}} E_{\text{SR}} + \eta_{\text{LR}} E_{\text{LR}}$$

$$E_{\text{SR}} = \sum_I^{N_{\text{at}}} e_i(G_i(\{\mathbf{R}\})) \longrightarrow e_i = E_i^0 + E_i^1 + E_i^2$$

$$E_{\text{LR}}[\rho] = \sum_i [\chi_i q_i + \frac{1}{2} J_i q_i^2] + \frac{1}{2} \int \frac{\rho(\mathbf{r})\rho(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} d^3r d^3r'$$

One notice to calculate correct phonon dispersion for a polar semiconductor, which is determined by NN potential from this model, the LO - TO splitting at wavevector $q = 0$ is required (Γ), since LO - TO splitting is a consequence of long-range effects of electric field in polar materials. There is an approach to tackle this problem, which is introduced in DFPT method[2] by considering non-analyticity at $q = 0$. This means we can write the mantrix of force constant as seen below:

$$\tilde{C}_{\alpha i, \beta j} = \tilde{C}_{\alpha i, \beta j}^{\text{an}} + \tilde{C}_{\alpha i, \beta j}^{\text{na}}$$

This separation let us to implement desired correction to phonon dispersion. Then dynamical matrix is defined as usual.

$$\tilde{D}_{\alpha i, \beta j}(\mathbf{q}) = \frac{\tilde{C}_{\alpha i, \beta j}(\mathbf{q})}{M_i M_j^{\frac{1}{2}}}$$

Results

We investigate the phonon dispersion of molten NaCl as an example of polar material. The structure has been relaxed with NN potential trained by PANNA code[3], which is implemented in LAMMPS code[4]. After relaxation process and reach the ground state, phonon calculation is performed with phonpy and phonolammps libraries[5] of python. These libraries are used to implement Small displacement method to calculate phonon dispersion. One can add non-analytical correction (calculated with DFPT) as a flag to phonopy. Then it is possible to reach LO- TO splitting at Gamma Point ($q=0$), As shown in graph as follows (Fig 2):

