Elementary Implementation of VTK XML files A FEMDEM Application

JOHN-PAUL LATHAM*†, ADO FARSI*†, and MICHAEL TRAPP*

A recent state-of the arts review by EU decision makers [1] revealed that current building guidelines are not taking into account threats such as natural disasters and acts of terrorism. Updating these guidelines requires accurate numerical models to quantify the resilience of building elements against explosive and projectile impact.

The Applied Modelling and Computation Group (AMCG) at Imperial College London has recently developed a novel coupled dynamic gas/solid FEMDEM code.

In this paper, this Y code is applied to simulate 2D projectile impact on laminated glass. Furthermore, a novel implementation is presented to prepare and write VTK output files. Before, obsolete external VTK libraries were required to visualise the code output.

The simulation results are not realistic, indicating errors in the preparation software or in the underlying code. The implementation of VTK files is successful and valid output files are produced. The files are visualisable using Paraview.

CCS Concepts: • **Applied computing** \rightarrow *Engineering*.

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1 PHYSICS THEORY

1.1 Laminated Glass Model

Laminated glass is a sandwich structure of two brittle glass plies and an adhered polymer inter-layer (or inter-face) in between. The bonding between the glass and the inter-layer is without physical adhesive [2]. Secondary laminated glass consists of two such laminates, separated by a layer of air.

Advantageous properties of laminated glass include a relatively high penetration resistance [3], low weight [4] and the adherence of fractured glass fragments to the structure to reduce the risk of injuries[3, 5, 6, 7]. Breakage of the inner ply significantly reduces strength and facilitates a full collapse of the glass [6]. An optional back layer (usually poly-carbonate (PC) [8, 9]) improves structural stability and additional energy absorption [8, 10].

The prediction of crack initiation and propagation poses a significant challenge and requires ongoing research effort. Local stress intensification is caused by pre-existing micro-structural material flaws¹ such as micro-cracks and voids [11].

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¹inhomogeneities, discontinuities

Many fracture models have been proposed. The Y code uses a local combined single and smeared crack model approach [12, 13], in which a single crack is replaced by a blunt crack band [14].

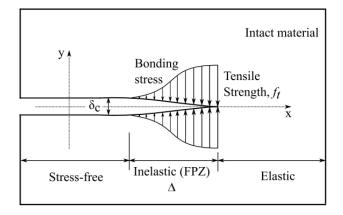


Fig. 1. Single Crack (Dugdale) Model. [15]

The single crack model in Fig. 1 assumes a crack tip located in a fracture process zone (FPZ). Plastic bonding stress $\sigma \leq f_t$ causes the crack tip to open up, up to a critical separation length $\delta = \delta_c$ [15].

To determine the bonding stress, the Y code adopts the Mohr-Coulomb constitutive model [13], described by

$$\tau = c + \sigma \tan \phi \,, \tag{1}$$

with shear stress τ , normal stress σ , internal cohesion c and internal friction angle ϕ . The internal friction coefficient is given by

$$\eta = \tan \phi \tag{2}$$

The stresses σ and τ correspond to normal and shear displacements $\delta_{\rm n}$ and $\delta_{\rm s}$ and tensile and shear strengths $f_{\rm t}$ and $f_{\rm s}$. These material strengths are defined as the maximum strength in the stress-displacement diagram (Fig. 2), with maximum elastic displacement $\delta_{\rm p}$ and critical displacement $\delta_{\rm s}$.

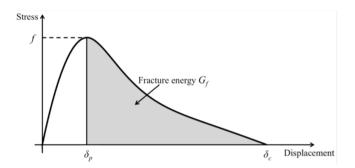


Fig. 2. Stress-displacement curve according to the single and smeared crack model. [13]

The fracturing in the strain-softening part is modelled using joint elements which are generated between two neighbouring shell elements. Cracks coincide with the element edges [15].

Further description is given by Latham et al [13], Munjiza et al [14], Lei et al [16] and Chen and Chang [17].

1.2 Inter-layer Model

The task of the inter-layer is the absorption of impact energy and the maintenance of adhesion to the plies [4]. The inter-layer consists of one or more sheets. Common inter-layer materials include polymers such as polyvinyl butyral (PVB), thermoplastic polyurethane (TPU), and most recently SentryGlas®Plus (SGP) [18, 19].

Mechanical properties of the inter-layer are dependent on the fracture state of the laminated glass [20]. Prior to fracture, straining of the inter-layer is limited, permitting the application of linear viscoelastic laws. After the failure of the glass, the interlayer is subject to large strains and linear viscoelasticity is no longer applicable. Instead, the interlayer is modelled as a hyper-elastic material [21, 22]. Work done by stresses onto such materials only depends on the reference state X and the current state x, but not on the load path (Fig. 3). Deformation from X to x is described by a deformation gradient [23]

$$F = \frac{\mathrm{d}\varphi}{\mathrm{d}X} \tag{3}$$

with mapping function φ mapping from X to x.

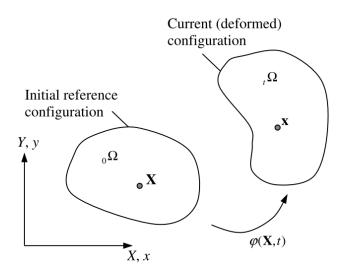


Fig. 3. Deformation from reference to current state. [23]

Hyper-elastics are mathematically described by a characteristic strain energy density function W. One of the simplest hyper-elastic models is the Neo-Hookean model [21] whose characteristic function is given by

$$W = \frac{\mu_0}{2} (I_1 - 3) - \mu_0 \ln J + \frac{\lambda_0}{2} \ln^2 J, \qquad (4)$$

with Lamé constants λ_0 and μ_0 from the linearised theory, J = |F| and first invariant $I_1 = C_{II}$ with right Cauchy stress tensor [21]

$$C_{ij} = F_{Ii} F_{Ij}. (5)$$

Upper case indices refer to the reference configuration, while lower case indices refer to the current configuration.

Another common, simple hyper-elastic model is the Mooney - Rivlin model [24, 25]. The characteristic strain energy function for the compressible 2-Parameter Mooney - Rivlin model [25] is given by

$$W_2 = C_{10} \left(\bar{I}_1 - 1 \right) + C_{01} \left(\bar{I}_2 - 1 \right) + \frac{1}{d} \left(J - 1 \right), \quad (6)$$

where C_{10} and C_{01} are adjustable parameters, d =2 / K with bulk modulus K and $\bar{I}_1 = J^{-\frac{1}{3}} I_1$ and $\bar{I}_2 =$ $J^{-\frac{1}{3}}I_2$ are deviatoric invariants [24]. The second invariant is given by

$$I_2 = \frac{1}{2} \left(C_{JJ}^2 - C_{IK} C_{KI} \right) . \tag{7}$$

Other hyper-elastic models [24] include a more general polynomial model, Arruda-Boyce, Ogden and Yeoh. For the polynomial model, customized coefficients [2] already exist in the literature, specifically for laminated glass.

Modelling the occurence of fracture needs to be permitted for the inter-layer as well, as fracturing of the inter-layer is possible. This consideration necessitates the extension of the smeared single and combined fracture model to the inter-layer.

NUMERICAL MODELLING THEORY 2

2.1 **FEMDEM Model**

The combined finite-discrete element method, FEMDEM or FDEM[3, 12, 14, 17, 19, 26, 27, 28, 29, 30], contains discrete element that interact with neighbouring discrete elements. In addition, each discrete element is discretised into finite elements. Each finite element mesh captures the deformability of a discrete element.

Fracture and fragmentation is modelled as a transition from continua to discontinua. It is also in principle possible to imagine an inverse process of particles merging together.[14]

Based on the 3D FDEM code Y3D by Munjiza et al [12, 14, 26], Xiang et al [31] added many detailed improvements and features to the code. The new model, Solidity, is capable of creating realistic coupled multi-physics simulations. In contrast to

conventional models, Solidity is not reliant on element deformability restriction constraints (due to the locking problem) and uses simpler triangular, quadratic and tetrahedral elements [13].

