Optimizing Search Engines using Clickthrough Data

Presented by Kajal Miyan
Seminar Series, 891

Michigan state University

*Slides adopted from presentations of Thorsten Joachims (author) and Shui-Lung Chuang,

The Goal Reiterated



H.L. Schwadron for Barron's

The Reference Papers

Evaluating Retrieval Performance using Clickthrough Data Technical Report, Cornell U., 2002

Optimizing Search Engines using Clickthrough Data KDD-2002

Thorsten Joachims

Department of Computer Science

Cornell University

Outline

- Things about clickthrough data
- Evaluating search engines using clickthrough data Optimizing search engines using clickthrough data
- SVM-light
- Open issues
- Conclusion
- Discussion

Inspiration

- Search Engines.
- Vs Learning Search Engines
- Recent use of SVM-light with astonishing results.

Heterogeneity and Homogeneity in Web Search

Different users but similar behavior patterns.

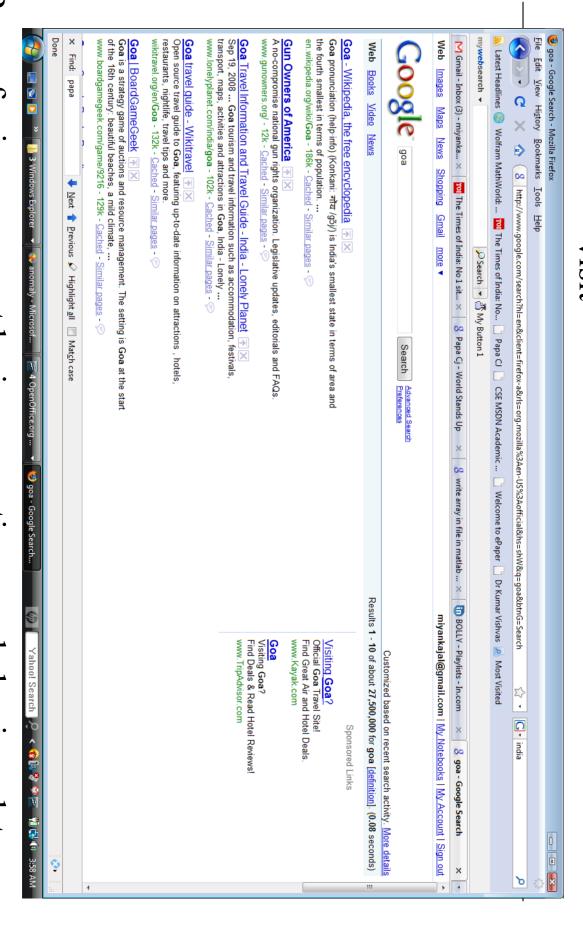
V	3	2	1
> 3 screens	screens	screens per query	screens
ns p	per	per	per
s per query	s per query	query	s per query
4.3%	3.0%	7.5%	85.2%
avg screens	stdder	2nd n	s xem
reens per query	tddev of screens/query	2nd most screens	screens per query

Table 8: Statistics concerning the characteristics of result screen requests in sessions

Problem Definition

- Optimization of web search results ranking
- Ranking algorithms mainly based on similarity
- Similarity between query keywords and page text keywords
- Similarity between pages (Page Rank)
- No consideration for user personal preferences

» Goa – Tourist Destination in India... I want to



- Room for improvement by incorporating user behaviour data: user feedback
- Use of previous implicit search information to enhance result ranking

Types of user feedback

Explicit feedback

- User explicitly judges relevance of results with the query
- Costly in time and resources
- Costly for user > limited effectiveness
- Direct evaluation of results

Implicit feedback

- Extracted from log files
- Large amount of information

Indirect evaluation of results through click behaviour

Implicit feedback (Categories)

- Clicked results
- Absolute relevance
- Clipted result > Delex
- Clicked result -> Relevant

 Risky: poor user behaviour quality
- Percentage of result clicks for a query
- Frequently clicked groups of results
- Links followed from result page
- Relative relevance:
- Clicked result -> More relevant than non-clicked
- More reliable
- Time
- Between clicks
- E.g., fast clicks \rightarrow maybe bad results
- Spent on a result page
- E.g., a lot of time → relevant page
- First click, scroll
- E.g., maybe confusing results

Implicit feedback (Categories)

- Query chains: sequences of reformulated queries to improve results of initial search
- Detection:
- Query similarity
- Result sets similarity
- Connection between results of different queries:
- Comparison of result relevance between different query results

