

This suggests simply computing  $a^n$  by multiplying 1 by  $a$   $n$  times.

We have already encountered at least two brute-force algorithms in the book: the consecutive integer checking algorithm for computing  $\text{gcd}(m, n)$  in Section 1.1 and the definition-based algorithm for matrix multiplication in Section 2.3. Many other examples are given later in this chapter. (Can you identify a few algorithms you already know as being based on the brute-force approach?)

Though rarely a source of clever or efficient algorithms, the brute-force approach should not be overlooked as an important algorithm design strategy. First, unlike some of the other strategies, brute force is applicable to a very wide variety of problems. In fact, it seems to be the only general approach for which it is more difficult to point out problems it *cannot* tackle. Second, for some important problems—e.g., sorting, searching, matrix multiplication, string matching—the brute-force approach yields reasonable algorithms of at least some practical value with no limitation on instance size. Third, the expense of designing a more efficient algorithm may be unjustifiable if only a few instances of a problem need to be solved and a brute-force algorithm can solve those instances with acceptable speed. Fourth, even if too inefficient in general, a brute-force algorithm can still be useful for solving small-size instances of a problem. Finally, a brute-force algorithm can serve an important theoretical or educational purpose as a yardstick with which to judge more efficient alternatives for solving a problem.

### 3.1 Selection Sort and Bubble Sort

In this section, we consider the application of the brute-force approach to the problem of sorting: given a list of  $n$  orderable items (e.g., numbers, characters from some alphabet, character strings), rearrange them in nondecreasing order. As we mentioned in Section 1.3, dozens of algorithms have been developed for solving this very important problem. You might have learned several of them in the past. If you have, try to forget them for the time being and look at the problem afresh.

Now, after your mind is unburdened of previous knowledge of sorting algorithms, ask yourself a question: “What would be the most straightforward method for solving the sorting problem?” Reasonable people may disagree on the answer to this question. The two algorithms discussed here—selection sort and bubble sort—seem to be the two prime candidates.

#### Selection Sort

We start selection sort by scanning the entire given list to find its smallest element and exchange it with the first element, putting the smallest element in its final position in the sorted list. Then we scan the list, starting with the second element, to find the smallest among the last  $n - 1$  elements and exchange it with the second element, putting the second smallest element in its final position. Generally, on the

$i$ th pass through the list, which we number from 0 to  $n - 2$ , the algorithm searches for the smallest item among the last  $n - i$  elements and swaps it with  $A_i$ :

$$A_0 \leq A_1 \leq \cdots \leq A_{i-1} \mid A_i, \dots, A_{\min}, \dots, A_{n-1}$$

in their final positions                      the last  $n - i$  elements

After  $n - 1$  passes, the list is sorted.

Here is pseudocode of this algorithm, which, for simplicity, assumes that the list is implemented as an array:

**ALGORITHM** *SelectionSort*( $A[0..n - 1]$ )

```
//Sorts a given array by selection sort
//Input: An array  $A[0..n - 1]$  of orderable elements
//Output: Array  $A[0..n - 1]$  sorted in nondecreasing order
for  $i \leftarrow 0$  to  $n - 2$  do
     $\min \leftarrow i$ 
    for  $j \leftarrow i + 1$  to  $n - 1$  do
        if  $A[j] < A[\min]$   $\min \leftarrow j$ 
    swap  $A[i]$  and  $A[\min]$ 
```

As an example, the action of the algorithm on the list 89, 45, 68, 90, 29, 34, 17 is illustrated in Figure 3.1.