2.2 Governing Equations

The governing equations for the finite element calculations in the FEMDEM method are the equations of motion. The equations are given by

$$M\ddot{x} + \mu \dot{x} + f_{\text{int}} = f_{\text{ext}} = f_{\text{l}} + f_{\text{b}} + f_{\text{c}}$$
, (8)

with lumped nodal mass matrix M, nodal displacements x, viscosity μ , internal nodal forces f_{int} and external nodal forces f_{ext} . External forces contain consist of external loads f_{l} , bonding forces f_{b} and contact forces f_{c} . Internal forces f_{int} are generated by element deformation. FEMDEM systems solve these equations via explicit time integration using the forward Euler method [16].

2.3 Contact Detection

The combination of discrete and finite elements is established via an interaction algorithm [16]. This algorithm consists of contact detection [32] and contact interaction [28]. Contact detection is carried out using search algorithms.

The contact detection algorithm detects couples of discrete elements close to each other by eliminating couples of discrete elements that are too far from each other to be in contact. In other words, contact detection avoids processing contact interaction when there is no contact. This reduces CPU requirements and processing run time [14].

Contact interaction is only considered for discrete elements which are within a buffer size b of each other, given by

$$b = 0.1 \,\Delta_{\min}\,,\tag{9}$$

where Δ_{min} is the minimum edge².

2.4 Contact Interaction

Penetration of a contractor³ body into a target⁴ body is implemented in the Y code by the potential contact force method, see Fig. 4 [14]. Assumption of a conservative force field enables a description of the contact forces using potentials,

$$f_{\rm c}^{\rm 2D} = -f_{\rm t}^{\rm 2D} = \oint_{\Gamma_{\rm Bio Bc}} n_{\Gamma} \left(\varphi_{\rm c} - \varphi_{\rm t} \right) \, \mathrm{d}\Gamma \,, \qquad (10)$$

$$f_{\rm c}^{\rm 3D} = -f_{\rm t}^{\rm 3D} = \int_{S_{\beta {\rm t} \cap \beta {\rm c}}} n_S \left(\varphi_{\rm c} - \varphi_{\rm t} \right) \, \mathrm{d}S, \qquad (11)$$

with force potentials φ and outward unit normal n to the penetration boundary Γ or surface area S.

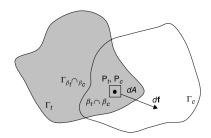


Fig. 4. Infinitesimal overlap of contractor and target body. [14]

The penetration distance d for each case is given by $\lceil 14 \rceil$

$$d = \frac{\sigma h}{p}, \tag{12}$$

with applied pressure σ , element height h and penalty factor p.

The penalty factor p is given by

$$p = \alpha E, \tag{13}$$

with Young's Modulus E and a constant α (in this paper: $\alpha = 10$) to regulate penetration.

²minimum element side length, minimum size

 $^{^3}$ index c

⁴index t

Contact damping⁵ due to plastic deformation, breakage of surface asperities, etc. is given by [14]

$$\sigma_{\rm C} = 4 \, \xi \frac{\sqrt{p/\rho}}{h} \dot{d} \,, \tag{14}$$

with damping ratio $0 \le \xi^6 \le 1$ and finite element density ρ .

The mass damping coefficient is given by

$$\zeta = \sqrt{E\,\rho} \tag{15}$$

NUMERICAL MODEL APPROACH 3 3.1 Workflow

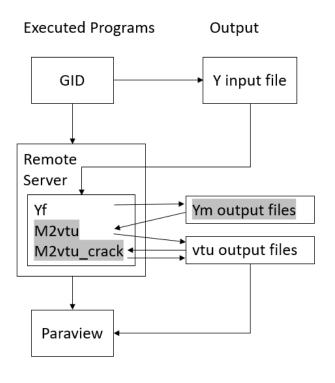


Fig. 5. Workflow of generating a simulation

The conducted workflow to generate simulations is illustrated in Fig. 5. The grey shaded items are ready to be removed using the results from this paper such that the Yf code generates the VTK output files directly.

The required operating system presumably is Ubuntu 14.4, although this could not be confirmed using Travis CI automatic testing (url: https:// travis-ci.org/). Therefore, the exact requirements are not known to the author at this point. The workflow was tested on Ubuntu 18.04.2 LTS subsystem for windows 10.

An input Y file is generated using the open source pre-processor CAD software GID [33] on Windows 10. It is possible to instead import the geometry from other CAD software, such as AutoCAD, although this approach is suspected to potentially cause errors.

With the input Y file as an argument, the program is compiled using three binary executables Yf, m2vtk and m2vtk_crack. The executables are generated using a make file, along with the Y2D code files, written in the programming language C. The code may be obtained from the AMCG at Imperial College London.

Code execution requires obsolete VTK 5.8 libraries. Tests to produce simulations were thus conducted exclusively on the high performance computing (HPC) system at Imperial College. Another private remote server was available for testing, but could not be employed due to extremely high latency.

The code outputs .vtu files which are combined to a time series simulation. The output is visualised using the open source software Paraview (url: https://www.paraview.org/).

3.2 Geometry Setup

The input Y file contains the model including geometry, constraints, materials and simulation parameters. The majority of modelling parameters are adduced from the literature. A list of material

⁵Rayleigh damping, viscous damping

⁶describes energy dissipation

parameters is given in Table 1. A list of input file simulation parameters is provided in Table 2. Auxiliary parameters are provided in Table 3.



Fig. 6. 2D geometry of the laminated glass structure and projectile [17]

Fig. 6 illustrates the 2D geometric setup. The figure shows the initial state of the projectile (yellow) immediately before impact on the laminated glass (impactor glass plies in red, inner glass ply in blue, inter-layer in green). The glass is fixed via a support system (in brown). The undersurface (the bottom lines) of the support structure contains no-velocity boundary conditions.

The dimensions are given in Fig. 7. The mesh for all bodies consists of triangular elements. The element size is 1 mm.



Fig. 7. Dimensions of the test geometry

3.3 Material Parameters

Table 1 lists the material parameters for glass, PVB, steel and rock. The literature provides values for most quantities, although values vary to a great extend in some cases. The values used in this paper are marked bold.

3.4 Problem Parameters

The radius of the steel circle is set to 2.5 mm. The critical time step $[41]^{11}$ is set accordingly to

$$\Delta t_{\text{crit}} = \sqrt{\frac{V_{\text{e}}^{12} \, \rho^{13}}{p}} = \sqrt{\frac{0.000413 \cdot 7.8 \cdot 10^3}{4 \cdot 10^{11}}} \text{s} \,.$$
(16)

The acceptable time step Δt is given by

$$\Delta t = \lfloor \Delta t_{\text{crit}} \rfloor = 3e - 6s,$$
 (17)

where $\lfloor \rfloor$ denotes the floor operator. To simulate real time t = 10 s, the maximum number of time steps required $\lfloor 41 \rfloor^{11}$ is given by

$$n_{\rm t} = \frac{t}{\Delta t} = \frac{10 \,\mathrm{s}}{3 \,\mathrm{e} - 6 \,\mathrm{s}} = 1 \,\mathrm{e}6 \,.$$
 (18)

3.5 Verification

Meeting specified accuracy standards [1] requires verification of the numerical model by use of data from previous numerical experiments.

Physical experiments involving the breakage of glass require special safety arrangements. Impact experiments are being conducted outdoors by service company Jabisupra [42] in cooperation with Imperial College London. The company is active in the field of envelope security and specialises in protecting infrastructure from certain threats. Data for from this company was not ready and could not be used for this project.

Many other researchers have already conducted impact experiments in the past. A majority of the findings from these experiments are applicable to verify the model for this project.

⁷ for reference

⁸Rayleigh damping, viscous damping

⁹not relevant for glass, PVB and steel

¹⁰at laboratory conditions

 $[\]overline{^{11}}$ for inquiries concerning this reference please contact the AMCG at Imperial College London

 $^{^{12}}$ volume (in 3) of the smallest finite element, without units 13 density (in kg/m^{3}) of the material of the smallest finite element, without units

Table 1. List of Y2 input material parameter values.