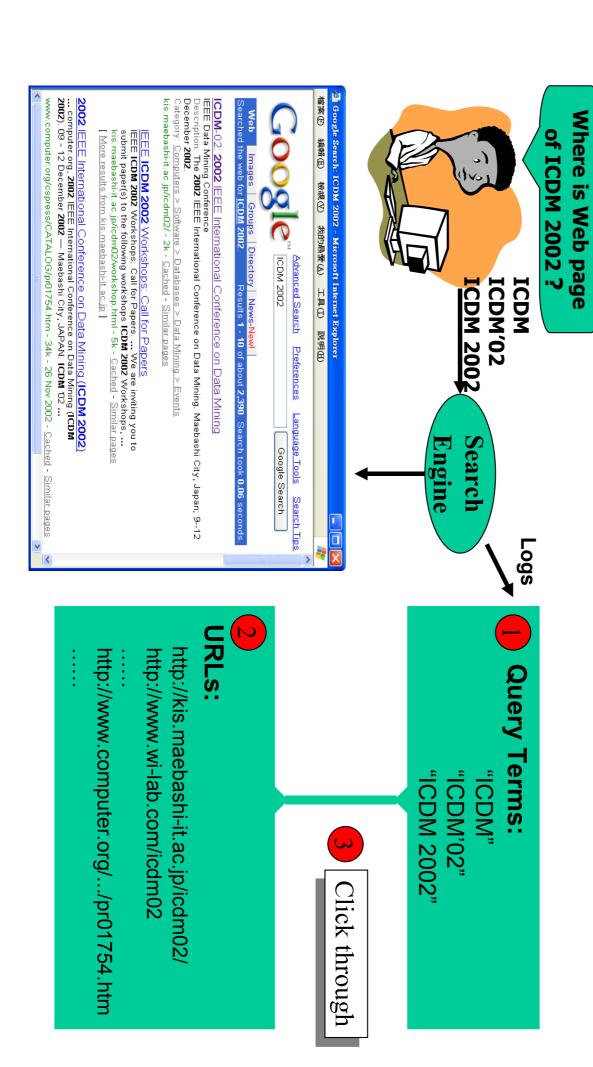
Enhancement of a bad query with another from the query chain

- Scrolling
- Time (quality of results)
- Scrolling behaviour (quality of results)
- Percentage of page (results viewed)
- Other features
- Save, print, copy etc of result page \Rightarrow maybe relevant
- Exit type (e.g. close window \rightarrow poor results)

Joachims approach

- Clickthrough data
- Relative relevance
- Indicated by user behaviour study
- Method
- Training of svm functions
- Training input: inequations of query result rankings
- Trained function: weight vector for features examined
- Use of trained vector to give weights to examined *teatures*
- Experiments
- Comparison of method with existing search engines

Search Engine Logs



Clickthrough Data

- Clickthrough data can be thought of as triplets (q,r,c)
- the query \mathbf{q}
- the ranking r presented to the user
- the set c of links the user clicked on

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- q support vector machine
- 1. Kernel Machines
 http://svm.first.gmd.de/
 http://svm.first.gmd.de/
 http://jbolivar.freeservers.com/
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 3. SVM-Light Support Vector Machine
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 4. An Introduction to Support Vector Machines
 http://www.support vector.net/
 5. Support Vector Machine and Kernel Methods References
 http://svm.research.bell labs.com/SVMrefs.html
 6. Archives of SUPPORT-VECTOR-MACHINES@JISCMAIL.AC.UK
 http://www.jiscmail.ac.uk/lists/SUPPORT-VECTOR-MACHINES.html
 7. Lucent Technologies: SVM demo applet
 http://svm.research.bell labs.com/SVT/SVM svt.html
 8. Royal Holloway Support Vector Machine
 http://svm.dcs.rhbnc.ac.uk/
 9. Support Vector Machine vector.net/software.html
 10. Lagrangian Support Vector Machine Home Page
 http://www.cs.wisc.edu/dmi/lsvm

link1 link3 link7 0

> Clickthough data provide users' feedback for relevance Judgment

A Mechanism to Record Clickthrough Data

do not lead directly to the suggested document, but point ranking. The links on the results-page presented to the user influence system performance. process can be made transparent to the user and does not the query-log along with the query words and the presented tion command to forward the user to the target URL. This the link, the proxy-server records the URL and the queryto a proxy server. These links encode the query-ID and the ID in the click-log. The proxy then uses the $HTTP\ Loca$ -URL of the suggested document. When the user clicks on Each query is assigned a unique ID which is stored in

- query-log: the query words, the presented ranking
- click-log: query-ID, clicked URL (via a proxy server)
- This process should be made transparent to the user
- This process should not influence system performance

The Problem to start with

Which search engine provides better results: Google or MSNSearch?