The analysis of selection sort is straightforward. The input size is given by the number of elements  $n$ ; the basic operation is the key comparison  $A[j] < A[\min]$ . The number of times it is executed depends only on the array size and is given by the following sum:

$$C(n) = \sum_{i=0}^{n-2} \sum_{j=i+1}^{n-1} 1 = \sum_{i=0}^{n-2} [(n-1) - (i+1) + 1] = \sum_{i=0}^{n-2} (n-1-i).$$

	89	45	68	90	29	34	<b>17</b>
17	45	68	90	<b>29</b>	34	89	
17	29	68	90	45	<b>34</b>	89	
17	29	34	90	<b>45</b>	68	89	
17	29	34	45	90	<b>68</b>	89	
17	29	34	45	68	90	<b>89</b>	
17	29	34	45	68	89	90	

**FIGURE 3.1** Example of sorting with selection sort. Each line corresponds to one iteration of the algorithm, i.e., a pass through the list's tail to the right of the vertical bar; an element in bold indicates the smallest element found. Elements to the left of the vertical bar are in their final positions and are not considered in this and subsequent iterations.

Since we have already encountered the last sum in analyzing the algorithm of Example 2 in Section 2.3, you should be able to compute it now on your own. Whether you compute this sum by distributing the summation symbol or by immediately getting the sum of decreasing integers, the answer, of course, must be the same:

$$C(n) = \sum_{i=0}^{n-2} \sum_{j=i+1}^{n-1} 1 = \sum_{i=0}^{n-2} (n-1-i) = \frac{(n-1)n}{2}.$$

Thus, selection sort is a  $\Theta(n^2)$  algorithm on all inputs. Note, however, that the number of key swaps is only  $\Theta(n)$ , or, more precisely,  $n-1$  (one for each repetition of the  $i$  loop). This property distinguishes selection sort positively from many other sorting algorithms.

## Bubble Sort

Another brute-force application to the sorting problem is to compare adjacent elements of the list and exchange them if they are out of order. By doing it repeatedly, we end up “bubbling up” the largest element to the last position on the list. The next pass bubbles up the second largest element, and so on, until after  $n-1$  passes the list is sorted. Pass  $i$  ( $0 \leq i \leq n-2$ ) of bubble sort can be represented by the following diagram:

$$A_0, \dots, A_j \overset{?}{\leftrightarrow} A_{j+1}, \dots, A_{n-i-1} \mid A_{n-i} \leq \dots \leq A_{n-1}$$

in their final positions

Here is pseudocode of this algorithm.

### ALGORITHM *BubbleSort*( $A[0..n-1]$ )

//Sorts a given array by bubble sort

//Input: An array  $A[0..n-1]$  of orderable elements

//Output: Array  $A[0..n-1]$  sorted in nondecreasing order

**for**  $i \leftarrow 0$  **to**  $n-2$  **do**

**for**  $j \leftarrow 0$  **to**  $n-2-i$  **do**

**if**  $A[j+1] < A[j]$  swap  $A[j]$  and  $A[j+1]$

The action of the algorithm on the list 89, 45, 68, 90, 29, 34, 17 is illustrated as an example in Figure 3.2.

The number of key comparisons for the bubble-sort version given above is the same for all arrays of size  $n$ ; it is obtained by a sum that is almost identical to the sum for selection sort:

Though the value of the second argument is always smaller on the right-hand side than on the left-hand side, it decreases neither by a constant nor by a constant factor. A few other examples of such algorithms appear in Section 4.5.

## 4.1 Insertion Sort

In this section, we consider an application of the decrease-by-one technique to sorting an array  $A[0..n-1]$ . Following the technique's idea, we assume that the smaller problem of sorting the array  $A[0..n-2]$  has already been solved to give us a sorted array of size  $n-1$ :  $A[0] \leq \dots \leq A[n-2]$ . How can we take advantage of this solution to the smaller problem to get a solution to the original problem by taking into account the element  $A[n-1]$ ? Obviously, all we need is to find an appropriate position for  $A[n-1]$  among the sorted elements and insert it there. This is usually done by scanning the sorted subarray from right to left until the first element smaller than or equal to  $A[n-1]$  is encountered to insert  $A[n-1]$  right after that element. The resulting algorithm is called **straight insertion sort** or simply **insertion sort**.