Elastic penalty term (see Eq. 13) 7e11 1e9 2.0e12 3.0e11	Property	Glass	PVB	Steel Projectile	$Rock^7$
Young's Modulus E [Pa] 7e10 [17, 34, 35] 1e8 [17] 2e11 [17] 3e10 7.409e10 [30] 2.2e2 [35] 7.41e10 [17] 5e10 [30] 7.5e10 [24] 9.2 [17, 30] 0.42 [17] 0.29 [17] 9.205 0.3 [17] 0.48 [30] 0.22 [34] 0.49 [32] 0.22 [34] 0.49 [32] 0.22 [34] 0.49 [32] 0.22 [34] 0.49 [32] 0.25 [17] 9.205 0.25 [17] 9.205 0.25 [17] 9.205 0.25 [17] 9.205 0.25 [17] 9.205 0.25 [17] 9.205 0.25 [17] 9.205 0.25 [17] 9.205 0.25 [17] 9.205 0.25 [17] 9.205 0.25 [17] 9.205 0.25 [17] 9.205 0.25 [17] 9.205 0.25 [17] 9.205 0.25 [17] 9.205 0.25 [17] 9.205 0.25 [17] 9.205 0.25 [17] 9.205 0.25 [17] 9.205 0.205 0.25 [17] 9.205 0	Density ρ [kg/m ³]	2500 [17, 24, 30, 34, 35]	870 [30]	7800 [17]	2700
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Mode II energy rate G_{II} [J/m²] 50 [34] 2.8e3 1.9e5 100.0 Mode III energy rate G_{III} [J/m²] 50 [34] 2.8e3 1.9e5 100.0 Tensile Strength σ [Pa] 6e7 [32] 2e7 [38] 1e7 [4] 4e6 3e7 [17] 3.46e7 [17] 17.9 [17] 1	Mode I energy rate $G_{\rm I}$ $\left[{\rm J/m^2}\right]$	10 [34]	2.8e3 [36]	1.9e5 [37]	20.0
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Mode III energy rate G_{III} [J/m²] 50 [34] 2.8e3 1.9e5 100.0 Tensile Strength σ [Pa] 6e7 [32] 3e7 [17] 1.862e7 [17] 1.862e7 [17] 1e7 [4] 4e6 Shear Strength τ [Pa] 17.9 [17] 17.9 [17] 17.9 [17] Internal friction coefficient η (Eq. 2) 0.1 [32] 0.7 [20] 0.15 [39] 0.6 Internal cohesion c [Pa] (Eq. 1) 7e10 2.2e2 2e11 8e6 Pore fluid pressure9 [Pa] 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	r				
Tensile Strength σ [Pa]	Mode II energy rate $G_{\rm II}$ [J/m ²]	50 [34]	2.8e3	1.9e5	100.0
3e7 [17] 1.862e7 [17] 3.46e7 [17] 17.9 [17] 17.9 [17] 17.9 [17] Internal friction coefficient η (Eq. 2) 0.1 [32] 0.7 [20] 0.15 [39] 0.6 Internal cohesion c [Pa] (Eq. 1) 7e10 2.2e2 2e11 8e6 Pore fluid pressure [Pa] 0 0 0 0 Joint friction coefficient [Pa] 0.6 0.6 0.6 0.6 Joint roughness coefficient JRC0 [9, 10] 15 15 15 15	Mode III energy rate $G_{\rm III}$ [J/m ²]	50 [34]	2.8e3	1.9e5	100.0
3e7 [17] 1.862e7 [17] 3.46e7 [17] 17.9 [17] 17.9 [17] 17.9 [17] Internal friction coefficient η (Eq. 2) 0.1 [32] 0.7 [20] 0.15 [39] 0.6 Internal cohesion c [Pa] (Eq. 1) 7e10 2.2e2 2e11 8e6 Pore fluid pressure [Pa] 0 0 0 0 Joint friction coefficient [Pa] 0.6 0.6 0.6 0.6 Joint roughness coefficient JRC0 [9, 10] 15 15 15 15	Tensile Strength σ [Pa]	6e7 [32]	2e7 [38]	1e7 [4]	4e6
Shear Strength τ [Pa] 3.46e7 [17] 17.9 [17] 17.9 [17] Internal friction coefficient η (Eq. 2) 0.1 [32] 0.7 [20] 0.15 [39] 0.6 Internal cohesion c [Pa] (Eq. 1) 7e10 2.2e2 2e11 8e6 Pore fluid pressure [Pa] 0 0 0 0 Joint friction coefficient [Pa] 0.6 0.6 0.6 0.6 Joint roughness coefficient JRC0 [9, 10] 15 15 15 15					
Internal friction coefficient η (Eq. 2) 0.1 [32] 0.7 [20] 0.15 [39] 0.6 Internal cohesion c [Pa] (Eq. 1) 7e10 2.2e2 2e11 8e6 Pore fluid pressure [Pa] 0 0 0 0 Joint friction coefficient [Pa] 0.6 0.6 0.6 0.6 Joint roughness coefficient JRC0 [9, 10] 15 15 15 15					
Internal cohesion c [Pa] (Eq. 1) 7e10 2.2e2 2e11 8e6 Pore fluid pressure ⁹ [Pa] 0 0 0 0 Joint friction coefficient ⁹ 0.6 0.6 0.6 0.6 Joint roughness coefficient JRC ₀ 9, 10 15 15 15 15	Shear Strength τ [Pa]	17.9 [17]	17.9 [17]		
Pore fluid pressure 9 [Pa] 0 0 0 0 0 Joint friction coefficient 9 0.6 0.6 0.6 0.6 Joint roughness coefficient JRC ₀ $^{9, 10}$ 15 15 15	Internal friction coefficient η (Eq. 2)	0.1 [32]	0.7 [20]	0.15 [39]	0.6
Joint friction coefficient 9 0.6 0.6 0.6 0.6 Joint roughness coefficient JRC ₀ $^{9, 10}$ 15 15 15	Internal cohesion c [Pa] (Eq. 1)	7e10	2.2e2	2e11	8e6
Joint roughness coefficient JRC ₀ 9, 10 15 15 15	Pore fluid pressure [Pa]	0	0	0	0
		0.6	0.6	0.6	0.6
Joint compressive strength JCS ₀ ^{9, 10} [MPa] 120 120 120 120	•				
	<u> </u>				
Joint sample size $[m]^9$ 0.2 0.2 0.2 0.2	Joint sample size [m] ⁹	0.2	0.2	0.2	0.2
Interface friction 0.1 [32] 0.62 [40] 0.44 [40] 0.6	Interface friction	0.1 [32]	0.62 [40]	0.44 [40]	0.6
2D Problems plane strain	2D Problems		- <i>-</i>	- -	

Table 2. List of Y2D input simulation parameter values.

Parameter	Value
Maximum number of timesteps n_t (Eq. 18)	2e6
Current number of timesteps	0
Restart file saving frequency	1e2
Gravity in X Direction (m/s2)	0
Gravity in Y Direction (m/s2)	0
Gravity in Z Direction (m/s2)	-9.8
Timestep (s) (Eq. 17)	1e – 6
Output frequency	2e3
Current number of iterations	0
Gravity setting stage (s)	0
Load ramping stage (s)	0
Maximum dimension (m)	10
Maximum force (N)	1e6
Maximum velocity (m/s)	100
Maximum stress (Pa)	1e8
Maximum displacement (m)	0.1
Minimum joint aperture (m)	1e - 7
Maximum contacting couples	1e7
Buffer Size b for NBS (m) (Eq. 9)	7.60e - 5
Accuracy (bit)	32
Joint friction model	Coulomb
Initial aperture correlation	roughnes

Dynamic impact on laminated glass comprises hard and soft body impact [43]. Hard body impact such as ballistic impact [8] causes minimal deformation to the projectile, while soft body impact such as bird impact [43] causes the projectile to undergo extensive deformation.