- A problem of statistical inference: hypothesis test
- Users are only rarely willing to give explicit feedback
- Clickthrough data seem to provide users' implicit feedback; Is them suitable for relevance judgment? I.e., Click \Rightarrow Relevance?

EXP1: Regular Clickthrough Data

Experiment Setup 1 (Regular Clickthrough Data)

search engines A and B. One of the returned rankings is selected at random and it is presented to the user. The ranks of the links the user clicked on are The user types a query into a unified interface and the query is sent to both

Average clickrank

6.04 ± 0.92	6.18 ± 1.33	6.26 ± 1.14	avg. clickrank
hand-tuned	tfc	bxx	
ion	etrieval function	ге	

> Clicks heavily depend on the ranking (presentation bias)

EXP2: Unbiased Clickthrough Data

- The criteria to get unbiased clickthrough data for comparing search engines
- Blind test: The interface should hide the random variables
- underlying the hypothesis test to avoid biasing the user's response
- Click \Rightarrow preference: The interface should be designed so that a click demonstrates a particular judgment of the user
- **Low usability impact**: The interface should not substantially lower the productivity of the user

Experiment Setup 2 (Unbiased Clickthrough Data)

ranks of the links the user clicked on are recorded engines A and B. The returned rankings are mixed so that at any point the top A and B, $|k_a - k_b| \leq 1$. The combined ranking is presented to the user and the l links of the combined ranking contain the top k_a and k_b links from rankings The user types a query into a unified interface. The query is sent to both search

EXP2: Unbiased Clickthrough Data

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1. Kernel Machines
2. SVM-Light Support Vector Machine
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1. Kernel Machines

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2. Support Vector Machine

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3. An Introduction to Support Vector Machines

http://www.support - vector.net/
4. Archives of SUPPORT-VECTOR-...

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6. Support Vector Machine - The Software

http://www.support-vectornet/softwarehtml
7. Lagrangian Support Vector Machine Home Page

http://www.cs.wisc.edu/dmi/lsvm

8. A Support ... - Bennett, Blue (ResearchIndex)

http://citeseer.../bennett97support.html
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Support Vector Machine and Kernel Methods References
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An Introduction to Support Vector Machines
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Top l links of the combined ranking containing the top kaand kb links from rankings A and B; $|ka-kb| \le 1$

Computing the Combined Ranking

Algorithm 1 (Combine Rankings)

```
combine(A,B,k_a,k_b,D) \{ if(k_a = k_b) \}
                                                                                                                                                                                                                                                                                                                                                                           Input: ranking A = (a_1, a_2, \ldots), ranking B = (b_1, b_2, \ldots)
                                                                                                                                                                                                                                                                                                      Output: combined ranking D
                                                                                                                                                                                                                                                                                                                                   Call: combine(A,B,0,0,\emptyset)
                                                                                                                                               if(A [k_a + 1] \notin D) \{ D := D + A [k_a + 1]; \}

combine(A,B,k_a + 1,k_b,D);
                                  if(B[k_b+1] \notin D) \{ D := D+B[k_b+1]; \}
combine(A,B,k_a,k_b+1,D);
```

from $A = (a_1, a_2, ...)$ and $B = (b_1, b_2, ...)$ so that for all n **Theorem 1** Algorithm 1 always produces a combined ranking $D = (d_1, d_2, ...)$

$$\{d_1, \dots, d_n\} = \{a_1, \dots, a_{k_a}\} \cup \{b_1, \dots, b_{k_b}\}$$
 (1)

with
$$k_b \le k_a \le k_b + 1$$
.

Experiment

- Google v.s. MSNSearch
- Experiment data gathered from 3 users, 9/25–10/18, 2001
- The top k links for each query are manually judged for the relevance

180 queries and 211 clicks (1.17 clicks/query, 2.31 words/query)

- Questions to examine:
- judgments? Does the clickthrough evaluation agree with the manual relevance
- Click \Rightarrow Preference?