PANNA training parameters: Size of discriptors per atom: 80 cutoff=5.3 Angstrom

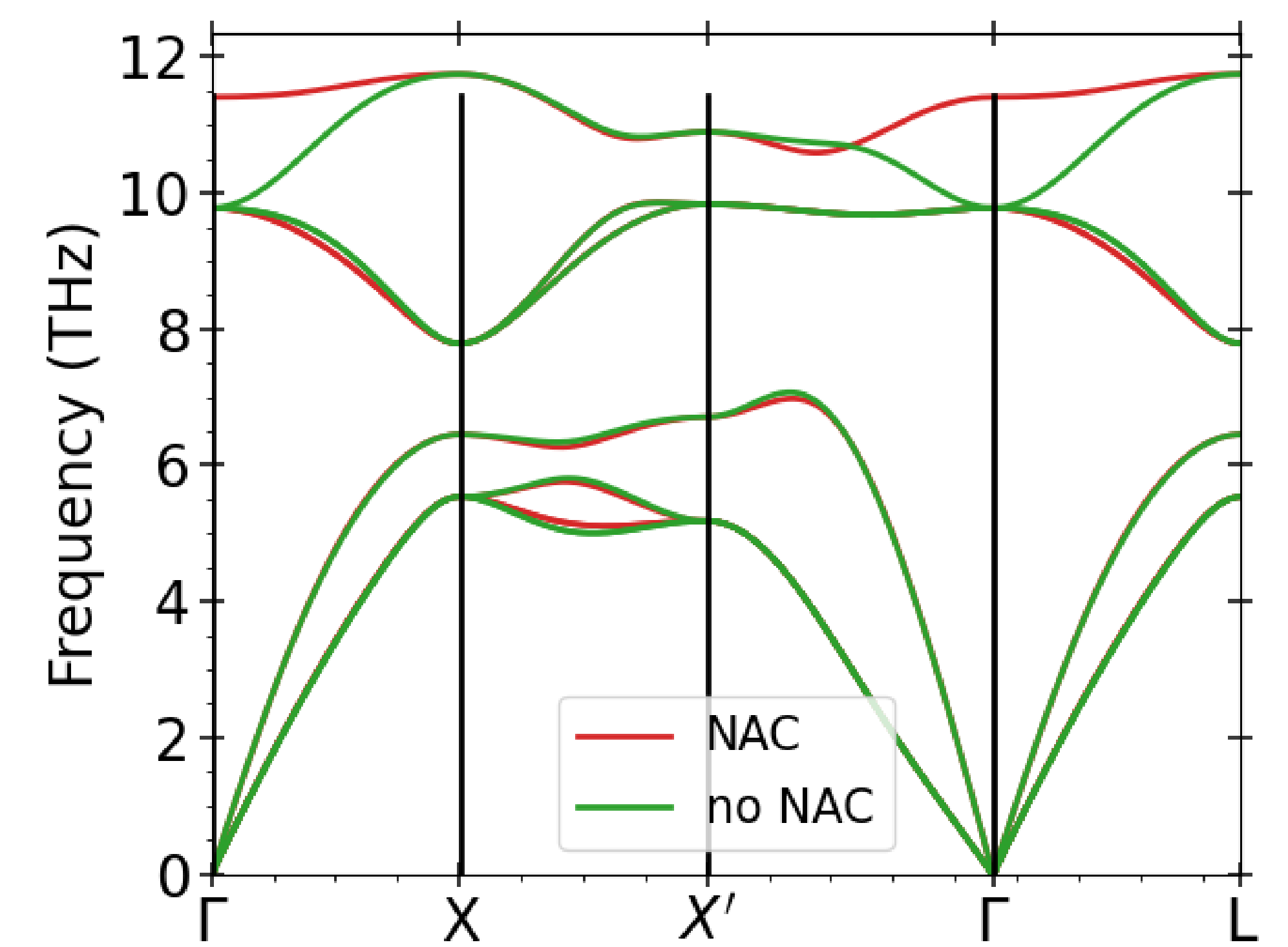


Figure 2. phonon dispersion of NaCl in molten phase , which is relaxed with NN potential trained with PANNA code. The LO-TO splitting in Gamma point is recognizable.

Conclusion

In summery we succeed to calculate the correct p honon dispersion for polar semiconductors, such as NaCl. This is an indirect way to reach the LO-TO splitting in Gamma point. Which means we still need an ab-initio code to calculate the Born Effective charge and dielectric constant. Finally, our next step will be implementation of perturbation, and use response theory to find effective charge (\mathbf{Z}^*) and high-frequency static dielectric constant (ϵ_{∞}) directly.

$$\mathbf{D} = \epsilon_0 \mathbf{E} + \mathbf{P}$$

$$\mathbf{Z}_{i, \alpha \beta}^* = \frac{\Omega}{e} \frac{\partial \mathbf{P}_{\alpha}^{\text{tot}}}{\partial \mathbf{u}_{\beta i q=0}} \longrightarrow \tilde{C}_{\alpha i, \beta j}^{\text{na}} = \frac{4\pi e^2}{\Omega} \frac{(q \mathbf{Z}_i^*)_{\alpha} (q \mathbf{Z}_j^*)_{\beta}}{q \epsilon_{\alpha \beta}^{\infty} q}$$

References

- [1] Yusuf Shaidu, Emine Küçükbenli, Roggero Lot, Freanco Pellegrini, and Stefano de Gironcoli. Incorporating long-range electrostatics in neural network potentials via variational charge equilibration from shortsighted ingredients. *In preparation mode*.
- [2] Paolo Giannozzi, Stefano de Gironcoli, Pasquale Pavone, and Stefano Baroni. Ab initio calculation of phonon dispersions in semiconductors. *Phys. Rev. B*, 43:7231–7242, Mar 1991.
- [3] Ruggero Lot, Franco Pellegrini, Yusuf Shaidu, and Emine Küçükbenli. Panna: Properties from artificial neural network architectures. *Computer Physics Communications*, 256:107402, 2020.
- [4] Aidan P. Thompson, H. Metin Aktulga, Richard Berger, Dan S. Bolintineanu, W. Michael Brown, Paul S. Crozier, Pieter J. in 't Veld, Axel Kohlmeyer, Stan G. Moore, Trung Dac Nguyen, Ray Shan, Mark J. Stevens, Julien Tranchida, Christian Trott, and Steven J. Plimpton. Lammmps - a flexible simulation tool for particle-based materials modeling at the atomic, meso, and continuum scales. *Computer Physics Communications*, 271:108171, 2022.
- [5] Atsushi Togo and Isao Tanaka. First principles phonon calculations in materials science. *Scripta Materialia*, 108:1–5, 2015.