Relevant parameters of the impact projectile include the normal velocity [4, 44, 45, 46], the mass [45, 46], the angle [44, 45, 46], the shape [46] and the size [4]. Relevant parameters for the outer glass ply include its dimensions [19], its mass, the support conditions [19] and the make-up [19]. For the inter-layer, the material [9, 18, 19], thickness [7, 19, 45] and temperature [18, 47] are relevant.

Table 3. List of auxiliary parameter values (to calculate simulation parameter values).

Parameter	Value
Total minimum element volume $V_{\rm e}~(m^3)$	1.00e – 07
Total minimum edge Δ_{\min} (m)	4.13e - 04
Total real simulation time t (s)	10
Critical time step glass (s) (Eq. 16)	2e6
Critical time step steel (s) (Eq. 16)	2e6
Critical time step PVB (s) (Eq. 16)	2e6
Overall Mesh size (m)	2.5e - 4
Mesh size projectile (m)	2.5e - 4
Mesh size plies (m)	2.5e - 4
Mesh size inter-layer (m)	2.5e - 4

Low velocity ($\approx 20 \text{ m/s}$) hard impact experiments include the use of projectiles in form of road construction chippings [44], ballistics [9], drop-down weights [19, 32, 48], aluminum projectiles [48] and steel balls [6, 19, 49]. High velocity (around 180 m/s) soft impact experiments include the use of silicon rubber projectiles [43] and gas guns [18].

Wang et al [19] found that the panel size had an inferior effect on the breakage resistance [19]. SSSimilarly, Monteleone et al [9] found that only a local area of the ply around the impact absorbed the impact energy for high velocities.

Karunarathna [45] found that impact velocity and plate thickness contributed significantly towards the impact resistance, compared to impact mass and inter-layer thickness. Wang et al [19] found an increased inter-layer thickness to have a negative effect on energy absorption. Liu et al [50] established that the inter-layer thickness did not contribute towards energy absorption. Behr and Kremer [49] found an increased inter-layer thickness to better protect the inner ply. Kim et al [51] numerically optimised the PVB inter-layer constitution to prevent all damage to the inner glass ply.

Liu et al [50] numerically investigated the optimisability of the inter-layer in terms of energy

absorption by simulating the impact of a human head. Zhang et al [47] investigated the influence of temperature on the inter-layer and found that a hybrid TPU/SGP/TPU inter-layer performed best over the entire range of tested temperatures.

COMPUTER SCIENCE APPROACH

The following data type macros are implemented in the code:

```
#define FLT float
  #define INS int
  #define DBL double
4 #define INT long
5 #define UCHR unsigned char
6 #define CHR char
  #define UINT unsigned int
 #define ULON unsigned long
```

Listing 1 Data type definitions

4.1 VTK format

The Visualisation Toolkit VTK [52] is an extremely popular open source software for graphic visualisation of scientific data. VTK APIs are available in many different programming languages and facilitate the generation of VTK files. Until now, the Y code included VTK API for programming language C to generate output files.

The required VTK version 5.8 library dependencies revealed themselves to be outdated and unobtainable online. All attempts by the author to obtain such a version for testing were not successful. Rewriting the code using a newer VTK version of the API would only temporarily solve this problem.

To diversify application to a wider range of operating systems, it was deemed necessary to hard code the output without using VTK libaries. Aside from the ill-documented offical website [52], one of the only few reliable resources available was

deemed to be the Earth Models website by Bunge [53].

A complete implementation of the output algorithm can be found in file Yod.c. In this section, an in-depth description of the different VTK formats and the algorithm is given.



Fig. 8. Snapshot of two rectangles moving apart from each other horizontally, at initial (top) and final time step (bottom).

For testing this VTK algorithm, a simple test setup was used consisting of two rectangular surfaces slowly moving apart from each other in the horizontal direction, as shown in Fig. 8.

4.2 VTK legacy file format

The VTK legacy file format is sufficiently documented (see [52]) and relatively easy to implement. On the downside, it only supports a minimal amount of features and is thus relatively inflexible.

Section 5 shows examples of VTK legacy output files. The header defines VTK version, file name, data encoding (ascii or binary) and dataset type ¹⁴ [52].

The data is divided into sections including points, cells and cell types. The section header contains the keyword along with the total amount of entities of the section. The data is written continuously using spaces as separators. [52]

¹⁴here: unstructured grid

Every section has additional unique formatting rules. The points sections requires an additional data type identifier (here: float) to correctly identify the data of the coordinates [52]. The data in the cells section lists the node numbers of each element, appended to a number identifying the total amount of nodes of the element [52]. The cell type section identifies the geometric shape of each element, given by an index. Each index is written on a separate line. [52]

According to the specified data encoding option, the data may be encoded in decimal ascii or in binary.

```
void i2i64(FILE *fout, INS ib10)
1
2
3
     putc((ib10 >> 56) & 0xFF, fout);
     putc((ib10 >> 48) & 0xFF, fout);
4
     putc((ib10 >> 40) & 0xFF, fout);
5
     putc((ib10 >> 32) & 0xFF, fout);
7
     putc((ib10 >> 24) & 0xFF, fout);
     putc((ib10 >> 16) & 0xFF, fout);
9
     putc((ib10 >> 8) & 0xFF, fout);
10
     putc(ib10 & 0xFF, fout);
11
```

Listing 2 Function for binary encoding and outputting an int64

An example function which was used to encode the data to binary is given in List. 2. Decimals of different sizes are encoded accordingly. The int64 → input decimal ib10 (size: 8 bytes) is encoded byte for byte, starting with the most significant byte. The byte order¹⁵ was assumed to be little endian, as operating systems with big endian were not available for testing.

For the algorithm, the fact was employed that the decimal is stored as a binary internally and the decimal can thus be treated as binary. First, the bytes are shifted to the beginning of the memory location of the decimal. A bit-wise comparison with 0xFF = 0b111111111 = 255 then yields the value

of the byte. This value is then encoded to a single character and printed to the output file via putc.

4.3 VTK XML file format

Compared to legacy files, XML files are much more difficult to implement. An examples are given in section 5.

The file is structured using nested keyword headers which are enclosed in angle brackets ("<" and ">"), according to XML language. Text indentation is not required but enhances readability.

Keyword headers are opened using <keyword> and closed using </keyword>. Keywords which do not contain any sub-keyword headers are opened and closed in one line using <keyword\>. Keyword headers usually contain additional mandatory and optional keywords in the format of option="value" [52].

The file header specifies versions, VTK file type and byte_order[52].

Depending on the specified VTKFile type, a unique set of sub-keyword headers is used. For the Unstruc tured_Grid VTK file type chosen here, the file content is structured using <Piece>. Within this header, it is required to specify the number of points and cells (elements) using NumberOfPoints and NumberOfCells

[52].

The data, listed after <DataArray> includes type (data type), Name, NumberOfComponents, format, RangeMin → and RangeMax.

¹⁵endianess

RangeMin and RangeMax indicate the minimal and maximal values of the data and are optional¹⁶. Simple array search functions were implemented in order to find the individual values.

Name specifies a unique name, enclosed by "", for the data set. The data array contained in <Points> requires no name. The data arrays enclosed by < ← Cells> require fixed names Name="connectivity", Name="offsets" and Name="types" [52].

format specifies the encoding of the data.

In the case format="ascii", the data is provided in decimal form, delimited by spaces, similar to section 4.2 [53]. Specifying an inappropriate data type for this format will not leave the data completely unusable, although induced type casting may yield unexpected results. The dependence on spaces as delimiters is highly impractical when transferring files between different operating systems and applications.

A more robust option is given by format="binary → ". Characters such as "<" (binary encoding of 0b00111100=d060) may potentially appear in binary encoded data. As these characters compose XML keyword headers, binary encoding is not realizable [53]. Thus, the data is encoded to base 64 (b64) (see 4.4).

to the data. The data header is always of type int32 (data size: 4 bytes) and specifies the data size, i.e. the amount of binary bytes of subsequent data. The data size is not to be confused with the length of the printed b64 encoded data string in the output file, which is insignificant for now. The data size rather refers to the size of the binary data array in bytes, stored as specified by the datatype in the header. Specifying a data type of a different byte size for this format produces errors and renders the data useless.