Theoretical Analysis: Assumption 1

top k of rankings A and B respectively. It holds that non-relevant. Further denote with r_a and r_b the number of relevant links in the of links the user clicks on, whereas c_r of these links are relevant and c_n are and n non-relevant links before he stops browsing. Denote with c the number **Assumption 1** Given a ranking in which the user encounters r relevant links

$$\mathcal{E}\left(\frac{C_r}{RC}|r_a - r_b\right) - \mathcal{E}\left(\frac{C_n}{NC}|r_a - r_b\right) = \epsilon > 0$$

6

 $\mathcal{E}(\cdot)$ denotes the expectation for some $\epsilon > 0$ and all differences between r_a and r_b with non-zero probability.

Intuitively, this assumption formalizes that users click on a by a difference of ε . relevant link more frequently than on a non-relevant link

Theoretical Analysis: Assumption 2

Assumption 2

$$\mathcal{E}(C_{a,r}|c_r, c_n, r_a, n_a, r_b, n_b, r, n) = c_r \frac{r_a}{r} \tag{7}$$

$$\mathcal{E}(C_{a,n}|c_r,c_n,r_a,n_a,r_b,n_b,r,n) = c_n \frac{n_a}{n_a}$$

$$\mathcal{E}(C_{b,r}|c_r, c_n, r_a, n_a, r_b, n_b, r, n) = c_r \frac{r_b}{r}$$

9

 \odot

$$\mathcal{E}(C_{b,n}|c_r,c_n,r_a,n_a,r_b,n_b,r,n) = c_n \frac{n_b}{n}$$

particular retrieval function Intuitively, the assumption states that the only reason for a the link, but not to other influence factors connected with a user clicking on a particular link is due to the relevance of

Statistical Hypothesis Test

- Two-tailed paired t-test
- binomial sign test

Please refer to the paper if you have interest

Clickthrough vs. Relevance

Comparison using pairwise clickthrough data.

	4	<u> </u>	2	17	Default	MSNSearch Default
	12	ဃ	⊢	18	Default	Google
	23	46	20	34	MSNSearch	Google
l		(tie)	(B better)	(A better)		
$\overline{}$	$c_a = c_b = 0$	$c_a = c_b > 0$	$c_a < c_b$	$c_a > c_b$	В	Α

Google vs. MSNSearch Google vs. Default

MSNSearch vs. Default

(77% vs. 63%)

(85% vs. 18%)

(91% vs. 12%)

Comparison using manual relevance judgments.

<u> </u>	$\overline{}$	$\overline{}$		7
$MSNSearch \mid Default$	Google	Google		
Default	Default	MSNSearch		В
15	19	26	(A better)	$r_a > r_b$
1	1	17	(B better)	$r_a < r_b$
0	<u>.</u>	51	(tie)	$r_a = r_b > 0$
∞	13	29		$b>0 \mid r_a=r_b=0$
24	34	123		total

Is Assumption 1 Valid?

Assumption 1: User clicks on more relevant links than non-relevant links on average

Let I_d be the set of queries with $r_a - r_b = d$ and $d \neq 0$.

$$\hat{\epsilon}_d = \frac{1}{I_d} \sum_{I_d} \frac{c_r}{c \, r} - \frac{1}{I_d} \sum_{I_d} \frac{c_n}{c \, n}$$

0.85 ± 0.07		Default	MSNSearch
0.76 ± 0.08		Default	Google
0.71 ± 0.09	0.73 ± 0.11	MSNSearch	Google
+1	-1	В	Α
$R_a - R_b$	R_a -		

Is Assumption 2 Valid?

$$\mathcal{E}(C_r \frac{R_a}{R}) = \mathcal{E}(C_{r,a})$$

$$\mathcal{E}(C_r \frac{R_b}{R}) = \mathcal{E}(C_{r,b})$$

$$\mathcal{E}(C_n \frac{N_a}{N}) = \mathcal{E}(C_{n,a})$$

$$\mathcal{E}(C_n \frac{N_b}{N}) = \mathcal{E}(C_{n,b})$$

$5.4 \approx 3$	$5.3 \approx 9$	$1.0 \approx 1$	$15.0 \approx 15$	Default	MSNSearch
$8.9 \approx 8$	$6.7 \approx 10$	$3.0 \approx 3$	$21.0 \approx 21$	Default	Google
$22.8 \approx 22$	$23.0 \approx 26$	$67.8 \approx 67$	$75.9 \approx 78$	MSNSearch	Google
exp obs	exp obs	exp obs	exp obs	В	A
C_{nb}	C_{na}	C_{rb}	C_{ra}		