Though insertion sort is clearly based on a recursive idea, it is more efficient to implement this algorithm bottom up, i.e., iteratively. As shown in Figure 4.3, starting with  $A[1]$  and ending with  $A[n-1]$ ,  $A[i]$  is inserted in its appropriate place among the first  $i$  elements of the array that have been already sorted (but, unlike selection sort, are generally not in their final positions).

Here is pseudocode of this algorithm.

**ALGORITHM** *InsertionSort*( $A[0..n-1]$ )

//Sorts a given array by insertion sort

//Input: An array  $A[0..n-1]$  of  $n$  orderable elements

//Output: Array  $A[0..n-1]$  sorted in nondecreasing order

**for**  $i \leftarrow 1$  **to**  $n-1$  **do**

$v \leftarrow A[i]$

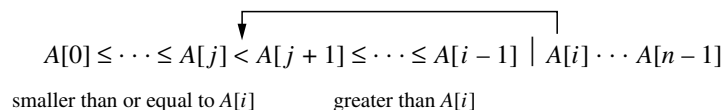
$j \leftarrow i-1$

**while**  $j \geq 0$  **and**  $A[j] > v$  **do**

$A[j+1] \leftarrow A[j]$

$j \leftarrow j-1$

$A[j+1] \leftarrow v$



**FIGURE 4.3** Iteration of insertion sort:  $A[i]$  is inserted in its proper position among the preceding elements previously sorted.

89		<b>45</b>	68	90	29	34	17
45	89		<b>68</b>	90	29	34	17
45	68	89		<b>90</b>	29	34	17
45	68	89	90		<b>29</b>	34	17
29	45	68	89	90		<b>34</b>	17
29	34	45	68	89	90		<b>17</b>
17	29	34	45	68	89	90	

**FIGURE 4.4** Example of sorting with insertion sort. A vertical bar separates the sorted part of the array from the remaining elements; the element being inserted is in bold.

The operation of the algorithm is illustrated in Figure 4.4.

The basic operation of the algorithm is the key comparison  $A[j] > v$ . (Why not  $j \geq 0$ ? Because it is almost certainly faster than the former in an actual computer implementation. Moreover, it is not germane to the algorithm: a better implementation with a sentinel—see Problem 8 in this section’s exercises—eliminates it altogether.)

The number of key comparisons in this algorithm obviously depends on the nature of the input. In the worst case,  $A[j] > v$  is executed the largest number of times, i.e., for every  $j = i - 1, \dots, 0$ . Since  $v = A[i]$ , it happens if and only if  $A[j] > A[i]$  for  $j = i - 1, \dots, 0$ . (Note that we are using the fact that on the  $i$ th iteration of insertion sort all the elements preceding  $A[i]$  are the first  $i$  elements in the input, albeit in the sorted order.) Thus, for the worst-case input, we get  $A[0] > A[1]$  (for  $i = 1$ ),  $A[1] > A[2]$  (for  $i = 2$ ),  $\dots$ ,  $A[n - 2] > A[n - 1]$  (for  $i = n - 1$ ). In other words, the worst-case input is an array of strictly decreasing values. The number of key comparisons for such an input is

$$C_{\text{worst}}(n) = \sum_{i=1}^{n-1} \sum_{j=0}^{i-1} 1 = \sum_{i=1}^{n-1} i = \frac{(n-1)n}{2} \in \Theta(n^2).$$

Thus, in the worst case, insertion sort makes exactly the same number of comparisons as selection sort (see Section 3.1).

In the best case, the comparison  $A[j] > v$  is executed only once on every iteration of the outer loop. It happens if and only if  $A[i - 1] \leq A[i]$  for every  $i = 1, \dots, n - 1$ , i.e., if the input array is already sorted in nondecreasing order. (Though it “makes sense” that the best case of an algorithm happens when the problem is already solved, it is not always the case, as you are going to see in our discussion of quicksort in Chapter 5.) Thus, for sorted arrays, the number of key comparisons is

$$C_{\text{best}}(n) = \sum_{i=1}^{n-1} 1 = n - 1 \in \Theta(n).$$