As an example, consider a float32 array which contains 100 data values. The corresponding header is given by:

$$h = 100 \frac{32 \text{ bits}}{8 \text{ bits/byte}} = 400 \text{ bytes}$$
 (19)

The header¹⁷ then needs to be encoded to b64, separately from the data. The encoded header string is immediately followed by the encoded data string, without any delimiters. Considering the fact that the data header type is fixed, the b64 encoded data header string length is always the same. Thus, no additional offset is necessary to specify the positional onset of the data after the data header [53].

Instead of supplying the data inline, it is possible to append data once, to an <AppendedData> section at the end of the file. This is achieved by specifying option format="appended" for each data array keyword header and providing an offset each time.

In doing so, the entire data is provided in a single long b64 encoded string, appended to an underscore "_". Like in the inline format, each encoded data string must be prepended by a b64 encoded header, which specifies the size of the following unencoded data section in bytes. The headers and the data sections are encoded separately each, without any delimiters between headers and data sections.

The offset value provided in each <DataArray> For b64 encoding, a data header needs to be prepended specifies the amount of bytes of unencoded data after the underscore to the beginning of the corresponding header. The method of evaluation of header offsets is illustrated in Tab. 4. The values in Tab. 4 are taken from an example file (see [53]), which is visualisable in Paraview.

> In Tab. 4, the header offset of the first header is always 0. As the unencoded header is always an int32 of size 4 bytes, the first data offset is 4. For a data array with 1926 values and data type size 8 bytes (e.g. float64), the data size in bytes amounts

¹⁶confirmed by tests

¹⁷omitting the unit (bytes)

Table 4. Breakdown of offset values for an output file of a working example [53] with 642 points and 1280 cells.
The desired (header) offsets are given in column 2.

Name	header offset	data offset	data size	data type size	values	components	points/cells
Points	0	4	15408	8	1926	3	642
Connectivity	15412	15416	15360	4	3840	3	1280
Offsets	30776	30780	5120	4	1280	1	1280
Types	35900	35904	1280	1	1280	1	1280
Points s	37184	37188	5136	8	642	1	642
Points v	42324	42328	15408	8	1926	3	642
Points n	57736	57740	15408	8	1926	3	642
Density	73148	73152	5136	8	642	1	642

to $1926 \cdot 8$ bytes = 15408bytes. The number of values is comprised by the number of components and the number of points, i.e. $3 \cdot 642 = 1926$. The next header offset is evaluated by adding the data size to the data offset, i.e. 4 + 15408 = 15412. The remaining offset values are evaluated accordingly.

4.4 Base 64 encoding

The output data consists of one- and two-dimensional³ int and float arrays containing nodal properties, elemental properties and flags. The computer stores the data in binary form, according to byte order (endianess) of the operating system at hand.

float numbers are usually stored in IEEE-754 format [54]. As the numbers are stored internally, the exact manner of storage is theoretically not significant as long as the position (the significance) of the bits in binary-stored float is not being violated.

As the base64 encoding function takes in a contiguous CHR* array, the data needs to be segmented into bytes. For the INS data header, this simply involves conversion from a 4-byte int32 to 4 CHR bytes. The segmentation process for arrays is illustrated in Fig. 9. The array is segmented into single bytes, rearranged in groups of 3 bytes and again rearranged in groups of 6 bits. The 6-bit groups are encoded to characters and compose the encoded string.

As an example, the implementation approach is discussed by use of the 2-dimensional contact force array. In a first step, 2-dimensional arrays are reduced to contiguous one-dimensional arrays (List. 3).

Listing 3 Converting 2-dimensional array to 1-dimensional array

In List. 3, ydn->nnopo denotes, in the class "Y database of nodes", the current number of nodal points. ydn ->nnodim denotes the current number of nodal dimensions (2) and ydn->d2nfcon denotes the double 2-dimensional nodal force of contact.

As a result, the data is now stored in a contiguous one-dimensional array of float64 and now needs to be segmented into bytes.

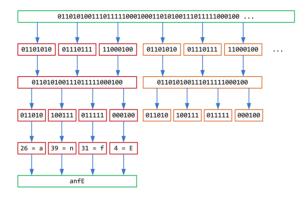


Fig. 9. Subsequent conversion of binary data to bytes, bit sextets, and encoded characters [55].

A first possible strategy is to apply simple data type casting, see List. 4.

```
FLT floats[4] = \{1, 2, 3, 4\}
  //actual array is of variable size;
3 CHR *bytes = (CHR *) floats;
```

Listing 4 Segmentation approach via type casting with pointers

The CHR* bytes array could then be passed on to the encoding function. Test showed that this strategy seems to only work if the array to type cast does not contain any data before type casting. Thus, this approach is not appropriate here.

A second possible strategy is to declare a union, see List. 5.

```
union INTToCHR {
     INS i;
2
3
    CHR *c[sizeof(INS)];};
```

Listing 5 Segmentation approach via a union

Here, both i and c refer to the same location in 14 memory. This approach potentially works for a single float. As the CHR* arrays would need to be 15 concatenated dynamically, this approach is (accord- ¹⁶ ing to the author's opinion) not appropriate either. Test which were carried out using this strategy

showed inconsistent outputs, indicating that the prompted data may not be contiguously stored.

A third strategy for dividing the data arrays into bytes uses a combination of malloc and memcpy, see List. 6.

```
1 CHR *bytes;
2 bytes = malloc(header);
  memcpy(&bytes[0], cf, header);
```

Listing 6 Segmentation approach via memcpy

Testing confirmed that this method is successful. The byte array now contains the floats in contiguous order in binary form, stored as bytes.

The prepared data is passed to the base 64 encoding function b64enc in file Yod. c. The function takes some CHR* array src of length len as input, encodes it byte for byte and outputs character for character into the VTK output file fout.

The core of the algorithm (List. 7) was adopted from Malinen [56].

```
while (end - in > 2){
      putc(enc[in[0] >> 2], fout);
      putc(enc[((in[0] & 0x03) << 4) } (in[1] >>
           \hookrightarrow 4)], fout);
      putc(enc[((in[1] & 0x0f) << 2) } (in[2] >>
           \hookrightarrow 6)], fout);
      putc(enc[in[2] & 0x3f], fout);
      in += 3;}
 6
    if (end - in){
      putc(enc[in[0] >> 2], fout);
10
      if (end - in == 1){
        putc(enc[(in[0] & 0x03) << 4], fout);</pre>
11
        putc('=', fout);}
12
        putc(enc[((in[0] & 0x03) << 4) } (in[1]</pre>
             \hookrightarrow >> 4)], fout);
        putc(enc[(in[1] & 0x0f) << 2], fout);}</pre>
      putc('=', fout);}
    return;}
```

Listing 7 b64 algorithm

In List. 7, in and end are CHR* pointers which point to the beginning and end of the input array. Converting to base 64 requires rearranging the input in chunks of 6 bits. Each of these 6-bit chunks produces a value v < 64 = 0b1000000 and is able to be converted to one of 64 unique characters from the encoding array

```
CHR* enc = "ABCDEFGHIJKLMNOPQRSTUVWXYZ"
"abcdefghijklmnopqrstuvwxyz0123456789+/" .
```

For every 3 bytes (or 24 bits), the algorithm produces 4 groups of 6 bits, i.e. 4 characters. The bits are extracted continuously via bit shifting. As mentioned before, the position or significance of the bits in the byte must not be violated. In other words, the value of the bit must not get lost through bit shifting. The algorithm is now explained in detail:

In line 2, the first 6 bits of the current character in[0] are extracted by bit shifting to produce the first 6-bit chunk. The value of this chunk is used to produce the index of the corresponding character in the encoding array enc.

The last two remaining bits of the current input character <code>in[0]</code> are evaluated and bit shifted in line 3 to account for the first 2 significant bits of the next 6-bit chunk. The 4 missing bits to complete the 6 bits are extracted from the first 4 bits of the second character <code>in[1]</code>, again by bit shifting.