An Illustrative Scenario

support vector machine

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7
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    Lagrangian Support Vector Machine Home Page

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Click = Absolute Relevance Judgment

Clickthrough data as a triplet (q,r,c)

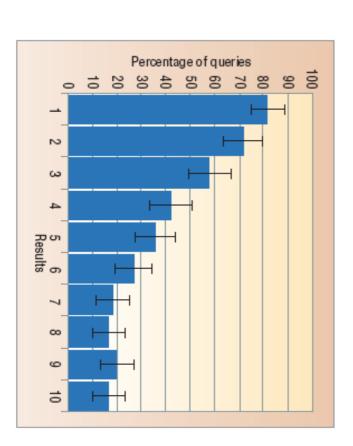
- The presented ranking r depends on the query q as determined by the retrieval function of search engine
- The set c of clicked-on links depends on both query q and the presented ranking r
- E.g., Highly ranked links have advantages to be clicked
- A click on a particular link cannot be seen as an absolute relevance judgment

Average clickrank for three retrieval functions

6.04 ± 0.92	6.18 ± 1.33	6.26 ± 1.14	avg. clickrank
hand-tuned	tfc	bxx	
ion	retrieval function	re	

Clickthrough data (Studies)

- Why not absolute relevance?
- User behaviour influenced by initial ranking
- Study: Rank and viewership
- Percentage of queries where a user viewed the search result presented at a particular rank
- Conclusion: Most times users view only the few first results



Ranking and NOT Classification

- Classification into Relevant or non-Relevant results
- Neither possible nor feasible
- Ranking should be used.
- SVM-light

Click = Relative Preference Judgment

- Assuming that the user scanned the ranking from top to bottom
- E.g., $c = \{link1, link3, link7\}$ (r*: the ranking preferred by the user)

link3 <r* link2 link7 <r* link2 link7 <r* link4 link7 <r* link5 link7 <r* link5

FROM CLICKTHROUGH Algorithm 1. (Extracting Preference Feedback

ing the ranks of the clicked-on links, extract a preference exampleFor a ranking $(link_1, link_2, link_3, ...)$ and a set C contain-

 $link_i < r^* link_j$

for all pairs $1 \leq j < i$, with $i \in C$ and $j \notin C$.

A Framework for Learning Retrieval Fun.

- r^* is optimal ordering, $r_{f(q)}$ is the ordering of retrieval function f on query q; r^* and $r_{f(q)}$ are binary relations over DxD, where $D=\{d1,...,dm\}$ is the document collection e.g., di <r dj, then (di,dj) \in r
- Kendall's τ (vs. average precision)

$$\tau(\mathbf{r}_a, \mathbf{r}_b) = \frac{P - Q}{P + Q} = 1 - \frac{2Q}{\binom{m}{2}}$$

P: # of concordant pairsQ: # of discordant pairs

For a fixed but unknown distribution $Pr(q,r^*)$, the goal is Kendall's τ to learn a retrieval function with the maximum expected $\tau_P(\mathbf{f}) = \int \tau(\mathbf{r}_{\mathbf{f}(\mathbf{q})}, \mathbf{r}^*) d \Pr(\mathbf{q}, \mathbf{r}^*)$

An SVM Algo. for Learning Ranking Fun.

Given an independently and identically distributed training ranking r* sample S of size n containing queries q with their target

$$(q_1, r_1^*), (q_2, r_2^*), ..., (q_n, r_n^*).$$

The learner will select a ranking function f from a family of ranking functions F that maximize the empirical τ

$$\tau_S(\mathbf{f}) = \frac{1}{n} \sum_{i=1}^n \tau(\mathbf{r}_{\mathbf{f}(\mathbf{q}_i)}, \mathbf{r}_i^*).$$