In line 4, the 4 remaining bits from the second input character <code>in[1]</code> make up the first four bits of the next 6-bit chunk. The missing 2 bits of the 6-bit chunk are extracted from the third input character <code>in[2]</code>. This leaves 6 bits of the third input character remaining, making up another 6-bit chunk, as implemented in line 5.

If the input array length len = end - in is not divisible by 3, padding characters are required. The amount of padding padding depends on the amount of remaining input byes to complete a group of three input bytes. If len % 3 == 1, two padding

characters '==' are appended to the encoded string. If len % 3 == 2, one padding character '=' is required. The algorithm is given in List. 7, lines 8-17.

Writing the output characters to a buffer instead of using putc reduces the amount of times of invoking the I/O buffer and may save computational time. This alternative was discarded as it did not provide any significant advantage in terms of computational costs in this minimal example.

5 RESULTS

5.1 Simulation

Fig. 10 shows snapshots of the simulation of a simple test geometry.

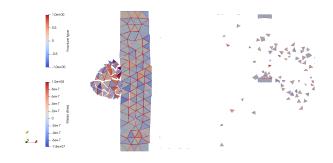


Fig. 10. Snapshots of simulation setup of a test geometry, at initial (left) and final time steps (right).

Fig. 11 shows a snapshot of the simulation of the final geometry.

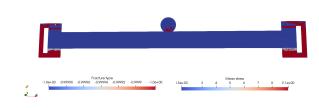


Fig. 11. Snapshot of simulation setup as described in section 3 at final time step

Table 5. Flags for producing different output in file Yod.c

2 3

16

app	b10	b64	output
			Ym (original)
	1		legacy ascii
	0		legacy binary
0	1		XML inline ascii
0	0		XML inline base 64
1		0	XML appended raw
1		1	XML appended base
	0 0 1	1 0 0 1 0 0 1	1 0 0 0 1 0 0 1 0 0

VTK implementation 5.2

Flags to switch between different output formats must be changed the code. As the output format 13 for simulations will be fixed, input flags were not 14 considered.

Flag ym==1 generates the original . ym files. Altna- 17 tively, flags vtu_leg==1 generates VTK .vtu legacy 18 files, and vtu_xml==1 generates VTK .vtu XML files.

For VTK legacy files, b10==1 generates decimal ascii output, and b10==0 generates binary output.

For VTK XML files, app==1 generates appended output, while app=0 generates inline output. For inline 26 output, b10==1 produces decimal ascii output, and 27 b10==0 produces base 64 encoded output. For appended output, b64==1 generates base 64 encoded output, whereas b64==0 creates raw binary encoded output.

A working example VTK legacy output file is given 34 in List. 1. Working examples VTK XML b64 inline 35 encoded output files are given in List. 3 and 4.

Some file formats are invalid for now. List. 2 shows an example of a VTK legacy file with binary encoding. List. 5 and 6 show examples of binary and base 64 encoded appended VTK XML output files. These examples are not visualisable in Paraview, presumably due to a bug in the algorithm.

```
# vtk DataFile Version 5.8
CODED
ASCII
DATASET UNSTRUCTURED_GRID
POINTS 20 float
-1.000000 1.000000 0.000000 1.000000 0.000000
     1.000000
              -1.000000 0.000000
     0.000000
               0.000000 0.000000
1.000000 1.000000 1.000000 0.000000 0.000000
     -0.000000 0.000000 1.000000
                                   -1.000001
     0.000000 0.000000 1.000000
-1.000001 1.000000 -1.000001 0.000000 1.000001
     1.000000
               0.000000 1.000000 1.000001
     0.000000
               0.000000 -0.000000
1.000001 0.000000 0.000000 1.000000
CELLS 56
3 14 15 16
3 17 18 19
3 8 9 10
3 11 12 13
3 8 9 9
3 9 10 13
3 10 8 8
3 11 12 12
3 12 13 13
3 14 15 15
3 15 16 18
3 16 14 14
3 17 18 18
3 19 17 17
CELLTYPE 14
8
8
8
8
8
8
8
8
```

Listing 1. Example VTK legacy ascii encoded file

```
# vtk DataFile Version 5.8
                                                           <?xml version="1.0"?>
   CODED
                                                           <VTKFile type="UnstructuredGrid" version="0.1"</pre>
2
   ASCII
                                                           → byte_order = "LittleEndian">
   DATASET UNSTRUCTURED GRID
                                                             <UnstructuredGrid>
                                                        3
   POINTS 20 float
                                                               <Piece NumberOfPoints="20"</pre>
                                                        4
    -1.000000 1.000000 0.000000 1.000000 0.000000
                                                                1.000000 -1.000000 0.000000 0.000000
                                                        5
                                                                 <Points>
        0.000000
                  0.000000 0.000000
                                                                   <DataArray type="Float32"</pre>
                                                        6
   1.000000 1.000000 1.000000 0.000000 0.000000
                                                                        NumberOfComponents="3"
        -0.000000 0.000000 1.000000 -1.000001
                                                                        format="ascii" RangeMin="-1.000001"
        0.000000 0.000000 1.000000
                                                                        RangeMax="1.000001">
   -1.000001 1.000000 -1.000001 0.000000 1.000001
                                                                     -1.000000 1.000000 0 0.000000 1.000000
       1.000000
                   0.000000 1.000000 1.000001
                                                                          0 0.000000 1.000000 0 -1.000000
        0.000000
                   0.000000 -0.000000
                                                                          0.000000 0 0.000000 0.000000 0
   1.000001 0.000000 0.000000 1.000000
                                                                          0.000000 0.000000 0 1.000000
   CELLS 56
10
                                                                          1.000000 0 1.000000 0.000000 0
   3 14 15 16
11
                                                                          0.000000 -0.000000 0 0.000000
   3 17 18 19
                                                                          1.000000 0 -1.000001 0.000000 0
   3 8 9 10
13
                                                                          0.000000 1.000000 0 -1.000001
   3 11 12 13
14
                                                                          1.000000 0 -1.000001 0.000000 0
   3 8 9 9
15
                                                                          1.000001 1.000000 0 0.000000
   3 9 10 13
16
                                                                          1.000000 0 1.000001 0.000000 0
   3 10 8 8
17
                                                                          0.000000 -0.000000 0 1.000001
   3 11 12 12
18
                                                                          0.000000 0 0.000000 1.000000 0
   3 12 13 13
19
                                                                   </DataArray>
                                                        8
   3 14 15 15
20
                                                                 </Points>
                                                        9
   3 15 16 18
21
                                                                 <Cells>
                                                       10
   3 16 14 14
22
                                                                   <DataArray type="Int64"</pre>
                                                       11
   3 17 18 18
23
                                                                    → Name="connectivity"
   3 19 17 17
24
                                                                    → NumberOfComponents="1" RangeMin=""
   CELLTYPE 14
                                                                    ⇔ RangeMax="" format="ascii">
25
   8
26
                                                                     14 15 16 17 18 19 8 9 10 11 12 13 8 9 9
                                                       12
   8
                                                                         9 10 13 10 8 8 11 12 12 12 13 13
27
   8
28
                                                                          14 15 15 15 16 18 16 14 14 17 18
29
   8
                                                                         18 19 17 17
   8
30
                                                       13
                                                                   </DataArray>
   8
31
                                                                   <DataArray type="UInt32" Name="offsets"</pre>
                                                       14
   R
32
                                                                    → NumberOfComponents="1" RangeMin=""
33
   8
                                                                    ⇔ RangeMax="" format="ascii">
34
   8
                                                                     3 6 9 12 15 18 21 24 27 30 33 36 39 42
                                                       15
   8
35
                                                       16
                                                                   </DataArray>
   8
36
                                                                   <DataArray type="UInt32" Name="types"</pre>
                                                       17
   8
                                                                    → NumberOfComponents="1" RangeMin=""
37
   8
38
                                                                    ⇔ RangeMax="" format="ascii">
   8
39
                                                                     5 5 5 5 5 5 5 5 5 5 5 5 5 5
                                                       18
                                                                   </DataArray>
                                                       19
                                                                 </Cells>
                                                       20
                                                               </Piece>
                                                       21
                                                             </UnstructuredGrid>
     Listing 2. Example VTK legacy binary encoded file
                                                           </VTKFile>
```

```
<DataArray type="UInt32" Name="offsets"</pre>
                                                                NumberOfComponents="1" RangeMin=""
                                                                 RangeMax="" format="binary">
   Listing 3. Example VTK XML file with decimal ascii 15
                                                              OAAAAA==AWAAAAYAAAAJAAAADAAAAA8AAAASAA |
   inline encoding
                                                                  AAFQAAABgAAAAbAAAAHgAAACEAAAAkAA |
                                                                  AAJwAAACoAAAA=
                                                             </DataArray>
                                                 16
   <?xml version="1.0"?>
                                                            <DataArray type="UInt32" Name="types"</pre>
                                                 17
   <VTKFile type="UnstructuredGrid" version="0.1"</pre>
                                                                NumberOfComponents="1" RangeMin=""
                                                                 RangeMax="" format="binary">
   → byte_order = "LittleEndian">
     <UnstructuredGrid>
                                                              OAAAAA==BQAAAAUAAAAFAAAABQAAAAUAAAAFAA I
                                                 18
       <Piece NumberOfPoints="20"</pre>
                                                                  AABQAAAAUAAAAFAAAABQAAAAUAAAAFAA <sub>I</sub>
4
          NumberOfCells="14">
                                                                  AABQAAAAUAAAA=
                                                             </DataArray>
5
         <Points>
                                                 19
           <DataArray type="Float32"</pre>
                                                           </Cells>
                                                 20
6
               NumberOfComponents="3"
                                                         </Piece>
               format="binary"
                                                       </UnstructuredGrid>
           \hookrightarrow
                                                 22
               RangeMin="-1.000001"
                                                     </VTKFile>
               RangeMax="1.000001">
7
           8AAAAA==AACAvwAAgD8AAAAAAAAAAAAAAAgD8AAAA |
                 AAAAAAAAgD8AAAAAAACAvwAAAAAAAA
                 AAAAAAAAAAAAAAAAAAAAAAAAAAAAA
                                                     Listing 4. Example VTK XML file with b64 inline encod-
                 AAACAPwAAgD8AAAAAAACAPwAAAAAAAA I
                                                     ing
                 AAAAAAEdnLK0AAAAAAAAAAAAAgD8AAAA I
                 ACACAvwAAAAAAAAAAAAAAAAAAABD8AAAA I
                 ACACAvwAAgD8AAAAACACAvwAAAAAAAAA I
                                                     <?xml version="1.0"?>
                 ACACAPwAAgD8AAAAAAAAAAAAAgD8AAAA I
                                                     <VTKFile type="UnstructuredGrid" version="0.1"</pre>
                 byte_order = "LittleEndian">
                 <UnstructuredGrid>
                                                  3
           </DataArray>
8
                                                         <Piece NumberOfPoints="20"</pre>
         </Points>
                                                  4
9
                                                         <Cells>
10
           <DataArray type="Int64"</pre>
                                                           <Points>
                                                  5
11
               Name="connectivity"
                                                             <DataArray type="Float32"</pre>
               NumberOfComponents="1" RangeMin=""
                                                                 NumberOfComponents="3"
                                                                 format="binary"
               RangeMax="" format="binary">
           RangeMin="-1.000001"
12
                                                                 RangeMax="1.000001">
                 AEQAAAAAAAAAAAAAAAAAAAAAAAA I
                 ACAAAAAAAAJAAAAAAAAAAAAAA
                                                             8AAAAA==AACAvwAAgD8AAAAAAAAAAAAAAgD8AAAA |
                 AAAAAAAAgD8AAAAAAACAvwAAAAAAAA
                 ACAAAAAAAAJAAAAAAAAAAAAAAAAA
                                                                   AAAAAAAAAAAAAAAAAAAAAAAAAAAAAA
                 ACQAAAAAAAAKAAAAAAAAAAAAAAAAA I
                                                                   AAACAPwAAgD8AAAAAAACAPwAAAAAAAA I
                                                                   AAAAAAEdnLK0AAAAAAAAAAAAAAgD8AAAA |
                 ACGAAAAAAAIAAAAAAAAAAAAAAAAAAAAAA |
                 ACWAAAAAAAAAAAAAAAAAAAAAAAAA I
                                                                   ACACAvwAAgD8AAAAACACAvwAAAAAAAAA I
                 ACACAPwAAgD8AAAAAAAAAAAAAgD8AAAA I
                 ADWAAAAAAAAQAAAAAAAABIAAAAAAA |
                                                                   ACACAPwAAAAAAAAAAAAAAAAAAAAA |
                                                                   AEAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAA
                                                            </DataArray>
                 AEQAAAAAAAAAAAAAAAAABIAAAAAAA I
                 AEWAAAAAAAAAAAAAAAAABEAAAAAAAA
                                                           </Points>
13
           </DataArray>
                                                 10
                                                           <Cells>
```

```
<DataArray type="Int64"</pre>
                                                            <DataArray type="Float32"</pre>
11
               Name="connectivity"
                                                                NumberOfComponents="3"
               NumberOfComponents="1" RangeMin=""
                                                                format="binary"
                                                            \hookrightarrow
               RangeMax="" format="binary">
                                                                RangeMin="-1.000001"
           12
                                                                RangeMax="1.000001">
                                                            8AAAAA==AACAvwAAgD8AAAAAAAAAAAAAAgD8AAAA |
                 ACAAAAAAAAJAAAAAAAAAAAAAAAAA I
                                                                  AAAAAAAAgD8AAAAAAACAvwAAAAAAAA
                 AAAAAAAAAAAAAAAAAAAAAAAAAAAAAA
                                                                  AAACAPwAAgD8AAAAAAACAPwAAAAAAAA I
                 ACAAAAAAAAJAAAAAAAAAAAAAAAA
                 ACQAAAAAAAAKAAAAAAAAAAAAAAAAAAAAA I
                                                                  AAAAAAEdnLK0AAAAAAAAAAAAAAgD8AAAA I
                 ACGAAAAAAAAIAAAAAAAAAAAAAAAAAAAAAA I
                                                                  ACACAvwAAgD8AAAAACACAvwAAAAAAAAA I
                 ACWAAAAAAAAAAAAAAAAAAAAAAAA I
                 ACACAPwAAgD8AAAAAAAAAAAAAgD8AAAA I
                 ACACAPwAAAAAAAAAAAAAAAAAAAAA |
                 ADWAAAAAAAAQAAAAAAAABIAAAAAAA I
                                                                  ACACAPwAAAAAAAAAAAAAAAAAAgD8AAAAA
                 AEAAAAAAAAAAAAAAAAAAAAAAAAAAAA
                                                            </DataArray>
                 AEQAAAAAAAAAAAAAAAAABIAAAAAAA I
                                                          </Points>
                 AEWAAAAAAAAAAAAAAAAABEAAAAAAAA
                                                 10
                                                          <Cells>
           </DataArray>
                                                            <DataArray type="Int64"</pre>
13
                                                 11
           <DataArray type="UInt32" Name="offsets"</pre>
                                                                Name="connectivity"
14
               NumberOfComponents="1" RangeMin=""
                                                                NumberOfComponents="1" RangeMin=""
               RangeMax="" format="binary">
                                                                RangeMax="" format="binary">
            OAAAAA==AwAAAAYAAAAJAAAADAAAAA8AAAASAA | 12
                                                            15
                 AAFQAAABgAAAAbAAAAHgAAACEAAAAkAA |
                                                                  AEQAAAAAAAAAAAAAAAAAAAAAA I
                                                                  ACAAAAAAAAAJAAAAAAAAAAAAAAAA I
                 AAJwAAACoAAAA=
           </DataArray>
                                                                  ACWAAAAAAAAAAAAAAAAAAAAAAAAAAAAAA I
16
           <DataArray type="UInt32" Name="types"</pre>
                                                                  ACAAAAAAAAAJAAAAAAAAAAAAAAAA I
17
               NumberOfComponents="1" RangeMin=""
                                                                  ACQAAAAAAAAKAAAAAAAAAAAAAAAAA I
               RangeMax="" format="binary">
                                                                  ACGAAAAAAAAIAAAAAAAAAAAAAAAAAAAAAA I
            OAAAAA==BQAAAAUAAAAFAAAABQAAAAUAAAAFAA I
                                                                  18
                 AABQAAAAUAAAAFAAAABQAAAAUAAAAFAA |
                                                                  AABQAAAAUAAAA=
                                                              \hookrightarrow
                                                                  ADWAAAAAAAAQAAAAAAAABIAAAAAAA I
           </DataArray>
19
                                                                  AEAAAAAAAAAAAAAAAAAAAAAAAAAAAA
         </Cells>
20
       </Piece>
                                                                  AEQAAAAAAAAAAAAAAAAABIAAAAAAA I
21
     </UnstructuredGrid>
                                                                  AEWAAAAAAAAAAAAAAAAAAAAAAAAAAAA
22
                                                            </DataArray>
   </VTKFile>
                                                 13
                                                            <DataArray type="UInt32" Name="offsets"</pre>
                                                 14
                                                                NumberOfComponents="1" RangeMin=""
                                                                RangeMax="" format="binary">
   Listing 5. Example VTK XML file with b64 inline encod-
                                                             OAAAAA==AwAAAAYAAAAJAAAADAAAAA8AAAASAA I
   ing
                                                                  AAFQAAABgAAAAbAAAAHgAAACEAAAAkAA |
                                                                  AAJwAAACoAAAA=
                                                            </DataArray>
                                                 16
   <?xml version="1.0"?>
                                                            <DataArray type="UInt32" Name="types"</pre>
                                                 17
   <VTKFile type="UnstructuredGrid" version="0.1"</pre>
                                                                NumberOfComponents="1" RangeMin=""
   ⇔ byte_order = "LittleEndian">
                                                                RangeMax="" format="binary">
     <UnstructuredGrid>
                                                             OAAAAA==BQAAAAUAAAAFAAAABQAAAAUAAAAFAA |
                                                 18
       <Piece NumberOfPoints="20"</pre>
                                                                  AABQAAAAUAAAAFAAAABQAAAAUAAAAFAA <sub>I</sub>
4
           NumberOfCells="14">
                                                                  AABOAAAAUAAAA=
5
         <Points>
                                                 19
                                                            </DataArray>
```

```
</Cells>
20
        </Piece>
21
      </UnstructuredGrid>
22
    </VTKFile>
```