The Ranking SVM Algorithm

Consider the class of linear ranking functions

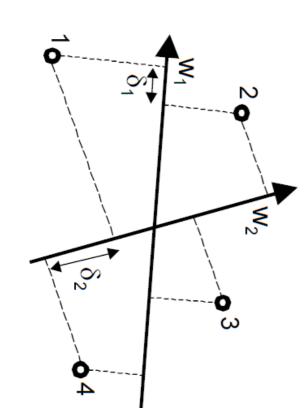
$$(d_i, d_j) \in f_{\vec{w}}(q) \iff \vec{w}\Phi(q, d_i) > \vec{w}\Phi(q, d_j).$$

w is a weight vector that is adjusted by learning,

 $\Phi(q,d)$ is a mapping onto features describing the match between q and d

 The goal is to find a w so that max number of following inequalities is fulfilled

$$\forall (d_i, d_j) \in r_1^* : \vec{w} \Phi(q_1, d_i) > \vec{w} \Phi(q_1, d_j)$$
...
$$\forall (d_i, d_j) \in r_n^* : \vec{w} \Phi(q_n, d_i) > \vec{w} \Phi(q_n, d_j)$$



The Categorization SVM

Learning a hypothesis h such that

$$P(error(h)) \le train_error(h) + complexity(h)$$

Example:

$$h(\vec{d}) = sign\{\vec{w} \cdot \vec{d} + b\} = \begin{cases} +1 & \text{if } \vec{w} \cdot \vec{d} + b > 0 \\ -1 & \text{else} \end{cases}$$

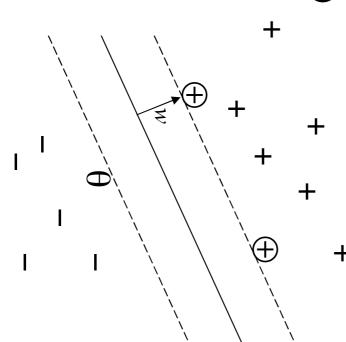
Learning

→ Optimization problem

Minimize:
$$\frac{1}{2} \stackrel{\rightarrow}{w} \stackrel{\rightarrow}{w}$$

so that:
$$\forall i : y_i[\overline{w}, \overline{d}_i + b] \ge 1$$

where
$$y_i = +1$$
 (-1) if d_i is in class + (-)



The Ranking SVM Algorithm (cont.)

OPTIMIZATION PROBLEM 1. (RANKING SVM)

minimize:
$$V(\vec{w}, \vec{\xi}) = \frac{1}{2} \vec{w} \cdot \vec{w} + C \sum \xi_{i,j,k}$$

 $subject\ to:$

$$\forall (d_i, d_j) \in r_1^* : \vec{w}\Phi(q_1, d_i) \ge \vec{w}\Phi(q_1, d_j) + 1 - \xi_{i,j,1}$$
...

$$\forall (d_i, d_j) \in r_n^* : \vec{w} \Phi(q_n, d_i) \ge \vec{w} \Phi(q_n, d_j) + 1 - \xi_{i,j,n}$$

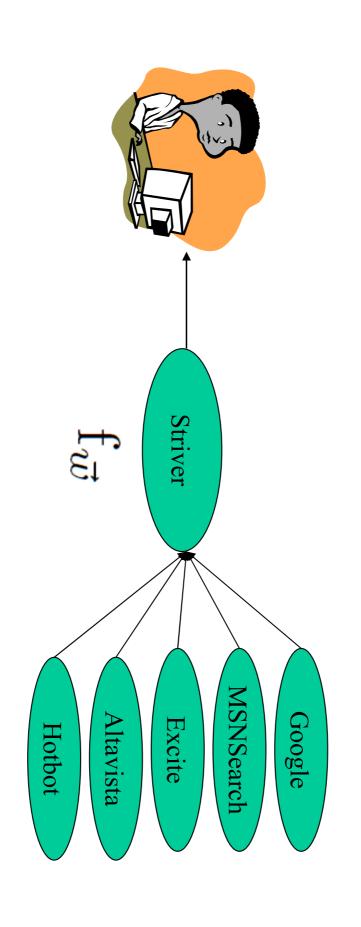
$$\forall i \forall j \forall k : \xi_{i,j,k} \ge 0$$

Optimization Problem 1 is convex and has no local optima. By rearranging the constraints as

$$\vec{w}(\Phi(q_k, d_i) - \Phi(q_k, d_j)) \ge 1 - \xi_{i,j,k},$$

 \triangleright A classification SVM on vectors $\Phi(\mathbf{q}_k, \mathbf{d}_i) - \Phi(\mathbf{q}_k, \mathbf{d}_j)$