Listing 6. Example VTK XML file with b64 inline encoding

6 DISCUSSION

6.1 Simulation

The simulation clearly only produces realistic results for simple geometry setups.

Simulation results for more complex geometries are not realistic. Here, the projectile of prescribed material steel locally deforms on impact on the glass, while the glass ply does not show any reaction to the impact. Due to these unrealistic results, none of the findings from previous research could be confirmed.

The simulation might have failed due to errors in the pre-processing software.

The pre-processor GID appeared to be faulty. The prepared input Y file presumably contained definitions which are contradicted in the Y2D code. For example, the nodes which make up each element may have been defined in the opposite rotational direction GID. It may be possible that this error may have been caused by importing geometries from AutoCAD. This error may be connected to a potential inconsistency in applied operating systems, i.e. the preprocessor may have produced different outputs on different operating systems. As code for these programs is not publicly available, which makes further investigation difficult to imossible.

As the pre-processor GID seems to be unpredictable, it is of significant importance to replace this software with more reliable alternatives such as Gmesh [57]. Alternatively, an algorithm could be implemented as part of the Y2D code which could check the validity of the input Y file.

The problem may also be located in the original Y2D code, made by previous programmers. Running the code in a clean environment using for instance Travis CI results in errors. This fact strongly indicates that errors in the code are a likely cause for the simulation to fail.

```
JCS0=d1pjcs[propID];
```

Listing 8 Memory allocation error for variable JCS0 in file Yrd.c

One such error occurs in line 676 in file Yad.c, see List, 8. The variable JCS0 is reserved for rock materials, which are not applied in this paper. There seems to be a memory allocation error, although the circumstances are not quite clear to the author.

Another error occurs at the beginning of the program in line 733 in file Yrd.c, see List. 9.

```
#define SETLINEBUF(fcheck) setvbuf((fcheck),
    → NULL, _IONBF, 0); //definition
SETLINEBUF(ydc->fcheck); //line 733
```

Listing 9 SETLINEBUF Error in file Yrd.c

The command setvbuf((fcheck), NULL, _IONBF, → 0) presumably assigns an empty buffer NULL to some file ydc->fcheck. However, the specifics and the purpose of this command are again unclear to the author.

6.2 VTK Implementation

The results have a significant impact on further development of the FEMDEM code. Before, the Y2D code needed to be run on remote servers. Specifically, this involved submitting jobs each time via the HPC system. Debugging proved to be extremely inconvenient and inefficient, as error files from failed jobs generally do not specify the exact position or kind of error.

Code using VTK libraries to produce the output was replaced by an elementary implementation. VTK output files are now able to be generated and simulated without additional dependencies. Removing the VTK module allows the code to be able to be run locally.

The current algorithm needs to be further improved and adjusted. As tests were conducted using a simple setup without fracturing, joint elements need to be visualised accordingly. The following output file types are still faulty:

- (1) binary output for VTK legacy files
- (2) appended output for VTK XML files

The output algorithm may be further improved by the implementation of a compression algorithm to compress to the VTK XML files. This is indicated in the file by adding the option

compressor="vtkZibDataCompressor"

to the header [52]. Bunge [53] provides a promising algorithm, but it remains to be revised, adjusted and implemented.

Most significantly, the modified code paves the way for program debugging and testing. Possible software for debugging on Linux Ubuntu operating system includes the GNU Project Debugger gdb (url: https://www.gnu.org/software/gdb/). Debugging is necessary until the code runs in a clean environment.

Once the code is debugged, additional features may be implemented in the code. One such feature includes inserting joint elements between elements of different materials. This would be particularly of interest for glass, considering the interface interaction between glass and the PVB inter-layer. Another future task is to implement a model for this inter-layer based on hyper-elastic laws.

The results can also be applied to the Y3D code to simulate three-dimensional output. The algorithm to generate three-dimensional output code is estimated to be of similar nature as for the Y2D code. It is expected that only minor adjustments to the algorithm are necessary.

It should only be necessary to involve the HPC system once the debugging and testing is finished. Sensible applications involving the HPC system include simulations on a larger scale.

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Table A1. List of Y2D input simulation parameters [58]

/YD/YDC/MCSTEP	Maximum number of timesteps
/YD/YDC/NCSTEP	Current number of timesteps
/YD/YDC/ISAVE	Restart file saving frequency
/YD/YDC/DCGRAX	Gravity in X Direction
/YD/YDC/DCGRAY	Gravity in Y Direction
/YD/YDC/DCGRAZ	Gravity in Z Direction
/YD/YDC/DCSTEC	Size of timestep
/YD/YDC/DCTIME	Current time
/YD/YDC/DCURELX	Not specified
/YD/YDC/INITER	Not specified
/YD/YDC/ICOUTF	Output frequency
/YD/YDC/ICOUTI	Current number of iterations
/YD/YDC/DCSIZC	Maximum size of coordinates
/YD/YDC/DCSIZF	Maximum size of force
/YD/YDC/DCSIZS	Maximum stress
/YD/YDC/DCSIZV	Maximum size of velocity
/YD/YDC/DCSIZD	Maximum size of displacement
/YD/YDC/DCSIZA	Maximum joint aperture
/YD/YDC/DCSTEC	Size of the time step
/YD/YDC/DCTIME	Current time
/YD/YDC/DCRMPT	Load ramping stage
/YD/YDC/DCGRST	Gravity settling stage
/YD/YDC/ICSAVF	Restart save frequency
/YD/YDC/ICOUTP	Number of chars for each number in or
/YD/YDC/ICFMTY	Not specified
/YD/YDC/ICIATY	Not specified