Experiment Setup: Meta Search

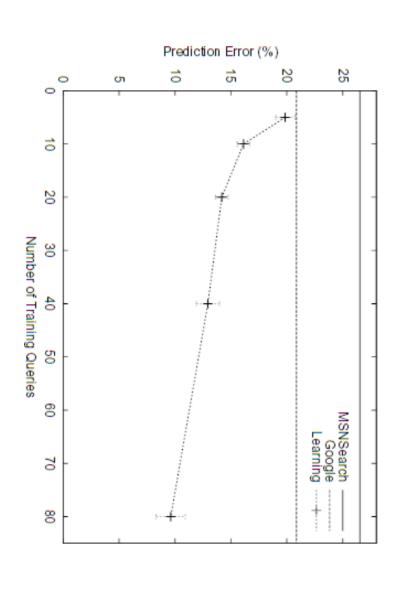


Features

- Rank in other search engines (38 features total):
- rank_X: 100 minus rank in X ∈ {Google, MSN-Search, Altavista, Hotbot, Excite} divided by 100 (minimum 0)
- **top1**_X: ranked #1 in $X \in \{\text{Google, MSNSearch, Altavista, Hotbot, Excite}\}$ (binary $\{0,1\}$)
- top10_X: ranked in top 10 in $X \in \{Google, MSN-Search, Altavista, Hotbot, Excite\}$ (binary $\{0,1\}$)
- top50_X: ranked in top 50 in $X \in \{Google, MSN-Search, Altavista, Hotbot, Excite\}$ (binary $\{0,1\}$)
- top1count_X: ranked #1 in X of the 5 search engines
- top10count_X: ranked in top 10 in X of the 5 search engines
- $\mathbf{top50count}_{-X}$: ranked in top 50 in X of the 5 search engines

- Query/Content Match (3 features total):
- query_url_cosine: cosine between URL-words and query (range [0, 1])
- query_abstract_cosine: cosine between title-words and query (range [0, 1])
- **domain_name_in_query:** query contains domainname from URL (binary $\{0,1\}$)
- Popularity-Attributes (~ 20.000 features total):
- url_length: length of URL in characters divided by 30
- **country**_X: country code X of URL (binary attribute $\{0,1\}$ for each country code)
- **domain** X: domain X of URL (binary attribute $\{0, 1\}$ for each domain name)
- abstract_contains_home: word "home" appears in URL or title (binary attribute $\{0, 1\}$)
- url_contains_tilde: URL contains " \sim " (binary attribute $\{0,1\}$)
- url_X : URL X as an atom (binary attribute $\{0,1\}$)

Experiment Results



Learned vs. Google

Learned vs. MSNSearch

Learned vs.

Toprank

Comparison

more clicks on learned

less clicks on learned

tie

(with clicks

no clicks 19

7

13

4

29 18

Learned Weights of Features

-0.13 -0.15 -0.16 -0.17 -0.32 -0.38	0.14	0.16 0.16	0.17 0.17	0.21 0.19	0.24 0.22	0.24	0.48 0.24	0.60	weight
domain_tu-bs country_fi top50count_4 url_length top10count_0 top1count_0	domain_name_in_query	abstract_contains_home top1_hotbot	top1_google country_de	domain_nec top10count_3	top10_msnsearch host_citeseer	top1count_1	top10_google query_url_cosine	query_abstract_cosine	feature

Open issues

- Trade-off between amount of training data and homogeneity
- Clustering algorithms to find homogenous groups of users
- Adaptation to the properties of a particular document collection. Shipping off-the-shelf SE that learns after deployment
- Incremental online learning/feedback algorithm
- Protection from spamming???
- Personalizing my search choices!!!

Possible extensions

- Utilization of time feedback
- How long was the user browsing a clicked page
- Other types of feedback
- Scrolling
- Exit type
- Combination with absolute relevance clickthrough feedback
- Percentage of result clicks for a query
- Links followed from result page
- Query chains
- Improvement of detection method
- Link association rules
- For frequently clicked groups of results
- Query/links clustering
- Constant training of ranking functions

Conclusions

- The first work (evaluating search engines) is crucial
- The feasibility of using clickthrough data in evaluating retrieval performance has been verified
- relevance judgment (more effort) in this task The clickthrough data (less effort) perform as well as manual
- The second (SVM) shows an interesting work on clickthrough data
- Negative comments
- The approaches have not been justified in a larger scale, so whether the techniques are workable in real cases is still uncertain.
- That perhaps is the reason that though the paper has been out since 2002, Google is still in business...

Discussion

Is MILN similarly capable???